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FISHERIES**

Improving landings forecasts using environmental covariates: a case study on the Indian oil sardine

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MoES-NOAA Collaboration: Development of Predictive Capabilities for Marine Fisheries and Harmful Algal Blooms in Indian Seas



- 2014-2019
- India-USA (NOAA-MoES) Research collaboration
- Improve forecasting:
 - Harmful Algal Blooms
 - **Oil sardine landings**



INCOIS: Indian National Centre for Ocean Information Services



CMLRE: Centre for Marine Living Resources & Ecology



Kochi (Cochin)



Largest city in Kerala state

Hyderabad



Capital of Telangana state

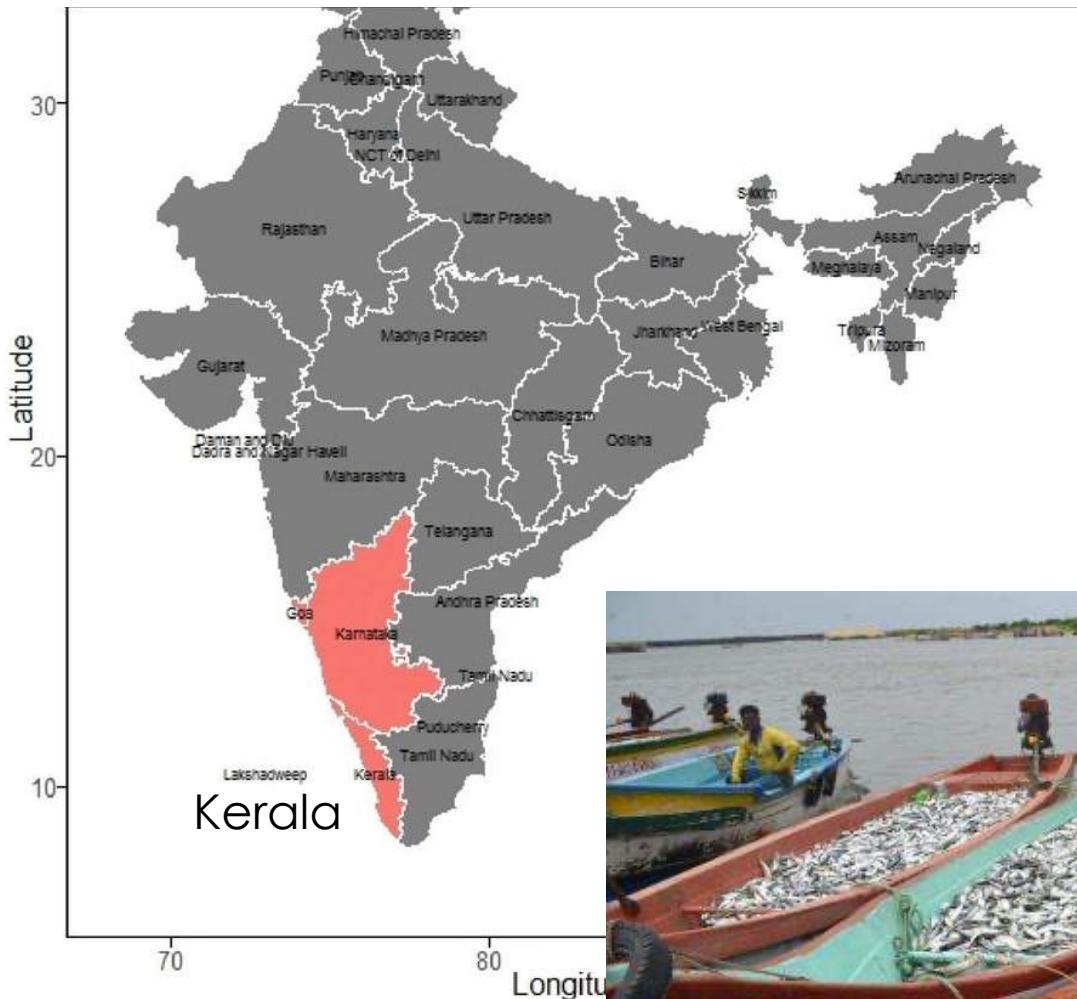
Today's talk

- Fishery --- SE Arabian Sea --- Biology of oil sardines
- Forecasting landings using environmental covariates
- Take home messages about forecasting in general



Kochi harbor

Fishery



Indian Oil Sardine Fishery

ca 20% of the total fish landings in India



*Unregulated coastal
fishery with a diversity of
fishing vessel types*









*Fleet characteristics
have changed over time*

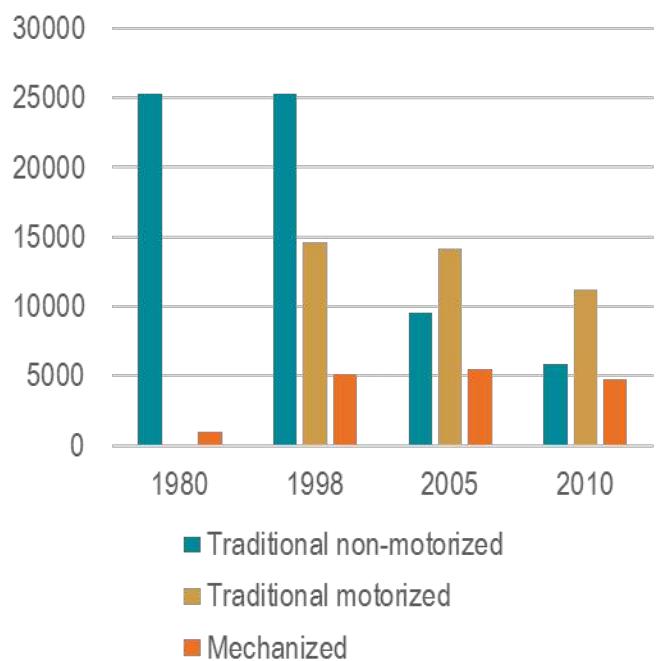


Today oil sardines are caught primarily with ring seines using smaller motorized (outboard) boats or larger (inboard) ships

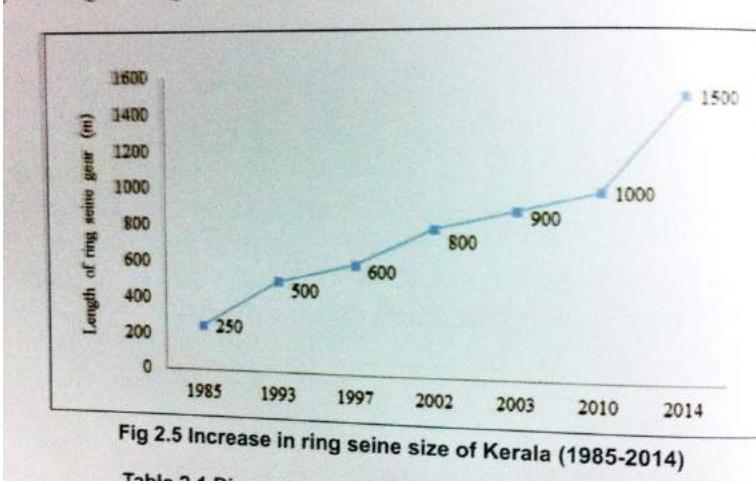


(The Hindu)

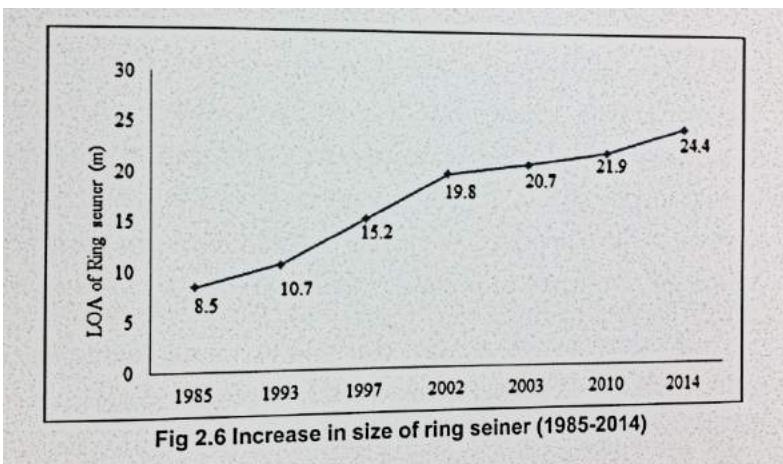
Changes in the fleet composition in Kerala



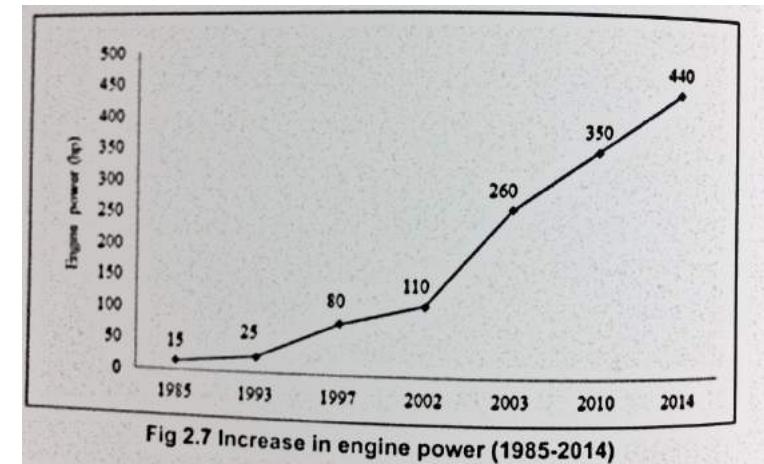
1985-2014 Changes in gear size and power



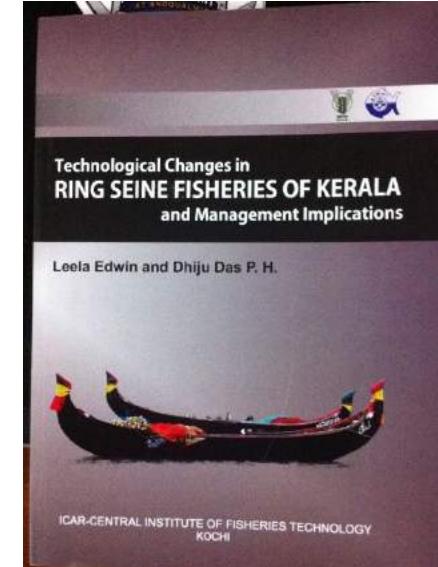
Nets are getting longer



Boats are getting longer



Engines are getting stronger



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- Spatially distributed
- Restricted to nearshore
- Non- or low-refridgeration
- Lower engine power
- Not able to target fish like a highly-mechanized fleet



Landing centers are distributed along the coast. The fishing surveys occur here.



Small landing center in north part of Kochi









T.S. DEVASHREE

GOODS CARRIER

DAY NIGHT EXPRESS

DAY LAND

TN.49.BY.3388

A I P
KALAI CARRIER

KALAI TRADERS

ALL INDIA P...

EMK
B

KOB
V M
D S N
C H O
D S N
C H O



Oil sardine landings

Catch data are collected by Central Marine Fisheries Research Institute (Kochi). Data on the catch by species is collected at 187 landing centers in Kerala (and over 1511 along the entire coast).



© Jacques Steyn 2014



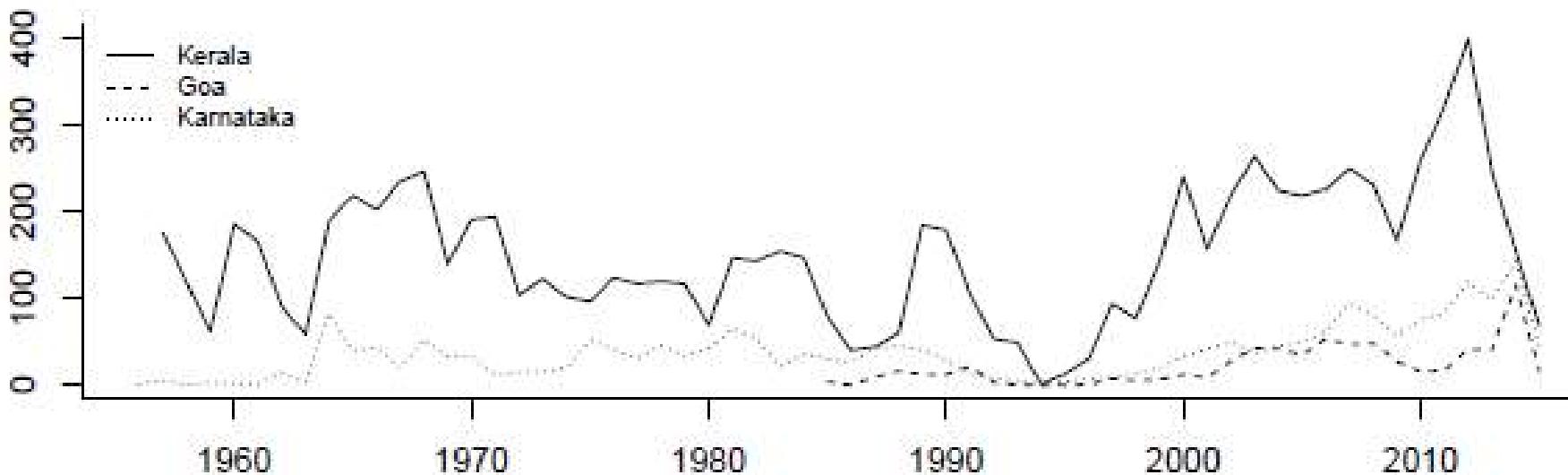
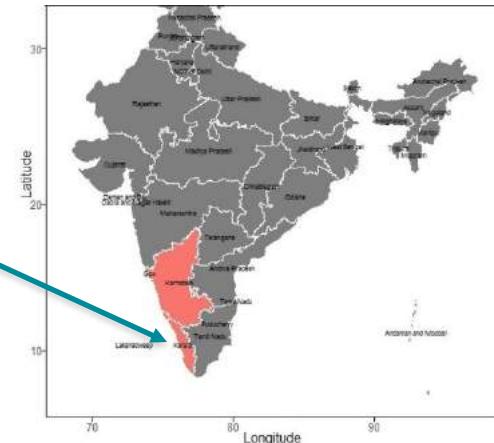
© Jacques Steyn 2014



Kasaragod
Kannur
Wayanad
Kozhikode
Malappuram
Palakkad
Thrissur
Kochi
Ernakulam
Idukki
Kottayam
Alappuzha
Pathanamthitta
Kollam
Thiruvananthapuram

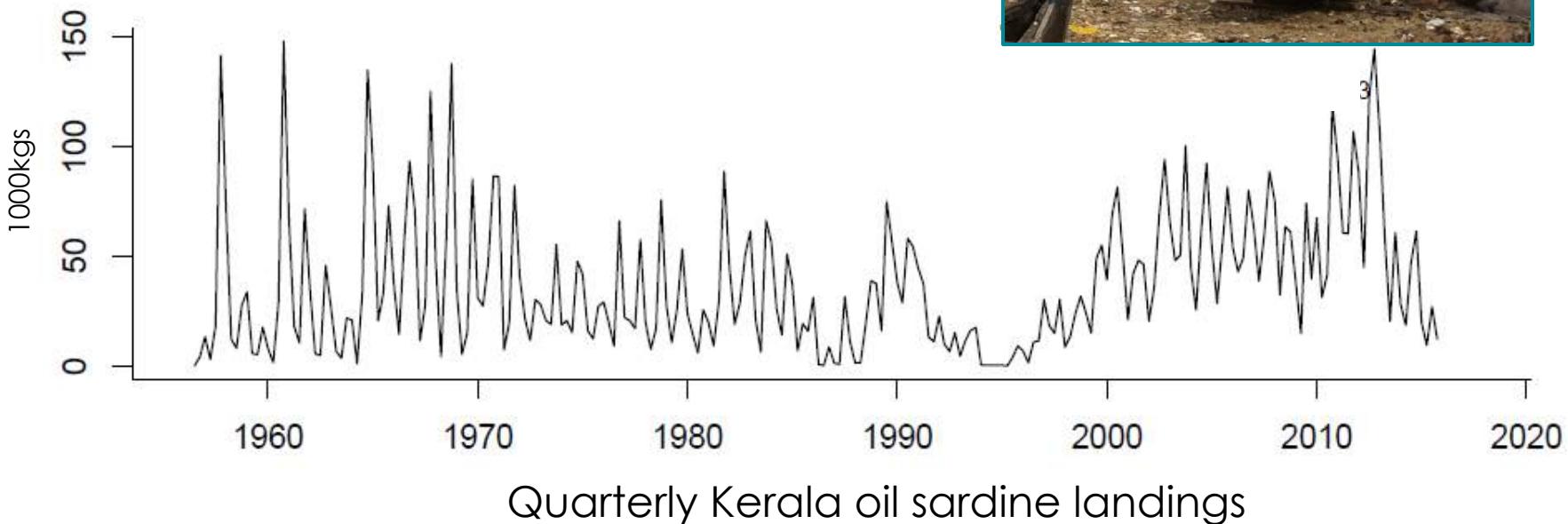
Yearly trends in the SW coast oil sardine catch

Kerala
catch makes up the
majority of the SW coast
landings



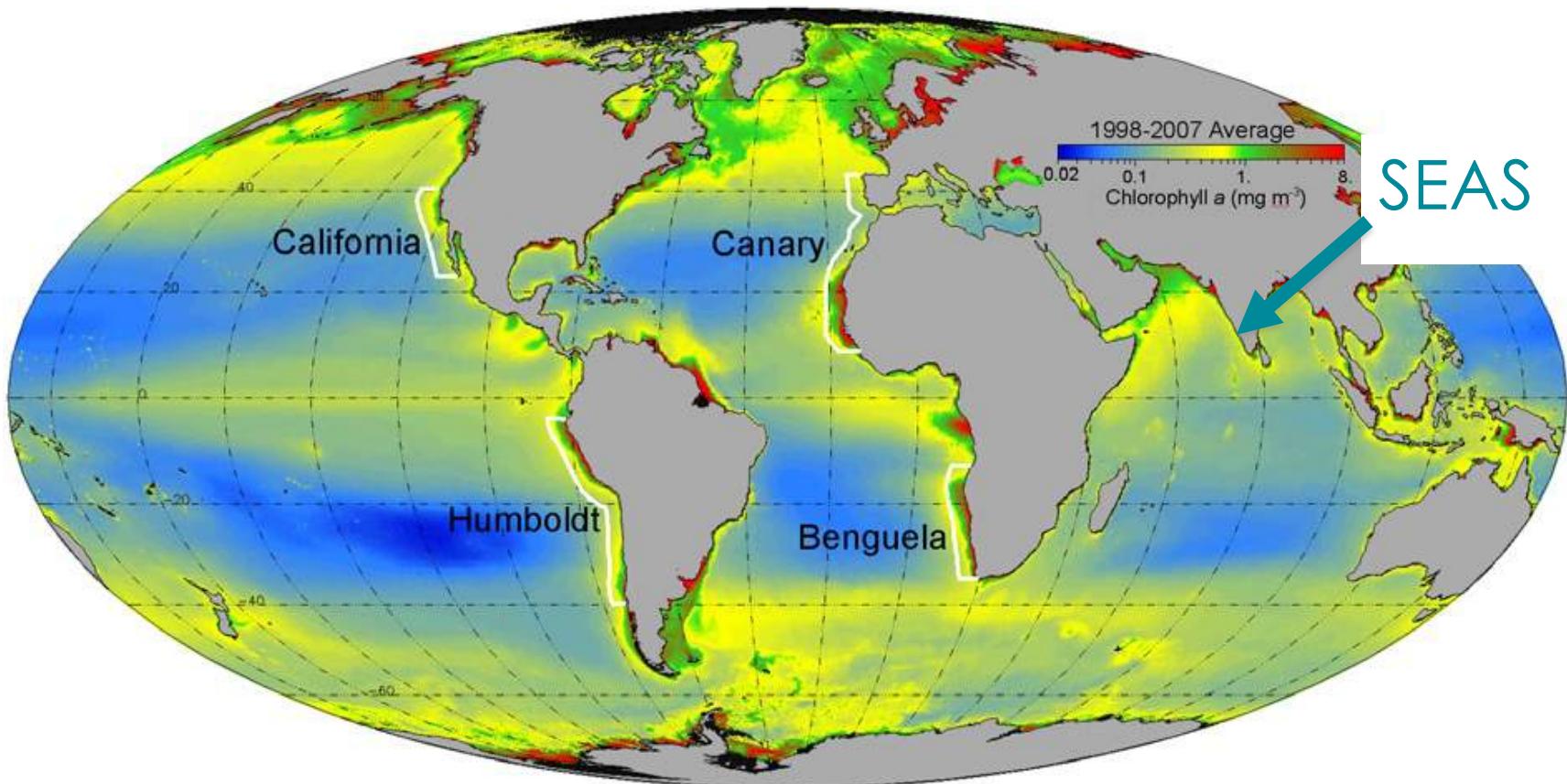
High variability in the catches

- seasonal
- **yearly**



SE Arabian Sea

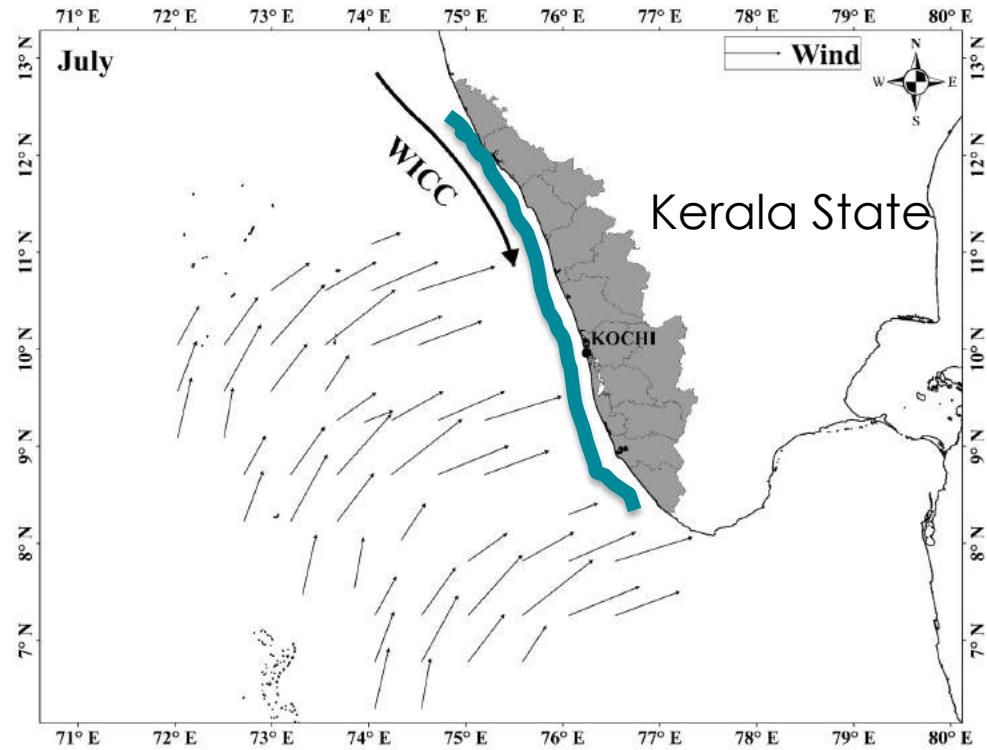
The SE Arabian Sea is one of world's major seasonal upwelling zones driven by monsoon winds



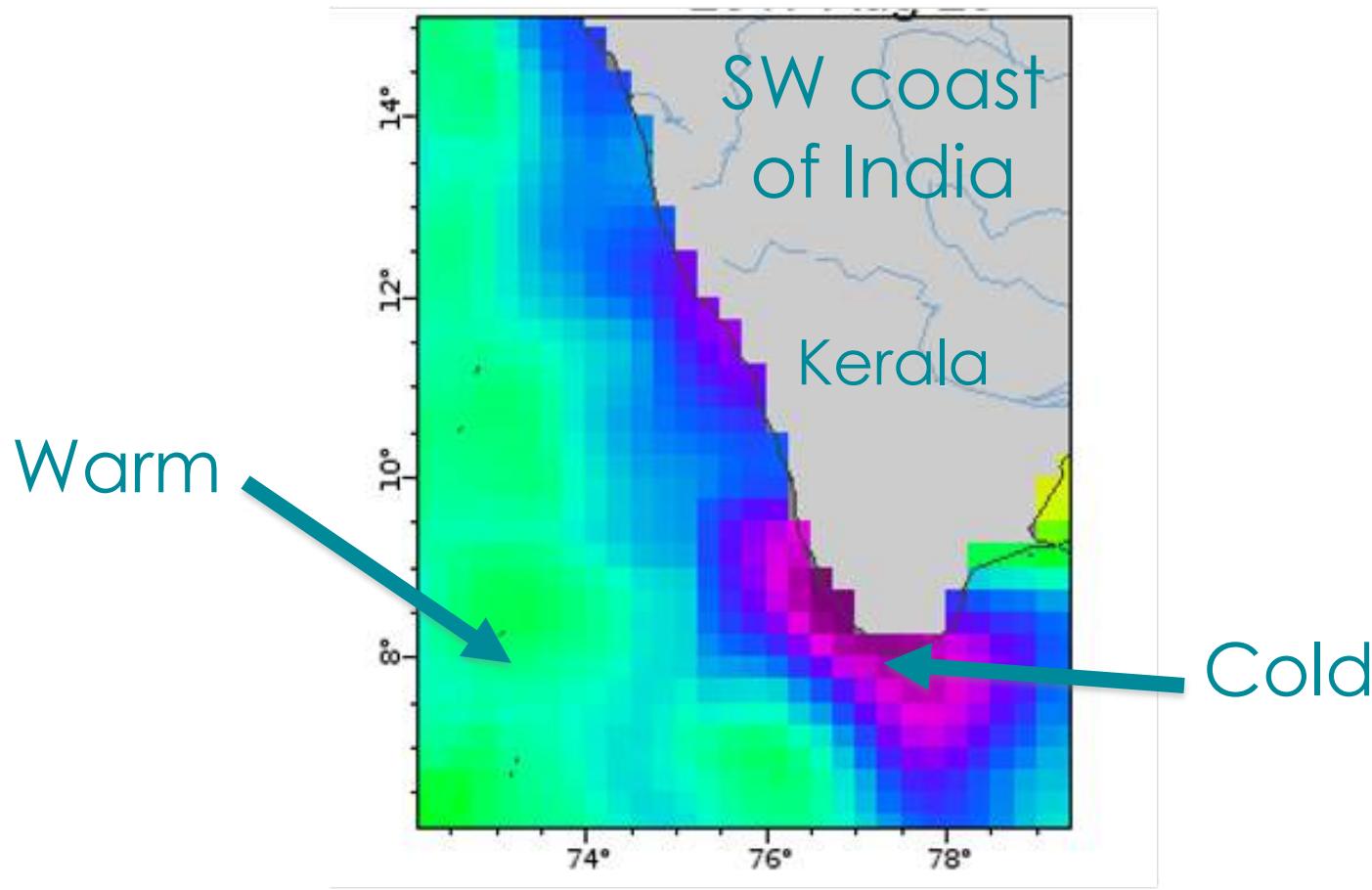
Surface Chl-a 1998-2007 Average



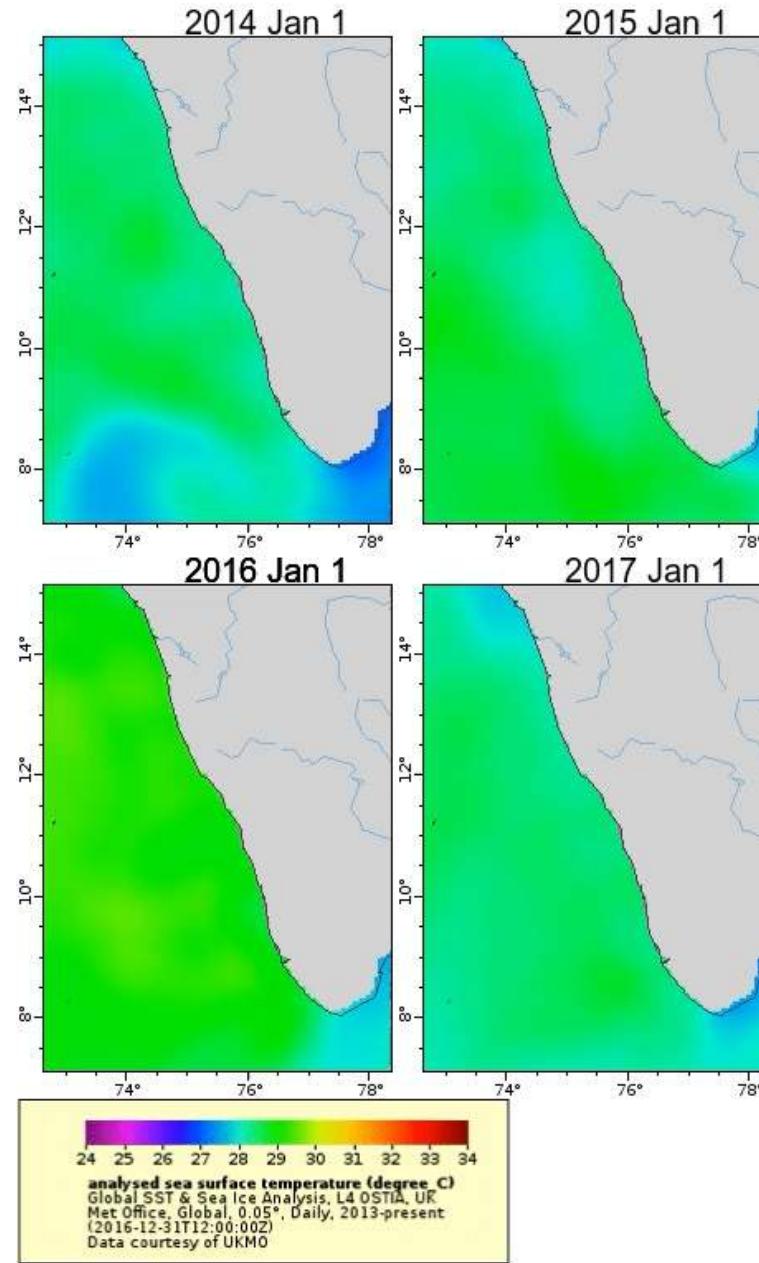
June to September



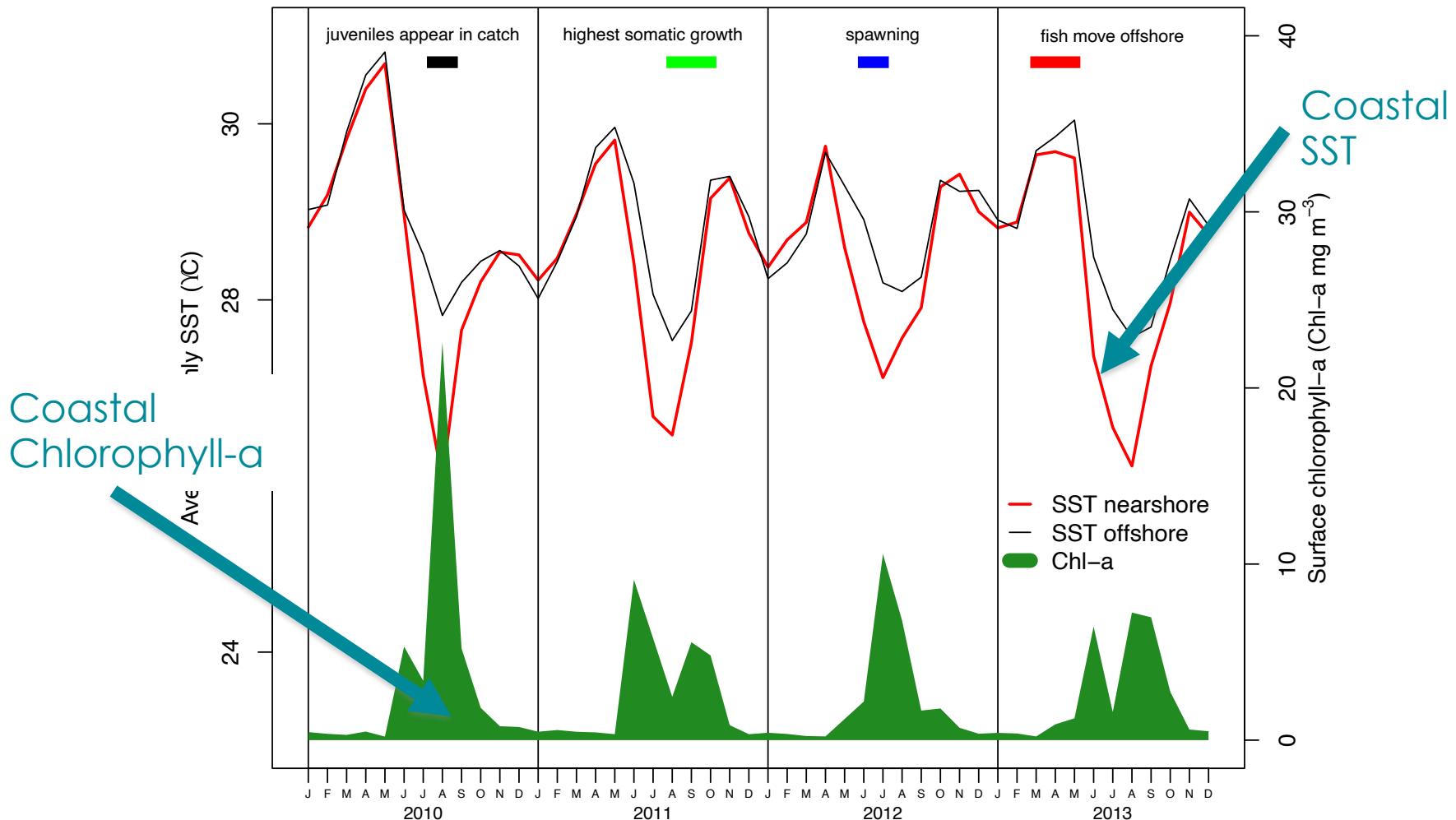
Sea surface temperature signature of upwelling (Sept 1)



Sea surface temperature as it changes through the year



Seasonal cycle of the environment



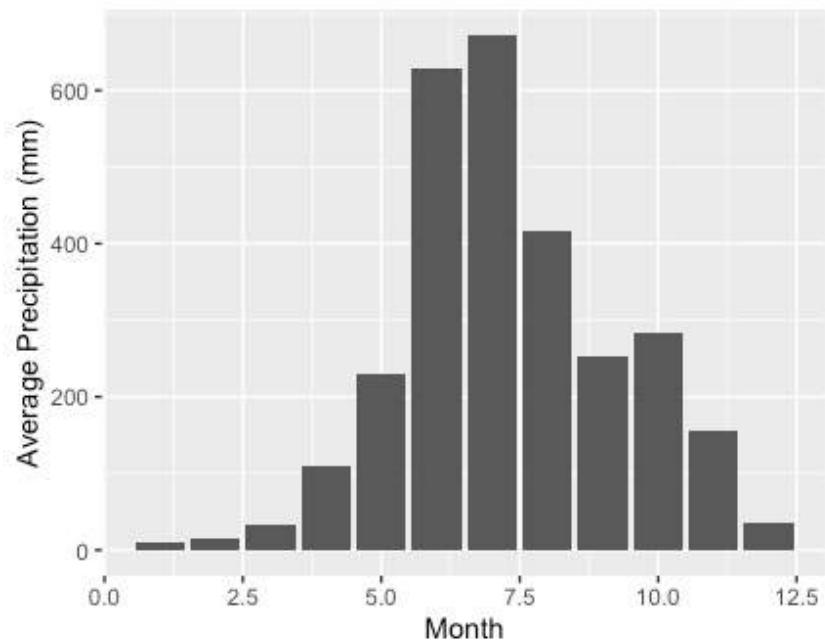
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There are 44 rivers in Kerala which flow into SE Arabian sea



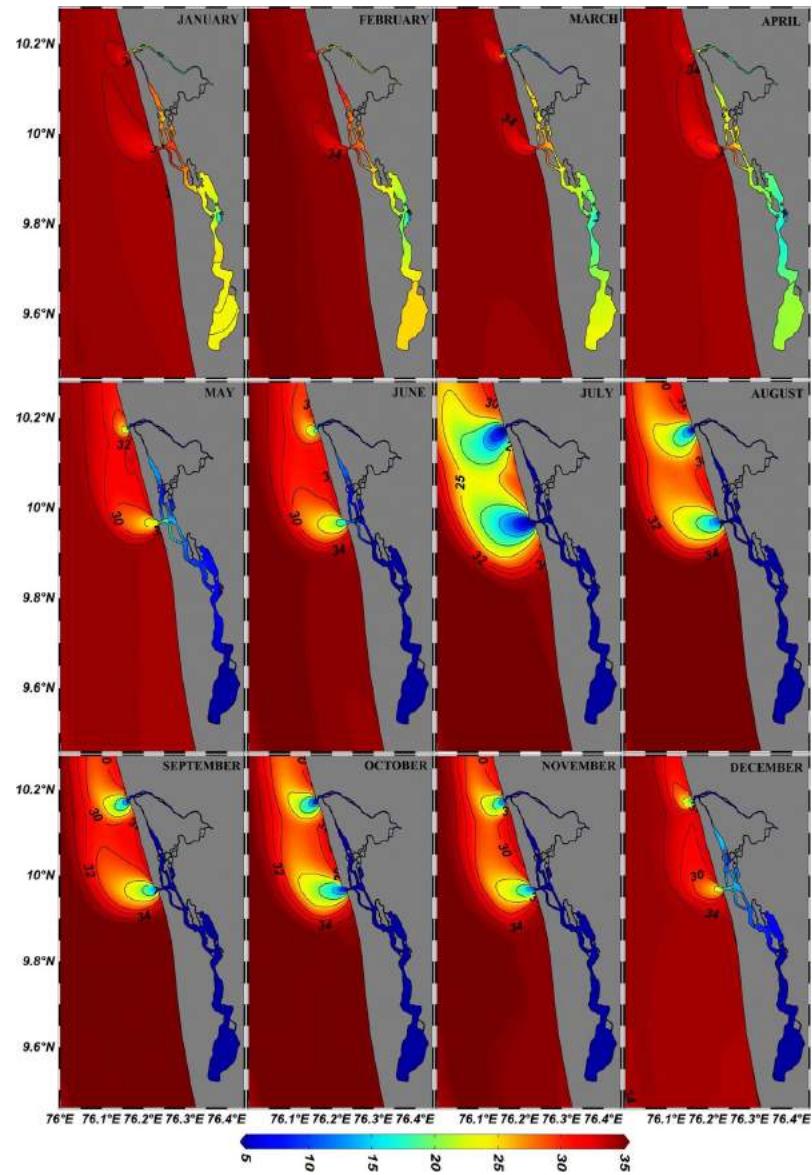
Periyar – largest river in Kerala

Precipitation over Kerala state



Seasonal cycle of river plumes: low salinity + nutrients

Seena, G., Muraleedharan, K.R., Revichandran, C. et al. Seasonal spreading and transport of buoyant plumes in the shelf off Kochi, South west coast of India- A modeling approach. *Sci Rep* 9, 19956 (2019)



Oil sardine life history

Spawn in
June-July

Mature at
age 1 (12
months)

2 to 2.5 yr
life span

Mar-May

June-July

August

Sept

Fish move off-shore
when near-shore
temp is high

Peak spawning (off-
shore) and fish move
inshore to feed.

Fish nearshore. 0-yr
from early spawning
appear in catch.

Northward feeding
following blooms.
Rapid growth.

5 76 77 78 79

Oct

Nov-Dec

Jan

Feb

Rapid growth and
high landings.

High landings.
Southward retreat

High landings.

High landings. 0-yr
from late spawning
appear in catch.

75 76 77 78 79



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Forecasting landings



Big drops in oil sardine catch have cascading impacts on economy

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Agri Business Logistics Macro Economy Policy

Marine fish catch drops 5.3% in 2015, 51% decline in oil sardine landings

V. SAJEEV KUMAR

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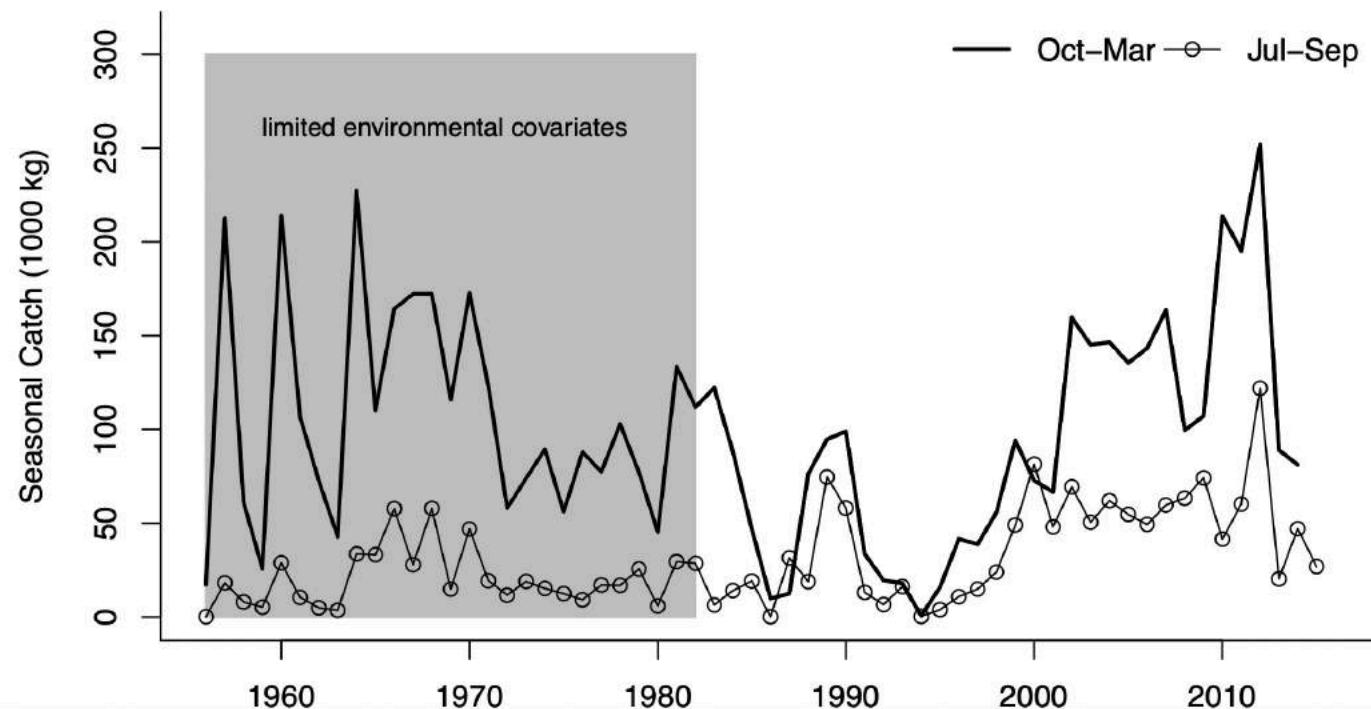
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Strategy

Examine hypotheses concerning how environmental events affect catch

- Juvenile survival
- Somatic growth (time to reach maturity)
- Exposure to the fishery (feeding close to shore)

Do these effects translate to better catch forecasts?



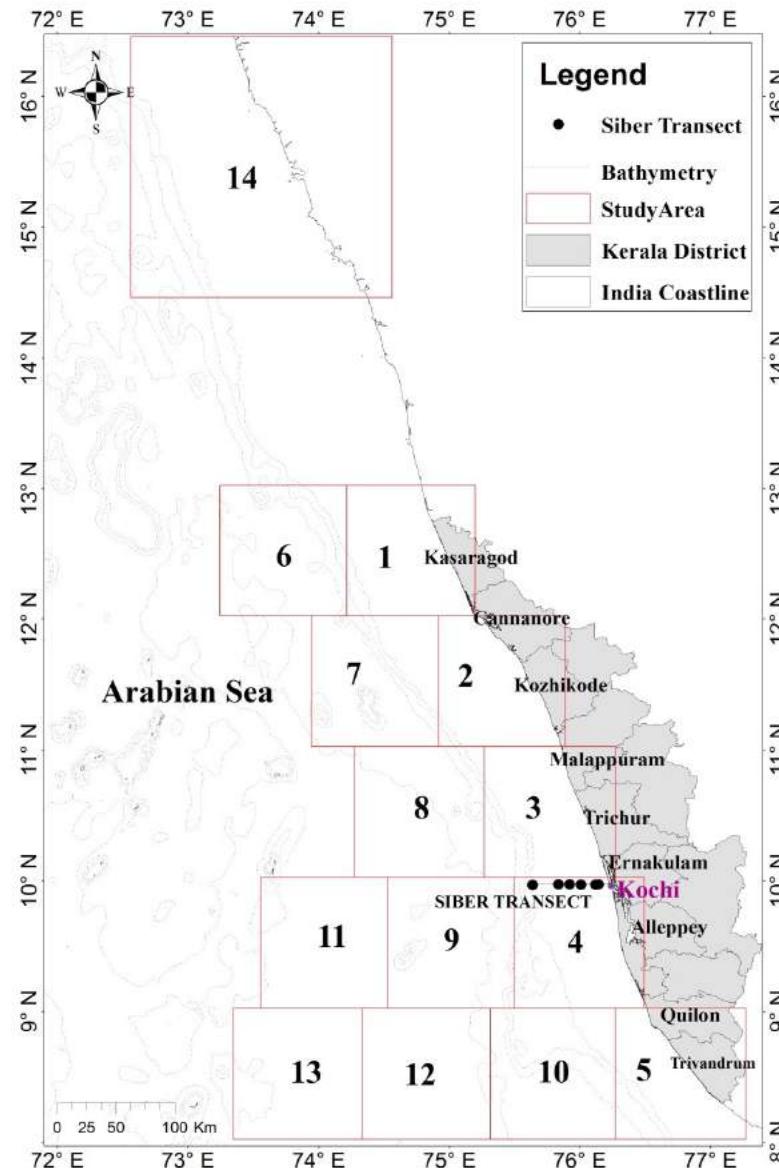
Environmental covariates

Remote-sensing data (mostly)

From 1984 at least
sea surface temperature
winds
precipitation over ocean
precipitation over land
ocean climate indices

Not enough data
Sea surface height
Salinity
Chlorophyll-a

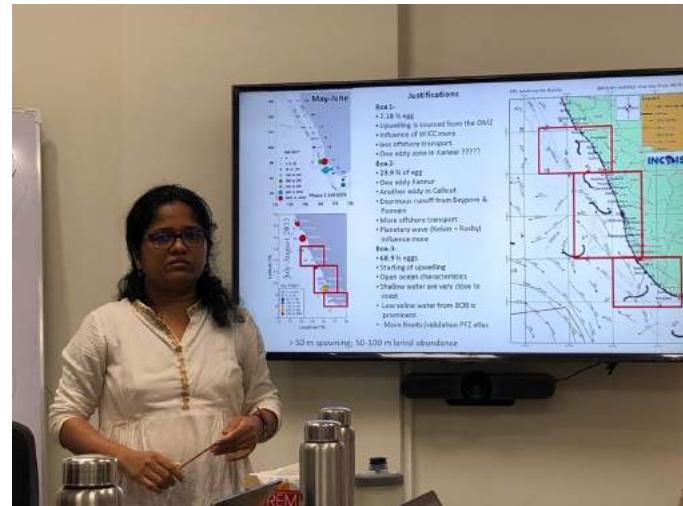
Too noisy
tide level



What covariates should we test? A 4-year discussion with Indian and US oceanographers and fisheries biologists (+ reading 100 years of research)

TABLE 1 Covariate models for the July–September (S_t) and October–March (W_t) landings. In the model (left) column, the first line is the environmental covariate. The response variable and the mechanism by which the covariate is postulated to affect catch is shown below the covariate (see Notes for the codes). The tests did not impose a direction (positive or negative) and some environmental covariates have been hypothesized to have both positive and negative impacts on oil sardines.

Model	Description and justification
Jun-Jul and Apr-Mar ocean precipitation AF: S_t	Precipitation over the ocean may directly or indirectly prompt spawning, after which spent adults migrate inshore and are exposed to the nearshore fishery.
Jun-Jul land precipitation AF: S_t GS: W_t , W_{t+1} , S_{t+1}	Summer monsoon precipitation over land leads to high nutrient input from river discharge. At high levels, this leads to eutrophication and anoxia, which drives adults offshore away from the fishery. At moderate levels, this supports nearshore productivity.
Apr-Mar ocean precipitation SS: W_t , W_{t+1} , S_{t+1}	Spring precipitation is an indicator of climatic conditions during egg development, which affect spawning success and thus the cohort strength.
Jun-Sep upwelling AF: S_t GS+AF: W_t GS: W_t , W_{t+1} , S_{t+1}	Upwelling drives phytoplankton blooms which bring fish closer to the coast (and the fishery) and which promote larval and juvenile growth and survival. However extreme upwelling advects phytoplankton biomass offshore and brings hypoxic water to the surface.
Mar-May r-SST AF: S_t SS: W_t , W_{t+1} , S_{t+1}	Extreme pre-monsoon heating events drive mature fish from spawning areas, resulting in poor recruitment and fewer 0-year fish.
Oct-Dec ns-SST GS: W_t , W_{t+1} , S_{t+1}	October–December are the peak somatic growth months, and larval and juvenile growth and survival are affected by temperature in the nearshore feeding area.
Jul-Sep and Oct-Dec CHL AF: S_t GS+AF: W_t GS: W_{t+1} , S_{t+1}	Surface chlorophyll-a concentration is a proxy for phytoplankton abundance. Peak chlorophyll-a concentration is in July–September while October–December are critical months for juvenile growth and survival.
2.5-year average r-SST IA: S_t , W_t , W_{t+1} , S_{t+1}	Spawning, early survival, and recruitment depend on many cascading factors summarized by the average regional SST over the lifespan of an oil sardine.



Final Covariates

- **Upwelling indices Jul-Sep**
 - Drives productivity
 - At very high levels causes coastal hypoxia
- **Precipitation during the monsoon**
 - River discharge
- **Regional ocean temperature**
 - Physiological effects on growth and survival
- **Ocean climate indices**
 - 2.5 year average regional SST
 - Dipole Mode Index
 - AMO, PDO, ENSO

Statistical models

Time series models

- Catch this year is a function of catch last year

Generalized additive models

- Allow non-linear responses

Dynamic linear models

- Allow effects to vary over time

Oct-Mar Catch ~

$s(\text{Oct-June Catch previous year}) +$
 $s(\text{July-Sept Catch 2-years previous}) +$
 $s(\text{covariate})$

Oct-Mar Catch ~

$s(\text{Oct-June Catch previous year}) +$
 $s(\text{July-Sept Catch 2-years previous}) +$
 $\beta(t) \text{ covariate}$

No seasonality
One covariate
No Q3(t-1)

Evaluating model and forecast performance

- Adjusted R^2
- AICc – model fit penalized for number of estimated parameters
- **Leave one out cross-validation LOOCV**
 - Leave out a data point
 - Fit model
 - Predict the left out data
 - Median and mean LOOCV errors

Results

Can we improve catch
forecasts with
environmental data?

What do we mean by “improve”?

Improve over this:

$$\text{catch}(t+1) = a + s(\text{catch}(t)) + e(t)$$

Complexity is costly

Complexity

Observed catch is some function of the true abundance, effort and catchability

$$\text{catch}(t) = f(\text{abundance}(t), \text{effort}(t), \text{catchability}(t))$$

Model effort $\rightarrow \hat{e}(t+1)$

Model catchability $\rightarrow \hat{c}(t+1)$

Model abundance $\rightarrow \hat{a}(t+1)$

Estimate $f()$

We could estimate effort, abundance and catchability to get a forecast

$$\text{catch}(t+1) = \hat{f}(\hat{e}(t+1), \hat{a}(t+1), \hat{c}(t+1))$$

Simple

Observed catch can be described as a function of prior observed catch

$$\text{catch}(t+1) = \text{catch}(t)$$

A little more complicated

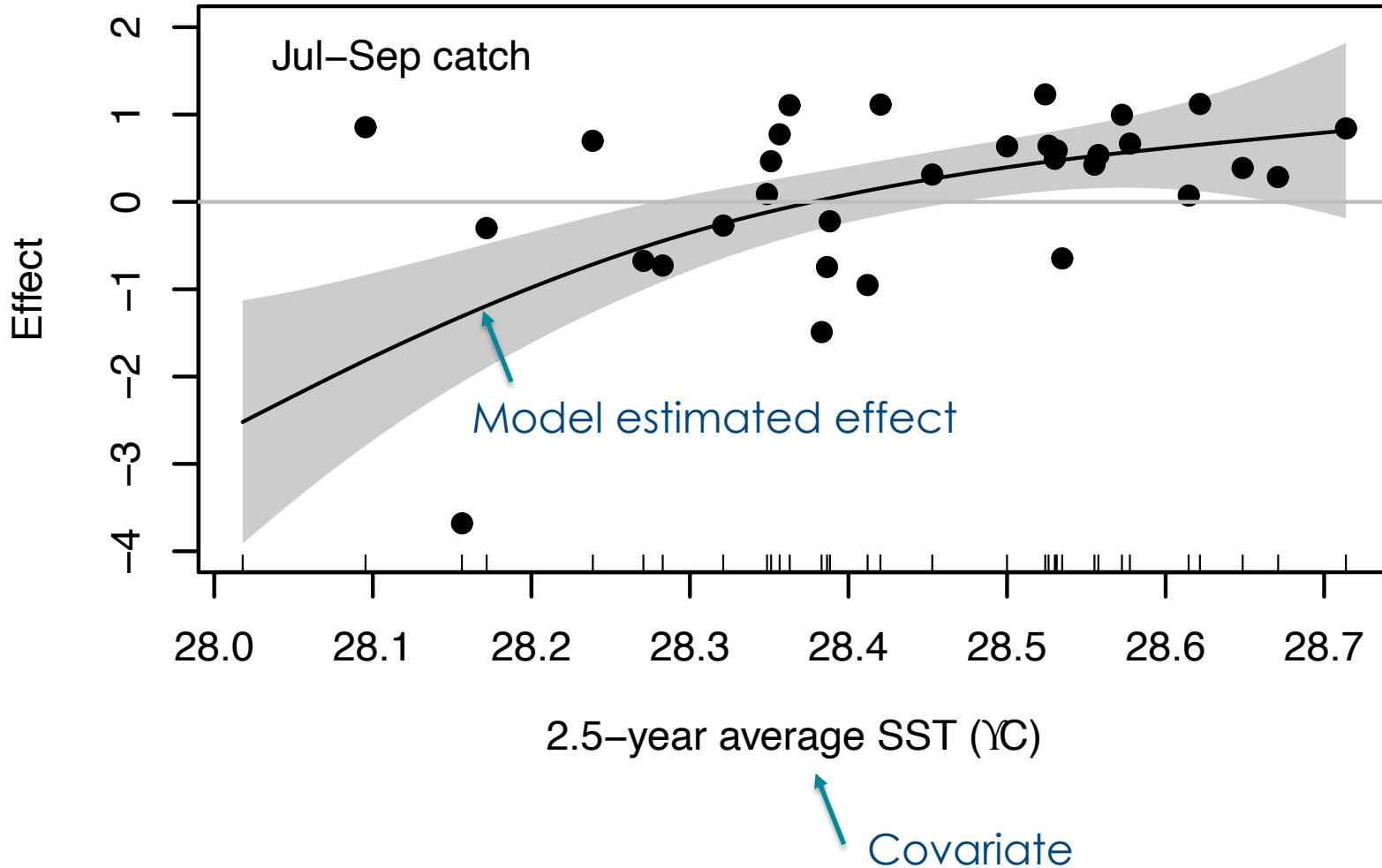
$$\text{catch}(t+1) \approx g(\text{catch}(t))$$

Estimate $g()$

$$\text{catch}(t+1) \approx \hat{g}(\text{catch}(t))$$

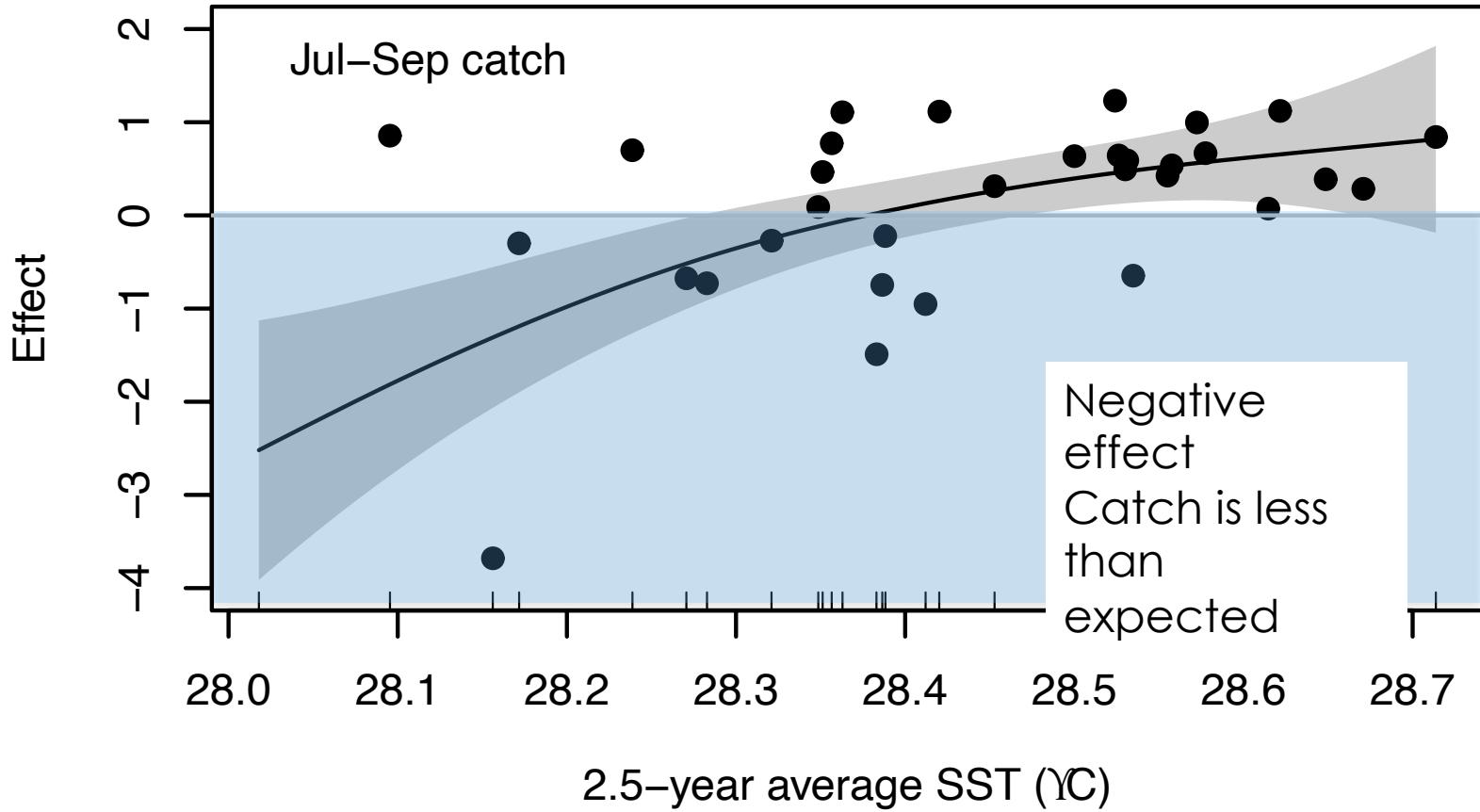
*What covariates
improved forecasts?
How?*

Covariate effects

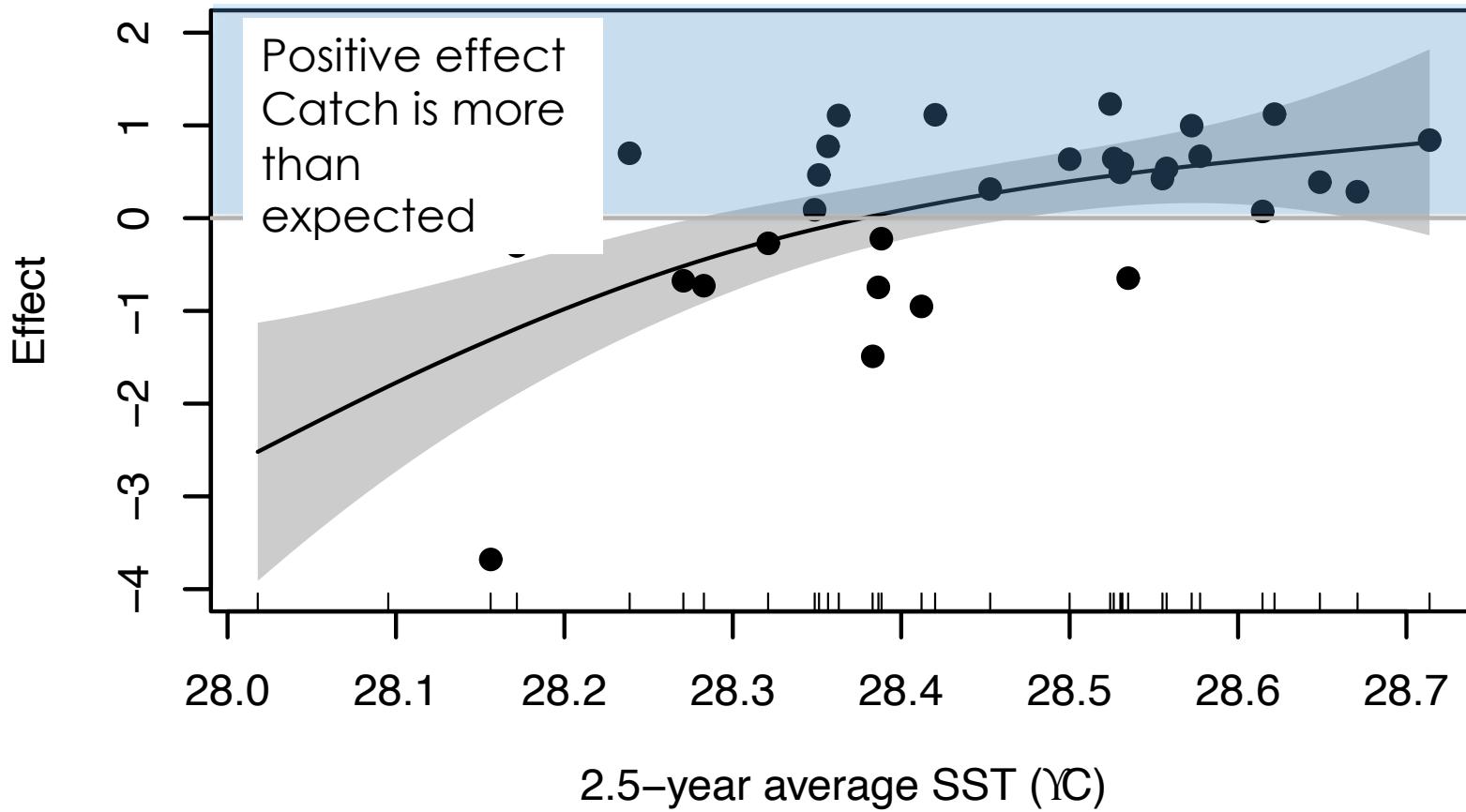


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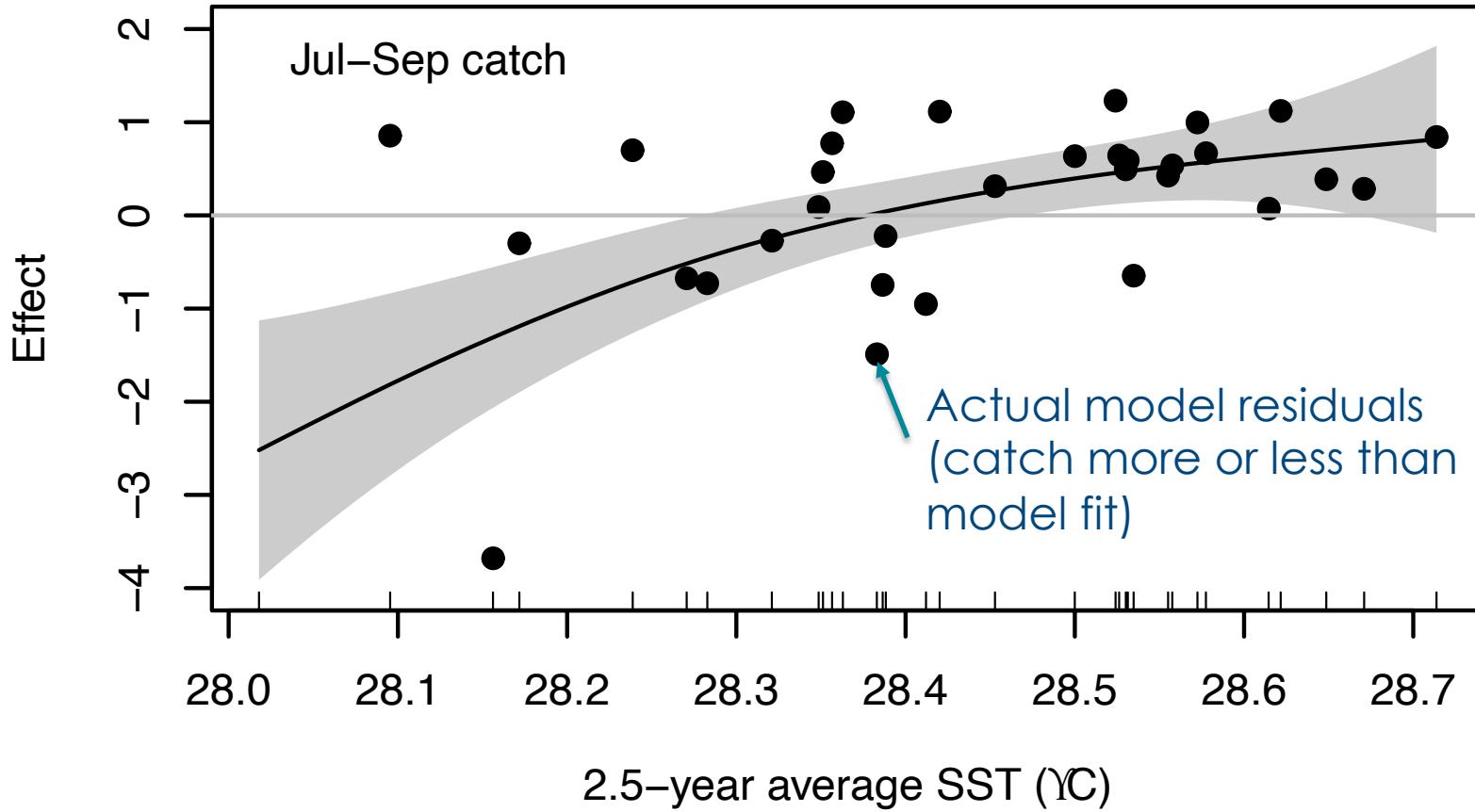
Covariate effects



Covariate effects

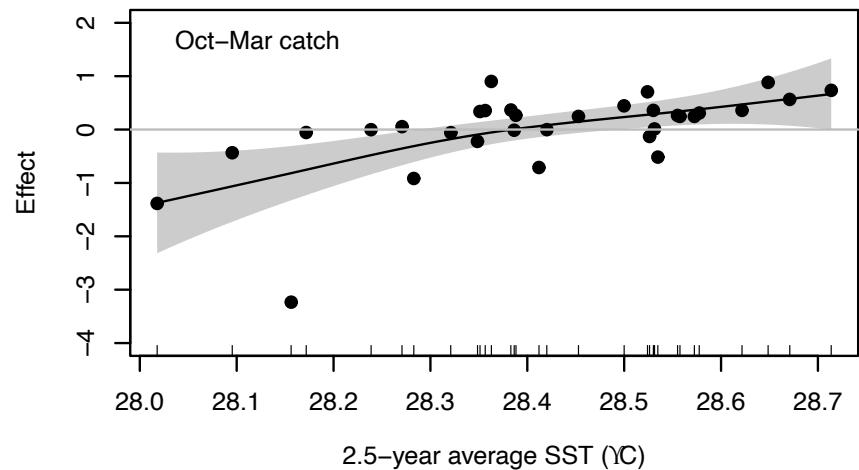
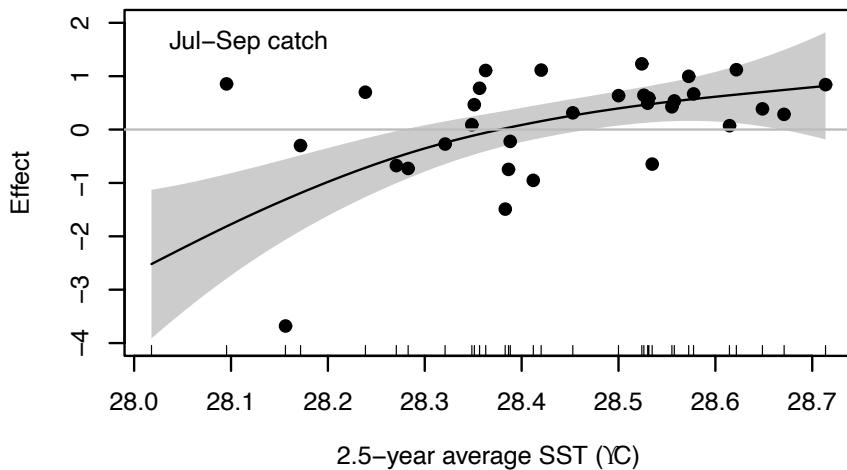


Covariate effects

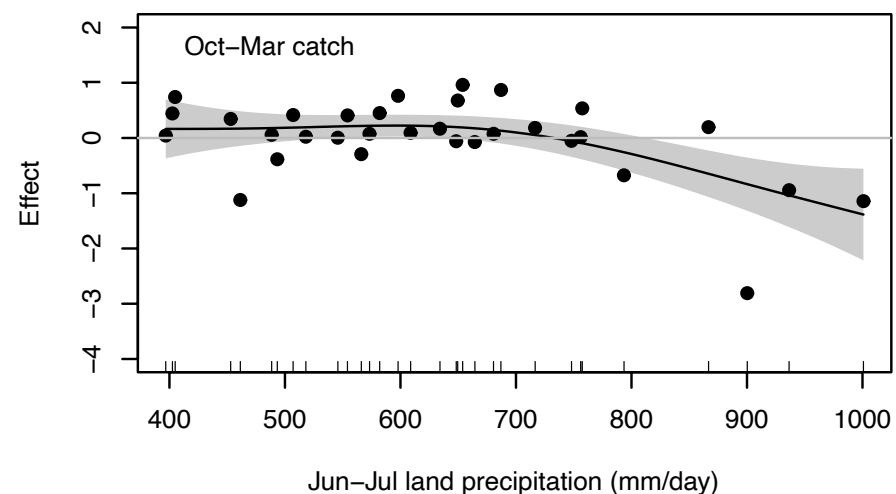
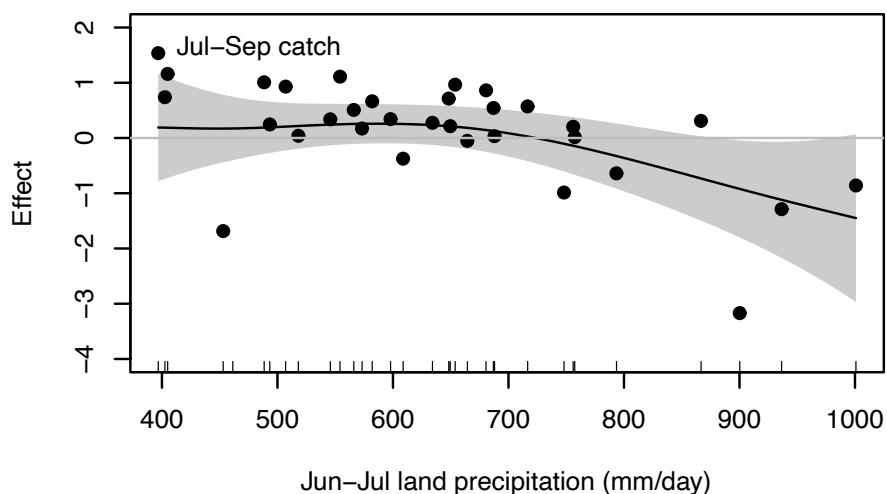


Two covariates were informative

2.5-year average sea surface temperature has a positive effect

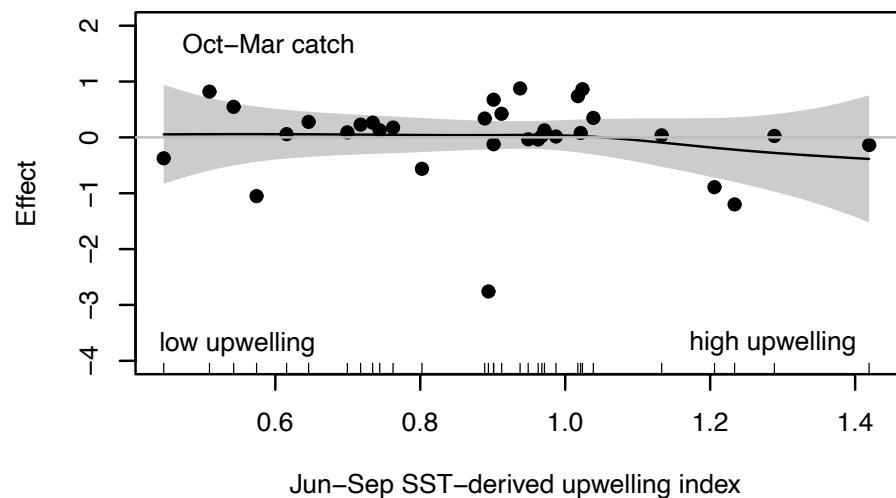
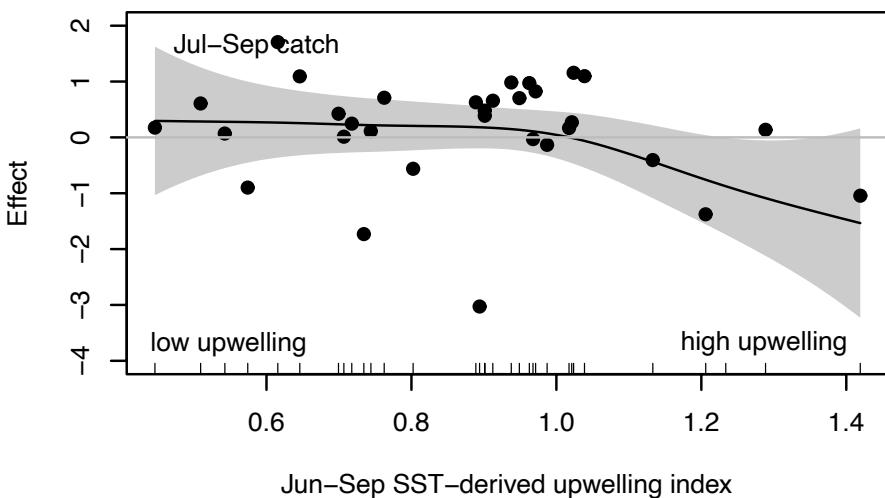


June-July precipitation over LAND the current year appeared as a negative effect on catch later in the year



Upwelling wasn't informative

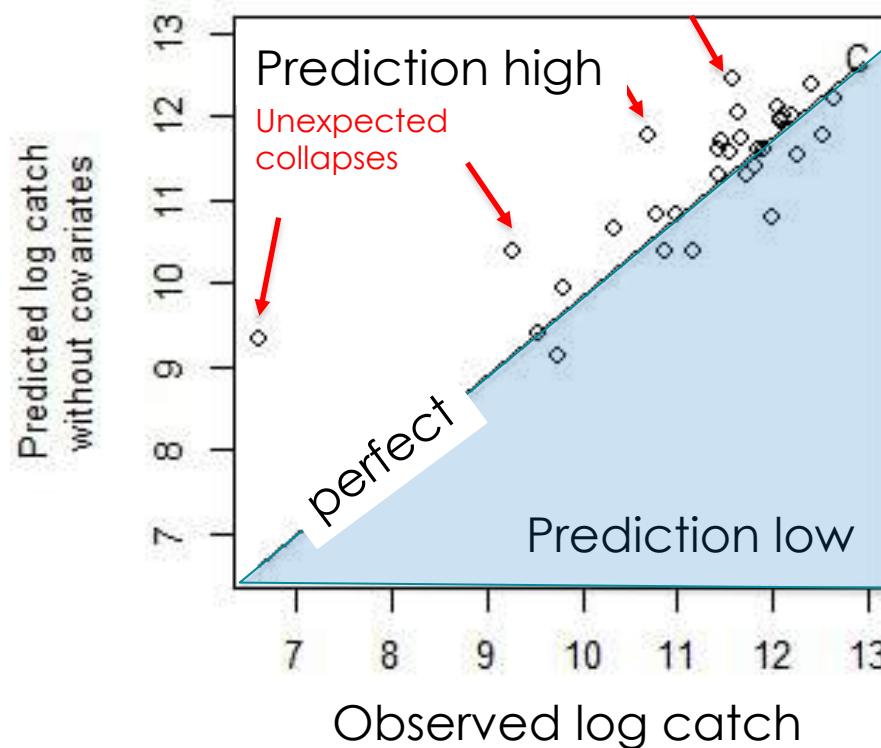
We tried many different indices. All looked roughly flat.



Only effect appeared as a negative effect on the Jul-Sep catch

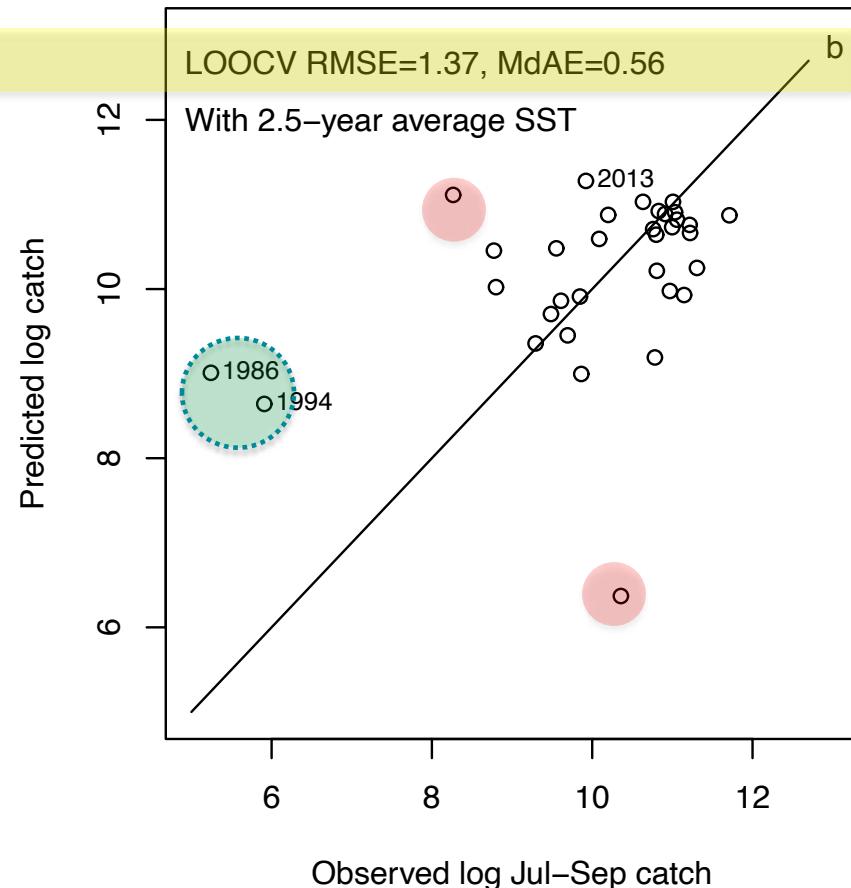
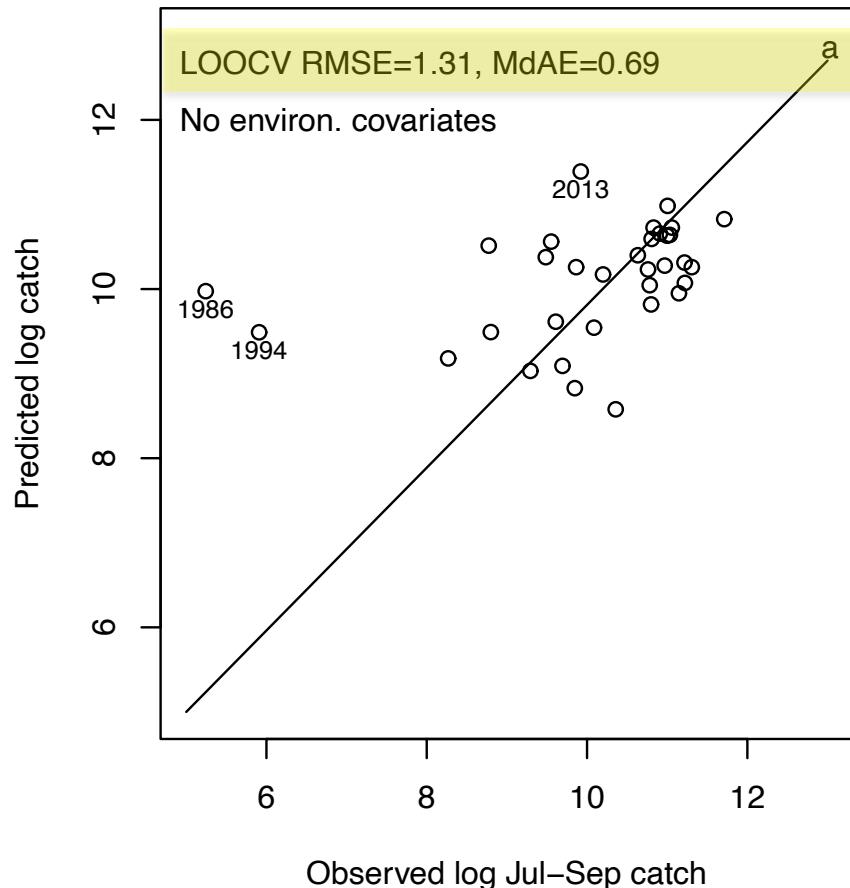
Forecasting the crashes

Comparison of observed to predicted catch



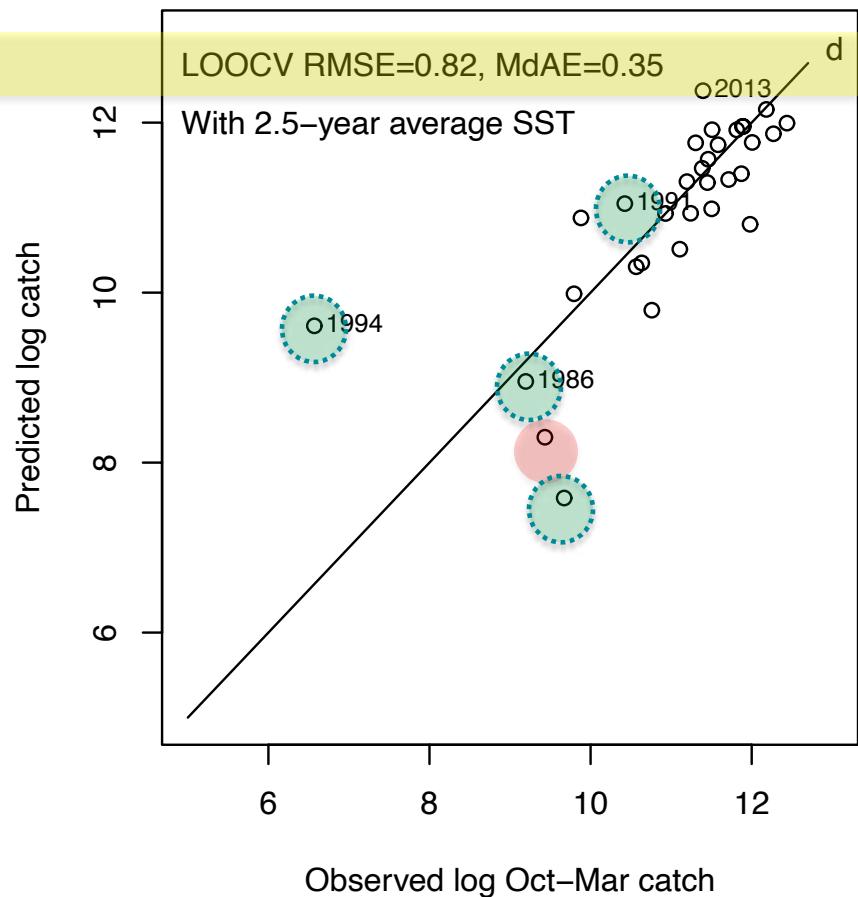
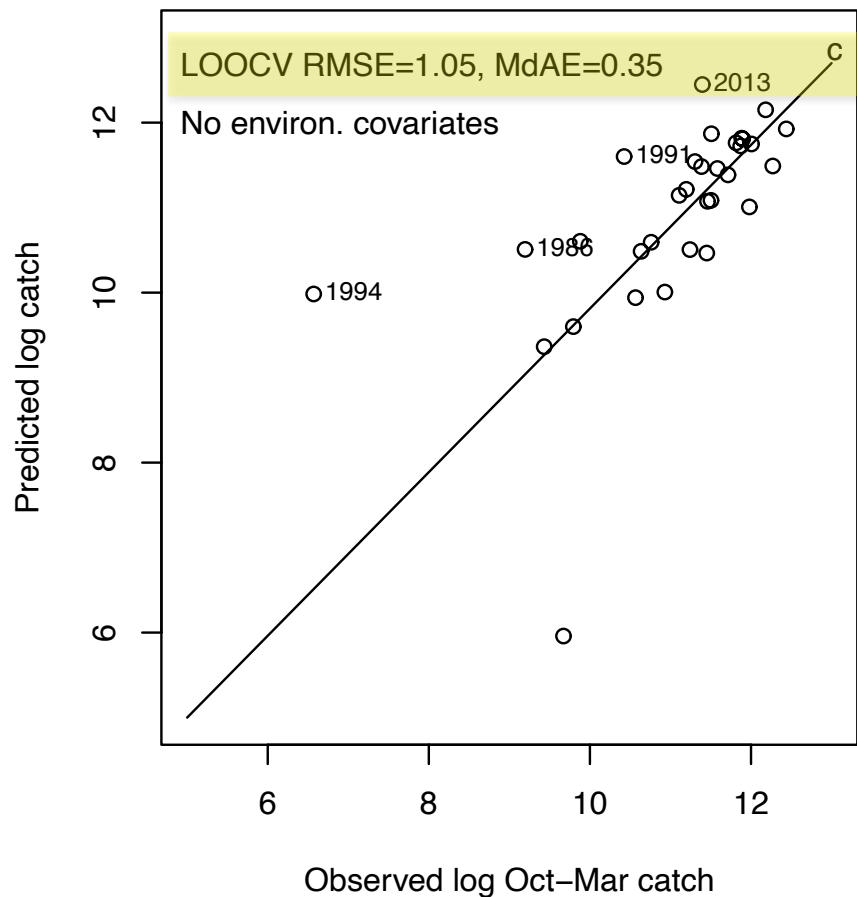
These are one-step-ahead predictions not fitted values

Jul-Sep catch is difficult to predict



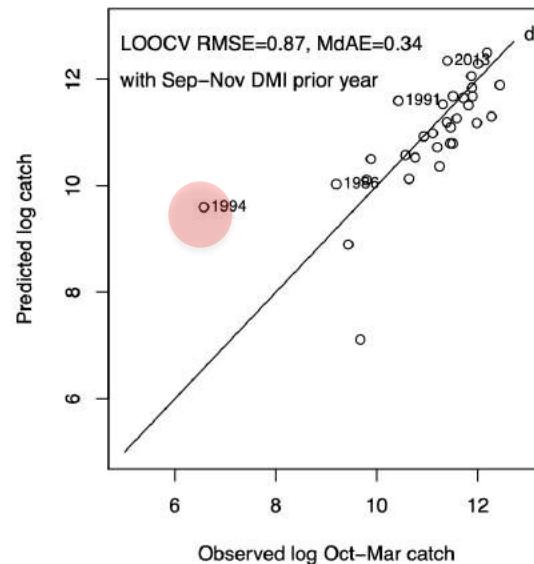
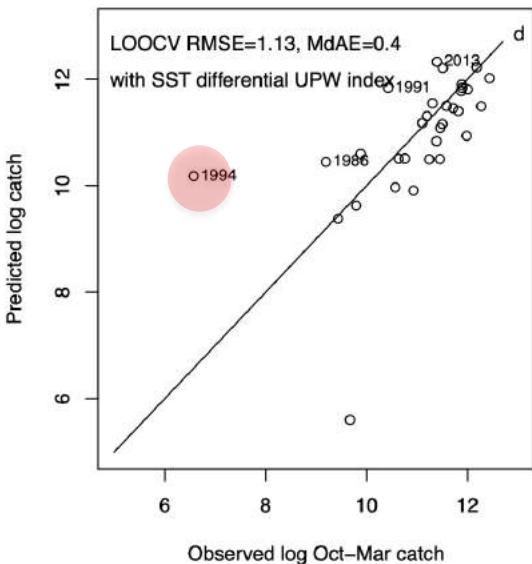
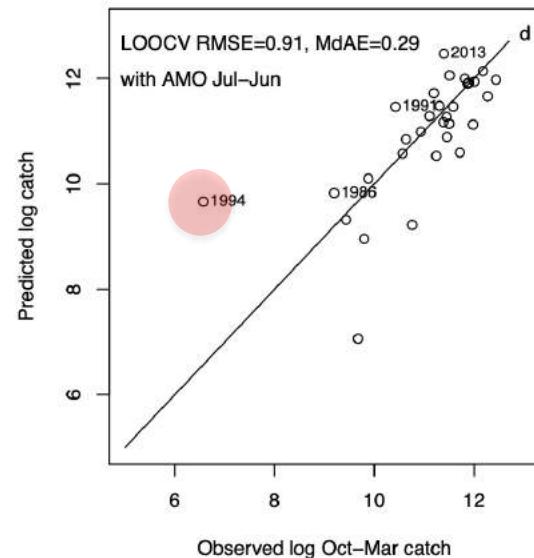
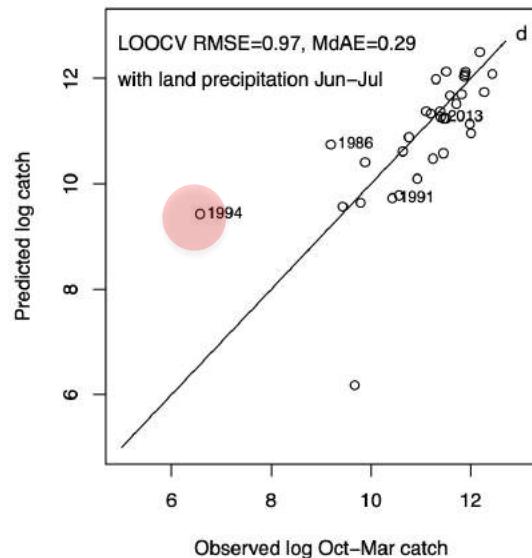
These plots look very similar with precipitation and AMO.

Oct-Mar catch is more predictable



These plots look very similar with precipitation and AMO.

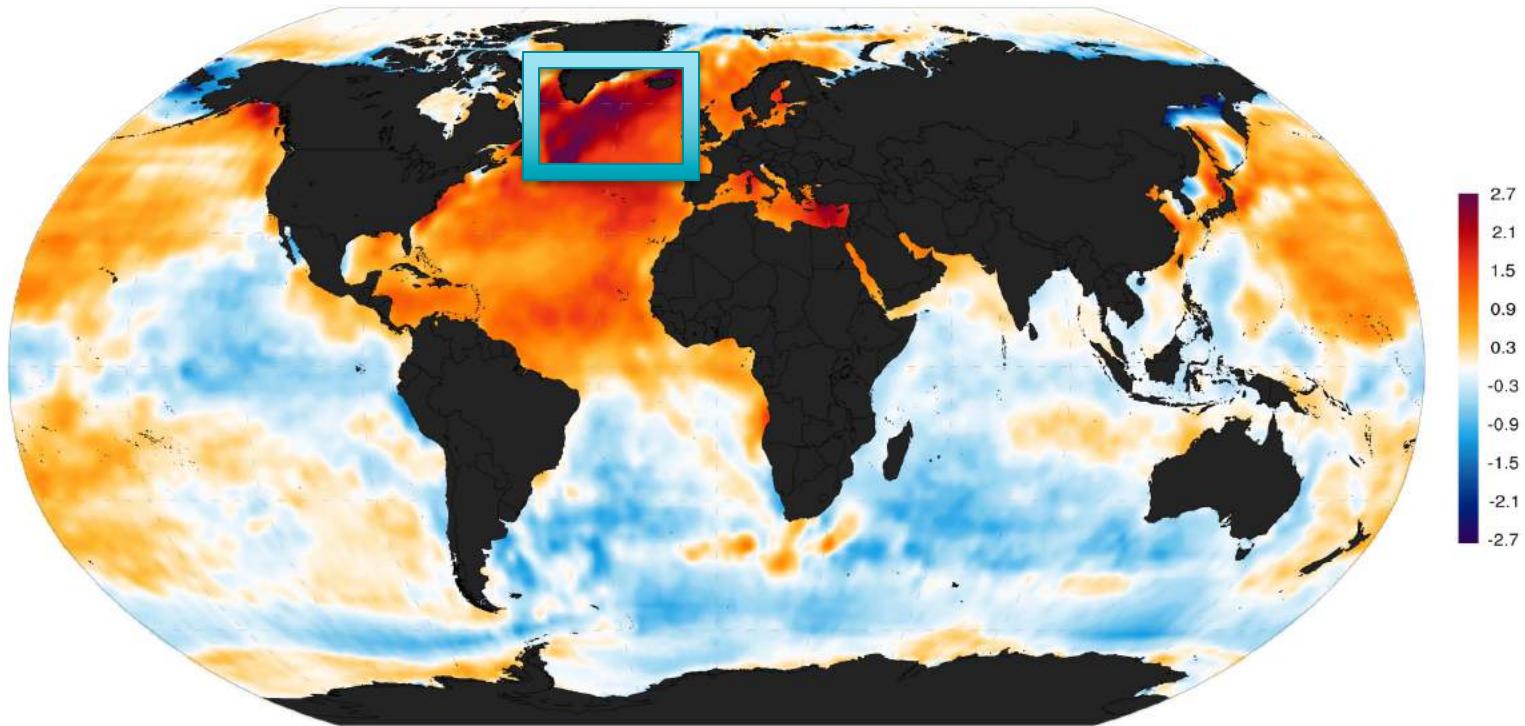
1994 crash was not predicted by any covariate

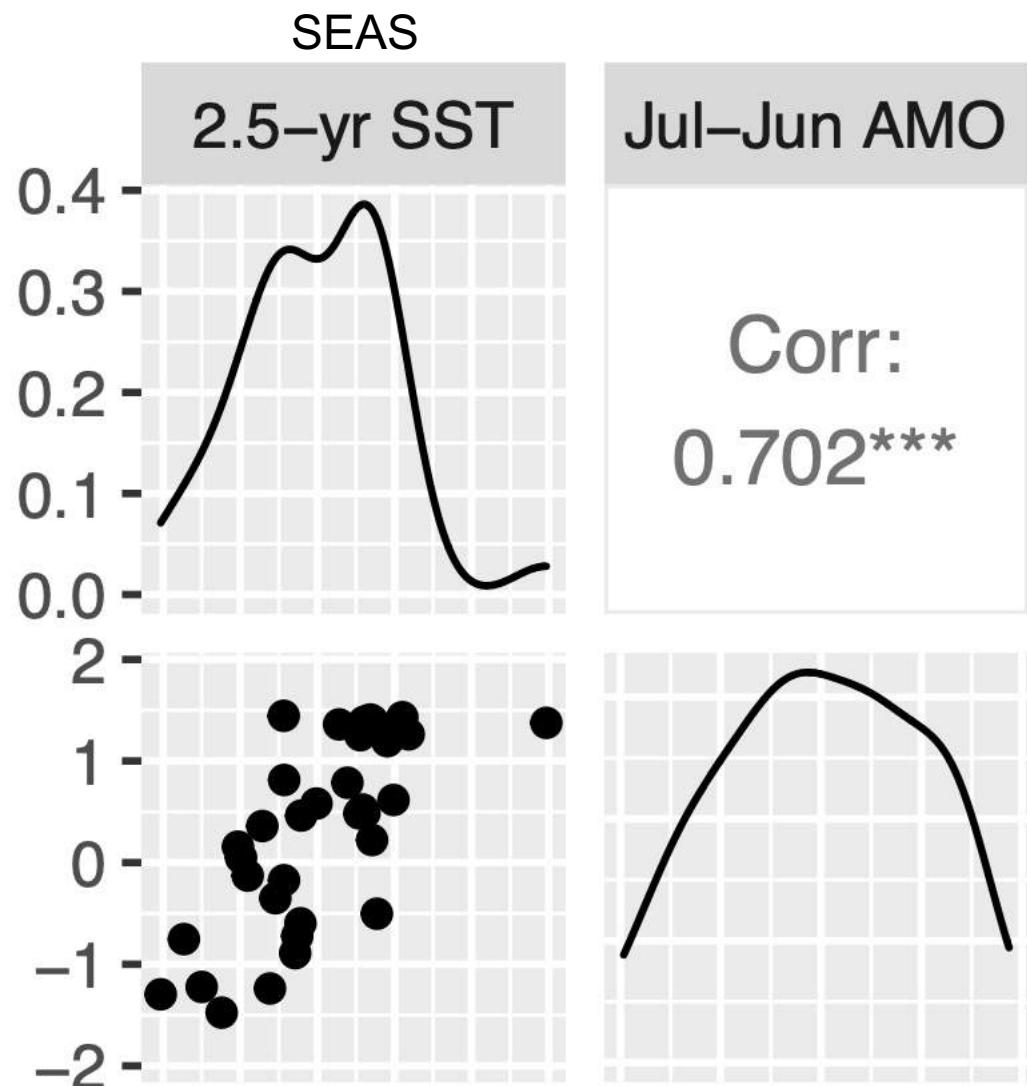
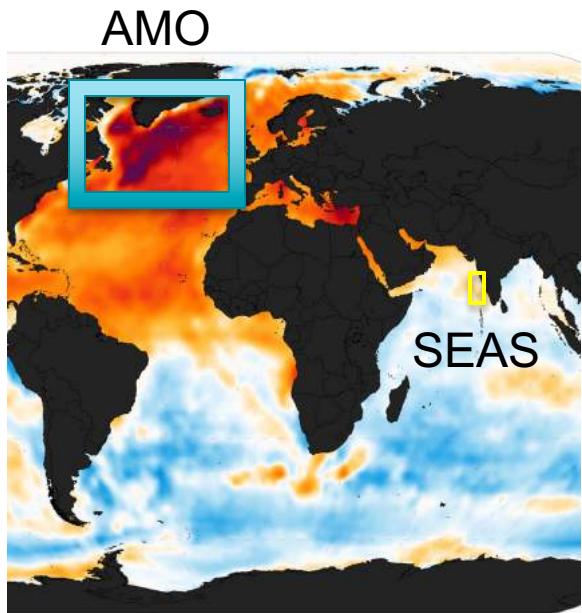


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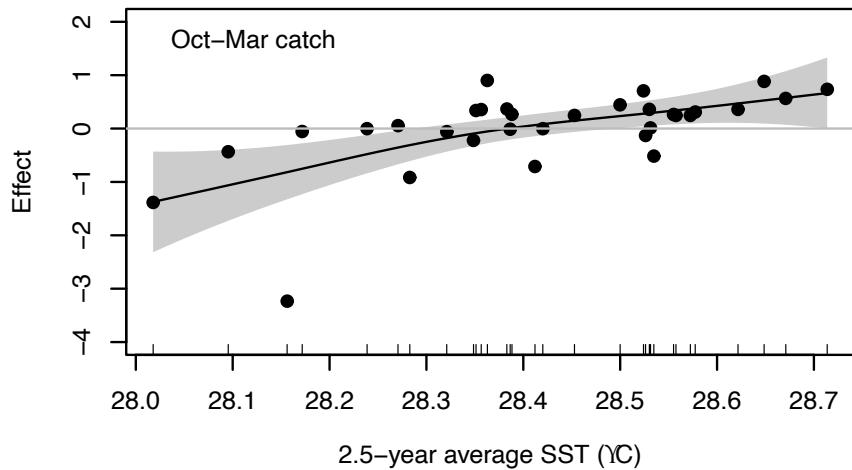
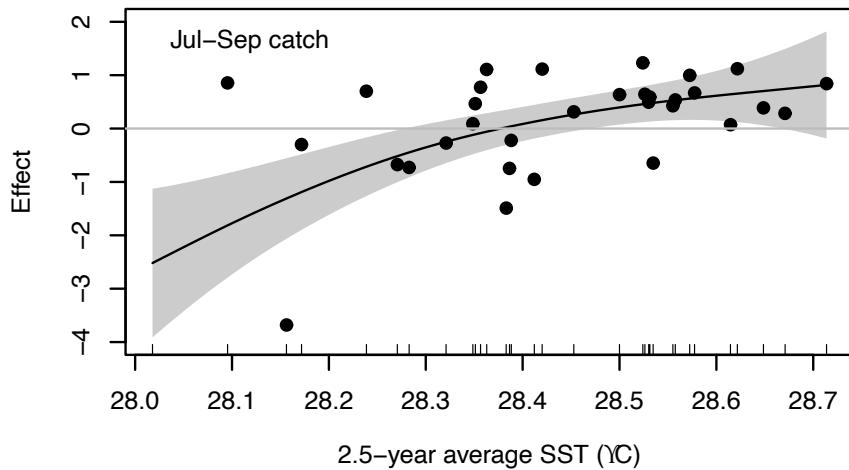
Ocean climate indices

Atlantic multidecadal oscillation index was the only predictive index

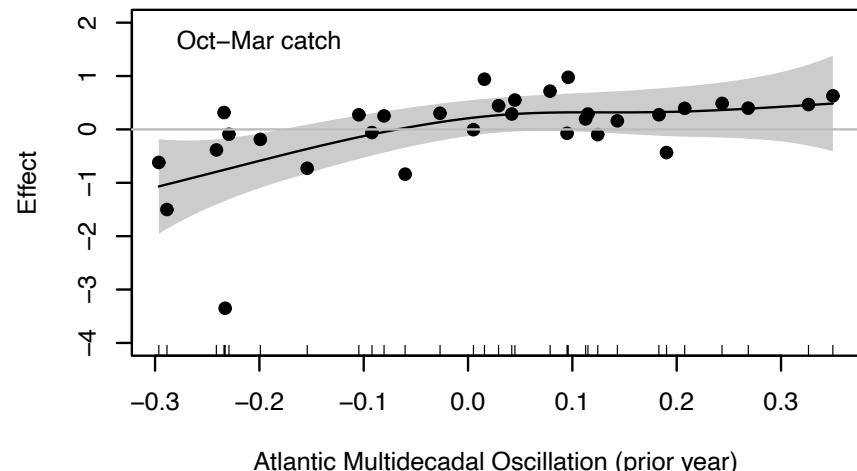
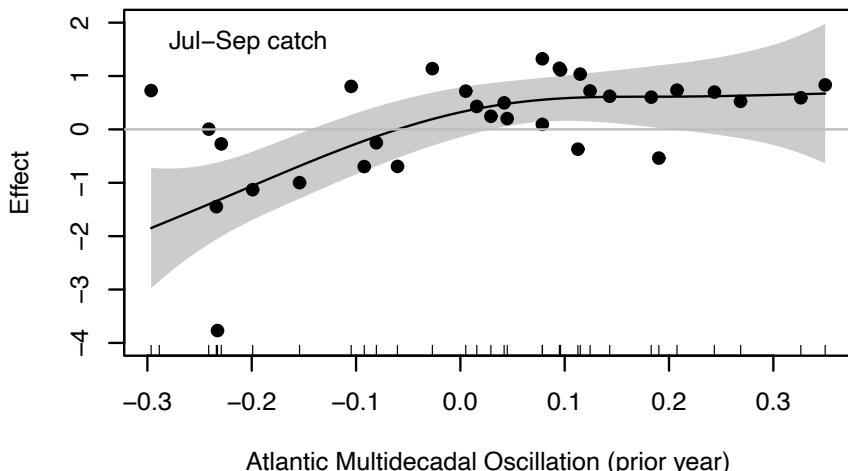




2.5-year average SEAS sea surface temperature



Jun-Jul Atlantic Multidecadal Oscillation index



Summary main results

- **Temper expectations regarding forecasts**
 - Best model improved forecasts by 20% but still had +/- 40% median and 225% mean errors
- **Covariates assoc. with nearshore low oxygen**
 - High monsoon precipitation over land
 - Extremely high seasonal upwelling
 - Fall Dipole Mode Index
- **Conditions over the sardine lifespan**
 - 2.5-year average regional sea surface temperature
 - AMO

Take-home messages



Acknowledgements

Dr. Usha Varanasi provided the vision and perseverance in initiating and sustaining the collaboration.

The Indian National Centre for Ocean Information Services (INCOIS) and Centre for Marine Living Resources and Ecology (CMLRE) hosted the meetings.

Dr. S.S.C Shenoi (INCOIS), **Dr. M. Sudhakar** (CMLRE) and **Dr. N. Saravanane** (CMRLE) provided key support (and hospitality). **Dr. Ned Cyr** and **Ed Gorecki** (NOAA) led the NMFS International exchange programs during the study and facilitated the support and the international agreements.

We also thank the many INCOIS, CMLRE, and CMFRI scientists who participated in the research visits and who helped us understand the biology, fishery and fishery data and gave input into the analyses.

The sardine landings data have been collected and analyzed by the Central Marine Fisheries Research Institute (Kochi) since the 1950s. We acknowledge the many researchers involved in this long-term data set.

The **NOAA Fisheries** and the **Indian Ministry of Earth Sciences** jointly provided the financial support for the research.

Final team leads meeting, September 2019

Centre for Marine Living Resources & Ecology, Kochi India



Sourav

Dave

Mark

Eli

Smitha

Vera

