

# Umesh R. Chawadi

Senior Control Management Specialist | Ex-Military Veteran  
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Expert in Risk management metrics, Risk data reporting, analysis, and visualization with over 10 years of experience in a variety of tools and technologies from databases to reporting. An effective military leader with strong time management skills.

## SKILLS

• Python	AI/ML	SQL	Power BI
• Alteryx	Tableau	Advance Excel	Operational Risk
• Data Management	Business Analysis	Risk Management	Risk & Control
• Operations Management	Military Leadership	Scrum/Agile	Jira

## EDUCATION/ CERTIFICATIONS

Executive Programme in FinTech, Banking & Applied Risk Management - **IIM Lucknow**

Advanced Certification in Data Science and AI - **IIT Madras** (Pursuing)

MBA (Business Analytics) - **Pune University** (Pursuing)

MA – Public Administrations (IGNOU)

Diploma in E & TC - **MSBTE (Pune)**

## EXPERIENCE:

### Wells Fargo

### Bangalore

Senior Control Management Specialist

Sep 2021-till date

Domain: Risk and Control Management

- Build a high-performing reporting capability serving the Wholesale line of business (LOB).
  - Reviewing, enriching and reporting the newly created Operational Losses over 10K, Internal Losses over 500K and External Losses over 5MM, Near Misses, Quality Assurance Report, LOB Monthly & Quarterly Loss Reports, OCC/FRB, Issues Management to the Risk Officers, LOBs, Payment Risks to drive real-life enhancements in the way of managing risk.
  - Meet FRB/OCC regulatory requirements by providing a comprehensive view of operational losses for wholesale businesses. In addition, providing a Business summary review to the Wholesale Risk Leadership team, facilitating discussion on operational losses, root causes, emerging trends, and remediation efforts.
  - Broadened the scope of monitoring and reporting key metrics on the Operational Risk Framework across the Wholesale Line of Business and include risk types not previously scoped into the operational risk governance structure.
  - Develop metrics to provide insight into trends and an early warning of potential changes to the risk profile, as well as key process indicators and key control indicators to monitor the performance of processes and controls.
  - Build strong customer relationships balanced with a strong risk-mitigating and compliance-driven culture that firmly establishes those disciplines as critical to the success of our customers and company.
  - Proactively identify, communicate, mitigate and escalate risk originating from non-compliance with processes, operational errors, and data integrity issues in all applicable processes.
  - Actively manage risk throughout the change management lifecycle as it relates to executing Efficiency & Effectiveness (E&E) initiatives.
- Accountable for execution of risk programs (Technology, Financial Crimes, Operational Risk, Information Security, Regulatory Compliance), which includes effectively following, and adhering to Wells Fargo policies and procedures, appropriately fulfilling risk and compliance obligations, timely and effective escalation and remediation of issues, and making sound risk decisions.

- Managing men & material on board ships and establishments
- Solely responsible for critical data handling for intelligence analysis.
- Planning & monitoring security arrangements for factory / office, involving preparation of security plans & deployment of security personnel, implementing Stringent security systems / measures to establish and maintain high security standards.
- Handling maintenance of a wide range of electrical equipment's, ensuring maximum uptime.
- Maintaining cordial employees' relations and providing them counselling services.
- Handling performance appraisal process and initiating rewards and recognition programmes.
- Monitoring inflow & outflow of funds and ensure optimum utilisation of available funds.
- Preparing and maintaining statutory books of accounts, ensuring compliance with time & accuracy norms; coordinating audits to evaluate and streamline internal control systems / procedures.

**Core competencies:**

- Capable of displaying the confidence needed to face the toughest leadership challenges.
  - Strong communication, collaboration and team building skills with proficiency of grasping new technical concepts quickly utilise the same in productive manner.
  - Motivated with a sense to deliver results & achieve objectives.
  - A competent Technical professional with 15 years of experience across General Administration, Technical Engineering Material Management, Security, Logistics & Liaisoning.
  - Managing the co-ordination between the various departments for the finalisation of deliveries as per the service levels.
  - Overall co-ordination of the organisations response to Crisis & Disaster management.
  - Planning, co-ordination and executing co-ordination and executing large scale functions viz. seminars, workshops, etc.
  - Implementing disciplinary norms and guidelines minimizing violations of rules & regulations.
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**ACCOMPLISHMENTS**

- Installation of CADF (Commuted Aerial Direction Finder) at Port Blair.
  - Rectification of composite communication system Interference during flying operation.
  - Installation of 23 no's UHF/VHF Communication sets on board
  - Installation UHF linking system (ULE)
  - Categorisation of various parts of Fast Attack Crafts (FAC) received from foreign Navy
  - Part of commissioning team of FACs in Indian Navy.
  - Participated in MILAN – 2014.
  - Successfully conducted the safety audits of Radio Equipment's on board.
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**VOLUNTEER EXPERIENCE****Mentor****Indian Navy**

Mentored a group of students from NCC Unit to handle Crisis and Disaster Management within Military and Civil Environment.

**Employee Impact Team (EIT)****Wells Fargo**

Volunteer member for EIT formulation and implementation for various CSR activities.

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