# Algorithms for Speech and Natural Language Processing

# End-to-end speech recognition

Neil Zhegidour and Robin Algayres

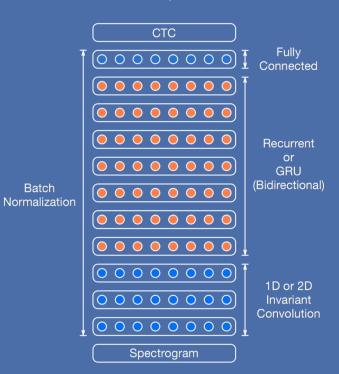


### CTC based ASR

- annotated speech: speech+unaligned transcription
- much simpler to set up than large vocabulary HMM
- much more parameters
- deepspeech SOTA in 2014

What then?

### Letter sequences





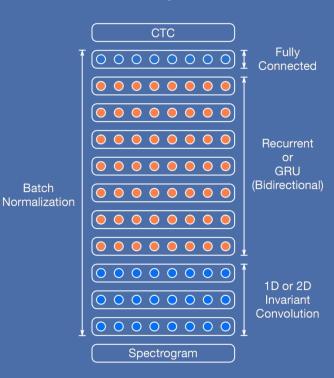
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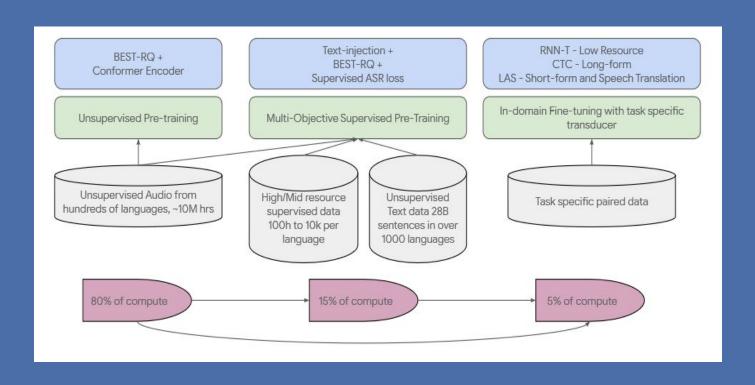
most speech online is not annotated, can we still use them?

### Letter sequences



Batch

# Sota ASR pipeline (Google USM 2023)



# Current ASR pipeline

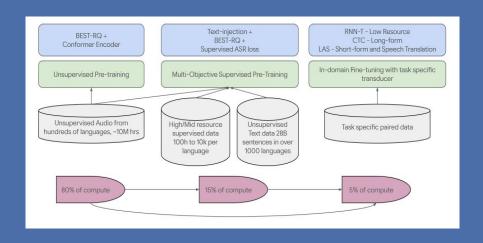
(figure from Google USM)

```
1- Semi-supervised learning:
self-training
pre-training
SUPERB benchmark
```

2- Losses for ASR and more:

CTC LAS RNN T

3- Dealing with long form



methods to leverage unannotated speech to improve ASR models

Semi-supervised learning:

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### Note:

- it works even without LM, magic tool of deep learning (see theoretical understanding [1])
- Looks like Expectation Maximisation

• example of heuristic: evaluate the confidence of the model by using the predicted probabilities (low entropy = highly confident, high entropy = low confidence)

	460 hours labelled	100 hours labelled	100 hours labelled + 360 hours unlabelled
WER (%)	4.23	8.06	5.79

# Pre-training

what if we do not have annotated speech?

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what if we do not have annotated speech?

semi-supervised learning using pre-training:

- 1- self-supervised learning to train a LM model on unannotated speech
- 2- continue training on the LM model with supervised learning with annotated speech

# Cosine similarity and L2 norm

How to measure the similarity between two vectors?

 $z_i$  and  $z_i$  two vectors in  $R^N$ 

Cosine similarity: normalised dot product:  $sim(z_i, z_j) = \frac{z_i \cdot z_j}{\|z_i\| \|z_j\|}$ 

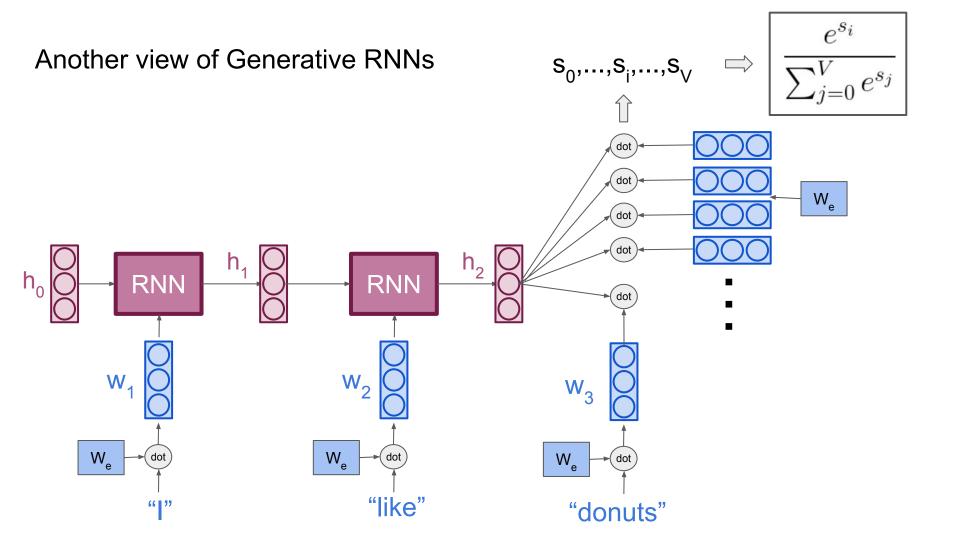
L2 norm: 
$$||z_i - z_j||_2^2 = (z_i - z_j)^T (z_i - z_j) = ||z_i||_2^2 + ||z_j||_2^2 - 2z_i \cdot z_j$$

L2 norm if vectors are normalised:  $||z_i - z_j||_2^2 = 2 - 2sim(z_i, z_j)$ 

The two metrics are equivalent for normalised vectors

# **Generative RNNs**

# max log(p(donuts|I like)) softmax $W_e^T$ dot RNN RNN $W_2$ $W_1$ $W_{\rm e}$ dot $W_{e}$ dot onehots "like" "donuts" word vectors



$$log(p('donuts'|'I\ like')) = log(\frac{e^{s_i}}{\sum_{j=0}^{V}e^{s_j}}) = s_i - log(\sum_{j=0}^{V}e^{s_j})$$

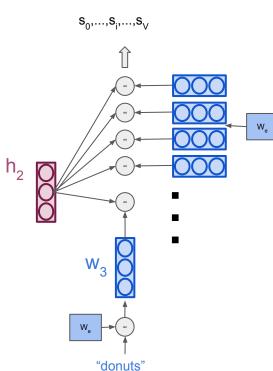
"donuts"

$$log(p('donuts'|'I\ like')) = log(\frac{e^{s_i}}{\sum_{j=0}^{V} e^{s_j}}) = s_i - log(\sum_{j=0}^{v} e^{s_j})$$

h<sub>2</sub> is trained to be similar to w<sub>3</sub> and farther from all other words w<sub>i</sub>

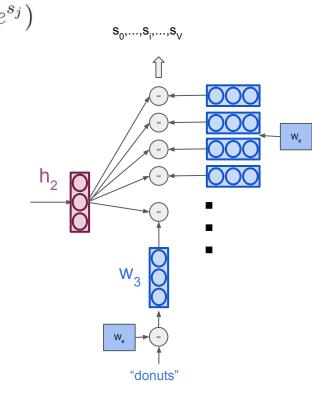
w<sub>3</sub> is the positive sample

all w<sub>i</sub> are the negative samples



$$log(p('donuts'|'I\ like')) = log(\frac{e^{s_i}}{\sum_{j=0}^{V} e^{s_j}}) = s_i - log(\sum_{j=0}^{V} e^{s_j})$$

Do we need negative samples?

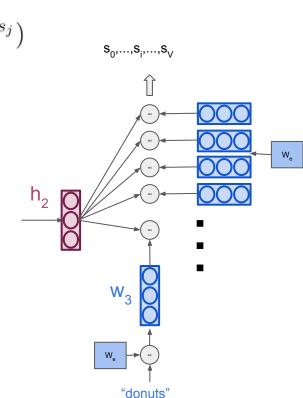


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Do we need negative samples?

yes, otherwise collapse on constant vector

Do we need all V negative samples?



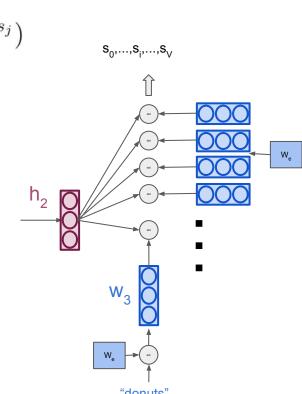
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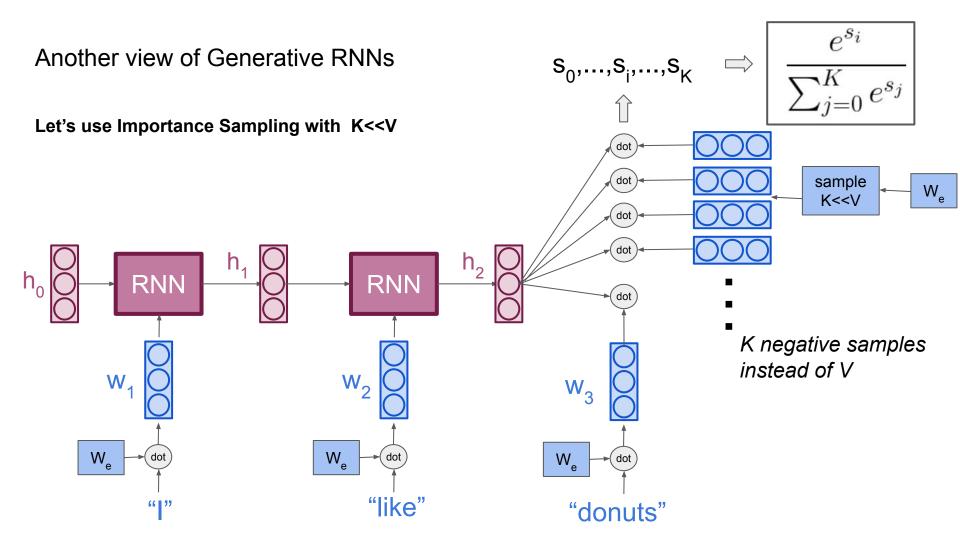
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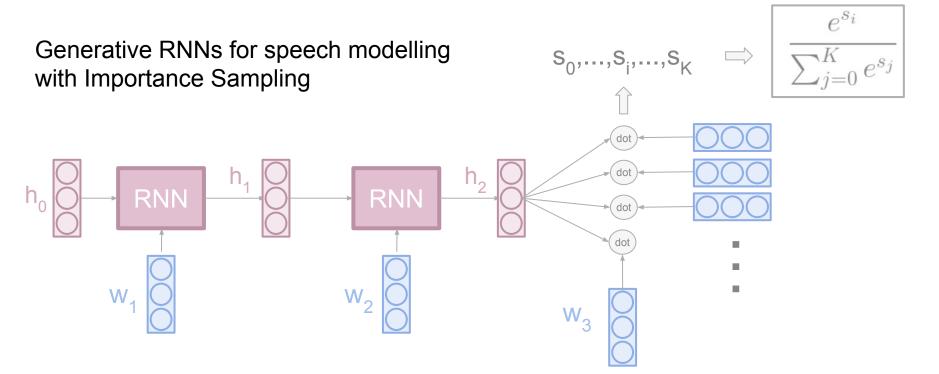
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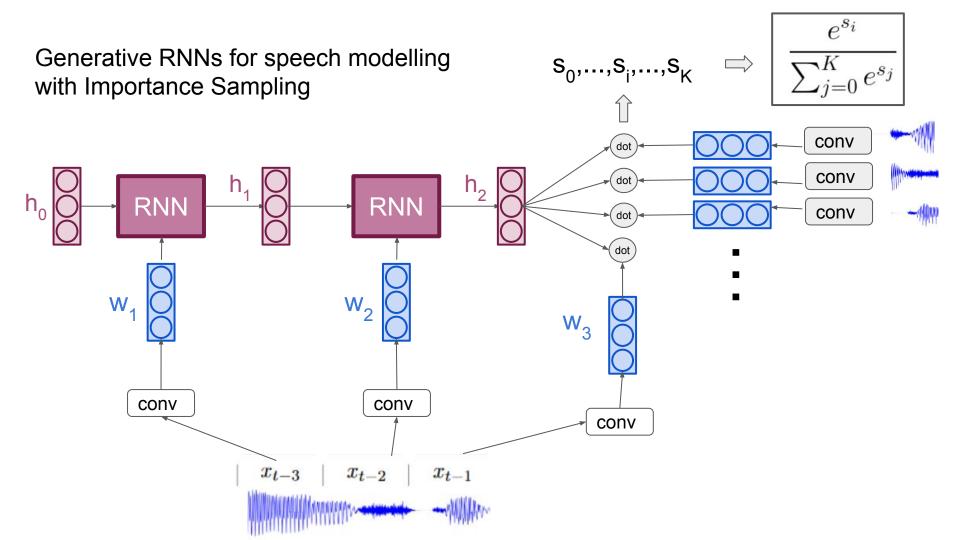
no, it works even with K<<V Bengio et al (2003): **Importance Sampling** 







How to use speech instead of text in this pipeline?



Contrastive loss: cross entropy on softmax and Importance sampling

**Problem 1**: w<sub>1</sub>, w<sub>2</sub>, w<sub>3</sub> are not independent random variables anymore speaker identity and recording conditions interfere with the contrastive objective!

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How to choose the negative samples to ensure semantic modelling? same speaker same utterance (not that much used in practice)

Contrastive loss: cross entropy on softmax and Importance sampling

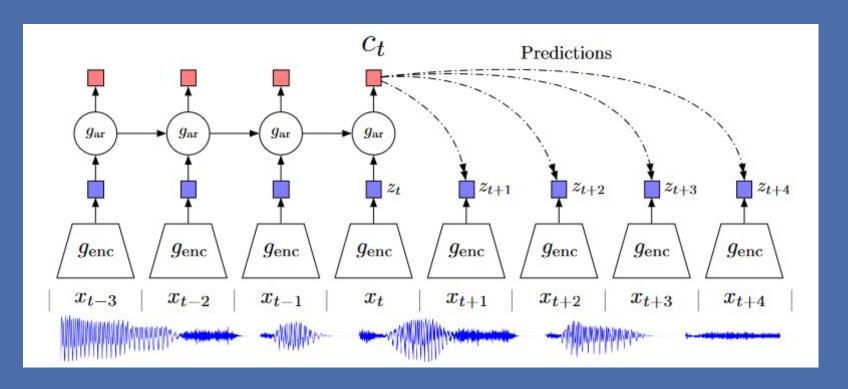
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How to choose the negative samples to ensure semantic modelling? same speaker same utterance (not that much used in practice)

**Problem 2**: Some negative samples could be positives not a problem, languages are rich enough

# **Contrastive Predicting Coding**

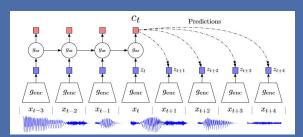
(e.g with CPC)



# **Contrastive Learning with generative objective**

(e.g with CPC)

Multiple prediction heads
For each head:
choose N negative same-speaker samples



# Contrastive Learning with generative objective

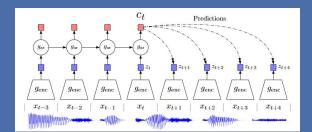
(e.g with CPC)

Multiple prediction heads For each head:

choose N negative same-speaker samples compute the NT-Xent Loss (contrastive loss)

$$\mathcal{L}^{\text{NT-Xent}} = -\frac{1}{N} \sum_{i,j \in \mathcal{MB}} \log \frac{\exp(sim(z_i,z_j)/\tau)}{\sum_{k=1}^{2N} \mathbb{1}_{[k \neq i]} \exp(sim(z_i,z_k)/\tau)}$$

$$sim(z_i, z_j) = \frac{z_i \cdot z_j}{\|z_i\| \|z_j\|}$$



# **Contrastive Learning with generative objective**

Predictions

(e.g with CPC)

Multiple prediction heads For each head:

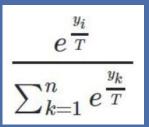
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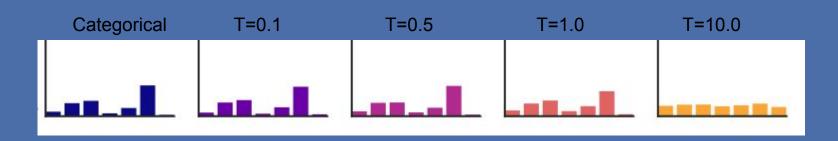
normalisation is not necessary, it's a safeguard, why?

# Softmax with Temperature



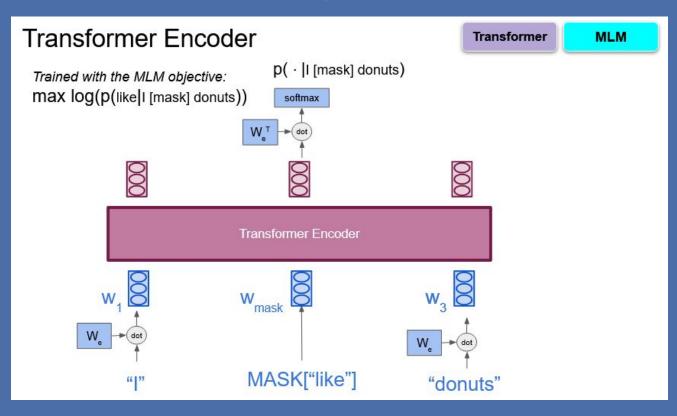
Softmax is a smooth version of the categorical distribution

If T=0, softmax is categorical If T=inf, softmax is uniform



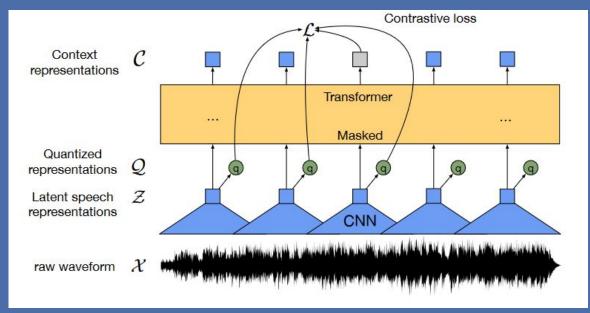
You can control the confidence of the model while training

# From SLP class 3: MLM objective for Transformers



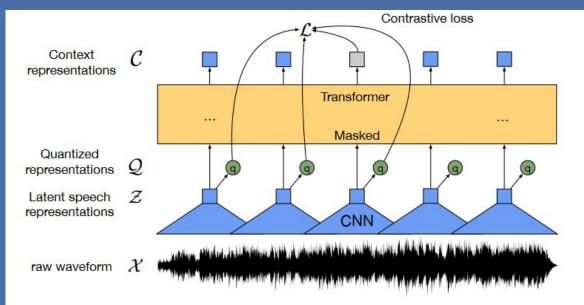
# Contrastive Learning with mask language modelling

(e.g with wav2vec2)



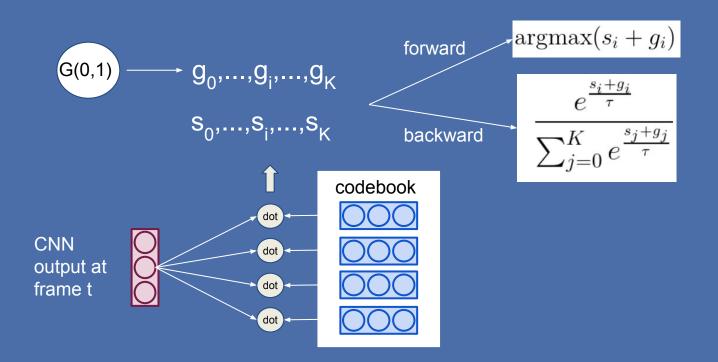
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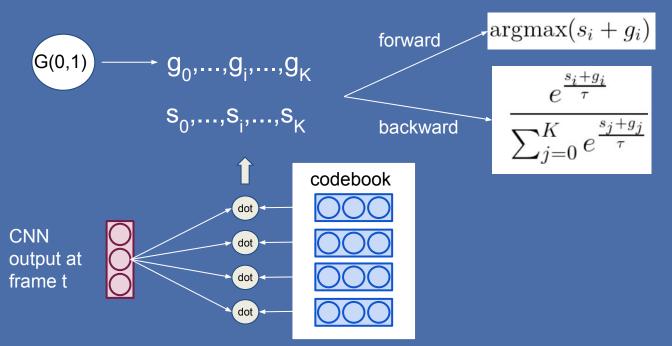


- masking is done with N(10,10)
- quantizer q: sample a class value for the output of CNN, classes are learned with Gumbel softmax
- q is not "necessary" but removes low level information that interfere with contrastive learning

Gumbel softmax: reparametrisation trick to sample from distribution and Straight Throught Estimator



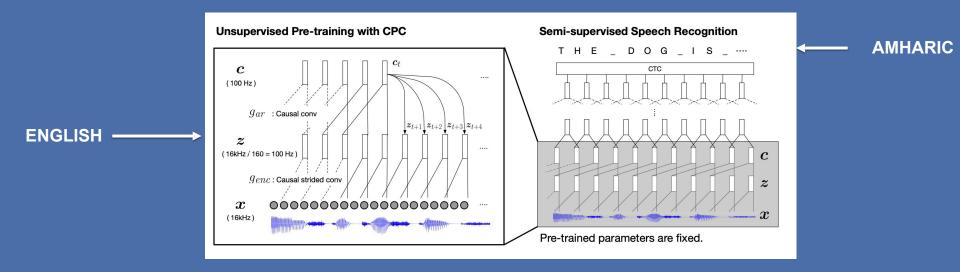
Gumbel softmax: reparametrisation trick to sample from distribution and Straight Throught Estimator



-reparametrisation trick: the sampling value is held constant during differentiation of the parameters -diminish the temperature along training (low temperature=using a softmax)

#### Semi-supervised learning for ASR

 After pre-training, add a small network train with CTC on a low-resource language with only few annotated speech



### Semi-supervised learning for ASR



• Word Error Rate (%) on CPC

MODEL	AMHARIC	FONGBE	SWAHILI	WOLOF
NO PRE-	78.85	65.34	77.18	69.93
TRAINING	70.03	03.31	77.10	07.73
CPC (8K HOURS	66.10	57.20	69.23	55.41
OF ENGLISH)	00.10	37.20	09.23	33.41

	Model	Unlabeled	IM	d	ev	te	st
	Model	data	LIVI	clean	other	clean	other
	10 min la	abeled					5.7440.075.00
	BASE	LS-960	None	46.1	51.5	46.9	50.9
Wav2Vec2	and a second second		4-gram	8.9	15.7	9.1	15.6
VVAVZVGGZ			Transf.	6.6	13.2		12.9
	LARGE	LS-960	None	43.0			45.3
			4-gram	8.6	12.9		13.1
			Transf.	6.6	10.6	6.8	10.8
finature the transformer stock (freeze convolutions)	LARGE	LV-60k	None	38.3	41.0	40.2	38.7
finetune the transformer stack (freeze convolutions)			4-gram	6.3	9.8	6.6	10.3
+ new linear layer			Transf.	4.6	7.9	4.8	8.2
	1h labele	ed					
	BASE	LS-960	None	24.1	29.6	24.5	29.7
			4-gram	5.0	Ican         other         clean           46.1         51.5         46.9           8.9         15.7         9.1           6.6         13.2         6.9           43.0         46.3         43.5           8.6         12.9         8.9           6.6         10.6         6.8           38.3         41.0         40.2           6.3         9.8         6.6           4.6         7.9         4.8           24.1         29.6         24.5           5.0         10.8         5.5           3.8         9.0         4.0           21.6         25.3         22.1           4.8         8.5         5.1           3.8         7.1         3.9           17.3         20.6         17.2           3.6         6.5         3.8           2.9         5.4         2.9           10.9         17.4         11.1           3.8         9.1         4.3           2.9         7.4         3.2           8.1         12.0         8.0           3.4         6.9         3.8           2.9         5.	11.3	
	10 min labeled BASE LS-960  LARGE LV-60k  1h labeled BASE LS-960  LARGE LS-960  LARGE LV-60k  10h labeled BASE LS-960  LARGE LV-60k  10h labeled BASE LS-960  LARGE LS-960  LARGE LS-960  LARGE LS-960	Transf.	3.8	9.0	4.0	9.3	
	LARGE	LS-960	Clean off   Clea	25.3	22.1	25.3	
			4-gram	4.8	8.5	5.1	9.4
			Transf.	3.8	7.1	3.9	7.6
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	10h labe	led					
			None	10.9	17.4	11.1	17.6
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					7.4		7.8
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	Model	Unlabeled	LM	de	ev	te	st
	Model	data	LIVI	clean	other	clean	other
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the mare empetated encode the less IM are vected	LARGE	LV-60k	None	17.3	20.6	17.2	20.3
the more annotated speech, the less LM are useful			4-gram	3.6	6.5	3.8	7.1
			Transf.	2.9	5.4	2.9	5.8
	10h label	led					
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### SUPERB: a benchmark for pre-trained SSL speech models

Wav2vec2, CPC: Self-supervised-Learning (SSL) models

SSL models can do more than ASR: keyword spotting, intent classification, slot-filling, emotion recognition, speaker identification, diarization...

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SSL models can do more than ASR: keyword spotting, intent classification, slot-filling, emotion recognition, speaker identification, diarization...

one benchmark for all ?

SUPERB: train a SSL, freeze, add a linear layer (or small RNN), finetune on a task

# SUPERB: a benchmark for pre-trained SSL speech models

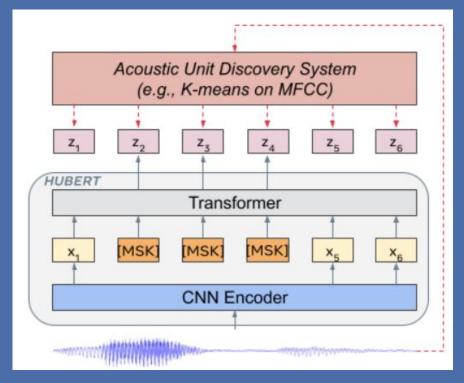
	PR	KS	IC	SID	ER	ASR	(WER)	QbE		SF	ASV	SD
	PER ↓	Acc ↑	Acc ↑	Acc ↑	Acc ↑	w/o↓	w/ LM ↓	MTWV ↑	F1 ↑	CER↓	EER↓	DER ↓
FBANK	82.01	8.63	9.10	8.5E-4	35.39	23.18	15.21	0.0058	69.64	52.94	9.56	10.05
PASE+ [16]	58.87	82.54	29.82	37.99	57.86	25.11	16.62	0.0072	62.14	60.17	11.61	8.68
APC [7]	41.98	91.01	74.69	60.42	59.33	21.28	14.74	0.0310	70.46	50.89	8.56	10.53
VQ-APC [32]	41.08	91.11	74.48	60.15	59.66	21.20	15.21	0.0251	68.53	52.91	8.72	10.45
NPC [33]	43.81	88.96	69.44	55.92	59.08	20.20	13.91	0.0246	72.79	48.44	9.4	9.34
Mockingjay [8]	70.19	83.67	34.33	32.29	50.28	22.82	15.48	6.6E-04	61.59	58.89	11.66	10.54
TERA [9]	49.17	89.48	58.42	57.57	56.27	18.17	12.16	0.0013	67.50	54.17	15.89	9.96
DeCoAR 2.0 [10]	14.93	94.48	90.80	74.42	62.47	13.02	9.07	0.0406	83.28	34.73	7.16	6.59
modified CPC [34]	42.54	91.88	64.09	39.63	60.96	20.18	13.53	0.0326	71.19	49.91	12.86	10.38
wav2vec [12]	31.58	95.59	84.92	56.56	59.79	15.86	11.00	0.0485	76.37	43.71	7.99	9.9
vq-wav2vec [13]	33.48	93.38	85.68	38.80	58.24	17.71	12.80	0.0410	77.68	41.54	10.38	9.93
wav2vec 2.0 Base [14]	5.74	96.23	92.35	75.18	63.43	6.43	4.79	0.0233	88.30	24.77	6.02	6.08
wav2vec 2.0 Large [14]	4.75	96.66	95.28	86.14	65.64	3.75	3.10	0.0489	87.11	27.31	5.65	5.62
HuBERT Base 35	5.41	96.30	98.34	81.42	64.92	6.42	4.79	0.0736	88.53	25.20	5.11	5.88
HuBERT Large [35]	3.53	95.29	98.76	90.33	67.62	3.62	2.94	0.0353	89.81	21.76	5.98	5.75

### HuBERT: learning to predict MFCC+kmeans

Not a contrastive loss, just a cross entropy on the pseudo-labels

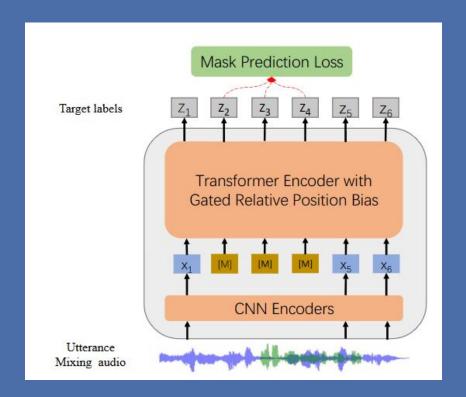
Iterative learning

Works better than Wav2vec2.0 and CPC



## WavLM: HuBERT + mixing audio

better pre-training objective than HuBERT:
-learn to do denoising in addition
-better performances than HuBERT on
many SUPERB tasks



Is it necessary to learn the pseudo-labels with k-means?

No...

### Random projection and random quantisation

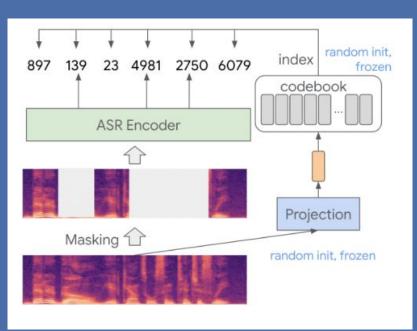
Same as model as HuBERT and Wav2vec2

Random projection:

- -dimensionality reduction method
- -a matrix of gaussian noise with unit norm columns

followed by Random quantisation: like an untrained k-means

This is not random labelling!



## Random Projection and Random quantisation

#### same performances than HuBERT and Wav2vec.2.0

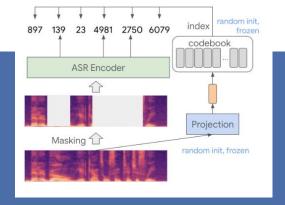
Table 1. LibriSpeech results with non-streaming models. The LM used in our experiment is a Transfomer LM with model size 0.1B.

Method	Size (B)	No LM					With LM				
		dev	dev-other	test	test-other	dev	dev-other	test	test-other		
wav2vec 2.0 (Baevski et al., 2020b)	0.3	2.1	4.5	2.2	4.5	1.6	3.0	1.8	3.3		
HuBERT Large (Hsu et al., 2021)	0.3	-	_	277	, <del>-</del>	1.5	3.0	1.9	3.3		
HuBERT X-Large (Hsu et al., 2021)	1.0	_	_	_	_	1.5	2.5	1.8	2.9		
w2v-Conformer XL (Zhang et al., 2020)	0.6	1.7	3.5	1.7	3.5	1.6	3.2	1.5	3.2		
w2v-BERT XL (Chung et al., 2021)	0.6	1.5	2.9	1.5	2.9	1.4	2.8	1.5	2.8		
BEST-RQ (Ours)	0.6	1.5	2.8	1.6	2.9	1.4	2.6	1.5	2.7		

## Random Projection

It is **not** random labelling: random projection uses the input

what is a good dimensionality reduction method?

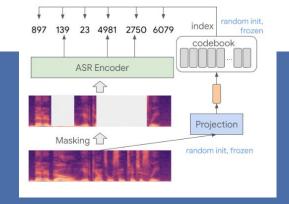


### Random Projection

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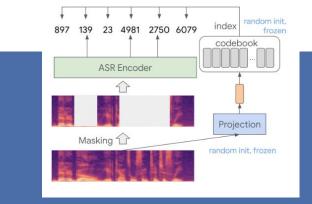
what is a good dimensionality reduction method?

the axis do not collapse on each other: orthogonal basis
preserves distances: correlation (or equality) between dot product in input and output
space



### Random Projection

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what is a good dimensionality reduction method?

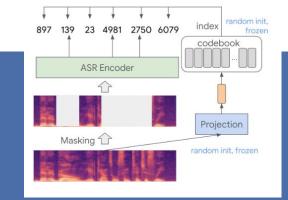
the axis do not collapse on each other: orthogonal basis

preserves distances: correlation (or equality) between dot product in input and output space

It is the case of PCA, LDA,... but they are learnt

Random proj is an orthogonal basis that preserve the dot product. but why?

## Random Projection: why does it work?



Orthogonal basis?

Sample N vector unit normalised vector  $X_i$  in  $R^d$  with each dimension in N(0,1)

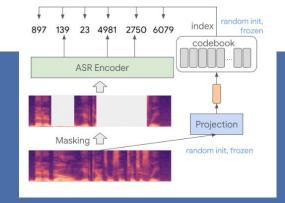
$$y_{ij} = dot(X_i, X_j)$$

we can prove:

$$E(y_{ij})=1$$
  
if  $i\neq j E(y_{ij})=0$  and  $Var(y_{ij})=1/d$   
therefore:

if d is big enough, the axis are quasiorthogonal to each other

## Random Projection: why does it work?



Preserved distances?

The distortion in dot product brought by the random proj is 0 in average and its variance is at most 1/d

formal proof in:

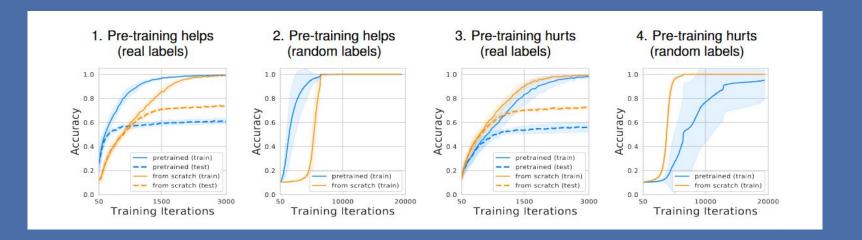
Dimensionality reduction by random mapping: Fast similarity computation for clustering

Note:

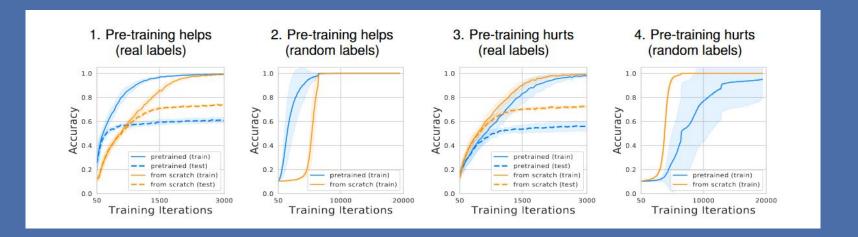
Random Projection is the default init of a fully connected layer in Pytorch

Real random labels?

#### Real random labelling for pre-training in Image Classification (CIFAR10, Maennel et al 2006)

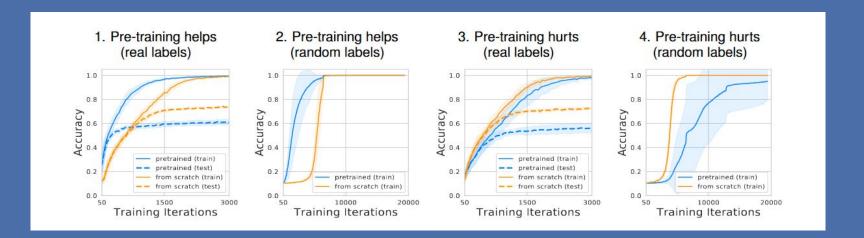


#### Real random labelling for pre-training in Image Classification (CIFAR10, Maennel et al 2006)



- after pre-training: "the principal components of weights at the first layer are aligned with the principal components of data"
- reproduce results without pre-training by sampling weights along the eigenvectors of the covariance matrix

#### Real random labelling for pre-training in Image Classification (CIFAR10, Maennel et al 2006)



- after pre-training: "the principal components of weights at the first layer are aligned with the principal components of data"
- reproduce results without pre-training by sampling weights along the eigenvectors of the covariance matrix
- don't know why this happen, the bigger the model, the less pre-training hurts
- Not applied to speech yet

A family of SSL models: Wav2vec2.0

> HuBERT WavLM

BERT-RQ (random projection)

Same encoder model but with different training losses on raw speech

### Zero-shot performances of speech SSL models?

ABX test on synonyms and POS tagging:

three recorded words: A, B and X

A and X : similar meaning / same POS tags

B has : different meaning / POS tags

## Zero-shot performances of speech SSL models?

ABX test on synonyms and POS tagging:

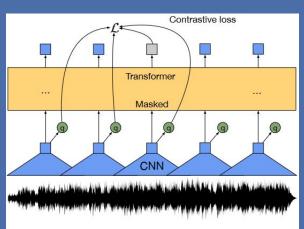
three recorded words: A, B and X

A and X: similar meaning / same POS tags

B has: different meaning / POS tags

Compute the embedding of A B and X (mean pool the frames out of the transformer stack)

Correct if dist(A,X)<dist(B,X)



## Zero-shot performances of speech SSL models?

Input Segments SSE BE Representations	BERT	Dev	v Set	Tes	t Set	Dev Set	<b>Test Set</b>		
		$ABX_{sem} \uparrow$	$ABX_{POS} \uparrow$	$ABX_{sem} \uparrow$	$ABX_{POS} \uparrow$	sSIMI ↑	sSIMI ↑		
No	o supervision, fra	ne based							
CPC <sup>+</sup>		( <u>~</u> )	_	51.22 [2]	53.67 [2]	53.86 [2]	54.68 [2]	6.14 [2]	4.34 [2]
W2V2 base×		-	_	54.96 [8]	55.78 [8]	56.20 [8]	56.86 [8]	3.85 [9]	5.21 [9]
HuBERT base <sup>‡</sup>	-		_	54.65 [8]	55.65 [8]	55.12 [8]	56.77 [8]	3.53 [8]	0.68 [8]
W2V2 large×		_	2	57.69 [13]	59.86 [13]	58.88 [13]	60.93 [13]	2.04 [11]	6.82 [11]
HuBERT large‡	-	: <u></u>	_	58.04 [23]	57.77 [23]	58.95 [23]	57.19 [23]	4.14 [7]	0.55 [7]
Full	l supervision, segi	nent based				1247 8557	10 10 10 100	20 800 300	- Con 80
text	gold words	1-hot	✓	83.23 [3]	81.07 [3]	84.12 [3]	80.09 [3]	41.78 [1]	36.83 [1]

SSL models are not just acoustic models, they are speech language models more on this next time...

DP-Parse: Finding Word Boundaries from Raw Speech with an Instance Lexicon. Algayres et al

That was "pre-training" and "self-training" for semi-supervised ASR Both are complementary

### Vocabulary:

Self-Supervised Learning (SSL) and Unsupervised Learning: learning on raw data without labels

Self-training:

supervised learning, then pseudo-labelling then supervised training

Pre-training:

training a model on unannotated data with the idea of doing finetuning later

Contrastive loss:

cross entropy with softmax and importance sampling

Semi-supervised learning:

methods to leverage unannotated data for ASR

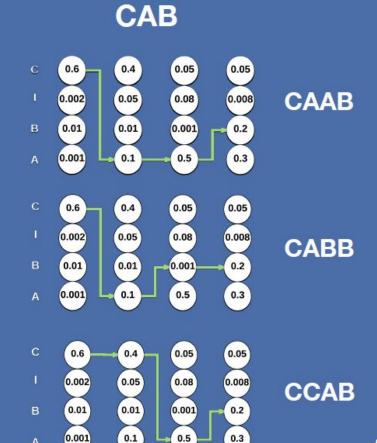
### 2 - Three losses for ASR:

Connectionist Temporal Classification (CTC)
Listen Attend and Spell (LAS)
RNN Transducer (RNN-T)

# CTC Training

• Loss function:

$$-\sum_{\pi \in \text{Valid paths}} P(\pi|x)$$



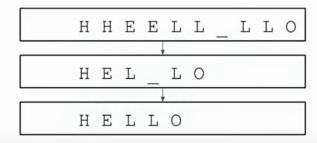
### CTC with greedy-decoding

To decode CTC-encoded text greedily:

1. Take the argmax of the character logits at each timestep

KENSHO

- 2. Remove repeated characters
- 3. Remove the CTC character



But we want to use a LM to improve decoding

### CTC decoding with LM and exact Search:

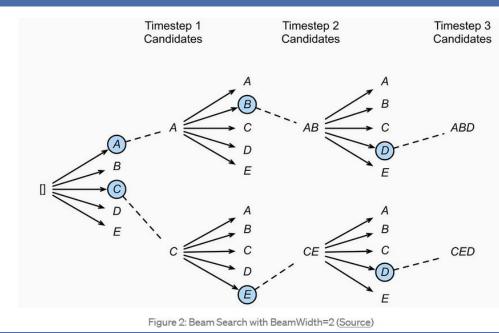
- 1- get the probability of all possible paths
- 2- multiply each of them by their probability under the LM
- 3- get the best one

Good but too long
N frames with K output symbols: O(K<sup>N</sup>)

## Beam Search: approximation of Exact Search

Idea: exact search but keep only the B best paths

If B=1, Greedy Search if B=K<sup>N</sup>, Exact Search



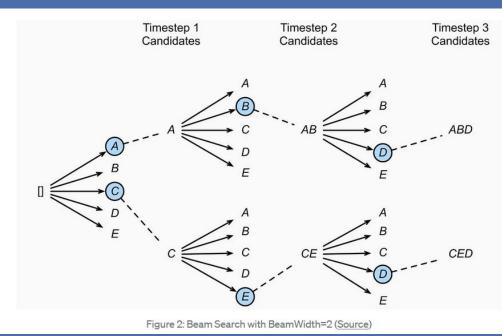
## Beam Search: approximation of Exact Search

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At time t, holding B paths in memory: for each path:

compute the probability of including each letter in the current path under both CTC and the LM



### Beam Search: approximation of Exact Search

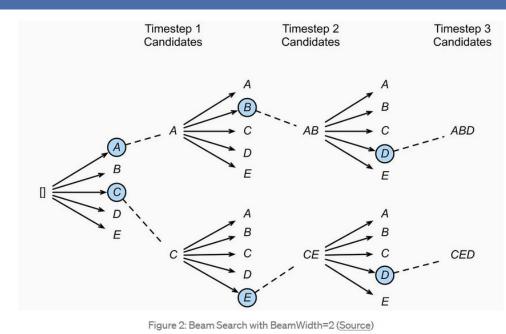
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If B=1, Greedy Search if B=K<sup>N</sup>, Exact Search

At time t, holding B paths in memory: for each path:

compute the probability of including each letter in the current path under both CTC and the LM

Sort the path by probability and keep only the B best paths



Complexity: O(N\*B\*K\*log(B\*K))

### Other methods than CTC

CTC needs an external LM

Two other methods LAS and RNN-T:
integrate the LM concept into the model
better than CTC without LM
good for low resource languages when both speech and text are scarce

### Listen Attend and Spell (LAS)

Pros: Good for speech translation

Cons: hallucination

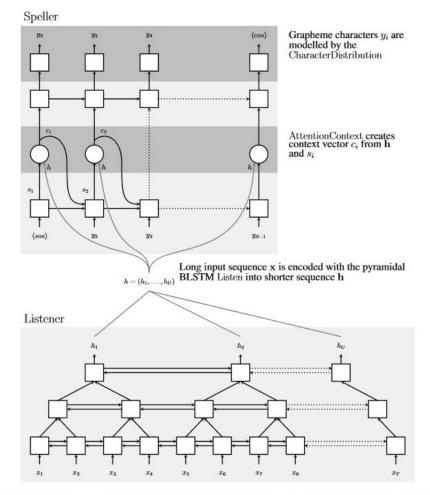
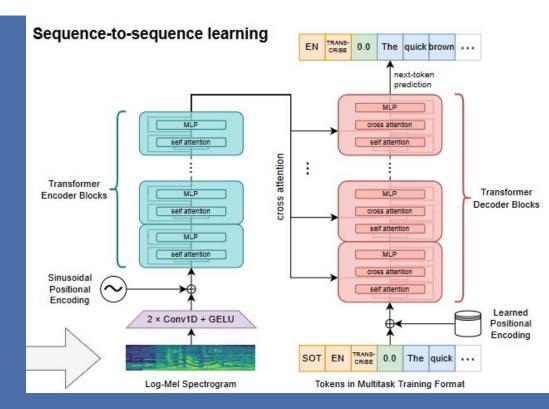


Figure 1: Listen, Attend and Spell (LAS) model: the listener is a pyramidal BLSTM encoding our input sequence x into high level features h, the speller is an attention-based decoder generating the y characters from h.

## Whisper: recent LAS model

- -Trained with word-level LAS
- -no external LM! simplest architecture
- -no unsupervised pretraining/self-training
- -use task-specific tokens
- -multi lingual ASR
- -any-to-english translation

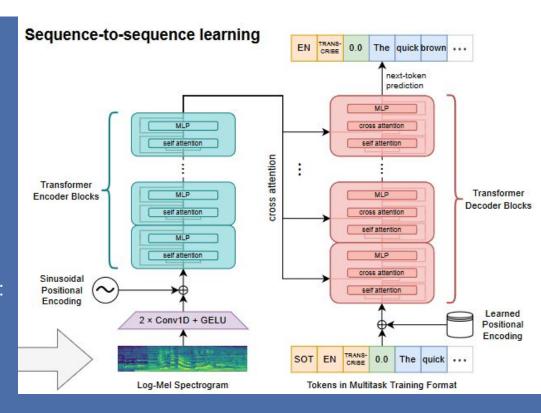


## Whisper: recent LAS model

#### -database collection:

-600k hours of multi-lingual (96 languages) annotated speech -removed automatically generated transcripts

(general rule of recent large scale models: it doesn't matter if the labels are noisy just get a lot of it and apply coarse filter on it)



# Whisper: very high ASR performances

Dataset	wav2vec 2.0 Large (no LM)	Whisper Large V2	RER (%)
LibriSpeech Clean	2.7	2.7	0.0
Artie	24.5	6.2	74.7
Common Voice	29.9	9.0	69.9
Fleurs En	14.6	4.4	69.9
Tedlium	10.5	4.0	61.9
CHiME6	65.8	25.5	61.2
VoxPopuli En	17.9	7.3	59.2
CORAAL	35.6	16.2	54.5
AMI IHM	37.0	16.9	54.3
Switchboard	28.3	13.8	51.2
CallHome	34.8	17.6	49.4
WSJ	7.7	3.9	49.4
AMI SDM1	67.6	36.4	46.2
LibriSpeech Other	6.2	5.2	16.1
Average	29.3	12.8	55.2

#### Strong hallucination exemple

## WHISPER doesn't really know what to do with silence...

#### Human transcribed

I'm ok and maybe I xxx yes ta-da right leg right xxx lizard my xxx hers dinosaur

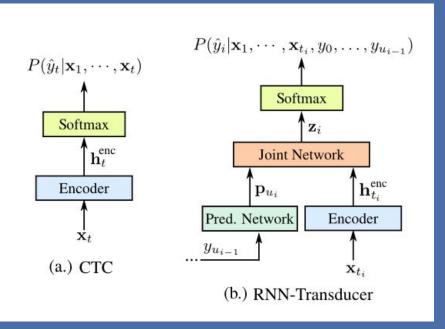
#### whisper transcribed

Now you're making fun of me? It's me, the dinosaur, who's going to get the prize. Whoa! What in the world? You're the dinosaur who's going to get the prize? Hey, hey, hey, hey, What are you talking about? He can do just about anything he wants. Oh, yeah? Did you hear what Mr. Rabbit told us today? That dinosaurs are the collectibles of the universe. Now, don't you dare to tell me that only dinosaurs can win the prize. You know what you might learn? Dinosaur are not dinosaurs. Me and Mr. Rabbit are dinosaurs. We can do anything we want, Doctor. And he knows we can do everything we want. Oh, yeah? We can do anything we want. Oh, yeah? Yeah, that's why you can pretty much give up right now. Yeah, but I'm still a dinosaur. You can't prevent me from winning the prize. Hey, Mr. Rabbit. He's a cheater. Hey! Why don't you just ignore his manhood and I'm going to win the most prize? What do you know? We can do anything we want. Hey!

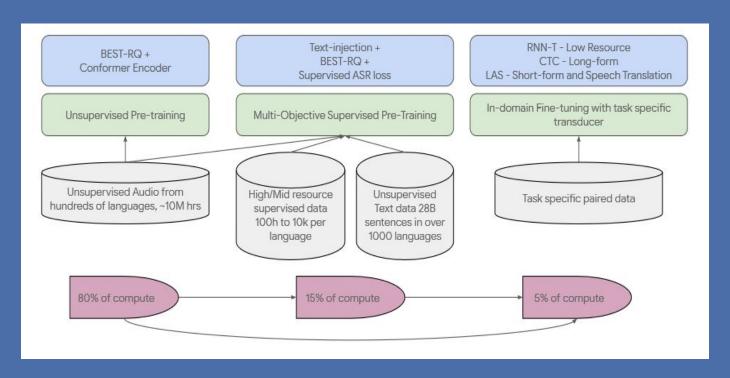
### RNN Transducer (RNN-T)

trained to predict letters conditioning on all previously predicted letters blanks and repetitions are removed before being fed into the pred. network

less hallucination problem



### Google USM: everything everywhere all at once



### Google USM: performances

#### Database:

- -12 M hours of youtube over 300 languages (pretraining)
- -100k of annotated speech over 100 languages

Language specific heads (encoder is frozen during finetuning)

Multi-lingual ASR and Speech Translation (not only towards english!)

Overall better performances than Whisper

### 3 - Long form prediction

Most models (Whisper, Google USM, Wav2vec2,...) are trained on short speech clips (<30seconds)

what about a 1 hour long speech clip?

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Attention mechanics in Transformer:

- quadratic with input length
- performs badly on sequences longer than seen during training

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what about a 1 hour long speech clip?

Attention mechanics in Transformer:

- quadratic with input length
- performs badly on sequences longer than seen during training

Main idea: reduce the work of attention to a smaller window

## Google USM: long form prediction with chunk attention

#### local attention:

- restrict each attention to its k neighbors
- bad because the receptive increases through the layers

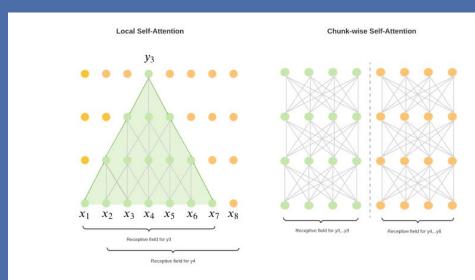


Figure 4: Comparing receptive fields of two networks with 4 layers of local self attention and chunk-wise attention.

### Google USM: long form prediction with chunk attention

#### local attention:

- restrict each attention to its k neighbors
- bad because the receptive increases through the layers

#### chunk-wise attention:

- attention can attend only 8s long chunks of speech
- not block processing: other layers have access to the whole sequence

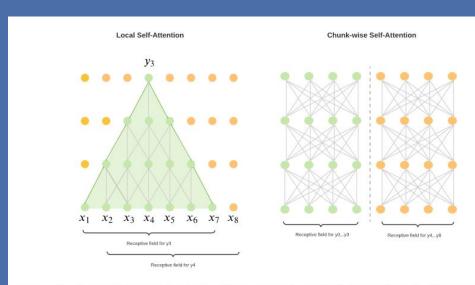


Figure 4: Comparing receptive fields of two networks with 4 layers of local self attention and chunk-wise attention.

# Whisper: long form prediction with sliding window

Sliding 30s windows with adaptive sliding

...

### Conclusion

HMM-GMM and HMM-DNN:

small annotated speech and lot of human knowledge

Deepspeech with CTC:

large annotated speech

Semi supervised learning:

large unannotated speech, small annotated speech

Zero ressource:

no annotated speech?