

EDWIN HU

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EDUCATION

NYU School of Law	New York, NY
J.D., Furman Scholar, Law & Economics Prize	2020–2023
Rice University	Houston, TX
Ph.D., M.A., Finance	2010–2016
University of Washington	Seattle, WA
B.A., Economics, Applied Computational Math, <i>Cum Laude</i>	2006–2010

WORK IN PROGRESS

- *The Dark Side of Private Markets*

PUBLICATIONS & FORTHCOMING WORKS

- *Regulatory Arbitrage and the Persistence of Financial Misconduct*, 74 STAN. L. REV. 737 (2022) (with Colleen Honigsberg & Robert Jackson)
Presented at Berkeley Law, Accounting, & Business Workshop (2020), NYU Law & Economics Workshop (2021), Harvard Empirical Law & Finance Workshop (2021).
- *The Index-Fund Dilemma: An Empirical Study of the Lending-Voting Tradeoff* (with Joshua Mitts & Haley Sylvester), *Revise & Resubmit*, J. LEGAL STUD.
Presented at JCLAW (2020), Harvard Empirical Law & Finance Workshop (2021).
Covered in Money Stuff (Bloomberg) and The Wall Street Journal.
- *Financial Integration and Credit Democratization: Linking Banking Deregulation to Economic Growth*, 45 J. FIN. INTERMEDIATION 100857 (2021) (with Elizabeth Berger, Alexander Butler & Morad Zekhnini)
- *A Comparison of Some Structural Models of Private Information Arrival*, 135 J. FIN. ECON. 795 (2020) (with Jefferson Duarte & Lance Young)
- *Vestigial Tails? Floor Brokers at the Close in Modern Electronic Markets* (with Dermot Murphy), *Revise & Resubmit*, MGMT. SCI.
Presented at NBER Big Data Conference (2020), Western Finance Association (2021), European Finance Association (2021).
Cited in Congressional Research Service Report IN11447.
Covered in Money Stuff (Bloomberg), The Wall Street Journal, and The Economist.

SELECTED WORKING PAPERS

- *Institutional Investor Deliberation* (with Nadya Malenko & Jonathon Zytznick)
Presented at American Law and Economics Association (2023) Junior Scholars
Featured Papers Panel.
- *Regulatory Leakage Among Financial Advisors: Evidence From FINRA Regulation
of ‘Bad’ Brokers* (with Colleen Honigsberg & Robert Jackson)
Presented at Harvard Law School Workshop in Empirical Law & Finance (2022),
Corporate and Securities Litigation Workshop (2022), University of Texas Law,
Business, and Economics Workshop (2022), Northwestern Pritzker Law and
Economics Colloquium (2022), NYU Law and Economics Workshop (2023), Stanford
Law Faculty Workshop (2023), American Law and Economics Association (2023),
American Finance Association (2024 Scheduled).
- *Competition for Retail Order Flow and Market Quality* (with Dermot Murphy)
Cited in SEC’s Proposed Rule on Order Competition.

PROFESSIONAL EXPERIENCE

NYU School of Law	New York, NY
Institute for Corporate Governance & Finance	2023–Present
Postdoctoral Fellow	
Securities and Exchange Commission	Washington, DC
Counsel to Commissioner	2018–2020
Financial Economist	2016–2018

TEACHING EXPERIENCE

Rice University	Houston, TX
Corporate Finance, Full-Time and Professional MBA	Spring 2014

OTHER EXPERIENCE

White House National Economic Council	Washington, DC
Economic Policy Fellow	Fall 2022

OTHER WRITINGS

- Petition for Rulemaking: Modernization of Rule 144 (with Daniel J. Taylor, Arthur Andersen Professor of Accounting at Wharton School of Business).
Petitioned the SEC to address plaintiff standing in securities fraud cases under *Slack v. Pirani*, 598 U.S. ____ (2023).

- *Intentional Access Delays, Market Quality, and Price Discovery: Evidence from IEX Becoming an Exchange*, SEC Working Paper (2018).
Covered in The Wall Street Journal and Financial Times.
- *Tick Size Pilot Program and Market Quality*, SEC White Paper (2018) (with Paul Hughes, John Ritter, Patti Vegella, and Hao Zhang).

SKILLS

python, R, SAS, stata, sql, bash, git, awk, L^AT_EX.