

# EECS 16A      Designing Information Devices and Systems I

## Summer 2023      Discussion 2D

### 1. Proofs

**Definition:** A set of vectors  $\{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n\}$  is **linearly dependent** if there exist constants  $c_1, c_2, \dots, c_n$  such that

$$\sum_{i=1}^{i=n} c_i \vec{v}_i = \vec{0}$$

and at least one  $c_i$  is non-zero.

This condition intuitively states that it is possible to express any one vector in the set in terms of the others.

- (a) Suppose for some non-zero vector  $\vec{x}$ ,  $\mathbf{A}\vec{x} = \vec{0}$ . Prove that the columns of  $\mathbf{A}$  are linearly dependent.

**Answer:**

Begin by defining column vectors  $\vec{a}_1 \dots \vec{a}_n$ .

$$\mathbf{A} = \begin{bmatrix} | & | & & | & | \\ \vec{a}_1 & \vec{a}_2 & \cdots & \vec{a}_n \\ | & | & & | & | \end{bmatrix}$$

Thus, we can represent the multiplication  $\mathbf{A}\vec{x}$  as

$$\begin{bmatrix} | & | & & | & | \\ \vec{a}_1 & \vec{a}_2 & \cdots & \vec{a}_n \\ | & | & & | & | \end{bmatrix} \begin{bmatrix} | \\ \vec{x} \\ | \end{bmatrix} = \sum x_i \vec{a}_i = \vec{0}$$

Note that the equation above is the definition of linear dependence. That is, there exist coefficients, at least one which is non-zero, such that the sum of the vectors weighted by the coefficients is zero. These coefficients are the elements of the non-zero vector  $\vec{x}$ .

- (b) (Optional) For a matrix  $\mathbf{A}$ , suppose there exist two unique vectors  $\vec{x}_1$  and  $\vec{x}_2$  that both satisfy  $\mathbf{A}\vec{x} = \vec{b}$ , that is,  $\mathbf{A}\vec{x}_1 = \vec{b}$  and  $\mathbf{A}\vec{x}_2 = \vec{b}$ . Prove that the columns of  $\mathbf{A}$  are linearly dependent.

**Answer:**

Let us consider the difference of the two equations:

$$\mathbf{A}\vec{x}_1 - \mathbf{A}\vec{x}_2 = \mathbf{A}(\vec{x}_1 - \vec{x}_2) = \vec{b} - \vec{b} = \vec{0}$$

Once again, we've reached the definition of linear dependence since  $\vec{x}_1 - \vec{x}_2 \neq \vec{0}$ . We can apply the results from part (a), setting  $\vec{x} = \vec{x}_1 - \vec{x}_2$ .

- (c) Let  $\mathbf{A} \in \mathbb{R}^{m \times n}$  be a matrix for which there exists a non-zero  $\vec{y} \in \mathbb{R}^n$  such that  $\mathbf{A}\vec{y} = \vec{0}$ . Let  $\vec{b} \in \mathbb{R}^m$  be some non-zero vector. Show that if there is one solution to the system of equations  $\mathbf{A}\vec{x} = \vec{b}$ , then there are infinitely many solutions.

**Answer:** The key insight is to use the linearity of Matrix-vector multiplication.

By assumption, let  $\vec{x}_1 \in \mathbb{R}^n$  be a solution to  $\mathbf{A}\vec{x} = \vec{b}$ . Then, for any  $c \in \mathbb{R}$

$$\mathbf{A}(\vec{x}_1 + c\vec{y}) = \mathbf{A}\vec{x}_1 + \mathbf{A}(c\vec{y}) = \mathbf{A}\vec{x}_1 + c\mathbf{A}\vec{y} = \mathbf{A}\vec{x}_1 + \vec{0} = \mathbf{A}\vec{x}_1 = \vec{b}$$

where the first two equalities follow by linearity and the last two equalities follow from the assumptions that  $\mathbf{A}\vec{y} = \vec{0}$  and that  $\vec{x}_1$  is a solution to the system.

Hence,  $\mathbf{A}(\vec{x}_1 + c\vec{y}) = \vec{b}$ , implying that  $(\vec{x}_1 + c\vec{y})$  is also a solution to  $\mathbf{A}\vec{x} = \vec{b}$  for **any** constant  $c$ . Therefore, there are infinitely many solutions.

## 2. Visualizing Matrices as Operations

This problem is going to help you visualize matrices as operations. For example, when we multiply a vector by a “rotation matrix,” we will see it “rotate” in the true sense here. Similarly, when we multiply a vector by a “reflection matrix,” we will see it be “reflected.” The way we will see this is by applying the operation to all the vertices of a polygon and seeing how the polygon changes.

Your TA will now show you how a unit square can be rotated, scaled, or reflected using matrices! Note that in this exercise we are applying a matrix transformation on each of the vertices of the unit square separately.

- (a) First, we will look at reflections. The transformation matrix that reflects a vector about the y-axis is:

$$\begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$$

since any vector of the form  $\begin{bmatrix} x_0 \\ y_0 \end{bmatrix}$  is transformed to  $\begin{bmatrix} -x_0 \\ y_0 \end{bmatrix}$ .

What are the matrices that reflect a vector about the (i) x-axis and (ii) line  $x = y$ ?

**Answer:** The matrix that reflects about the x-axis:

$$\begin{bmatrix} x_0 \\ y_0 \end{bmatrix} \rightarrow \begin{bmatrix} x_0 \\ -y_0 \end{bmatrix}$$

$$\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} x_0 \\ y_0 \end{bmatrix} = \begin{bmatrix} x_0 \\ -y_0 \end{bmatrix} \quad (1)$$

and the matrix that reflects about  $x = y$ :

$$\begin{bmatrix} x_0 \\ y_0 \end{bmatrix} \rightarrow \begin{bmatrix} y_0 \\ x_0 \end{bmatrix}$$

$$\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} x_0 \\ y_0 \end{bmatrix} = \begin{bmatrix} y_0 \\ x_0 \end{bmatrix} \quad (2)$$

- (b) We are given matrices  $\mathbf{T}_1$  and  $\mathbf{T}_2$ , and we are told that they will rotate the unit square by  $15^\circ$  and  $30^\circ$  respectively. Suggest some methods to rotate the unit square by  $45^\circ$  using only  $\mathbf{T}_1$  and  $\mathbf{T}_2$ . How would you rotate the square by  $60^\circ$ ? Your TA will show you the result in the iPython notebook.

**Answer:** Apply  $\mathbf{T}_1$  and  $\mathbf{T}_2$  in succession to rotate the unit square by  $45^\circ$ . To rotate the square by  $60^\circ$ , you can either apply  $\mathbf{T}_2$  twice, or if you prefer variety, apply  $\mathbf{T}_1$  twice and  $\mathbf{T}_2$  once.

- (c) Find a single matrix  $\mathbf{T}_3$  to rotate the unit square by  $60^\circ$ . Your TA will show you the result in the iPython notebook.

**Answer:** This matrix will look like the rotation matrix that rotates a vector by  $60^\circ$ . This matrix can be composed by multiplying  $\mathbf{T}_1$  by  $\mathbf{T}_1$  by  $\mathbf{T}_2$  (or equivalently,  $\mathbf{T}_2$  by  $\mathbf{T}_2$ ).

- (d)  $\mathbf{T}_1$ ,  $\mathbf{T}_2$ , and the matrix you used in part (b) are called “rotation matrices.” They rotate any vector by an angle  $\theta$ . Show that a rotation matrix has the following form:

$$\mathbf{R} = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$$

where  $\theta$  is the angle of rotation. To do this, consider rotating the unit vector  $\begin{bmatrix} \cos \alpha \\ \sin \alpha \end{bmatrix}$  by  $\theta$  degrees using the matrix  $\mathbf{R}$ .

**(Definition:** A vector,  $\vec{v} = \begin{bmatrix} v_1 \\ v_2 \\ \vdots \end{bmatrix}$ , is a unit vector if  $\sqrt{v_1^2 + v_2^2 + \dots} = 1$ .)

(Hint: Use your trigonometric identities:  $\cos(a)\cos(b) - \sin(a)\sin(b) = \cos(a+b)$ ,  $\cos(a)\sin(b) + \sin(a)\cos(b) = \sin(a+b)$ .)

**Answer:**

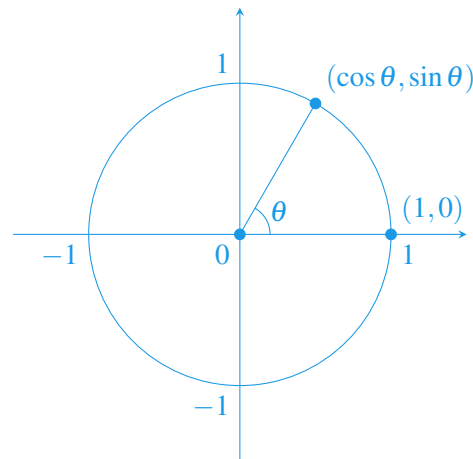
The reason the matrix is called a rotation matrix is because it transforms the unit vector  $\begin{bmatrix} \cos \alpha \\ \sin \alpha \end{bmatrix}$  to give  $\begin{bmatrix} \cos(\alpha + \theta) \\ \sin(\alpha + \theta) \end{bmatrix}$ .

Proof:

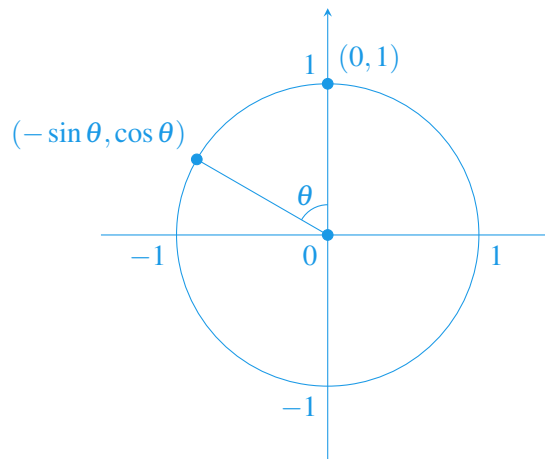
$$\begin{aligned} \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} \cos \alpha \\ \sin \alpha \end{bmatrix} &= \cos \alpha \begin{bmatrix} \cos \theta \\ \sin \theta \end{bmatrix} + \sin \alpha \begin{bmatrix} -\sin \theta \\ \cos \theta \end{bmatrix} \\ &= \begin{bmatrix} \cos \alpha \cos \theta - \sin \alpha \sin \theta \\ \cos \alpha \sin \theta + \sin \alpha \cos \theta \end{bmatrix} \\ &= \begin{bmatrix} \cos(\alpha + \theta) \\ \sin(\alpha + \theta) \end{bmatrix} \end{aligned}$$

**Alternative solution:**

Let's try to derive this matrix using trigonometry. Suppose we want to rotate the vector  $\begin{bmatrix} 1 \\ 0 \end{bmatrix}$  by  $\theta$ .



We can use basic trigonometric relationships to see that  $\begin{bmatrix} 1 \\ 0 \end{bmatrix}$  rotated by  $\theta$  becomes  $\begin{bmatrix} \cos \theta \\ \sin \theta \end{bmatrix}$ . Similarly, rotating the vector  $\begin{bmatrix} 0 \\ 1 \end{bmatrix}$  by  $\theta$  becomes  $\begin{bmatrix} -\sin \theta \\ \cos \theta \end{bmatrix}$ :



We can also scale these pre-rotated vectors to any length we want,  $\begin{bmatrix} x \\ 0 \end{bmatrix}$  and  $\begin{bmatrix} 0 \\ y \end{bmatrix}$ , and we can observe graphically that they rotate to  $\begin{bmatrix} x \cos \theta \\ x \sin \theta \end{bmatrix}$  and  $\begin{bmatrix} -y \sin \theta \\ y \cos \theta \end{bmatrix}$ , respectively. Rotating a vector solely in the  $x$ -direction produces a vector with both  $x$  and  $y$  components, and, likewise, rotating a vector solely in the  $y$ -direction produces a vector with both  $x$  and  $y$  components.

Finally, if we want to rotate an arbitrary vector  $\begin{bmatrix} x \\ y \end{bmatrix}$ , we can combine what we derived above. Let  $x'$  and  $y'$  be the  $x$  and  $y$  components after rotation.  $x'$  has contributions from both  $x$  and  $y$ :  $x' = x \cos \theta - y \sin \theta$ . Similarly,  $y'$  has contributions from both components as well:  $y' = x \sin \theta + y \cos \theta$ . Expressing this in matrix form:

$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} x \cos \theta - y \sin \theta \\ x \sin \theta + y \cos \theta \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

Thus, we've derived the 2-dimensional rotation matrix.

- (e) Now, we want to get back the original unit square from the rotated square in part (c). What matrix should we use to do this? (**Note:** Don't use inverses! Answer this question using your intuition; we will visit inverses very soon in lecture!)

**Answer:**

Use a rotation matrix that rotates by  $-60^\circ$ .

$$\begin{bmatrix} \cos(-60^\circ) & -\sin(-60^\circ) \\ \sin(-60^\circ) & \cos(-60^\circ) \end{bmatrix}$$

- (f) Use part (e) to obtain the rotation matrix that reverses the operation of a matrix that rotates a vector by  $\theta$ . Multiply the reverse rotation matrix with the rotation matrix and vice-versa. What do you get?

**Answer:**

The reverse matrix is as follows:

$$\begin{bmatrix} \cos(-\theta) & -\sin(-\theta) \\ \sin(-\theta) & \cos(-\theta) \end{bmatrix} = \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix}$$

We can see that for any  $\vec{v} \in \mathbb{R}^2$  that the product of the rotation matrix with  $\vec{v}$  followed by the product of the reverse results in the original  $\vec{v}$ .

$$\begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \left( \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix} \vec{v} \right) = \vec{v}$$

- (g) A natural question to ask is the following: does the *order* in which you apply transformations matter? Let's see what happens to a vector when we rotate it by  $60^\circ$  and then reflect it along the y-axis (matrix given in part (a)). Next, let's see what happens when we first reflect the vector along the y-axis and then rotate it by  $60^\circ$ . You will need to multiply the corresponding rotation and reflection matrices in the correct order. Are the results the same?

**Answer:** The results are not the same. If you rotate some vector  $\vec{v}$  and then reflect along the y-axis you get:

$$\begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} \cos(60^\circ) & -\sin(60^\circ) \\ \sin(60^\circ) & \cos(60^\circ) \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \end{bmatrix} = \begin{bmatrix} -\cos(60^\circ) & \sin(60^\circ) \\ \sin(60^\circ) & \cos(60^\circ) \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \end{bmatrix}$$

If you reflect along the y-axis and then rotate you get:

$$\begin{bmatrix} \cos(60^\circ) & -\sin(60^\circ) \\ \sin(60^\circ) & \cos(60^\circ) \end{bmatrix} \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \end{bmatrix} = \begin{bmatrix} -\cos(60^\circ) & -\sin(60^\circ) \\ -\sin(60^\circ) & \cos(60^\circ) \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \end{bmatrix}$$

- (h) Now, let's perform the operations in part (g) on the unit square in our iPython notebook. Are the results the same?

**Answer:** The results are not the same as shown in the iPython notebook.