**Garima Bhatnagar**

Contact No: 91-9818388184 e-mail*:* [*garima3bhatnagar@gmail.co*](mailto:garima3bhatnagar@gmail.com)*m*

To work in a dynamic environment that provides me a wide spectrum of experience and exposure and to serve the organization with positive attitude and efficiency

------------------------------------------------------------------------------------------------------------------------

***Professional Synopsis:***

* Around 7 years of experience working in Risk and controls team in Barclays. Working as an AVP in ‘Finance CCO’ in Barclays Noida since November 2014 with experience in SOx compliance and Conformance testing.
* A dynamic professional with overall experience of around 13 years of post-qualification in Conformance testing and SOx testing, statutory and internal audit, taxation, financial due diligence etc.
* Worked in Reputed Big4s and Bank viz. Ernst and Young, PricewaterhouseCoopers and KPMG Global Services and Barclays Noida.

***Work experience/Past companies:***

***1)Barclays Finance CCO - (From November 2014 to present):*** Presently working in ‘Finance CCO team’ as an assistant vice president (AVP) with experience in identifying, assessing and highlighting risks and control weakness related to operations and financial reporting (Sarbanes Oxley Act (SOx)) and suggesting controls for mitigating open risks. Key Business areas managed are processes in Corporate Banking and Barclaycard.

***Areas of responsibility include:***

* Review and performance of Design effectiveness assessment (DEA) of SOx processes and suggesting changes in existing process to make the control environment more robust.
* Key processes around DEA assessment include-

**Corporate:** Current Account and Deposits process, Corporate Lending and Contingent Liabilities etc

**Barclaycard:** Client and customer on-boarding , Customer and Client payments and interest receipts.

* Assessing operating effectiveness of controls to ensure robust internal environment in line with Sarbanes Oxley Act (SOx)
* Highlight open risks/issues in the requisite forum and suggest controls to mitigate the risk
* Conformance testing of standard controls in areas like ‘BP&A and Cost management’ and ‘Capital Supply’ for ‘Head office’
* Special review of issues related to booking and payment of Withholding taxes (TDS) in Indian companies i.e BSS and BTCI.

***2) Financial due diligence: KPMG Global Services (P) ltd (From January 2014 to November 2014)) and PricewaterhouseCoopers (From March 2011 - Sept 2013)***

***Areas of responsibility include:***

* Understanding key business drivers and their impact on the transactions.

 Analysis of various components of balance sheet and income statement to ascertain

historical trends in revenue, key components of costs, built up of net assets, working

capital cycle trends and its normalization etc.

 Review and analysis of financial statements to identify significant adjustments to net

assets, working capital cycle/trends, contingencies and commitments etc.

* Writing and finalizing diligence reports.

***Key Clients/assignments managed:***

Diversified exposure in executing and supervising financial due diligence engagements with work experience in various industries.

* Acquisition of 43% stake by IDFC in a subsidiary of DLF.
* 100% strategic investment in a business division of an exhibition organizing company in

India by an International exhibition company.

* 100% strategic acquisition of Indian exhibition organizing company by a UK based company
* 26% stake purchased by Bharti Airtel Limited from its Joint venture Alcatel Lucent

Network Services Management Limited.

* 100% acquisition of a Nagpur based chemical manufacturer (Star Orchem International Private Limited) by Cytec Industries Inc.

 Vendor diligence of a top ‘Telecom/IT company.

 Vendor diligence of a listed Education company and many other strategic , Private equity and diligence

***3)Audit Experience: Ernst & Young (March 2010 to February 2011)***

Worked with Ernst & Young as an Audit Associate from March 2010 to Feb 2011

**Article Experience:**

* Worked with C.S.Bhatnagar & Co from April 2008 to February 2010.
* Worked with Thakur Vaidyanath Aiyar & Co July 2007 to March 2008

***Areas of exposure during employment with Ernst & Young and Article experience***

 Statutory Audit & Tax Audit of private companies viz. GlobalLogic India (P) Ltd , Headstrong India (P) Ltd etc. and Statutory audit of Punjab National Bank.

 Concurrent Internal audit including extensive Verification of Accounts, Stock

taking at various Depots, Preparation of Monthly and Quarterly Internal Audit Report etc of AMUL (Gujarat Co-operative milk marketing federation) .

 Audit of Service tax Refund of IT companies like OPI (p) ltd, BPO (p) ltd.

 Computation of Income Tax of Individuals, Partnerships firms, Private

Limited Companies & filing of ITR forms etc.

***Educational Background:***

|  |  |  |
| --- | --- | --- |
| **Year** | **Degree/Exam** | **Marks** |
| November 2009 | C.A Final | 52%(Cleared both groups in first attempt) |
| May 2007 | C.A.PE-II | 55%(Cleared each group in first attempt) |
| DISA | ICAI | Cleared in first attempt in 2014 |
| 2006 | Economics (H)- Miranda House, DU | 59% |
| 2003 | 10+2, C.B.S.E, St. Thomas’School | 87% |

***Others:***

**Computer proficiency:**

 MS-Office applications - MS Excel, MS Word, Visio and PowerPoint

 PwC In-house report writer tool (SMART) based on MS Excel and PowerPoint.

**PERSONAL INFORMATION**

|  |  |
| --- | --- |
| Fathers name | Mr. G.S. Bhatnagar, Chartered Accountant |
| Languages | Well versed with written and verbal English and Hindi |
| Strengths | Leadership, Hardworking, Good communication skills and team player. |
| Marital Status | Married |
| Date of Birth | 30th September 1985 |