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Implementing a programmir	ng language with a	dependent type	e system

Florianópolis 23 de novembro de 2022

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Implementing a programming language with a dependent type system

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Implementing a programming language with a dependent type system

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RESUMO

O objetivo principal desse projeto é implementar uma linguagem de programação com um sistema de *tipos dependentes*. O cálculo *lambda* é expandido com *tipos dependentes*, o que possibilita a escrita de programas que realizam computações e também permitem a prova da corretude de seu comportamento.

Palavras-chave: Tipos dependentes, sistema de tipos, cálculo lambda, linguagem de programação, teoria de tipos.

ABSTRACT

The main goal of this project is to design and implement a *dependently typed* programming language. This work consists in an extension of the lambda calculus with dependent types, which allows us to write programs that not only have the ability to perform computations, but whose correctness can also be proven.

Keywords: Dependent types, type system, lambda calculus, programming language, type theory.

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1 INTRODUCTION

Software development is a big area, which it is being progressively valued over time. However, due to the complexity of maintaining and developing large software systems, *bugs* are frequent. Where there is a discrepancy in what the developer expected to happen, with what was written in the software.

Because of this, we can see the importance of being able to guarantee that the software works as we expect, and it is also for this that the semantic analysis part of a language is used, where we can verify if the behavior expected by the developer reflects what was in fact written.

In the semantic analysis phase, the compiler checks if the code written by the developer is in accordance with the problem modeling. One of the most basic mechanisms for this is *types*, which we use to define which variables are of a given set of possible values.

With a simple type system (PIERCE, 2002), we can verify that a variable of type string cannot receive a value of type int.

With a more advanced type system, i.e. with dependent types (PIERCE, 2004) we can:

- specify business invariants that will be statically checked in the code, for example:
 - in a banking system, during a withdrawal operation, the amount withdrawn cannot be greater than the balance from a bank account¹:

```
-- given the account balance, an amount and a proof
-- that the withdrawn amount is less than the balance
-- perform the operation
withdraw_from :
        (account : Account) →
        (amount : Nat) →
        (amount <= account.balance) →
        Nat</pre>
```

in most programs, we can have a list of elements, and we can have a function that returns the first element of the list, but what happens if the list is empty?
 We can use dependent types to specify that the list cannot be empty²:

```
head:
-- given any type A
```

We use a Haskell-style pseudo-code notation to describe this code, explained in more details in Section 3.2 and in Section 2.3

² In this case, the first two parameters are being passed explicitly, which can be cumbersome to the developer's experience, that is why most dependently-typed languages have some *inference* mechanisms, which allows the compiler to infer some parameters being passed, like the element type and length of the vector

```
(A : Type) \rightarrow
     -- given any number
      (n : Nat) \rightarrow
     -- given a vector of type A, with length n+1
     -- (note that this means that even if n is 0,
     --- succ n, will be 1, and the vector will have
     -- at least one element, our language
     -- STATICALLY INVALIDATES all uses of head on an empty
         list )
     Vec A (succ n) \rightarrow
     -- return the first element of the vector
     Α
-- returns the length of the resulting vector as a type
append : (A : Type) \rightarrow (n : Nat) \rightarrow (m : Nat) \rightarrow Vec A m \rightarrow
   Vec A n \rightarrow Vec A (plus m n)
append = ... -- implementation is ommitted
```

• prove properties (and theorems) about the code, because we can encode logical propositions as types (NLAB AUTHORS, 2022e), and their respective proofs as code (evidence of that type) (NLAB AUTHORS, 2022d), e.g. prove that an operation inserting an element into an ordered list does not change the order of the list, or prove that a mathematical relation is associative:

```
-- proof that addition on Naturals is associative
-- given any three numbers(m, n, p), we can prove that:
-- (m + n) + p = m + (n + p)
plus_assoc : (m n p: Nat) → ((plus (plus m n) p) = (plus m ( plus n p)))
plus_assoc = -- ... proof is ommitted
```

1.1 EXISTING WORK

Famous examples of dependently-typed languages are *Agda* (MÖRTBERG; NORELL, 2022), *Idris* (TEAM, T. I. D., 2022), *Coq* (TEAM, T. C. D., 2022) and *Lean* (TEAM, T. L. D., 2022). Next, we illustrate the use of data types in one language.

1.1.1 Data types

One example of a Agda program is shown below:

```
data Nat : Set where zero : Nat
```

```
suc : Nat \rightarrow Nat \rightarrow Nat \rightarrow Nat zero + m = m suc n + m = suc (n + m)
```

We have defined a data type Nat that has two constructors, zero and suc of a Nat, which are used to represent the natural numbers. Addition is defined as a function that takes two Nat and returns a Nat, by recursively removing the suc from the first parameter until it reaches zero.

We will show how a vector and a function to get its first element can be defined in Agda:

```
data Vec (A : Set) : Nat \rightarrow Set where
[] : Vec A zero
_::_ : {n : Nat} \rightarrow A \rightarrow Vec A n \rightarrow Vec A (suc n)
head : {A : Set}{n : Nat} \rightarrow Vec A (suc n) \rightarrow A
head (x :: xs) = x
```

We have defined Vec, which is a *type constructor*, not a type by itself. We need to apply two arguments for it to be a type, the first one is a *type* representing the vector element type and the second one is a *natural number*, representing the vector length. We now have that Vec Nat 3 is a type, and that Vec Nat 2 is also a type, but they are both different from each other.

We have also defined two ways to build *instances* of the Vec A n type, the first one is the empty vector, which is represented by the [] constructor, it returns an instance of the type Vec A zero for any type A. And the second one is the _::_ constructor, which takes an *implicit parameter* n representing the length of the vector, an element of type A, a vector of type Vec A n, and returns a vector of type Vec A (suc n). An example of a vector is 5 :: [], which has type Vec Nat (suc zero).

The head function takes an implicit parameter A representing the inner type of the vector, another implicit parameter n representing the length of the vector, a vector of type Vec A (suc n) and returns an element of type A. Because the vector is statically guaranteed to not be empty, Agda can check that the only constructor that can generate an element of type Vec A (suc n), is the _::_ constructor, with that we can use it to get the first element of the vector.

1.2 THIS WORK

This work aims to design and implement a programming language with such dependent type system. It will provide a deeper understanding of how such languages work behind the scenes. As the focus is on the type system, we will present only the

type-checker of such language, that will be used to statically verify properties about a program.

2 THEORETICAL BASIS

In this chapter, we are going to provide some background in lambda calculus, inference rules and some type theories.

2.1 LAMBDA CALCULUS

When we do not have the tool of abstraction, calculations such as the following seem complex to follow:

```
(2*1) + (7*6*5*4*3*2*1) + (5*4*3*2*1)
```

That is why we can abstract the underlying common concepts and define a function to capture that common abstraction, as defined here:

```
factorial = \lambda n . if n == 0 then 1 else n * (factorial (n - 1))
```

Now we can use the previously defined function¹ to more concisely define the previously cumbersome calculation, as shown here:

```
(factorial 2) + (factorial 7) + (factorial 5)
```

Let's calculate the term factorial 2 step-by-step:

- In the first line, we are applying the function factorial to the argument 2 (This will be subsequently defined as application of lambda terms).
- In the second line, we have substituted the parameter n for 2 in the body of the function (This will be subsequently defined as substitution or beta-reduction).
- In the third line, we have evaluated the if expression, resulting in the false path.
- Afterwards, we continue doing similar steps until we reach the base case of recursion, the *true* path of the **if** expression.

```
(\lambda n \cdot if n == 0 \text{ then } 1 \text{ else } n * (factorial (n - 1))) 2
if 2 == 0 then 1 else 2 * (factorial (2 - 1))
2 * (factorial (2 - 1))
2 * (factorial 1)
2 * (1 * (factorial 0))
2 * (1 * 1)
```

Figure 1 – Step-by-step calculation of the factorial 2 term

¹ This concept will be later formalized as "abstraction"

We have captured an essential understanding of the calculation, and abstracted it into a concept that we can later reuse.

Lambda calculus captures the essential mechanisms of a programming language based on a few simple rules (abstraction, application). It was invented by Alonzo Church in the 1920s (PIERCE, 2002) and it is a *logical calculus* or *formal system*.

Definition 2.1.1 (Term). A *term* is an expression in a given formal language that represents a value or a computation.

The terms of the lambda calculus are generated by the following grammar:

```
t := x variable | \lambda x.t  abstraction | t t  application
```

Figure 2 – Lambda calculus grammar

A few example terms of the above grammar:

- x, a simple (unbounded) variable
- $\lambda x.x$, the identity function
- $\lambda x.\lambda y.x$, a function receiving argument x, that returns another function receiving argument y, that returns x
- $(\lambda x.x)$ y, applying y to the identity function

2.1.1 Evaluation rules

This calculus primary benefit is in its evaluation, or computation. For example, let's take the above mentioned term and evaluate it: $(\lambda x.x)$ y in one step evaluates to y, because we are applying the identity function to the variable y. But how does that work for all terms? How can we formalize it?

Definition 2.1.2 (Evaluation). $a \rightarrow b$, a term a can evaluate to term b

For that we need inference rules. Inference rules are syntactic transformation rules, i.e. they only need to check the form of the term and with that form they transform the original term to something else. We denote the inference rules by having the premises² above the bar and the conclusions/consequent below it, like the following inference rule symbolizing the property that adding zero to a number does not change the number:

When we do not need any pre-conditions we simply write nothing above the bar

$$\frac{a\in\mathbb{N}}{a+0\to a}(\mathrm{Add\text{-}Zero})$$

Definition 2.1.3 (Value). For a term to be a value, it must have the form of a function, i.e. only terms that are an abstraction $(\lambda x.t)$ are considered to be values. For ease of notation, we will use t to denote any term, and v (and its derivatives) to denote values e.g. v_1 .

Definition 2.1.4 (Substitution). The process of substituting a variable x with another term y in a given term t is denoted by $[x \mapsto y]t$

We will be denoting the evaluation of a lambda calculus terms using a system of inference rules written below:

$$\frac{\mathtt{t_1} \to \mathtt{t_1'}}{\mathtt{t_1} \ \mathtt{t_2} \to \mathtt{t_1'} \ \mathtt{t_2}} (\text{E-App1})$$

Given a term t_1 t_2 (applying the argument t_2 to the function t_1), if the term t_1 can evaluate to another term t'_1 , we can rewrite the original term to t'_1 t_2 .

$$\frac{\mathtt{t_2} \to \mathtt{t_2'}}{\mathtt{v_1} \ \mathtt{t_2} \to \mathtt{v_1} \ \mathtt{t_2'}} (\text{E-App2})$$

Given a term v_1 t_2 (applying the argument t_2 to a term which is a value v_1), if the term t_2 can evaluate to another term t_2' , we can rewrite the original term to v_1 t_2' .

$$\frac{}{\lambda x.t_{12} \ v_2 \rightarrow [x \mapsto v_2]t_{12}} (\text{E-AppAbs})$$

Whenever we have an application and the argument is a value, we can rewrite the original term to the body of the function, while replacing the parameter(\mathbf{x}) to the argument($\mathbf{v_2}$).^{3,4}

Definition 2.1.5 (Normal form). When we have no more evaluation steps to perform, i.e. none of the above rules can be applied, and the resulting term is a *value* we say that the term is in *normal form*. For example the term $\lambda x.x$ is in normal form.

At first it does not seem that this simple system can compute the same terms that an ordinary programming language can, but it turns out we can encode numbers, data structures (lists, sets, etc.), if expressions (PIERCE, 2002). It was proved that this model is equivalent to a Turing Machine (CHURCH, 1941).

³ This rule is also called a beta reduction (PIERCE, 2002).

⁴ Note that we do not have any preconditions for this rule, whenever we *syntactically* have an application whose argument is a value, we can reduce it.

2.2 SIMPLY-TYPED LAMBDA CALCULUS

Up to now, we have only had the notion of evaluation of terms, we are able to define computation steps and an engine can run those for us. But without much insight into what "types" of terms we are writing we can easily make the underlying evaluation engine try to compute a program that never halts (PIERCE, 2002), or if we add to the lambda calculus' rules operations that can only be applied to numbers and feed them with booleans that can also make the engine stuck, i.e. passing a program that cannot be computed.

Those problems can be solved if we somehow inspect the original program before evaluating it, and if desired properties can be derived from only the program specification, checking those properties against the code. One way to do it is by the concept of types (PIERCE, 2002).

Types allow us to define a set of possible values a term may have during runtime. When we talk about a variable having a type of Nat (Natural numbers set), it is telling that during runtime that variable can only possess the values 0, 1, 2, When we define a variable of type Bool, the only possible values in runtime are True, False.

We can also annotate various parts of our program that allows this checker (which we will call typechecker from now), to verify the correctness of our program.

2.2.1 Extension of the calculus

Consider this extended version of lambda calculus representing the typed extension of the lambda calculus, we will have a grammar for type construction (being represented by T, with some examples like Nat and $Nat \rightarrow Bool$), we will annotate function parameters with their types ($\lambda x:T.t$ where x is the function parameter, T is a type and t is a term), and we will have a typing context Γ to record previous typing associations, as well as some terms of type Nat (0, succ 0) and Bool (true, false):

Figure 3 – Extended lambda calculus grammar

With its evaluation rules as stated in Figure 4:

- Rules E App1, E App2, E AppAbs, were already defined in Subsection 2.1.1.
- Rule E IfTrue, whenever we *syntactically* find an if expression, whose condition is true, we can evaluate that expression to the first branch (*then*) of the if expression, i.e. t₂.
- Rule E IfFalse, whenever we syntactically find an if expression, whose condition
 is false, we can evaluate that expression to the second branch (else) of the if
 expression, i.e. t₃.
- Rule E Succ, whenever we syntactically find a succ expression on a term t, we
 have to check the condition that t evaluates to another term t', if that is the case
 we can evaluate the succ t expression to succ t'.

$$\begin{split} \frac{t_1 \to t_1'}{t_1 \ t_2 \to t_1' \ t_2} (\text{E-App1}) \\ \frac{t_2 \to t_2'}{v_1 \ t_2 \to v_1 \ t_2'} (\text{E-App2}) \\ \overline{\lambda x. t_{12} \ v_2 \to [x \mapsto v_2] t_{12}} (\text{E-AppAbs}) \\ \hline \\ \overline{if \ true \ then \ t_2 \ else \ t_3 \to t_2}} (\text{E-IfTrue}) \\ \overline{t \to t'} \\ \frac{t \to t'}{\text{succ } t \to \text{succ } t'} (\text{E-Succ}) \end{split}$$

Figure 4 – Extended lambda calculus evaluation rules

2.2.2 Typing rules

The typing relation for the *extended* part of the extended lambda calculus, written t: T is defined by inference rules assigning types to terms as stated in Figure 5:

- Rule T True, whenever we *syntactically* find a true term, we can assign it the type Bool.
- Rule T False, whenever we *syntactically* find a false term, we can assign it the type Bool.
- Rule T If, whenever we *syntactically* find an if expression, we need to check the premises that the condition is a Bool term, and the two branches are of the same type. If that is the case, we can assign the if expression the type of the two branches.

- Rule T-Zero, whenever we *syntactically* find a 0 term, we can assign it the type Nat.
- Rule T Succ, whenever we syntactically find a succ expression on a term t₁, we
 have to check the condition that t₁ has type Nat, if that is the case we can assign
 the succ t₁ expression the type Nat.

$$\frac{}{\text{true:Bool}}(\text{T-True})$$

$$\frac{}{\text{false:Bool}}(\text{T-False})$$

$$\frac{\texttt{t_1:Bool}}{\text{if } \texttt{t_1} \text{ then } \texttt{t_2} : \texttt{T} \qquad \texttt{t_3} : \texttt{T}}(\text{T-If})$$

$$\frac{}{0:\text{Nat}}(\text{T-Zero})$$

$$\frac{\texttt{t_1:Nat}}{\text{succ } \texttt{t_1:Nat}}(\text{T-Succ})$$

Figure 5 – Extended lambda calculus typing rules

Definition 2.2.1 (Well-typed term). A term that we can assign a type to is called a *well-typed* term. For example, the term if true then 0 else succ 0 is well-typed, because it has type Nat, by the following proof tree:

$$\frac{\text{true}: \texttt{Bool} \qquad 0: \texttt{Nat} \qquad \frac{\texttt{0}: \texttt{Nat}}{\texttt{succ 0}: \texttt{Nat}} (\text{T-Succ})}{\texttt{if true then 0 else succ 0}: \texttt{Nat}} (\text{T-If})$$

Terms that are not well-typed, i.e. cannot be assigned a type given the rules above, for example the term succ true will not evaluate to a value⁵.

We have shown above how to check the types of the *extended* part of the extended lambda calculus (e.g. for true, 0, succ, etc.), but we have not shown for the *core* part of the lambda calculus (e.g. for $\lambda x.t$, t_1 t_2 , etc.). For that we need the concept of a typing context, which will change the typing rules to include a context to aid in this process.

Definition 2.2.2 (Context). A typing context, denoted by Γ , is a sequence of variables and their associated types. The empty context is written as \emptyset . We can extend an existing context Γ by adding a new variable with its associated type to it: Γ , \mathbf{x} : \mathbf{T} .

⁵ We call those terms stuck, in a more formal definition, they are terms in normal form(no more evaluation rules apply) who are not values

We will assume that each of the variables in this list are distinct from each other, so that there will always be at most one assumption about any variable's type.

The existing typing rule will change from a two-place relation x:T to a three-place relation $\Gamma \vdash x:T$, meaning that x has type T under the context Γ , which will provide assumptions to the types of the free variables⁷ in x.

The typing rules for the *core* part of the lambda calculus, now written as $\Gamma \vdash t : T$ are defined in Figure 6:

- Rule T Var, to check if a variable x has type T in the context Γ , we check if x : T is in Γ .
- Rule T-Abs, a lambda expression $\lambda x: T_1.t_2$ has type $T_1 \to T_2$ in context Γ , if when we extend the context Γ to include the variable x with type T_1 , we can check if t_2 has type T_2 in the extended context.
- Rule T-App, a function application t_1 t_2 has type T_{12} in context Γ , if we can check that t_1 has type $T_{11} \to T_{12}$ in the context Γ , and if we can check that t_2 has type T_{11} in the context Γ .

$$\begin{split} \frac{\textbf{x}: \textbf{T} \in \Gamma}{\Gamma \vdash \textbf{x}: \textbf{T}} (\textbf{T-Var}) \\ \frac{\Gamma, \textbf{x}: \textbf{T}_1 \vdash \textbf{t}_2: \textbf{T}_2}{\Gamma \vdash \lambda \textbf{x}: \textbf{T}_1. \ \textbf{t}_2: \textbf{T}_1 \rightarrow \textbf{T}_2} (\textbf{T-Abs}) \\ \frac{\Gamma \vdash \textbf{t}_1: \textbf{T}_{11} \rightarrow \textbf{T}_{12} \quad \Gamma \vdash \textbf{t}_2: \textbf{T}_{11}}{\Gamma \vdash \textbf{t}_1 \ \textbf{t}_2: \textbf{T}_{12}} (\textbf{T-App}) \end{split}$$

Figure 6 – Core lambda calculus typing rules

With that we can now typecheck that the following program is valid:

$$(\lambda x : Nat.succ x) (succ 0)$$

Figure 7 – Well-typed program

$$\frac{\frac{\texttt{x} : \texttt{Nat} \in \Gamma}{\texttt{x} : \texttt{Nat}}(\text{T-Var})}{\frac{\texttt{r}, \texttt{x} : \texttt{Nat} \vdash \overline{\texttt{succ} \ \texttt{x} : \texttt{Nat}}(\text{T-Succ})}{\texttt{r} \vdash (\lambda \texttt{x} : \texttt{Nat.succ} \ \texttt{x}) : \texttt{Nat} \to \texttt{Nat}}(\text{T-Abs})} \qquad \frac{\texttt{0} : \texttt{Nat}}{(\texttt{succ} \ \texttt{0}) : \texttt{Nat}}(\text{T-Succ})}{(\lambda \texttt{x} : \texttt{Nat.succ} \ \texttt{x}) \ (\texttt{succ} \ \texttt{0}) : \texttt{Nat}}(\text{T-App})}$$

Figure 8 – Well-typed program typing proof tree

⁷ Variables that are not bound to any lambda binder

And we cannot build a similar proof tree for the following program, because it is invalid:

$$(\lambda x : Nat.succ x) true$$

Figure 9 – Not well-typed program

2.3 DEPENDENTLY-TYPED LAMBDA CALCULUS

The simply-typed system provides us some basic tools to define types, but we need to be able to define types for more complex terms.

Dependent types allow types to depend on the terms themselves, i.e. we do not have this stark distinction of types and terms. That allows us greater freedom in specifying types, which are a foundation on which our typechecker can verify the correctness of our code (PIERCE, 2004).

Like many dependently-typed languages, we will show the typing rules (Figure 11) and a grammar (Figure 10) with the same *syntax* for the terms and types, however for clarity we will be using lowercase letters for terms and uppercase letters for their types.

Figure 10 – Dependently-typed lambda calculus grammar

$$\begin{split} \frac{x:T\in\Gamma}{\Gamma\vdash x:T}(\text{T-Var}) \\ \frac{\Gamma,x:T_1\vdash y:T_2 \qquad \Gamma\vdash T_1:\mathbf{Type}}{\Gamma\vdash \lambda x.y:(x:T_1)\to T_2}(\text{T-Lambda}) \\ \frac{\Gamma\vdash t_1:(x:T_{11})\to T_{12} \qquad \Gamma\vdash t_2:T_{11}}{\Gamma\vdash t_1:t_2:[x\mapsto t_2]T_{12}}(\text{T-App}) \\ \frac{\Gamma\vdash T_1:\mathbf{Type}\qquad \Gamma,x:T_1\vdash T_2:\mathbf{Type}}{\Gamma\vdash (x:T_1)\to T_2:\mathbf{Type}}(\text{T-Pi}) \\ \frac{\Gamma\vdash \mathbf{Type}:\mathbf{Type}}{\Gamma\vdash Type}(\text{T-Type}) \end{split}$$

Figure 11 – Dependently-typed lambda calculus typing rules

The typing rules T-Var, T-Lambda and T-App are very similar to those in the simply-typed lambda calculus, but the main difference is that the function type, has now a binder variable for the argument. Where before we only had that the type of a function is $T_1 \to T_2$, now we have that the type of a function⁸ is $(x:T_1) \to T_2$. That means that the function's return type expression T_2 can depend on the function's argument x, e.g. the type of a function that inserts a Bool in a length-indexed vector of Bools can be $(n:Nat) \to Bool \to Vec Bool (n+1)$. The other rules are explained as follows:

- Rule T Pi, a Pi type $(x : T_1) \to T_2$ has type \mathbf{Type} in context Γ , only if T_1 also has type \mathbf{Type} in context Γ , and if T_2 has type \mathbf{Type} in the extended context Γ , $x : T_1$.
- Rule T Type, the term Type also has type Type.

We will add two extensions to our grammar to aid in creating programs:

$$\begin{array}{cccc} \texttt{t}, \texttt{T} ::= ... \\ & | \texttt{t} : \texttt{T} & \text{type annotation} \\ & | \texttt{name} = \texttt{t} & \text{assigning a name to a term} \end{array}$$

Figure 12 – Dependently-typed lambda calculus syntax sugar

Which respectively mean:

- An expression can be annotated with a type, e.g. x : Nat, and that will trigger the typechecker to check that $\Gamma \vdash x : Nat$ given the underlying context.
- A name can be assigned to a term, e.g. $id = \lambda x.x$.

With that we can write this polymorphic identity function program annotated with its type and its associated typing proof tree:

$$\mathtt{id}: (\mathtt{x}: \mathbf{Type}) o (\mathtt{y}: \mathtt{x}) o \mathtt{x}$$
 $\mathtt{id} = \lambda \mathtt{x}. \lambda \mathtt{y}. \mathtt{y}$

We can derive a proof tree proving that the type of id is in fact what was annotated:

$$\frac{x: \mathbf{Type} \in \Gamma}{x: \mathbf{Type}} \vdash \frac{\Gamma, y: x \vdash y: x}{\lambda y. y: (y: x) \to x} \underbrace{\frac{x: \mathbf{Type}}{r \vdash \mathbf{Type}} (T\text{-Lambda})}_{(T \vdash \lambda x. \lambda y. y: (x: \mathbf{Type}) \to (y: x) \to x} \underbrace{\frac{r}{r} \vdash \mathbf{Type} : \mathbf{Type}}_{(T \vdash \lambda x. \lambda y. y: (x: \mathbf{Type}) \to (y: x) \to x} (T\text{-Lambda})}_{(T \vdash \lambda x. \lambda y. y: (x: \mathbf{Type}) \to (y: x) \to x}$$

The goal of this project is to derive the typing proof tree automatically.

⁸ Formally called a *Pi* type

2.3.1 Definitional Type Equality

2.3.1.1 Motivation

h = head Bool 0 v'

In languages with dependent types, it is often necessary to equate types that are not merely alpha-equivalent⁹. This is because more expressions need to type check in these languages. For example, a type of length-indexed vector might be $Vec\ A\ n$, where A is the type of the vector's elements, and n is the length of the vector. We could have a safe head operation that would allow us to access the first element of the vector, as long as it is not empty and a append operation that would allow us to append elements to the vector.

Because the type of v' is $Vec\ Bool\ (succ\ 0)$ matches exactly what the head function expected:

```
head : (A : Type) \rightarrow (n : Nat) \rightarrow Vec A (succ n) \rightarrow A head Bool : (n : Nat) \rightarrow Vec Bool (succ n) \rightarrow Bool head Bool 0 : Vec Bool (succ 0) \rightarrow Bool head Bool 0 v' : Bool
```

However the application of head Bool 1 (append Bool 1 1 v' v'), would not typecheck, observe why:

```
v' : Vec Bool (succ 0) append : (A : Type) \rightarrow (n : Nat) \rightarrow (m : Nat) \rightarrow Vec A m \rightarrow Vec A n \rightarrow Vec A (plus m n)
```

⁹ alpha-equivalence is the property of two terms being equal are equivalent for all purposes if their only difference is the renaming of bound variables, e.g. $\lambda x.x$ is alpha-equivalent to $\lambda y.y$ (NLAB AUTHORS, 2022a)

```
append Bool 1 1 : Vec Bool 1 → Vec Bool 1 → Vec Bool (plus 1 1)
append Bool 1 1 v' v' : Vec Bool (plus 1 1)

head : (A : Type) → (n : Nat) → Vec A (succ n) → A
head Bool : (n : Nat) → Vec Bool (succ n) → Bool
head Bool 1 : Vec Bool (succ 1) → Bool

-- the term:
-- head Bool 1 (append Bool 1 1 v' v')
-- would not type check because "head Bool 1" is a function that expects:
-- (Vec Bool (succ 1))
-- but we have:
-- (Vec Bool (plus 1 1))
```

It seems alpha-equivalence is not enough to type check this program, we need to be able to equate Vec Bool (succ 1) and Vec Bool (plus 1 1). And that seems to require some number of steps of computation to be able to do so. Definitional type equality is the tool we need to also equate those types of terms.

Definition 2.3.1 (Judgement). A judgement is a proposition that is made on a given term. The previously defined *typing rule* is a form of judgement.(NLAB AUTHORS, 2022c)

Definition 2.3.2 (Definitional equality). Definitional equality is a judgement of the form: $\Gamma \vdash A = B$. Classically, definitional equality is called intensional equality¹⁰. However in this thesis we will define it to mean both intensional **and** computational equality¹¹ (NLAB AUTHORS, 2022b).

2.3.1.2 Inference rules

This judgement is defined by the properties stated in Figure 13. Rule E-Beta ensures that beta-equivalence is contained in this judgement, because terms that evaluate to each other should be equal. Rules E-Refl, E-Sym, and E-Trans allows this judgement to be considered an equivalence relation (WEIRICH, 2022).

Intensional equality is the relation generated by abbreviatory definitions, changes of bound variables and the principle of substituting equals for equals (NLAB AUTHORS, 2022b)

Computational equality is the relation generated by various reduction rules, e.g. beta reduction (NLAB AUTHORS, 2022b)

Figure 13 - Inference rules for the definitional type equality judgement

With those rules we can now successfully type-check the program, because ${\tt plus}\ {\tt 1}\ {\tt 1}$ will evaluate to ${\tt succ}\ {\tt 1}.$

3 IMPLEMENTATION

The rules presented on section 2.3 were developed to allow typing proof trees to be built, however they were not developed having in mind how these proof trees can be built, i.e. these rules are not syntax-directed, we can not devise a decidable algorithm based on these rules alone. The reason for that is because rule T-Lambda is not syntax-directed, it is not clear what is the type of the argument of the function (we extend the context with it, because it is necessary to typecheck the body of the function). Because of that we need to revise the existing rules and transform them into syntax-directed rules.

3.1 BIDIRECTIONAL TYPE SYSTEM

One way to do that is to define a bidirectional type system, which is based on two types of judgements:

• Type inference, denoted as $\Gamma \vdash x \Rightarrow T$ which given a term x and a context Γ , will infer(return) the type of that term.

inferType :: Context
$$\rightarrow$$
 Term \rightarrow Maybe Type

• Type checking, denoted as $\Gamma \vdash \mathbf{x} \Leftarrow T$ which given a term \mathbf{x} , a context Γ and a type T, will check that the term is of the given type.

checkType :: Context
$$\rightarrow$$
 Term \rightarrow Type \rightarrow Bool

We now can develop inference rules that represent the algorithmically-feasible version of the 2.3 rules. Both types of judgements will be used, the type inference rules can use the type checking rules, and vice versa.

$$\frac{x : A \in \Gamma}{\Gamma \vdash x \Rightarrow A}(I\text{-Var})$$

$$\frac{\Gamma \vdash a \Rightarrow (x : A) \to B \qquad \Gamma \vdash b \Leftarrow A}{\Gamma \vdash a \Rightarrow [x \mapsto b]B}(I\text{-App-Simple})$$

$$\frac{\Gamma \vdash A \Leftarrow \mathbf{Type} \qquad \Gamma, x : A \vdash B \Leftarrow \mathbf{Type}}{\Gamma \vdash (x : A) \to B \Rightarrow \mathbf{Type}}(I\text{-Pi})$$

$$\frac{\Gamma \vdash \mathbf{Type} \Rightarrow \mathbf{Type}}{\Gamma \vdash (a : A) \Rightarrow A}(I\text{-Annot})$$

Figure 14 – Bidirectional type-inference rules

$$\begin{split} \frac{\Gamma, x : A \vdash a \Leftarrow B & \Gamma \vdash A \Leftarrow \mathbf{Type}}{\Gamma \vdash \lambda x. a \Leftarrow (x : A) \to B} (\text{C-Lambda}) \\ & \frac{\Gamma \vdash a \Rightarrow A}{\Gamma \vdash a \Leftarrow A} (\text{C-Infer-Simple}) \end{split}$$

Figure 15 – Bidirectional type-checking rules

3.2 MODULES

We will also introduce the concept of modules and top-level declarations to aid in the development of programs. Where a module consists of a list of declarations that are defined once and used throughout the module:

```
Decl ::= t : T type-signature
 | t = t definition
```

Figure 16 – Module grammar

Here are some examples:

```
-- a type signature, linking "id" with that type id : (a : Type) \to a \to a -- a definition, linking "id" with that term/value id = \lambda a. \lambda x. x
```

3.2.1 Type-checking

To type-check a module we use the **checkType** function when we have an associated type-signature for a given name, and we use the **inferType** function when we do not have a type-signature for a given name. Also we put the existing declarations in the scope of all subsequent type-checks.

3.3 DATA TYPES

We will also introduce the concept of data types, to aid the programmer in having structured data in their program:

Figure 17 – Data type grammar

Definition 3.3.1 (Telescope). A telescope is a list of declarations, it is called like that because of the scoping behavior of this structure (WEIRICH, 2022). The scope of each variable merges with all of the subsequent ones, e.g.:

```
-- notice the scoping behavior of this structure
(A : Type) (n : Nat) (v : Vec A n)
-- here we are showing that the telescope can use both
-- a type annotation, and a definition, which symbolizes
-- a constraint being put upon any variable, in this
-- case, it requires n to be of value Zero
(n : Nat) [n = Zero]
```

Here we are showing an example, by first defining some data types, then using it as terms:

```
data Bool : Type where {
    False, -- False is a case from Bool, it is a data type
        constructor
    True -- as well as True
} -- Bool is a data definition with an empty telescope

data Nat : Type where {
    Zero,
        -- Succ is a data type constructor with
        -- a Telescope of one argument(another Nat number)
        Succ of (Nat)
}

data List (A : Type) : Type where {
    Nil,
```

```
-- Cons is a data type constructor with
     -- a Telescope of two arguments(element of A and a List of A)
     Cons of (A) (List A)
}
-- t is annotated with a type, constructed by applying a
-- list of arguments(Bool) to the type constructor(List)
t : List Bool
-- t is defined using a data type constructor(Cons) by applying
-- a list of arguments(True, Nil) to it
t = Cons True Nil
      Next, we are showing that the telescope can have a constraint on the previous
values, with a Vector data type:
data Vec (A: Type) (n: Nat): Type where {
 -- Nil is a data type constructor with
 -- a constraint that n(provided by the type) must be zero
 Nil of [n = Zero],
 -- Cons is a data type constructor with a Telescope of
 -- three arguments(Nat m, element of A, and a Vec of A with length
    m)
 -- and one constraint that the Vector built by Cons,
 -- must have length m+1, m being the underlying vector
 -- which this Cons was built upon
 Cons of (m : Nat) (A) (Vec A m) [n = Succ m]
}
-- t is annotated with a type, constructed by applying a
-- list of arguments(Bool) to the type constructor(List)
t: Vec Bool 2
-- t is defined using a data type constructor(Cons) by applying
-- a list of arguments(True, Nil) to it
t = Cons 1 True (Cons 0 False Nil)
3.3.0.1 Type-checking
```

We type-check type and data-type constructor applications according to the telescope and the arguments provided, e.g.:

```
-- compares the telescope (A : Type) (n : Nat)
-- with the arguments provided: Bool, 1
-- Bool is of type Type, and 1 is of type Nat
v : Vec Bool 1
```

```
-- compares the telescope (m : Nat) (A : Type) (v : Vec A m)
-- with the arguments provided: 0, True, Nil
-- 0 is of type Nat, True is of type A(Bool), and Nil is of type Vec
Bool 0
v = Cons 0 True Nil
```

3.3.1 Pattern-matching

```
\texttt{t}, \texttt{T} ::= \dots \\ | \textit{case} \; \texttt{t} \; \textit{of} \; \texttt{Case} * \quad \text{pattern matching of a term} \\ \\ \texttt{Case} ::= \texttt{Pattern} \; \rightarrow \; \texttt{t} \\ \\ \texttt{Pattern} ::= \texttt{DConName} \; \texttt{PatVar} * \\ \\ | \; \texttt{PatVar} \\ \\ \\ \texttt{PatVar} ::= \texttt{identifier} \\ \\ \end{aligned}
```

Figure 18 – Data type with pattern matching grammar

Here are some examples of pattern matching:

```
not : Bool \rightarrow Bool not = \lambda b. case b of { False \rightarrow True, True \rightarrow False } plus : Nat \rightarrow Nat \rightarrow Nat plus = \lambda a. \lambda b. case a of { Zero \rightarrow b, Succ a' \rightarrow Succ (plus a' b) }
```

3.3.1.1 Type-checking

To type-check pattern matching cases, we have to:

extend the typing context with the pattern variables, e.g. when we see Succ n' -> body,
 we should extend the typing context with n': Nat when we type-check the body:

```
n: Nat
case n of {
  -- we should know that n' has type Nat,
```

```
-- according to Nat's data definition Succ n' \rightarrow plus \ n' \ n', \dots
```

• all cases must conform to the same type, e.g.:

```
nat_to_bool : Nat → Bool
nat_to_bool = λn. case n of {
    -- the type of the body of this case is Bool,
    -- so the type of the whole case is Bool
    Zero → False,
    -- the same thing with this case
    Succ n' → True
}
-- invalid code would have been:
-- case n of { Zero → False, Succ n' → n' }
```

• check for exhaustiveness, i.e. we should check that there is no other possible case, otherwise, we should throw an error

```
n: Nat
-- this case is exhaustive, because it covers all possible cases
case n of {
  Zero → False,
  Succ n' → True
}
-- this case is not exhaustive, because it does not cover Zero
-- case n of {
  -- Succ n' → True
  -- }
```

• unify the scrutinee's type(term being matched) with the type of the pattern, e.g. if we are applying a case expression to a n which is a Nat, and in the Zero -> body case, we should replace the type of a from Nat to Zero, in this branch.

3.4 PROJECT'S CODE

The project was developed in Haskell using the Unbound library for variable substitution (beta reduction), and was heavily inspired by the pi-forall (WEIRICH, 2022) language.

3.4.1 Terms

The syntax of the terms, module and declarations are represented as a data type in *Haskell*:

```
module Syntax where
type TName = Unbound.Name Term -- Term names for our AST
type TCName = String -- type constructor names
type DCName = String -- data constructor names
-- because types and terms are the same in dependent typing,
-- we will alias them
type Type = Term
data Term
     = Type -- type of types
     | Var TName -- variables: x
     | Lam (Unbound.Bind TName Term) -- abstractions: \lambda x.a
     | App Term Term -- application: f x
     | Pi Type (Unbound.Bind TName Type) -- function types: (x : A) \rightarrow
В
     Ann Term Type -- "ascription" or "annotated terms": (a: A)
     -- Data-type related terms
     | DCon DCName [Term] -- Just True
     | TCon TCName [Term] -- Maybe Bool
     Case Term [Match] -- case analysis `case a of matches`
     -- Proof related terms
     | TyEq Type Type -- equality type: (plus 0 n) = n
     Refl -- equality evidence: refl is of type x = x
     | Subst Term Term
     deriving (Generic)
-- Modules
newtype Module = Module {declarations :: [Decl]}
     deriving (Show)
-- a "top-level definition" of a module
```

```
data Decl
     = TypeSig TName Type -- a : A
     | Def TName Term -- a = b
     | DataDef TCName Telescope [ConstructorDef] -- data Bool ...
     deriving (Generic, Unbound.Alpha, Unbound.Subst Term)
-- Data-types
-- a data constructor has a name and a telescope of arguments
data ConstructorDef = ConstructorDef DCName Telescope
     deriving (Show, Generic, Unbound.Alpha, Unbound.Subst Term)
newtype Telescope = Telescope [Decl]
     deriving (Show, Generic, Unbound.Alpha, Unbound.Subst Term)
-- represents a case alternative
newtype Match = Match (Unbound.Bind Pattern Term)
     deriving (Generic)
     deriving anyclass (Unbound.Alpha, Unbound.Subst Term)
data Pattern
     = PatCon DCName [Pattern]
     | PatVar TName
     deriving (Eq, Generic, Unbound.Alpha, Unbound.Subst Term)
3.4.2 Type-checking
3.4.2.1 Type-checking monad
      Instead of using a Maybe or Either type to represent the result of type checking,
we will use a monad to encapsulate the behaviour of:
```

- failing to type-check and returning an error message
- getting and setting the state of the typing context
- generating fresh variable names

```
import Control.Monad
import Control.Monad.Except (ExceptT)
import Control.Monad.Reader (ReaderT)
```

We now have some helper functions that can be used to implement the type-checking rules, e.g. given a name of a variable we can use **lookupTy** to get its type, if it fails to find that variable in the context, it will stop the type-checking process and issue an error.

3.4.2.2 Equality and weak-head normal form

The rules for propositional and definitional equality of terms are defined in the **equate** function, which given two terms will check if they are equal or not, if they are not it will throw an error.

```
equate :: Term → Term → TcMonad ()

-- two terms are equal, if they are alpha-equivalent

-- i.e., by just properly renaming the variables

-- they are the same term

equate t1 t2 | aeq t1 t2 = return ()

equate t1 t2 = do

nf1 ← whnf t1

nf2 ← whnf t2

case (nf1, nf2) of

(Lam bnd1, Lam bnd2) → do

-- get the body of each lambda

(_, t1, _, t2) ← unbind2Plus bnd1 bnd2

-- lambdas are equal, if their bodies are equal equate t1 t2

-- application is equal when:
```

```
-- - both functions are equal and
-- - both args are equal
(App f1 x1, App f2 x2) → do
   equate f1 f2
   equate x1 x2
-- ... rest of the terms ommitted for brevity ...
(_, _) → err ["Expected", show nf2, "but found", show nf1]
```

Definition 3.4.1 (Weak-head normal form). A term is said to be in weak-head normal form, when we apply weak-head normalization, which is when the leftmost, outermost reducible expression is always selected for beta-reduction, and the process is halted as soon as the term begins with something other than a lambda abstraction. (PIERCE, 2004)

An unformal idea of weak-head normalization, is that it is a subset of the normal beta-reduction(computation) rules, so that we compute just enough to observe the structure of the term, e.g. when I do not care about the result of factorial 100, but I do care about its structure, if it is a Nat or not.

As shown in the above code section, we also need a function to calculate the weak-head normal form of a given term, which is defined as follows in the **whnf** function:

```
whnf :: Term \rightarrow TcMonad Term
-- if we are whnf-inf a variable,
whnf (Var x) = do
 -- look up its definition in the context
 maybeTm \leftarrow lookupDefMaybe x
 case maybeTm of
   -- and whnf the definition
   (Just tm) \rightarrow whnf tm
   -- or if there is no definition in the context
   -- return the variable
   \_ \rightarrow pure (Var x)
-- if we are whnf-ing an application,
whnf (App \ a \ b) = do
 v \leftarrow whnf a
 -- check the type of the whnf'd function
 case v of
   -- if it is indeed a lambda abstraction
   (Lam bnd) \rightarrow do
     (x, a') \leftarrow unbind bnd
     -- substitute the argument for the bound variable
```

```
whnf (subst x b a')
   -- otherwise, return the application
   \_ \rightarrow return (App v b)
-- ... rest of the terms ommited for brevity ...
whnf tm = return tm
3.4.2.3 Type-checking rules
      Also the two judgements' signatures will change to:
-- given a term return its type(or an error message)
inferType :: Term → TcMonad Type
-- given a term and a type, check if the term is of the given type
-- if it is, return (), otherwise an error message
checkType :: Term \rightarrow Type \rightarrow TcMonad ()
      We will use a tcTerm function that will be used to centralize the two judgements.
If the second parameter is Nothing, it will enter into inference mode, otherwise it will
enter into type-checking mode.
inferType :: Term → TcMonad Type
inferType t = tcTerm t Nothing
checkType :: Term \rightarrow Type \rightarrow TcMonad ()
checkType tm ty = do
 -- Whenever we call checkType we should call it
 -- with a term that has already been reduced to
 -- normal form. This will allow rule c-lam to
 -- match against a literal function type.
 nf \leftarrow whnf ty
 ty' ← tcTerm tm (Just nf)
-- | Make sure that the term is a type (i.e. has type 'Type')
tcType :: Term \rightarrow TcMonad ()
tcType tm = void (checkType tm Type)
tcTerm :: Term \rightarrow Maybe Type \rightarrow TcMonad Type
-- Infer-mode
-- looks up the var type from context
tcTerm (Var x) Nothing = lookupTy x
-- when we reach an annotation, checks the type of the term
-- to be the annotated type
```

```
tcTerm (Ann tm ty) Nothing = do
 checkType tm ty
 return ty
tcTerm (Pi tyA bnd) Nothing = do
 (x, tyB) \leftarrow unbind bnd
 -- check that the argument type is indeed a type
 tcType tyA
 -- extend the context with x:A, then check
 -- that the body's return type is indeed a type
 extendCtx (TypeSig x tyA) (tcType tyB)
 return Type
tcTerm (App t1 t2) Nothing = do
 ty1 ← inferType t1
 let ensurePi :: Type → TcMonad (TName, Type, Type)
    ensurePi (Ann a _) = ensurePi a
    ensurePi (Pi tyA bnd) = do
      (x, tyB) \leftarrow unbind bnd
      return (x, tyA, tyB)
    ensurePi ty = err ["Expected a function type, but found ", show ty]
 nf1 \leftarrow whnf tv1
 -- checks if the "function term" is indeed a function and
 -- gets the bounded arg var, the argument and body's type
 (x, tyA, tyB) \leftarrow ensurePi nf1
 -- checks that the provided argument t2, has the same type as the
 -- function's argument type
 checkType t2 tyA
 -- returns the function's body type, with the argument substituted
 return (subst x t2 tyB)
-- ... rest of the terms ommited for brevity ...
tcTerm tm Nothing = err ["Must have a type annotation to check ", show tm]
-- Check-mode
tcTerm (Lam bnd) (Just ty (Pi tyA bnd')) = do
 -- verifies that the function's argument type is indeed a type
 tcType tyA
 -- unbinds the function's argument, body and body's return type
 (x, body, \_, tyB) \leftarrow Unbound.unbind2Plus bnd bnd'
 -- checks that the function's body has the same type as the
 -- function's body's return type(provided by the Pi type)
```

```
extendCtx (TypeSig x tyA) (checkType body tyB)
return ty

tcTerm (Lam _) (Just nf) = err ["Lambda expression should have a functi
-- ... rest of the terms ommited for brevity ...
-- if there is no specific case for *checking* the type of the term

tcTerm tm (Just ty) = do
    -- infer its type
    ty' ← inferType tm
    -- check if the inferred type is equal to the expected type
    ty `equate` ty'
    -- return the inferred type
    return ty'
```

3.5 EXAMPLES

The parsing code will be omitted for the sake of brevity. We are now going to show some examples of the code in this programming language. First of all we are going to define the Bool, Nat and Vec data types along with Nat's plus function:

```
data Bool : Type where {
 False,
 True
}
data Nat : Type where {
 Zero,
 Succ of (Nat)
}
plus : (a b : Nat) \rightarrow Nat
plus = \lambda a b. case a of {
 Zero \rightarrow b,
 Succ a' → Succ (plus a' b)
}
data Vec (A : Type) (n : Nat) : Type where {
 Nil of [n = 0],
 Cons of (m : Nat) (A) (Vec A m) [n = Succ m]
}
empty_bool_vec : Vec Bool 0
empty_bool_vec = Nil
```

```
bool_vec : Vec Bool 2
bool vec = Cons 1 False (Cons 0 True Nil)
```

Next, we are showing how to build a map function that works on vectors (note the type of the vectors having the parameterized length, on the map function type, showing dependent-types being used), we are building a vector and making a proof(static check) of its value:

```
map : (A B : Type) \rightarrow (n : Nat) \rightarrow (f : (A \rightarrow B)) \rightarrow Vec A n \rightarrow Vec B n map = \lambdaA B n f v. case v of { Nil \rightarrow Nil, Cons n' h t \rightarrow Cons n' (f h) (map A B n' f t) } nat_vec : Vec Nat 2 nat_vec = map Bool Nat 2 \lambda(b. case b of {False \rightarrow 10, True \rightarrow 20}) bool_vec -- proof that it is a vector like [10, 20] p_nat_vec : nat_vec = (Cons 1 10 (Cons 0 20 Nil)) p_nat_vec = refl
```

Another use of dependent-types can be shown with the **concat** function, showing the returned vector having the length of the sum of the input vectors:

```
concat : (A : Type) \rightarrow (m n: Nat) \rightarrow Vec A m \rightarrow Vec A n \rightarrow Vec A ( plus m n) concat = \lambdaA m n a b. case a of { Nil \rightarrow b, Cons m' h t \rightarrow Cons (plus m' n) h (concat A m' n t b) }
```

The aforementioned **head** function can also be written in our language, along with a proof showing that the returned element is indeed the first element of the vector, and a comment stating a impossible term(the **head** of an empty vector)):

```
head : (A : Type) \rightarrow (n : Nat) \rightarrow Vec A (Succ n) \rightarrow A head = \lambdaA n v. case v of { Cons _ h _ \rightarrow h } } p_head : (head Nat 1 nat_vec) = 10 p_head = refl
```

- -- the following lines do not type-check
- -- because when we pass 0 as argument
- -- the length of the expected vec argument is (Succ 0), which
- -- does not match with the length of the actual vector(which is 0)
- -- p_head_empty : (head Bool 0 empty_bool_vec) = False
- -- p_head_empty = refl

4 CONCLUSION

In this work we presented a dependently-typed programming language, with a type-checker and a parser, written in Haskell. The language is based on the lambda calculus, and has a simple syntax, with a type system that supports dependent types. The language is not intended to be used in production, but rather as a proof-of-concept of a dependently-typed programming language, and as a way to learn more about dependently-typed programming languages. We believe that the language is simple enough to be used as a starting point for someone interested in learning about dependently-typed programming languages. The language can be found at https://github.com/eduhenke/dep-tt.

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