

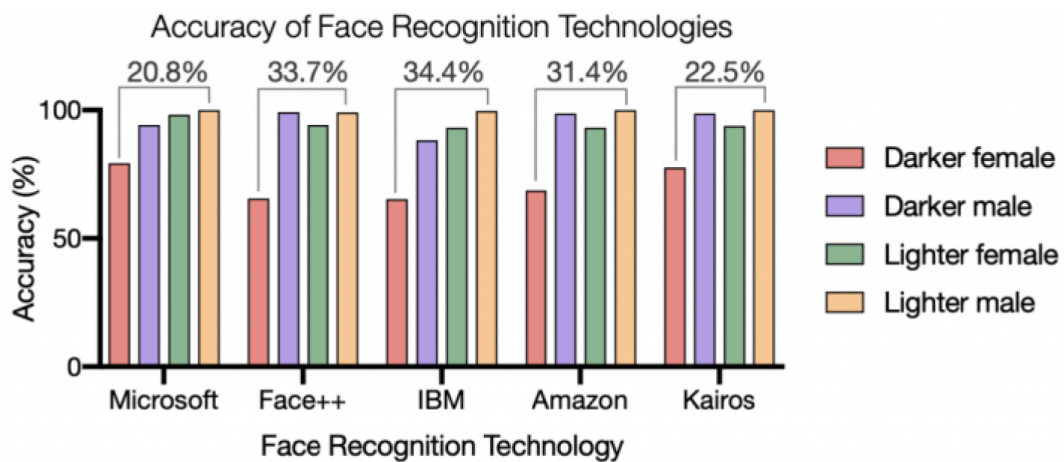
# Fairness and Bias

MIE223  
Winter 2025

## 1 Introduction to Fairness and Bias

### 1.1 MIT Study on Racial/Gender Discrimination in Image Classifiers

- MIT Project known as Gender Shades
  - Examined facial recognition algorithms from Microsoft and IBM:
  - Did poorly on underrepresented groups (e.g. black females)



### 1.2 Data is Full of Biases

#### Representation and Collection Bias

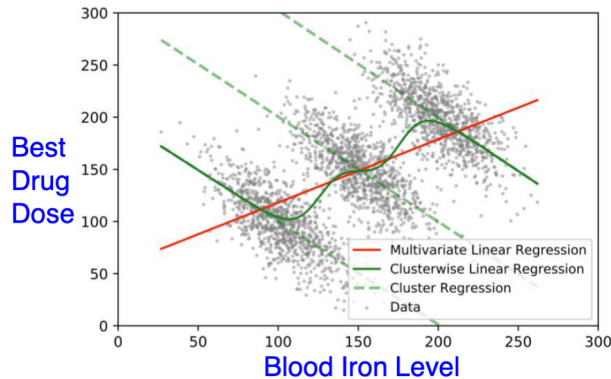
- The data is not representative of the population distribution it is intended to serve
  - Critical in medicine
- The data has insufficient representation of minority groups

#### Measurement and Historical Bias

- What you measure is misaligned with use case, or biased from historical data
  - E.g., using historical loan decisions to inform future decisions

#### Aggregation bias

- Effect for a group can be reversed when looking at data in aggregate



This data is multimodal. Gaussian means unimodal, so be careful applying unimodal tools to multimodal data. If you ignore modes, you get the red line rather than the green line.

### 1.3 Group and Individual Fairness

Much research on fairness aims to ensure “fair treatment” = parity

Group Fairness

- Achieve “parity” (equality) across protected and advantaged groups
- Groups usually determined by demographic attribute
  - e.g., Caucasian, non-Caucasian, Male, non-Male

Individual Fairness

- Similar individuals should have similar predictions / outcomes
- Requires measure of similarity between individuals
  - e.g., Euclidean or cosine similarity between demographic feature vectors

### 1.4 Group and Individual Fairness for Classification

Classification: predicting a discrete label, e.g., parole, no parole

- Group Fairness:
  - Fairness in Treatment: should not consider sensitive/protected attribute (e.g., gender, race, age)
    - \* But other attributes may be correlated with sensitive ones!
  - Fairness in Impact: classification outcome should be balanced across groups
    - \* E.g., false positive rate for each group should be the same
- Individual Fairness:
  - Enforce similar individuals to receive similar outputs (or distributions)
    - \* Requires a similarity function over sensitive attributes

## 1.5 On the chalkboard

Given your grades (vectorized) in previous courses, what letter grade will you get in this course? Your past history helps predict your future performance. You can regress directly to the grade, whereas classification goes to letter grades A,B,C,D,F Focusing on binary classification P, NP:

- True Positive (TP): predicted positive and actually positive
- True Negative (TN): predicted negative and actually negative
- False Positive (FP): predicted positive and actually negative
- False Negative (FN): predicted negative and actually positive

$$\text{Accuracy} = \frac{TP + TN}{TP + TN + FP + FN} \quad (1)$$

$$\text{True Positive Rate (TPR)} = \frac{TP}{TP + FN} \quad (2)$$

$$\text{False Positive Rate (FPR)} = \frac{FP}{FP + TN} \quad (3)$$

$$\text{False Discovery Rate (FDR)} = \frac{FP}{TP + FP} \quad (4)$$

$$\text{True Negative Rate (TNR)} = \frac{TN}{TN + FP} \quad (5)$$

$$\text{False Negative Rate (FNR)} = \frac{FN}{TP + FN} \quad (6)$$

$$\text{Precision} = \frac{TP}{TP + FP} \quad (7)$$

$$\text{Recall} = \frac{TP}{TP + FN} \quad (8)$$

$$\text{F1 Score} = 2 \cdot \frac{\text{Precision} \cdot \text{Recall}}{\text{Precision} + \text{Recall}} \quad (9)$$

$$\text{F2 Score} = (1 + 2) \cdot \frac{\text{Precision} \cdot \text{Recall}}{\text{Precision} + 2 \cdot \text{Recall}} \quad (10)$$

$$\text{F0.5 Score} = (1 + 0.5) \cdot \frac{\text{Precision} \cdot \text{Recall}}{\text{Precision} + 0.5 \cdot \text{Recall}} \quad (11)$$

## 1.6 Achieving Parity

What types of parity in binary classification can we aim for?

		True condition			
		Condition positive	Condition negative	Prevalence = $\frac{\sum \text{Condition positive}}{\sum \text{Total population}}$	Accuracy (ACC) = $\frac{\sum \text{True positive} + \sum \text{True negative}}{\sum \text{Total population}}$
Predicted condition	Predicted condition positive	<b>True positive, Power</b>	<b>False positive, Type I error</b>	Positive predictive value (PPV), Precision = $\frac{\sum \text{True positive}}{\sum \text{Predicted condition positive}}$	False discovery rate (FDR) = $\frac{\sum \text{False positive}}{\sum \text{Predicted condition positive}}$
	Predicted condition negative	<b>False negative, Type II error</b>	<b>True negative</b>	False omission rate (FOR) = $\frac{\sum \text{False negative}}{\sum \text{Predicted condition negative}}$	Negative predictive value (NPV) = $\frac{\sum \text{True negative}}{\sum \text{Predicted condition negative}}$
		True positive rate (TPR), Recall, Sensitivity, probability of detection $= \frac{\sum \text{True positive}}{\sum \text{Condition positive}}$	False positive rate (FPR), Fall-out, probability of false alarm $= \frac{\sum \text{False positive}}{\sum \text{Condition negative}}$	Positive likelihood ratio (LR+) = $\frac{\text{TPR}}{\text{FPR}}$	Diagnostic odds ratio (DOR) = $\frac{\text{LR+}}{\text{LR-}}$  F <sub>1</sub> score = $\frac{1}{\frac{1}{\text{Recall}} + \frac{1}{\text{Precision}}}$
		False negative rate (FNR), Miss rate $= \frac{\sum \text{False negative}}{\sum \text{Condition positive}}$	Specificity (SPC), Selectivity, True negative rate (TNR) $= \frac{\sum \text{True negative}}{\sum \text{Condition negative}}$	Negative likelihood ratio (LR-) = $\frac{\text{FNR}}{\text{TNR}}$	

What types of parity can we aim for in impact fairness?

- Demographic / statistical parity (predicted outcome only)
  - Different groups get same positive classification rates
    - \* E.g., same admission rate regardless of economic status
- Parity in errors (consider predicted and actual outcome)
  - Different groups have different classification rates
  - But we constrain the error rates (Accuracy, TPR, FPR, FDR, ...)

## 2 Can we have all desired Parities at once?

No

### 2.1 Incompatibility Between Fairness Metrics