

## Risk Assessment - “Fundraising Entity”

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### Useful Links

### Company background and history

This section may provide an overview of the market maker's business model, key management team members, and any notable events or milestones in the company's history.

### Market overview

This section may provide information on the market in which the market maker operates, including industry trends, competition, and regulatory environment.

### Financial performance

This section may provide financial data on the market maker, such as revenue and profit history, debt levels, and liquidity. It may also include financial ratios such as the price-to-earnings ratio (P/E) and return on equity (ROE).

### Risk assessment

This section may include an assessment of the market maker's risk profile, including an analysis of the market maker's counterparty risk, liquidity risk, and credit risk.

## **Legal and regulatory compliance**

This section may detail the market maker's compliance with legal and regulatory requirements and any recent or pending legal actions or regulatory investigations.

## **Operational capabilities**

This section may provide information on the market maker's technological infrastructure and capabilities, including trading systems, risk management systems, and order management systems.

## **Conclusion**

This section may provide a summary of the key findings from the due diligence process, along with any recommendations for further investigation.