

# **Riparian Zones LC/LU and change 2012-2018 - Product User Manual (PUM)**

Copernicus Land Monitoring Service



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# 1. Executive summary

Copernicus is the European Union's Earth Observation (EO) Programme. It offers information services based on satellite earth observation and in situ (non-space) data and is an integrated part of European Environment Agency's (EEA's) strategy to improve environmental information. These information services are **freely** and **openly** accessible to its users through six thematic Copernicus services (atmosphere monitoring, marine environment monitoring, land monitoring, climate change, emergency management and security).

The Copernicus Land Monitoring Service (CLMS) provides geographical information on land cover and its changes, land use, vegetation state, water cycle and earth surface energy variables to a broad range of users in Europe and across the world in the field of environmental terrestrial applications. CLMS information is based on space data combined with other sources. It addresses a wide range of policies such as environment, agriculture, regional development, transport and energy at EU level, and European commitments to International Conventions.

CLMS is jointly implemented by the European Environment Agency and the European Commission (EC) DG Joint Research Centre (JRC).

The Priority Area Monitoring (previously known as Local Component) is coordinated by the EEA as part of CLMS and aims to provide specific and more detailed information that is complementary to the information obtained through the pan-European component. It focuses on different "hotspots", i.e., areas that are prone to specific environmental challenges and problems. It is based on Very High-Resolution (VHR) satellite imagery in combination with other available datasets (high and medium resolution images) over the pan-European area.

In Europe, the EC has proposed a strategy to lead the world by example in reversing biodiversity loss, and in helping to ensure that by 2050 all of the world's ecosystems are restored, resilient, and adequately protected: the European Biodiversity Strategy. In the previous version of this strategy, EU Biodiversity Strategy 2020, one of the targets were to focus on a better protection and restoration of ecosystems and the services they provide, and greater use of green infrastructure. As a consequence of this, riparian zones (RZ) started being mapped and monitored within the Copernicus program. Riparian Zones is a product within the Copernicus Land Monitoring Service's Priority Area Monitoring component and was first created during the initial phase of the Copernicus Initial Operations in 2011-2013 [1].

The Riparian LC/LU product provides a detailed LC/LU dataset for areas along a buffer zone of selected rivers covering EEA-38 + UK (i.e., the 38 EEA member and cooperating countries and the UK). This product provides a consistent, very high-resolution, delineation and characterisation of the riparian zones of major and medium sized rivers. For the reference year 2012, it is based on optical 2,5 m spatial resolution satellite imagery from the European Space Agency (ESA) Data Warehouse (DWH). The VHR\_IMAGE\_2018 dataset, with resolutions between 2 and 4 m from different sensors, is the main input for the reference year 2018. The project produces the change mapping between the reference years, 2012 and 2018, and the status production for 2012 and 2018. It covers the whole extension of the selected rivers of Strahler 2-9 levels.

The mapping of LC/LU along a buffer zone of selected rivers had at the start as one of its main objectives to support the mapping and assessment of ecosystems and their services (MAES) [2] [3], as part of the EU Biodiversity Strategy to 2020.



The initial Riparian Zones map was available for more than 550.000 km<sup>2</sup> of EEA-39 territory and covered modelled buffer areas around selected medium and large size rivers with Strahler [4] numbers from 3–8. The mapping was done for the reference year 2012 with a temporal coverage of satellite input data between 2010 and 2014. The production for Strahler from 3-8 was finalized in 2015. In 2017/2018 a geographic extension covering buffered (250 m) rivers with Strahler number 2 was performed, extending the total area to 805.177 km<sup>2</sup>, and including the implementation of the newest version of EU-Hydro (public beta 0.9) that led to minor reclassification of larger rivers to Strahler level 9.

In 2017, the nomenclature was revised with the aim to harmonize the Local Component products (mainly Riparian Zones, Natura 2000, Urban Atlas, and Coastal Zones products) while maintaining user requirements for products. The nomenclature of the RZ data is based on the MAES systematics and is reflected in the CODE values of the data. The revision and update of the new Riparian Zones (RZ) nomenclature led to a simplification of some classes, decreasing the CODE level 4 of the nomenclature in a coherent and non-redundant way (previous nomenclature: 79 classes / new nomenclature: 56 classes). In 2021, the class codes and class names were reorganized and updated a further time in order to, again, achieve better harmonization of the nomenclatures of the CLMS Local Component products while the number of 56 classes (shown in Table 3) as well as the class definitions were maintained.

## 2. Background of the document

### 2.1 Who is this guide for?

Product User Manual is the primary document that users are recommended to read before using the product. It provides an overview of the product characteristics, product methodology and workflows, user requirements and example/potential use cases, information about the quality assessment checks and their results as well as product technical support.

### 2.2 Content and structure

The document is structured as follows:

- Chapter III recalls the user requirements
- Chapter IV presents potential product application areas and/or example use cases
- Chapter V presents product description (product file naming convention and format(s), product content and characteristics)
- Chapter VI provides a description of the product methodology and workflows
- Chapter VII summarizes the quality assessment and/or validation procedure and the results
- Chapter VIII provides information about product access and use conditions as well as the technical product support
- Chapter IX lists references to the cited literature

### 3. Review of user requirements

Riparian zones (RZ) refer to areas adjacent to water bodies, such as rivers, water streams and lakes. Natural riparian zones are some of the most diverse, dynamic, and complex biophysical habitats on the terrestrial portion of the planet. These areas constitute biological and physical systems with unique soil and vegetation characteristics. Here nutrients, water and sediments mix, creating optimal conditions for the development of plant and animal communities that benefit from the productivity of these ecosystems. [1] [5] [6] [7] [8]

RZ provide a wide range of ecological benefits, for instance:

- the recycling of nutrients and other chemical reactions which in turn benefit plant growth
- mitigates erosion effects caused by flooding events [3, 4, 5]
- they are hotspots for higher biodiversity of aquatic and terrestrial species
- the recycling of organic matter
- the improvement of water quality
- the filtering/buffering of sediment

Worldwide, riparian zones have been significantly modified and degraded due to human activity. Fragmentation of these areas has led to a considerable loss of several plant and animal species. The reason for this is the loss of their habitat and the introduction of competing invasive species [9]. Alteration of the riparian zones inevitably leads to the loss of the ecological benefits that these ecosystems provide.

In Europe, the EC proposed a strategy to lead the world by example in reversing biodiversity loss, and in helping to ensure that by 2050 all of the world's ecosystems are restored, resilient, and adequately protected: the European Biodiversity Strategy. In the previous version of this strategy, EU Biodiversity strategy 2020, one of the targets were to focus on a better protection and restoration of ecosystems and the services they provide, and greater use of green infrastructure. Because of this, RZ started being mapped and monitored within the Copernicus program, which is the European initiative for Earth Observation and monitoring. "Riparian Zones" is a product within the Copernicus Land Monitoring Service's local component and was first created during the initial phase of the Copernicus Initial Operations in 2011-2013 [1].

## 4. Product application areas and/or examples of use cases

Mapping and modelling of riparian zones is important for the assessment and evaluation of the changes in these areas over time. This is true both for areas where ecosystem restoration measures are implemented, to measure the success, but also for existing areas and identifying changes, known or unknown, in the surrounding environment that have a negative impact on the riparian ecosystem, in order to counteract these in time to prevent serious damage.

The Riparian Zones product was expected to support the MAES initiative (Mapping and Assessment of Ecosystems and their Services) and link to other European policy areas and initiatives such as;

- the Water Framework Directive
- the Habitats and Birds Directives including the Natura 2000 network
- the Floods Directive
- the EC's Green Infrastructure strategy
- the Blueprint to safeguard Europe's waters
- the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services (IPBES)

The latter stresses the importance and need to strengthen measures to help protect the water resources and become more resource (including water) efficient within the EU, encouraging measures such as the restoration of wetlands and floodplains to increase natural water retention.

The Riparian Zones product could greatly benefit the work of the IPBES in their assessments on the state of biodiversity and of the ecosystem services it provides to society [1].

The following subchapter will highlight some potential uses for the Riparian Zones product, and also present examples of where it has already been used.

### 4.1 Public and private sector and private interest groups

#### Public sector

The state of riparian zones and their development provides information useful to governments in terms of informing on the state of biodiversity, water quality and the successes or failures in means of improving these by use of nature-based solutions. The Riparian Zones product can facilitate the identification of areas where challenges possibly related to degraded riparian zones, overlap with areas where riparian zones should or could be present. This can inform efforts in either reintroducing riparian zones or improving existing riparian zones and can highlight where the benefit of restoring riparian zones would be greatest, see more in section Use case: Ecosystem types of Europe. Well managed riparian zones can hinder pesticides, pollutants, and excessive nutrients from reaching water streams and downstream water sources. This in turn improves the quality of water sources and streams by limiting eutrophication and reducing the amount of treatment required for water in water treatment plants. Reduced eutrophication improves the oxygen levels and habitats for aquatic life and thus

improves biodiversity as well as the water quality overall, see more in section Use case: Improving water quality and protecting against flooding.

Through sustainable management of water sources, governments can assist local communities, support the private sector and encourage important synergies between healthy ecosystems and human well-being. The Riparian Zones product can aid adaptive management with appropriate monitoring and assessment on the current state.

Healthy riparian zones also retain water masses during flooding and other high-water events, reducing the energy and velocity of water which impedes the risk of flooding damage to infrastructure downstream but may also play a role in limiting wild fires. The riparian zones maintain stable river banks by means of vegetation roots, which prevents sediment build-up and extreme bank erosion, which otherwise could cause damages downstream, see more in section Use case: Potential Flood Plains and Use case: Improving water quality and protecting against flooding.

Riparian zones in addition provide recreational values such as canoeing, hiking, camping, bird watching and fishing, which are important for the wellbeing of inhabitants and may attract ecotourism, although care should be taken to combine these activities with keeping the riparian zone as undisturbed as possible by traffic and in a naturally vegetated state, see more in section Use case: Ecosystem services and informing policies and conservation measures and Use case: Biodiversity and birds.

Riparian zones also provide educational opportunities [1], bringing students of all ages into nature to observe and learn about the benefits provided by these areas and how best to aid in their management and preservation, see section Use case: Ecosystem services and informing policies and conservation measures.

### **Private sector**

The private sector could utilize the information available through the Riparian Zones product by adapting their businesses to protect and improve nearby riparian zones to increase the ecological value of their land as well as its sustainability. Private agricultural and farming areas could utilise the information of the benefits that riparian zones provide, such as increased biodiversity and habitats for, among other, pollinators which are beneficial for crops [10]. Other benefits may include reduced impacts from pest species, resulting in less need for use of pesticides [11] and thus a higher water quality. The private sector such as consultancies can use the freely available Riparian Zones product to improve the quality of their services. Well-informed decisions made in terms of different kinds of development increase the likelihood of holistic and sustainable solutions. The Riparian Zones product could inform the private sector, as well as the public, on where action in relation to other interventions in infrastructure, development or restoration projects, can achieve multiple benefits and synergies in for example quality improvement of biodiversity, water, habitats. Additionally the Riparian Zones product could be used to make more detailed assessments on the state of the riparian zone through field research. With this knowledge informed and targeted efforts to improve the ecosystem services can be implemented. Private companies such as map providers could also incorporate the riparian zones data into their existing maps to meet demands from certain interest groups but also raise awareness of its importance in the general public.

### **Private interest groups**

Civil society can, through various interest organisations, NGO's, academic organisations, scouts and social movements and campaigns – benefit from the information freely available through the Riparian



Zones product, to mobilise or inform the general public on the importance of riparian zones and the benefits they provide. This may in turn spark an increased incentive for each citizen to take measures where they are able, to protect and improve these areas. Private interest groups in bird watching and fishing could use the provided information from the Riparian Zones product to localise and improve good breeding grounds and migration routes for both fish and birds. Extreme temperatures are moderated in riparian zones due to large mature trees and overhanging vegetation, fallen trees also provide shelter and habitat for fish and insects. This creates favourable habitats for both water- and land-living species. [12] Disturbed or degraded riparian zones offer possibilities for restoring wildlife habitat functions. Wildlife management groups might use the information in the Riparian Zones product for researching hot spots for wildlife and plant migration, which in part ties together fragmented habitats and allows for healthier populations of otherwise perhaps threatened species. The information provided can be used in combination with requirements for specific species in terms of restoring threatened species to healthy populations. Certain wildlife species for example require riparian zones of significant widths to breed, migrate between habitats, feed, nest and disperse to set up new territories [11] [12]. Other species such as fish require the stream to have a minimum number of stream crossings, and where necessary bridges rather than culverts, to migrate and breed. Wider riparian zones in general harbours a wider range of wildlife species, and the larger wildlife species as well as birds generally require the widest riparian zones. The Riparian Zones product can thus be used in informing preservation groups to protect and re-establish populations of certain species of interest, by locating either suitable existing riparian zones where the species occur naturally to reintroduce these species there – or in order to inform interventions to widen existing riparian zones to make them suitable habitats for native species which have decreased in number or even disappeared altogether. Native ecosystems with high biodiversity and low degree of human disturbances, as well as a wide and complex riparian zone, have been shown to be more robust against the introduction of invasive species [13] [14], and support a number of ecosystem services which invasive species will not. However, high biodiversity may also indicate a high introduction and establishment of invasive species, called propagule pressure, and suggests a higher level of invasion of an area. A high number of introduced and established invasive species is for most species, plants and terrestrial animals, linked to high degree of human disturbance. [15] Specific interest groups such as the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services (IPBES) could very well use the product for in their assessments on the state of biodiversity and of the ecosystem services it provides to society. IPBES is an independent intergovernmental body established by States to strengthen the science-policy interface for biodiversity and ecosystem services for the conservation and sustainable use of biodiversity, long-term human well-being and sustainable development. Pressure from interest groups can in turn influence policy making and political decision-making.

The research community could use the product for identifying hot spots for research on biodiversity, ecosystem services, migration patterns, climate change adaptation, climate change impacts and their impacts on riparian zones. The Riparian Zones product could be an interesting tool in assessing riparian zones' ability to adapting to or counteracting climate change effects as it is not clear as of today if these areas are particularly vulnerable to climate change due to their exposure; or if they are resilient due to their evolution during highly variable climates and environments [16].

## 4.2 Use cases

### 4.2.1 Use case: *Potential Flood Plains*

The European Environment Agency has produced a report which aims to provide an overview of the different aspects of floodplain management and to provide examples of the services that are important for the healthy functioning of floodplains [6]. The Riparian Zones product has been used as input to this report.

Floodplains cover 7% of Europe's landmass. These are part of its natural capital, covering up to 30% of the terrestrial Natura 2000 site areas. Studies have shown that 70-90% of floodplains have been environmentally degraded. [6] Many European policies are relevant for floodplains and flood prone areas, for example the Water Framework Directive, the Habitats and Birds Directives, and the Floods Directive. The floodplains represent the transition between land and freshwater ecosystems, with hydrology, soil and biotic conditions strongly influenced by stream water and flooding. Additionally they provide a range of important ecosystem services such as nutrient and water retention, flood control, carbon sequestration, a diversity of aquatic and wetland habitats, but they are under pressure. [17] Riparian zones constitute an integral part of flood plains.

The severe degradation worldwide of freshwater ecosystems has posed a major threat to ecosystem services of floodplains, riparian zones and vegetation. This negative trend that has continued to increase for centuries due to changed land use patterns and might even be intensified because of climate change [10]. Therefore, restoration of floodplains and riparian zones would prove an important measure to mitigate the effects of such degradation.

The Riparian Zones product can be used to inform efforts to show that natural and restored floodplains provide an alternative to structural measures for providing flood protection, and at the same time support achieving higher quality ecosystem services like improved water quality, improved conditions for biodiversity conservation and higher recreational value. [6]

### 4.2.2 Use case: *Improving water quality and protecting against flooding*

Riparian zones provide an important function for waterways as a buffer between catchment areas and water recipients, through filtering catchment water from pollutants or excessive nutrients [13]. Restoring and improving riparian zones can act as an important measure in improving water quality and ecosystems in for example agricultural areas or otherwise managed land areas, in alignment with the Water Framework Directive (WFD) [18] but also target 7 and 10 in First draft of the post-2020 global biodiversity framework [19] and the Sustainable Development Goal (SDG) 6 [20].

In addition, the riparian zones act a protection against flooding events, working towards target 11 in First draft of the post-2020 global biodiversity framework [19]. Human development in riparian zones has put pressure on the environmental roles that floodplains and riparian zones play during flooding events, and human made structural protections against floods in these areas have further exacerbated the problems [6]. With increasing populations living in riparian zones and more and more extreme weather events occurring, the need for restoration, protection and sustainable management of still functioning riparian zones increases, to protect more regions and people from the imminent risk of floods [21], in alignment with the Floods Directive [22].

To restore riparian zones, river hydromorphology and sustainable river management, and not least to overbridge the gap left in different hydromorphological assessment policies concerning the importance

of riparian vegetation, tools for assessment of the state of riparian vegetation are needed [23]. Using the Riparian Zones product to compare the extent of riparian zones from one year to another, can provide an indication of the progress in improving the ecosystem services from riparian zones, but also the progress in the protection against climate change impacts and resilience towards flooding events [6].

#### **4.2.3 Use case: Ecosystem types of Europe**

The Riparian Zones product has been used as input contributing to a better biological characterization of terrestrial and marine ecosystems across Europe (EEA-39), through the dataset “Ecosystem types of Europe” [24]. It represents probabilities of EUNIS (European Nature Information System) habitat presence in terrestrial, freshwater and marine ecosystems. The work supports the Mapping and Assessment of Ecosystems and their Services (MAES), Action 5 of Target 2 the EU Biodiversity Strategy to 2020, established to achieve the Aichi targets of the Convention of Biological Diversity (CBD). [25]

#### **4.2.4 Use case: Ecosystem services and informing policies and conservation measures**

Riparian zones provide cultural services in the form of for example recreation, aesthetic, spiritual and educational benefits; provisional services in the form of physical products such as for example biomass and genetic resources; and regulation and maintenance services such as for example filtration of pollutants and chemical conditions of freshwaters, carbon sequestration, flow regulation, erosion control, pollination and seed dispersal, pest control, maintenance of nursery populations and habitats, regulation of microclimate and fire effects mitigation. [10] Because of the functions these areas provide in terms of water provision, they are under threat from urbanisation and other human activities and land uses.

The Riparian Zones product may aid in the efforts of monitoring progress in restoring riparian zones due to the improved technique for data collection, which is important both for feedback and guidance on how to evaluate the different strategies applied [26]. Riparian zones are often characterised by inaccessibility and dynamism [26], making a tool for monitoring the development of riparian zones through visual interpretation of vector data and satellite imagery an invaluable tool to assess successes and potential for optimisation in management and restoration efforts.

Many indicators of riparian zone status can be monitored by remote sensing data, as is done in the Riparian Zones product. Examples of these indicators are water level width, riparian zone area, vegetation coverage, river connectivity and natural wetland conservation, which are closely related to riparian vegetation density, bedload transport capacity, flow regulation and biological abundance which are in turn crucial to the physical habitat. [26]

Riparian zones are, despite their importance in water quality and flood mitigation, not specifically mentioned in the EU Floods Directive (FD) or the EU Water Framework Directive (WFD). The management of these dynamic socio-ecological systems require dynamic adaptive policies, and monitoring of riparian zones make an important cornerstone in achieving this. [21] The Riparian Zones product can prove an important aid in achieving policies more specifically targeted at restoring, protecting and optimising management of these areas, to protect habitats and biodiversity as well as the many ecosystem services that these areas provide. Monitoring and assessment are important factors in evaluating the effectiveness of policy making and optimising for the best possible results, given the complexity of the riparian zone ecosystems and interconnections, this aspect proves yet more important.

#### **4.2.5 Use case: Biomass production**

Agricultural areas located nearby riparian zones and streams could by own measures improve it by restoring native vegetation and keeping grazing cattle away from the stream to allow for vegetation to settle, stabilise the river banks and strengthen native species and ecosystems while also protecting downstream land from flooding. Used in combination with for example the Common Agricultural Policy (CAP) [27], and its three “specific objectives”<sup>1</sup> concerning the environment and climate, useful synergies can be achieved. Additionally, riparian zones adjacent to agricultural land could be used for biomass generation with energy crop cultivations in order to meet the ever-increasing demand for renewable energy sources in climate change adaptation efforts [28]. This would increase the environmental benefits in the riparian zone, whilst maintaining productivity of the agricultural areas and achieving biomass outputs.

#### **4.2.6 Use case: Biodiversity and birds**

The vegetation cover and availability of food resources make riparian zones important habitats to many birds [29]. Due to their sensitivity to changes in the environment, birds are sentinel species which allow to detect environmental hazards, providing an early warning of different threats such as pollution, habitat quality, overall biodiversity, or emerging diseases. As climate and weather indicators, birds can be useful tools to monitor the impacts of climate change at large spatial scales. [30] Habitat loss and degradation are the most serious threats to the conservation of wild birds [31].

Thus preserving and restoring riparian zones can provide an important measure to maintain and restore the populations of both native and migrating birds. Additionally long-term spatial variation on bird migration represents an interesting approach to indirectly identify environmental consequences of climate change across the globe. [30]

The connection between bird watching and the recreational attractiveness of riparian zones provides an opportunity for the Riparian Zones product to support the aim of the Copernicus Bird Migration project, which is in part to “raise awareness among the general public of the effects of climate variations and the power and range of climate data available, to ultimately inform decision-making”. [30]

Several habitats and species listed in the Habitats Directive (92/43/EEC) are found on active floodplains, as are birds listed within the Birds Directive (2009/147/EC). Restoring riparian zones would likely improve the conservation status assessments of these habitats and species, while also possibly increase connectivity between habitats and counteract habitat fragmentation [28]. Riparian forests are important nature types for providing connection between and counteracting fragmentation of Natura2000 woodland habitats [32] but also by providing ecological corridors for animals and plants which is important in conservation and nature management efforts [33]. This is especially important in areas where there is artificial land uses and agricultural areas which the forest species need to migrate across [32] but also for implementing restoration or conservation efforts through policy-making, or conducting habitat assessments [33] [1]. The Riparian Zones product has also been used to evaluate its suitability to measure the longitudinal connectivity of riparian forests and the capacity of riparian zones to provide Ecosystem Services (ES) [34].

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<sup>1</sup> contribute to climate change mitigation and adaptation, as well as sustainable energy; foster sustainable development and efficient management of natural resources such as water, soil and air; contribute to the protection of biodiversity, enhance ecosystem services and preserve habitats and landscapes.



Invasive species are positively correlated with river management practices where the velocity, water depth and the distance to the water source is altered [13], thus advocating for water management practices which promote a return towards the original ecosystem, including riparian zones, may promote the biodiversity in native species [6]. This also aligns with target 6 in the First draft of the post-2020 global biodiversity framework [19] “A New Global Framework for Managing Nature Through 2030”, the successor to the UN Aichi biodiversity target 9 (of preventing and controlling invasive alien species and reducing direct pressures on biodiversity).

## 5. Product description

### 5.1 Product overview

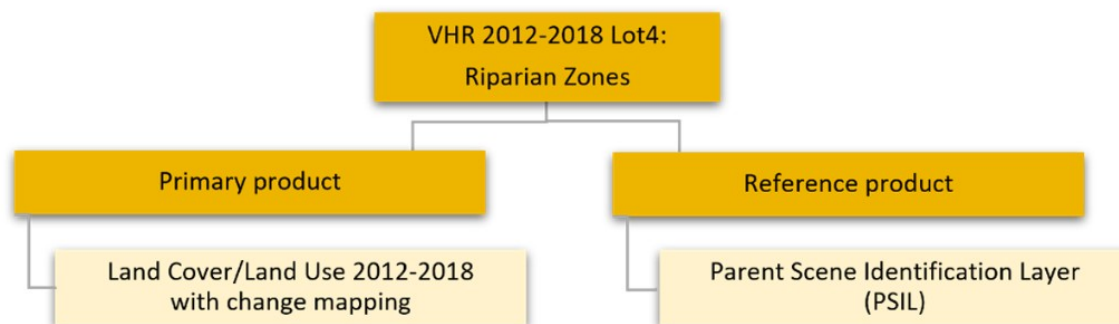


Figure 1: Riparian Zones 2012-2018 product portfolio

Riparian Zones provides a primary product (polygon layer with LU/LC of 2012 and 2018, including change mapping information) and an additional polygon layer providing information of the main EO data input used for generating the map production (Parent Scene Identification Layer (PSIL)).

The LC/LU product covers modelled buffer areas around selected medium and large size rivers with Strahler numbers from 2–9 extracted from EU-Hydro database (public beta 0.9) covering EEA-38 and the UK.

The LC/LU data is delivered in 43 single Delivery Units (DUs) based on aggregated sub-basins of EEA's European Catchment and Rivers Network System (ECRINS v1.1), clipped either to the Geographical Information System of the Commission (GISCO) boundaries in scale 1:100,000 or to the shoreline from EU-Hydro database.

LU/LC 2012-2018 product contains fields with the thematic class corresponding to 2012, 2018 and a change code field indicating the change status for each polygon. These fields allow users to filter and obtain if desired, the specific products as separate layers: LU/LC for 2012, 2018 and a change map displaying the polygons that have changed. These products were produced in European ETRS89 Lambert Azimuthal Equal-Area (LAEA) projection. A more detailed description of the products and the methodology are provided in the next sections.

The table below summarises the technical specification of the Riparian Zones product.

#### Product Specifications of the Land Cover and Land Use Product

<b>Product Title / Content</b>	Riparian Zones: Land Cover and Land Use Classification within buffer zone of selected rivers for reference years 2012 and 2018 including change mapping.
<b>Product Short Name</b>	RZ LC/LU
<b>Product Definition</b>	The Riparian LC/LU product provides a detailed LC/LU dataset for areas along a buffer zone of selected rivers of Strahler levels 2 – 9 covering EEA-38 and the UK.

<b>Input Data Sources</b>	<p><b>1) Riparian Zones (Str. 2-9) 2) Image Data Image data (2012) Products:</b> - D2_MG2b_LOLA_011b - D2_MG2b_NARA_011b - DAP_MG2b_01 - DWH_MG2b_CORE_03 - DWH_MG2b_GEMS_ADD_003b - VHR_IMAGE_2015</p> <p><b>Missions:</b> - GeoEye1 (2m) - Pléiades (2m) - SPOT-5 HRG (2.5 m) - SPOT-6 (1.5m) - WorldView-2 (1.8 m) <b>Image Data (2018) VHR_IMAGE_2018 Missions:</b> - Pléiades 1A/B (2 m) - SPOT-6 (4 m) - SPOT-7 (4 m) - Komsat-03/04 (2 m) - SuperView (2 m) - Planet DOVE (4 m) - TripleSat (4 m) - Deimos-02 (4 m) <b>VHR_IMAGE_2018_ENHANCED Missions:</b> - Pléiades 1A/B (2 m) - SuperView (2 m) - Komsat-03/04 (2 m) <b>3) Additional Data:</b> - CLC 2006/2012/2018 - Urban Atlas 2006/2012/2018 - HRLs Imperviousness Degree and Tree Cover Density - DWH_MG2_CORE_01 Coverage 1 (IRS 20m) &amp; 2 (RapidEye, 5m) - Landsat-8 - Sentinel 2 - National orthophoto WMS - Google Earth Pro - Bing Maps - Numerous reference data sources</p>
<b>Methodology</b>	<p>Computer assisted visual refinement of the status 2018 data based on the LC/LU product for reference year 2012. The main dataset for visual image interpretation of reference year 2012 was the DWH_MG2b_CORE_03 dataset (Optical VHR2 coverage over EU 2011-2013 and Riparian zones), mainly consisting of 1.5m VHR2 SPOT-6 and 2.5m VHR2 SPOT-5 HRG and 2m Pléiades satellite data. Image interpretation for reference year 2018 used the VHR_IMAGE_2018 dataset (Optical VHR coverage of EEA-39 2017-2019), consisting of 2m Pléiades, Komsat 3/3A, SuperView-1 and 4m SPOT-6/7, TripleSat, PlanetScope and Deimos satellite data. Visual interpretation of LC/LU classes follows the pre-defined nomenclature on the basis of MAES2 typology of ecosystems (Level 1 to Level 43) and CORINE Land Cover. Subsequently, intersection of classification results with additional data (Urban Atlas 2012/2018) was performed.</p>
<b>Geographic Coverage</b>	EEA-39 (without Azores, Canarias, and French Départements et régions d'outre-mer (DOMs)) plus Andorra and Vatican City: ca. 5,819,516.50 km <sup>2</sup>
<b>Geographic Bounding Box</b>	North 71.185; South 34.562; West -24.532; East 44.819
<b>Temporal Reference</b>	Reference year 2012: 2010 – 2014; 2018: 2017 – 2019
<b>Geometric Resolution / Equivalent Scale</b>	1:10.000
<b>Nomenclature</b>	56 thematic classes
<b>Minimum Mapping Unit</b>	0.5 ha
<b>Minimum Mapping Length</b>	N/A
<b>Minimum Mapping Width</b>	10 m
<b>Thematic/Positional Accuracy</b>	Overall thematic accuracy demanded is >85% considering the relative occurrence of the LC/LU classes for status layers of reference years 2012 and 2018 and >80 % for change layer 2012-2018. Positional accuracy is defined as <5 m.

Table 1: LC/LU 2012/2018 specifications

<b>Coordinate Reference System</b>	
ETRS_1989_LAEA	Geographic Coordinate System: GCS_ETRS_1989
WKID: 3035 Authority: EPSG	Angular Unit: Degree (0,0174532925199433)
Projection: Lambert_Azimuthal_Equal_Area	Prime Meridian: Greenwich (0,0)
false_easting: 4.321.000,0	Datum: D_ETRS_1989



<b>Coordinate Reference System</b>	
false_northing: 3.210.000,0 central_meridian: 10,0 latitude_of_origin: 52,0 Linear Unit: Meter (1,0)	Spheroid: GRS_1980 Semimajor Axis: 6.378.137,0 Semiminor Axis: 6.356.752,314140356 Inverse Flattening: 298,257222101
<b>Accuracy Assessment Approach</b>	
Stratified Random Point Sampling	
<b>Data Type</b>	
Vector	
<b>Delivery Format</b>	
Esri Shapefile Format (*.SHP)	

Class Coding / Attribution				Applicable Products
Field	Description	Type	Value(s)	Status / Change
[FID]	Dynamic Feature Identifier	Object ID	0 to 2.147.483.647	Status, Change
[Shape]	Polygon (default by ESRI)	Geometry	Polygon	Status, Change
[UID]	Unique Identifier of the feature geometry	Long, Precision 6	1 to 2.147.483.648	Status, Change
[DU_ID]	Unique Identifier of the Riparian Zones delivery unit (DU)	String, Length 10	DU001A to DU043A	Status, Change
[CODE_1_18]	Class code of Level 1 for LC/LU 2018	Long, Precision 9	1 to 10	Status, Change
[CODE_2_18]	Class code of Level 2 for LC/LU 2018	Long, Precision 9	11 to 100	Status, Change
[CODE_3_18]	Class code of Level 3 for LC/LU 2018	Long, Precision 9	111 to 1000	Status, Change
[CODE_4_18]	Class code of Level 4 for LC/LU 2018	Long, Precision 9	1111 to 10000	Status, Change
[UA_18]	Urban Atlas 2018 flag	String, Length 10	UA2018[^4], UA2012, NoData	Status, Change
[NODATA_18]	Unclassifiable area due to clouds, shadows, snow, haze, or missing data	Long, Precision 9	0, 1	Status, Change
[COMMENT_18]	Comment field for additional information for LC/LU 2018	String, Length 254	Standardized text comments, separated by Semicolon	Status, Change
[CODE_1_12]	Class code of Level 1 for LC/LU 2012	Long, Precision 9	1 to 10	Status, Change
[CODE_2_12]	Class code of Level 2	Long, Precision 9	11 to 100	Status, Change



Class Coding / Attribution				Applicable Products
Field	Description	Type	Value(s)	Status / Change
	for LC/LU 2012			
[CODE_3_12]	Class code of Level 3 for LC/LU 2012	Long, Precision 9	111 to 1000	Status, Change
[CODE_4_12]	Class code of Level 4 for LC/LU 2012	Long, Precision 9	1111 to 10000	Status, Change
[UA_12]	Urban Atlas 2012 flag	String, Length 10	UA2012, NoData	Status, Change
[NODATA_12]	Unclassifiable area due to clouds, shadows, snow, haze, or missing data	Long, Precision 9	0, 1	Status, Change
[COMMENT_12]	Comment field for additional information for LC/LU 2012	String, Length 254	Standardized text comments, separated by Semicolon	Status, Change
[CHANGECODE]	Change Class code of Level 4 for LC/LU 2018 & 2012	String, Length 11	1111_1111 to 10000_10000	Change
[AREA_HA]	Area in hectare [ha]	Double	0.001 to 1.8E308	Status, Change
<b>Metadata:</b> ISO TC 211 compliant according to INSPIRE metadata standards in XML format				

Table 2: LU/LC 2012/2018 shapefile attribute definition

### 5.1.1 Product File Nomenclature

A product file naming convention has been developed, which was slightly adapted with version 3 of Riparian Zones products (i.e., change in the order of the reference years) to reflect the adjustments due to the harmonization of the CLMS Local Component products (i.e., the updates of the data structure/ attribution and the application of the RZ nomenclature (2021)). The product file name is composed of the following name constituents:

THEME\_DELIVERY UNIT ID\_PRODUCT\_VERSION

with the following detailed meanings:

- THEME (3-letter abbreviation)
  - o 'rpz' = "Riparian Zones"
- DELIVERY UNIT ID (6-letter)
  - o 'DU001A' = "Delivery Unit DU001A" (A = All; full delivery)
  - o 'DU002A' = "Delivery Unit DU002A" (A = All; full delivery)
  - o 'DU003B' = "Delivery Unit DU003B" (B - Z = partial delivery)
- PRODUCT (4-letter abbreviation)
  - o 'lclu' = "Land Cover / Land Use Classification"

- o '2012\_2018' = "Reference years"
- VERSION
  - o 'v01' = "Version 1"
  - o 'v02' = "Version 2"
  - o 'v03' = "Version 3"
- DATA FORMAT
  - o '.shp' = "ESRI shapefile format"

Example: rpz\_DU001A\_lclu\_2012\_2018\_v03.shp

### **Delivery Units**

RZ products have been produced for the whole EEA-39 plus Andorra and the Vatican City. Since Strahler 2 data has been included, the Aol covers further areas that were excluded during the previous phases because of absence of river Strahler 3 to 9 at Azores and Canary Islands. The French DOMs are still excluded from the current production.

The data is delivered on a Delivery Unit level. The hydrologically meaningful Delivery Units are based on aggregated sub-basins of EEA's European Catchment and Rivers Network System (ECRINS v1.1), clipped to the GISCO boundaries in scale 1:100,000 and are presented in Figure 2. Delivery Units, corresponding RZ Aol area and the associated catchments are provided in Table 11 in the Annex section.



Figure 2: The 43 Riparian Zones Delivery Units (based on ECRINS v1.1)

## Nomenclature

The Nomenclature for the LC/LU dataset is in accordance with the MAES ecosystem typed. Table 3 shows the main classes at *CODE* Level 1-4 which form the basis for the *CODE* classes of the RZ nomenclature.

The nomenclature is then subdivided in several classes up to *CODE* level 4, with a total of 56 classes. The RZ *CODE* classes have been restructured as part of the harmonization of CLMS local component products. In Table 3 below the updated RZ nomenclature is shown.

The detailed nomenclature guidelines are found in [RZ Nomenclature Guideline v1.3: 06/06/18 \(copernicus.eu\)](https://land.copernicus.eu/imagery-ol/rz-nomenclature-guideline-v1.3-06-06-18).

Level 1	Level 2	Level 3	Level 4
1 Urban	1.1 Urban fabric, industrial, commercial, public, military and private units	1.1.1 Urban fabric (predominantly public and private units)	1.1.1.1 Continuous Urban Fabric (IM.D ≥ 80%)
			1.1.1.2 Dense Urban Fabric (IM.D ≥ 30-80%)
			1.1.1.3 Low Density Urban Fabric (IM.D < 30%)
	1.2 Transport infrastructure	1.1.2 Industrial, commercial and military units	1.1.2.0
		1.2.1 Road networks and associated land	1.2.1.0
		1.2.2 Railways and associated land	1.2.2.0
		1.2.3 Port areas and associated land	1.2.3.0
		1.2.4 Airports and associated land	1.2.4.0
	1.3 Mineral extraction, dump and construction sites, land without current use	1.3.1 Mineral extraction, dump and construction sites	1.3.1.0
		1.3.2 Land without current use	1.3.2.0
	1.4 Green urban, sports and leisure facilities	1.4.0	1.4.0.0
2 Cropland	2.1 Arable land	2.1.1 Arable irrigated and non-irrigated land	2.1.1.0
		2.1.2 Greenhouses	2.1.2.0
	2.2 Permanent crops	2.2.0	2.2.0.0
		2.2.1 Vineyards, fruit trees and berry plantations	2.2.1.0
		2.2.2 Olive groves	2.2.2.0
	2.3 Heterogeneous agricultural area	2.3.1 Annual crops associated with permanent crops	2.3.1.0
		2.3.2 Complex cultivation patterns	2.3.2.0

		2.3.3 Land principally occupied by agriculture with significant areas of natural vegetation	2.3.3.0
		2.3.4 Agro-forestry	2.3.4.0
3 Woodland and forest	3.0	3.0.0	3.0.0.0
	3.1 Broadleaved forest	3.1.1 Natural & semi-natural broadleaved forest	3.1.1.0
		3.1.2 Highly artificial broadleaved plantations	3.1.2.0
	3.2 Coniferous forest	3.2.1 Natural & semi-natural coniferous forest	3.2.1.0
		3.2.2 Highly artificial coniferous plantations	3.2.2.0
	3.3 Mixed forest	3.3.1 Natural & semi-natural mixed forest	3.3.1.0
		3.3.2 Highly artificial mixed plantations	3.3.2.0
	3.4 Transitional woodland and scrub	3.4.0	3.4.0.0
	3.5 Lines of trees and scrub	3.5.0	3.5.0.0
	3.6 Damaged forest	3.6.0	3.6.0.0
4 Grassland	4.0	4.0.0	4.0.0.0
	4.1 Managed grassland	4.1.0	4.1.0.0
	4.2 Natural & semi-natural grassland	4.2.1 Semi-natural grassland	4.2.1.0
		4.2.2 Alpine and sub-alpine natural grassland	4.2.2.0
5 Heathland and scrub	5.0	5.0.0	5.0.0.0
	5.1 Heathland and moorland	5.1.0	5.1.0.0
	5.2 Alpine scrub land	5.2.0	5.2.0.0
	5.3 Sclerophyllous scrubs	5.3.0	5.3.0.0
	6.0	6.0.0	6.0.0.0

6 Open spaces with little or no vegetation	6.1 Sparsely vegetated areas	6.1.0	6.1.0.0
	6.2 Beaches, dunes, river banks	6.2.1 Beaches and dunes	6.2.1.0
		6.2.2 River banks	6.2.2.0
	6.3 Bare rocks, burnt areas, glaciers and perpetual snow	6.3.1 Bare rocks, outcrops, cliffs	6.3.1.0
		6.3.2 Burnt areas (except burnt forest)	6.3.2.0
		6.3.3 Glaciers and perpetual snow	6.3.3.0
7 Wetland	7.0	7.0.0	7.0.0.0
	7.1 Inland wetlands	7.1.1 Inland marshes	7.1.1.0
		7.1.2 Peat bogs	7.1.2.1 Exploited peat bogs
			7.1.2.2 Unexploited peat bogs
	7.2 Coastal wetlands	7.2.1 Salt marshes	7.2.1.0
		7.2.2 Salines	7.2.2.0
		7.2.3 Intertidal flats	7.2.3.0
8 Water	8.0	8.0.0	8.0.0.0
	8.1 Water courses	8.1.1 Natural & semi-natural water courses	8.1.1.0
		8.1.2 Highly modified water courses and canals	8.1.2.0
		8.1.3 Seasonally connected water courses (oxbows)	8.1.3.0
	8.2 Lakes and reservoirs	8.2.1 Natural lakes	8.2.1.0
		8.2.2 Reservoirs	8.2.2.0
		8.2.3 Aquaculture ponds	8.2.3.0
		8.2.4 Standing water bodies of extractive industrial sites	8.2.4.0
	8.3 Transitional waters	8.3.1 Lagoons	8.3.1.0
		8.3.2 Estuaries	8.3.2.0
	8.4 Sea and ocean	8.4.0	8.4.0.0

Table 3: Detailed Nomenclature for the LC/LU dataset

## Metadata

Metadata are provided together with the products as Infrastructure for Spatial Information in Europe (INSPIRE)-compliant Extensible Markup Language (XML) files according to the EEA Metadata Standard for Geographic Information (MSGI). EEA-MSGI has been developed by EEA to meet needs and demands for inter-operability of metadata. EEA's standard for metadata is a profile of the ISO/TC

19139:2007 standard for geographic metadata and contains more elements than the minimum required to comply the INSPIRE metadata regulation.

Detailed conceptual specifications on EEA-MSGI and other relevant information on metadata can be found at: <http://www.eionet.europa.eu/gis>.

For validation of metadata EEA Spatial Data Infrastructure (SDI) metadata editor was used, which can be found at: <https://sdi.eea.europa.eu/editor-catalogue/srv/eng/catalog.search#/home>.

Metadata validation was performed using EEA SDI editor validation function and the external INSPIRE validator which can be accessed at: <https://inspire.ec.europa.eu/validator/>.

### Parent Scene Identification Layer (PSIL)<sup>2</sup>

The Parent Scene Identification Layer (PSIL) is an auxiliary vector file delivered together with dataset deliveries. It is provided as a one single Esri shapefile, projected in ETRS89/ETRS-LAEA (EPSG:3035) for the whole area of the RZ project. The PSIL fully covers the AoI and provides the main EO data input used for generating the map product for every location within the AoI. In case no suitable EO data is found the [NoData] field is activated. The main aim of the PSIL is to guarantee a reproducible data situation for any post production activities. It provides the spatial extent of the satellite image used for the image interpretation for both reference years.

The PSIL should allow to:

1. Identify the main EO dataset used to produce any polygons in the map. This is important for:
  - a. understanding the delineation of polygons
  - b. understanding the class of a polygon
  - c. recreating the production environment (for validation or in case of discontinued production)
2. Easily identify (and locate) the EO data at the ESA DWH.
3. Access basic content information of the used EO data such as Acquisition Date (phenology) and resolution.
4. Compare input data from other CLMS.

The PSIL contains the following specifications shown in Table 4.

	Class Coding / Attribution			
Field	Description	Definition ArcGIS	Definition QGIS	Value(s)
[FID]	Dynamic Feature Identifier	Object ID	Object ID	0 to 2.147.483.64 7

<sup>2</sup> [Index of /RiparianZones \(europa.eu\)](http://index.of/RiparianZones.europa.eu), Username: cwsexpert, Password: expertcws

	Class Coding / Attribution			
[Shape]	Polygon (default by ESRI)	Geometry	Geometry	Polygon
[SceneName]	Filename of the Satellite Scene available for LC/LU interpretation from the ESA DWH	String, Length 100	String, Length 100	
[AcqDate]	Acquisition date of the satellite scene	String, Length 10	String, Length 10	YYYYMMDD
[AcqTime]	Time of satellite scene acquisition	String, Length 10	String, Length 10	HHMMSS
[SourceID]	Naming convention of the ESA DWH product group (e.g. DAP_MG2b_01)	String, Length 50	String, Length 50	
[NoData]	No adequate satellite data from ESA DWH available	Long	Integer, 5	
[Sensor]	Satellite sensor type according to sensor code list.	String, Length 10	String, Length 10	
[ProdName]	Identifier of the specific product	String, Length 10	String, Length 10	RZ2012
[DeliveryID]	Identifier of the Delivery Unit	String, Length 10	String, Length 10	Prod_ID
[SpatialRes]	Pixel dimension in cm	Long, Precision 10	Integer, 5	
[Shape_Leng]	Total length of the polygon perimeter, in the units used by the feature class' coordinate system	Double, Precision 0, Scale 0		
[Shape_Area]	The area of each polygon in the dataset	Double, Precision 0, Scale 0		

Table 4: PSIL 2012 shapefile attribute definition

	Class Coding / Attribution			
Field	Description	Definition ArcGIS	Definition QGIS	Value(s)
[FID]	Dynamic Feature Identifier	Object ID	Object ID	0 to 2.147.483.647
[Shape]	Polygon (default by ESRI)	Geometry	Geometry	Polygon
[SceneName]	Filename of the Satellite Scene available for LC/LU interpretation from the ESA DWH	String, Length 100	String, Length 100	
[AcqDate]	Acquisition date of the satellite scene	String, Length 10	String, Length 10	YYYYMMDD
[AcqTime]	Time of satellite scene acquisition	String, Length 10	String, Length 10	HHMMSS
[SpatialRes]	Pixel dimension in cm	Long, Precision 10	Integer, 5	
[Sensor]	Satellite sensor type according to sensor code list.	String, Length 10	String, Length 10	
[NoData]	No adequate satellite data from ESA DWH available	Long	Integer, 5	
[SourceID]	Naming convention of the ESA DWH product group (e.g. DAP_MG2b_01)	String, Length 50	String, Length 50	
[ProdName]	Identifier of the specific product	String, Length 10	String, Length 10	RZ2018
[DeliveryID]	Identifier of the Delivery Unit	String, Length 10	String, Length 10	Prod_ID

Table 5: PSIL 2018 shapefile attribute definition





Entry for [Sensor]	Description
SP4	SPOT-4
SP5	SPOT-5
SP6	SPOT-6
SP7	SPOT-7
IRS-LI3	IRS-P6-LISS III
PHR	Pleiades (1A/B)
WV1	WorldView 1
WV2	WorldView 2
L5	Landsat-5
L8	Landsat-8
GE01	GeoEye1
EQ02	Quickbird 02
KS03/04	Kompsat 03/04
SW00	SuperView
DOVE	Planet DOVE
DM02	Deimos-02
TR00	TripleSat
S2A/B	Nominal Sentinel 2 Acquisition

*Table 6: List of allowed [Sensor] entries*

## 6. Product methodology and workflow

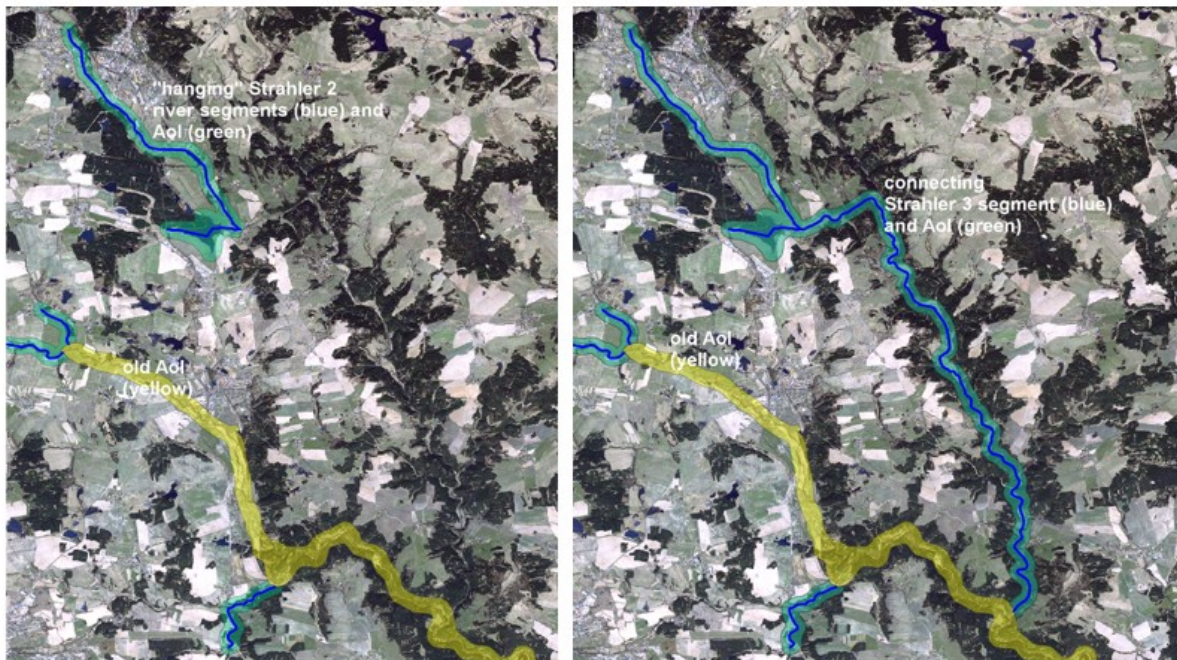
This chapter provides an overview of the applied technical product specifications with product file nomenclature, delivery units and metadata.

### 6.1 AoI Amendments

In addition to the Copernicus (GMES) Initial Operations phase, where the RZ AoI covered most of the rivers with Strahler numbers 3-8, an amendment has been performed to include the AoI of the rivers with Strahler number 2. This was done to also cover some still missing parts of Strahler 3-8, bringing the total area to a larger spatial extension.

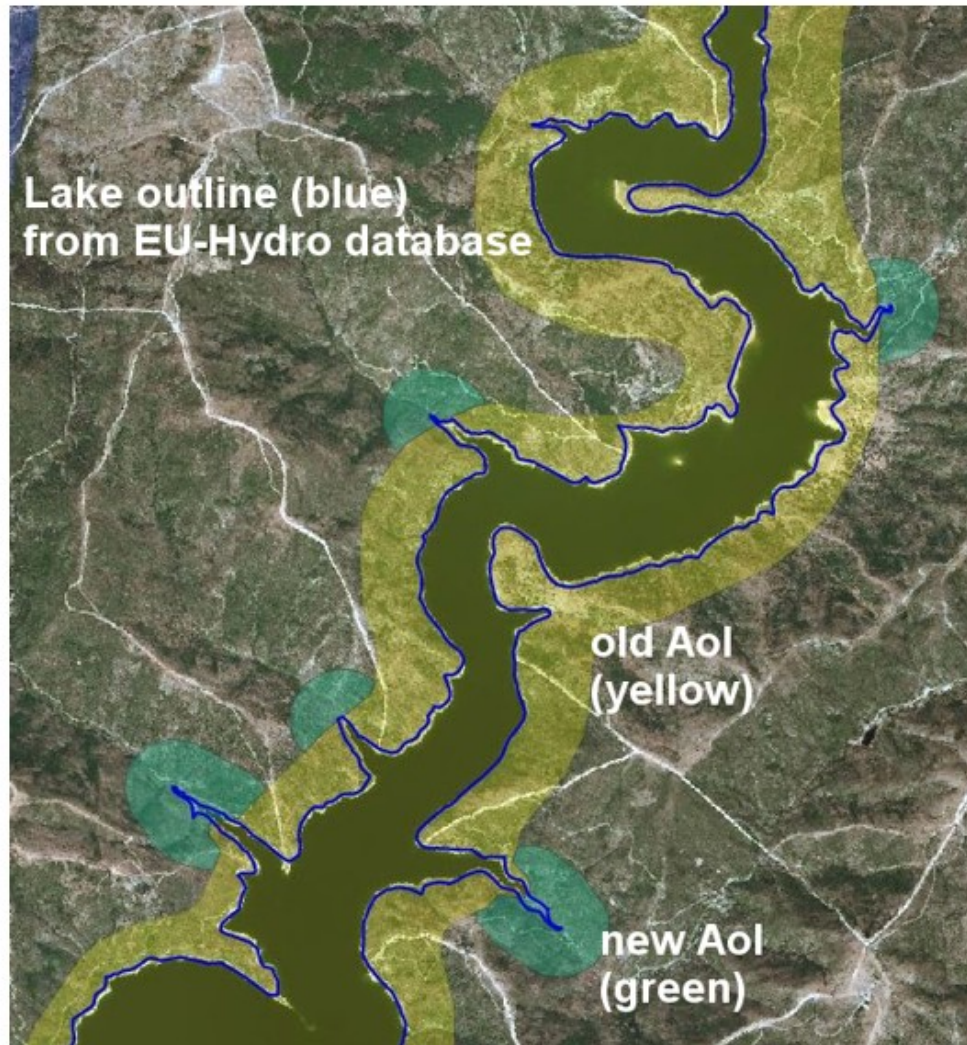
This amendment has been created, GIS-based, in a semi-automatic way, including certain manual refinements. Such are required to account for omissions of riparian features caused by (i) imprecisions of input data sets, (ii) deviations specifically between the input EU-Hydro versions used in the previous phase and the current Riparian Zones mapping (beta version vs. public beta 0.9), and to (iii) seamlessly match the new, extended AoI with the existing “old” AoI.

The implementation of the amendment (fine-tuning) was done Delivery Unit per Delivery Unit. The resulting AoI constitutes the basis for subsequently deriving the land cover/land use of those areas for the reference years 2012 and 2018 and compilation of the LC/LU change layer 2012-2018.



**Figure 2: Example of “hanging” new AoI segments (left, green) related to “new” river segments (blue). Left: Strahler level 2 river-related AoI (upper left) incorrectly disconnected from the existing AoI (yellow); Right: connection amended through incorporation of a “missing” Strahler level 3 river segment (4517890/ 2979832)**

Figure 3: AoI amendment example of Str-2 hanging rivers connected to Str. 3



**Figure 17: Example of Inland water body, which is not fully covered by the existing AoI (3102028/1801236)**

Figure 4: AoI amendment to include Lake Outline

## 6.2 EO Data Specifications

The ESA DWH dataset DWH\_MG2b\_CORE\_03 (Optical VHR2 coverage over EU 2011-2013 and Riparian zones) represents the primary data source for the LC/LU mapping task in the Riparian Zones for the reference year 2012. Detailed specifications of the used satellite data can be reviewed in the latest Copernicus Space Component Data Access Portfolio (DAP) document (Issue 2, Revision 5 of 14/12/2018), available at: <https://spacedata.copernicus.eu/>.

However, due to gaps within the vegetation season of the relevant ESA DWH CORE\_03 VHR datasets, an additional data provision was indispensable for the project to ensure feasibility of mapping the requested LC/LU product from a cloud-free VHR data coverage of the AoI, within the vegetation season.



Therefore, to further reduce the remaining coverage gaps, access to “non-standard” DWH VHR datasets (typically individual, tailored datasets of small extent, previously acquired for other project’s specific purposes) has been offered and partially made available by ESA.

The VHR\_IMAGE\_2015 dataset was also considered, however incorporating only data acquired in 2014 (since for the reference year 2012, only data within the range 2010 - 2014 are eligible).

All considered VHR missions had to fulfil certain mission specifications to be eligible for this selection, to ensure suitability for subsequent LC/LU mapping and to ensure consistency to the previous RZ phases as far as feasible:

- Multispectral bands (incl. Near InfraRed (NIR))
- Spatial resolution better or equal 2,5m (resolution-/pan-merge is eligible), corresponding to DAP VHR2 (archive) category
- Acquisition within (extended) vegetation period
- Absence of Clouds, cloud shadows and haze (over the Aol, to large extent)
- Off-Nadir angle lower or equal than 30°

According to these requirements, the following eligible Copernicus Contribution Missions (CCMs) were considered (see Table 7).

CCM (2012) - VHR2
SPOT-5 (2.5m pan-merge)
SPOT-6 (1.5m pan-merge)
SPOT-7 (1.5m pan-merge)
PHR1A – Pléiades-1A (2m multispectral)
PHR1B – Pléiades-1B (2m multispectral)
WV02 – WorldView-2 (2m multispectral)
QB02 – QuickBird-2 (2.4m multispectral)
GE01 – GeoEye-1 (2m multispectral)

*Table 7: VHR2 CCM considered for scene searches*

All remaining gaps after this step were entered into a gap-filling VHR scene search which generated the D2\_MG2b\_NARA\_011b dataset which enabled the completion of the Aol of 807.177 km<sup>2</sup>.

An almost cloud-free VHR optical dataset covering EEA-39 (**VHR\_IMAGE\_2018**), as available through the ESA DWH as well, was used for the status layer 2018 production. Its VHR imagery was acquired within predefined time windows corresponding to the vegetation season 2018 ( $\pm 1$  year), with a spectral

resolution comprising visible and NIR bands. It is a mix of primary missions (Pléiades 1A & 1B, SuperView-1, KOMPSat-3/3-A and PlanetScope) and backup missions (SPOT-6/-7, TripleSat and Deimos-2) at a spatial resolution of 2-4m. Where small gaps are still present, Sentinel 2 data is being used to complete the whole area.

In Q1 2020 the VHR\_IMAGE\_2018\_ENHANCED dataset was provided to complement the VHR\_IMAGE\_2018 in regions with lower resolved image data. This subset, acquired within an acquisition window of vegetation season 2018 ( $\pm 1$  year) and consisting of 2m Pléiades 1A/1B, SuperView-1, Kompsat-3/3A image data, was integrated into ongoing production of the RZ products.

## 6.3 Methodology

This section provides a generic overview of the applied algorithms and methodology used to derive the Riparian Zones Land Cover and Land Use products for 2012 and 2018. The methodological approach consists of the following main steps:

1. Data preparation & management:
  - Creation of cloud-/shadow masks for cloudy/hazy scenes (for 2012 data).
  - Preparation of Open Street Map (OSM) and national datasets.
  - Preparation of Urban Atlas 2012/2018 (plus other possible placeholders such as UA2006 and CLC 2006/2012), as explained below.
  - Harmonized nomenclature and borders to have a single uniform Strahler 2-9 dataset.
  - Setup of a spatial database per delivery unit with its specific final integrated RZ AoI and creation of working sub-areas "Working Units" (WU) to be processed
2. Thematic processing comprising the following steps:
  - Semi-automatic thematic classification with supervised and unsupervised elements (Seed & Grow), leading to scene-based binary masks of water (performed only on original 2012 status layer). Interactive manual correction of the derived water masks (performed on 2012 layer)
  - Visual interpretation of CODE level 4 classes in line with the Nomenclature Guidelines v. 1.5. It was done first only for 2012 and later, in a different phase, for change layer 2012-2018 and status layer 2018 (for both reference years).
  - Update 2012 layer for correctly mapping changes where needed.
  - Integration of UA2012/2018 data of Urban Atlas (UA) urban centres (as shown in following chapter)
3. Internal quality control of the classification results
4. Final validation and quality control (consistency, nomenclature, plausibility checks, topological checks, ensuring OGC compliant geometric checks)
5. Creation of INSPIRE compliant metadata
6. Quantitative accuracy assessment (Stratified Random Point Sampling)

7. Parent Scene Identification Layer (PSIL) shall be provided covering the whole AoI for both reference years (2012 and 2018)

## Urban Atlas Integration

In order to avoid mapping areas twice, Urban Atlas 2012/2018 data within UA Urban centres (not the whole Functional Urban Areas - FUA) was foreseen as input data for the RZ project.

The UA2012/UA2018 data is directly clipped into the Urban centres included into the Riparian Zones production for the respective reference years 2012 and 2018. As the nomenclature is slightly different, the UA codes have been adapted to the RZ nomenclature following the guide shown in Table 8 below. Not all the codes have a direct translation.

In cases where the UA2018 is not totally covering the Urban centre areas, due to changes between the production areas of UA2012 and UA2018 (FUA2012/2018), formerly integrated UA2012 data (1st version provided by EEA in 2017) is used as a gap filler within the limits of the UA Urban centres for reference year 2018. Urban centres of URAU 2012 that were completely excluded from the UA2018 production have been remapped as part of the RZ LC/LU mapping.

UA2018/2012/2006		Riparian Zone	Updated RZ CODE # for UA2012_2018 data within UA Urban Centres	
Code	Nomenclature	Nomenclature	Code	
11100	Continuous Urban Fabric (Sealing Degree > 80%)	Continuous urban fabric (in-situ based or IMD: >80-100%)	11.1.1	
11210	Discontinuous - Dense Urban Fabric (Sealing Degree 50% - 80%)	Dense urban fabric (IMD: >30-80% = industrial, commercial, public, military and private units)	11.1.2	
11220	Discontinuous - Medium Density Urban Fabric (Sealing Degree 30% - 50%)		11.1.3	
11210	Industrial, commercial, public, military and private units	Industrial or commercial units	11.2.0	
11230	Discontinuous - Low Density Urban Fabric (Sealing Degree 10% - 30%)	Low density urban fabric (IMD: <30%)	11.1.3	
11240	Discontinuous - Very Low Density Urban Fabric (Sealing Degree <10%)		11.1.3	
11300	Isolated Structures		11.1.3	
12210	Fast Transit Roads and associated land	Road networks and associated land	12.1.0	
12220	Other roads and associated land		12.1.0	
12230	Railways and associated land	Railways and associated land	12.2.0	
12300	Port Areas	Port areas	12.3.0	
12400	Airports	Airports	12.4.0	
13100	Mineral extraction and dump sites	Mineral extraction, dump and construction sites	13.1.0	
13300	Construction sites		13.1.0	
13400	Land without construction	Land without construction	13.2.0	
14100	Green urban areas	Green urban areas T.C.D. < 30%	14.0.0	**
14200	Sports and leisure facilities	Sports and leisure facilities T.C.D. < 30%	14.0.0	**
20000	Arable land (annual crops) (UA2006)	Non-irrigated arable land	21.1.0	
21000	Arable land (annual crops) (UA2012)		21.1.0	
22000	Permanent crops (UA2012)	Low stem fruit trees and berry plantations	22.0.0	** ***
23000	Orchards		22.0.0	** ***
24000	Complex and mixed cultivation patterns	Complex cultivation patterns	23.2.0	
30000	Forests (UA2006)	Forest	30.0.0	
31000	Forests (UA2012)		30.0.0	
23000	Pastures	Grassland	40.0.0	
32000	Herbaceous vegetation associations	Heathlands and Moorlands	50.0.0	
33000	Open spaces with little or no vegetation	Sparsely vegetated areas	60.0.0	****
40000	Wetlands	Wetlands	70.0.0	
50000	Water bodies	Water bodies	80.0.0	

red color new UA2012 classes - classes do not exist in UA2006

\* based on Nomenclature\_LocalComponent\_21062017.xlsx

\*\* loss in discrimination of previous MAES classes for UA core regions.

\*\*\* 2 200 (UA 2012 definition for class 22000 includes: fruit orchards, scattered trees with pasture, vineyards and their nurseries, roses, olive groves, berries and hop plantations).

\*\*\*\* 6000 (UA 2012 definition for class 33000 includes: beaches, sand dunes, bare rocks, sparsely vegetated areas, burnt areas and snow/ice).

Table 8: Recoding of the UA Core areas into the RZ Nomenclature

This results in a mapping product composed from two different sources: UA urban centre areas coming from UA products and the new mapping areas in the rest of the RZ AoI and surrounding these UA urban centres, as in Figure 5 below.



Figure 5: UA2012/2018 Integration into the RZ project

To ensure a comparable quality of the Riparian Zones product (LC/LU) and a common look and feel of the data sets produced and provided by different Service Providers, a set of mapping and digitizing rules have been developed.

The mapping rules for the LC/LU product are separately presented in the Riparian Zones Nomenclature Guideline issue 1.5 (issued 14.12.2021). The main mapping rules are however summarized below.

### Object Delineation

Object delineation is performed on VHR EO data as primary data source. In areas, where two or more satellite scenes overlap, the scene within vegetation period closest to the reference years (2012 and 2018) or with best usability for visual interpretation (spatial resolution, data quality) is chosen as primary data source. An exception of this rule (closest to reference year) is made in northern and southern European regions, where images acquired during shortened / extended vegetation period were preferred if a selection of data was available, to support an optimum object discrimination.

In cases where clouds or cloud shadows cover the area of interest, for reference year 2012, either overlapping neighbouring SPOT-5/6 data or DWH\_MG2b\_CORE\_03-Optical VHR SPOT (Satellite Pour l'Observation de la Terre) images acquired outside the preferred acquisition window from May-September are used. But also DWH\_MG2\_CORE\_01 Coverage 1 (IRS-P6, 25 m) and Coverage 2 (RapidEye, 5 m) scenes or Landsat-8 data are employed in case no other adequate data source is available. In similar cases of clouds or shadows for reference year 2018, overlapping scenes of

VHR\_IMAGE\_2018 data set were used or, where data availability is limited, scenes of nominal Sentinel-2 acquisition were used for regionally confined gap filling (narrow EO data gaps limited to widths of ~ hundred meters).

### Delineation Rules

Object delineation, is performed as follows:

- Delineation shall be angular and not round



Figure 6: Delineation avoiding smooth lines

- Avoid digitizing too many vertices: Use as few vertices as possible and only as many as necessary to define the shape of an object
- Avoid mapping sharp angles



Figure 7: Delineation avoiding acute angles



- Use road centre (roads <10 m width) as border between two objects if roads separate two features. E.g. a forest and an agricultural area which are separated by a road feature <10 m width leads to mapping the border between forest and agriculture in the middle of the road.

### Minimum Mapping Unit (MMU)/ Minimum Mapping Width (MMW)

The minimum mapping unit defined is 0,5 ha for all objects. A minimum mapping width of 10 m is required for all linear features.

#### MMU Exceptions:

Objects located at the border of the riparian zone:

- If an object is cut by the riparian border and the portion lying inside the RZ therefore is <0,5 ha, this feature is mapped, if the whole object (inside and outside the RZ) amounts to  $\geq 0,5$  ha. However, the area of the divided features inside the RZ must be at least  $\geq 0,2$  ha. Smaller objects will be generalised if no further MMU exception rule applies. These polygons will get the COMMENT attribute *"Area size exception (inside RZ Aol / outside UA Urban centre)"*, see Figure 8.



Figure 8: MMU exception at RZ border

Exceptions to this rule will be made

- If the size of an object is < MMU is due to a geometrical peculiarity of the Aol (i.e., the object is constrained between RZ Aol and/or UA Urban centre boundaries).
- In individual cases where features of network-forming classes (roads, railways, rivers),  $\geq 0,01$  ha and <0,2 ha, are mapped, to provide connectivity outside the Aol.

Objects located within the Aol of the riparian zone:

- Linear features (roads, railways, rivers) that are split into two or more polygons by other linear elements (e.g. the road/railway network) will be mapped, even if the resulting segments are smaller than the MMU, to preserve the network. However, features <0,1 ha will be generalised, see Figure 9.

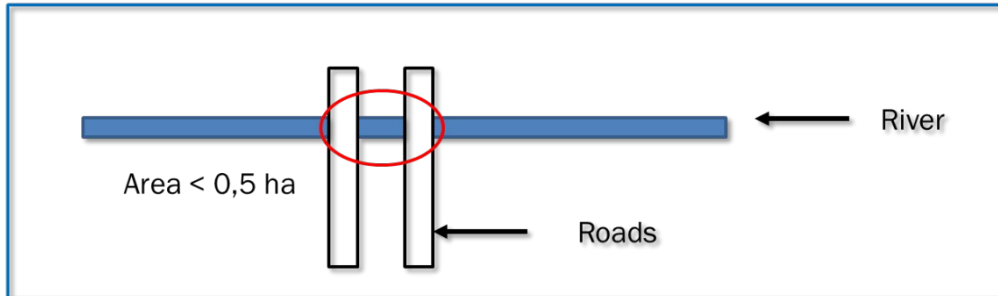


Figure 9: MMU exception of linear elements

- Objects inside Urban Atlas urban centres keep their MMU of 0,25 ha and will not be generalised.
- Urban objects which are confined by roads, railways, or rivers. Features <0,25 ha will be generalised.

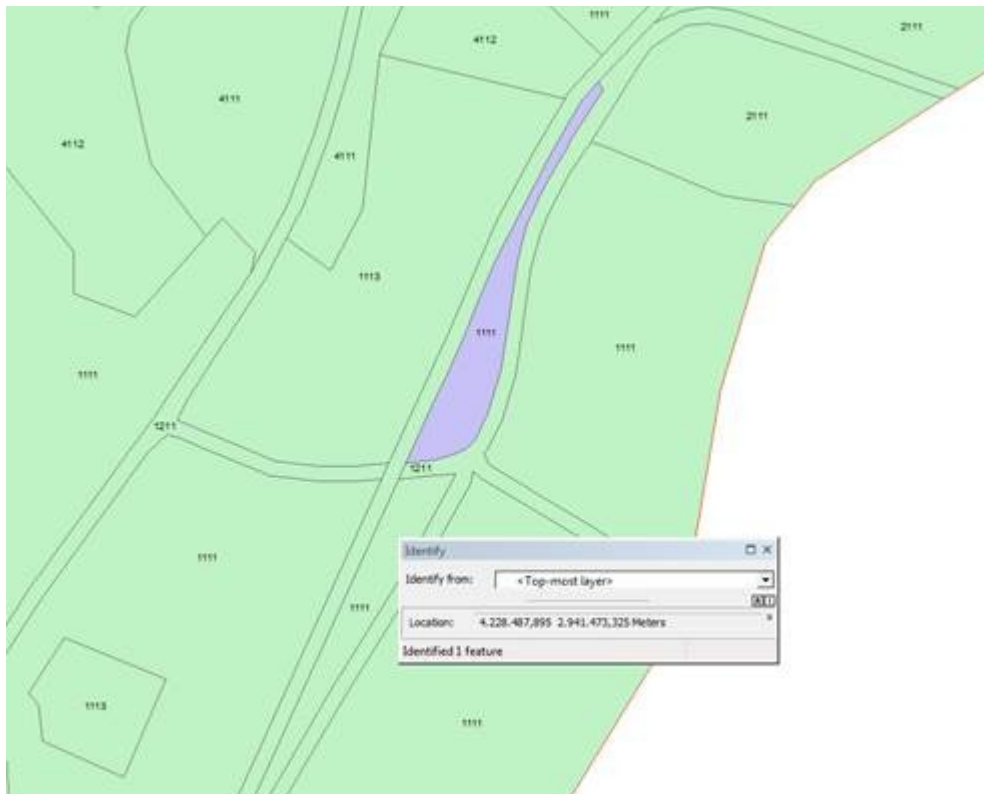


Figure 10: MMU exception between roads

In addition, there are some MMU exceptions in relation to change mapping; these are presented in the chapter *Change mapping*.

### **MMW Exceptions:**

- To maintain continuity of linear features (CODE classes 1.2.1.0, 1.2.2.0, 6.2.1.0, 6.2.2.0, 8.1.1.0 and 8.1.2.0); the MMW may fall below the limit of 10 m, over a distance of up to 100 m.

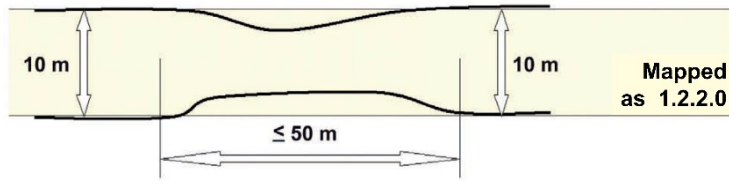


Figure 11: MMW exception

### **Good Practice for Data Display – Mapping Scale**

On-screen mapping scale is 1:5.000 – 1:10.000 depending on the landscape and feature class. Large homogeneous objects like agricultural areas or woodland are mapped at scales 1:8.000 – 1:10.000. For all other features, 1:5.000 mapping scale is applied.

### **Overlap Rules**

Objects may not overlap. In case of real objects overlay, the following rules apply:

- If objects overlap on **different levels**, the top level is mapped. Example: if an artificial canal overlaps a river, the canal is mapped continuously.
- If objects overlap on **the same level**, the visually dominant object is mapped continuously. However, if roads and railways meet on the same level, railways are mapped continuously to maintain the railway network.

### **Priority Rules**

The priority rules applied are defined as follows:

- Objects <0,5 ha are added to the neighbouring object with the next lesser number of the same sub-class.
- Objects <0,5 ha are added to the neighbouring object of the same upper class.
- Objects <0,5 ha are added to the neighbouring object with the longest common border line. Exception: Objects surrounded by railways or roads. If an object is below the MMU size and is completely surrounded by a road or railway network, it shall be aggregated with that surrounding traffic line. However, an exception is made for urban objects. Please see respective definition with Class 1.x.x.x.

### **Application of Additional Data Sources**

For data interpretation, additional data sources like CORINE Land Cover (CLC) 2006/2012/2018, Urban Atlas (UA) 2012/2018, topographic maps, national Web Map Service (WMS) services, Commercial Off-the-Shelf (COTS) navigation data and auxiliary data including local expertise is used.

- **UA2012/2018:** UA2012/2018 data are integrated in the RZ data set, where UA urban centres<sup>3</sup> are located inside the RZ. In that case, the MMU of all UA objects is kept; however the UA class codes are recoded to RZ CODE values (as far as possible). Outside UA Urban centres, UA data are used as an important data source for class delineation and class interpretation. Thus, interpreting the same areas twice is avoided and data compatibility between UA and RZ is guaranteed.
- **High-Resolution Layer (HRL) Imperviousness Degree (IM.D.):** HRL Imperviousness Degree is used to support the imperviousness degree derivation of urban classes during the first mapping phase for the reference year 2012. IM.D. is determined by either visual interpretation based on EO data and the Imperviousness Degree layer or derived by an automatic routine based on the IM.D. layer and road segments.
- **HRL Tree Cover Density (TCD):** For the reference year 2012, HR Forest Layer is applied to support TCD classification. The classification is performed by either visual interpretation based on EO data and HR Forest Layer or derived by an automatic routine based on HR Forest Layer and forest segments.
- **CLC2012/2018:** CLC2012/2018 is used as important data source for class assignment. CLC data use ensures data compatibility between CLC and RZ.
- **Landsat-8/Sentinel-2 data sets:** For critical classes, Landsat-8/ Sentinel 2 data are used as additional data source. They are primarily used to support cropland/grassland differentiations and to detect irrigated areas, as in those cases, mono-temporal data analysis will not provide reliable results. Time series of summer images are collected for irrigated areas and images acquired in late summer/autumn/spring are used for grassland identification.
- **In-situ data:** Diverse national in-situ data like WMS services, specific maps or classifications as well as descriptions and maps of Natura 2000 (N2000) or RAMSAR site are used to support the object interpretation.

## Standard Comments

In order to clarify certain mapping delineations, there are some comments defined as product attributes. The following Table 9 lists the allowed entries for the product attributes [COMMENT\_12] and [COMMENT\_18]. In case of multiple comments, these must be separated by a semicolon followed by space character ("...; ...").

List of standard comments		
Description; Note	Comment	UA

<sup>3</sup> [Glossary:Urban centre - Statistics Explained \(europa.eu\)](#)

<b>List of standard comments</b>		
<p><b><u>External Border:</u></b></p> <p>Polygons &lt;0,5 ha at AoI boundary or Urban Atlas Urban centre (polygons have shared line segment(s) with RZ_AoI boundary or UA Urban centre).</p> <p>Only polygons outside UA Urban centres are considered.</p>	"Area size exception (at RZ_AoI / UA Urban centre boundary)"	
<p><b><u>Internal Border:</u></b></p> <p>Polygons &lt;0,5 ha inside RZ_AoI (for communicated exceptions only).</p> <p>Only polygons outside UA Urban centres are considered.</p>	"Area size exception (inside RZ_AoI / outside UA Urban centre)"	
<p><b><u>Urban Atlas:</u></b></p> <p>UA2012 or UA2018 data inside UA Urban centre</p>		"UA2012" "UA2018"
<p><b><u>Urban Atlas:</u></b></p> <p>UA2012 data inside UA2012 Urban centre.</p> <p>Exception for UA2012 data captured in updated UA2012 Urban centre limits (not identical to URAU_2012_RG.shp).</p>	"UA2012_core_regions_update (name of update source)"	"UA2012"
<p><b><u>Urban Atlas:</u></b></p> <p>Gap within the UA region. The gap IS NOT in contact with the regular RZ mapping and is to be filled with mapped data in UA code range.</p>	"Adjusted data of UA Urban centre"	"NoData"
<p><b><u>Change Mapping:</u></b></p> <p>Changes over several classes. Each change is &lt;0,5 ha but overall change (=sum of individual change areas) is ≥0,5 ha.</p>	"Splitted change"*	
<p><b><u>Change Mapping:</u></b></p> <p>Polygons &lt;0,5 ha with no change but connected to change polygons (same code at a neighbouring polygon in one of the two years)</p>	"Areas related to change"	
Different water levels in comparison of image data	"Different water levels"	

List of standard comments		
taken during dry/wet season and “normal” water level. The water level of the “normal” situation should be used for delineation.  only Polygons outside UA Urban centres are considered		
For areas completely or partially flooded by water (flooded land), only Polygons outside UA Urban centres are considered.	“Flooded area”	

\* See section below for in-depth explanation

Table 9: List of Standard Comments

### Change mapping

Following definitions and rules for Land Cover Change (LCC) mapping are based on the LCC rules of CORINE Land Cover (CLC) change detection methodology [35]. The given rules were adopted and expanded to the Natura 2000 [36] specifications and requirements and are now implemented for the Riparian Zone change mapping. Therefore, the change mapping of the RZ dataset is fully aligned with the CLMS N2K dataset<sup>4</sup>.

#### *Mapping of Land Cover Change in Riparian Zones project*

For the Riparian Zones, change mapping is carried out by visual interpretation of 2012 LC/LU vector data and satellite imagery of the timeframe 2012 and 2018 and subsequent direct delineation of change polygons. The final vector data file contains the complete LC/LU status for both timeframes (status layers) and the changes (change layer).

Although the basis of identification of changes is the interpretation of detectable land cover differences on satellite images from 2012 and 2018, the support of ancillary data has proved being very useful.

Not every change visible on the images is a real LC/LU change, e.g.:

- transient phenomena such as floods and temporary waterlogging
- seasonal changes in natural vegetation
- seasonal changes in agriculture, such as effects of crop rotation on arable land
- crop areas burned related to harvesting/seeding
- forest plantation growth, still not reaching the height and/or canopy closure of forest
- changes of water level
- temporal changes in water cover of fishpond cassettes being part of their management
- seasonal changes of snow spots in high mountains.

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<sup>4</sup> [Natura 2000 \(N2K\) — Copernicus Land Monitoring Service](#)

- ...

The introduction of false changes is avoided. As an example, highly non-probable changes are (non-exhaustive list):

- 1.1.1 → 2.-8.x.x: urban areas seldom disappear
- 5.1.x → 3.1.1 bushy vegetation of different climatic zones do not change to each other
- 8.1.2 → X.X.X highly modified natural water courses and canals do not change to another class
- etc.

**Plausibility checks** are performed to detect unlikely or impossible changes.

The **Minimum Mapping Unit** (MMU) for LCC is set to **0,5 ha**.

The **Minimum Mapping Width** (MMW) for the LCC polygons is **10 m**.

**Exceptions** from the MMU, where a generalisation of change objects <0,5 ha is not reasonable because it would discard valuable information, can occur. Where polygons <0,5 ha have been generalised, an MMU exception COMMENT attribute is added (see Table 9).

Land Cover Changes (LCC) are defined as changes that occur between 2012 (acquisition dates 2011–2014) and 2018 (+/-1 years). LCCs resulting from different interpretations of the same subject are not considered as a change.

**There are two types of changes.**

- *Simple Change*
- *Complex Change*

*Simple Changes*

**Simple changes** are defined as where either a single polygon changes from one LC/LU class to another, or a new polygon ≥0,5 ha emerges within an existing, larger polygon (see Figure 12).

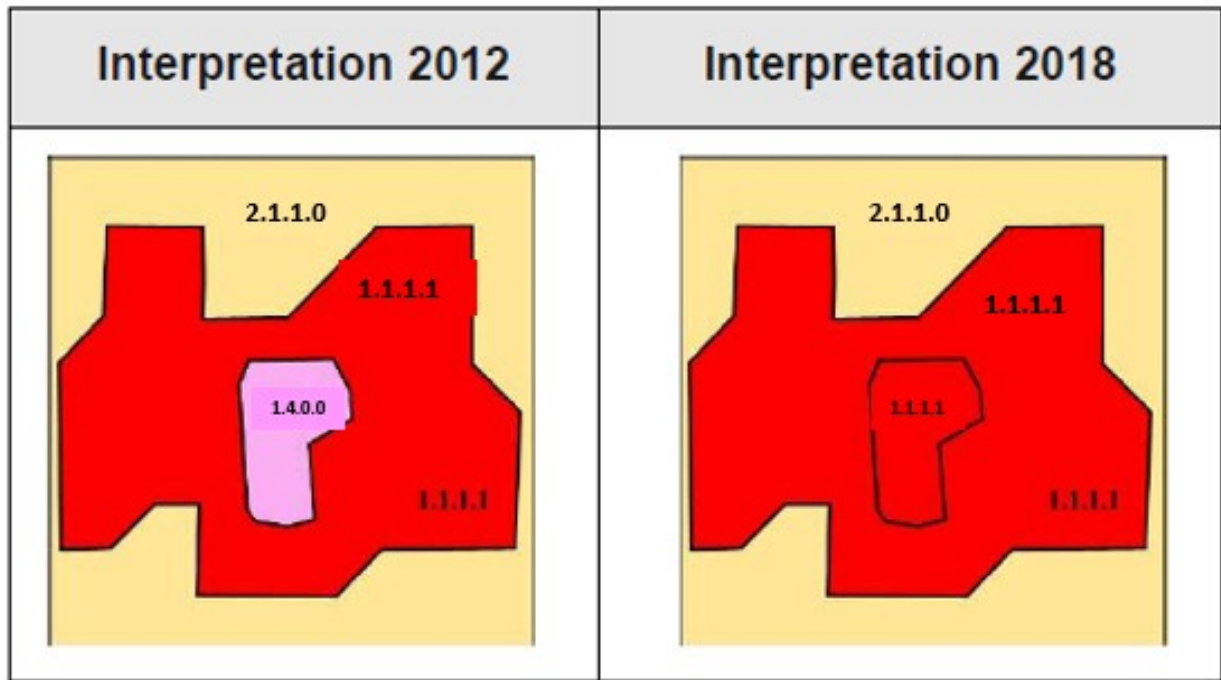


Figure 12: A Green urban area (1.4.0.0)  $\geq 0,5$  ha in 2012 changes into Continuous urban fabric (1.1.1.1) in 2018

#### *Simple changes at RZ AoI border (MMU exception)*

Land Cover Changes (LCC) which are split by the border of a RZ AoI and have a total area (inside and outside of the RZ AoI) of  $\geq 0,5$  ha will be mapped, even if the area inside the RZ AoI is smaller than the MMU.

The MMU of these border polygons is 0,05 ha. Objects  $< 0,05$  ha will be generalised.

The COMMENT attribute for these changes is "area size exception", see Table 9, (necessary for topological checks).

#### *Complex Changes*

**Complex changes** are defined as changes where more than two types of Land Cover polygons are involved. An example can be given by three different types of LC/LU, where two of the types fully or partially change into a third type. Some examples of complex changes are explained in the following sub-sections.

*Single change class: complex change consisting of different LC/LU classes, but mapped as single change class*



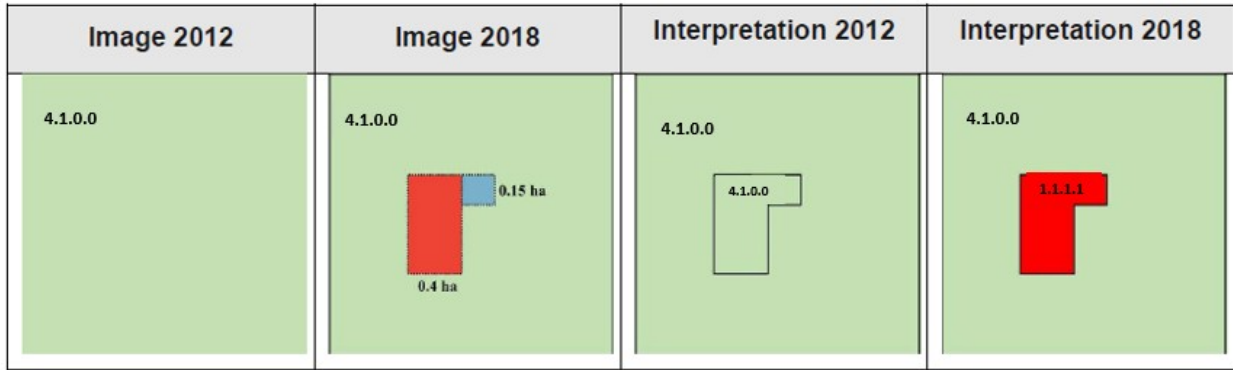


Figure 13: Changes (Continuous urban fabric 0,4 ha, water area 0,15 ha) with an area <0,5 ha and different classes make up a complex change area of 0,55 ha

In 2018, 0,4 ha of Managed grassland (4.1.0.0) has changed into Continuous urban fabric (1.1.1.1) and 0,15 ha has changed into a small water surface. Thus, for 2018, there are two classes with individual areas <0,5 ha which together are >0,5 ha, while in 2012 there was only one class. These areas are combined to a complex change area of >0,5 ha by integrating the small water area into the Continuous urban fabric change (1.1.1.1).

This is a complex change without the COMMENT attribute *"Splitted change"* as the small water surface polygon is generalised to capture the overall change of Managed grassland into Continuous urban fabric.

*Disappearing polygons: complex change results in disappearing polygons*

An existing 2012 object (2.2.2.0) changes into two or more different objects (2.2.1.0 and 1.1.2.0) where each new area is below the MMU, see Figure 14. Because the areas of change are <0,5 ha and located in areas with different LC/LU, the new objects will, because of generalization and priority rules, be incorporated into their respective existing surrounding object.

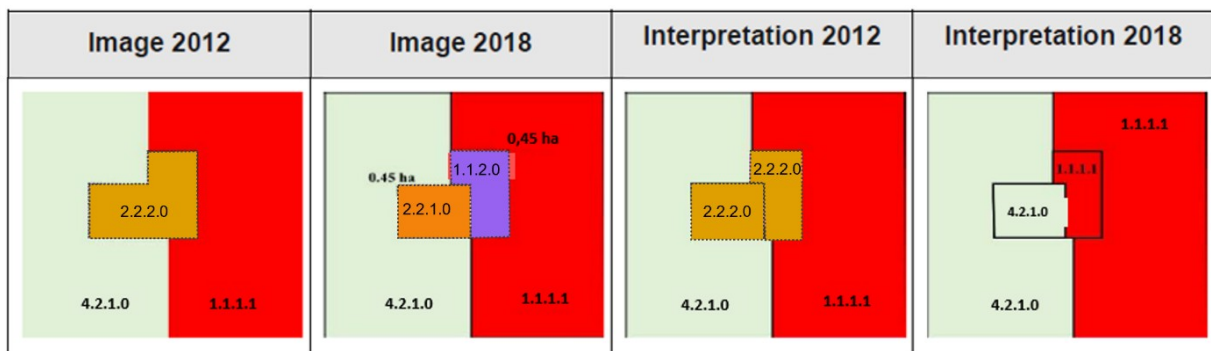


Figure 14: An Olive grove (2.2.2.0) changed into Vineyards, fruit trees and berry plantations (2.2.1.0) and Industrial, commercial and military units (1.1.2.0), but because the areas are <0,5 ha, they are merged with the neighbouring objects.

The Olive grove (2.2.2.0) in 2012 completely changed into Industrial, commercial and military units (1.1.2.0) and Vineyards, fruit trees and berry plantations (2.2.1.0). Both changes are <0,5 ha. Therefore, in 2018, the industry patch is merged to Continuous urban fabric (1.1.1.1) and the fruit tree patch is merged to Semi-natural grassland (4.2.1.0).

*MMU exceptions: Complex change mapping*

*Splitted change: Complex changes < MMU*

Individual change areas will be mapped as a complex change polygon even if they are <0,5 ha (MMU), if their total combined area is ≥0,5 ha, see Figure 15. The minimum mapping unit for single polygons of complex changes is 0,05 ha. Objects <0,05 ha will be generalised.

For topological reasons this type of complex change is given the COMMENT attribute *"Splitted change"*, see Table 9.



Figure 15: Urban expansion: Several changes with an area <0,5 ha make up a complex change area of 0,5 ha (0,1 ha of 4.1.0.0 and 0,4 ha of 2.1.1.0 changed to 0,5 ha of 1.1.1.1)

### *Areas related to change*

One other MMU exception beside the "splitted change" has been introduced for the change mapping, with the COMMENT attribute: *"areas related to change"*, see Figure 16. This COMMENT attribute is applied when an area is partially changed. In this case, if the remaining unchanged area is <MMU, it will not change even though there is a change in the surrounding.

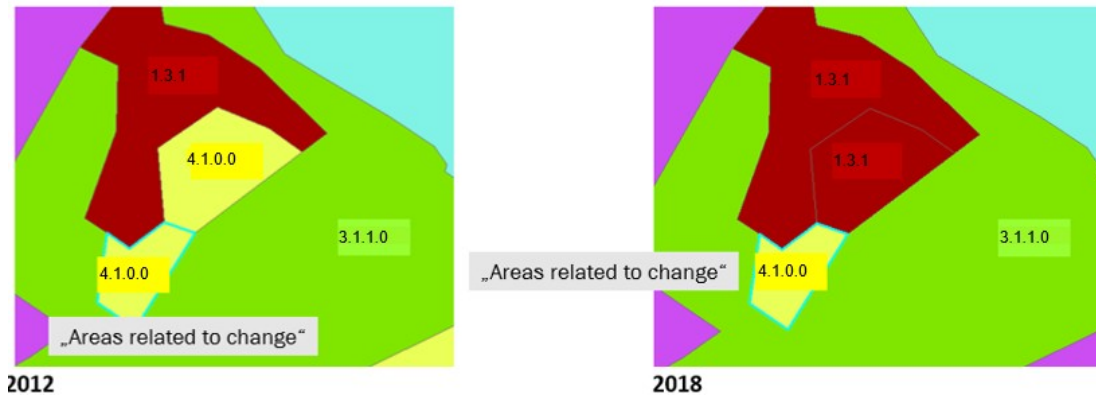


Figure 16: Polygons <0,5 ha with no change (4.1.0.0) but connected to polygons (1.3.1) where there is a change

In 2012, a Managed grassland area (4.1.0.0) partially changed into a Mineral extraction [...] site (1.3.1) in 2018. The unchanged grassland area is smaller than the MMU, i.e. <0,5 ha. In this case the unchanged grassland area is given the attribute “Areas related to change” in the comment field. Only features with the same code in both years get this comment.

#### *Handling changes in, by definition, heterogeneous classes - changes at landscape level*

RZ nomenclature includes some land cover classes that, by definition, represent heterogeneous landscapes. These certain polygons are made up of a mosaic of smaller homogenous patches, especially following land cover classes:

- 2.3.2 Complex cultivation patterns
- 2.3.3 Land principally occupied by agriculture with significant areas of natural vegetation
- 3.3.x Mixed Forest

If individual land cover changes occur within polygons of these classes in a way that they altogether change the characteristics of the area, then possibly other classes must be delineated. As a result the original heterogeneous landscape class might disappear or be still present but in a smaller extent.

#### *Handling changes in, by definition, change classes - changes at landscape level*

RZ nomenclature includes some land cover classes that, by definition, are characterized by a land cover change. These classes are:

- 1.3.1.0 Mineral extraction, dump and construction sites
- 1.3.2.0 Land without current use
- 3.4.0.0 Transitional woodland and scrub
- 3.6.0.0 Damaged Forest
- 6.3.2.0 Burnt areas (except burnt forest)

Plausibility checks should be applied after change mapping production.

If a construction site in 2012 is visible, a new construction, mainly urban, is likely to be visible in 2018 as well. If a construction site in 2018 is visible, another former land use, is likely to be visible in 2012.

Transitional woodland indicates that a regrowth of forest should appear from 2012 to 2018 or deforestation between 2012 and 2018 (exception: slow growing afforestation areas such as cold or dry regions in northern and central Europe). Some theoretic examples can be seen here below:

**Polygon  $\geq 0,5$  ha increases or decreases with a change  $\geq 0,5$  ha resulting in a polygon  $\geq 0,5$  ha.**

Being the most frequently occurring change type, changes  $\geq 0,5$  ha connected to an existing polygon are always mapped (see Figure 17 and Figure 18).

#### Increase from 2012 to 2018



Figure 17: Simple change

Urban fabric (predominantly public and private units) (1.1.1) increases with an area  $\geq 0,5$  ha in 2018 by occupying Natural & semi-natural grassland (4.2.x) from 2012.

#### Decrease from 2012 to 2018



Figure 18: Simple change

The area of an Olive grove (2.2.2) decreases in 2018. The remaining area of the 2.2.2 polygon is still  $\geq 0,5$  ha in 2018, and thus still mapped in the Riparian Zones product.

Special case: change <0.5 ha integrated into neighbouring change of  $\geq 0.5$  ha

Change objects with an area smaller than the MMU can be integrated into an adjacent change with an area of  $\geq 0.5$  ha.

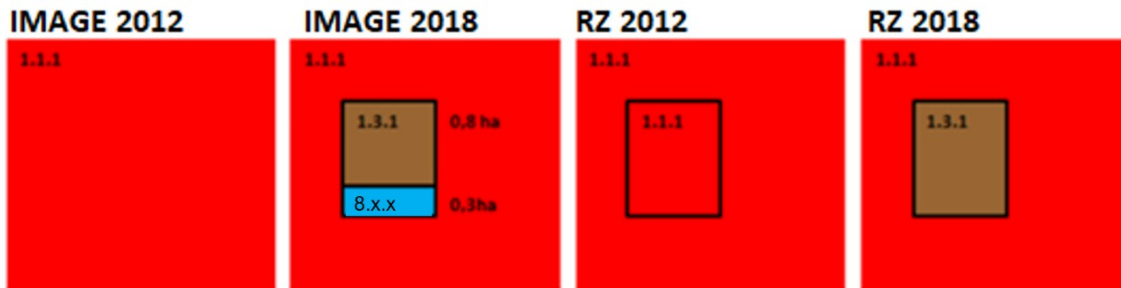


Figure 19: Small change integrated into adjacent change

An Urban fabric area (1.1.1) in 2012 changed into a 0,8 ha construction site (1.3.1) and 0,3 ha water surface (8.x.x) in 2018. The area of the water surface is below the MMU for changes and is therefore not mapped in the Riparian Zones product. Instead the water surface area is integrated into the change area of the construction site which has an area large enough for being mapped as a change.

In this case, in 2018, there are two classes mapped in the Riparian Zones product (1.1.1 and 1.3.1) and in 2012 one class (1.1.1). The <0,5 ha 8.x.x polygon is generalised to capture the change but no COMMENT attribute "Split change" in the comment field is necessary.

**Disappearing polygon: a polygon decreases to <0,5 ha with a change  $\geq 0.5$  ha**

If the size of a polygon decreases to below 0,5 ha due to a change of an area  $\geq 0.5$  ha, it will disappear in the 2018 interpretation because of generalisation (see Figure 20).



Figure 20: Generalisation (disappearing polygon)

0,3 ha of a polygon of 0,7 ha Green urban area (1.4) in 2012 changed into Urban fabric (1.1.1) in 2018. The 0,4 ha area of the remaining Green urban area is then below the MMU. Consequently, this remaining part is generalised into Urban fabric (1.1.1) in 2018.

#### **New polygon: a polygon grows to $\geq 0,5$ ha with a change $\geq 0,5$ ha**

The evolution of a feature that existed in 2012 but was then  $\leq 0,5$  ha (and thus not mapped), to an area  $\geq 0,5$  ha in 2018. An increase with  $\geq 0,5$  ha must be mapped in 2018 (see Figure 21).



Figure 21: A new Aquaculture pond (8.2.3) is established on semi-natural grassland (4.2.x).

#### **Special case: A new established feature with “splitted change”**

A new feature  $\geq 0,5$  ha (or a feature that existed in 2012, but used to be  $\leq 0,5$  ha, thus, not mapped) which grows with a change  $\geq 0,5$  ha must be mapped.



Figure 22: New established feature with splitted change

In 2012 there is an industrial area (1.1.2) that is below the MMU. Part of a neighbouring urban area (1.1.1)  $> 0,5$  ha changes into industrial area in 2018. The small industrial area from 2012 together with the new industrial area now has a total area large enough for mapping ( $> \text{MMU}$ ) and therefore the small industrial area “changes” along with the current change and forms a complex change area.



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## 7. Quality assessment

Standardized Quality Assurance (QA) procedures have been developed to assure a comparable quality and a common Look & Feel of the RZ products, which are provided by regionally distributed specialised Service Providers. Product-specific QC documents shall ensure that all relevant QA/QC steps have been successfully performed. In Table 10 detailed information on topology and plausibility checks is provided.

The LC/LU product is subject to a permanent Quality Control by experienced image analysts and GIS experts. All relevant topology and plausibility checks to be performed in a geodatabase are listed in Table 10.

### List of Quality Control Steps

No. :	Check :==	Description :=====	Purpose :=====	Example   :=====
== 1	=====	=====	=====	=====+
	Multipart features m f s f	Check separates all multipart features into singlepart features	Assurance that all delivered data is singlepart data	<div> <div> <p>INPUT</p> <p>1 Multipart Feature</p> </div> <div> <p>OUTPUT</p> <p>4 Singlepart Features</p> </div> </div> <p>Source: Esri ArcGIS 10.2 Help  </p>
2	Missing vertices f s v c	Check that all adjacent features have all vertices at common edges	Assurance that delivery data is topologically sound	<p>Source: Interpretation data  </p>



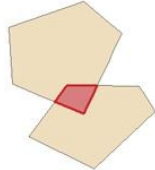
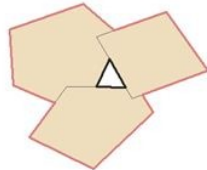
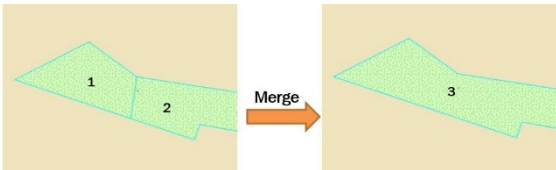
Source: Esri ArcGIS 10.2 Help |

Source: Esri ArcGIS 10.2 Help |

Source: Esri ArcGIS 10.2 Help

| Source:

Interpretation data |

Overlaps	Check that feature polygons do not overlap each other	Assurance that delivery data is free of overlaps	 <p>Source: Esri ArcGIS 10.2 Help</p>	
Gaps	Check that feature polygons do not possess gaps between each other	Assurance that delivery data is free of gaps	 <p>Source: Esri ArcGIS 10.2 Help</p>	
Validation of feature geometries	Check for invalid feature geometries	Assurance that delivery data consist of valid feature geometries (compliant to OGC standards)	Following characteristics may not occur: Null geometries, Short segments, Incorrect ring ordering, Incorrect segment orientation, Self-intersections, Unclosed rings, Empty parts, Duplicate vertex, Discontinuous parts, Bad envelope, Bad dataset extent Source: Esri ArcGIS 10.5 Help	
Validation of feature attribution	Check for invalid feature attribution	Verification of correct feature attribution	Missing feature attribution corrected All attributes (e.g. CODE_4_12 / CODE_4_18 codes) are valid (not out of code range) All text attributes (strings) proved and verified (e.g. COMMENT)	
Data plausibility	Check for implausible data / changes (feature attribution and feature relations)	Verification that delivery data is plausible	Check & correction of CODE assignment for: Polygon of "Green urban area" (CODE 4: 1.4.0.0) not connected to "Urban area" polygon (CODE 4: 1.1.x.x) Polygons of "Croplands", "Woodland and forest", "Grassland" or "Heathland and scrub" (CODE 2: 2.x, 3.x, 4.x, 5.x) inside "Urban area" polygon (CODE 3: 1.1.x, 1.2.x, 1.4.x)	
Integration of Urban Atlas data into the UA Urban centres (2012)	Check that all UA2012/ 2018 data is correctly integrated into the UA Urban centres (2012) id	Assurance that all available UA data of UA Urban centres (2012) is correctly ntegrated in elivery data	Integration of missing UA 2012/2018 data into the UA Urban centres Assign missing flag attribute to UA2012/2018 data of UA Urban centres	
Unnecessary polygon boundaries	Check finds adjacent polygons with same attribution p	Assurance that delivery data is free of unnecessary olygon boundaries	 <p>Source: interpretation data</p>	
Data coherence with neighbouring Delivery Units of LC/LU product	Check for incoherence between neighbouring LC/LU products	Assurance of data coherence between LC/LU products	Visual check	

Minimum Mapping Width	Check for polygons beneath MMW	Assurance that delivery data meet MMW	Polygons beneath MMW will be corrected (e.g. expanded, deleted) to meet MMW.																																																																																																			
Minimum Mapping Unit	Check for polygons beneath MMU (agreed exceptions will be excluded from MMU check)	Assurance that delivery data meet MMU	Polygons beneath MMU will be corrected (e.g. merged, expanded, deleted) to reach the specified MMU. Exception: polygons sharing line segments with Aol borderline will be checked separately and be excluded from the MMU check within the Aol																																																																																																			
Completeness	Check for missing data inside and outside the final integrated RZ Aol	Assurance that RZ Aol is completely covered by delivery data	Missing data inside RZ Aol will be corrected (captured) Data outside RZ Aol will be deleted																																																																																																			
Edge matching	heck for geometrical and ttributional data mismatches at shared oundaries	Assurance of geometrical and attributional data coherence between neighbouring RZ Aol	Mismatches of data in attribution and geometry at shared edge of 2 neighbouring delivery units (full or partial delivery units) will be corrected (e.g. matching of feature attribution, snapping on vertices of neighbouring feature geometries)																																																																																																			
Attribution completeness of attribute tables	Check completeness of attribute tables	Assure that all attributes are complete and correct	<table><tr><th>FID</th><th>Shape</th><th>ID</th><th>DV ID</th><th>MAES 1</th><th>MAES 2</th><th>MAES 3</th><th>MAES 4</th><th>TCD</th><th>UA</th><th>AREA</th><th>HA</th><th>NODATA</th><th>COMMENT</th></tr><tr><td>0</td><td>Polygon</td><td>1</td><td>DU000B</td><td>2</td><td>21</td><td>211</td><td>2111</td><td></td><td></td><td>14.411262</td><td>0</td><td></td><td></td></tr><tr><td>1</td><td>Polygon</td><td>2</td><td>DU000B</td><td>3</td><td>34</td><td>341</td><td>3412</td><td></td><td></td><td>0.419493</td><td>0</td><td></td><td></td></tr><tr><td>2</td><td>Polygon</td><td>3</td><td>DU000B</td><td>3</td><td>33</td><td>333</td><td>3331</td><td>TCD &gt; 50 - 80%</td><td></td><td>0.195907</td><td>0</td><td></td><td></td></tr><tr><td>3</td><td>Polygon</td><td>4</td><td>DU000B</td><td>2</td><td>21</td><td>211</td><td>2111</td><td></td><td></td><td>61.452646</td><td>0</td><td></td><td></td></tr><tr><td>4</td><td>Polygon</td><td>5</td><td>DU000B</td><td>1</td><td>11</td><td>111</td><td>1111</td><td></td><td>UA 2008</td><td>5.444732</td><td>0</td><td></td><td></td></tr><tr><td>5</td><td>Polygon</td><td>6</td><td>DU000B</td><td>1</td><td>11</td><td>111</td><td>1111</td><td></td><td>UA 2008</td><td>3.983764</td><td>0</td><td></td><td></td></tr></table>	FID	Shape	ID	DV ID	MAES 1	MAES 2	MAES 3	MAES 4	TCD	UA	AREA	HA	NODATA	COMMENT	0	Polygon	1	DU000B	2	21	211	2111			14.411262	0			1	Polygon	2	DU000B	3	34	341	3412			0.419493	0			2	Polygon	3	DU000B	3	33	333	3331	TCD > 50 - 80%		0.195907	0			3	Polygon	4	DU000B	2	21	211	2111			61.452646	0			4	Polygon	5	DU000B	1	11	111	1111		UA 2008	5.444732	0			5	Polygon	6	DU000B	1	11	111	1111		UA 2008	3.983764	0			
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Metadata check	Check of INSPIRE conform metadata description	Assure INSPIRE conformity of associated metadata XML files	<a href="https://inspire.ec.europa.eu/validator/">https://inspire.ec.europa.eu/validator/</a> or the most recent and accurate one																																																																																																			

Table 10: LC/LU relevant Quality Control Steps

In addition to the internal quality control performed by the Service providers in charge of production, the EEA performed an external thematic validation available at [Microsoft Word - CLMS RZ LCLU Validation Report SC04\\_1\\_3.docx \(copernicus.eu\)](#).

The thematic validation of the LCLU 2018 products shows very good results with an Overall Accuracy that meets the validation requirement in a plausibility analysis (with an Overall Accuracy of 91.5% ±0.2),



even though the blind interpretation results show slightly but satisfying lower overall accuracies ( $88.7\% \pm 0.2$ ). The Change layer (2012-2018) also shows good results at Level 4 with an Overall Accuracy of  $86.2\% \pm 0$ .

## 8. Terms of use and product technical support

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## 9. Abbreviations & Acronyms

Aol	Area of Interest
CBD	Convention on Biological Diversity
CCM	Copernicus Contribution Mission
CLC	CORINE Land Cover
CLMS	Copernicus Land Monitoring Service
COTS	Commercial Off-the-Shelf
DAP	Data Access Portfolio
DOM	Départements et régions d'outre-mer
DU	Delivery Unit
DWH	Data Warehouse
EC	European Commission
ECRINS	European Catchments and Rivers Network System
EEA	European Environment Agency
EEA-39	The 39 EEA member and cooperating countries
EIONET	European Environment Information and Observation Network
EO	Earth Observation
EPSG	European Petroleum Survey Group Geodesy
ESA	European Space Agency
ETRS	European Terrestrial Reference System
EU	European Union
EUNIS	European Nature Information System
FD	Floods Directive
FID	Feature Identifier
FUA	Functional Urban Areas
GISCO	Geographical Information System of the Commission
HA	Hectare
HR	High Resolution
HRG	High Resolution Geometrical
HRL	High Resolution Layer
ID	Identifier
IM.D.	Imperviousness Degree
INSPIRE	Infrastructure for Spatial Information in Europe
ISO	International Organization for Standardization
LAEA	Lambert Azimuthal Equal-Area
LCC	Land Cover Change
LC/LU	Land Cover/Land Use
MAES	Mapping and Assessment of Ecosystems and their Services
MMU	Minimum Mapping Unit
MMW	Minimum Mapping Width
MSGI	Metadata Standard for Geographic Information



Aol	Area of Interest
N2000 / N2K	Natura2000
NIR	Near InfraRed
OSM	Open Street Map
PSIL	Parent Scene Identification Layer
QA	Quality Assurance
QC	Quality Control
RZ/RPZ	Riparian Zones
SDI	Spatial Data Infrastructure
SHP	Shapefile
SPOT	Satellite Pour l'Observation de la Terre
TC	Technical Committee
TCD	Tree Cover Density
UA	Urban Atlas
URAU	Urban Audit
VHR	Very High-Resolution
WFD	Water Framework Directive
WMS	Web Map Service
WU	Working Unit
XML	Extensible Markup Language



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## 11. Annexes

Riparian Zones Delivery Units			
No.	DU ID	Catchment Name(s) per Unit	RZ Aol Str. 2-9 [km <sup>2</sup> ]
1	DU001A	Aegean Islands, Black Sea Basin District, Turkey West	17.003,02
2	DU002A	Attica, Crete, Eastern Peloponnese, Eastern Sterea Ellada, Seman, Thessalia, Western Macedonia, Western Sterea Ellada	14.627,61
3	DU003A	Turkey South, Cyprus	12.048,10
4	DU004A	Adriatic Sea coastal catchments and small basins, Central Macedonia, Crni Drim / Drin, Drini i Zi, Neretva, North Adriatic, West Aegean Region Basin District	15.487,18
5	DU005A	Middle Appenines, Serchio, Sicily, Southern Appenines	25.454,12
6	DU006A	Balearic Islands, Corsica, Sardinia	5.330,56
7	DU007A	Dniestr, Pregolya, Vistula	22.657,56
8	DU008A	Ems, Weser	10.199,01
9	DU009A	Meuse, Scheldt (Brussels Area), Seine, Channel Islands	17.507,41
10	DU010A	Turkey North	17.425,68
11	DU011A	Turkey East	17.647,16
12	DU012A	Danube East	30.718,71
13	DU013A	Danube North	46.978,77
14	DU014A	Danube South	25.936,27
15	DU015A	Danube West	36.469,28
16	DU016A	Rhine	29.839,20
17	DU017A	Loire, Brittany, and Vendee coastal waters	17.536,04
18	DU018A	Eastern Alps, Po Basin	27.993,27
19	DU019A	Ebro, Internal Basins of Catalonia, Jucar	19.386,97
20	DU020A	Algarve Basins, Guadiana, Sado and Mira, Tagus and Western Basins, Azores, Madeira, Canaries	22.493,10
21	DU021A	Basque County internal basins, Cavado, Ave and Leca, Douro, Galician Coast, Minho, and Lima, Vouga, Mondego and Lis	25.214,70
22	DU022A	Eastern, IE South Eastern, IE South Western, Neagh Bann, North Eastern, North Western, Shannon	9.537,20
23	DU023A	Anglian, Dee, Humber, North West, Thames, UK South East, UK South West, Western Wales, Isle of Man	14.400,28
24	DU024A	Northumbria, Scotland, Solway Tweed	13.839,72
25	DU025A	Lielupe, Nemunas, Venta	9.725,29
26	DU026A	Schlei/Trave, Vidaa-Krusaa, Warnow/Peene	2.821,89
27	DU027A	North Baltic, Skagerrak and Kattegat, South Baltic	36.208,84
28	DU028A	Glomma, Moere and Romsdal, Troendelag	12.664,46
29	DU029A	Kokemoenjoki-Archipelago Sea-Bothnian Sea, Kymijoki-Gulf of Finland	19.171,74
30	DU030A	Nordland, Troms	7.120,65
31	DU031A	Bothnian Sea	26.224,37

Riparian Zones Delivery Units			
32	DU032A	Elbe, Elbe coastal catchments	21.209,31
33	DU033A	Ucker	16.053,29
34	DU034A	Tornionjoki (Finnish part)	27.538,23
35	DU035A	Jutland and Funen, Zealand	3.965,58
36	DU036A	SE South West, West Bay	13.460,29
37	DU037A	Finnmark, Kemijoki, Teno-, Nootom- and Paatsjoki (Finnish part)	17.137,81
38	DU038A	Oulujoki-Iijoki, Vuoksi	27.767,52
39	DU039A	Daugava, East Estonia, Gauja, West Estonia	10.152,22
40	DU040A	Andalusia Atlantic Basins, Andalusia Mediterranean Basins	13.589,11
41	DU041A	Adour, Garonne, Dordogne, Charente, and coastal waters of Aquitania	15.198,04
42	DU042A	Iceland	7.527,65
43	DU043A	Rhone and Coastal Mediterranean	21.910,16
<b>Total area</b>			<b>805.177,34</b>

Table 11: Riparian Zones Delivery Units