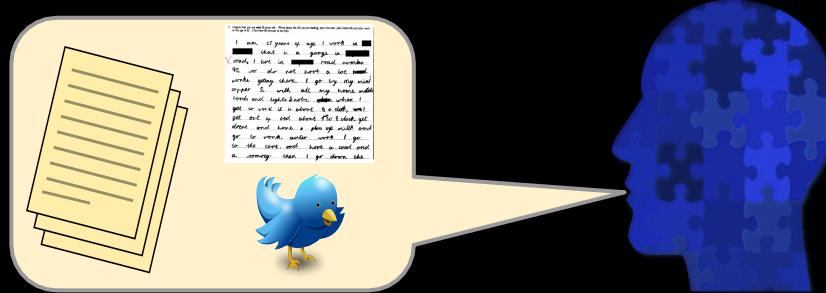


# Lexical and Vector Semantics

Natural Language Processing

# Tasks



- Word Sense Disambiguation
- Word Vectors
- Topic Modeling

how?  
→

- Traditionally:
  - Probabilistic models
  - Discriminant Learning: e.g. Logistic Regression
  - Dimension Reduction: e.g. PCA)

# Tasks

- Define common semantic tasks in NLP.
- Understand linguistic information necessary for semantic processing.
- Learn a couple approaches to semantic tasks.
- Motivate deep learning models necessary to capture language semantics.

- Word Sense Disambiguation
- Word Vectors
- Topic Modeling
- Dependency Parsing

how?  
→

- Traditionally:
  - Probabilistic models
  - Discriminant Learning: e.g. Logistic Regression
  - Transition-Based Parsing
  - Graph-Based Parsing
- Current:
  - Recurrent Neural Network
  - Transformers

# Preliminaries (From SLP, Jurafsky et al.,)

## Terminology: lemma and wordform

- A **lemma** or **citation form**
  - Same stem, part of speech, rough semantics
- A **wordform**
  - The inflected word as it appears in text

Wordform	Lemma
banks	bank
sung	sing
duermes	dormir

# Preliminaries (From SLP, Jurafsky et al.,)

## Lemmas have senses

- One lemma “bank” can have many meanings:

Sense 1: • ...a **bank** can hold the investments in a custodial account<sup>1</sup>...

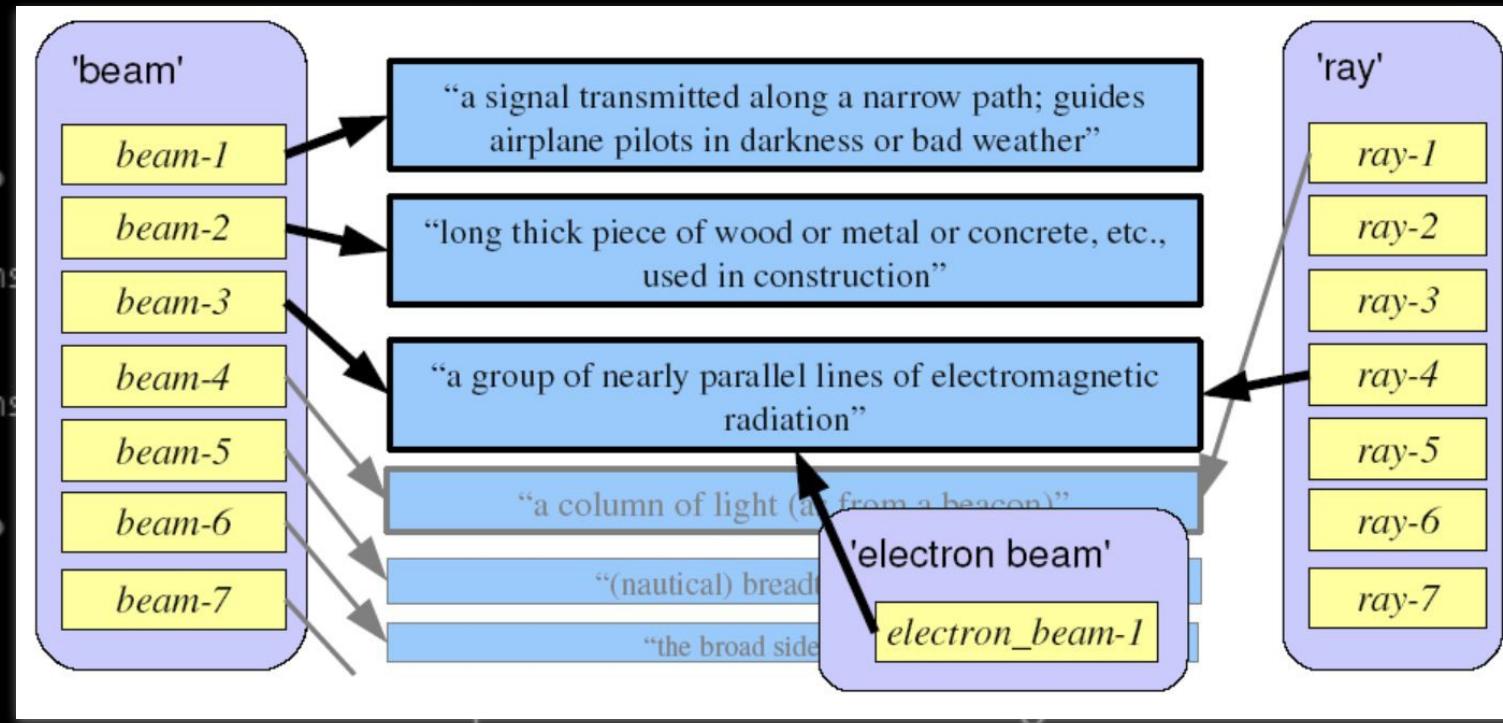
Sense 2: • "...as agriculture burgeons on the east **bank** the river will shrink even more"<sup>2</sup>

- **Sense (or word sense)**

- A discrete representation of an aspect of a word’s meaning.

- The lemma **bank** here has two senses

# Preliminaries (From SLP, Jurafsky et al.)



- The lemma **bank** here has two senses

# Preliminaries (From SLP, Jurafsky et al.,)

## Homonymy

**Homonyms:** words that share a form but have unrelated, distinct meanings:

- bank<sub>1</sub>: financial institution, bank<sub>2</sub>: sloping land
- bat<sub>1</sub>: club for hitting a ball, bat<sub>2</sub>: nocturnal flying mammal

1. Homographs (bank/bank, bat/bat)

2. Homophones:

1. Write and right
2. Piece and peace

# Preliminaries (From SLP, Jurafsky et al.,)

## Homonymy causes problems for NLP applications

- Information retrieval
  - “bat care”
- Machine Translation
  - bat: murciélagos (animal) or bate (for baseball)
- Text-to-Speech
  - bass (stringed instrument) vs. bass (fish)

# Word Sense Disambiguation

He put the **port** on the ship.

He walked along the **port** of the steamer.

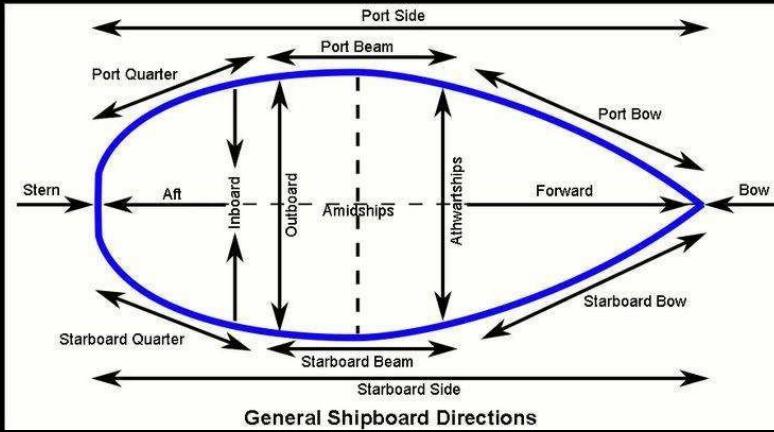
He walked along the **port** next to the steamer.

# Word Sense Disambiguation

He put the **port** on the ship.

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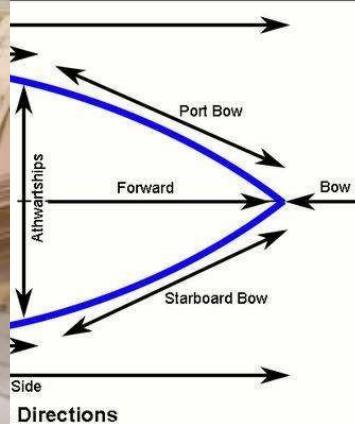


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He put the **port** on the ship.

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**port**.n.1 (a place (seaport or airport) where people and merchandise can enter or leave a country)

**port**.n.2 port wine (sweet dark-red dessert wine originally from Portugal)

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# Word Sense Disambiguation

He put the **port** on the ship.

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He walked along the **port** next to the steamer.

As a verb...

1. **port** (put or turn on the left side, of a ship) "*port the helm*"
2. **port** (bring to port) "*the captain ported the ship at night*"
3. **port** (land at or reach a port) "*The ship finally ported*"
4. **port** (turn or go to the port or left side, of a ship) "*The big ship was slowly porting*"
5. **port** (carry, bear, convey, or bring) "*The small canoe could be ported easily*"
6. **port** (carry or hold with both hands diagonally across the body, especially of weapons) "*port a rifle*"
7. **port** (drink port) "*We were porting all in the club after dinner*"
8. **port** (modify (software) for use on a different machine or platform)

**port**.n.1 (a place (seaport or airport) where people and merchandise can enter or leave a country)

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port.n.1  
port.n.2  
port.n.3,  
port.n.4  
port.n.5

# Word Sense Disambiguation

A classification problem:

General Form:

$$f(\text{sent\_tokens}, (\text{target\_index}, \text{lemma}, \text{POS})) \rightarrow \text{word\_sense}$$

He walked along the **port** next to the steamer.

# Word Sense Disambiguation

A classification problem:

General Form:

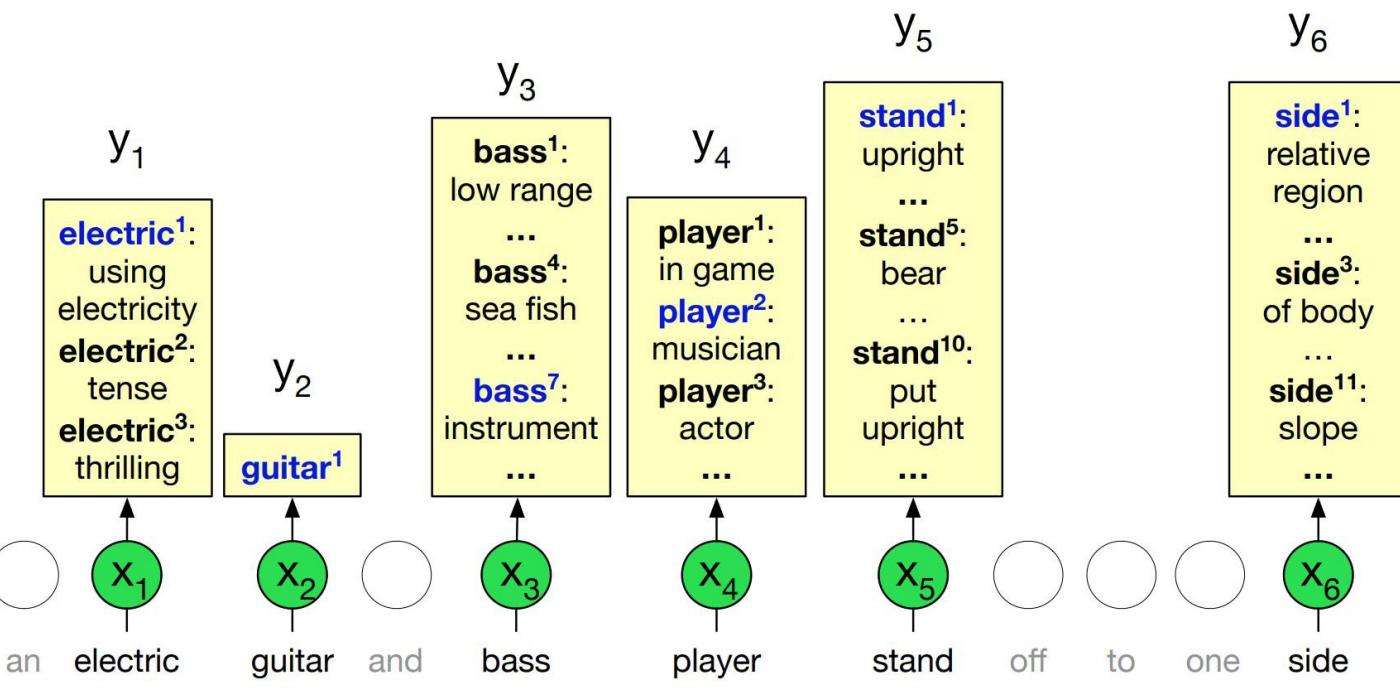
$f(\text{sent\_tokens}, (\text{target\_index}, \text{lemma}, \text{POS})) \rightarrow \text{word\_sense}$

Logistic Regression (or any discriminative classifier):

$P_{\text{lemma}, \text{POS}}(\text{sense} = s \mid \text{features})$

He walked along the **port** next to the steamer.

# Word Sense Disambiguation



**Figure 19.8** The all-words WSD task, mapping from input words ( $x$ ) to WordNet senses ( $y$ ). Only nouns, verbs, adjectives, and adverbs are mapped, and note that some words (like *guitar* in the example) only have one sense in WordNet. Figure inspired by [Chaplot and Salakhutdinov \(2018\)](#).

# Distributional Hypothesis:

Wittgenstein, 1945: “*The meaning of a word is its use in the language*”

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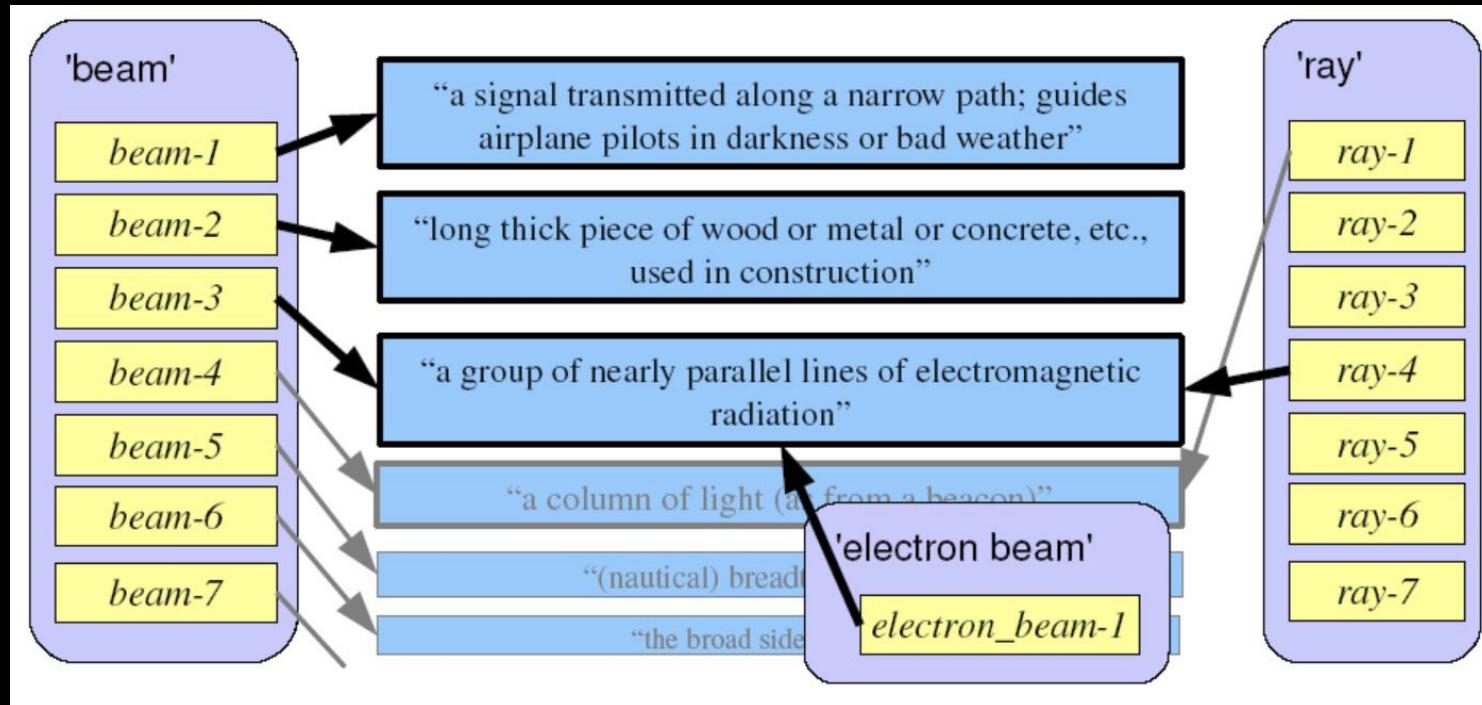
Distributional hypothesis -- A word’s meaning is defined by all the different contexts it appears in (i.e. how it is “distributed” in natural language).

Firth, 1957: “*You shall know a word by the company it keeps*”

*The nail hit the beam behind the wall.*



# Distributional Hypothesis



*The nail hit the beam behind the wall.*

# Approaches to WSD

*I.e. how to operationalize the distributional hypothesis.*

1. Bag of words for context

*E.g. multi-hot for any word in a defined “context”.*

2. Surrounding window with positions

*E.g. one-hot per position relative to word).*

3. Lesk algorithm

*E.g. compare context to sense definitions.*

4. Selectors -- other *target words* that appear with same context

*E.g. counts for any selector.*

5. Contextual Embeddings

*E.g. real valued vectors that “encode” the context (TBD).*

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# Lesk Algorithm for WSD

**function** SIMPLIFIED LESK(*word, sentence*) **returns** best sense of *word*

*best-sense*  $\leftarrow$  most frequent sense for *word*

*max-overlap*  $\leftarrow$  0

*context*  $\leftarrow$  set of words in *sentence*

**for each** *sense* **in** senses of *word* **do**

*signature*  $\leftarrow$  set of words in the gloss and examples of *sense*

*overlap*  $\leftarrow$  COMPUTEOVERLAP(*signature, context*)

**if** *overlap*  $>$  *max-overlap* **then**

*max-overlap*  $\leftarrow$  *overlap*

*best-sense*  $\leftarrow$  *sense*

**end**

**return**(*best-sense*)

**Figure 19.10** The Simplified Lesk algorithm. The COMPUTEOVERLAP function returns the number of words in common between two sets, ignoring function words or other words on a stop list. The original Lesk algorithm defines the *context* in a more complex way.

# Lesk Algorithm for WSD

- bank.n.1 (sloping land (especially the slope beside a body of water)) "they pulled the canoe up on the bank"; "he sat on the bank of the river and watched the currents"
- bank.n.2 (a financial institution that accepts deposits and channels the money into lending activities) "he cashed a check at the bank"; "that bank holds the mortgage on my home"

```
overlap ← COMPUTEOVERLAP(signature, context)
if overlap > max-overlap then
    max-overlap ← overlap
    best-sense ← sense
end
return(best-sense)
```

The bank can guarantee deposits will cover future tuition costs, ...  
the number of words in common between two sets, ignoring function words or other words  
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- ...
- bank.n.4 (an arrangement of similar objects in a row or in tiers) "he operated a bank of switches"
- ...
- bank.n.8 (a building in which the business of banking transacted) "the bank is on the corner of Nassau and Witherspoon"
- bank.n.9 (a flight maneuver; aircraft tips laterally about its longitudinal axis (especially in turning)) "the plane went into a steep bank"

end

return(*best-sense*)

The *bank* can guarantee deposits will cover future tuition costs, ...  
the number of words in common between two sets, ignoring function words or other words  
on a stop list. The original Lesk algorithm defines the *context* in a more complex way.

## Lesk Algorithm for WSD

- **striker.n.1** (a forward on a soccer team)
- **striker.n.2** (someone receiving intensive training for a naval technical rating)
- **striker.n.3** (an employee on strike against an employer)
- **striker.n.4** (someone who hits) "a *hard hitter*"; "a *fine striker of the ball*"; "*blacksmiths are good hitters*"
- **striker.n.5** (the part of a mechanical device that strikes something)

```
overlap ← COMPUTEOVERLAP(signature, context)
if overlap > max-overlap then
    max-overlap ← overlap
    best-sense ← sense
end
return(best-sense)
```

*He addressed the strikers at the rally.*

the number of words in common between two sets, ignoring function words or other words on a stop list. The original Lesk algorithm defines the *context* in a more complex way.



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# Selectors

... a word which can take the place of another given word within the same local context (Lin, 1997)

Original version: Local context defined by dependency parse

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*He addressed the strikers at the rally.*

object of



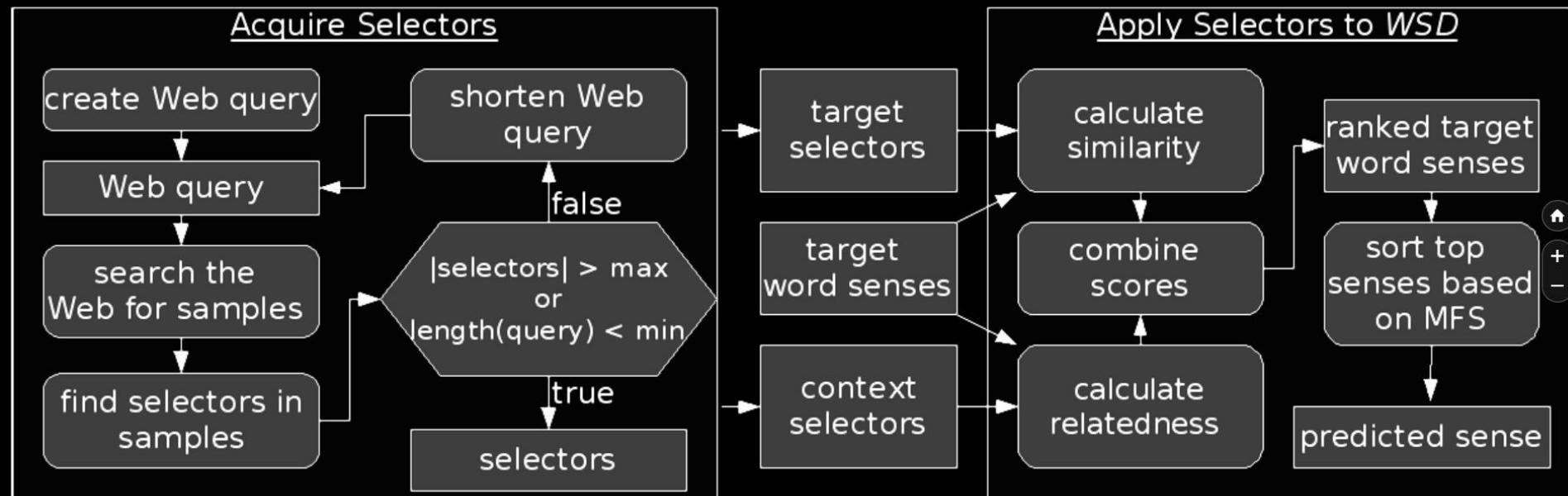
# Selectors

... a word which can take the place of another given word within the same local context (Lin, 1997)

Original version: Local context defined by dependency parse (Lin, 1997)

Web version: Local context defined by lexical patterns matched on the Web (Schwartz, 2008).

*“He addressed the \* at the rally.”*

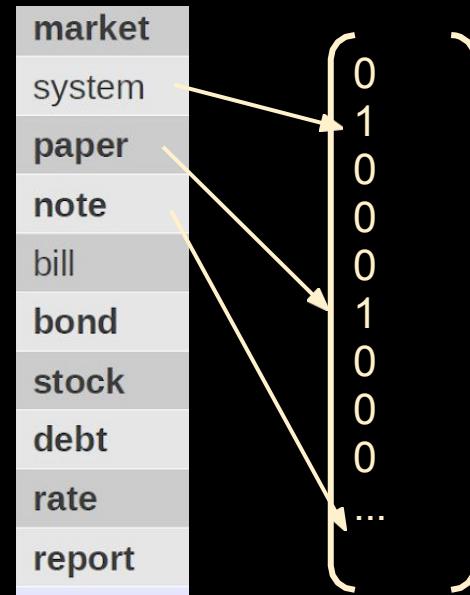


# Selectors

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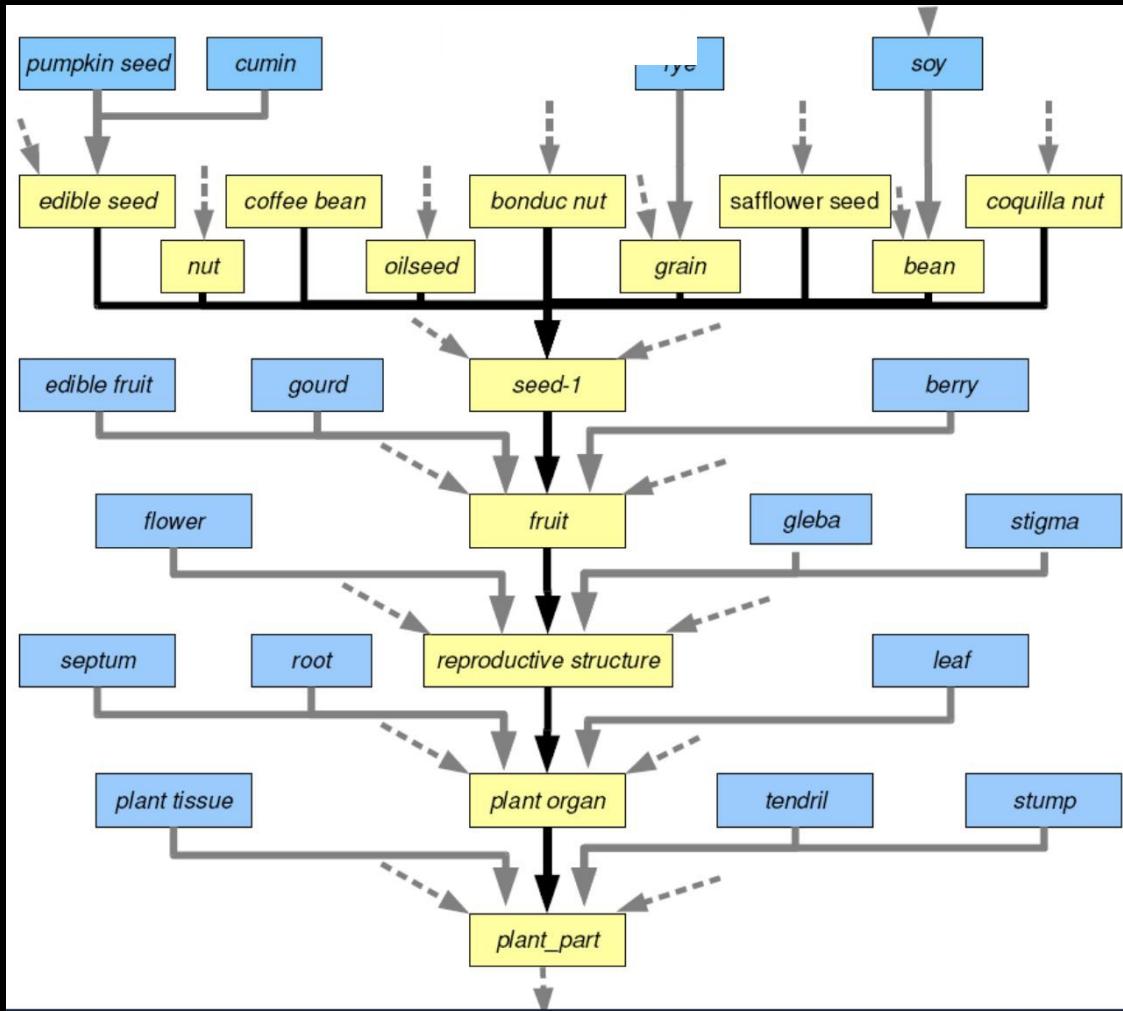
“..., but *the bill* now under discussion”

..., word1, word2, **bill**, word3, word4, ...



# Selectors

Leverages *hyponymy*:  
*concept1 <is-a> concept2*



# Selectors

"He addressed the strikers at the rally."

he  
man  
owners  
Mary  
...

addressed  
scolded  
rallyied  
kept  
...

strikers  
crowd  
students  
workers  
audience  
supporters  
...

rally  
protest  
demonstration  
work  
stadium  
...

# Why Are Selectors Effective?

Sets of selectors tend to vary extensively by word sense:

<i>bill-n.1</i>	<i>bill-n.2</i>	<i>bill-n.3</i>
bill	bill	<b>market</b>
it	<b>staff</b>	system
<b>legislation</b>	system	<b>paper</b>
system	<b>money</b>	<b>note</b>
<b>program</b>	time	bill
law	it	<b>bond</b>
<b>plan</b>	<b>tax</b>	<b>stock</b>
you	work	debt
<b>measure</b>	rent	<b>rate</b>
<b>project</b>	tuition	report

<i>occur-v.1</i>	<i>occur-v.2</i>	<i>occur-v.3</i>
be	go	go
<b>happen</b>	get	<b>look</b>
occur	Come	<b>break</b>
go	have	<b>remove</b>
<b>take</b>	<b>try</b>	<b>find</b>
work	<b>lead</b>	get
come	<b>listen</b>	<b>place</b>
<b>see</b>	work	<b>keep</b>
have	be	<b>stick</b>
<b>change</b>	<b>belong</b>	<b>stop</b>

- *Polls show wide, generalized support for some vague concept of service, but the **bill** now under discussion lacks any passionate public backing.*  
training set never contained: “but the \_ now under”
- *... in his lecture, refers to the “startling experience which almost every person confesses, that particular passages of conversation and action have **occurred** to him in the same order before, whether dreaming or waking ...*  
small context is contradictory:  
“action have occurred” => occur-v.1 (“to happen or take place”)  
“occurred to him” => occur-v.2 (“to come to mind”)

<i>bill-n.1</i>	<i>bill-n.2</i>	<i>bill-n.3</i>
bill	bill	<b>market</b>
it	<b>staff</b>	system
<b>legislation</b>	system	<b>paper</b>
system	<b>money</b>	<b>note</b>
<b>program</b>	time	bill
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go	have	<b>remove</b>
<b>take</b>	<b>try</b>	<b>find</b>
work	<b>lead</b>	get
come	<b>listen</b>	<b>place</b>
<b>see</b>	work	<b>keep</b>
have	be	<b>stick</b>
<b>change</b>	<b>belong</b>	<b>stop</b>

# Supervised Selectors

	<b>base</b>	<b>w/ sels</b>	<b><i>mfs</i></b>	<b><i>tests</i></b>
noun	87.9	<b>91.7</b>	80.9	2559
verb	83.3	<b>83.7</b>	76.5	2292
both	85.7	<b>87.9</b>	78.8	4851

Accuracy over SemEval-2007: Task 17.

# Supervised Selectors

	<b>base</b>	<b>w/ sels</b>	<b>mfs</b>	<b>tests</b>
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**Accuracy over SemEval-2007: Task 17.**

	<b>base</b>	<b>w/ sels</b>	<b>mfs</b>	<b>tests</b>
noun	68.5	<b>72.1</b>	54.1	1766
verb	72.0	72.4	57.9	1927
adjective	49.4	<b>53.4</b>	54.7	148
all	69.4	<b>71.5</b>	56.1	3841

**Accuracy over seneval-3 Lexical Sample.**  
(fine-grained senses compared to SemEval)

# More Background on WSD

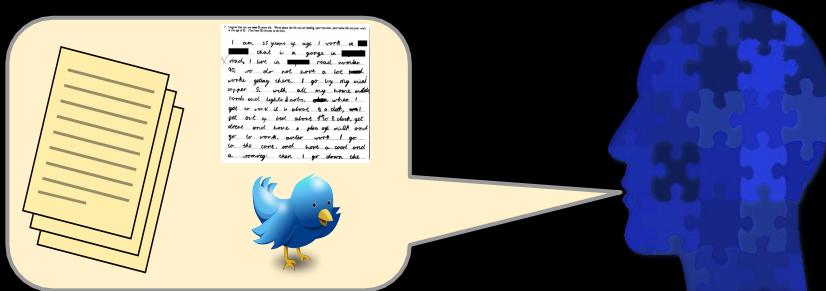
[https://prezi.com/m86pd1zbe\\_fy/?utm\\_campaign=share&utm\\_medium=copy](https://prezi.com/m86pd1zbe_fy/?utm_campaign=share&utm_medium=copy)

Covers a few approaches plus more background on “lexical semantics” in general.

# Vector Semantics

1. Latent Semantic Analysis (LSA; Dimensionality Reduction-based Embeddings)
2. word2vec
3. Topic Modeling - Latent Dirichlet Allocation (LDA)

# Vector Semantics



- Vectors which represent words or sequences

how?

- Dimensionality Reduction
- Recurrent Neural Network and Sequence Models

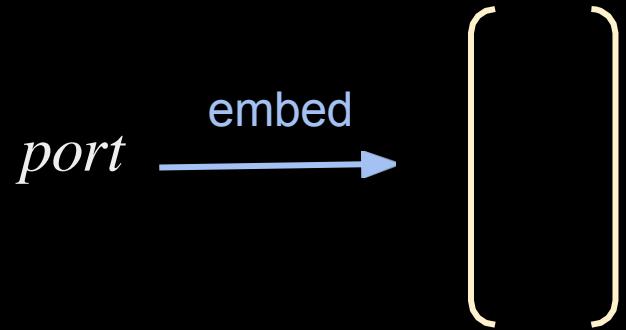
# Objective

To embed: convert a token (or sequence) to a vector that **represents meaning**.

# Objective

To embed: convert a token (or sequence) to a vector that represents meaning, or is useful to perform downstream NLP application.

# Objective

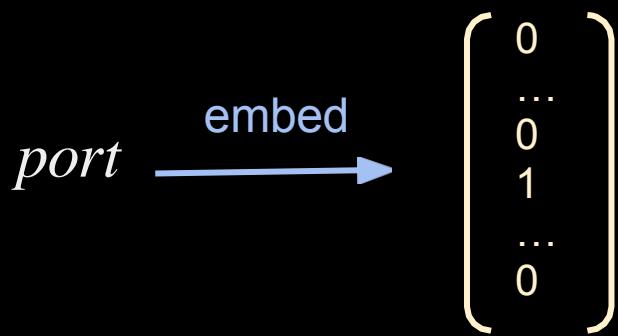


# Objective

$$port \xrightarrow{\text{embed}} \begin{bmatrix} 0 \\ \vdots \\ 0 \\ 1 \\ \vdots \\ 0 \end{bmatrix}$$

# Objective

*one-hot is sparse vector*



## Prefer dense vectors

- Less parameters (weights) for machine learning model.
- May generalize better implicitly.
- May capture synonyms

For deep learning, in practice, they work better. Why? Roughly, less parameters becomes increasingly important when you are learning multiple layers of weights rather than just a single layer.

# Objective

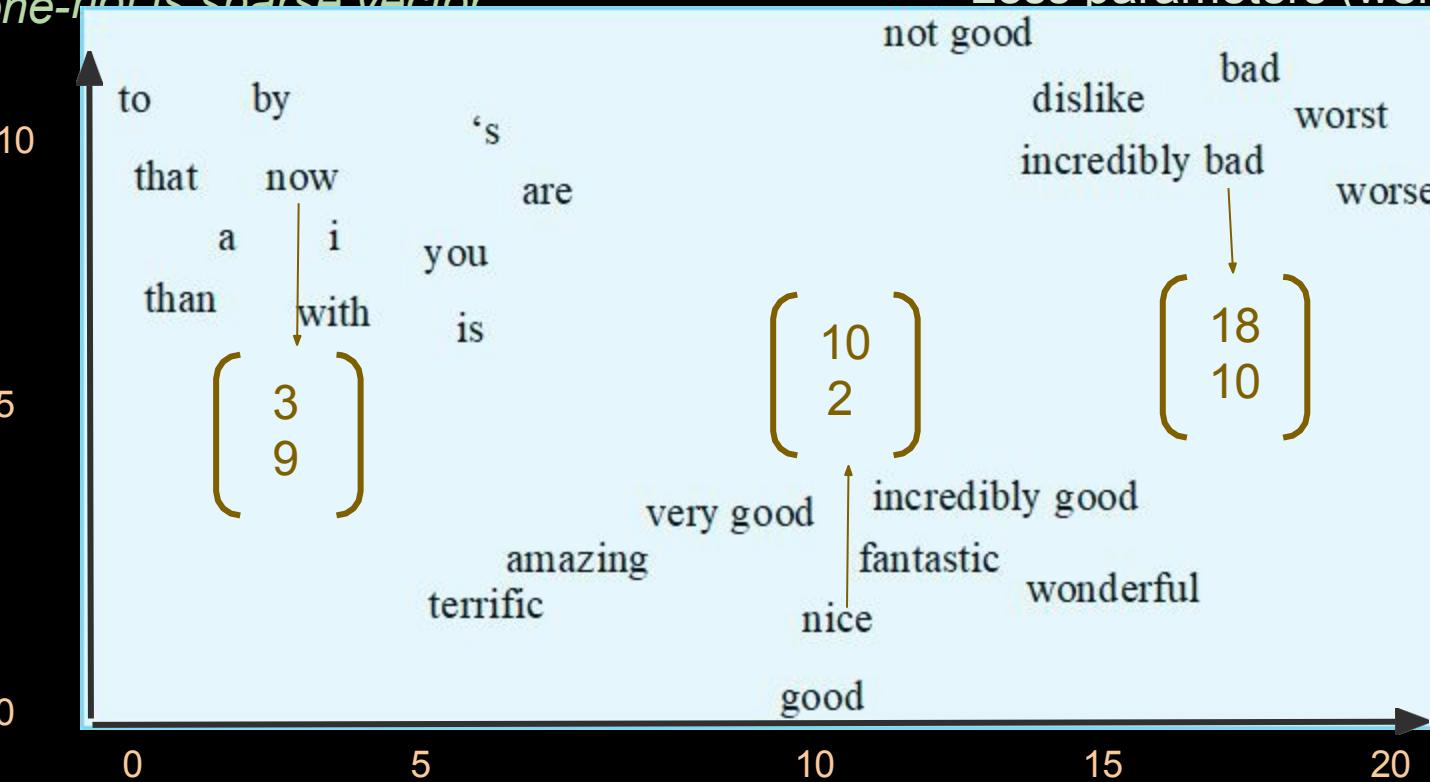
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# Objective

one-hot is sparse vector



## Prefer dense vectors

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(Jurafsky, 2012)

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To embed: convert a token (or sequence) to a vector that represents **meaning**.

Wittgenstein, 1945: “*The meaning of a word is its use in the language*”

Distributional hypothesis -- A word’s meaning is defined by all the different contexts it appears in (i.e. how it is “distributed” in natural language).

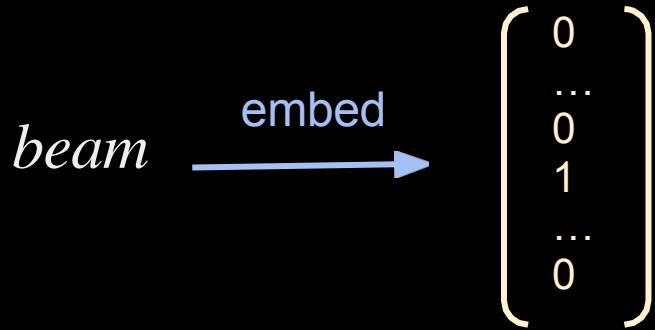
Firth, 1957: “*You shall know a word by the company it keeps*”

*The nail hit the beam behind the wall.*



# Word Vectors

"one-hot encoding"

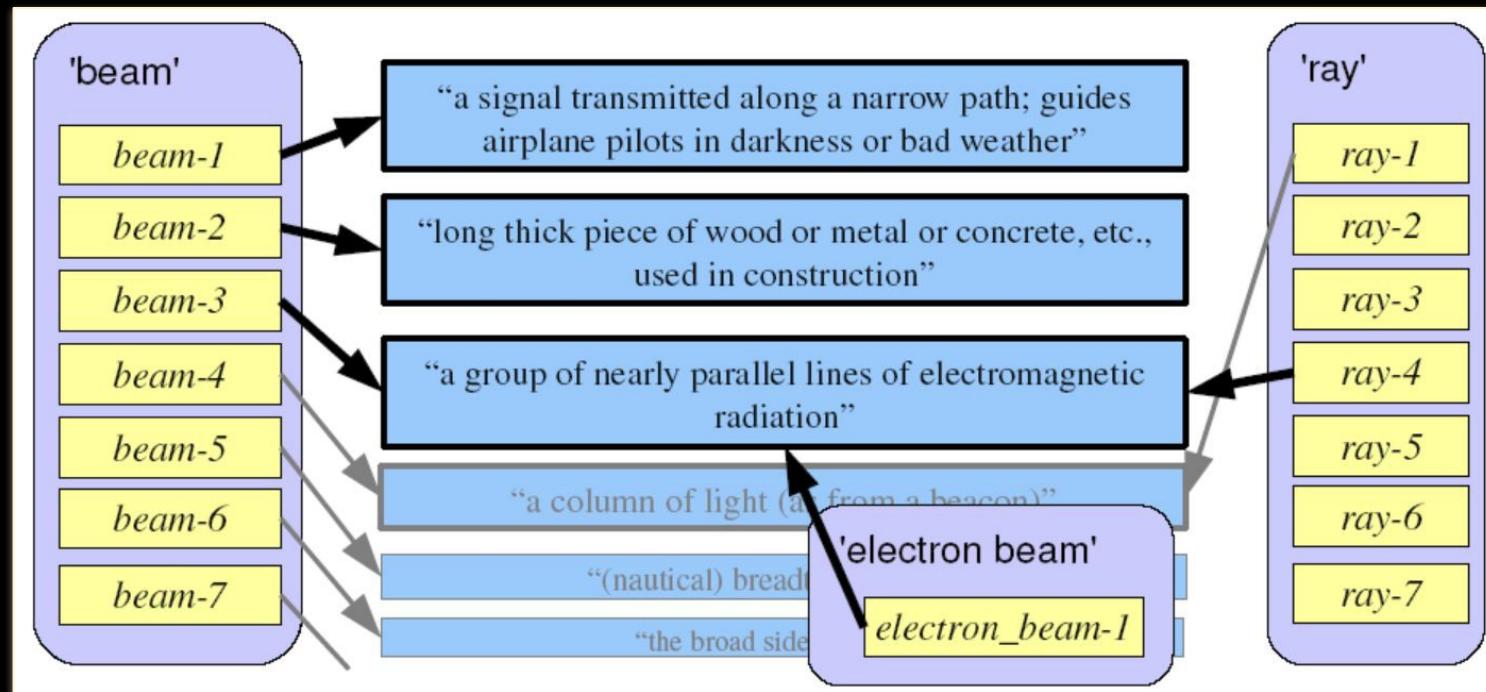


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# Distributional Hypothesis



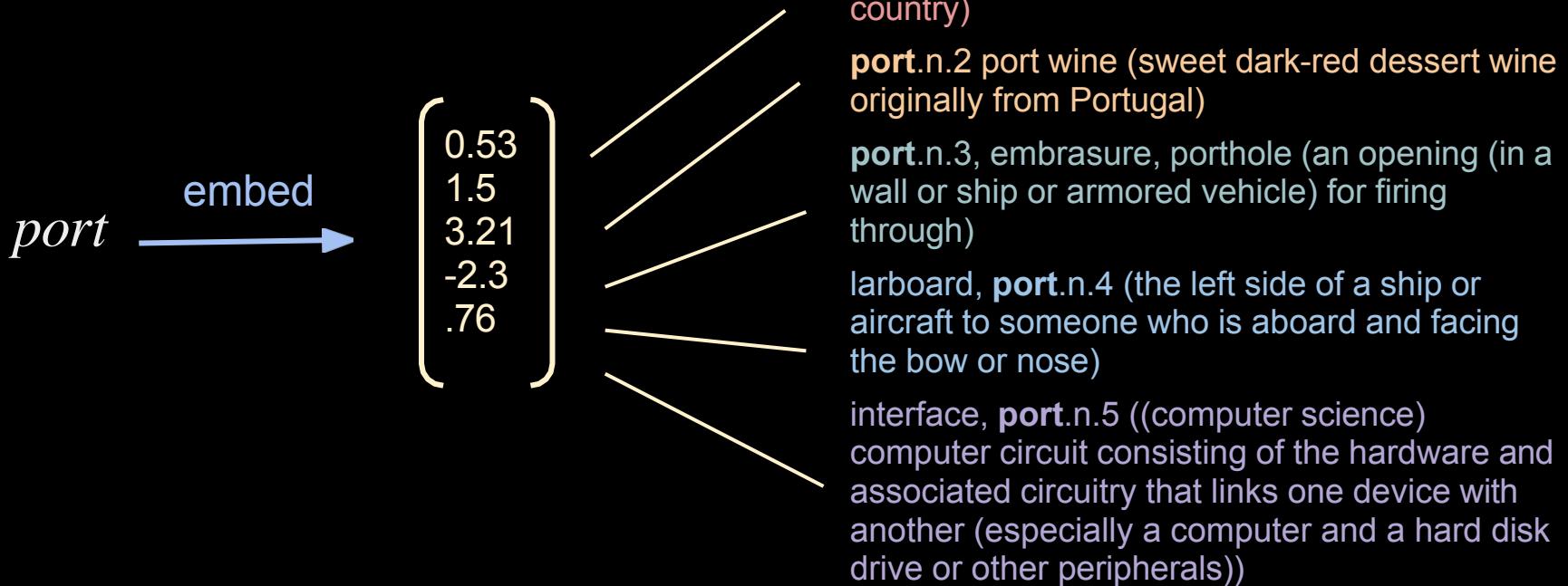
*The nail hit the beam behind the wall.*

{ } { }

# Objective

$$port \xrightarrow{\text{embed}} \begin{pmatrix} 0.53 \\ 1.5 \\ 3.21 \\ -2.3 \\ .76 \end{pmatrix}$$

# Objective



# PCA-Based Embeddings

*also known as "Latent Semantic Analysis"*

Dimensionality reduction  
-- try to represent with only  $p'$  dimensions

# PCA-Based Embeddings

also known as "*Latent Semantic Analysis*"

context words are features

w<sub>1</sub>, w<sub>2</sub>, w<sub>3</sub>, w<sub>4</sub>, ...

w <sub>1</sub>	7 2 0 2 3 7 5 0 8 4 2 0 6 4 5 2 3 6 3 8 2 3 2 0 8 1 8 7
w <sub>2</sub>	0 2 9 3 1 8 9 7 3 1 0 5 4 3 7 8 2 6 9 7 0 4 9 5 3 7 3 9 4 9
w <sub>3</sub>	0 6 7 9 8 3 9 4 7 2 4 1 5 0 4 8 3 6 7 4 6 2 0 4 1 5 5 4 9 0
...	7 9 5 2 2 0 8 4 9 0 3 6 8 0 1 1 4 9 4 5 4 2 4 5 4 8 5 1 7
w <sub>n</sub>	1 8 6 9 4 0 4 4 4 1 9 4 4 4 4 4 4 4 3 5 4 9 6 3 8 3

co-occurrence counts  
are cells.

Dimensionality reduction  
-- try to represent with only p' dimensions

target words are  
observations

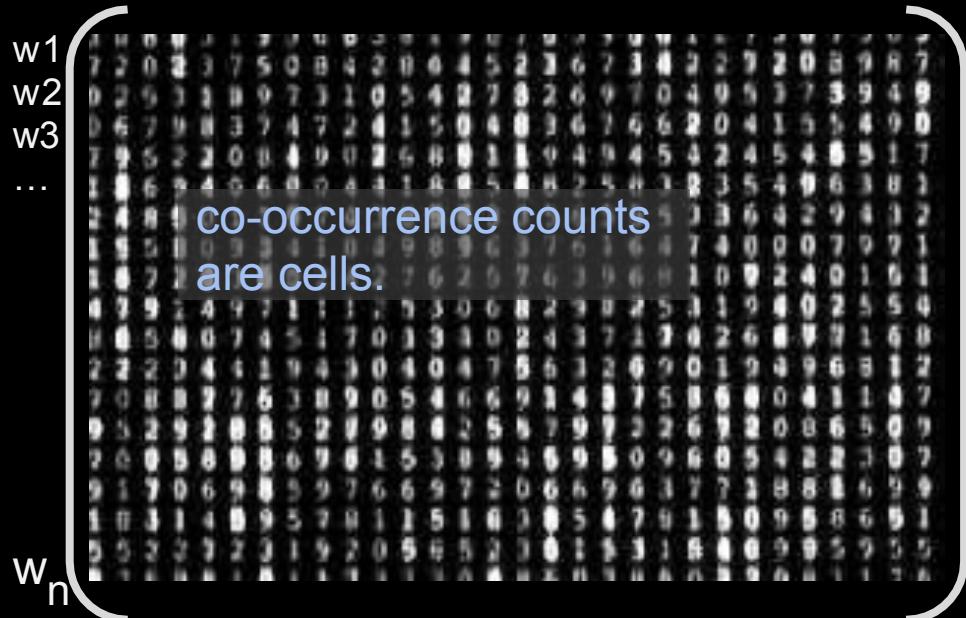
# PCA-Based Embeddings

Dimensionality reduction

- try to represent with only  $p'$  dimensions  $p' < p$

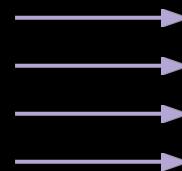
context words are features

$w_1, w_2, w_3, w_4, \dots$

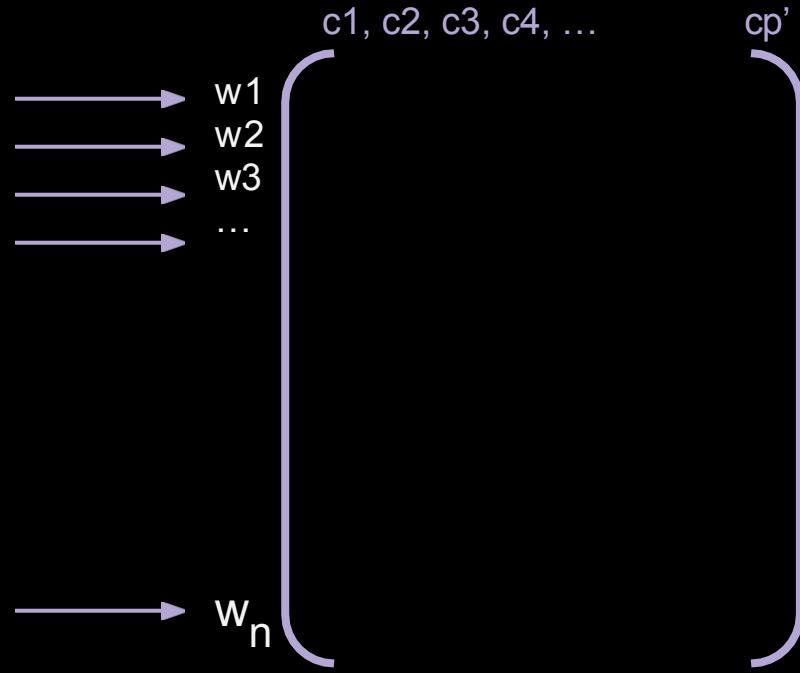


target words are observations

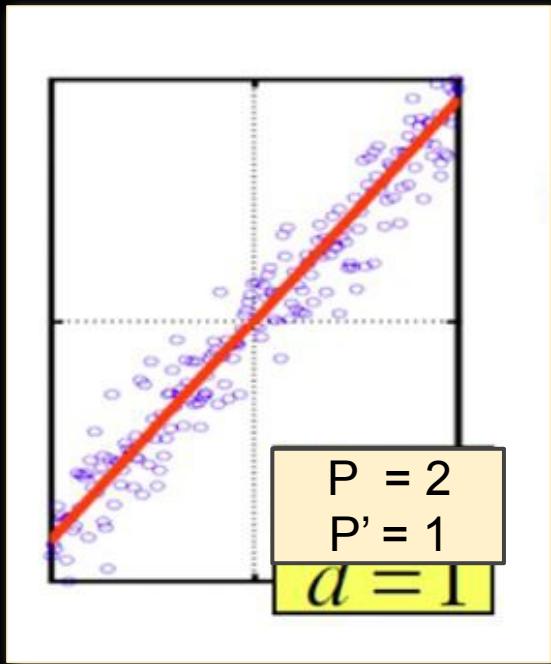
$w_p$



$w_n$

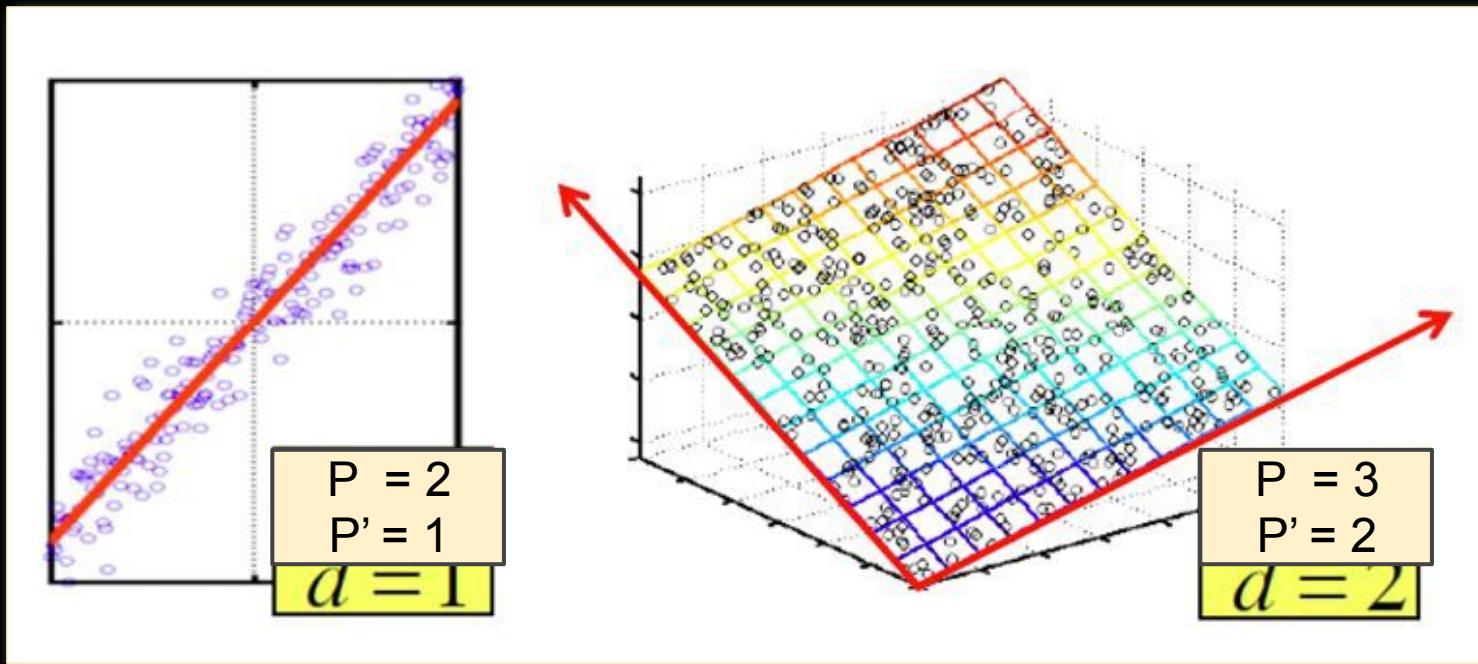


# Concept: Dimensionality Reduction in 3-D, 2-D, and 1-D



Data (or, at least, what we want from the data) may be accurately represented with less dimensions.

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Rank: Number of linearly independent columns of A.  
(i.e. columns that can't be derived from the other columns through addition).

Q: How many columns do we really  
need?

$$\begin{pmatrix} 1 & -2 & 3 \\ 2 & -3 & 5 \\ 1 & 1 & 0 \end{pmatrix}$$

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A: 2. The 1st is just the sum of the second two columns

... we can represent as linear combination of 2 vectors:

$$\begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix} \begin{pmatrix} -2 \\ -3 \\ 1 \end{pmatrix}$$

# SVD-Based Embeddings

Dimensionality reduction  
– try to represent with only  $p'$  dimensions

context words are features

$f_1, f_2, f_3, f_4, \dots$

$o_1$   
 $o_2$   
 $o_3$   
 $\dots$

co-occurrence counts  
are cells.

$o_n$

$f_p$

$\rightarrow o_1$   
 $\rightarrow o_2$   
 $\rightarrow o_3$   
 $\dots$

$\rightarrow o_n$

$c_1, c_2, c_3, c_4, \dots$

$c_{p'}$

target words are  
observations

# Dimensionality Reduction - PCA

Linear approximates of data in  $r$  dimensions.

Found via *Singular Value Decomposition*:

$$X_{[n \times p]} = U_{[n \times r]} D_{[r \times r]} V_{[p \times r]}^T$$

X: original matrix,

D: “singular values” (diagonal),

U: “left singular vectors”,

V: “right singular vectors”

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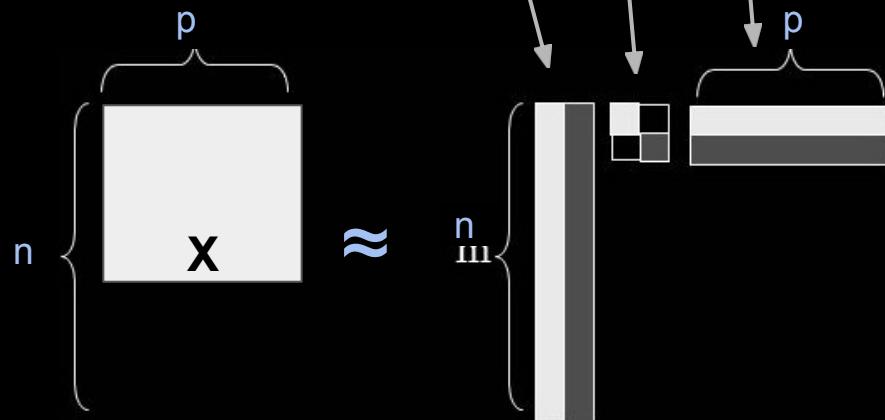
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# Dimensionality Reduction - PCA - Example

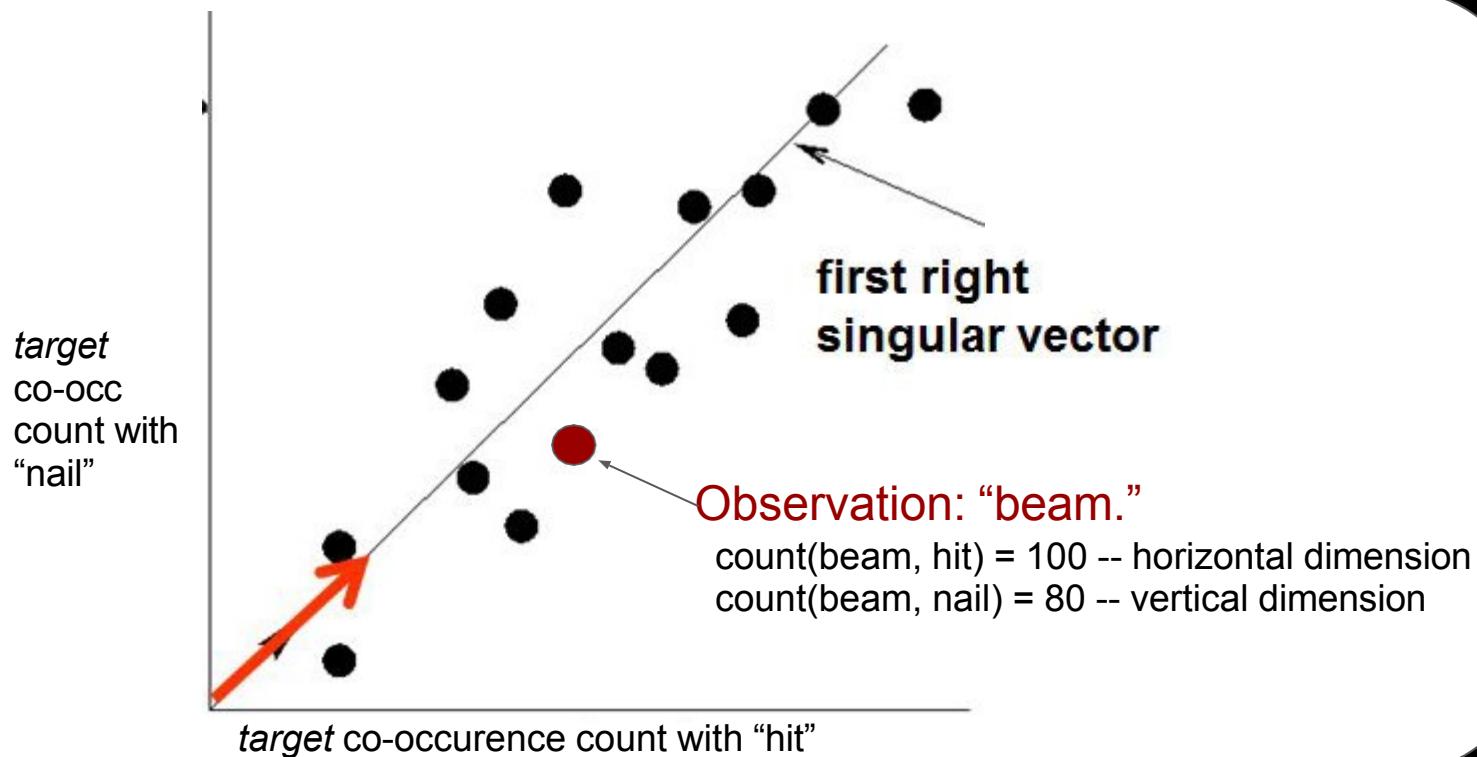
$$X_{[n \times p]} = U_{[n \times r]} D_{[r \times r]} V_{[p \times r]}^T$$

Word co-occurrence  
counts:

$$\begin{bmatrix} 1 & 1 & 1 & 0 & 0 \\ 3 & 3 & 3 & 0 & 0 \\ 4 & 4 & 4 & 0 & 0 \\ 5 & 5 & 5 & 0 & 0 \\ 0 & 2 & 0 & 4 & 4 \\ 0 & 0 & 0 & 5 & 5 \\ 0 & 1 & 0 & 2 & 2 \end{bmatrix} = \begin{bmatrix} 0.13 & 0.02 & -0.01 \\ 0.41 & 0.07 & -0.03 \\ 0.55 & 0.09 & -0.04 \\ 0.68 & 0.11 & -0.05 \\ 0.15 & -0.59 & 0.65 \\ 0.07 & -0.73 & -0.67 \\ 0.07 & -0.29 & 0.32 \end{bmatrix} \times \begin{bmatrix} 12.4 & 0 & 0 \\ 0 & 9.5 & 0 \\ 0 & 0 & 1.3 \end{bmatrix} \times \begin{bmatrix} 0.56 & 0.59 & 0.56 & 0.09 & 0.09 \\ 0.12 & -0.02 & 0.12 & -0.69 & -0.69 \\ 0.40 & -0.80 & 0.40 & 0.09 & 0.09 \end{bmatrix}$$

# Dimensionality Reduction - PCA - Example

$$X_{[n \times p]} \approx U_{[n \times r]} D_{[r \times r]} V_{[p \times r]}^T$$



# Dimensionality Reduction - PCA

Linear approximates of data in  $r$  dimensions.

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Projection (dimensionality reduced space) in 3 dimensions:

$$(U_{[n \times 3]} D_{[3 \times 3]} V_{[p \times 3]}^T)$$

# Dimensionality Reduction - PCA

Linear approximates of data in  $r$  dimensions.

Found via *Singular Value Decomposition*:

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To check how well the original matrix can be reproduced:

$$Z_{[n \times p]} = U D V^T, \text{ How does } Z \text{ compare to original } X?$$

The loss function that SVD solves

# Dimensionality Reduction - PCA

Li

**Goal: Minimize the sum of reconstruction errors:**

$$\sum_{i=1}^N \sum_{j=1}^D \|x_{ij} - z_{ij}\|^2$$

- where  $x_{ij}$  are the “old” and  $z_{ij}$  are the “new” coordinates

X: original matrix  
D: “singular values”

“singular vectors”,  
“singular vectors”

To check how well the original matrix can be reproduced:

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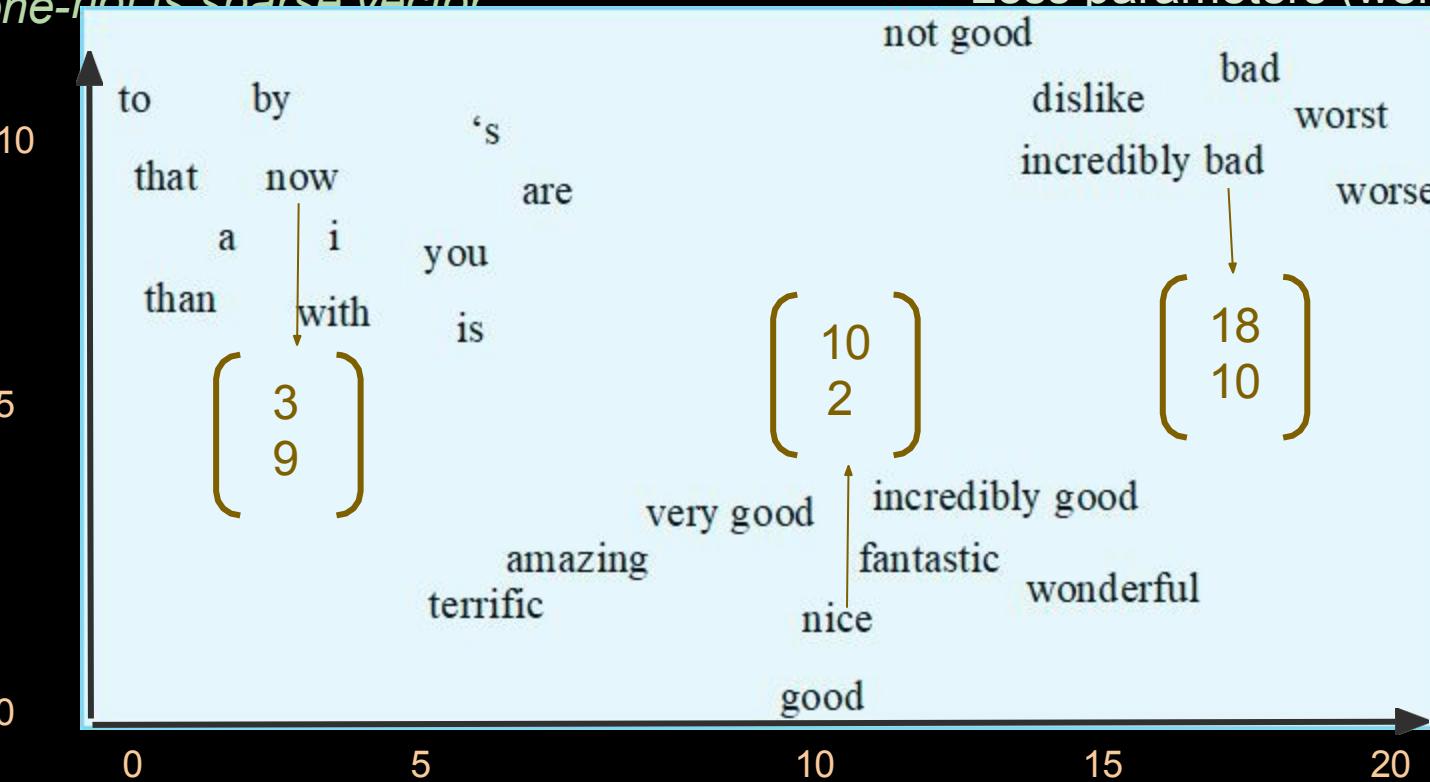
$$X_{[n \times p]} \approx U_{[n \times r]} D_{[r \times r]} V_{[p \times r]}^T$$

U, D, and V are unique

D: always positive

# Objective

one-hot is sparse vector



## Prefer dense vectors

- Less parameters (weights) for not good el. implicitly. s
- They work parameters nt when you are ghts rather than

(Jurafsky, 2012)

# Word2Vec

Principal: Predict missing word.

Similar to classification where  $y = \text{context}$  and  $x = \text{word}$ .

$$p(\text{context} | \text{word})$$

To learn, maximize.  
In practice, minimize

$$J = 1 - p(\text{context} | \text{word})$$

# Word2Vec: Context

$p(\text{context} \mid \text{word})$

2 Versions of Context:

1. Continuous bag of words (CBOW): Predict word from context
2. Skip-Grams (SG): predict context words from target

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1. Treat the target word and a neighboring context word as positive examples.
2. Randomly sample other words in the lexicon to get negative samples
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*The nail hit the beam behind the wall.*



(Jurafsky, 2017)

# Word2Vec: Context

$p(\text{context} \mid \text{word})$

$x = (\text{hit}, \text{beam}), y = 1$   
 $x = (\text{the}, \text{beam}), y = 1$   
 $x = (\text{behind}, \text{beam}), y = 1$

...

$x = (\text{happy}, \text{beam}), y = 0$   
 $x = (\text{think}, \text{beam}), y = 0$

...

$k$  negative examples ( $y=0$ ) for every positive.  
**How?** Randomly draw from unigram distribution

$$P(w) = \frac{\text{count}(w)}{\sum_w \text{count}(w)}$$

1. Treat the target word and a neighboring context word as positive examples.
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...

$k$  negative examples ( $y=0$ ) for every positive.

**How?** Randomly draw from unigram distribution adjusted:

$$P_\alpha(w) = \frac{\text{count}(w)^\alpha}{\sum_w \text{count}(w)^\alpha}$$

$\alpha =$

1. Treat the target word and  $\approx 75$  neighboring context words as positive examples.
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1. Treat the ta

2. Randomly

3. Use logisti

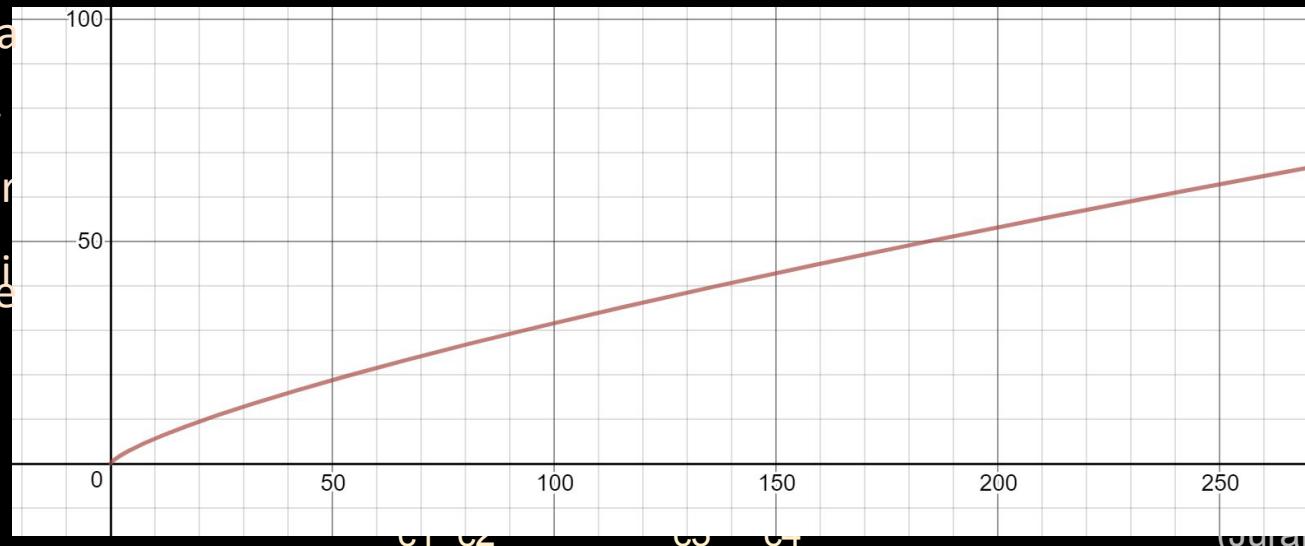
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...

**single context:**

$$P(y=1 | c, t) = \frac{1}{1 + e^{-t \cdot c}}$$

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# Word2Vec: Context

$$\text{Logistic: } \sigma(z) = 1 / (1 + e^{-z})$$

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x = (think, beam), y = 0

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**single context:**

$$P(y=1 | c, t) = \frac{1}{1 + e^{-t \cdot c}}$$

**All Contexts**

$$P(y=1 | c, t) = \prod_{i=1}^{\kappa} \frac{1}{1 + e^{-t \cdot c_i}}$$

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**Intuition:**  $t \cdot c$  is a measure of similarity:

$$\mathbf{a} \cdot \mathbf{b} = \|\mathbf{a}\| \|\mathbf{b}\| \cos \theta$$

But, it is not a probability! To make it one, apply logistic activation:

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# Word2Vec: How to Learn?

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# Word2Vec: How to Learn?

$$P(y=1|c, t)$$

Assume  $300 * |\text{vocab}|$  weights (parameters) for each of  $c$  and  $t$

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$$1 - P(y = 1|c, t) = \frac{e^{-t \cdot c}}{1 + e^{-t \cdot c}}$$

# Word2Vec: How to Learn?

$P(y=1|c, t)$

Optimized using gradient

Assume 300 \* vocabl weights (parameters) for each of c and t  
descent type methods.

Start with random vectors (or all 0s)

Goal:

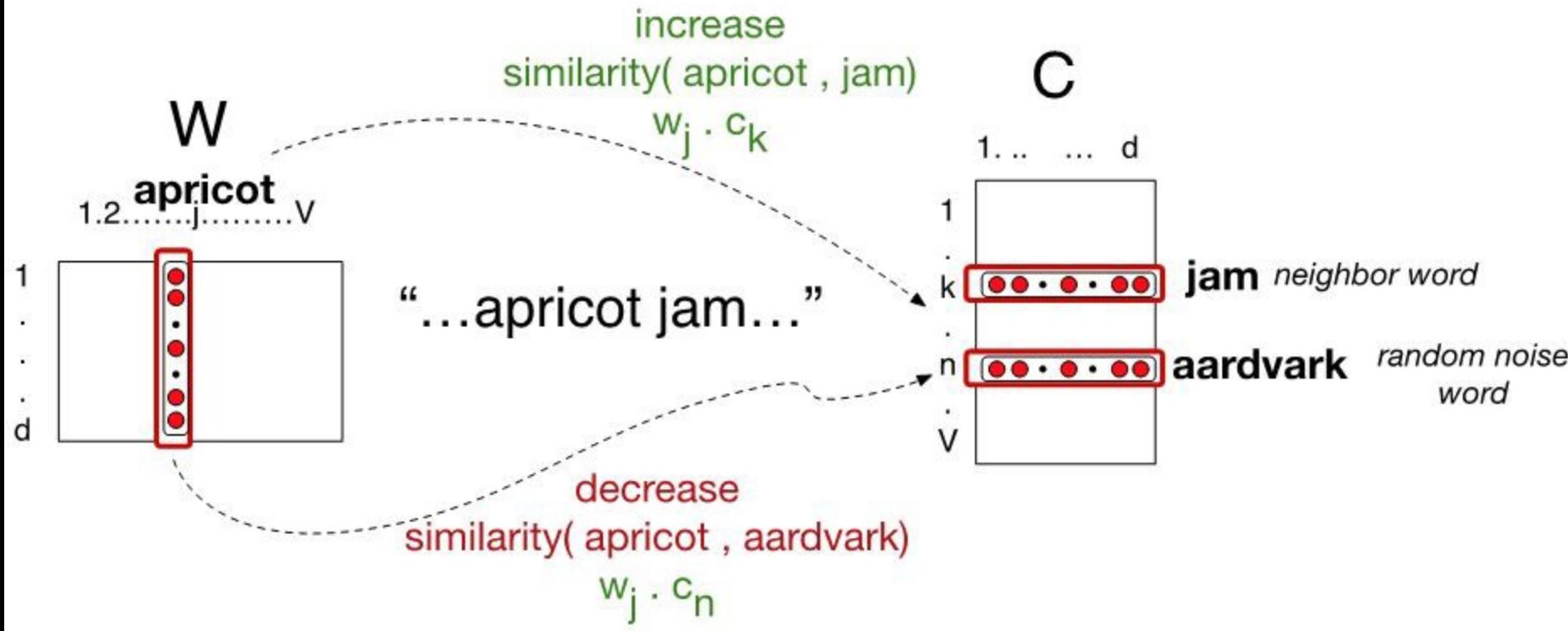
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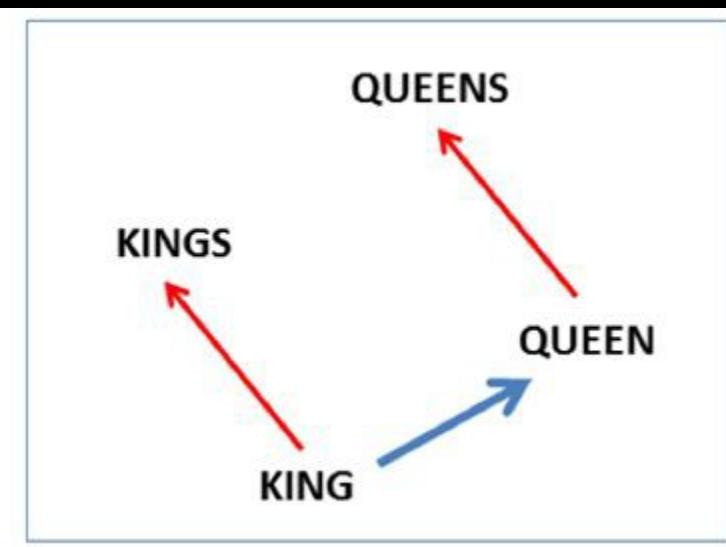
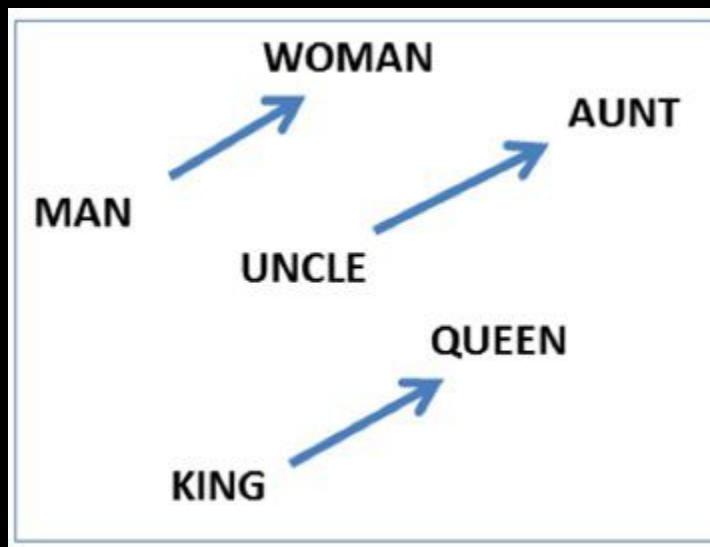
# Word 2 Vec



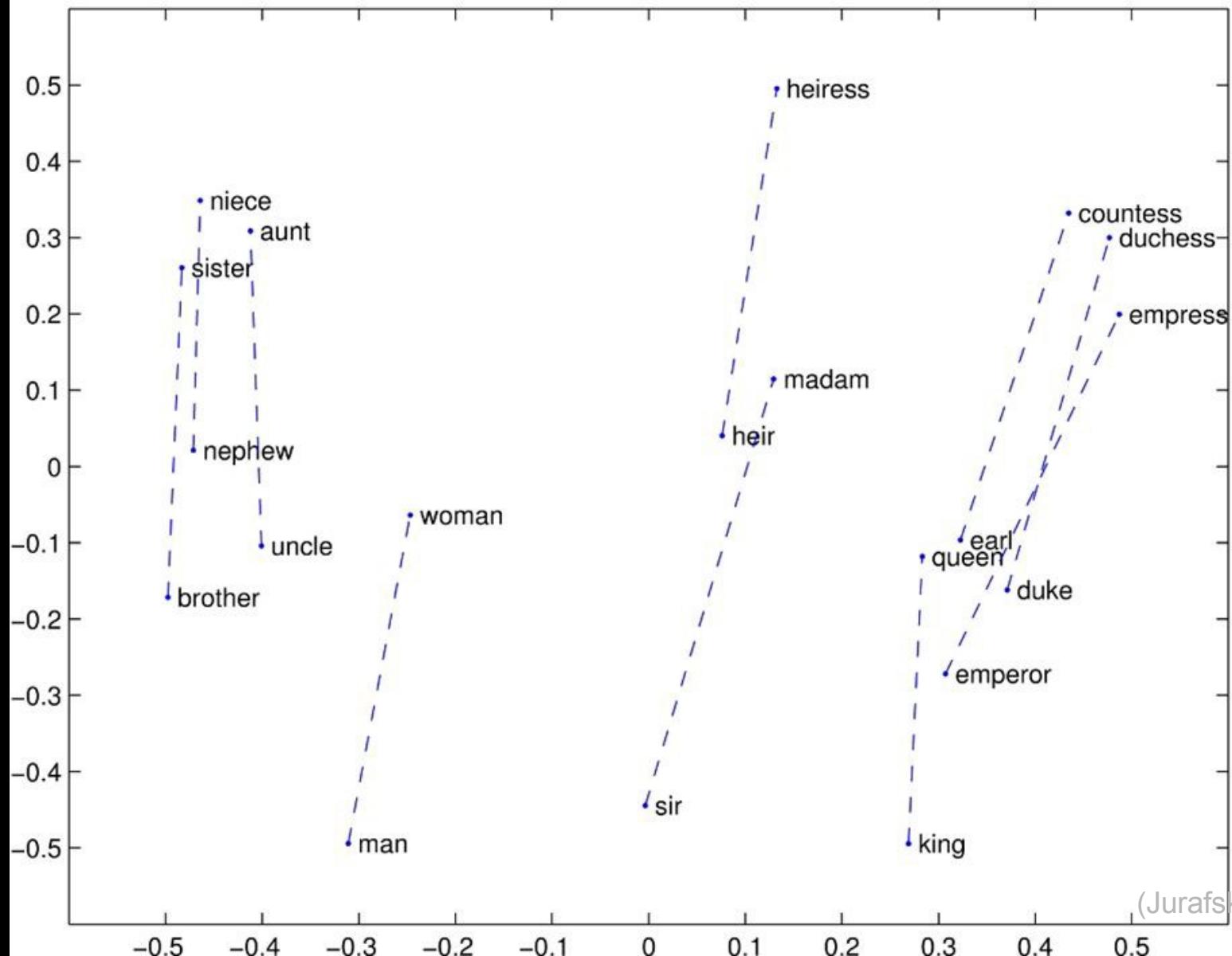
$$\sum_{(c,t)} (y) \log P(y=1|c,t) + (y-1) \log P(y=0|c,t)$$

(Jurafsky, 2017)

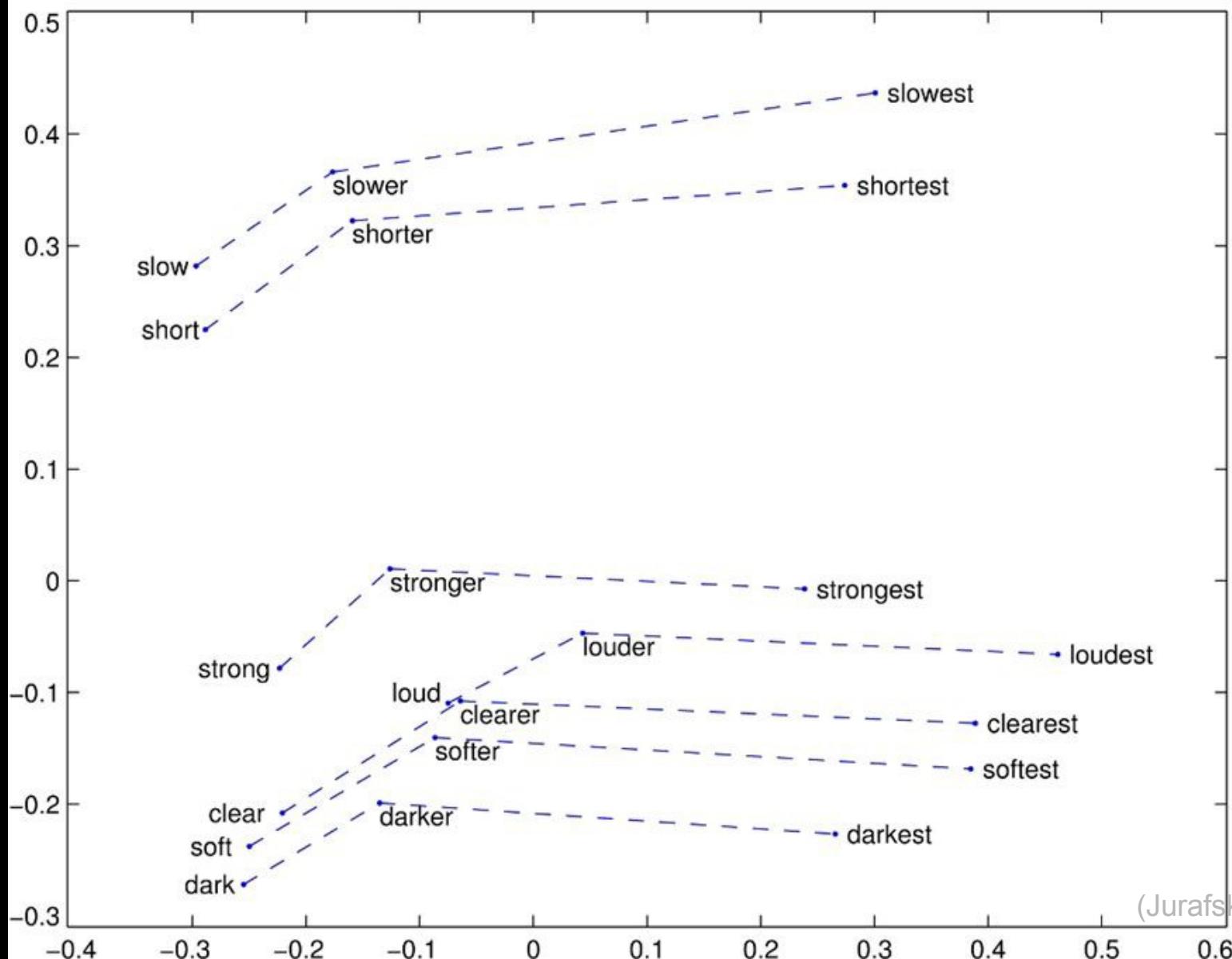
# Word2Vec captures analogies (kind of)



(Jurafsky, 2017)



(Jurafsky, 2017)



(Jurafsky, 2017)

# Word2Vec: Quantitative Evaluations

Compare to manually annotated pairs of words: WordSim-353 (Finkelstein et al., 2002)

Compare to words in context (Huang et al., 2012)

Answer [TOEFL synonym questions.](#)

# Multi-class Loss Function

Logistic Regression Likelihood:  $L(\beta_0, \beta_1, \dots, \beta_k | X, Y) = \prod_{i=1}^n p(x_i)^{y_i} (1 - p(x_i))^{1-y_i}$

Log Likelihood:

$$\ell(\beta) = \sum_{i=1}^N y_i \log p(x_i) + (1 - y_i) \log (1 - p(x_i))$$

Log Loss:

$$J(\beta) = -\frac{1}{N} \sum_{i=1}^N y_i \log p(x_i) + (1 - y_i) \log (1 - p(x_i))$$

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$$J = -\frac{1}{N} \sum_{i=1}^N \sum_{j=1}^{|V|} y_{i,j} \log p(x_{i,j}) \text{ (a "multiclass" log loss)}$$

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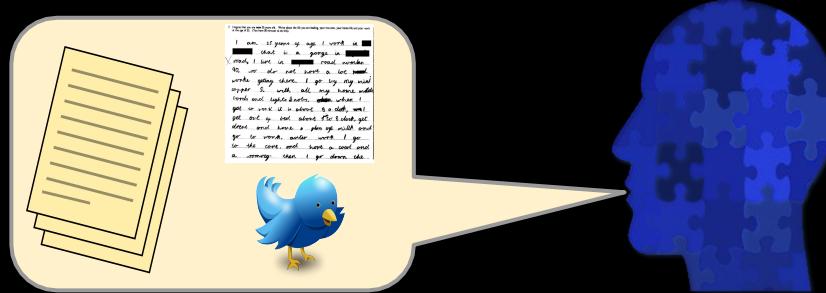
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In vector algebra form: -

```
mean( sum( y*log(y_pred) ) )
```

# Tasks

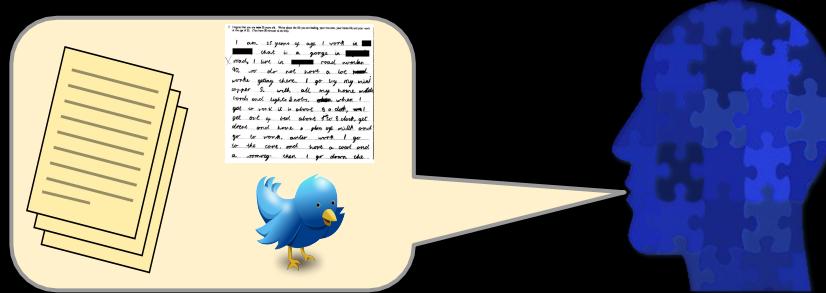


- Word Sense Disambiguation
- Word Vectors
- Topic Modeling

how?  
→

- Traditionally:
  - Probabilistic models
  - Discriminant Learning: e.g. Logistic Regression
  - Dimension Reduction: e.g. PCA)

# Tasks



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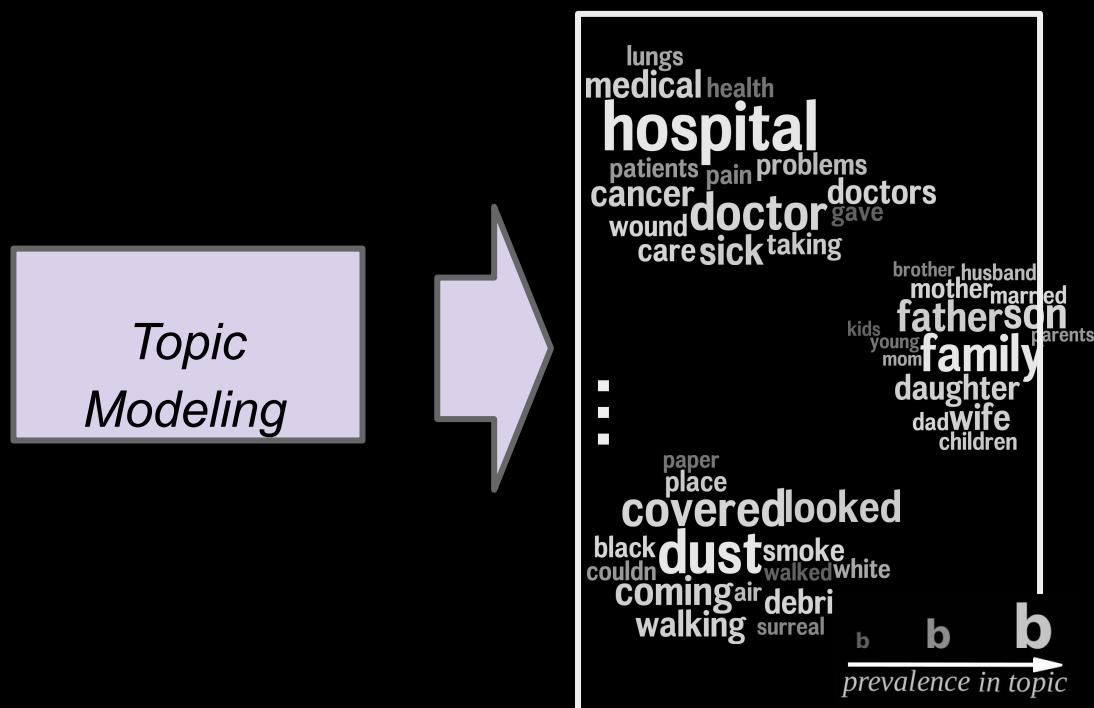
*Topic:* A group of highly related words and phrases. (aka "semantic field")

example: from WTC responder interviews  
(Son et al., 2021)



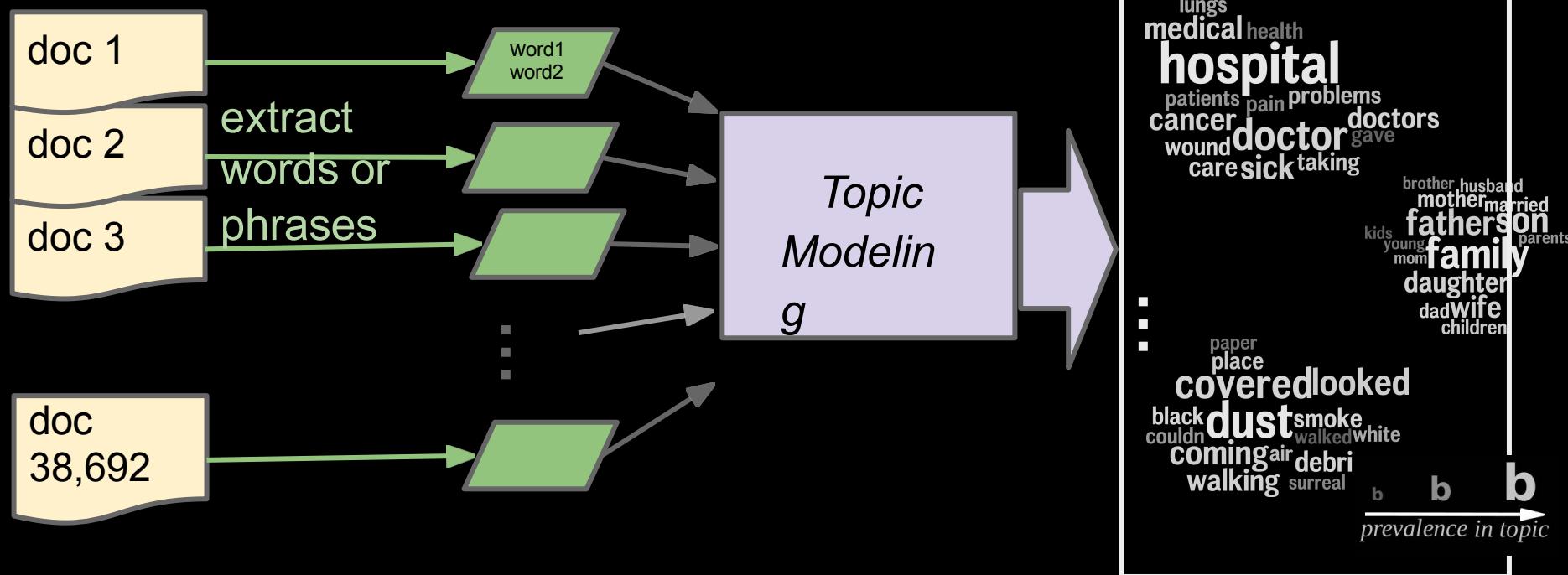
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# Topic Modeling

*Topic:* A group of highly related words and phrases. (aka "semantic field")



# Select Example Topics



thought  
feeling  
difficult  
little\_bit  
overwhelming  
moment  
happening  
kind  
hard  
feel  
mind  
fear  
sense  
**felt**

big  
fires  
firemen  
site  
**fire**  
guys  
truck  
truck  
burned  
equipment  
firehouse  
vehicles  
burning  
smoke  
smell

lived  
moved  
queens  
place  
new\_york  
manhattan  
living  
grew  
long\_island  
city  
house  
brooklyn  
bronx  
live  
born

lungs  
medical  
health  
patients  
cancer  
wound  
care  
sick  
doctor  
gave  
problems  
pain  
doctors  
taking

**hospital**

brother  
husband  
mother  
married  
kids  
young  
mom  
**family**  
parents  
daughter  
dad  
wife  
children

house  
**call**  
wife  
calls  
calling  
phone  
called  
working  
number  
office  
contact  
told  
home  
touch  
cell\_phone

paper  
place  
**covered**  
black  
couldn't  
dust  
coming  
air  
walking  
debris  
surreal

years  
**dust**  
months  
money  
retired  
half  
end  
ten  
ve  
year  
9/11

lights  
bridge  
**driving**  
traffic  
stopped  
cars  
manhattan  
precinct  
road  
city  
drive  
drove  
police  
**car**  
bus  
running  
collapsed  
falling  
fall  
lobby  
floor  
standing  
fell  
coming  
collapsetowers  
**building**

# Generating Topics from Documents

- *Latent Dirichlet Allocation* -- a Bayesian probabilistic model where words which appear in similar *contexts* (i.e. in essays that have similar sets of words) will be clustered into a prespecified number of topics.
- Rule of thumb:  $|topics| = \frac{|observations|}{100}$
- Each document receives a score per topic -- a probability:  $p(topic|doc)$ .

## Doc 1

topic 1: .05

topic 2: .02

topic 3: .01

...

topic 100: .07

## Doc 2

topic 1: .03

topic 2: .01

topic 3: .03

...

topic 100: .05

## Doc 3

topic 1: .04

topic 2: .03

topic 3: .03

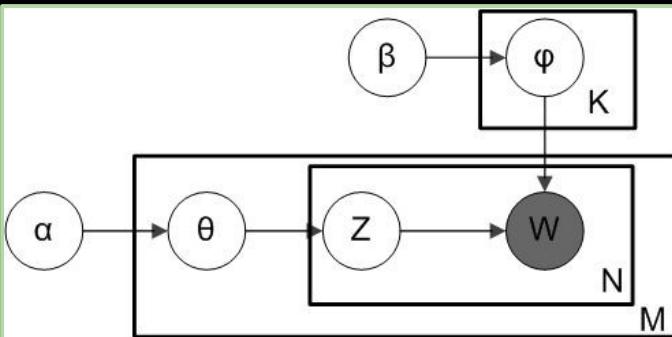
...

topic 100:  
.06

# Latent Dirichlet Allocation

(Blei et al., 2003)

- LDA specifies a Bayesian probabilistic model where by documents are viewed as a distribution of topics, and topics are a distribution of words.



Observed:

$W$  -- observed word in document  $m$

Inferred:

$\theta$  -- topic distribution for document  $m$ ,

$Z$  -- topic for word  $n$  in document  $m$

$\varphi$  -- word distribution for topic  $k$

Priors

$\alpha$  -- parameter for Dirichlet prior on the topics per document.

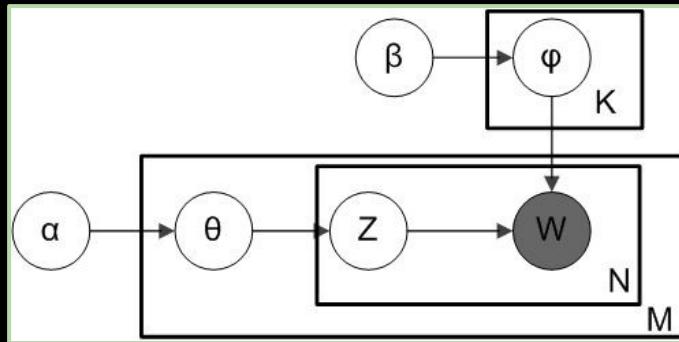
$\beta$  -- parameter for Dirichlet prior on the words per topic.

# Latent Dirichlet Allocation

(Blei et al., 2003)

- LDA specifies a Bayesian probabilistic model where by documents are viewed as a distribution of topics, and topics are a distribution of words.
- How to estimate (i.e. fit) the model parameters given data and priors? Common choices:
  - Gibb's Sampling (best)
  - variational Bayesian Inference (fastest).
- Key Output: the "posterior"  $\varphi = p(\text{word} | \text{topic})$ , the probability of a word given a topic.  
From this and  $p(\text{topic})$ , we can get:  $p(\text{topic} | \text{word})$

$$p(\text{topic} | \text{doc}) = \sum_{\text{word} \in \text{topic}} p(\text{topic} | \text{word})p(\text{word} | \text{doc})$$



Observed:

$W$  -- observed word in document  $m$

Inferred:

$\theta$  -- topic distribution for document  $m$ ,

$z$  -- topic for word  $n$  in document  $m$

$\varphi$  -- word distribution for topic  $k$

Priors

$\alpha$  -- parameter for Dirichlet prior on the topics per document.

$\beta$  -- parameter for Dirichlet prior on the words per topic.

# Example

Most prevalent words for 4 topics are listed at the top and words associated with them from a Yelp review are colored accordingly below.

Ranard, B.L., Werner, R.M., Antanavicius, T., Schwartz, H.A., Smith, R.J., Meisel, Z.F., Asch, D.A., Ungar, L.H. & Merchant, R.M. (2016). Yelp Reviews Of Hospital Care Can Supplement And Inform Traditional Surveys Of The Patient Experience Of Care. *Health Affairs*, 35(4), 697-705.

Labor and Delivery	Patient treatment	Surgery/ procedure and peri-op	Insurance and Billing
Baby	Care	Surgery	Insurance
Birth	Staff	Procedure	Billing
Nurses	Nurses	Surgeon	Bill
Labor	Hospital	Recovery	Hospital
Delivery	Doctors	Day	Department
Experience	Great	Staff	Company
Nurse	Caring	Experience	Paid

It depends what you look for in a hospital. Remember that this is a teaching hospital so you must adjust your expectations accordingly. This means many students who, bless their hearts, may ask you the same questions again and again. I waited for hours on standby to deliver my baby by emergency c-section. The kind nurses who served me during recovery and the anesthesiologist on duty during my surgery deserve praise. My OB was very competent, but I wish he were willing to do an extraversion or at least given me an epidural. I'm grateful they ultimately did what was best for my kid. However, I think things could have happened a lot more smoothly with better pain control. The only other thing to watch out for is your bills. This is the only institution I have been to that bills me prior to billing insurance. I fought two years to claim a credit through a database system change. The cafeteria gets flack for being all vegetarian but you just have to know what to order. Stay there for 1-2 weeks and you get the hang of what's good and what's not.

# Topic Modeling Packages

Most Reliable: [Mallet](#) (Java; uses Gibb's Sampling),  
pymallet (slower than Mallet but high quality results)

Ease of use: [Gensim](#) (python; uses variational inference;  
implements word2vec as well)

# Topic Modeling

Common applications:

- **Open vocabulary content analysis:** Describing the latent semantic categories of words or phrases present across a set of documents
- **Embeddings for predictive task:** for all topics, use  $p(\text{topic}|\text{document})$  as score. Feed to predictive model (e.g. classifier).

# Objective

