**CURRICULUM VITAE**

**SUNEEL B**

**Srinivasa Residency,**

**6th cross, Ayyappa Society, :iamsuneelb@gmail.com**

**Madhapur, Hyderabad.** **: 9284442997**

**PROFESSIONALPROFILE**

* Having 7.9 Years of experience in investment banking operations in interest claims, trade capture or processing& settlements,swift payments and FIX Protocol support and QA testing.
* A qualified M.B.A (Finance) from S.V University Tirupathi
* Passed 1 NCFM Modules which is conducted by National Stock Exchange of India Ltd

**ACADEMIC CREDENTIALS**

* M.B.A (Finance) from SV University.
* B.C.A (Computer Applications) from SV University.

**PROFESSIONAL CREDENTIALS**

NSE’’s Certification in Financial Market

* NSE’s Certification in Capital Market (Dealers) Module.

**TECHNICAL SKILLS**

* **FIX protocol, SQL and Work experience on Linux.**

**CAREER PROFILE**

**Company #1 : TeamLease Digital Pvt Ltd. (Client: TCS)**

Designation : BA (May 2022 to Till Date)

Project : Refinitiv (LSEG).

Team : CSS\_MAPI (Electronic Trading)

The Refinitiv FX Matching API (MAPI) is comprised of two main parts, one dedicated to price discovery and another dedicated for order management. The Robust Foundation API(RFA) is used for price discovery and industry standard FIX interface has been introduced for order management and post trade processing.

* Establish FIX trading connections to and from FIX Engine configurations with clients, broker/dealers and other technology service providers. For each project, design, develop and configure business logic and optimal routing, configure software, initiate network change requests.
* Perform several extensive certification testing in UAT environment to make sure all functionalities working as expected. Manage production integration timelines with internal and external stakeholders.
* Possessing a solid understanding of the FIX protocol, capable of interpreting FIX messages by extracting FIX logs through either the internal Fix Viewer tool or network traces.
* Proficient in analysing various combinations of FIX tags and values.
* Skilled in conducting comprehensive network investigation, with the ability to analyse network traces and identify issues along with their root causes.
* Resolving issues with password reset for both session and user logins.
* Reset and resend message sequence numbers to avoid session disconnections.
* Managing all order related queries from our clients.
* Providing market data services to our clients, brokers dealers and major interbanks.

**Company #2 : HCL state street,Pune.**

Designation : Specialist (Nov 2019 to Sep 2021)

Process : Transaction Management ( RKS Record Keeping System)

* Having exposure of Trade management, Reconciliation, Cap stock and Accounting.
* Ensuring accuracy and timeliness across all the functions to meet the SLA and KPI.
* Managing client expectation through weekly calls.
* Completely handling the Global Transaction Manager and Record keeping System.
* Maintaining the error log and preparing the error write-ups to put enhanced controls in place to avoid errors.
* Determining the action plan for the perception survey feedback from clients on team’s performance and implementing the action plan.
* Ensuring adequate staffing by managing leaves for easiness running of the process in nature of cross training across the activities.
* Complete the postings in RKS within the SLA. Give coverage to client requirement during dry run testing
* Conducting team meeting to share the process updates and best practices.
* Review the request processed by the processor and make sure the postings are made correctly in RKS.
* Determining the action plan for the perception survey feedback from clients on team’s performance and implementing the action plan.
* Ensuring adequate staffing by managing leaves for easiness running of the process in nature of cross training across the activities.
* Complete the postings in RKS within the SLA. Give coverage to client requirement during dry run testing
* Conducting team meeting to share the process updates and best practices.
* Review the request processed by the processor and make sure the postings are made correctly in RKS.

**Company #3 : FIX FLYER Pvt Ltd , Hyderabad.**

Designation : Operations Support and QA Analyst(Oct 2018 to Feb 2019)

**Job description :** FIX Integration & Production Support  
As a member of the FIX Integration and Trading Support team, the Analyst will work with the team to provide FIX integration, electronic trading support and trade clearance services. From a FIX integration perspective, the Analyst provides FIX consultation, technical and network integration services for all connectivity and electronic order flow to our FIX Infrastructure. From a Trading Support perspective, the Analyst provides high quality service and support to the institutional clients. Through the expert understanding of the FIX protocol, connectivity and order/message routing life cycle; business, operational, and end-client needs, supported by knowledge of our proprietary trading platforms and business logic, the FIX Integration and Trading Support Analyst will strive to deliver these services in a timely and error free manner, providing the customer with the best possible client experience.

**Roles and Key Responsibilities:-**

* Establish FIX trading connections to and from FIX Engine configurations with clients, OMS/EMS vendors and other technology service providers. For each project, design, develop and configure business logic and optimal routing, configure software, initiate network change requests, and perform extensive certification testing. Manage production integration timelines with internal and external stakeholders.
* Acts as a liaison between clients, vendors, product management and systems development for all FIX/order routing issues and enhancements.
* Ensure uninterrupted proper function of the trading infrastructure and by continually monitoring order performance, message traffic levels and alerts through Daytona application
* Respond to client inquiries related to order routing, order/execution status and allocation
* Establishing new sessions and module as per client requirement.
* Responding on session down alerts from Daytona monitor tool.
* Daily Demo server checks for managed clients.
* Finding logs and reason for session down and reset and resend sequence number
* Monitor and ensure timely and accurate execution and allocations for trades.
* Establish and maintain contacts with clients, and other parties involved with order routing and other FIX message related issues.
* Strong knowledge of FIX protocol and ability to analyze and decompose internal and external nuances in FIX specifications

**Role: QA Tester**

**Job description : Regression Testing on Flyer Online(Co-Pilot) Application**

Flyer Online is a Web-based application Order Management System used by both Buyside & Sellside users. It consists of components – Order Entry, Order Management & Allocation Management for Order routing & Management, Allocation routing & management (For Buyside). It supports multiple assets like Equities, Mutual Funds, Options & Multileg. It supports all the DMA, DSA & Care orders.

Users can upload the Trade files & Allocation Files to the application either through application or by FTP. Orders from the files can be staged or sent to market automatically.

Using Flyer Online, users can also do StepOut trades to other destination, handle the Pre & Post Trade Allocations,  Processes End Of Day files from the created trades & allocations and send those to provided user emails.

Flyer Online also works as Sellside OMS as well as it receives the Incoming orders through FIX for the users to work on. New Order messages will flow out of Flyer Online to Exchange / third party brokers & Executions received from the destination will flow to clients.

 Position Management & Portfolio Management is also supported in  Flyer Online to record the positions of the Accounts exist with the clients and to maintain their Portfolios. It helps users to check the PnL values at Position or account level. Portfolio Management helps the users to manage the accounts and create trades according to the existing positions or by applying various models.

* Performed Regression testing for Flyer Online(Co-Pilot) application 6.0 and 6.1 version on UAT environment.
* Checking logs on Linux to confirm test case is executed as expected.
* Raising tickets through JIRA toll and allocate respective department or person.
* Defect tracking using JIRA tool and when it is fixed, re-testing again on the same functionality.
* Well versed with Software Development Life Cycle (SDLC), Software Testing Life Cycle (STLC), Logging and Tracking Defects in all the phases with major responsibilities.
* Experince in developing Regression tests for Functional testing
* Experience in Test execution status, Defect report and Daily/Weekly Status Reports.
* Responsible for Analysis and Defect Tracking using HP Quality Center, JIRA.
* Knowledge on database testing by writing the SQL queries, checking Stored Procedure
* Test Management Tool : JIRA,HP Quality Center
* Operating Systems : Windows XP/2010 and Linux operating system.
* Ability to work both independently and in a team environment
* Sense of urgency and ability to resolve and/or manage around inevitable technical hurdles.
* Excellent verbal and written communication skills, and the ability to work with people across a large organization comprised of multiple business lines

**Company # 4 BNY Mellon Chennai, Tamilnadu.**

Designation Senior Analyst ( Aug 2016 to Jan 2017)

**Business Case: TradeCapture and Settlement Team** (migration project) in Chennai carries out DTC and CDS marketstrade transaction processing for Canadian & US based Clients Globally under Client and Advisory Services Group (CASG) Department Toronto, Canada. Responsible for setup and settlement of Securities in the Mainframe Application CMS System as per criteria sent by client or investment manager through fax or swift

* Handled different types of trades like fx trades, money market trades, global block trades, buy, sell, delivery free and receive free trades
* Responsible for booking, confirming & settlement of trades as per the statement received by brokers, portfolio managers & clients
* Performing pre-process checks for authorised signatures, funding, osfi, sanction country and country tier listing check to ensure that trade was entered correctly and to avoid discrepancies as per client or investment manager’s instructions
* Processing, verifying and settlement of bulk trades by using auto trade macros.
* Operated independently under tight deadlines like clients, internal and market daily deadlines successfully and performed trade or cash transfer modifications and cancellations before authorisation or settlement as per clients instructions
* Worked closely with internal and onshore departments to coordinate investment operations
* Provided quality service to clients, banks, trust companies, broker/dealers and service providers

**Company#5 : ANZ BANK, Bangalore.**

Designation : Analyst ( Dec 2011 to May 2014)

**Business Case:**Fails Trades: compensation claims

And

**Company #6 : DEUTSCHE BANK OPERATIONS INTERNATIONAL (DBOI),Bangalore.**

Designation : Process Analyst(Mar 2010 Sept 2011 )

**Business Case:  Interest Claims Investigation Team (Fail Trades: Post settlement) Process.**

* Working on various failed trades and use of fund trades like Swaps, FX, Money Market, GED, Equity, Prime Brokerage and Fixed income trades.
* Preparation of KPI Reports for payables and receivables.
* Download Reports, Raw data and allocate work for all team members equally through various applications.
* Running Macro Report to ensure TAT is met.
* Handling all client queries for as products regarding interest claims.
* Setting of ICR’s and updating the counterparty.
* Engagement with the counterparts via telephone and email for quick resolution
* Working on Chasers both on payable and receivable cases.
* Working on new claims, chasing counterparty for the funds.
* Authorization and rejection of claims.
* Manage to resolve both claims receivable and payable within 45 days that are stuck up

with clients.

* Investigate on failed trades and sending interest claim letters to clients for late settlement of trades and follow up with Traders, Middle Office and Front Office depending upon cases like non-receipts, use of funds discrepancies between both sides’ receivable and payable claims.
* Contacting the counterparty and sending acknowledged mails and sending chasers to them.
* Ensure all settlements instructions with missing SSI’s are set up prior to payment date.
* Obtaining Settlement Instructions from the Counter party to make payments and preparing SWIFT messages to effect the payment.
* Preparation of payment sheet and sign off of the ICR’s.
* Good Working knowledge on all different types of **Manual SWIFT Payments** like **MT 202 and MT 103 .**
* Investigating with agent banks for late payments by client through SWIFT Message M299 , emails and phone calls.
* Interacting extensively with the Counter-party, Middle-office and the Traders to get the discrepancies resolved.
* Identify potential issues that may cause future problems and advise Management of such issues with proposed prevention plan.
* Working on Nostro breaks.
* Worked with the business on process improvements.
* Participated in Buisiness Continuity Plan Testing(Regression testing on UAT environment).

PERSONAL DETAILS

Father Name : B. Ramaiah

Date of Birth : 07th March 1982

Gender/Marital Status : Male / Single