



PSIDE Creation & Maintenance

Agenda

- Introduction to PSIDEs
- Sponsor and Investigator Roles
- IDE Submission Form
- IDE Maintenance
- Common Problems
- Additional Resources





PSIDE

Physician Sponsored Investigational
Device Exemption



Sponsor & Investigator Roles

Sponsor Responsibilities

- Choosing qualified investigators
- Gaining FDA and IRB approval
- Selecting monitors
- Device control
- Informing investigators
- Monitoring
- Maintaining records
- Sponsor reports
- Labeling
- Monitoring device promotion

Investigator Responsibilities



- Managing informed consent
- Supervising device use
- Financial disclosure
- Disposing devices
- Maintaining records
- Investigator reports



This Photo by Unknown Author is licensed under [CC BY-SA](#)

PSIDE Submission Form



IDE Devices

- Significant Risk Devices
- Nonsignificant Risk Devices

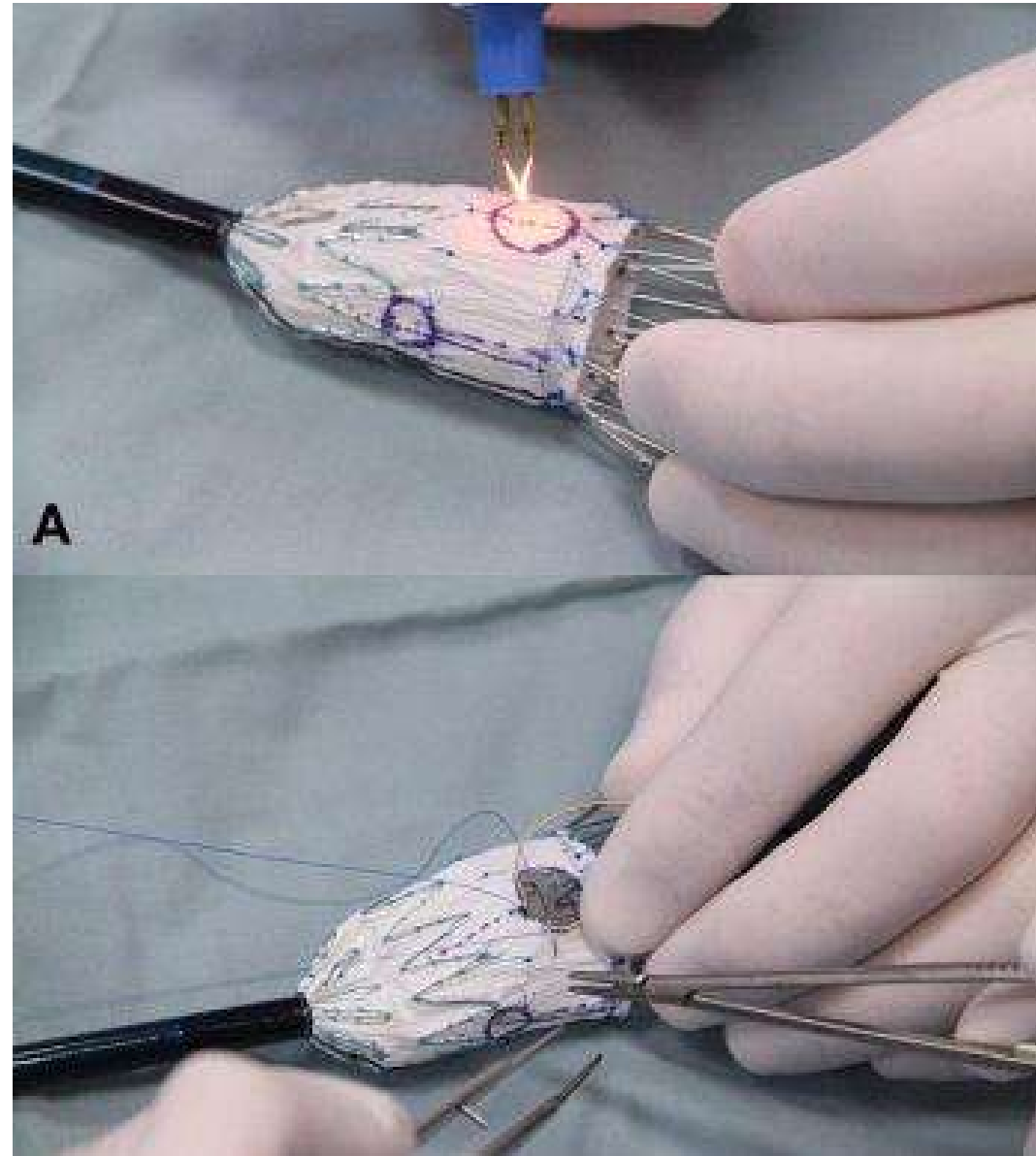
Purpose

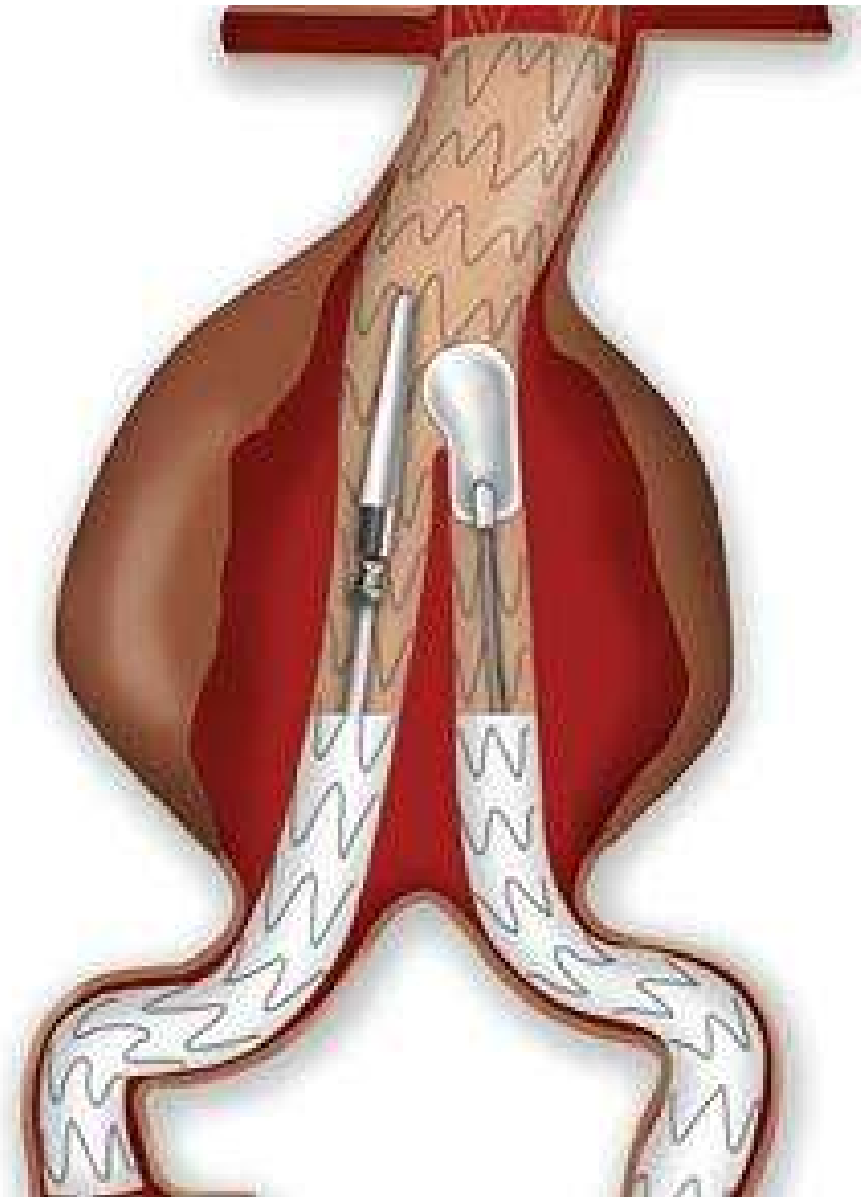
- Modifying a device
- Using for an unintended use



Modifying a Device

- Reasonable assurances for no harm
- Durability testing data
- Prior data on the device





Unintended Use

- Reasonable assurances for no harm
- Prior data on the device

Creating the IDE Submission



- Reach out to the FDA early
- Cover Letter
- IDE Application
- IRB Approval
- FDA Approval
- [FDA Checklist](#)

IDE Cover Letter

- Statement of original IDE
- Device Information
- Sponsor Contact Information
- Manufacturer Information
- Correspondent Information
- Any Q-Submissions/Pre-Submissions or Study Risk Determination (SRD) Q-Submission
- Waiver Requests
- Referenced Files

IDE Application

- Name and Address of Sponsor
- Report of Prior Investigations
 - Bibliography of Publications
 - Evaluate Safety and Effectiveness
 - Adverse Information
 - Published and Unpublished
 - Any requested significant publications
 - Summary of unpublished information
- Investigational plan
 - Protocol
 - Risk Analysis
 - Device Description
 - Monitoring Procedures
 - Additional Records and Reports

IDE Application Continued



- Descriptions of the following for all steps in devices life
 - Methods
 - Facilities
 - Controls
- Signed Investigator Agreement and Certification
- IRB Information
- Institution Information
- Amount Charged per Device
- Copies of all labeling for the device
- Copies of all informed consent forms
- Any additional information

Uploading the IDE Application

- Want a valid eCopy
- [ECopy Guidance Document](#)
- IDE approved by FDA in 30 days





Maintaining your PSIDE

Sponsor Reports

- Unanticipated Adverse Device Affects
- Withdrawal of IRB or FDA Approval
- Current List of Investigators
- Annual Progress Reports
- Recalls and Device Disposition
- Final Report
- Failure to Attain Informed Consent





Unanticipated Adverse Device Affects

- 10 days to report

Withdrawal of FDA or IRB Approval



Withdrawal of IRB Approval:

- Notify FDA
- Notify any IRB
- 5 Working Days

Withdrawal of FDA Approval:

- Notify IRB
- Notify any other investigators
- 5 Working Days

Current List of Investigators

- Current list to FDA
- Every 6 months
- Ask about FDA waiver

Annual Progress Report

- Submit to IRB and FDA
- Guidelines on FDA website
 - Under IDE Reporting
- Include:
 - Basic Elements
 - Study Progress
 - Risk Analysis
 - Other Changes
 - Future Plans

Recalls and Device Disposition

- 30 days to notify
- Notify FDA and reviewing IRBs
- Any return, repair, or disposal of a device

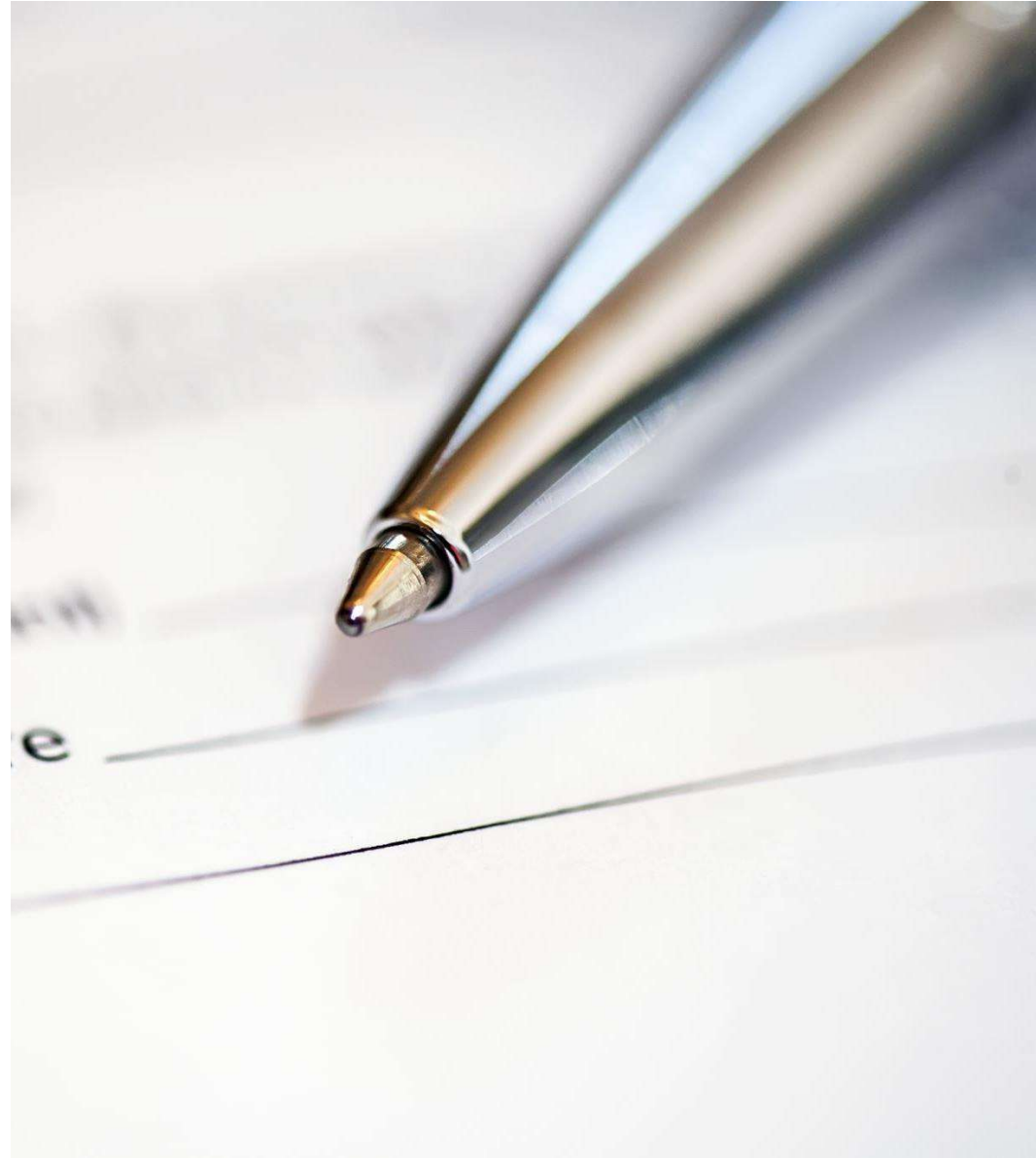
Final Report

- Notify FDA and IRB
 - Within 30 working days
- Submit final report to FDA and IRB
 - Within 6 months
- Final report includes:
 - Basic Elements
 - Study Progress
 - Risk Analysis
 - Other Changes
 - Marketing Application or Future Plans

Failure to Attain Informed Consent

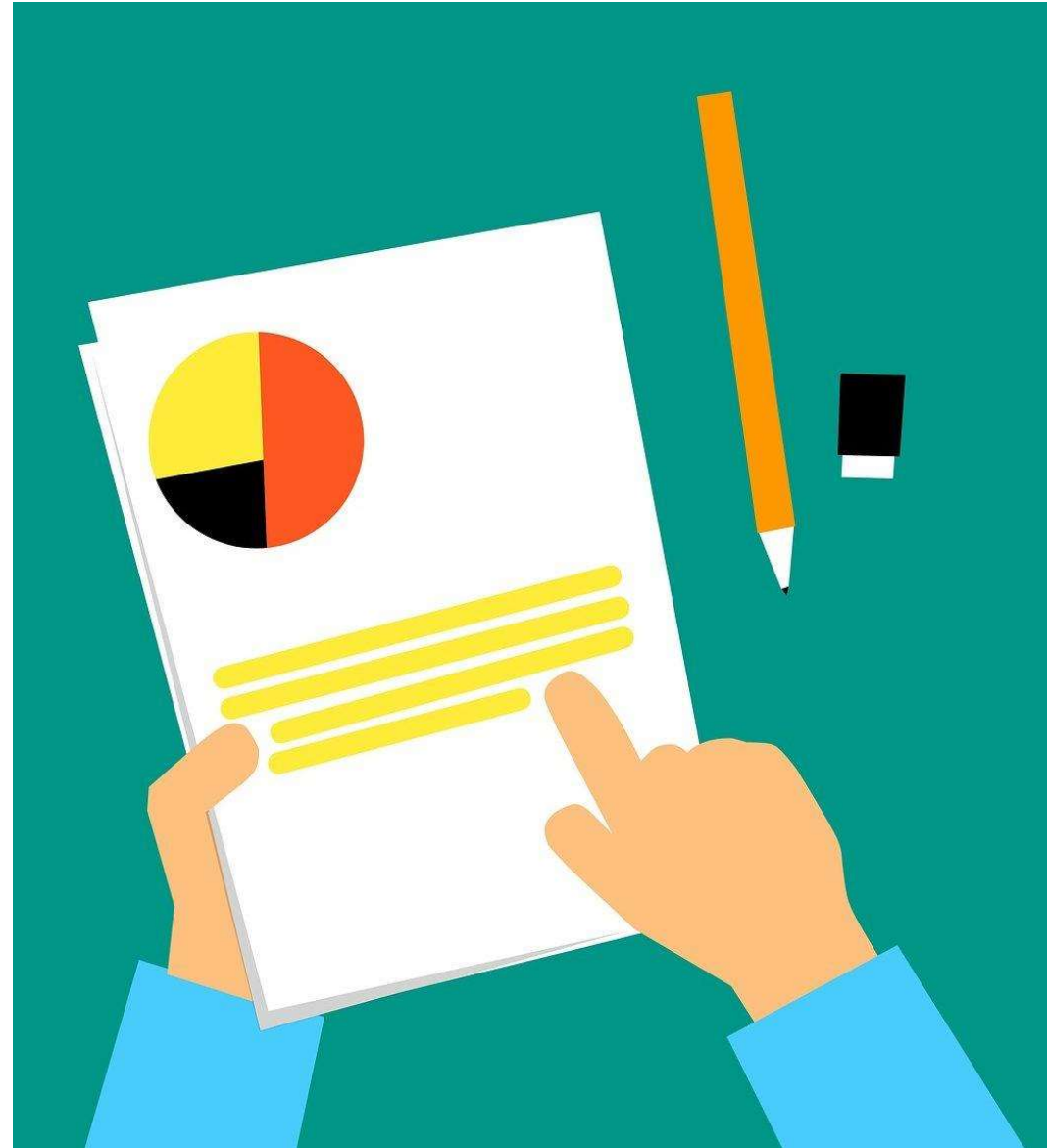
Notify FDA

- Within 5 working days



Investigator Reports

- Unanticipated Diverse Device Affects
- Withdrawal of IRB Approval
- Progress Reports
- Deviations from the Investigational Plan
- Annual Progress Reports and Final Reports



Deviations from the Investigational Plan

- **Notify IRB**
 - Within 5 working days
- **Informed Consent**
 - Notify IRB within 5 working days
- **Final Report**
 - Submit to IRB within 3 months of termination
- **Other Reports**
 - Provide information when requested



Overall Reporting Takeaways

- Lots of reporting
- Dedication for upkeep



Sponsor Records

- All correspondence
- Shipment records
- Device disposal records
- Signed investigator agreements
- Complaints and adverse device effects
- Any FDA specified records

Investigator Records

- All correspondence
- Device records
- Subject records
- Protocol and documentation
- Any FDA specified records

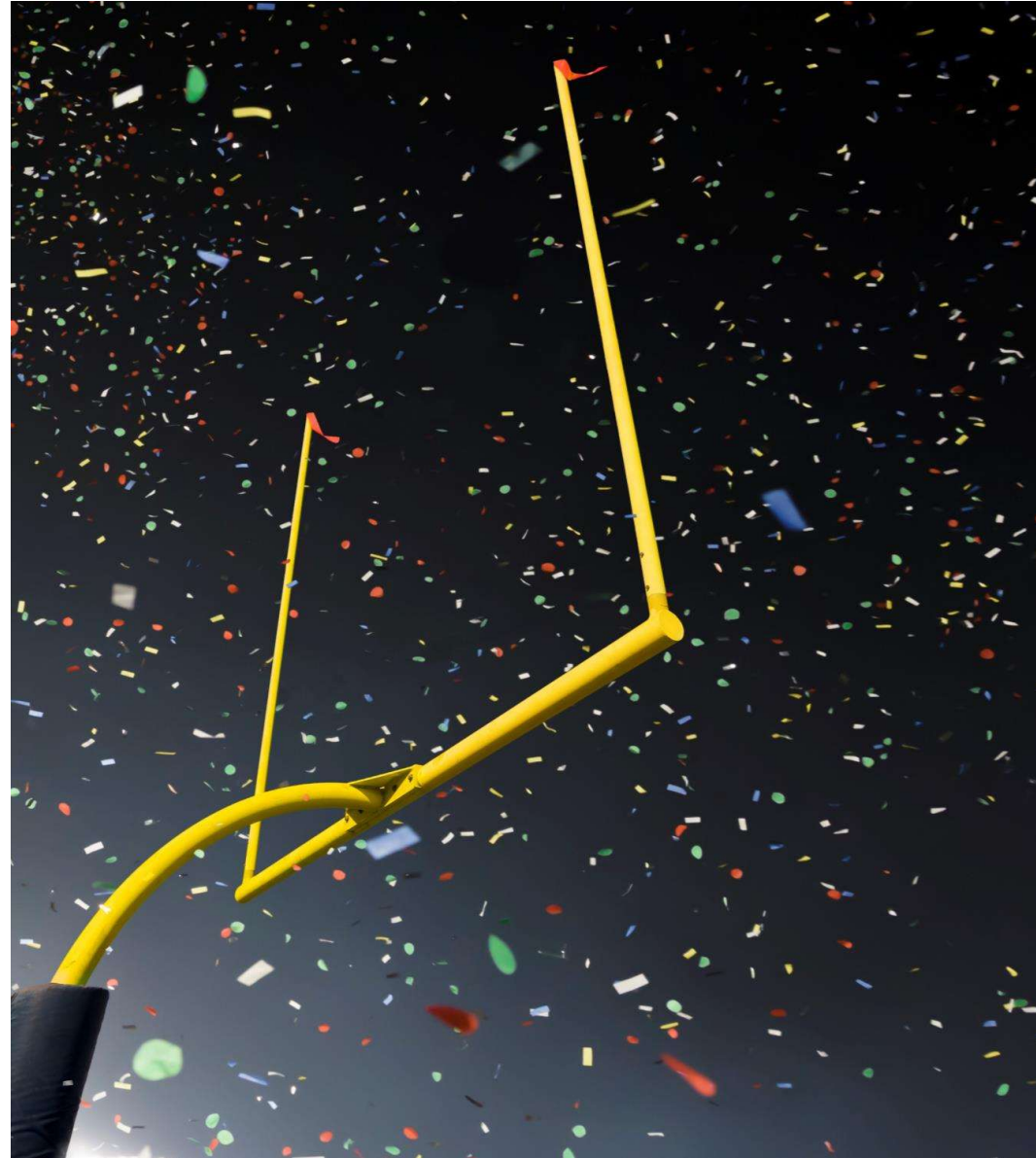
Common Deficiencies & Struggles



- Describing an End Goal
- Inadequate Investigational Plan
- Incorporating and Reporting Technical Information and Prior Investigations
- Inadequate/Incomplete Design and Manufacture
- Understanding the cost of an IDE

End Goal

- Device approval
- Gateway to a clinical trial





Investigational Plan

Be detailed!

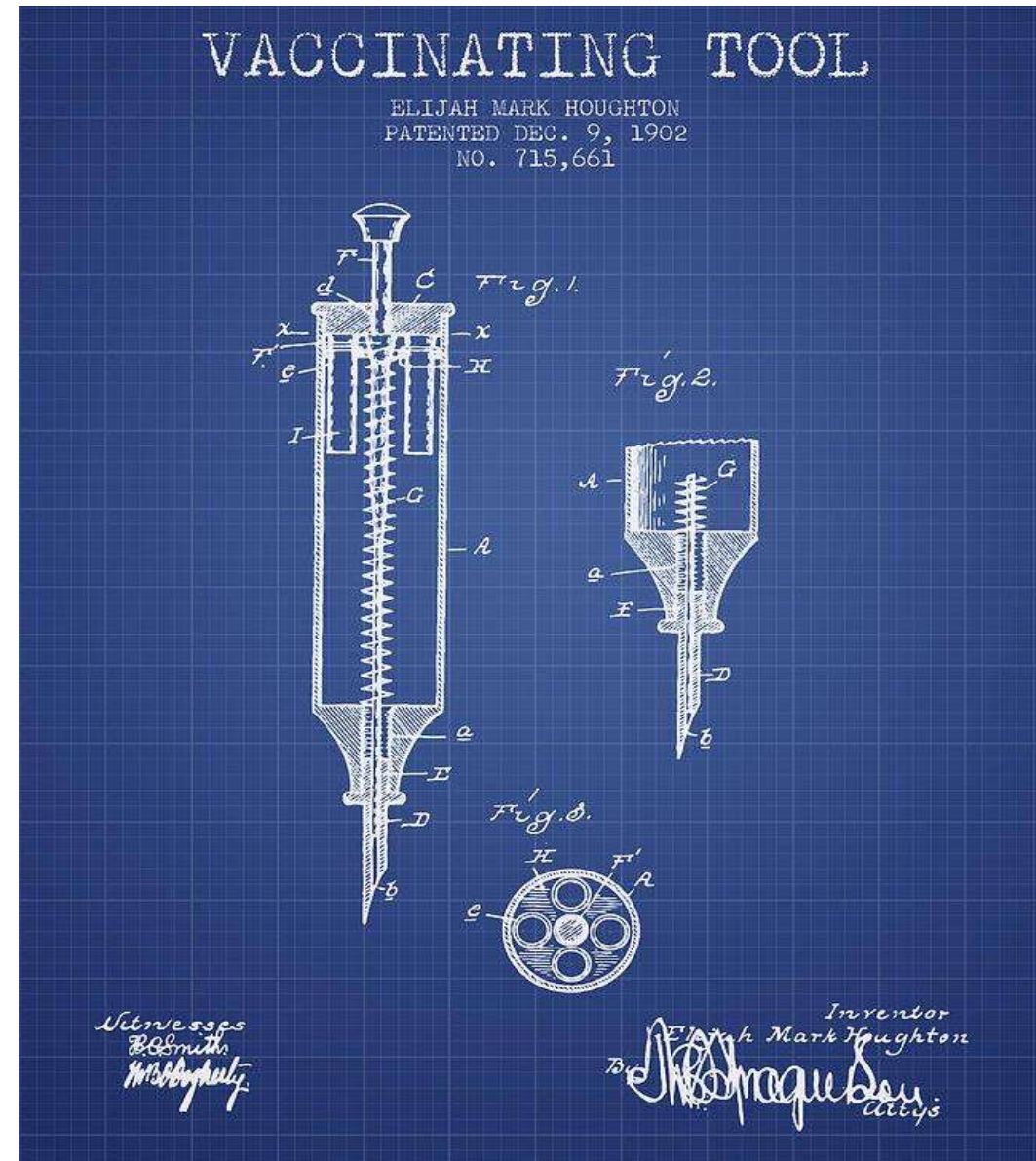
Required Technical Information

- Request Device Master File
 - Contact company
 - Local medical sales associate
 - Product specialist
- Durability information
 - Search companies online
 - Request to rent company testing devices



Inadequate/ Incomplete Design and Manufacture

- Reach out to:
 - Medical Sales Associate
 - Product Specialist
- Ask questions!



Cost of (PS)IDEs

- Expensive
- Cost of manufacturing and testing device
- Data collection and analysis
- Staff



Grants & Aid

- Funding opportunities
 - Fellowship, Educational, & Research Grants
- Check out templates & grant portal
 - www.goremedical.com/grants
- Information already collected for PSIDE application
- If any issues, please email
 - grants_program@wlgore.com



Final tips & takeaways

- Reach out to the FDA early
- Apply for grants & know your budget
- Make sure all the technical information is in order
 - Device testing
 - Reach out for the device master file
- Seek feedback
- Be detailed in your applications and reports

Thank you



Sources & Photos

- “IDE Application” *FDA*, Date Accessed: October 18th, 2023. <https://www.fda.gov/medical-devices/investigational-device-exemption-ide/ide-application>
- Starnes, Benjamin. “A surgeon’s perspective regarding the regulatory, compliance, and legal issues involved with physician-modified devices.” *Journal of Vascular Surgery*, Volume 57, Issue 3, 2013, Pages 829-831, ISSN 0741-5214, <https://doi.org/10.1016/j.jvs.2012.11.043>
- Davidson, Andie. “Testing New Generation Medical Devices.” *Quality Magazine*. June 2nd, 2015. Date accessed: April 19th, 2024. <https://www.qualitymag.com/articles/92646-testing-new-generation-medical-devices>