

## EDWIN HU

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NYU School of Law  
40 Washington Sq. South • New York, NY 10012  
360.402.6090 | [edwin.hu@nyu.edu](mailto:edwin.hu@nyu.edu)

### EDUCATION

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|---|---------------------|
| <b>NYU School of Law</b>                                      | <b>New York, NY</b> |
| J.D., Furman Scholar, Law & Economics Prize                   | 2020–2023           |
| <b>Rice University</b>  | <b>Houston, TX</b>  |
| Ph.D., M.A., Finance  | 2010–2016           |
| <b>University of Washington</b>                               | <b>Seattle, WA</b>  |
| B.A., Economics, Applied Computational Math, <i>Cum Laude</i> | 2006–2010           |

### WORK IN PROGRESS

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- *The Dark Side of Private Markets*

### PUBLICATIONS & FORTHCOMING WORKS

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- *Regulatory Arbitrage and the Persistence of Financial Misconduct*, 74 STAN. L. REV. 737 (2022) (with Colleen Honigsberg & Robert Jackson)  
Presented at Berkeley Law, Accounting, & Business Workshop (2020), NYU Law & Economics Workshop (2021), Harvard Empirical Law & Finance Workshop (2021).
- *The Index-Fund Dilemma: An Empirical Study of the Lending-Voting Tradeoff* (with Joshua Mitts & Haley Sylvester), *Revise & Resubmit*, J. LEGAL STUD.  
Presented at JCLAW (2020), Harvard Empirical Law & Finance Workshop (2021).  
Covered in Money Stuff (Bloomberg) and The Wall Street Journal.
- *Financial Integration and Credit Democratization: Linking Banking Deregulation to Economic Growth*, 45 J. FIN. INTERMEDIATION 100857 (2021) (with Elizabeth Berger, Alexander Butler & Morad Zekhnini)
- *A Comparison of Some Structural Models of Private Information Arrival*, 135 J. FIN. ECON. 795 (2020) (with Jefferson Duarte & Lance Young)
- *Vestigial Tails? Floor Brokers at the Close in Modern Electronic Markets* (with Dermot Murphy), *Revise & Resubmit*, MGMT. SCI.  
Presented at NBER Big Data Conference (2020), Western Finance Association (2021), European Finance Association (2021).  
Cited in Congressional Research Service Report IN11447.  
Covered in Money Stuff (Bloomberg), The Wall Street Journal, and The Economist.

## SELECTED WORKING PAPERS

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- *Institutional Investor Deliberation* (with Nadya Malenko & Jonathon Zytznick)  
Presented at American Law and Economics Association (2023) Junior Scholars  
Featured Papers Panel.
- *Regulatory Leakage Among Financial Advisors: Evidence From FINRA Regulation  
of ‘Bad’ Brokers* (with Colleen Honigsberg & Robert Jackson)  
Presented at Harvard Law School Workshop in Empirical Law & Finance (2022),  
Corporate and Securities Litigation Workshop (2022), University of Texas Law,  
Business, and Economics Workshop (2022), Northwestern Pritzker Law and  
Economics Colloquium (2022), NYU Law and Economics Workshop (2023), Stanford  
Law Faculty Workshop (2023), American Law and Economics Association (2023),  
American Finance Association (2024 Scheduled).
- *Competition for Retail Order Flow and Market Quality* (with Dermot Murphy)  
Cited in SEC’s Proposed Rule on Order Competition.

## PROFESSIONAL EXPERIENCE

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| <b>NYU School of Law</b>                     | <b>New York, NY</b>   |
| Institute for Corporate Governance & Finance | 2023–Present          |
| Postdoctoral Fellow                          |                       |
| <b>Securities and Exchange Commission</b>    | <b>Washington, DC</b> |
| Counsel to Commissioner                      | 2018–2020             |
| Financial Economist                          | 2016–2018             |

## TEACHING EXPERIENCE

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| <b>Rice University</b>                            | <b>Houston, TX</b> |
| Corporate Finance, Full-Time and Professional MBA | Spring 2014        |

## OTHER EXPERIENCE

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| <b>White House National Economic Council</b> | <b>Washington, DC</b> |
| Economic Policy Fellow                       | Fall 2022             |

## OTHER WRITINGS

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- Petition for Rulemaking: Modernization of Rule 144 (with Daniel J. Taylor, Arthur Andersen Professor of Accounting at Wharton School of Business).  
Petitioned the SEC to address plaintiff standing in securities fraud cases under *Slack v. Pirani*, 598 U.S. \_\_\_\_ (2023).

- *Intentional Access Delays, Market Quality, and Price Discovery: Evidence from IEX Becoming an Exchange*, SEC Working Paper (2018).  
Covered in The Wall Street Journal and Financial Times.
- *Tick Size Pilot Program and Market Quality*, SEC White Paper (2018) (with Paul Hughes, John Ritter, Patti Vegella, and Hao Zhang).

## SKILLS

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python, R, SAS, stata, sql, bash, git, awk, L<sup>A</sup>T<sub>E</sub>X.

## REFERENCES

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Robert J. Jackson, Jr.  
Pierrepont Family Professor of Law  
NYU School of Law  
914.819.7527  
[robert.j.jackson@nyu.edu](mailto:robert.j.jackson@nyu.edu)

Stephen J. Choi  
Bernard Petrie Professor  
NYU School of Law  
212.992.8962  
[stephen.choi@nyu.edu](mailto:stephen.choi@nyu.edu)

Daniel J. Hemel  
Professor of Law  
NYU School of Law  
213.998.6354  
[daniel.hemel@nyu.edu](mailto:daniel.hemel@nyu.edu)

Colleen Honigsberg  
Professor of Law  
Stanford Law School  
415.425.1723  
[colleenh@law.stanford.edu](mailto:colleenh@law.stanford.edu)

Geoffrey P. Miller  
Stuyvesant P. Comfort Professor of Law  
NYU School of Law  
212.998.6329  
[geoffrey.miller@nyu.edu](mailto:geoffrey.miller@nyu.edu)

David L. Yermack  
Albert Fingerhut Professor of Finance  
Chair, Finance Department  
NYU Stern  
[dy1@stern.nyu.edu](mailto:dy1@stern.nyu.edu)