



SHIVALI SHARMA

AML–Transaction monitoring Analyst

About Me

A well-rounded AML professional with over 3 years' experience as an AML Analyst, monitoring and motivating subordinates to produce accurate and efficient work. Excellent mentor and problem-solver. Clear understanding of risk mitigation and risk assessment in regulatory compliance. Motivated to learn, grow and excel in the financial industry. Highly skilled with experience in banking with a focus in customer Due Diligence (CDD), Enhanced Due Diligence (EDD), Anti-Money Laundering (AML), Know Your Customer (KYC), Suspicious Activity Report (SAR), and compliance.

Professional Experience

Accenture company | Risk & Compliance Analyst

July 2022– June 2023

Key responsibilities:

- Conducted formality reports on questionable accounts and transactions KYC.
- Adjusted end-to-end KYC process needs to align with workforce capabilities.
- Analyses and investigated clients to conduct detailed customer due diligence and enhanced due diligence review.
- Prepared high-risk reviews with results and recommendations for customer onboarding, closure and retention.
- Identifying and analyses alerts generated by the monitoring system in order to identify potentially suspicious activity.
- Reviewed and analyzed leads for potential Suspicious Activity and Red Flags, including those generated from an alert detection processes, subpoenas and warrants, negative media reports according to AML/KYC policies.
- Conducting sanctions screening of real-time transactional hits in E-gifts for potential sanctions violations.
- Investigating non-obvious false positives, true positive hits, and escalating for further review and approval.

Taskus Company | Transaction Monitoring Analyst

March 2021– July 2022

Key responsibilities:

- Reviewing, investigating and reporting money laundering cases to designated authorities on business and client accounts with associates.
- Deal appropriately with the procedure according to different entities following the submission of the SAR.
- Worked as a Financial Unit Investigation and Risk & Entity Management Analyst and submitting SAR.

My Contact



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Indore (M.P.), India

Hard Skill

- Transaction Monitoring
- Fraud Investigation
- SAR Filing
- AML/KYC
- Red Flags Investigation
- Sanction Screening
- ACH
- EDD & CDD
- MS Office
- Customer Support

Soft Skill

- Problem-Solving
- Decision making
- Communication
- Multi-tasking

Education Background

RGPV University India

- Bachelors of Engineering (ECE)
Completed in 2017

- Implementing and executing compliance-related procedures including sanctions screening, third party due diligence screening and geolocation assessment.
- Identified threats, vulnerabilities and suspicious behavior patterns to recommend mitigation measures.
- Handling sensitive or confidential information.
- Worked flexible hours; night, weekend, and holiday shifts.
- Identify the Source of Funds (SoF) and Destination of Funds (DoF)

Teleperformance India Pvt. Ltd. | Associate

Dec. 2019– Feb. 2021

Key responsibilities:

- Documented research findings to support risk-based decision-making and resolution to escalated fraud incidents.
- Review Client's transactions to detect and report either detect any unusual transactions.
- Identify connected parties, particularly for cross-border transactions.

Videc Consultant Pvt. Ltd. | Data Associate

April 2018– April 2019

Key responsibilities:

- Located and corrected data entry errors and reported to management.
- Sorted and processed incoming reports before putting data into processing software.
- Gathering information from different sites and fetch emails.

Achievements

Sept. 2022

Awarded for Top performer