

# Fundamentos de Procesamiento de Lenguaje Natural



Summer Camp
en Inteligencia Artificial

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- Bachiller en Ingeniería de Computación y Sistemas: Universidad San Martín de Porres.
- Maestría en Informática con Mención en Ciencias de la Computación: Pontificia Universidad Católica del Perú.
- PhD. in Medical Image Analysis, Computer Vision and Machine Learning: The University of Adelaide.
- Current: Chief Scientist Kashin

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## Índice

- 1. Intro
- 2. IMDB challenge
- Classic methods for NLP
- 4. Deep learning for NLP
- 5. Language models
- 6. Fine-tuning
- 7. Transformer
- 8. Large language models
- 9. Future



#### 0. Some tools

- https://aistudio.google.com
- https://console.anthropic.com
- https://web2md.answer.ai/





# Intro

IMDB challenge Classic methods for NLP

Deep learning for NLP

Language models

Fine-tuning

Transformer

Large language models

Future

#### 1.1 Preguntas



#### Experiencia con:

- NLP?
- CV?
- DL?
- ML?
- Usando Llms?



#### 1.2 Setup

- https://colab.google/
- https://github.com/renato145/pucp\_bootcamp\_202401



#### 1.3 Que es NLP (Natural Language Processing)?

El Procesamiento de Lenguaje Natural (NLP) es un campo amplio abarca una variedad de tareas que incluyen:

- Part-of-speech tagging: etiquetado de sustantivos, verbos, adjetivos.
- Named entity recognition NER: identificar nombres de personas, organizaciones, ubicaciones.
- Question answering.
- Speech recognition.
- Topic modeling: identificar los temas principales en un conjunto de documentos.
- Sentiment classification: Determinar si un comentario es positivo, negativo o neutral.
- Language modeling: predecir la siguiente palabra.
- Translation.



#### 1.4 NLP: un campo cambiante

#### **Case: spell checkers**

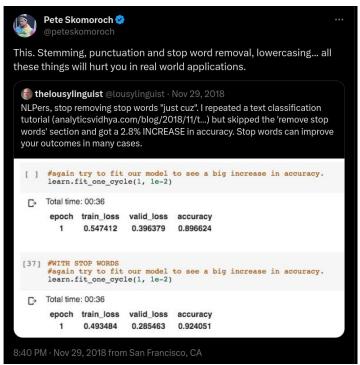
Históricamente los correctores ortográficos han requerido miles de líneas de código para expresar reglas (Whitelaw et al., 2009).

Usando métodos estadísticos se puede escribir un corrector ortográfico en muchas menos líneas de código (norvig-spell-correct).



#### 1.5 NLP: un campo cambiante

**Case: best practices** 



link-to-tweet



#### 1.6 NLP: complejidad

#### "She killed the man with the tie."

- Was the man wearing the tie?
- Or was the tie the murder weapon?



#### 1.7 NLP: presente

- Large language models (LLMs)
- ChatGPT



#### 1.8 NLP: futuro

- Accesibilidad
- Modelos abiertos
- Regulaciones
- Problemas éticos



#### 1.9 Timeline

1997: LSTM (Hochreiter and Jürgen, 1997)

2007: Google translate: <u>SMT</u>

2011: IMDB dataset (Mass et. al., 2011)

2015: ImageNet (Russakovsky et. al., 2015)

2016: Google translate: GNMT

2017: ULMFiT (Howard and Ruder, 2018), Transformer architecture (Vaswani et al., 2017)

2018: ELMo (Peters et al., 2018), GPT-1 (Radford et al., 2018)

2019: GPT-2 (Solaiman et al., 2019)

2020: GPT-3 (Brown et al., 2020)

2023: GPT-4



#### 1.10 Librerías

- scikit-learn
- hugging-face
- PyTorch
- FastAl
- Axolotl





Intro

# IMDB challenge

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#### 2.1 IMDB challenge

Large Movie Review Dataset (Mass et. al., 2011)



#### 2.2 IMDB challenge

Features	PL04	Our Dataset	Subjectivity
Bag of Words (bnc)	85.45	87.80	87.77
Bag of Words ( $b\Delta t$ 'c)	85.80	88.23	85.65
LDA	66.70	67.42	66.65
LSA	84.55	83.96	82.82
Our Semantic Only	87.10	87.30	86.65
Our Full	84.65	87.44	86.19
Our Full, Additional Unlabeled	87.05	87.99	87.22
Our Semantic + Bag of Words (bnc)	88.30	88.28	88.58
Our Full + Bag of Words (bnc)	87.85	88.33	88.45
Our Full, Add'l Unlabeled + Bag of Words (bnc)	88.90	88.89	88.13
Bag of Words SVM (Pang and Lee, 2004)	87.15	N/A	90.00
Contextual Valence Shifters (Kennedy and Inkpen, 2006)	86.20	N/A	N/A
tf.∆idf Weighting (Martineau and Finin, 2009)	88.10	N/A	N/A
Appraisal Taxonomy (Whitelaw et al., 2005)	90.20	N/A	N/A

Table 2: Classification accuracy on three tasks. From left to right the datasets are: A collection of 2,000 movie reviews often used as a benchmark of sentiment classification (Pang and Lee, 2004), 50,000 reviews we gathered from IMDB, and the sentence subjectivity dataset also released by (Pang and Lee, 2004). All tasks are balanced two-class problems.





Intro
IMDB challenge

## **Classic methods for NLP**

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#### 3.1 Classic methods for NLP

#### imdb dataset['train'][99]

{'text': "This film is terrible. You don't really need to read this review further. If you are planning on watching it, suffice to say - don't (unless you are studying how not to make a good movie).<br/>
serious amateur hour. Throughout the movie I thought that it was interesting that they found someone who speak and looks like Michael Madsen, only to find out that it is actually him! A new low even for him!!<br/>
by the standard of Hollywood action flicks, this is a terrible movie.<br/>
serious amateur hour. Throughout the movie I thought that it was interesting that they found someone who speak and looks like Michael Madsen, only to find out that it is actually him! A new low even for him!!<br/>
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serious amateur hour. Throughout the movie I thought that it was interesting that they found someone who speak and looks like Michael Madsen, only is horizontally and looks like



```
[0.96, 1.73, 0.67, 1.24, 0.41, 0.32, 0.68, 0.76, 0.56, 0.23, 0.92, 0.53, 0.46, 0.35, 1.24, 0.89, 0.09, 1.06, 0.77, 1.11, 0.22, 1.92, 2.22, 0.5 , 1.25, 1.2 , 1.06, 1.08, 0.19, 0.18, 0.69, 1.42, 0.6 , 0.36, 0.53, 0.74, 0.49, 0.66, 1.11, 0.86, 0.58, 0.13, 0.58, 1.08]
```



#### 3.2 Classic methods for NLP

	Word 1	Word 2	Word 3	Word 4	Word 5	•••
Document 1		5		4		
Document 2	1		2		1	
Document 3	2	3		2		
•••						



- TF: term-frequency.
- IDF: inverse document-frequency.



- TF: term-frequency.
- IDF: inverse document-frequency.

#### Ej:

- El término "playa" aparece 10 veces en un documento.
- En el documento aparecen un total de 100 términos.

$$TF = \frac{10}{100} = 0.1$$



- TF: term-frequency.
- IDF: inverse document-frequency.

#### Ej:

- El término "playa" aparece 10 veces en un documento.
- En el documento aparecen un total de 100 términos.
- Hay un total de 5000 documentos.
- El **término** "playa" aparece en 50 **documentos**.

$$TF = \frac{10}{100} = 0.1$$
  $IDF = \log(\frac{5000}{50}) = 2$ 



- TF: term-frequency.
- IDF: inverse document-frequency.

#### Ej:

- El término "playa" aparece 10 veces en un documento.
- En el documento aparecen un total de 100 términos.
- Hay un total de 5000 documentos.
- El **término** "playa" aparece en 50 **documentos**.

$$TF = rac{10}{100} = 0.1$$
  $IDF = \log(rac{5000}{50}) = 2$   $TF ext{-IDF} = 0.1 * 2 = 0.2$ 



# 3.4 Classic methods for NLP: stemming and lemmatization

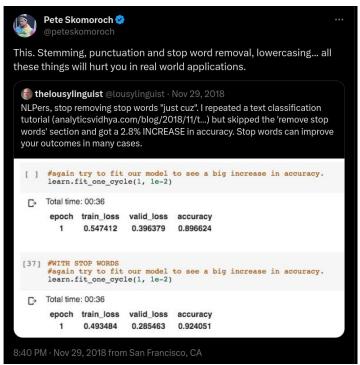
Reducir palabras a su raíz:

- **Lemmatization:** usa reglas del lenguaje, los resultados son palabras existentes.
- **Stemming** (poor-man's lemmatization): corta la terminación de las palabras para aproximar la raíz de las palabras, los resultados pueden no ser palabras reales.



#### 1.5 NLP: un campo cambiante

**Case: best practices** 



link-to-tweet



# 3.5 Classic methods for NLP: stemming and lemmatization

#### Librerías:

- NLTK (natural language toolkit)
- spacy



#### 3.6 Classic methods for NLP

### Notebook 1





Intro
IMDB challenge
Classic methods for NLP

# **Deep learning for NLP**

Language models
Fine-tuning
Transformer
Large language models
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#### 4.1 Deep learning for NLP: embeddings

#### imdb dataset['train'][99]

{'text': "This film is terrible. You don't really need to read this review further. If you are planning on watching it, suffice to say - don't (unless you are studying how not to make a good movie).<br/>
serious amateur hour. Throughout the movie I thought that it was interesting that they found someone who speak s and looks like Michael Madsen, only to find out that it is actually him! A new low even for him!!<br/>
by />cbr />cbr />chr />The plot is terrible. People who claim that it is original or good have probably never seen a decent movie before. Even by the standard of Hollywood action flicks, this is a terrible movie.<br/>
by />cbr />Don't watch it!!! Go for a jog inste ad - at least you won't feel like killing yourself.",

'label': 0}



```
[0.96, 1.73, 0.67, 1.24, 0.41, 0.32, 0.68, 0.76, 0.56, 0.23, 0.92, 0.53, 0.46, 0.35, 1.24, 0.89, 0.09, 1.06, 0.77, 1.11, 0.22, 1.92, 2.22, 0.5 , 1.25, 1.2 , 1.06, 1.08, 0.19, 0.18, 0.69, 1.42, 0.6 , 0.36, 0.53, 0.74, 0.49, 0.66, 1.11, 0.86, 0.58, 0.13, 0.58, 1.08]
```



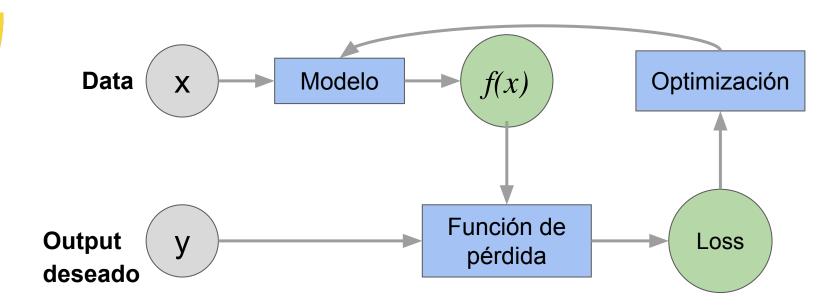
#### 4.2 Deep learning for NLP: embeddings

	x1	<b>x2</b>	х3	x4	х6	•••
Token 1	0.86	0.41	0.49	0.13	0.72	
Token 2	1.03	0.34	0.31	0.25	0.69	
Token 3	0.77	0.13	0.05	0.31	0.64	
•••						



#### 4.3 Deep learning for NLP

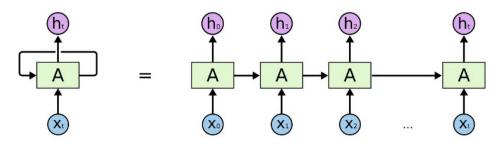
Ajustar el modelo





#### 4.4 Deep learning for NLP: RNN

Recurrent neural networks



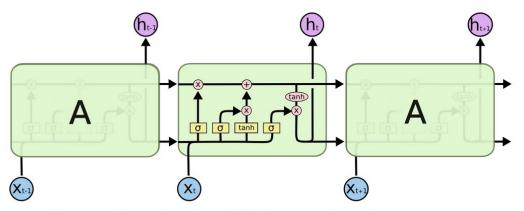
An unrolled recurrent neural network.

Understanding LSTMs by Chris Olah



#### 4.5 Deep learning for NLP: LSTM

Long Short Term Memory (Hochreiter and Jürgen, 1997)

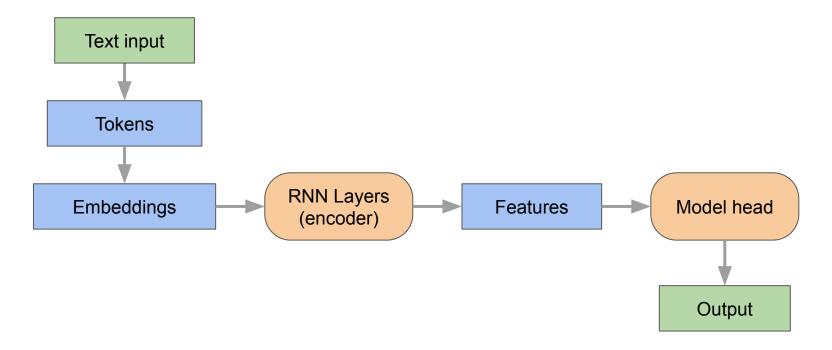


The repeating module in an LSTM contains four interacting layers.

Understanding LSTMs by Chris Olah



#### 4.6 Deep learning for NLP: LSTM





#### 4.7 Timeline

1997: LSTM (Hochreiter and Jürgen, 1997)

2007: Google translate: SMT

2011: IMDB dataset (Mass et. al., 2011)

2015: ImageNet (Russakovsky et. al., 2015)

**2016: Google translate:** GNMT

2017: ULMFiT (Howard and Ruder, 2018), Transformer architecture (Vaswani et al., 2017)

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## 4.8 Deep learning for NLP

## Notebook 2



5.

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# Language models

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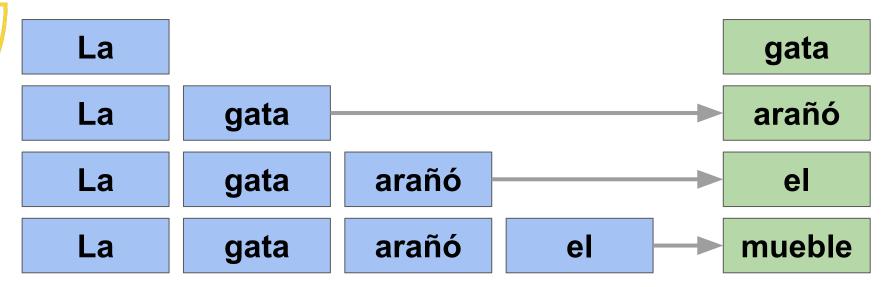
## **5.1 Language models**

Tarea: estimar la siguiente palabra



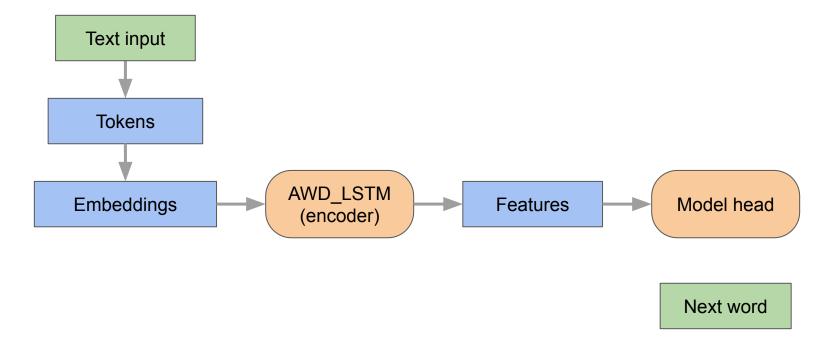
## **5.1 Language models**

Tarea: estimar la siguiente palabra





## **5.2 Language models**





## **5.3 Language models**

## Notebook 3





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# Fine-tuning

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## **6.1 Fine-tuning**

ImageNet (Russakovsky et. al., 2015)

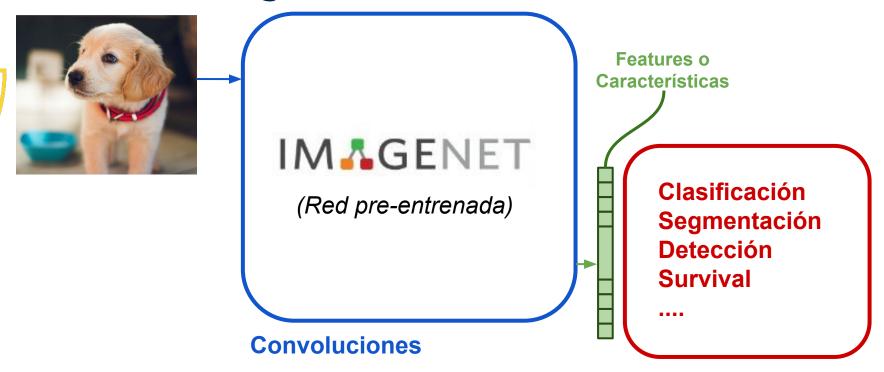


- 1,000 object classes (categories).
- Images:
  - 1.2 M train
  - 100k test.



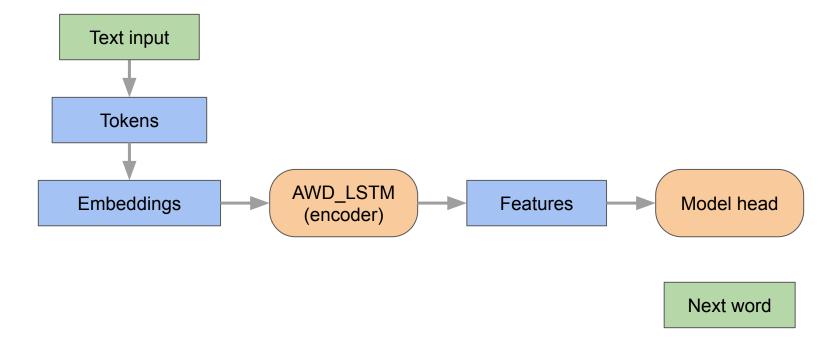


### **6.2 Fine-tuning**

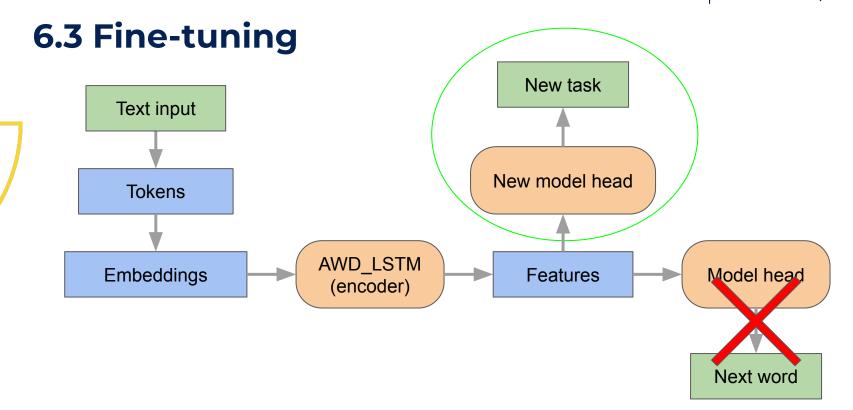




## 6.3 Fine-tuning









#### 6.4 Timeline

1997: LSTM (Hochreiter and Jürgen, 1997)

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2023: GPT-4



### **6.5 Language models**

## Notebook 4





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# Transformer

Large language models Future

Attention Is All You Need (Vaswani et al., 2017).

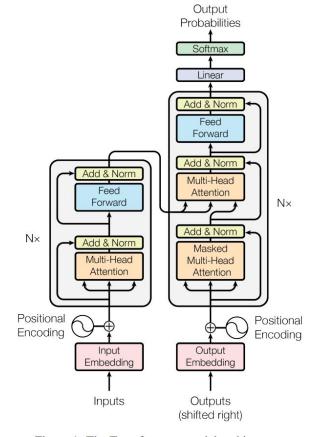


Figure 1: The Transformer - model architecture.



Attention Is All You Need (Vaswani et al., 2017).

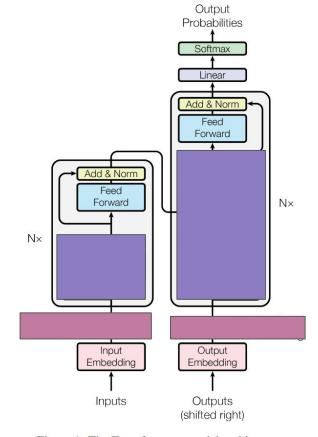
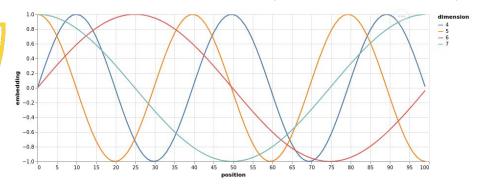


Figure 1: The Transformer - model architecture.



Attention Is All You Need (Vaswani et al., 2017).



Source: The annotated transformer

$$PE_{(pos,2i)} = sin(pos/10000^{2i/d_{\text{model}}})$$
 
$$PE_{(pos,2i+1)} = cos(pos/10000^{2i/d_{\text{model}}})$$

$$PE_{(pos,2i+1)} = cos(pos/10000^{2i/d_{model}})$$

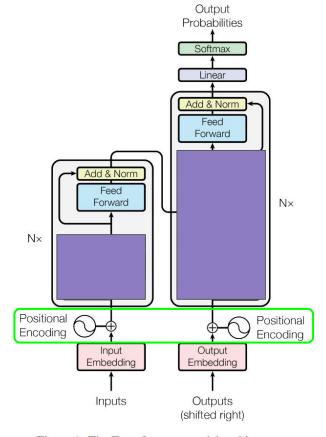
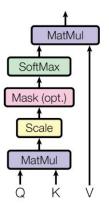


Figure 1: The Transformer - model architecture.



Attention Is All You Need (Vaswani et al., 2017).

Scaled Dot-Product Attention



$$\operatorname{Attention}(Q,K,V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$$

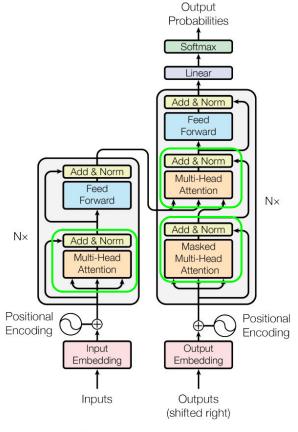
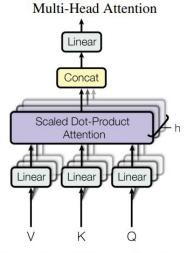


Figure 1: The Transformer - model architecture.



Attention Is All You Need (Vaswani et al., 2017).



 $\begin{aligned} \text{MultiHead}(Q, K, V) &= \text{Concat}(\text{head}_1, ..., \text{head}_h) W^O \\ \text{where head}_i &= \text{Attention}(QW_i^Q, KW_i^K, VW_i^V) \end{aligned}$ 

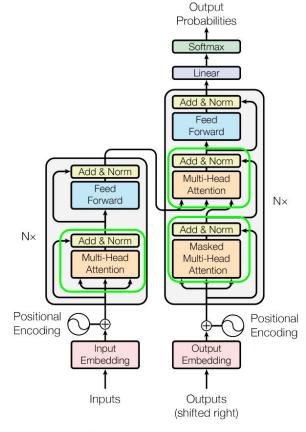
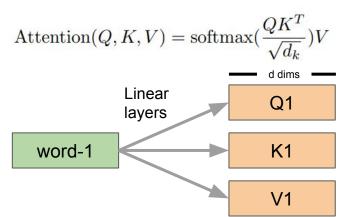


Figure 1: The Transformer - model architecture.

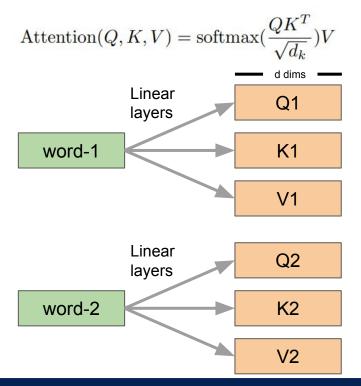


#### 7.2 Transformers: attention



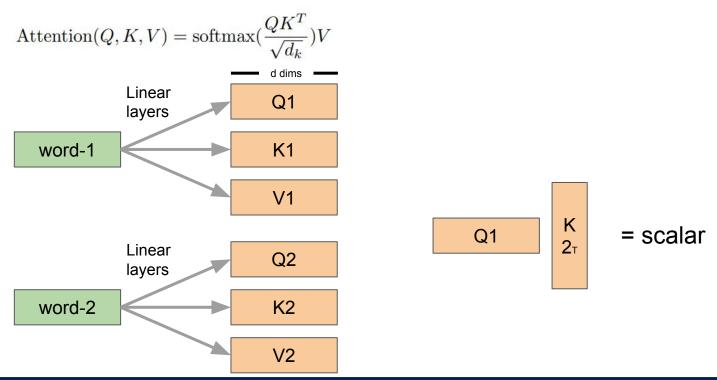


#### 7.2 Transformers: attention





#### 7.2 Transformers: attention





#### 7.3 Transformers: attention

$$Attention(Q, K, V) = softmax(\frac{QK^T}{\sqrt{d_k}})V$$

	word-1	word-2	word-3	word-4
word-1	x11	x12	x13	x14
word-2	x21	x22	x23	x24
word-3	x31	x32	x33	x34
word-4	x41	x42	x43	x44



#### 7.3 Transformers: attention

Attention
$$(Q, K, V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$$

word-1

word-2

word-3

word-4

word-1

x11

x12

x13

x14

word-2

x21

x22

x23

x24

QKt [4x4]

word-3

x31

x32

x33

x34

word-4

x41

x42

x43

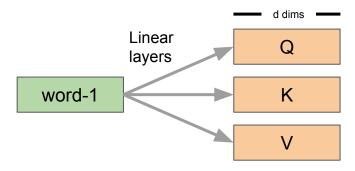
x44



#### 7.4 Transformers: attention

Attention
$$(Q, K, V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$$

QKt [4x4]



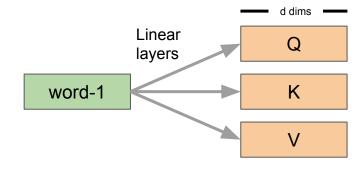
V [4xd]



#### 7.4 Transformers: attention

Attention
$$(Q, K, V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$$





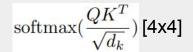
$$\operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})$$
 [4x4]

V [4xd]



#### 7.4 Transformers: attention

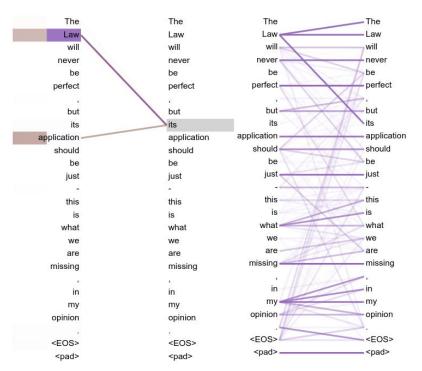
Attention
$$(Q, K, V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$$



Attention [4xd]

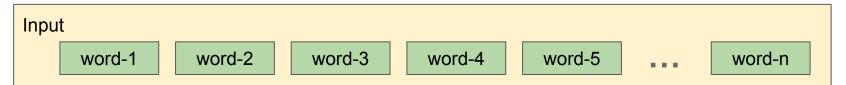


#### 7.5 Transformers: attention

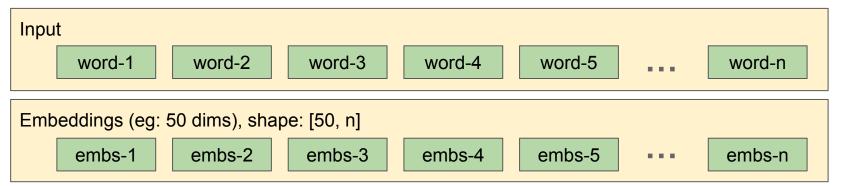


From Vaswani et al., 2017.

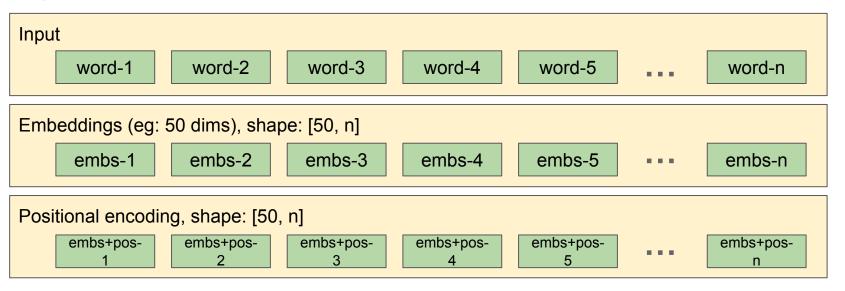




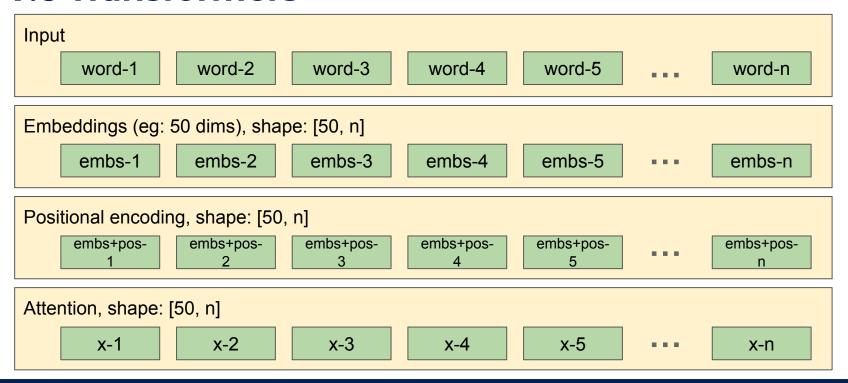




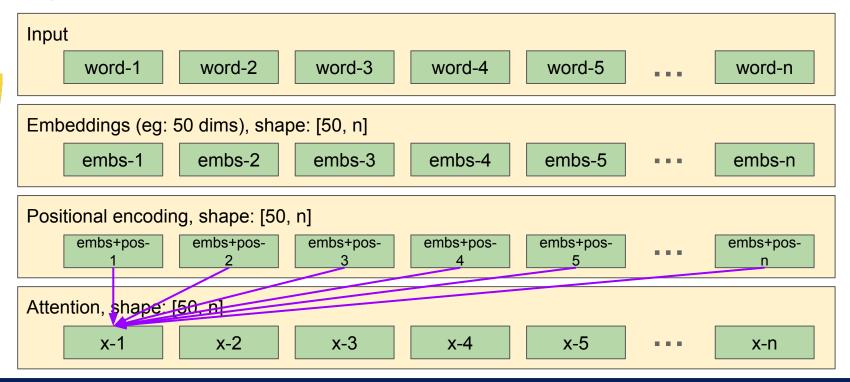














Attention Is All You Need (Vaswani et al., 2017).

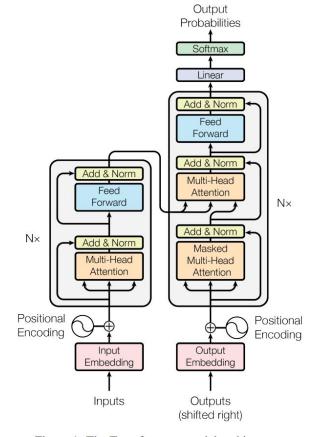


Figure 1: The Transformer - model architecture.



#### 7.8 Timeline

1997: LSTM (Hochreiter and Jürgen, 1997)

2007: Google translate: <u>SMT</u>

2011: IMDB dataset (Mass et. al., 2011)

2015: ImageNet (Russakovsky et. al., 2015)

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2017: ULMFiT (Howard and Ruder, 2018), Transformer architecture (Vaswani et al., 2017)

**2018:** ELMo (Peters et al., 2018), **GPT-1** (Radford et al., 2018)

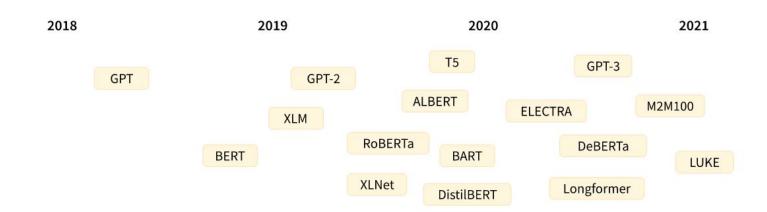
**2019: GPT-2** (Solaiman et al., 2019)

**2020: GPT-3** (Brown et al., 2020)

2023: GPT-4



## 7.9 Transformers



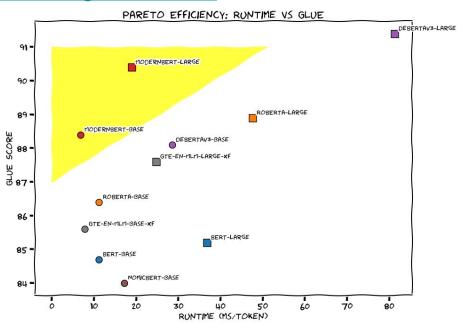


## 7.10 Transformers

# Notebook 5



https://huggingface.co/blog/modernbert





https://huggingface.co/blog/modernbert

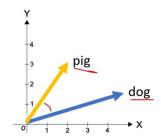
#### **Principales mejoras:**

- RoPE: Rotary Positional Embeddings (detalles).
- Alternating Attention.

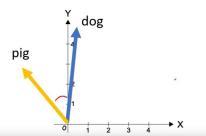


**RoPE:** Rotary Positional Embeddings (<u>detalles</u>).

The pig chased the dog

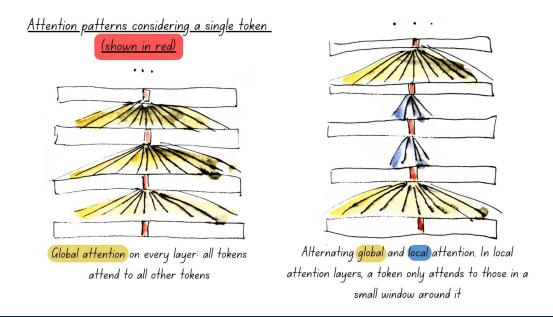


Once upon a time, the pig chased the dog





**Alternating Attention.** 







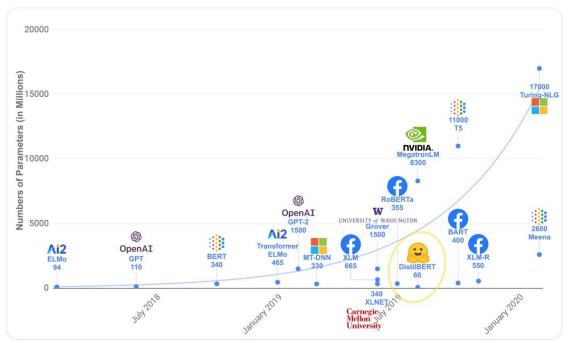
IMDB challenge
Classic methods for NLP
Deep learning for NLP
Language models
Fine-tuning

Transformer

# Large language models

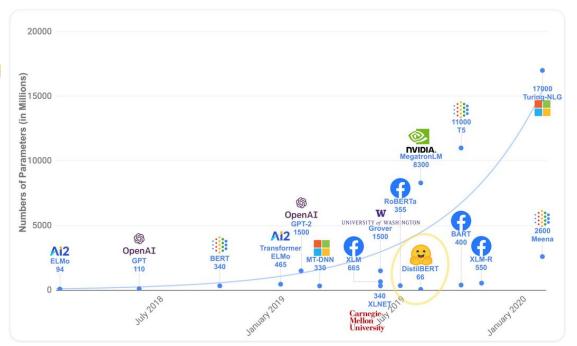
Future

# 8.1 Large language models





# 8.1 Large language models



GPT-3 (175 billion parameters)



# 8.1 Large language models

GPT-4 (1.76 trillion parameters)





# 8.2 Large language models

#### Instruction tuning datasets:

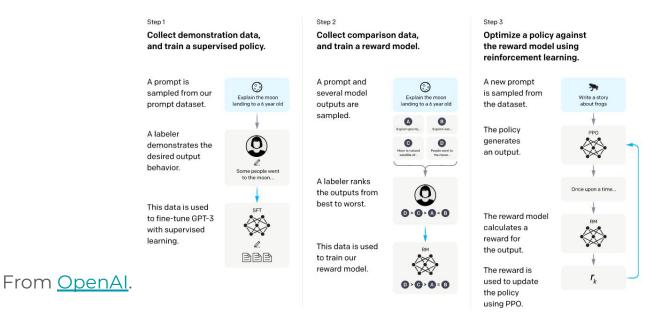
- https://huggingface.co/datasets/Open-Orca/OpenOrca
- <a href="https://huggingface.co/datasets/Anthropic/hh-rlhf">https://huggingface.co/datasets/Anthropic/hh-rlhf</a>

id string - lengths	<pre>system_prompt string · classes</pre>	<pre>question string - lengths</pre>	response string · lengths
412	17 values	12 40.6k	0 15k
niv.242684		You will be given a definition of a task first, then some input of the task. This task is about using the specified	[ ["AFC Ajax (amateurs)", "has ground", "Sportpark De Toekomst"], ["Ajax Youth Academy", "plays at", "Sportpark
lan.564327	You are an AI assistant. You will be given a task. You must generate a detailed and long answer.	Generate an approximately fifteen-word sentence that describes all this data: Midsummer House eatType	Midsummer House is a moderately priced Chinese restaurant with a 3/5 customer rating, located near All Bar One.
flan.1875913	You are a helpful assistant, who always provide explanation. Think like you are answering to a five year	What happens next in this paragraph? She then rubs a needle on a cotton ball then pushing it onto a pencil and wrappin	C. She then dips the needle in ink and using the pencil to draw a design on her leg, rubbing it off with a rag in the
0.408370	You are an AI assistant. You will be given a task. You must generate a detailed and long answer.	Please answer the following question: I want to test the ability of students to read a passage and answer questions	Based on the passage, discuss the primary motivations and outcomes of the 1901 Federation of Australia, including th
ot.86217	You are an AI assistant that helps people find information.	James runs a TV show and there are 5 main characters and 4 minor characters. He pays the minor characters \$15,000 eac	James pays the minor characters \$15,000 each episode. Since there are 4 minor characters, he pays them a total of 4 $\star_{\rm min}$
ot.18180	You are an AI assistant that helps people find information.	Given the stream of consciousness rationale, provide a reasonable question and answer. Rationale: Here the game	Question: What is the proper technique for a female beach volleyball player to serve the ball effectively in a game?
flan.2136716	You are an AI assistant. User will you give you a task. Your goal is to complete the task as faithfully as you can	Multi-choice question: What is the sentiment of the following tweet? Tweet: @nikkigreen I told you Choose your	To determine the sentiment of the tweet, we need to analyze it thoroughly. Tweet: @nikkigreen I told you Step 1:
ot.84626	You are an AI assistant that helps people find information. User will you give you a question. Your task is to answer	John was a terrible writer. To practice, his teacher suggest that he consider people he knows and do what?	Step 1: Analyze the situation - John is a terrible writer and needs practice to improve his skills. His teacher give



# 8.3 Large language models

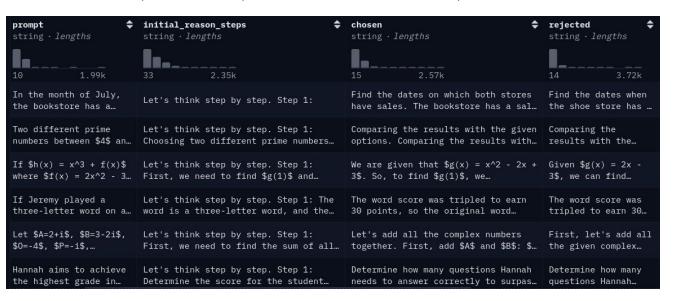
RLHF: Reinforcement Learning from Human Feedback (Christiano et al., 2017).





# 8.4 Large language models

DPO: Direct Preference Optimization (Rafailov, Rafael, et al. 2024).



https://huggingface.co/datasets/xinlai/Math-Step-DPO-10K



## 8.5 Timeline

1997: LSTM (Hochreiter and Jürgen, 1997)

2007: Google translate: <u>SMT</u>

2011: IMDB dataset (Mass et. al., 2011)

2015: ImageNet (Russakovsky et. al., 2015)

2016: Google translate: GNMT

2017: ULMFiT (Howard and Ruder, 2018), Transformer architecture (Vaswani et al., 2017)

2018: ELMo (Peters et al., 2018), GPT-1 (Radford et al., 2018)

2019: GPT-2 (Solaiman et al., 2019)

**2020: GPT-3** (Brown et al., 2020)

2023: GPT-4



# 8.6 Large language models

# Notebook 6



# 8.8 Large language models

https://github.com/axolotl-ai-cloud/axolotl

#### Usage

```
# preprocess datasets - optional but recommended
CUDA_VISIBLE_DEVICES="" python -m axolotl.cli.preprocess examples/openllama-3b/lora.yml
# finetune lora
accelerate launch -m axolotl.cli.train examples/openllama-3b/lora.yml
# inference
accelerate launch -m axolotl.cli.inference examples/openllama-3b/lora.yml \
    --lora_model_dir="./outputs/lora-out"
# gradio
accelerate launch -m axolotl.cli.inference examples/openllama-3b/lora.yml \
    --lora_model_dir="./outputs/lora-out" --gradio
```







Intro
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# **Future**

### 9.1 Future

#### https://www.fast.ai/p osts/2023-09-04-learning-jumps/

### Can LLMs learn from a single example?

We've noticed an unusual training pattern in fine-tuning LLMs. At first we thought it's a bug, but now we think it shows LLMs can learn effectively from a single example.

TECHNICAL



Loss chart from 3 epoch training on Kaggle comp



### 9.2 Future

### Open source LLMs:

- https://huggingface.co/
- https://ai.meta.com/llama/
- <a href="https://mistral.ai/">https://mistral.ai/</a>



### 9.3 Future

https://www.nytimes.com/es/2023/12/27/espanol/new-york-times-demanda-openai-microsoft.html

The New York Times

# The New York Times demanda a OpenAI y Microsoft por el uso de obras con derechos de autor en la IA

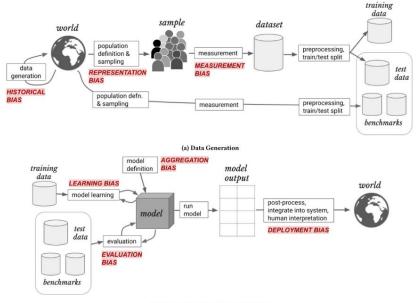
Millones de artículos del diario fueron empleados para entrenar chatbots que ahora representan una competencia para el medio de comunicación, según la demanda.



### 9.4 Future

"A framework for understanding unintended consequences of machine learning." (Suresh

and Guttag, 2019).



(b) Model Building and Implementation



### 9.5 Future

#### Algorithmic Bias



- · Computers make mistakes.
- Unjust algorithmic bias is one of those mistakes.
- Machine learning involves algorithms learning from data
   – that
   data is often biased.
- Algorithmic systems are disproportionately used on the poor.

The privileged are processed by people; the poor are processed by algorithms. -- Cathy O'Neil, Weapons of Math Destruction

# Algorithms are used differently than human decision makers:



- People are more likely to assume algorithms are objective or error-free (even if they're given the option of a human override)
- Algorithms are more likely to be implemented with no appeals process in place.
- Algorithms are often used at scale.
- Algorithmic systems are cheap.



### 9.6 Future

Technology is power. With that, comes responsibility.

Of the global population:

- 56% have internet access
- 7% have college degree
- 0.5% know how to code



### 9.7 Future

Ethics for Data Science

https://www.youtube.com/watch?v=krIVOb23EH8

#### Consequentialist Questions



- Who will be directly affected by this project? Who will be indirectly affected?
- Will the effects in aggregate likely create more good than harm, and what types of good and harm?
- Are we thinking about all relevant types of harm/benefit (psychological, political, environmental, moral, cognitive, emotional, institutional, cultural)?
- Do the risks of harm from this project fall disproportionately on the least powerful in society?
- Have we adequately considered 'dual-use?



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