

## **DEPARTMENT OF CONSUMER AFFAIRS**

### **Notice of Adoption of Rule**

Notice of Adoption of Amendment of Title 6 of the Rules of the City of New York by repealing and replacing chapter 6.

NOTICE IS HEREBY GIVEN PURSUANT TO THE AUTHORITY VESTED IN the

Commissioner of the Department of Consumer Affairs by Sections 1048(2) and 2203(f) of the City Charter, Executive Order No. 18 of 2016 and Section 20-104 of Chapter 1 of Title 20 of the Administrative Code of the City of New York and in accordance with the requirements of Section 1043 of the New York City Charter, of the adoption by the Department of an amendment to Title 6 of the Rules of the City of New York by repealing and replacing chapter 6 to effectuate Executive Order No. 18, which transferred adjudications of the Department's administrative tribunal to the Office of Administrative Trials and Hearings.

The rule was proposed and published on July 7, 2016. The required public hearing was held on August 8, 2016.

### **Statement of Basis and Purpose of Rules**

Chapter 6 of Title 6 of the Rules of the City of New York, entitled "Administrative Hearings," contains the procedural rules of the Department of Consumer Affairs' ("Department") administrative tribunal. By Executive Order No. 18, dated June 23, 2016, the Mayor transferred adjudications within the jurisdiction of the Department's administrative tribunal to the Office of Administrative Trials and Hearings ("OATH").

Beginning on August 22, 2016 or as soon thereafter as may be practicable, OATH will adjudicate all pending and future violations issued by the Department. Chapter 1 and Chapter 6 of Title 48 of the Rules of the City of New York contain the procedural rules that govern OATH's administrative tribunals.

These rules repeal the procedural rules that governed the Department's administrative tribunal, clarify provisions in the Executive Order and provide guidance to those who want to settle their violations before appearing at OATH. Specifically, these rules:

- Outline the Department's delegation to OATH of certain adjudicatory authority granted to the Department's Commissioner;
- Clarify when OATH will issue a recommended decision and the Department's process for receiving arguments prior to issuing a written decision;
- Provide guidance on how to respond to a pleading letter to settle a violation prior to hearing;
- Clarify the methodology for submitting a proof of cure to the Department and outlining the specific violations for which an opportunity to cure is available;

- Require those entering into settlement agreements to comply with their terms;
- Establish that a settlement agreement constitutes a plea of guilty to the charges in the Notice of Violation, unless otherwise provided;
- Establish that a settlement agreement has the force of an order and that failure to comply will subject a respondent to a civil penalty of up to \$500;
- Establish that a failure to comply with an order of the Commissioner will subject the respondent to sanctions, including a civil penalty of up to \$500 or suspension or revocation of its licenses;
- Set fixed penalties for violations issued by the Department to promote consistency and fairness in decision making and provide predictability for those whose Notices of Violations are decided at OATH; and
- Explain the Department's rulemaking procedures for public hearings.

New text is underlined; deleted material is in [brackets].

## **Rules**

Section 1. Chapter 6 of title 6 of the Rules of the City of New York, relating to the adjudication of violations issued by the department, are hereby REPEALED.

§ 2. A new chapter 6 of title 6 of the Rules of the City of New York is hereby adopted and shall read as follows:

### **SUBCHAPTER A: ADJUDICATORY PROCEEDINGS**

#### **§ 6-01. Delegation of Authority**

- (a) Except as otherwise provided in this subchapter, the adjudicatory powers granted to the Commissioner of the Department of Consumer Affairs ("Commissioner") under the Administrative Code of the City of New York ("Administrative Code") and New York State law to conduct hearings, issue decisions, impose fines and civil penalties, and order any other relief are hereby delegated to the Office of Administrative Trials and Hearings ("OATH").
- (b) Nothing in this rule shall prohibit the Commissioner from exercising powers that the Commissioner may invoke without an adjudication, including the power to suspend a license pursuant to Administrative Code § 20-104(e)(3).

#### **§ 6-02. Recommended Decisions**

- (a) OATH shall issue a recommended decision for all violations of the following statutes and of rules or regulations promulgated pursuant to such statutes: Article 13-F of the New York State Public Health Law; Section 194 of the New York State General Business Law; Article 5 of the New York State General Business Law; and Sections 192, 192-a,

192-b, and 192-c of Article 16 of the New York State Agriculture and Markets Law. The Commissioner or the Commissioner's authorized designee may adopt, reverse, remand or modify such recommended decision in whole or in part.

- (b) Within thirty (30) days of the issuance of the recommended decision, the respondent may submit to the Commissioner by regular mail, email, or delivery to the Department at its main office, a written argument why the decision should or should not be followed. The Commissioner may prescribe one or more forms to be used for submission of such argument, which may include a specific email and office address.
- (c) Not less than 60 days after the issuance of the recommended decision, the Commissioner or the Commissioner's authorized designee will issue a written decision affirming, reversing or modifying the recommendation decision, or remanding the matter back to OATH for further proceedings. Except as provided in subdivision d of this section, the Commissioner's decision adopting, reversing, remanding or modifying the recommended decision shall constitute a final agency determination.
- (d) If the Commissioner or the Commissioner's authorized designee adopts a recommended decision issued by OATH for a finding of default, and thereafter OATH finds sufficient grounds to vacate the default, the default and the Commissioner's original decision shall be vacated and a new hearing shall be allowed on all of the charges in the original notice of violation. The recommended decision resulting from such hearing will be subject to the provisions of this section.

### **§ 6-03. Pre-Hearing Disposition of Violations**

Any person who receives a notice of violation and a pleading letter from the Department may respond in the following ways:

- (a) Payment of the fine prior to the hearing. Any person who receives an Offer of Settlement prior to the hearing date may plead guilty and pay the fine listed in the letter either by mail, online, or in person.
  - (1) By Mail. Any person may pay the fine as shown on the Offer of Settlement by sending a check or money order (not cash) payable to the "Department of Consumer Affairs" in the amount of the fine specified in the letter. The payment must be received by the Department prior to the hearing date.
  - (2) In person. Any person may pay the fine in person at the Department of Consumer Affairs during the Department's normal business hours.
- (b) Opportunity to Cure. (1) Any person charged with a first-time violation of any of the mandates set forth in paragraph (5) of this subsection will not be subject to a civil penalty if such person proves to the satisfaction of the Department, within thirty days of the issuance of the notice of violation and prior to the commencement of an adjudication of

the violation, that the violation has been cured.

- (2) A person seeking to submit proof of a cure must submit a certification in a form approved by the Department. The Department may require submission of such other information, including documentary evidence, reasonably necessary to prove that the violation was cured within thirty days of the issuance of the notice of violation.
- (3) The submission of proof of a cure will constitute an admission of liability for all purposes.
- (4) If the proof that a violation has been cured is not satisfactory, the Department will provide the person who presented the proof with a written notice within five days of receipt that the proof was not satisfactory. A person may seek review of this determination at OATH within fifteen days of receiving written notification that the submitted proof is not satisfactory.
- (5) The following provisions of Title 6 of the Rules of the City of New York constitute the mandates for which the opportunity to cure a first-time violation is available:
  - (i) Section 1-03(a), requiring the posting of a sign stating that individuals may complain to the Department about a licensed business;
  - (ii) Section 1-03(b), requiring sidewalk cafes to post a sign stating the maximum number of tables and chairs licensed for such sidewalk cafe;
  - (iii) Section 2-24, requiring amusement arcades and gaming cafes to post a sign describing age restrictions during certain hours of operation;
  - (iv) Section 2-57(f), prohibiting sidewalk cafes from posting signage or advertising except for a sign affixed to the valance of an awning with the name of the establishment in lettering not exceeding eight (8) inches in height on a twelve (12) inch valance in a single horizontal line;
  - (v) Section 2-131(s)(4), requiring laundries to distinguish in their advertising between services offered at different prices;
  - (vi) Section 2-131(u), requiring an automatic or coin-operated laundry to post a sign on non-functioning machines;
  - (vii) Section 2-131(v)(1), requiring a laundry to post a notice that complaints and claims for refunds may be made to a certain person or person;
  - (viii) Section 2-131(v)(5), requiring that the information in the sign required by section 131(v) be in both English and Spanish;
  - (ix) Section 2-161(g)(1), requiring that parking lots and garages have separate entrances and exits, with the main entrance clearly designated with illuminated signs marked "entrance" and "exit";
  - (x) Section 2-161(g)(2)(v), requiring that parking lot and garage auxiliary signs contain equally sized letters and numbers;
  - (xi) Section 2-161(g)(2)(vi), requiring that parking lots and garages post a sign stating:
    - (a) the business hours;
    - (b) the licensed capacity; and

- (c) the minimum number of bicycle parking spaces;
- (xii) Section 2-161(g)(3)(i), requiring that the parking garage and lot sign required by section 2-161(g)(2) is illuminated, clearly visible and readable;
- (xiii) Section 2-161(h)(1), requiring the posting of a sign that the garage is at full capacity for car parking;
- (xiv) Section 2-161(h)(2), requiring the posting of a sign that the garage is at full capacity for bicycle parking;
- (xv) Section 2-161(u), requiring that parking lots and garages with waivers under section 20-327.1 of subchapter 17 of Chapter 2 of Title 20 of the Administrative Code of the City of New York post a sign that bicycle parking is not required by law;
- (xvi) Section 2-211(h), requiring a sightseeing bus post a sign on the windshield and near the entrance door of such bus that designates the departure time and destination of such bus;
- (xvii) Section 2-253(a)(3) and (4), requiring that electronic or home appliance service dealers post a notice in the department or area where electronic and home appliances are accepted for repair stating that customers are entitled to written estimates for repairs and other customer rights, and that the regulations
- (xviii) Section 2-275(c), requiring dealers of products for the disabled to post a sign summarizing provisions of the New York City Products for the Disabled Law;
- (xix) Section 3-12, requiring labeling declarations required by subchapter A of Chapter 3 of Title 6 of the Rules of the City of New York to be written in English;
- (xx) Section 3-24(f)(2), requiring stores with weighing and measuring devices for customer use to post a sign informing customer that they may reweigh products using such weighing or measuring device or devices;
- (xxi) Section 5-24, requiring that a business that accepts credit cards post a list of limitations that such business puts on credit card usage at or near the entrance of the business and in all advertising indicating that credit cards are accepted;
- (xxii) Section 5-37, requiring the posting of refund policies;
- (xxiii) Section 5-40(e), prohibiting a sign stating that a business is not liable for its negligence if such a statement is invalid under law;
- (xxiv) Section 5-46(d), requiring a motor vehicle rental business to post a sign not less than twelve (12) inches by eighteen (18) inches in dimension with lettering not less than one (1) inch high providing information about how to complain to the Department and setting forth consumers' rights under the Consumer Protection Law;
- (xxv) Section 5-66(c), requiring that tax preparers post a sign:
- (a) stating his or her name, address, telephone number and qualifications;
  - (b) stating that the preparer and taxpayer must sign every tax return;
  - (c) stating how his or her fees are calculated;
  - (d) stating that he or she or his or her agency will not represent the taxpayer in an audit, if true; and
  - (e) stating that he or she is not licensed by the state board of public accounting or the New York state bar, or both, if true; and

(xxvi) Section 5-195, requiring signage at businesses that sell beverages for off-premises consumption in beverage containers that are covered by title ten of article twenty-seven of the Environmental Conservation Law of the State of New York to be placed within a certain distance of cash registers or to be visible to consumers from any specific vantage point.

#### **§ 6-04. Settlement Agreements**

- (a) Any respondent entering into a settlement agreement with the Department must comply with the terms of the settlement agreement.
- (b) Unless the terms of a settlement agreement provide otherwise, a settlement agreement shall constitute a plea of guilty to the charges in the notice of violation. A settlement agreement shall have the force of a final order of the Commissioner. In addition to any penalties for a breach set forth in the settlement agreement, failure of a respondent to comply with the terms of a settlement agreement, in whole or in part, will subject the respondent to a civil penalty of up to five hundred dollars.

#### **§ 6-05. Orders of the Commissioner**

Failure of a respondent to comply with an order of the Commissioner will subject the respondent to sanctions, including a civil penalty of up to five hundred dollars and suspension or revocation of any and all licenses held by respondent.

## **SUBCHAPTER B: PENALTIES**

### **§ 6-10. Compliance with New York City Charter**

Pursuant to New York City Charter § 2203(h)(1), except to the extent that dollar limits are otherwise specifically provided, civil penalties shall not exceed five hundred dollars for each violation. The remedies and penalties provided for in this subchapter shall be in addition to any other remedies or penalties provided for the enforcement of such provisions under any other law including, but not limited to, civil or criminal actions or proceedings.

### **§ 6-11. License Enforcement Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-109</u>	<u>Improper license transfer</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-110</u>	<u>Failure to obtain DCA approval of change of corporate ownership</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-111</u>	<u>Failure to obtain DCA approval of change in a partnership</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-112</u>	<u>Failure to comply with licensee address requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-113</u>	<u>Failure to comply with trade name requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-114</u>	<u>Failure to comply with inspection and license display requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 1-03(a)</u>	<u>Failure to post the license and complaint sign</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 1-03(b)</u>	<u>Failure to post the sidewalk café license and complaint sign</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 1-05</u>	<u>Failure to contain license number in advertisements and other printed matter</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 1-14</u>	<u>Failure to appear to answer a Notice of Hearing or respond to Subpoena Duces Tecum</u>	<u>\$375</u>	<u>\$375</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 1-15</u>	<u>Failure to satisfy judgment</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 1-16</u>	<u>Failure to comply with record and business premise inspection requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 1-17</u>	<u>Improper wearing of badge</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>



<u>6 RCNY § 1-18</u>	<u>Failure to surrender identification documents issued by the department</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
----------------------	---	--------------	--------------	--------------	--------------	--------------	--------------

## **§ 6-12. Retail Cigarette Dealer Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York, Title 17 of the Administrative Code of the City of New York, Title 24 of the Rules of the City of New York, or the New York Public Health Law.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For violations of Title 20 of the Administrative Code, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

For violations of §§ 17-704, 705, subdivisions (a) or (b) of 17-706, or 17-715 of Title 17 of the Administrative Code, a second, third or subsequent violation means a violation, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for any combination of such violations, at the same place of business within a three-year period.

At a hearing, points assigned to a respondent's New York State tobacco registration record may be reduced pursuant to New York Public Health Law § 1399-ee(3)(a).

In certain cases, the Department may ask for license suspension, revocation, or sealing as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (\*\*).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>

<u>Admin Code § 20-202(a)(1)</u>	<u>Engaging in unlicensed cigarette retail dealer activity</u>	<u>\$2,000, plus \$100 per day</u>	<u>\$2,000, plus \$100 per day</u>	<u>\$2,000, plus \$100 per day</u>	<u>\$2,000, plus \$100 per day</u>	<u>\$2,000, plus \$100 per day</u>	<u>\$2,000, plus \$100 per day</u>
<u>Admin Code § 20-202(a)(2)</u>	<u>Permitting premises to be used for unlicensed cigarette retail dealer activity</u>	<u>\$1,500</u>	<u>\$2,000</u>	<u>\$1,800</u>	<u>\$2,000</u>	<u>\$2,000</u>	<u>\$2,000</u>
<u>Admin Code § 20-202(d)(2)</u>	<u>Failure to display license conspicuously</u>	<u>\$1,500</u>	<u>\$2,000</u>	<u>\$1,800</u>	<u>\$2,000</u>	<u>\$2,000</u>	<u>\$2,000</u>
<u>Admin Code § 20-203</u>	<u>Failure to comply with recordkeeping and inspection requirements</u>	<u>\$1,500</u>	<u>\$2,000</u>	<u>\$1,800</u>	<u>\$2,000</u>	<u>\$2,000</u>	<u>\$2,000</u>
<u>Admin Code § 20-205</u>	<u>Engaged in prohibited sales or purchases</u>	<u>\$1,500</u>	<u>\$2,000</u>	<u>\$1,800</u>	<u>\$2,000</u>	<u>\$2,000</u>	<u>\$2,000</u>
<u>Admin Code § 17-176.1</u>	<u>Selling discounted cigarettes or tobacco products</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$2,000</u>	<u>\$2,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>Admin Code § 17-703</u>	<u>Operating as a wholesale dealer without a license</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$10,000 (plus revocation)</u>	<u>\$10,000 (plus revocation)</u>	<u>\$10,000 (plus revocation)</u>	<u>\$10,000 (plus revocation)</u>
<u>Admin Code § 17-704</u>	<u>Improper out-of-package sales</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>
<u>Admin Code § 17-705</u>	<u>Failure to comply with age restrictions on handling</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>
<u>Admin Code § 17-706(a)</u>	<u>Unlawful sale of cigarettes, tobacco products, or electronic cigarettes to an individual under 21</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>
<u>Admin Code § 17-706(b)</u>	<u>Unlawful sale of non-tobacco shisha, pipes, or rolling papers to an individual under 18</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>
<u>Admin Code § 17-706(c)</u>	<u>No minimum age sign violation/missing information on sign</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 17-714</u>	<u>Unlawful sale of herbal cigarettes to persons under 18</u>	<u>\$2,000</u>	<u>\$2,000</u>	<u>\$2,000</u>	<u>\$2,000</u>	<u>\$2,000</u>	<u>\$2,000</u>
<u>Admin Code § 17-715</u>	<u>Unlawful sale of flavored tobacco</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$2,000</u>	<u>\$2,000</u>	<u>\$5,000 (plus 1 to 90 day)</u>	<u>\$5,000 (plus one year suspension)</u>

						<u>suspension)**</u>	
<u>NY Pub Health § 1399-CC(2) (sign)</u>	<u>Failure to conspicuously post the required tobacco sign</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,500</u>	<u>\$1,500</u>	<u>\$1,500</u>	<u>\$1,500</u>
<u>NY Pub Health § 1399-CC(2) (sale)</u>	<u>Unlawful sale of tobacco products, herbal cigarettes, liquid nicotine, shisha or electronic cigarettes to an individual under eighteen years of age</u>	<u>\$1,000 (plus 2 points on NYS registration)</u>	<u>\$1,000 (plus 2 points on NYS registration)</u>	<u>\$1,500 (plus 2 points on NYS registration)</u>	<u>\$1,500 (plus 2 points on NYS registration)</u>	<u>\$1,500 (plus 2 points on NYS registration)</u>	<u>\$1,500 (plus 2 points on NYS registration)</u>
<u>NY Pub Health § 1399-CC(3)</u>	<u>Failure to obtain proper identification from purchaser</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,500</u>	<u>\$1,500</u>	<u>\$1,500</u>	<u>\$1,500</u>
<u>NY Pub Health § 1399-CC(5)</u>	<u>Improper use of the electronic transaction information</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,500</u>	<u>\$1,500</u>	<u>\$1,500</u>	<u>\$1,500</u>
<u>NY Pub Health § 1399-CC(7)</u>	<u>Failure to store tobacco products or herbal cigarettes behind a counter accessible only to store personnel or in a locked container</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,500</u>	<u>\$1,500</u>	<u>\$1,500</u>	<u>\$1,500</u>
<u>NY Pub Health § 1399-DD</u>	<u>Unlawful sale of tobacco products, herbal cigarettes, or electronic cigarettes in vending machines</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,500</u>	<u>\$1,500</u>	<u>\$1,500</u>	<u>\$1,500</u>
<u>NY Pub Health § 1399-EE(2)</u>	<u>Unlawful sale of tobacco products or herbal cigarettes with a suspended or revoked NYS tobacco registration</u>	<u>\$2,500</u>	<u>\$2,500</u>	<u>\$2,500</u>	<u>\$2,500</u>	<u>\$2,500</u>	<u>\$2,500</u>
<u>NY Pub Health § 1399-GG</u>	<u>Unlawful out-of-package sales or minimum package size</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,500</u>	<u>\$1,500</u>	<u>\$1,500</u>	<u>\$1,500</u>
<u>NY Pub Health § 1399-LL</u>	<u>Failure to comply with regulations prohibiting the sale of bidis</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Pub Health § 1399-MM</u>	<u>Failure to comply with the regulations prohibiting the sale of gutka</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>24 RCNY § 28-06</u>	<u>Unlawful sale of tobacco product or non-tobacco product designed for consumption</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>

	<u>through the inhalation of smoke not in original packaging</u>						
--	--	--	--	--	--	--	--

### **§ 6-13. Amusement Devices, Arcades, and Operators Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

The parties shall be authorized to present evidence to mitigate the license suspension or premise sealing period within the date range marked by two asterisks (\*\*).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-212(a)</u>	<u>Unlicensed amusement device operator</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-212(b)</u>	<u>Unlicensed operation of an amusement device or operation</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-212(c)</u>	<u>Unlicensed operation of an arcade or gaming café</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>

<u>Admin Code § 20-214</u>	<u>Failure to comply with license requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-216</u>	<u>Improper location of player-operated amusement devices or failure to prohibit minors entering certain premises</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
-							
<u>6 RCNY § 2-23(a)</u>	<u>Failure to file written accident report</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450 (plus 0 to 15 day suspension)**</u>	<u>\$500 (plus 15 day suspension)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>
<u>6 RCNY § 2-23(b)</u>	<u>Failure to notify DCA of accident</u>	<u>\$500 (plus 0 to 15 day suspension)**</u>	<u>\$500 (plus 15 day suspension)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>
<u>6 RCNY § 2-24(a)</u>	<u>Failure to post required signage</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-24(b)</u>	<u>Sign does not conform to requirements.</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

## **§ 6-14. Billiard and Pocket Billiard Tables and Billiard and Pocket Billiard Rooms Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law shall also apply to all subsections contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law, within two years of the prior violation(s).

The parties shall be authorized to present evidence to mitigate the license suspension or premise sealing period within the date range marked by two asterisks (\*\*).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-215(a)</u>	<u>Unlicensed operation of a billiard or pocket billiard room</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-217(1)</u>	<u>Permitting gambling or game of chance</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-217(2)</u>	<u>Permitting disorderly premises</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-217(3)</u>	<u>Permitting illegal drugs in premises</u>	<u>\$500 (plus 0 to 15 day suspension)**</u>	<u>\$500 (plus 15 day suspension)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>



<u>Admin Code § 20-217(4)</u>	<u>Permitting unaccompanied minor to enter or remain in premises</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
-------------------------------	--	--------------	--------------	--------------	--------------	--------------	--------------

## **§ 6-15. Sidewalk Cafes Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or the Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second, third, fourth or subsequent violation means a violation on a different day within a two year period at the same place of business, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license suspension or premise sealing period within the date range marked by two asterisks (\*\*).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third Violation</u></b>	<b><u>Third Default</u></b>	<b><u>Fourth and Subsequent Violation</u></b>	<b><u>Fourth and Subsequent Default</u></b>
Admin Code § 20-224(a)	Operating an unlicensed sidewalk cafe	\$750	\$1,000	\$1,500 (plus 0 to 10 day sealing)**	\$2,000 (plus 3 day sealing)	\$2,000 (plus 0 to 20 day sealing)**	\$2,000 (plus 5 day sealing)	\$2,000 (plus 0 to 30 day sealing)**	\$2,000 (plus 7 day sealing)
Admin Code § 20-224(c)	Sidewalk cafe improperly obstructs means of egress	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day)	\$4,000 (plus 30 day)	\$4,000 (plus 0 to 30 day)	\$4,000 (plus 30 day suspension)

						<u>suspension)**</u>	<u>suspension)</u>	<u>suspension and 0 to 5 day sealing)**</u>	<u>and 5 day sealing)</u>
<u>Admin Code § 20-224(e)</u>	<u>Sidewalk cafe serves alcohol but does not provide waiter or waitress service to patrons</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,500</u>	<u>\$2,000</u>	<u>\$4,000 (plus 0 to 30 day suspension)**</u>	<u>\$4,000 (plus 30 day suspension)</u>	<u>\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**</u>	<u>\$4,000 (plus 30 day suspension and 5 day sealing)</u>
<u>Admin Code § 20-225(i)</u>	<u>Failure to comply with the terms of the revocable consent for enclosed sidewalk cafes</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,500</u>	<u>\$2,000</u>	<u>\$4,000 (plus 0 to 30 day suspension)**</u>	<u>\$4,000 (plus 30 day suspension)</u>	<u>\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**</u>	<u>\$4,000 (plus 30 day suspension and 5 day sealing)</u>
<u>Admin Code § 20-226(g)</u>	<u>Failure to comply with the terms of the revocable consent for unenclosed sidewalk cafes</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,500</u>	<u>\$2,000</u>	<u>\$4,000 (plus 0 to 30 day suspension)**</u>	<u>\$4,000 (plus 30 day suspension)</u>	<u>\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**</u>	<u>\$4,000 (plus 30 day suspension and 5 day sealing)</u>
<u>6 RCNY § 2-46</u>	<u>Failure to comply with sidewalk clearance standards</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,500</u>	<u>\$2,000</u>	<u>\$4,000 (plus 0 to 30 day suspension)**</u>	<u>\$4,000 (plus 30 day suspension)</u>	<u>\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**</u>	<u>\$4,000 (plus 30 day suspension and 5 day sealing)</u>
<u>6 RCNY § 2-51</u>	<u>Failure to comply with license application requirements</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,500</u>	<u>\$2,000</u>	<u>\$4,000 (plus 0 to 30 day suspension)**</u>	<u>\$4,000 (plus 30 day suspension)</u>	<u>\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**</u>	<u>\$4,000 (plus 30 day suspension and 5 day sealing)</u>

								day sealing)**	sealing)
<u>6 RCNY § 2-52</u>	<u>Failure to comply with sidewalk cafe location criteria</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,500</u>	<u>\$2,000</u>	<u>\$4,000 (plus 0 to 30 day suspension)**</u>	<u>\$4,000 (plus 30 day suspension)</u>	<u>\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**</u>	<u>\$4,000 (plus 30 day suspension and 5 day sealing)</u>
<u>6 RCNY § 2-53</u>	<u>Failure to comply with physical criteria for sidewalk cafes</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,500</u>	<u>\$2,000</u>	<u>\$4,000 (plus 0 to 30 day suspension)**</u>	<u>\$4,000 (plus 30 day suspension)</u>	<u>\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**</u>	<u>\$4,000 (plus 30 day suspension and 5 day sealing)</u>
<u>6 RCNY § 2-53(d)</u>	<u>Improper signs on a sidewalk cafe</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,500</u>	<u>\$2,000</u>	<u>\$4,000 (plus 0 to 30 day suspension)**</u>	<u>\$4,000 (plus 30 day suspension)</u>	<u>\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**</u>	<u>\$4,000 (plus 30 day suspension and 5 day sealing)</u>
<u>6 RCNY § 2-54</u>	<u>Failure to comply with physical criteria for enclosed sidewalk cafes</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,500</u>	<u>\$2,000</u>	<u>\$4,000 (plus 0 to 30 day suspension)**</u>	<u>\$4,000 (plus 30 day suspension)</u>	<u>\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**</u>	<u>\$4,000 (plus 30 day suspension and 5 day sealing)</u>
<u>6 RCNY § 2-55</u>	<u>Failure to comply with physical criteria for unenclosed sidewalk cafes</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,500</u>	<u>\$2,000</u>	<u>\$4,000 (plus 0 to 30 day suspension)**</u>	<u>\$4,000 (plus 30 day suspension)</u>	<u>\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**</u>	<u>\$4,000 (plus 30 day suspension and 5 day sealing)</u>

6 RCNY § 2-55(a)	Removable base, wall, or planter exceeds 30 inches in height	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
6 RCNY § 2-55(c)	Improper sidewalk cafe awning	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
6 RCNY § 2-57	Improper sidewalk cafe operations	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
6 RCNY § 2-57(f)	Posting prohibited signage	\$750*	\$1,000*	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
6 RCNY § 2-57(g)	Improper menu	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
6 RCNY § 2-57(h)	Improper illumination	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension)	\$4,000 (plus 30 day suspension and 5 day sealing)

							<u>suspension)</u>	<u>and 0 to 5</u> <u>day</u> <u>sealing)**</u>	<u>sealing)</u>
--	--	--	--	--	--	--	--------------------	--	-----------------

## **§ 6-16. Sidewalk Stands Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-229</u>	<u>Operating an unlicensed newsstand</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-231</u>	<u>Failure to comply with restrictions and size requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-233(a)</u>	<u>Operating an unlicensed stoop line stand</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-233(b)</u>	<u>Improper use of a stoop line stand</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-</u>	<u>Failure to comply with stoop line height, location</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

<u>237(a) (food items)</u>	<u>and size restrictions displaying any food items</u>						
<u>Admin Code § 20-237(b) (food items)</u>	<u>Failure to comply with specific stoop line stand length and width restrictions on stands displaying any food items</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-237(a) (non-food items)</u>	<u>Failure to comply with stoop line stand location and size restrictions on stands displaying only non-food items</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-237(b) (non-food items)</u>	<u>Failure to comply with specific stoop line stand length and width restrictions on stands displaying only non-food items</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-237(c)</u>	<u>Unlawfully leasing to or permitting another person to use adjacent sidewalk space to display or sell merchandise</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-240</u>	<u>Unlawfully sleeping in stoop line stand</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-65</u>	<u>Failure to comply with newsstand site requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-66</u>	<u>Improper operation of a newsstand</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-70.2</u>	<u>Failure to comply with stoop line stand requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>



## **§ 6-17. Sightseeing Guides Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-243</u>	<u>Operating as an unlicensed sightseeing guide</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-247</u>	<u>Failure to comply with sightseeing guide regulations</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-71</u>	<u>Improper documentation on receipts</u>	<u>\$260</u>	<u>\$350</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-72</u>	<u>Improperly charged additional fees</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-73</u>	<u>Improperly offered tie-in services</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

## **§ 6-18. Pedicabs Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within one year of the prior violation(s).

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (\*\*).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-250(a)</u>	<u>Operating without DCA pedicab business license</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>Admin Code § 20-253</u>	<u>Failure to comply with DCA insurance requirements</u>	<u>\$500 (plus 0 to 15 day suspension)**</u>	<u>\$500 (plus 15 day suspension)</u>	<u>\$1,000 (plus 0 to 30 day suspension)**</u>	<u>\$1,000 (plus 30 day suspension)</u>	<u>\$4,000 (plus revocation)**</u>	<u>\$4,000 (plus revocation)</u>
<u>Admin Code § 20-254</u>	<u>Operating without required equipment in pedicabs</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>Admin Code § 20-255</u>	<u>Failure to comply with inspection or registration plate requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900 (plus 30 to 60 day</u>	<u>\$1,000 (plus 60 day suspension)</u>	<u>\$4,000 (plus one year to 18 month</u>	<u>\$4,000 (plus 18 month</u>

				<u>suspension)**</u>		<u>suspension)**</u>	<u>suspension)</u>
<u>Admin Code § 20-256</u>	<u>Failure to comply with recordkeeping requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>Admin Code § 20-257(a)</u>	<u>Operating without DCA pedicab driver license</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>Admin Code § 20-257</u>	<u>Failure to comply with pedicab driver license requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>Admin Code § 20-258(b)</u>	<u>Failure to wear or display visible photo identification card</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>Admin Code § 20-259</u>	<u>Failure to comply with restrictions on the operation of pedicabs</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>Admin Code § 20-259(b)(6)</u>	<u>Driver impaired or intoxicated by alcohol</u>	<u>\$500 (plus 0 to 15 day suspension)**</u>	<u>\$500 (plus 15 day suspension)</u>	<u>\$1,000 (plus 0 to 30 day suspension)**</u>	<u>\$1,000 (plus 30 day suspension)</u>	<u>\$4,000 (plus revocation)**</u>	<u>\$4,000 (plus revocation)</u>
<u>Admin Code § 20-260</u>	<u>Violated pedicab rate requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>Admin Code § 20-262</u>	<u>Failure to display pedicab registration or pedicab driver's license</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
-							
<u>6 RCNY § 2-420</u>	<u>Failure to notify DCA of accident</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>6 RCNY § 2-424</u>	<u>Improper advertisements placed on pedicab</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>6 RCNY § 2-425</u>	<u>Failure to comply with signage requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>6 RCNY § 2-428</u>	<u>Failure to comply with recordkeeping requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>6 RCNY § 2-429</u>	<u>Failure to comply with Pedicab Information Card requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>

## **§ 6-19. Dealers in Second-Hand Articles Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-265</u>	<u>Operating as a second hand dealer without a license</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-267</u>	<u>Failure to report required records to police commissioner</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-268</u>	<u>Failure to comply with specified restrictions pertaining to second hand dealers</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-270</u>	<u>Failure to comply with signage requirements</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-271</u>	<u>Failure to comply with labeling requirements</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

<u>Admin Code § 20-272</u>	<u>Failure to comply with requirements pertaining to lost or stolen property</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-273</u>	<u>Failure to maintain record of purchases and sales</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-101</u>	<u>Failure to verify and record identity of persons who sell to second-hand dealers</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-102</u>	<u>Failure to comply with requirements pertaining to sale of second-hand furniture</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-103(a)</u>	<u>Failure to maintain documents recording transfer of title in sale of second-hand automobile</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-103(b)</u>	<u>Failure to comply with requirements pertaining to deferred payment, collateral and financing terms in sale of second-hand automobile</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-103(c)</u>	<u>Covering or concealing of motor or serial number in sale of second-hand automobile or motorcycle</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-103(d)</u>	<u>Failure of dealer in second-hand automobiles to meet deferred payment commitments in purchase of second-hand automobile from private person</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-103(e)</u>	<u>Improper statements, representations, promises or acts by dealer in second-hand automobiles or agents</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-103(f)</u>	<u>Failure of dealer in second-hand automobiles to disclose all terms of undertaking or agreement with purchaser</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-103(g)(1)(i)</u>	<u>Sale of second-hand automobile not inspected and certified in accordance with Vehicle and Traffic Law</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-103(g)(1)(ii)</u>	<u>Failure to provide required Notice to Buyer with contract for sale of second-hand automobile</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-</u>	<u>Contract for sale of second-hand automobile</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

<u>103(g)(1)(iii)</u>	<u>containing prohibited limitations</u>						
<u>6 RCNY § 2-103(g)(1)(iv)</u>	<u>Failure of dealer in second-hand automobiles to submit form contracts with license application</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-103(g)(1)(v)</u>	<u>Failure of dealer in second-hand automobiles to display required sign at place of business</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-103(g)(2)</u>	<u>Misrepresentation of vehicle mileage</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-103(h)</u>	<u>Failure of dealer in second hand automobiles to investigate previous use of vehicle or to maintain record of vehicle's previous use and odometer reading</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-103(i)</u>	<u>Sale of second-hand automobile at price other than advertised</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-103(j)</u>	<u>Sale of second-hand automobile from licensed place of business by any person other than licensed dealer in second-hand automobiles</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-103(k)(1)</u>	<u>Improperly accepting deposit in sale of second hand automobile</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-103(k)(2)</u>	<u>Deposit receipt fails to contain required information</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-103(k)(3)</u>	<u>Failure to keep proper record of deposits in sales of second hand automobiles</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-103(l)(1)</u>	<u>Failure of dealer in second hand automobiles to keep proper records of income and expenses</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-103(l)(2)</u>	<u>Failure of dealer in second hand automobiles to maintain proper record of cash receipts sand cash disbursements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

## **§ 6-20. Pawnbrokers Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-276</u>	<u>Employed clerk(s) under 16 years old</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-277</u>	<u>Failure to comply with reports and recordkeeping requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 5-222</u>	<u>Improper caveats on pawn tickets</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 5-224</u>	<u>Improper proof of notice of intention to sell pledged property</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 5-226</u>	<u>Failure to meet requirements of acceptable forms of verification of pledgor's identity and required records</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>





## **§ 6-21. Auctioneers Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-278</u>	<u>Operating without an auctioneer license</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-280</u>	<u>Failure to post license during auction</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-281</u>	<u>Improperly acting as an auctioneer</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-282</u>	<u>Improper auction advertising</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-284</u>	<u>Failure to comply with the sale of jewelry requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-285</u>	<u>Failure to comply with auctioneer license restrictions</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-286</u>	<u>Failure to comply with sale of real property requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

<u>Admin Code § 20-287</u>	<u>Failure to comply with split fee requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-288</u>	<u>False or fraudulent representation concerning item for sale</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-121</u>	<u>Failure to comply with standards, licenses and application of regulations</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-122</u>	<u>Failure to comply with the requirements and obligations of licensees</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-123</u>	<u>Failure to comply with the reserve price requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-124</u>	<u>Failure to comply with the prohibited practices requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-125(a)</u>	<u>Failure to comply with recordkeeping requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

## **§ 6-22. Laundries Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-292</u>	<u>Operating a laundry without a license</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-295</u>	<u>Transfer of license</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-296</u>	<u>Failure to comply with general provisions</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
-							
<u>6 RCNY § 2-131</u>	<u>Failure to comply with general requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-131(s)(4)</u>	<u>Failure to distinguish in advertising between services offered at different prices</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

<u>6 RCNY § 2-131(u)</u>	<u>Failure to post a sign on non-functioning machines</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-131(v)(1)</u>	<u>Failure to post notice regarding complaints and refunds that complies with sign requirements</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-131(v)(5)</u>	<u>Failure to have sign in both English and Spanish</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

## **§ 6-23. Locksmiths Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-299</u>	<u>Operating without a locksmith license</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-301</u>	<u>Failure to comply with locksmith regulations</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-302</u>	<u>Failure to comply with equipment listing requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-303</u>	<u>Failure to comply with key marking requirement</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-304</u>	<u>Failure to display license in required form</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-305</u>	<u>Failure to comply with prohibition for itinerant locksmith</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-307(b)</u>	<u>Failure to comply with apprentice requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

-							
<u>6 RCNY § 2-140</u>	<u>Improper posting of locksmith license information</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-141</u>	<u>Failure of locksmith to comply with obtaining and recording information requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-142</u>	<u>Failure to provide customer copy of completed form</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-143</u>	<u>Failure to retain required forms</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-144</u>	<u>Failure to make forms available for inspection</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

## **§ 6-24. Sales Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-309</u>	<u>Failure to obtain sale license</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-315</u>	<u>Failure to maintain proper stock records</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-316</u>	<u>Failure to display license in required form</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-317</u>	<u>Failure to meet the requirement(s) for advertising</u>	<u>\$260</u>	<u>\$350</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-318</u>	<u>Violated license requirement(s) by continuing to conduct business at the same premises</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
-							
<u>6 RCNY § 2-151(a)</u>	<u>Use of untrue, deceptive, or misleading advertisement</u>	<u>\$260</u>	<u>\$350</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

<u>6 RCNY § 2-151(b)</u>	<u>Improper advertising of merchandise without an intent to sell</u>	<u>\$260</u>	<u>\$350</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-151 (c)</u>	<u>Improper offer to procure goods not in the stock listed in inventory</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-151(d)</u>	<u>Failure to provide Department with inventory of stock</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>



## **§ 6-25. Garages and Parking Lots Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-321</u>	<u>Failure to have garage or parking lot license from DCA</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-324</u>	<u>Failure to meet requirements of rate schedule(s) or change of rates</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-324(b)</u>	<u>Failure to post the required rates sign</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-325</u>	<u>Failure by transferring license to another or using license at another location</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

<u>Admin Code § 20-326</u>	<u>Failure to meet the requirement(s) of claim check(s)</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-327</u>	<u>Failure to meet the requirement(s) for the number of vehicles, maximum capacity or the manner of storage</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-327.1</u>	<u>Failure to meet bicycle parking requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-328</u>	<u>Unauthorized use of customer's vehicle</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-161</u>	<u>Failure to meet the requirement(s) for the operation of garages and parking lots.</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-161(g)(1)</u>	<u>Failure to post the required signs for separate entrances and exits</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-161(g)(2)(vi)</u>	<u>Failure to post the required signage regarding business hours, capacity, or bicycle parking</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-161(g)(2)(v)</u>	<u>Failure to post the required auxiliary signs</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-161(g)(3)(1)</u>	<u>Failure to illuminate the parking garage and lot sign</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-161(u)</u>	<u>Failure to post the bicycle parking waiver sign</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

## **§ 6-26. Bingo Licensing Law Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-341</u>	<u>Conducting games by organization other than an authorized organization</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-342</u>	<u>Failure to comply with restrictions upon conduct of bingo games</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-346</u>	<u>Improper form, content or display of license</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-348</u>	<u>Conducting games prior to 6 p.m. on Sunday</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-349</u>	<u>Admission of or participation by persons under eighteen</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-350</u>	<u>Conducting games in excess of permitted frequency or sale of alcohol beverages</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

<u>Admin Code § 20-351</u>	<u>Operating or conducting games by improper persons or with improper equipment or improper expenditures</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-352</u>	<u>Improper charge for admission, participation or game cards; improper award of prizes</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-353</u>	<u>Improper advertising</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-354</u>	<u>Improper filing or failure to file statements and receipts; failure to maintain records or to pay fee based on proceeds</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-358</u>	<u>Failure to comply with other specified requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

## **§ 6-27. Public Dance Halls, Cabarets and Catering Establishment Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation or an independent monitor, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (\*\*).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
Admin Code § 20-360	Operating as an unlicensed public dance hall, cabaret, or catering hall	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-360.1	Improper security guards	\$375	\$500	\$450 (plus 0 to 15 day suspension)**	\$500 (plus 15 day suspension)	\$500 (plus revocation)**	\$500 (plus revocation)
Admin Code § 20-360.2	Failure to comply with additional security measures for cabarets and public dance halls	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-360.2(b)(9)	Failure to comply with video indexing and preservation requirements	\$1,000	\$1,000	\$1,000 (plus 0 to 15 day	\$1,000 (plus 15 day suspension)	\$1,000 (plus revocation)**	\$1,000 (plus revocation)

				<u>suspension)**</u>			
<u>Admin Code § 20-360.2(b)(10)</u>	<u>Failure to comply with video storage and access requirements</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000 (plus 0 to 15 day suspension)**</u>	<u>\$1,000 (plus 15 day suspension)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>
<u>Admin Code § 20-360.2(b)(12)</u>	<u>Failure to post video security signs</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000 (plus 0 to 15 day suspension)**</u>	<u>\$1,000 (plus 15 day suspension)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>
<u>Admin Code § 20-361</u>	<u>Failure to comply license requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-364</u>	<u>License not posted at entrance</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-365</u>	<u>Improper transfer of license</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-366</u>	<u>Failure to provide notice of change in corporate licenses</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-367</u>	<u>Open to the public during unauthorized hours</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-370.2</u>	<u>Failure to report substantiated violations</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
-							
<u>6 RCNY § 2-201</u>	<u>Improper charges for food or drink</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-202</u>	<u>Improper accessibility to authorities</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-203</u>	<u>Entrances or exits locked</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-205</u>	<u>Improper security guards</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-206</u>	<u>Improper complaint handling</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-207</u>	<u>Improper crowd control</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
-							
<u>6 RCNY § 5-63</u>	<u>Improper catering contract</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>



## **§ 6-28. Sight-seeing Buses, Horse-drawn Cabs and Drivers Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (\*\*).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
Admin Code § 20-373	Operating sight-seeing bus or horse-drawn cab without a license	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-374	Improper transfer of license for operation of sight-seeing bus; improper transfer of license for operation of horse-drawn cab	\$375	\$500	\$450	\$500	\$500	\$500



<u>Admin Code § 20-375</u>	<u>Failure to display license plate or replaceable date tag on sight-seeing bus or horse-drawn cab</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-376</u>	<u>Failure to submit sight-seeing bus for inspection</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>
<u>Admin Code § 20-376.1</u>	<u>Failure to equip sight-seeing bus with headphone-limited sound reproduction system</u>	<u>\$550 per day (plus revocation)**</u>	<u>\$750 per day (plus revocation)</u>	<u>\$675 per day (plus revocation)**</u>	<u>\$750 per day (plus revocation)</u>	<u>\$750 per day (plus revocation)**</u>	<u>\$750 per day (plus revocation)</u>
<u>Admin Code § 20-377.1(b)</u>	<u>Failure of horse-drawn cab licensee to report modification, amendment, cancellation or substitution of required insurance policy</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-380</u>	<u>Collection of fare for use of horse-drawn cab in excess of specified amounts</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-381(a)</u>	<u>Unlicensed operation of horse-drawn cab</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-381(e)</u>	<u>Operation of horse-drawn cab by person who is not licensed driver or apprentice or holder of probationary license</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-381.1</u>	<u>Operating horse-drawn cab at prohibited time or location</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-381.1(d)</u>	<u>Operating horse-drawn cab in violation of notice given by authorized enforcement officer</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)</u>
<u>Admin Code § 20-381.2(b)</u>	<u>Failure to equip horse drawn cab with emergency brake system</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>
<u>Admin Code § 20-382</u>	<u>Unlawful agreement between owner of horse-drawn cab and any hotel, apartment house, restaurant or cafe</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-211</u>	<u>Violation of requirements applicable to owners and drivers of sightseeing buses</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-211(c)</u>	<u>Failure to post schedule of rates charged for each</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

	<u>sightseeing bus trip</u>						
<u>6 RCNY § 2-211(m)</u>	<u>Operation of sightseeing bus by single driver for more than 12 hours in any 24 hour period</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>
<u>6 RCNY § 2-211.1</u>	<u>Failure to comply with recordkeeping requirements applicable to owners of sightseeing buses</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-212</u>	<u>Violation of requirements applicable to owners and drivers of horse-drawn cabs</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-212(q)(3)</u>	<u>Operation of horse-drawn cab by single driver for more than 12 hours in any 20 hour period</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>
<u>6 RCNY § 2-212(q)(6)</u>	<u>Operating horse-drawn cab under influence of intoxicating liquor or drugs</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>

## **§ 6-29. Home Improvement Business Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (\*\*).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-387</u>	<u>Unlicensed solicitation or performance of home improvement contract</u>	<u>\$1,000, plus \$100 per day</u>	<u>\$1,000, plus \$100 per day</u>	<u>\$1,000, plus \$100 per day</u>	<u>\$1,000, plus \$100 per day</u>	<u>\$1,000, plus \$100 per day</u>	<u>\$1,000, plus \$100 per day</u>
<u>Admin Code § 20-389</u>	<u>Unauthorized assignment or transfer of license; improper posting of license.</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-392 (a)(1)</u>	<u>Fraud, misrepresentation, or bribery in securing a license</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>
<u>Admin Code § 20-392(a)(2)</u>	<u>False statement regarding a material matter in license application</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>

<u>Admin Code § 20-392(a)(3)</u>	<u>Management personnel untrustworthy or not of good character</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-392(a)(4)</u>	<u>Failure to timely perform or complete contracts, manipulation of assets or accounts, or fraud or bad faith in business transactions, or unwholesome method or practice of solicitation</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>
<u>Admin Code § 20-392(a)(5)</u>	<u>Failure to display license properly</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-392(a)(6)</u>	<u>Failure to comply with lawful demand or requirement of commissioner</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-392(a)(7)</u>	<u>Act of omission, fraud, or misrepresentation by agent or employee approved or known by licensee</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-393</u>	<u>Prohibited practices in operation of home improvement business</u>	<u>\$750 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>	<u>\$900 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>
<u>Admin Code § 20-394.1</u>	<u>Failure to provide notice of right of owner to cancel home improvement contract.</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-395</u>	<u>Failure to advise consumer of availability of estimate or failure to provide estimate on request</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-221</u>	<u>Failure to meet requirements for content and cancellation of home improvement contract</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-222</u>	<u>Prohibited advertising or selling practices</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-223</u>	<u>Violation of duties and responsibilities of home improvement prime contractor</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-224</u>	<u>Failure of participant in home improvement business trust fund to meet requirements of participation</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-225</u>	<u>Failure to furnish required bond</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>



### **§ 6-30. Process Servers Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-403</u>	<u>Operated as an unlicensed process server or process serving agency.</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-406.2</u>	<u>Failure to comply with the responsibilities of process serving agencies</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-406.3</u>	<u>Failure to maintain proper records</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-410</u>	<u>Failure to maintain electronic record of service</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-233</u>	<u>Failure to comply with recordkeeping requirements</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-233a</u>	<u>Failure to comply with electronic recordkeeping requirements</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>

<u>6 RCNY § 2-233b</u>	<u>Failure to comply with global positioning system recordkeeping requirements</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-234</u>	<u>Failure to comply with all federal, state and municipal laws, rules, regulations and requirements</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-234a</u>	<u>Failure to comply with the duties of process serving agencies</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-234b</u>	<u>Failure to comply with the duty of process servers to report agencies that assign service</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-235</u>	<u>Improper preparation or maintenance of affidavit of service</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-236</u>	<u>Failure to comply with the duty to report hearings contesting service</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-237</u>	<u>Improper wearing of insignia</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-238</u>	<u>Improper use of zip codes</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>

## **§ 6-31. Electronic or Home Appliance Service Dealer Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a sustained violation by the same respondent of the same provision of law, rule, or regulation within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (\*\*).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-412</u>	<u>Operating business as an electronic or home appliance service dealer without a license</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-417</u>	<u>Failure to comply with the duties of an electronic or home appliance service dealer licensee</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-420</u>	<u>Engaging in prohibited practices</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>



<u>6 RCNY § 2-251</u>	<u>Engaging in prohibited practices</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-252</u>	<u>Improper advertising by service dealers</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-253</u>	<u>Failure to display required information</u>	<u>\$260</u>	<u>\$350</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-253(a)(1)</u>	<u>Failure to display required information regarding service dealer's true identity</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-253(a)(2)</u>	<u>Failure to display required information regarding "cash only" or "cash or certified check" only policy</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-253(a)(3)</u>	<u>Failure to display required information regarding the availability for review of the Department's regulations</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-253(a)(4)</u>	<u>Failure to display required notice</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-254</u>	<u>Failure to comply with disclosure required for repairs made on licensee's premises</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-255</u>	<u>Failure to comply with disclosure required for repairs made in the customer's home</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-256</u>	<u>Failure to comply with estimated completion date requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-257</u>	<u>Failure to comply with final bill requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-258</u>	<u>Failure to give or maintain copies of documents</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-259</u>	<u>Improper return of removed parts</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-260</u>	<u>Failure to comply with the picture tubes requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-261</u>	<u>Improper warranty or guarantees</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-262</u>	<u>Improper insurance coverage</u>	<u>\$500 (plus 0 to 15 day suspension)**</u>	<u>\$500 (plus 15 day suspension)</u>	<u>\$500 (plus 0 to 30 day suspension)**</u>	<u>\$500 (plus 30 day suspension)</u>	<u>\$500 (plus license revocation)**</u>	<u>\$500 (plus license revocation)</u>



## **§ 6-32. Products for the Disabled: Sale, Rental, Repair and Servicing Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-426</u>	<u>Engaged in unlicensed activity</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-428</u>	<u>Engaged in prohibited practices</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-430</u>	<u>Failure to comply with the duties of a licensee</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-272</u>	<u>Engaged in prohibited practices</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-273</u>	<u>Failure to comply with the written estimate requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

<u>6 RCNY § 2-274</u>	<u>Improper delay in delivery</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-275</u>	<u>Improper display of required information</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-275(c)</u>	<u>Failure to post the sign summarizing provision of the products for the disabled law</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-276</u>	<u>Improper return of removed parts</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-277</u>	<u>Improper final bill and invoice</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-278</u>	<u>Failure to comply with recordkeeping requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

### **§ 6-33. Games of Chance Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 9 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-436</u>	<u>Failure to meet requirements of conduct for games of chance</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-436(1)</u>	<u>Engaging in unlicensed games of chance</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-440</u>	<u>Failure to meet requirement(s) for content and display of license</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-441</u>	<u>Engaging in prohibited practices</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-442</u>	<u>Permitting under age person to conduct or assist in the conduct of any game of chance</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-443</u>	<u>Failure to meet the requirement(s) for the frequency of the games of chance</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

<u>Admin Code § 20-444</u>	<u>Failure to meet the requirement(s) for the persons operating and conducting games, equipment and other operations</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-445</u>	<u>Failure to meet the requirement(s) for the charge for admission and participation, amount of prizes and award of prizes</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-446</u>	<u>Failure to meet the requirement(s) for advertising games</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-447</u>	<u>Failure to furnish required documents</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-448</u>	<u>Failure to allow examination of books and records</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-450</u>	<u>Failure to keep the required books and records and failure to meet the requirements for the operation of the business</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

## **§ 6-34. Storage Warehouses Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (\*\*).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-476</u>	<u>Operating without a storage warehouse license</u>	<u>\$1,000 per day</u>	<u>\$1,000 per day</u>	<u>\$1,000 per day</u>	<u>\$1,000 per day</u>	<u>\$1,000 per day</u>	<u>\$1,000 per day</u>
<u>Admin Code § 20-478</u>	<u>Failure to comply with schedule of rates requirement(s)</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-480</u>	<u>Failure to comply with insurance requirement(s)</u>	<u>\$1,000 (plus 0 to 15 day suspension)**</u>	<u>\$1,000 (plus 15 day suspension)</u>	<u>\$1,000 (plus 0 to 30 day suspension)**</u>	<u>\$1,000 (plus 30 day suspension)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>
<u>6 RCNY § 2-322</u>	<u>Failure to comply with oral disclosures</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>

<u>6 RCNY § 2-323</u>	<u>Failure to comply with written estimate requirement(s)</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-324</u>	<u>Failure to comply with inventory requirement</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-325</u>	<u>Failure to comply with cancellation requirement</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-326</u>	<u>Improper bill for services</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-327</u>	<u>Failure to comply with relocation of household goods requirements</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-328</u>	<u>Failure to meet advertisement requirements</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-329(a)</u>	<u>Failure to provide liability insurance</u>	<u>\$1,000 (plus 0 to 15 day suspension)**</u>	<u>\$1,000 (plus 15 day suspension)</u>	<u>\$1,000 (plus 0 to 30 day suspension)**</u>	<u>\$1,000 (plus 30 day suspension)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>
<u>6 RCNY § 2-329(b)</u>	<u>Failure to meet insurance requirements</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-330</u>	<u>Failure to provide a written storage contract before accepting household goods</u>	<u>\$1,000 (plus 0 to 15 day suspension)**</u>	<u>\$1,000 (plus 15 day suspension)</u>	<u>\$1,000 (plus 0 to 30 day suspension)**</u>	<u>\$1,000 (plus 30 day suspension)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>
<u>6 RCNY § 2-331</u>	<u>Failure to provide consumer with access to household goods</u>	<u>\$1,000 (plus 0 to 15 day suspension)**</u>	<u>\$1,000 (plus 15 day suspension)</u>	<u>\$1,000 (plus 0 to 30 day suspension)**</u>	<u>\$1,000 (plus 30 day suspension)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>
<u>6 RCNY § 2-332</u>	<u>Failure to meet general release requirement(s)</u>	<u>\$1,000 (plus 0 to 15 day suspension)**</u>	<u>\$1,000 (plus 15 day suspension)</u>	<u>\$1,000 (plus 0 to 30 day suspension)**</u>	<u>\$1,000 (plus 30 day suspension)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>
<u>6 RCNY § 2-333</u>	<u>Improper sale of consumer goods</u>	<u>\$1,000 (plus 0 to 15 day suspension)**</u>	<u>\$1,000 (plus 15 day suspension)</u>	<u>\$1,000 (plus 0 to 30 day suspension)**</u>	<u>\$1,000 (plus 30 day suspension)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>
<u>6 RCNY § 2-334</u>	<u>Failure to meet requirements to act as an agent</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>



### **§ 6-35. Electronic Stores Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-485</u>	<u>Operating without an electronic store license</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-485.3</u>	<u>Engaging in prohibited practices</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-485.4</u>	<u>Improper transfer of license</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-485.5</u>	<u>Failure to comply with the duties of a licensee</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-341</u>	<u>Failure to comply with regulations pertaining to gray market merchandise</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>



## **§ 6-36. Towing Vehicles Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (\*\*).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third Violation</u>	<u>Third Default</u>
<u>Admin Code § 20-496</u>	<u>Unlicensed Activity</u>	<u>\$2,250</u>	<u>\$3,000</u>	<u>\$2,700</u>	<u>\$3,000</u>	<u>\$10,000</u>	<u>\$10,000</u>
<u>Admin Code § 20-500</u>	<u>Failure to comply with insurance requirements</u>	<u>\$2,500 (plus 0 to 15 day suspension)**</u>	<u>\$2,500 (plus 15 day suspension)</u>	<u>\$3,000 (plus 0 to 30 day suspension)**</u>	<u>\$3,000 (plus 30 day suspension)</u>	<u>\$3,500 (plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>
<u>Admin Code § 20-501</u>	<u>Improper inspection of tow trucks</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>Admin Code § 20-503</u>	<u>Failure to comply with license plate requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>Admin Code § 20-507</u>	<u>Improper posting of required information</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875 (plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>

<u>Admin Code § 20-509</u>	<u>Failure to comply with rates requirements</u>	<u>\$2,250</u>	<u>\$3,000</u>	<u>\$2,700</u>	<u>\$3,000</u>	<u>\$10,000 (plus revocation)**</u>	<u>\$10,000 (plus revocation)</u>
<u>Admin Code § 20-509.1</u>	<u>Failure to comply with arterial tow rates requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875 (plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>
<u>Admin Code § 20-510</u>	<u>Improper authorization to tow vehicles</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875 (plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>
<u>Admin Code § 20-511</u>	<u>Failure to properly remove vehicle obstructing traffic</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>Admin Code § 20-512</u>	<u>Failure to comply with licensee obligation to perform service</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875 (plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>
<u>Admin Code § 20-514</u>	<u>Failure to comply with repair requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875 (plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>
<u>Admin Code § 20-515</u>	<u>Engaging in prohibited acts</u>	<u>\$2,250</u>	<u>\$3,000</u>	<u>\$2,700</u>	<u>\$3,000</u>	<u>\$10,000</u>	<u>\$10,000</u>
<u>Admin Code § 20-516</u>	<u>Improper recordkeeping</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875 (plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>
<u>Admin Code § 20-517</u>	<u>Improper transfer of license</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>Admin Code § 20-518</u>	<u>Failure to comply with Directed Accident Response Program (DARP) program requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875 (plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>
<u>Admin Code § 20-518(b)(2)</u>	<u>Unauthorized response</u>	<u>\$2,250</u>	<u>\$3,000</u>	<u>\$2,700</u>	<u>\$3,000</u>	<u>\$10,000 (plus revocation)**</u>	<u>\$10,000 (plus revocation)</u>
<u>Admin Code § 20-519</u>	<u>Failure to comply with Rotation Tow Program (ROTOW) program requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875 (plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>
<u>Admin Code § 20-520</u>	<u>Improper removal of a disabled vehicle on a highway</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875 (plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>
<u>Admin Code § 20-520.1(b)</u>	<u>Failure to comply with prerequisite requirements for city towing programs</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875 (plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>
<u>Admin Code § 20-527</u>	<u>Failure to comply with credit card requirement</u>	<u>\$2,250</u>	<u>\$3,000</u>	<u>\$2,700</u>	<u>\$3,000</u>	<u>\$10,000 (plus revocation)**</u>	<u>\$10,000 (plus revocation)</u>

<u>Admin Code § 20-528(a)</u>	<u>Improper police precinct notification</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>6 RCNY § 2-362</u>	<u>Failure to comply with towing license requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>6 RCNY § 2-363</u>	<u>Failure to comply with the obligations of a tow truck licensee</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>6 RCNY § 2-364</u>	<u>Improper application for a tow truck operator's license</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>6 RCNY § 2-365</u>	<u>Failure to comply with the obligations of a licensed tow truck operator</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>6 RCNY § 2-366</u>	<u>Failure to meet the responsibilities to provide towing services</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>6 RCNY § 2-367</u>	<u>Improper authorization to tow</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>6 RCNY § 2-367.1</u>	<u>Failure to comply with Consumer Bill of Rights requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>6 RCNY § 2-368</u>	<u>Improper rates and charges</u>	<u>\$2,250</u>	<u>\$3,000</u>	<u>\$2,700</u>	<u>\$3,000</u>	<u>\$10,000</u>	<u>\$10,000</u>
<u>6 RCNY § 2-369</u>	<u>Failure to comply with the authorization to repair requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>6 RCNY § 2-370</u>	<u>Improper arterial towing</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>6 RCNY § 2-371</u>	<u>Failure to comply with Directed Accident Response Program (DARP) requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>6 RCNY § 2-372</u>	<u>Failure to comply with Rotation Tow Program (ROTOW) requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>6 RCNY § 2-373</u>	<u>Failure to comply with evidence vehicle program requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>6 RCNY § 2-374</u>	<u>Improper primary or adjacent zones</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>

<u>6 RCNY § 2-375</u>	<u>Failure to comply with the requirements of the tow truck industry fund</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>6 RCNY § 2-377</u>	<u>Failure to comply with the duties pertaining to the nonconsensual towing of vehicles from private property</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>6 RCNY § 2-378</u>	<u>Improper maintenance of records in electronic format</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>

## **§ 6-37. Booting of Motor Vehicles Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-531</u>	<u>Unlicensed booting activity</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-534</u>	<u>Failure to maintain records as required</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-535</u>	<u>Improper charge for release of vehicle; failure to release vehicle within prescribed time or at prescribed place</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-401</u>	<u>Failure to comply with signage requirements</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-402</u>	<u>Improper form or content of vehicle window stickers</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-403</u>	<u>Improper practices for acceptance of credit card</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>

	<u>payments</u>						
<u>6 RCNY § 2-404(b)</u>	<u>Failure to disclose name, phone number and address of each officer, director, principal or stockholder</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-404(d)</u>	<u>Failure to notify DCA within 15 days of change of address</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-405</u>	<u>Failure to notify DCA within 10 days of change in offers or directors or transfer of capital stock</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-406</u>	<u>Failure to post license at place of business</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-407</u>	<u>Failure to provide employees with identification badge in required form; failure to maintain record of identification badges issued; failure of employee to display identification made upon request</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-408</u>	<u>Failure to maintain records or to issue receipts in required form</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-409</u>	<u>Failure to notify DCA of change in fees charged or properties served</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>



## **§ 6-38. Weights and Measures Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York, Title 6 of the Rules of the City of New York, Article 16 of the New York Agriculture and Markets Law, or Title 1 of the New York Code, Rules, and Regulations.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-591</u>	<u>Improper interference with inspector.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
-							
<u>6 RCNY § 3-10</u>	<u>Failure to supplement weight or measure.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-12</u>	<u>Failure to have information in English.</u>	<u>\$75*</u>	<u>\$100*</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-21</u>	<u>Failure to meet the requirements of display of price by quantity.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-24</u>	<u>Failure to meet the requirement(s) for the use of scales or measuring devices in stores and markets.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>

<u>6 RCNY § 3-24(f)(2)</u>	<u>Failure to post sign informing customer that they may reweigh products</u>	<u>\$75*</u>	<u>\$100*</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-25</u>	<u>Failure to retain inspection certificate(s)</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-41</u>	<u>Failure to meet the requirements for markings on prepackaged foods.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-42</u>	<u>Failure to meet the requirements for markings on bread weight.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-54</u>	<u>Failure to meet the requirement(s) for the sale of meat, poultry, and seafood.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-55</u>	<u>Failure to meet the requirement(s) for labeling of frozen, defrosted or thawed and refrozen, meat, poultry, fish and products thereof.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-57</u>	<u>Improper colored lighting on meats</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-72</u>	<u>Failure to meet the requirements of weighing device in laundry.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-81</u>	<u>Failure to meet the requirements for the purchase of gold, silver or precious metal.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>NY Agri &amp; Mkts § 190</u>	<u>Failure to comply with regulations pertaining to the method of sale of food and food products</u>	<u>\$450</u>	<u>\$600</u>	<u>\$1,080</u>	<u>\$1,200</u>	<u>\$1,200</u>	<u>\$1,200</u>
<u>NY Agri &amp; Mkts § 191</u>	<u>Improper standardized packaging</u>	<u>\$450</u>	<u>\$600</u>	<u>\$1,080</u>	<u>\$1,200</u>	<u>\$1,200</u>	<u>\$1,200</u>
<u>1 NYCRR § 221.3</u>	<u>Improper declaration of identity</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.4</u>	<u>Improper declaration of responsibility</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.5</u>	<u>Improper declaration of quantity</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>

<u>1 NYCRR § 221.6</u>	<u>Failure to comply with regulations pertaining to consumer package prominence and placement</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.7</u>	<u>Failure to comply with regulations pertaining to nonconsumer package prominence and placement</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.11</u>	<u>Improper variation</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.12</u>	<u>Improper method of sale of commodities</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.13</u>	<u>Improper method of sale of specific commodities</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.14</u>	<u>Net contents of containers not indicated on the outside</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.15</u>	<u>Improper sales slip</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.16</u>	<u>Improper use of coin-operated devices</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>

### **§ 6-39. Dealers in Second-Hand Weighing or Measuring Devices Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation shall mean a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-601</u>	<u>Failure to have required license from DCA</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-604</u>	<u>Failure to give notice regarding repaired devices</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>Admin Code § 20-605</u>	<u>Failure to comply with requirements regarding returning tags on condemned vehicles</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>Admin Code § 20-606</u>	<u>Failure to comply with recordkeeping requirements</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>Admin Code § 20-607</u>	<u>Failure to comply with testing equipment requirements</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 4-01</u>	<u>Failure to meet requirement(s) regarding the NYC security seal</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 4-02</u>	<u>Failure to meet requirement(s) regarding the licensee's security seal</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>

<u>6 RCNY § 4-03</u>	<u>Failure to meet requirements in use of initials, abbreviations, trademarks and logos</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 4-04</u>	<u>Failure to meet requirements of employee's identification number or letter.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 4-05</u>	<u>Failure to give notice of new repair or service person</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 4-06</u>	<u>Failure to meet requirements regarding the cumulative list of employees.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>

## **§ 6-40. Etching Acid Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-612</u>	<u>Failure to meet the requirement(s) for the sale of etching acid.</u>	<u>\$185</u>	<u>\$250</u>	<u>\$225</u>	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>
<u>Admin Code § 20-613</u>	<u>Failure to meet the requirement(s) of posting notice.</u>	<u>\$185</u>	<u>\$250</u>	<u>\$225</u>	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>
<u>Admin Code § 20-614</u>	<u>Failure to meet recordkeeping requirement(s)</u>	<u>\$185</u>	<u>\$250</u>	<u>\$225</u>	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>
<u>6 RCNY § 4-100</u>	<u>Failure to meet the requirement(s) of valid photo identification.</u>	<u>\$185</u>	<u>\$250</u>	<u>\$225</u>	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>
<u>6 RCNY § 4-101</u>	<u>Failure to meet the requirement(s) of maintaining purchasing records.</u>	<u>\$185</u>	<u>\$250</u>	<u>\$225</u>	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>
<u>6 RCNY § 4-102</u>	<u>Failure to meet the requirement(s) of posting notice.</u>	<u>\$185</u>	<u>\$250</u>	<u>\$225</u>	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>



## **§ 6-41. Language Assistance in Pharmacies Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-621</u>	<u>Failure to meet the oral interpretation services requirement(s).</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>Admin Code § 20-622</u>	<u>Failure to meet the requirement(s) of written translation services.</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>Admin Code § 20-623</u>	<u>Failure to meet the requirement(s) for notification relating to language assistance services.</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 4-11(a)</u>	<u>Failure to include required statement in language assistance signs</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 4-11(b)</u>	<u>Failure to comply with the required form pertaining to language assistance signs</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 4-11(c)</u>	<u>Failure to comply with the required display of language assistance signs</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>





## **§ 6-42. Sales of Petroleum Products Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York; Title 6 of the Rules of the City of New York; Title 1 of the New York Code, Rules, and Regulations, or Article 16 of the New York State Agriculture and Markets Law.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second, third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-672</u>	<u>Failure to comply with petroleum sign, placard, or other display requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>Admin Code § 20-672.1</u>	<u>Failure to comply with sales recordkeeping requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>Admin Code § 20-673</u>	<u>Engaging in fraudulent practices</u>	<u>\$7,500</u>	<u>\$10,000</u>	<u>\$9,000</u>	<u>\$10,000</u>	<u>\$15,000</u>	<u>\$15,000</u>
<u>Admin Code § 20-673.1</u>	<u>Improper sale of unleaded gasoline</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$2,500</u>	<u>\$10,000</u>	<u>\$10,000</u>	<u>\$10,000</u>
<u>Admin Code § 20-673.2</u>	<u>Improper certification, display, or representation of octane</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>
<u>Admin Code § 20-673.3</u>	<u>Failure to maintain required records</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>

6 RCNY § 3-91	Use of improper delivery ticket	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
6 RCNY § 3-92	Improper delivery in excess of marker capacity	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
6 RCNY § 3-93	Improper oil temperature for sale, offer, or delivery	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
6 RCNY § 3-94	Use of improper printer tickets	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
6 RCNY § 3-95	Improper contents of printer ticket	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
6 RCNY § 3-96	Improper handling of printer ticket during delivery	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
6 RCNY § 3-97	Failure to leave delivery ticket	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
6 RCNY § 3-98	Improper measured liquid diversion device or mechanism	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
6 RCNY-3-99	Use of improper air eliminator	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
6 RCNY § 3-100	Improper representation of oil quantities on delivery tickets	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
6 RCNY § 3-102	Failure to submit truck for inspection	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
6 RCNY § 4-51	Improper testing or approval of pumps	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
6 RCNY § 4-52	Improper priming of pumps	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
6 RCNY § 4-53	No official seals on pumps	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
6 RCNY § 4-54	Missing or broken security seal on pump	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
6 RCNY § 4-55	No "out of order" sign on pumps	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
6 RCNY § 4-56	Improper interlock or signage at dispensing devices	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>

<u>6 RCNY § 4-57</u>	<u>Improper use of bottles and measures</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-58</u>	<u>Improper use of grease measuring devices</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-59</u>	<u>Failure to retain certificate(s) of inspection</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-60</u>	<u>Failure to comply with yard and delivery ticket requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-61</u>	<u>Failure to comply with return and inspection of ticket requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-62</u>	<u>Failure to comply with selector valve requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-63</u>	<u>Failure to comply with signage requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-64</u>	<u>Failure to comply with fill and stick lines - general requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-65</u>	<u>Failure to comply with fill and stick lines - major oil company requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-66</u>	<u>Failure to comply with color sample requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-67</u>	<u>Failure to comply with gauge requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-68</u>	<u>Improper sale of a petroleum product other than gasoline or diesel motor fuel</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-69</u>	<u>Failure to comply with requirements regarding water in gas storage tanks</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-70</u>	<u>Improper octane ratings</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>
<u>6 RCNY § 4-71</u>	<u>Improper octane labeling</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>
<u>6 RCNY § 5-51</u>	<u>Failure to comply with regulations pertaining to retail sale of gasoline</u>	<u>\$260</u>	<u>\$350</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

NY Agri & Mkts § 181	Improper interference with the powers and duties of municipal directors	\$450	\$600	\$1,080	\$1,200	\$1,200	\$1,200
NY Agri & Mkts § 182	Improper notification or use of weighing and measuring devices	\$450	\$600	\$1,080	\$1,200	\$1,200	\$1,200
NY Agri & Mkts § 183	Improper removal, obliteration, or defacing of official or security seal	\$450	\$600	\$1,080	\$1,200	\$1,200	\$1,200
NY Agri & Mkts § 184	Failure to comply with the requirements pertaining to the condemnation, seizure, or repair of false devices	\$450	\$600	\$1,080	\$1,200	\$1,200	\$1,200
NY Agri & Mkts § 185	Failure to comply with stop-use, stop-removal, or removal order	\$450	\$600	\$1,080	\$1,200	\$1,200	\$1,200
NY Agri & Mkts § 186	Improper possession or use of false device	\$450	\$600	\$1,080	\$1,200	\$1,200	\$1,200
NY Agri & Mkts § 189	Failure to comply with the requirements pertaining to the method of sale of commodities	\$450	\$600	\$1,080	\$1,200	\$1,200	\$1,200
NY Agri & Mkts § 192	Failure to comply with the requirements pertaining to the sale or delivery of petroleum products	\$450	\$600	\$1,080	\$1,200	\$1,200	\$1,200
NY Agri & Mkts § 192(5)	Failure to comply with the signage requirements for selling or offering to sell motor fuel for use in internal combustion engines	\$75	\$100	\$450	\$500	\$500	\$500
NY Agri & Mkts § 192(6)	Failure to provide functioning motor driven air compressors	\$25 per day	\$25 per day	\$25 per day	\$25 per day	\$25 per day	\$25 per day
NY Agri & Mkts § 192-a	Failure to comply with fuel octane labeling requirements	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
NY Agri & Mkts § 192-b	Failure to comply with fuel lead content labeling and requirements	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
NY Agri & Mkts § 192-c	Failure to comply with motor fuel standards and labeling; cetane rating of diesel fuel; alcohol	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000

	<u>content</u>						
<u>NY Agri &amp; Mkts § 192-c(4)(c)</u>	<u>Failure to maintain the required records</u>	<u>\$200</u>	<u>\$200</u>	<u>\$400</u>	<u>\$400</u>	<u>\$400</u>	<u>\$400</u>
<u>NY Agri &amp; Mkts § 192-e</u>	<u>Failure to comply with the regulations pertaining to the sale or delivery of liquefied petroleum gas</u>	<u>\$450</u>	<u>\$600</u>	<u>\$1,080</u>	<u>\$1,200</u>	<u>\$1,200</u>	<u>\$1,200</u>
<u>NY Agri &amp; Mkts § 193</u>	<u>Improper packaging or labeling of containers</u>	<u>\$450</u>	<u>\$600</u>	<u>\$900</u>	<u>\$1,200</u>	<u>\$1,200</u>	<u>\$1,200</u>
<u>NY Agri &amp; Mkts § 194</u>	<u>Improper use of false labels</u>	<u>\$450</u>	<u>\$600</u>	<u>\$900</u>	<u>\$1,200</u>	<u>\$1,200</u>	<u>\$1,200</u>
<u>NY Agri &amp; Mkts § 195</u>	<u>Failure to comply with the duties of weigh masters</u>	<u>\$450</u>	<u>\$600</u>	<u>\$900</u>	<u>\$1,200</u>	<u>\$1,200</u>	<u>\$1,200</u>
<u>1 NYCRR § 220.1</u>	<u>Used a prohibited false or unapproved device</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 220.2</u>	<u>Improper use of inaccurate or defective petroleum devices</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 220.5</u>	<u>Failure to submit device for inspection</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 220.8</u>	<u>Improper retail petroleum devices</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 220.9</u>	<u>Improper delivery of petroleum products</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 220.11</u>	<u>Improper retail scales</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 224.3</u>	<u>Failure to comply with gasoline-alcohol, octane, diesel, or kerosene requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>
<u>1 NYCRR § 224.4</u>	<u>Failure to comply with delivery requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>
<u>1 NYCRR § 224.5</u>	<u>Failure to comply with delivery recordkeeping requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>
<u>1 NYCRR § 224.6</u>	<u>Improper or inaccurate retail measuring device</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>

<u>1 NYCRR § 224.7</u>	<u>Failure to maintain required records</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>
<u>1 NYCRR § 224.7(c)</u>	<u>Failure to remove the water from storage tank(s) as required</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>
<u>1 NYCRR § 224.8</u>	<u>Improper retail sale of gasoline-alcohol blends</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>
<u>1 NYCRR § 224.9</u>	<u>Failure to comply with octane requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>
<u>1 NYCRR § 224.10</u>	<u>Failure to properly post cetane ratings</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>
<u>1 NYCRR § 224.11</u>	<u>Improper octane or cetane labeling</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>
<u>1 NYCRR § 224.12</u>	<u>Failure to post unleaded gasoline sign</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>
<u>1 NYCRR § 224.13</u>	<u>Failure to identify grade or post required kerosene signage</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>
<u>1 NYCRR § 224.14</u>	<u>Failure to properly mark fill ports</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>
<u>1 NYCRR § 224.18</u>	<u>Improper retail sale of gasoline-alcohol blends</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>

### **§ 6-43. Sales of Meats Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-677</u>	<u>Failure to meet the requirement(s) for sales of meats at retail</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>Admin Code § 20-678</u>	<u>Failure to meet the requirement(s) for sales of meats at wholesale</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>Admin Code § 20-679</u>	<u>Failure to follow prohibition(s) on injection device</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>Admin Code § 20-680</u>	<u>Failure to meet the requirement(s) in labeling meats</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>



#### **§ 6-44. Sale of Prepackaged Meat Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-682</u>	<u>Failure to meet the requirement(s) for the sales of prepackaged meat</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 4-41</u>	<u>Failure to meet the requirement(s) of custom meat grinding</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 4-42</u>	<u>Failure to meet the requirement(s) for sale of hamburger</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

## **§ 6-45. Perishable Foods Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
Admin Code § 20-685	Failure to meet the requirement(s) for the sale of perishable food	\$125	\$250	\$225	\$250	\$250	\$250
6 RCNY § 4-112	Failure to meet the requirement(s) for display	\$125	\$250	\$225	\$250	\$250	\$250
6 RCNY § 4-113	Failure to meet requirement(s) for labeling of perishable foods	\$125	\$250	\$225	\$250	\$250	\$250

## **§ 6-46. Price Displays Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Each consecutive day a violation of this section is continued shall constitute a separate first violation of the law pursuant to section 20-692 of the Administrative Code.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-691</u>	<u>Failure to meet the requirements of price displays</u>	<u>\$110</u>	<u>\$150</u>	<u>\$135</u>	<u>\$150</u>	<u>\$150</u>	<u>\$150</u>

## **§ 6-47. Consumer Protection Law Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-700</u>	<u>Engaged in an unlawful deceptive or unconscionable trade practice</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-23</u>	<u>Failure to meet the requirement(s) for layaway plans</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-24</u>	<u>Failure to meet requirement(s) for credit card limitations</u>	<u>\$260*</u>	<u>\$350*</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-32</u>	<u>Failure to meet the requirement(s) for documentation of transactions</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-36</u>	<u>Failure to meet the requirement(s) for sale of used items</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>

<u>6 RCNY § 5-37</u>	<u>Failure to comply with disclosure of refund policy requirements</u>	<u>\$260*</u>	<u>\$350*</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-39</u>	<u>Failure to meet the requirements for cancellation of home appointment</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-40</u>	<u>Improper limit or disclaimer of liability for negligence</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-40(e)</u>	<u>Improper posting of sign that business is not liable for negligence</u>	<u>\$260*</u>	<u>\$350*</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-46</u>	<u>Failure to meet the requirement(s) for a car rental business</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-46(d)</u>	<u>Failure to post notice of consumer protection law</u>	<u>\$260*</u>	<u>\$350*</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-47</u>	<u>Failure to meet the requirement(s) for jewelry sellers and appraisers</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-51</u>	<u>Failure to meet the requirement(s) for retail sale of gasoline</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-54</u>	<u>Failure to meet the requirement(s) for repairs of consumer goods</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-55</u>	<u>Failure to meet the requirement(s) for meat and poultry advertising</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-56</u>	<u>Failure to meet the requirement(s) for window gates</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-57</u>	<u>Failure to meet the requirement(s) for utility bill payments</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-58</u>	<u>Improper offer of sale of food in damaged containers</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-59</u>	<u>Improper imposition of restaurant surcharges</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>

<u>6 RCNY § 5-60</u>	<u>Failure to meet the requirement(s) for franchises</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-61</u>	<u>Failure to meet the requirement(s) for public performance seats</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-63</u>	<u>Failure to meet the requirement(s) for catering contracts</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-68</u>	<u>Failure to meet the requirements for dealers at flea markets</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-69</u>	<u>Failure to meet the requirements of blood pressure reading services</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-70</u>	<u>Failure to meet the requirements for retail service establishments</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-73</u>	<u>Failure to meet the requirement(s) for the sale of box cutters</u>	<u>\$350</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-75</u>	<u>Failure to post the Buyer's Guide when selling or offering to sell any used automobile</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>

## **§ 6-48. Truth in Pricing Law**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
Admin Code § 20-708	Failure to display total selling price by tag or sign	\$185	\$250	\$225	\$250	\$250	\$250
Admin Code § 20-708.1(b)	Improper item pricing	\$18 (for first 20 violations)	\$500	\$45 (for first 20 violations within a two-week period)	\$1,000 (within a two-week period)	\$50 (for first 20 violations within a two-week period)	\$1,000 (within a two-week period)
Admin Code § 20-708.1(d)	Improper scanner accuracy	\$260	\$350	\$500	\$500	\$500	\$500
Admin Code § 20-708.1(e)	Improper price accuracy	\$18 (for first 20 violations)	\$500	\$45 (for first 20 violations within a two-week period)	\$1,000 (within a two-week period)	\$50 (for first 20 violations within a two-week period)	\$1,000 (within a two-week period)
Admin Code § 20-709	Failure to display price per measure	\$185	\$250	\$225	\$250	\$250	\$250
6 RCNY § 5-113	Failure to meet the requirements of calculation	\$185	\$250	\$225	\$250	\$250	\$250

	<u>and display price per measure</u>						
<u>6 RCNY § 5-115</u>	<u>Failure to meet guidelines on multiple pricing</u>	<u>\$185</u>	<u>\$250</u>	<u>\$225</u>	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>



## **§ 6-49. Posting of Prescription Drug Prices**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-713</u>	<u>Improper display of prescription drug prices</u>	<u>\$375</u>	<u>\$500</u>	<u>\$675</u>	<u>\$750</u>	<u>\$750</u>	<u>\$750</u>
<u>6 RCNY § 5-121</u>	<u>Failure to meet the requirements for posting prescription drug prices</u>	<u>\$375</u>	<u>\$500</u>	<u>\$675</u>	<u>\$750</u>	<u>\$750</u>	<u>\$750</u>
<u>6 RCNY § 5-122</u>	<u>Failure to meet the requirements for displaying information relating to emergency contraception</u>	<u>\$375</u>	<u>\$500</u>	<u>\$675</u>	<u>\$750</u>	<u>\$750</u>	<u>\$750</u>

## **§ 6-50. Representations in Advertising Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-723.2</u>	<u>Failure to meet requirements for business promoting credit counseling services.</u>	<u>\$3,750</u>	<u>\$5,000</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>

## **§ 6-51. Availability for Sale of Advertised Merchandise Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-727</u>	<u>Improper rain check issuance disclosure</u>	<u>\$75*</u>	<u>\$100*</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>

## **§ 6-52. Information with Respect to Funeral Costs Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-731</u>	<u>Failure to comply with the casket and outer interment receptacle information requirements</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-732</u>	<u>Failure by provider of funeral services to furnish required statement</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-733</u>	<u>Engaging in prohibited practices</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-734</u>	<u>Failure to comply with merchandise and service selection requirements</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-736</u>	<u>Failure to provide price information over telephone</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 5-161</u>	<u>Improper casket and outer interment receptacle information</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 5-162</u>	<u>Failure by provider of funeral services to comply with general price list requirements</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>

<u>6 RCNY § 5-163</u>	<u>Improper display of merchandise</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 5-164</u>	<u>Failure to give required price information over telephone</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 5-165</u>	<u>Failure to comply with display of ownership information requirements</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 5-166</u>	<u>Failure to comply with sale of monuments requirements</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>

## **§ 6-53. Income Tax Preparers Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-740(a)</u>	<u>Failure to post identification and qualification statement</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$675</u>	<u>\$750</u>	<u>\$750</u>	<u>\$750</u>
<u>Admin Code § 20-740(b)</u>	<u>Failure to provide customer with receipt</u>	<u>\$375</u>	<u>\$500</u>	<u>\$675</u>	<u>\$750</u>	<u>\$750</u>	<u>\$750</u>
<u>Admin Code § 20-740.1</u>	<u>Improper consumer bill of rights</u>	<u>\$375</u>	<u>\$500</u>	<u>\$675</u>	<u>\$750</u>	<u>\$750</u>	<u>\$750</u>
<u>Admin Code § 20-741</u>	<u>Improper records</u>	<u>\$375</u>	<u>\$500</u>	<u>\$675</u>	<u>\$750</u>	<u>\$750</u>	<u>\$750</u>
<u>Admin Code § 20-741.1</u>	<u>Failure to comply with refund anticipation loan requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$675</u>	<u>\$750</u>	<u>\$750</u>	<u>\$750</u>

<u>6 RCNY § 5-66</u>	<u>Improper tax preparation practices</u>	<u>\$260</u>	<u>\$350</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-66(c)</u>	<u>Failure to post the required tax preparation signs</u>	<u>\$260*</u>	<u>\$350*</u>	<u>\$315</u>	<u>\$350</u>	<u>\$350</u>	<u>\$350</u>
<u>6 RCNY § 5-171</u>	<u>Failure to comply with sign location requirement</u>	<u>\$375</u>	<u>\$500</u>	<u>\$675</u>	<u>\$750</u>	<u>\$750</u>	<u>\$750</u>
<u>6 RCNY § 5-172</u>	<u>Improper sign form and content</u>	<u>\$375</u>	<u>\$500</u>	<u>\$675</u>	<u>\$750</u>	<u>\$750</u>	<u>\$750</u>

## **§ 6-54. Posting of Prices in Retail Service Establishments Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-750(a)</u>	<u>Improper display of service prices</u>	<u>\$185</u>	<u>\$250</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-750(b)</u>	<u>Improper regular price list display</u>	<u>\$185</u>	<u>\$250</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-750(c)</u>	<u>Disclosure of differing prices based on gender</u>	<u>\$185*</u>	<u>\$250*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-751</u>	<u>Failure to comply with base fee disclosure requirements</u>	<u>\$185</u>	<u>\$250</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>



## **§ 6-55. Motorized Scooters Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
Admin Code § 20-762(b)	Failure to comply with motor scooter requirements	\$1,000	\$1,000	\$2,000	\$2,000	\$2,000	\$2,000

## **§ 6-56. Immigration Assistance Service Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Title 20, Chapter 5 of the Administrative Code contains two subchapters numbered 14 that include § 20-770, § 20-771, § 20-772, § 20-773, and § 20-774.

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 20-771</u>	<u>Engaging in prohibited conduct</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>Admin Code § 20-772</u>	<u>Improper written agreement</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>Admin Code § 20-773</u>	<u>Failure to post sign or improper sign</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>Admin Code § 20-774</u>	<u>Improper advertisements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>Admin Code § 20-775</u>	<u>Improper document retention</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>Admin Code § 20-776</u>	<u>Failure to comply with surety requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>6 RCNY § 5-260</u>	<u>Failure to comply with location or availability of records requirement</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>

<u>6 RCNY § 5-261</u>	<u>Failure to comply with written agreement requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>6 RCNY § 5-262</u>	<u>Failure to complete form preparation declaration</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>6 RCNY § 5-263</u>	<u>Failure to comply with sign posting requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>6 RCNY § 5-264</u>	<u>Failure to comply with disclosure of surety requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>

## **§ 6-57. Tenant Screening Report Disclosure Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
Admin Code § 20-809	Failure to post sign or improper sign	\$375*	\$500*	\$450	\$500	\$500	\$500
6 RCNY § 5-265	Failure to comply with the requirements for posting signs about tenant screening reports	\$375	\$500	\$450	\$500	\$500	\$500

## **§ 6-58. Prohibition on the Sale of Expired Over-The-Counter-Medication Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
Admin Code § 20-822(a)	Sale of expired over-the-counter medication	\$250	\$250	\$500	\$500	\$500	\$500

## **§ 6-59. Employment Agency Penalty Schedule**

All citations are to Chapter 20, Article 11 of the New York General Business Law, Title 20 of the Administrative Code of the City of New York, or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Title 20, Chapter 5 of the Administrative Code contains two subchapters numbered 14 that include § 20-770, § 20-771, § 20-772, § 20-773, and § 20-774.

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>NY Gen Bus § 172</u>	<u>Unlicensed activity</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 175</u>	<u>Failure to notify the Department of changes in license or ownership of the agency</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 176</u>	<u>Improper assignment or transfer of license, change of location, or addition of a location</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 179</u>	<u>Failure to maintain adequate registers and other records</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

<u>NY Gen Bus § 181</u>	<u>Failure to maintain adequate contracts or receipts</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 182</u>	<u>Failure to provide identification card to nurse or maintain records of investigation and verification of nurses</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 184</u>	<u>Failure to comply with requirements pertaining to recruitment of domestic or household employees who are residents of other states</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 184-a</u>	<u>Failure to comply with requirements pertaining to recruitment of domestic or household employees from outside the continental United States</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 185</u>	<u>Charging or accepting an unlawful fee</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 185-a</u>	<u>Failure to comply with requirements pertaining to domestic dayworkers who are transported to the place of employment</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 186</u>	<u>Failure to comply with the requirements pertaining to the return of fees</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 187</u>	<u>Engaging in prohibited activities or conduct</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 188</u>	<u>Failure to post the required copies of law</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-771</u>	<u>Failure to provide a statement of employee rights and employer obligations under city, state and federal law</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-772</u>	<u>Failure to provide a statement of job conditions or maintain required records</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 5-241</u>	<u>Improper financial records</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 5-242</u>	<u>Failure to comply with corporate applicant requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

<u>6 RCNY § 5-243</u>	<u>Failure to comply with trade name and partnership certificate requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 5-245</u>	<u>Failure to comply with premises requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 5-246</u>	<u>Failure to comply with referral card requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 5-247</u>	<u>Improper recruitment of domestic or household employees</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 5-248</u>	<u>Engaging in prohibited practices</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 5-250</u>	<u>Failure to display sign in required form</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 5-251</u>	<u>Improper display of license</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 5-252</u>	<u>Failure to comply with the notice of hearing and subpoena duces tecum requirement</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 5-253</u>	<u>Failure to comply with change of address requirement</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 5-254</u>	<u>Failure to comply with judgment requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 5-255</u>	<u>Failure to respond to consumer complaints</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 5-257</u>	<u>Failure to comply with lost or mutilated license requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>



## **§ 6-60. Domestic Workers & Household Employees Penalty Schedule**

All citations are to Title 20 of the Administrative Code of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-771</u>	<u>Failure to meet the requirements for providing the statement of employee rights and employer obligations under city, state and federal law</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-772</u>	<u>Improper statement of job conditions or failure to keep records</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

## **§ 6-61. Public Safety Penalty Schedule**

All citations are to Title 10 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation shall mean a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

The parties shall be authorized to present evidence to mitigate the premise sealing period within the date range marked by two asterisks (\*\*).

<b><u>Citation</u></b>	<b><u>Violation Description</u></b>	<b><u>First Violation</u></b>	<b><u>First Default</u></b>	<b><u>Second Violation</u></b>	<b><u>Second Default</u></b>	<b><u>Third and Subsequent Violation</u></b>	<b><u>Third and Subsequent Default</u></b>
<u>Admin Code § 10-131(g)</u>	<u>Unlawful sale of a toy or imitation firearm</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$8,000</u>	<u>\$8,000</u>	<u>\$8,000 (plus 0 to 5 day sealing)**</u>	<u>\$8,000 (plus 5 day sealing)</u>
<u>NYC Admin Code § 10-160(b)</u>	<u>Failure to comply with required security measures at ATM facilities</u>	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>
<u>Admin Code § 10-134.2</u>	<u>Failure to comply with the requirements pertaining to the sale of laser pointers</u>	<u>\$300</u>	<u>\$300</u>	<u>\$500</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 4-10</u>	<u>Improper sign content regarding laser pointers</u>	<u>\$300</u>	<u>\$300</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

<u>6 RCNY § 4-11</u>	<u>Improper size of sign regarding laser pointers</u>	<u>\$300</u>	<u>\$300</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 4-12</u>	<u>Improper posting of sign regarding laser pointers</u>	<u>\$300</u>	<u>\$300</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 4-15</u>	<u>Improper number of signs regarding laser pointers</u>	<u>\$300</u>	<u>\$300</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 4-16</u>	<u>Sign not in required language</u>	<u>\$300</u>	<u>\$300</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 5-73</u>	<u>Failure to comply with requirements pertaining to the sale of box cutters</u>	<u>\$350</u>	<u>\$350</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

## **SUBCHAPTER C: PUBLIC HEARINGS**

### **§ 6-80. Authority**

Where required by statute, the Department shall provide for an open public hearing. An open public hearing may also be held at the discretion of the Commissioner for any lawful purpose.

### **§ 6-81. Notice**

- (a) Notice shall be provided in the manner prescribed by the statute authorizing the Department to hold the hearing.
- (b) Unless otherwise provided by law, notice shall be reasonable and afford all parties who are affected by the proceeding an opportunity to present their views.

### **§ 6-82. Location**

The hearing may be held at the Department's main office or at any other appropriate location. The precise location shall be specified in the notice of the public hearing.

### **§ 6-83. Procedures**

- (a) The hearing shall be presided over by any employee of the Department designated by the Commissioner to serve as the presiding officer.
- (b) The presiding officer shall have authority to determine the order in which testimony is presented and evidence received.

At the conclusion of the hearing, the presiding officer shall cause a transcript of the hearing to be made and prepare a written report containing a summary of the proposal and the evidence submitted.

§ 3. These rules take effect August 22, 2016.