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# Learning to Rank: A New Technology for Text Processing

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# Talk Outline

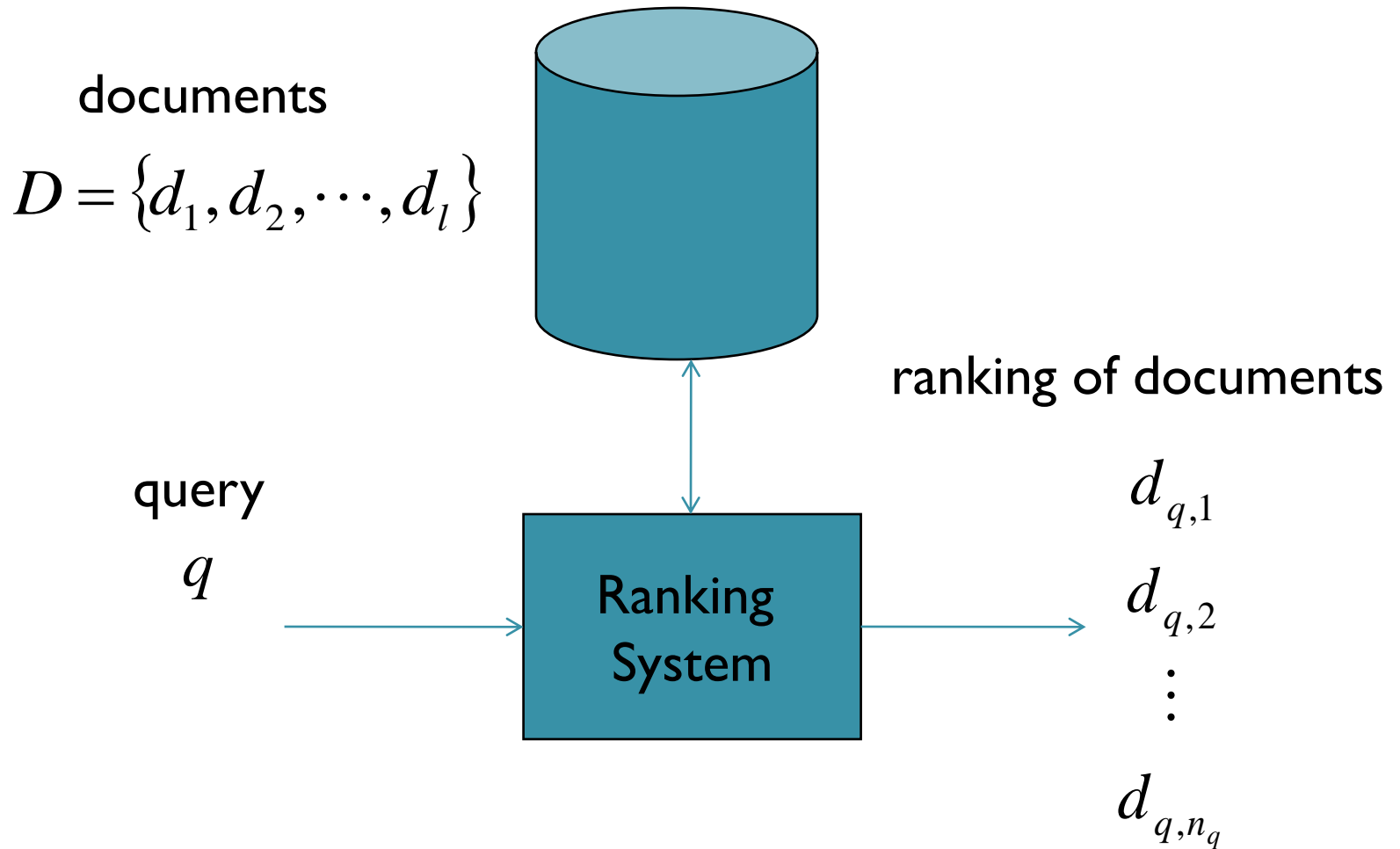
- What is 'Learning to Rank'?
- Ranking SVM
- Definition Search
- Ranking SVM for IR
- Summary



# **WHAT IS LEARNING TO RANK?**

# Ranking Problem:

## Example = Document Retrieval

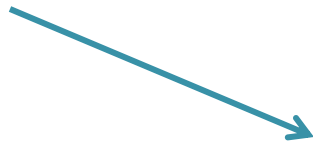


# Ranking in Information Retrieval

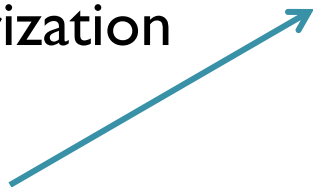
- Document Retrieval
- Collaborative Filtering
- Key Term Extraction
- Expert Finding
- .....

# Means for Information Access

Information  
Extraction



Multi-document  
Summarization



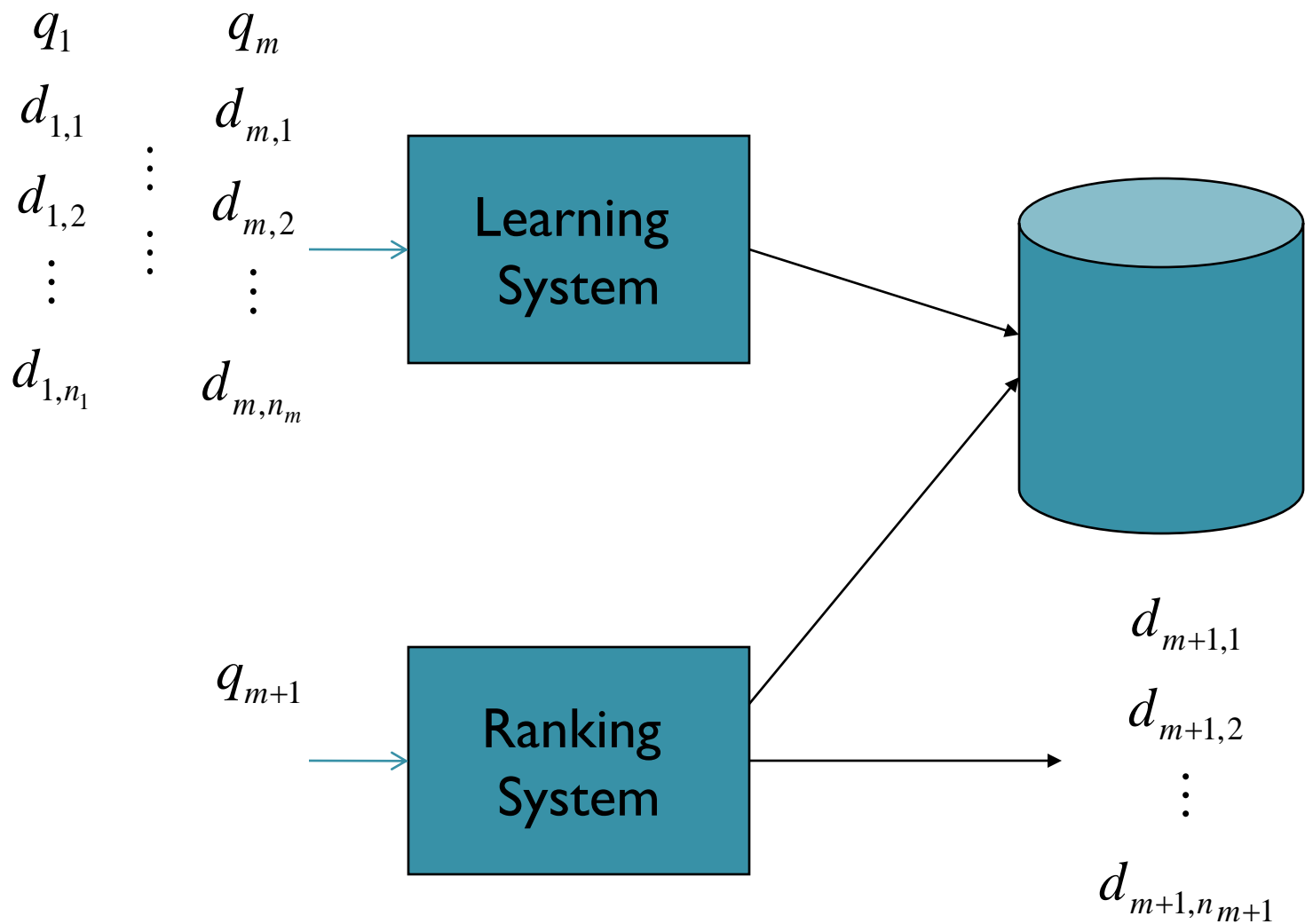
Ranking



# NLP and TM Problems Can Be Formalized as Ranking

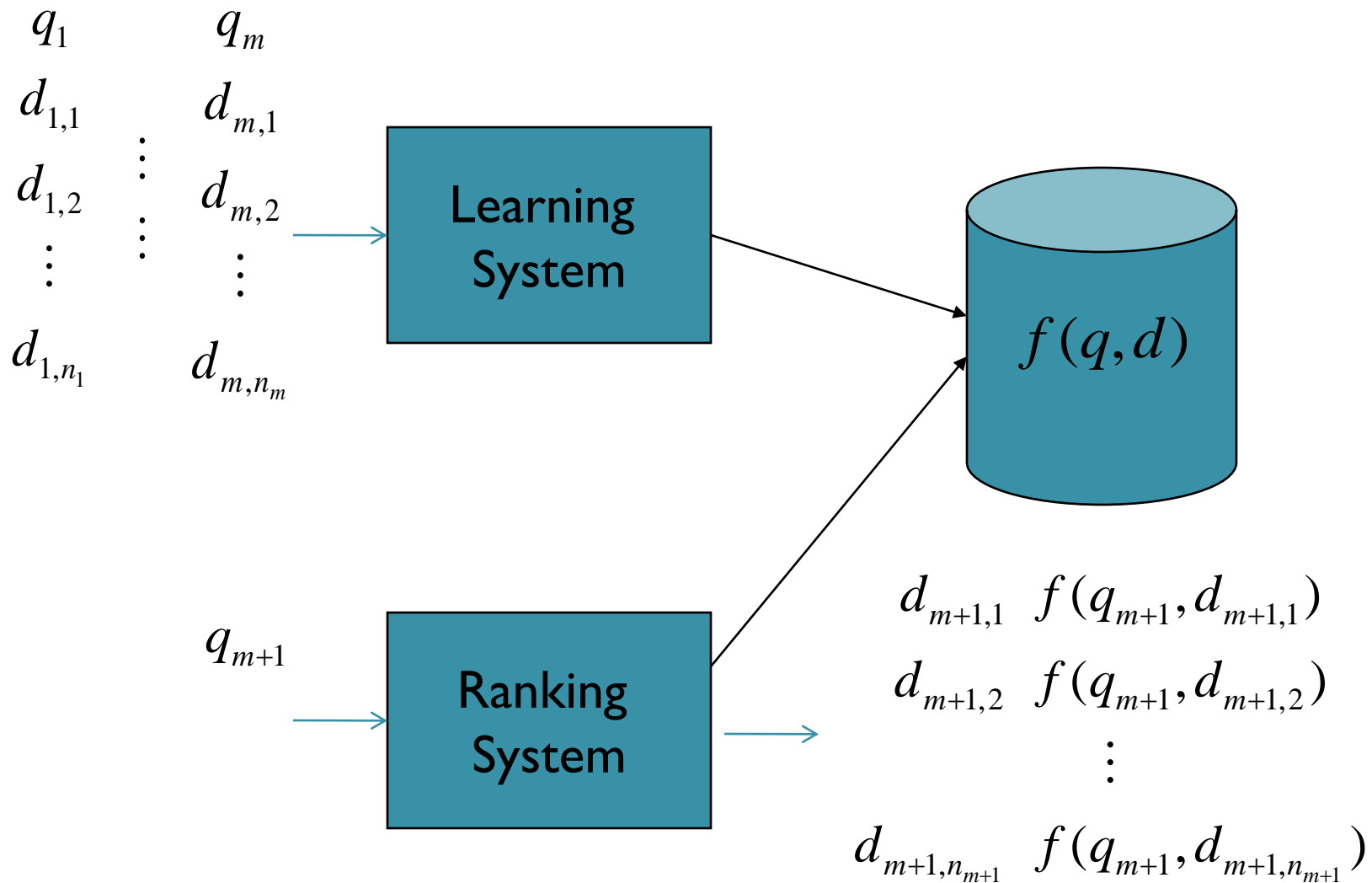
- Machine Translation
- Paraphrasing
- Sentiment Analysis
- .....

# Learning to Rank





# Score-based Ranking



# Data Labeling Methods

- Listwise
- Pointwise
  - Score
  - Rank (e.g., relevant, partially relevant, irrelevant)
- Pairwise

# Pairwise Data Labeling Method Joachims (2002)

ranking of documents



click on document



pairwise data

$B > A$

# Evaluation Measures

- MRR (Mean Reciprocal Rank)
- MAP (Mean Average Precision)
- NDCG (Normalized Discounted Cumulative Gain)
- Kendall's Tau

# Kendall's Tau

$$\tau = \frac{2P}{\frac{1}{2}n(n-1)} - 1$$

- Number of pairs  $\frac{1}{2}n(n-1)$
- Number of concordant pairs  $P$
- Example

A B C

C A B

$$\tau = \frac{2 \times 1}{3} - 1 = -\frac{1}{3}$$

# NDCG

- query:  $q_i$
- DCG at position  $m$ :  $N_i = n_i \sum_{j=1}^m (2^{r(j)} - 1) / \log(1 + j)$
- NDCG at position  $m$ : average over queries
- Example
  - (3, 3, 2, 2, 1, 1, 1) rank  $r$
  - (7, 7, 3, 3, 1, 1, 1) gain  $2^{r(j)} - 1$
  - (1, 0.63, 0.5, 0.43, 0.39, 0.36, 0.33) discount  $1 / \log(1 + j)$
  - (7, 11.41, 12.91, 14.2, 14.59, 14.95, 15.28)

$$\sum_{j=1}^m (2^{r(j)} - 1) / \log(1 + j)$$



# **RANKING SVM**

# Ranking SVM

Herbrich et al (2000)

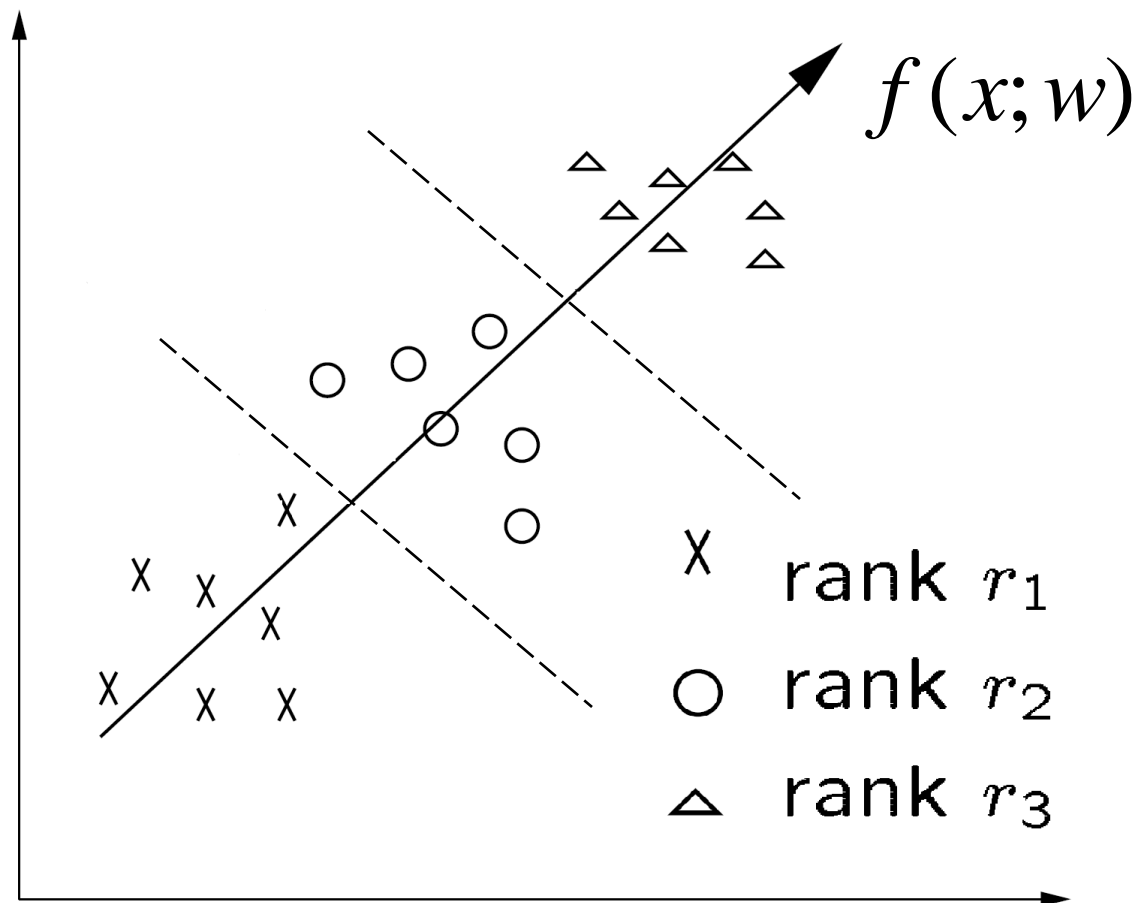
- Input space:  $X$
- Ranking function  $f : X \rightarrow R$
- Ranking:  $x_i \succ x_j \Leftrightarrow f(x_i; w) > f(x_j; w)$
- Linear ranking function:  $f(x; w) = \langle w, x \rangle$
- Transforming to binary classification:

$$(\vec{x}^{(1)} - \vec{x}^{(2)}, z), \quad z = \begin{cases} +1 & x^{(1)} \succ x^{(2)} \\ -1 & x^{(2)} \succ x^{(1)} \end{cases}$$



# Ranking SVM (cont')

- Ranking Model:  $f(x; w)$



# Ranking SVM (cont')

$$\min_{w, \xi} \frac{1}{2} \|w\|^2 + C \xi_i$$

$$z_i \langle w, x_i^{(1)} - x_i^{(2)} \rangle \geq 1 - \xi_i$$

$$\xi_i \geq 0$$



$$\min_w \sum_{i=1}^l \left[ 1 - z_i \langle w, x_i^{(1)} - x_i^{(2)} \rangle \right]_+ + \lambda \|w\|^2$$



# **DEFINITION SEARCH**

# Definition Search (Xu 2005)

What is  
Linux?



Linux is an excellent product.

Linux is a Unicode platform.

Linux is a free Unix-type operating system originally created by Linus Torvalds with the assistance of developers around the world.

Linux is an open source operating system that was derived from Unix in 1991.

Linux is the platform for the communication application for the leader network

# Definitions Can Be Ranked

- Good definition
  - Contain general notion and important properties of term
  - E.g., “Linux is a Unix-based operating system that was developed in 1991 by Linus Torvalds, then a student in Finland.”
- Indifferent definition
  - Between ‘good’ and ‘bad’
  - E.g., “Linux is the best-known product distributed under the GPL.”
- Bad definition
  - Option, impression, or feeling of people about term
  - E.g., “Linux is an excellent product.”

# Extracting and Ranking Definition Candidates

- Identifying term of each paragraph
  - First Base NP of first sentence
  - Two Base NPs separated by 'of' or 'for'
- Extracting definition candidates
  - <term> is a|an|the \*
  - <term>, \*, a|an|the \*
  - <term> is one of \*
- Ranking definition candidates using Ranking SVM

# Features in Ranking SVM

1. *<term>* occurs at the beginning of the paragraph.
2. *<term>* begins with 'the', 'a', or 'an'.
3. All the words in *<term>* begin with uppercase letters.
4. Paragraph contains predefined negative words, e.g. 'he', 'she', 'said'
5. *<term>* contains pronouns.
6. *<term>* contains 'of', 'for', 'and', 'or' or ','.
7. *<term>* re-occurs in the paragraph.
8. *<term>* is followed by 'is a ', 'is an' or 'is the '.
9. Number of sentences in the paragraph.
10. Number of words in the paragraph.
11. Number of the adjectives in the paragraph.
12. Bag of words: words frequently occurring within a window after *<term>*

# Experimental Results on Ranking Definitional Paragraphs

	Error Rate	R-precision	Top 1 Precision	Top 3 Precision
BM25	0.513	0.289	0.221	0.642
Random Ranking	0.436	0.322	0.347	0.632
Ranking SVM	<b>0.271</b>	<b>0.518</b>	<b>0.550</b>	<b>0.887</b>





# **RANKING SVM FOR IR**

# Direct Application of Ranking SVM to Document Retrieval

- Query and document  $\rightarrow$  feature vector
- Combining instance pairs from all queries

# Problems with Direct Application

- Top sensitiveness

*d: definitely relevant, p: partially relevant, n: not relevant*

ranking 1: **p** **d** p n n n n

ranking 2: d p **n** **p** n n n

- Query normalization

q1: d p p n n n n

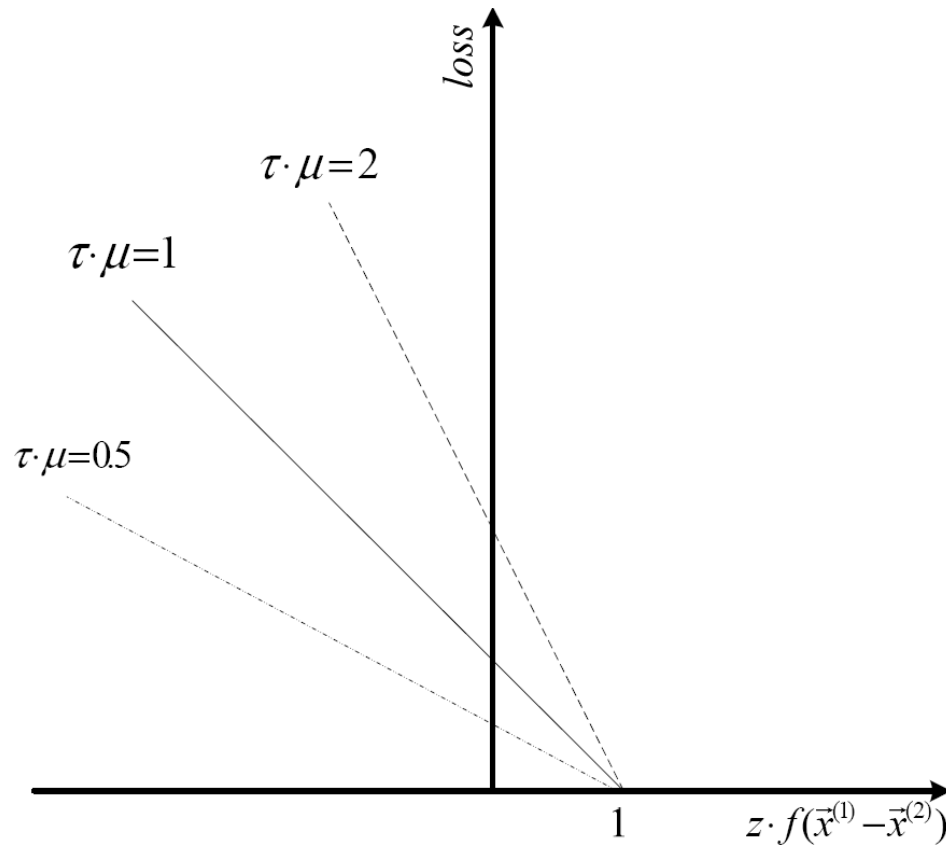
q2: d d p p p n n n n

q1 pairs:  $2*(d, p) + 4*(d, n) + 8*(p, n) = 14$

q2 pairs:  $6*(d, p) + 10*(d, n) + 15*(p, n) = 31$

# New Loss function

$$\min_{\vec{w}} L(\vec{w}) = \sum_{i=1}^l \tau_{k(i)} \mu_{q(i)} \left[ 1 - z_i \left\langle \vec{w}, \vec{x}_i^{(1)} - \vec{x}_i^{(2)} \right\rangle \right]_+ + \lambda \|\vec{w}\|^2$$



# Ranking SVM for IR (Cao et al 2006)

$$\min_{\vec{w}} L = \sum_{i=1}^{\ell} \tau_{k(i)} \mu_{q(i)} \left[ 1 - z_i \left\langle \vec{w}, \vec{x}_i^{(1)} - \vec{x}_i^{(2)} \right\rangle \right]_+ + \lambda \|\vec{w}\|^2,$$

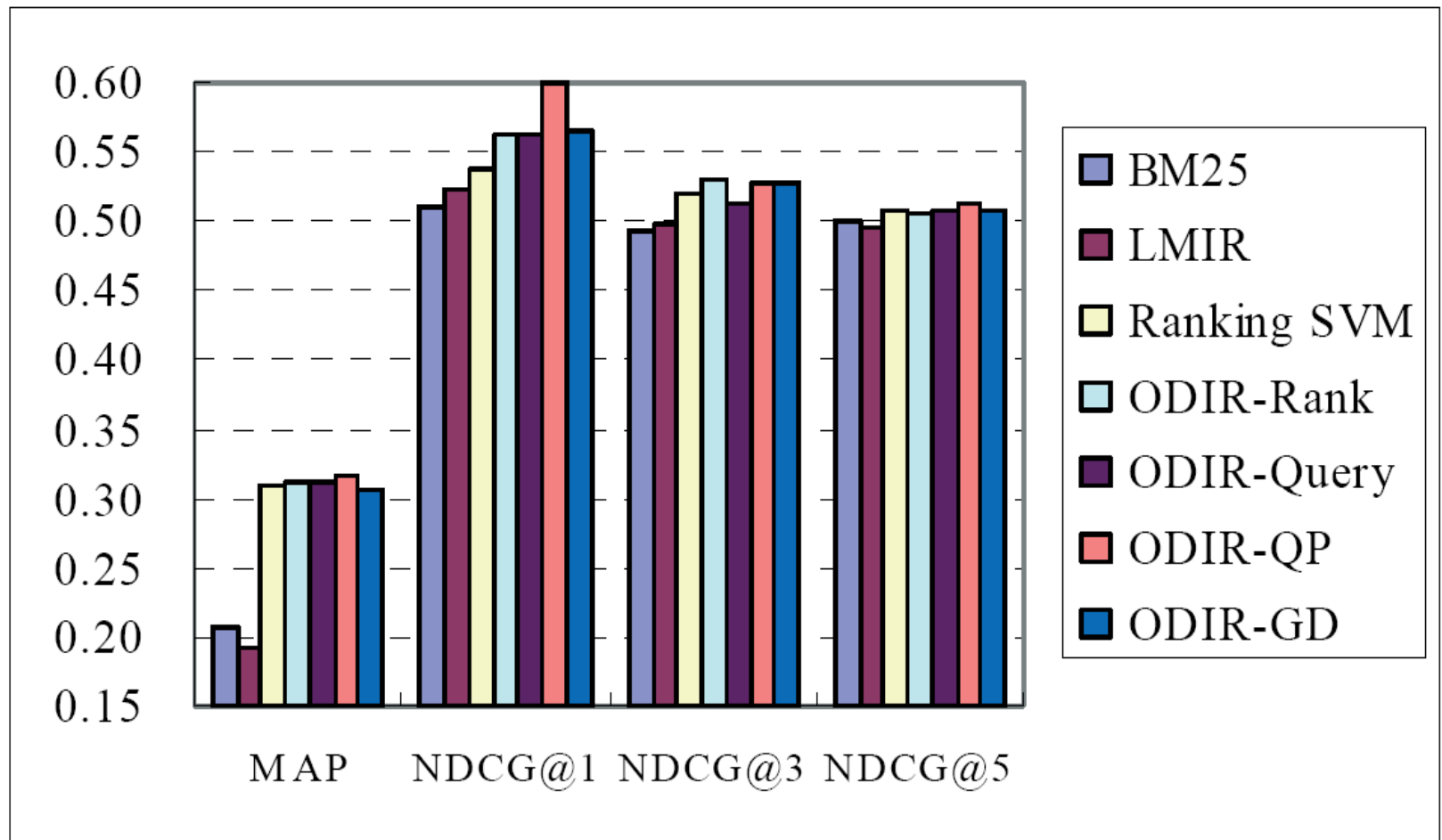


$$\min_{\vec{w}} M(\vec{w}) = \frac{1}{2} \|\vec{w}\|^2 + \sum_{i=1}^{\ell} C_i \xi_i$$

$$\text{subject to } \xi_i \geq 0, \quad z_i \left\langle \vec{w}, \vec{x}_i^{(1)} - \vec{x}_i^{(2)} \right\rangle \geq 1 - \xi_i \quad i = 1, \dots, \ell$$

$$\text{where } C_i = \frac{\tau_{k(i)} \mu_{q(i)}}{2\lambda}$$

# Experimental Results (OHSUMED)





# SUMMARY

# Summary

- What is 'Learning to Rank'?
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# Future Directions

- Inventing new algorithms
  - e.g., directly optimizing evaluation measure
- Developing new labeling methods
- Finding more appropriate evaluation measures
- Applying to new applications

# References

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**THANK YOU**