Contents

For	reword	!		page xiii
Pre	eface			XV
Preface Notation PART I INTRODUCTION AND PREREQUISITES 1 Sound Propagation through the Stochastic Ocean 1.1 Introduction and Historical Background 1.1.1 Ocean Dynamics and Fluctuations 1.1.2 Theory of Sound Propagation 1.2 Three Decades of Development: Observations 1.2.1 Deep Water 1.2.2 Emergence of Shallow-Water Acoustics 1.2.3 Ocean Sound-Speed Spectrum 1.3 Three Decades of Development: Theory 1.3.1 Ray Theory 1.3.2 Weak Fluctuation Theory 1.3.3 Path Integral Theory 1.3.4 Coupled-Mode Transport Theory 1.4 Where We Stand 1.5 Utilizing this Book 2 Acoustical Prerequisites 2.1 Introduction 2.2 Fundamental Equations of Hydrodynamics 2.2.1 Parabolic Wave Equation 2.3 Character of the Oceanic Acoustic Waveguide 2.3.1 Canonical Sound-Speed Profiles		xix		
	PAF	RT I IN	TRODUCTION AND PREREQUISITES	
1	Sou	nd Proj	pagation through the Stochastic Ocean	3
	1.1	Introdu	uction and Historical Background	3
		1.1.1	Ocean Dynamics and Fluctuations	6
		1.1.2	Theory of Sound Propagation	12
	1.2	Three	Decades of Development: Observations	14
		1.2.1	Deep Water	14
		1.2.2	Emergence of Shallow-Water Acoustics	21
		1.2.3	Ocean Sound-Speed Spectrum	24
	1.3	Three	Decades of Development: Theory	25
		1.3.1	Ray Theory	28
		1.3.2	Weak Fluctuation Theory	30
		1.3.3	Path Integral Theory	32
		1.3.4	Coupled-Mode Transport Theory	34
	1.4	Where	e We Stand	36
	1.5	Utilizing this Book		37
2	Acoustical Prerequisites			
	2.1	Introduction		40
	2.2	Funda	mental Equations of Hydrodynamics	40
		2.2.1	Parabolic Wave Equation	42
	2.3	Charac	cter of the Oceanic Acoustic Waveguide	43
		2.3.1	Canonical Sound-Speed Profiles	45
		2.3.2	Sound-Speed Fluctuations due to Internal Waves	47

viii Contents

		2.3.3	Example Profiles	47
		2.3.4	Attenuation of Sound	49
	2.4	Rays		52
		2.4.1	Physical Picture and Basic Equations	52
		2.4.2	Ray Theory: Asymptotic Analysis	55
		2.4.3	Ray Amplitude and Stability	56
		2.4.4	Ray Chaos: Introduction	59
		2.4.5	Ehrenfest Theorem	61
		2.4.6	Rays in a Range-Independent Ocean	63
	2.5	Fresne	el Zones and Ray Tubes	70
	2.6	Born a	and Rytov Approximations	75
		2.6.1	Relation to Amplitude and Phase	77
		2.6.2	Relationship between Born and Rytov Solutions	77
	2.7	Path I	ntegrals	78
		2.7.1	Variational Approach to Ray Propagation	78
		2.7.2	Path Integrals: A Qualitative Discussion	80
		2.7.3	Formulation of the Path Integral	80
		2.7.4	Solution of the Parabolic Equation as a Path Integral	81
	2.8	Norma	al Modes	82
		2.8.1	Coupled Mode Equations	83
		2.8.2	Adiabatic Theory	86
		2.8.3	Vertical Modes, Horizontal Rays	87
		2.8.4	Modes in a Range-Independent Ocean	88
	Appe	endix A	Green's Functions and the Fresnel Zone	100
	Appe	endix B	WKB Modes	102
3	Stoc	chastic	Ocean Internal Waves	104
	3.1	Introd	uction	104
	3.2	Funda	mental Equations of Hydrodynamics	108
		3.2.1	Ray Theory: Local Plane Waves	109
		3.2.2	Modal Solutions	113
		3.2.3	WKB Analysis	115
	3.3	Garret	tt-Munk Internal-Wave Model	118
		3.3.1	Other Useful Forms of the GM Spectrum	121
		3.3.2	Maximum Internal-Wave Mode Number	123
		3.3.3	Internal-Wave Correlation Scales	124
		3.3.4	Modifications to GM	126
	3.4	3.4 Observations		
		3.4.1	Deep Ocean: Mid-Latitude	128
		3.4.2	Seamounts, Slopes, and Canyons	131
		3.4.3	Continental Shelves	131

Contents	ix
Comenis	171

		3.4.4 A ₁	rctic and High Latitude	133
			quator	134
	3.5		rces of Stochastic Sound-Speed Structure	135
	0.0		pice	136
			ortical Motions	139
	Appe		Internal-Wave Model with an Exponential Correlation	10)
	F F	Function		139
	Appe		nte Carlo Simulation	141
4	Intr	oduction t	o Acoustic Fluctuations	144
	4.1	Origin of	Phase and Amplitude Fluctuations	144
		4.1.1 W	eak Fluctuations	145
		4.1.2 St	rong Fluctuations: Wave Front Folding and Interference	145
		4.1.3 Ra	ay Micro-multipath	147
		4.1.4 A	Simple Model of Microray Interference	153
		4.1.5 M	odal-multipath: Mode Coupling	156
	4.2	Acoustic S	Sensitivity to Internal Waves	157
		4.2.1 Ra	ay/Internal Wave Resonance and Diffraction	159
		4.2.2 Ra	ay Chaos	165
		4.2.3 M	ode Coupling	167
	4.3	Propagation	on Regimes and Signal Behavior	169
		4.3.1 De	efinition of Φ and Λ: Ray Propagation	170
		4.3.2 Ac	coustic Behavior in Different $\Lambda - \Phi$ Regimes	175
		4.3.3 Co	pherence Functions	181
	PAF	RT II WAV	E PROPAGATION THEORIES	
5	Ray	Theory		187
	5.1	Introduction	on	187
	5.2	Fundamen	ntal Equations: Displacement and Current	189
	5.3	Travel-Tir	ne Variance	192
		5.3.1 Ra	ay-Tangent Approximation	195
		5.3.2 Ac	ccuracy of Ray-Tangent Approximation	198
			bservations	200
	5.4	Other Ray	r-like Observables	202
		5.4.1 Ra	ay-Angle Variance	202
		5.4.2 Ra	ay-Intensity Variance	204
	5.5	Scattering Along and Across the Wave Front		
	5.6	Ray Chao	S	208
		5.6.1 No	onlinear Response to Forcing	209
		5.6.2 Fe	eatures of Chaos and KAM Theory	212
		5.6.3 Le	evels of Randomness: Ray Statistics	215

x Contents

	5.7	The α	Parameter	216
		5.7.1	Action Angle Variables Revisited	217
		5.7.2	Ray Stability	219
		5.7.3	Travel-Time Stability	221
	5.8	Travel	l-Time Statistics: Random Walk Model	222
		5.8.1	Eigenray Constrained Model	224
		5.8.2	Unconstrained Model	225
	5.9	Ray C	Chaos in Observations	226
		5.9.1	Nature of Chaotic Rays and Time Fronts	226
		5.9.2	Acoustic Field Statistics	231
	5.10	Wave	Chaos	235
	5.11	Summ	nary	237
	Appe	ndix A	Calculation of $dz/d\theta$ in a Waveguide	239
6	Wea		tuation Theory	241
	6.1	Introd	uction	241
	6.2	Spectr	ra of Phase and Log-Amplitude: No Waveguide	242
		6.2.1	Incident Plane Wave	242
		6.2.2	Point Source	246
	6.3	-	ra of Phase and Log-Amplitude: Ocean Waveguide	247
		6.3.1	Local Straight Ray Approximation	247
		6.3.2	2 11	248
		6.3.3	Depth/Time Observation Plane	252
			Variances of Phase and Log-Amplitude	253
		6.3.5	An Example Calculation	254
	6.4	$\Lambda - \Phi$	Revisited	256
		6.4.1	Spectral Average, $\{m^2\}$	257
		6.4.2	Fresnel Zone	257
		6.4.3	Border of the Unsaturated Regime	259
	6.5	Obser	vations	260
		6.5.1	Cobb Seamount and MATE	261
		6.5.2	AFAR	265
			AATE	267
		6.5.4	AET-87	268
	6.6	Summ	nary	270
7		th Integral Theory		
	7.1	Introduction		271
	7.2	Path I	ntegral Theory	273
		7.2.1	A Simple Example	275
		7.2.2	A Note on the PE Approximation	276
	7.3	Mean	Pressure	277

Contents	X	j

7.4	Mutua	l Coherence Functions: Space and Time Separations	278
	7.4.1	A Broadband Microray Coherence Theory	281
	7.4.2	Evaluation of Phase Structure Function	282
	7.4.3	Depth Separations	284
	7.4.4	Time Separations	285
	7.4.5	Horizontal Separations	286
	7.4.6	Relation to Signals with Multiple Deterministic Paths	287
	7.4.7	Observations: Single Path	288
	7.4.8	Observations: Multiple Paths, Long Range, and Shallow Water	292
	7.4.9	Internal-Wave Tomography	300
7.5	Mutua	l Coherence Functions: Frequency Separations	303
	7.5.1	Evaluation of $Q(\Delta q)$	307
	7.5.2	Observations	310
7.6	Time-l	Lagged Intensity Covariance	312
	7.6.1	Observations	313
7.7	Intensi	ity Coherence and Spectra	314
	7.7.1	Separations in Time	318
	7.7.2	Separations in Depth	320
	7.7.3	Separations in Frequency	321
	7.7.4	Observations	321
7.8	Intensi	ity Moments: Microray Focusing Parameter	323
	7.8.1	Observations	328
7.9	Summ	ary	329
Appe	endix A	Path Integrals as Products of Delta Functions	329
Appe	endix B	Solution of the Gaussian Path Integral for Frequency	
	Correl	ations	330
Appe	endix C	GM Spectral Averages for Path Integral Equations	331
Mod	le Tran	sport Theory	333
8.1	Introdu	uction	333
8.2	Solution	ons to the Coupled Mode Equation	335
	8.2.1	Adiabatic Solution	338
8.3	Coupli	ing Matrix Correlation Function	338
	8.3.1	Scattering Matrix	340
8.4	Mean	Pressure	341
	8.4.1	Adiabatic Theory	342
	8.4.2	Transport Theory	342
	8.4.3	Solution for Mean Pressure	344
	8.4.4	Connection between Transport and Adiabatic Theory	345
8.5	Mean	Intensity	346
	8.5.1	Adiabatic Theory	346

8

xii Contents

		8.5.2	Transport Theory	347
		8.5.3	Mode Energy	349
		8.5.4	Two-Mode Example	352
		8.5.5	Hybrid Theory	354
		8.5.6	Monte Carlo Validation	355
		8.5.7	Observations	360
	8.6	Mutua	l Coherence Functions: Space and Time Separations	365
		8.6.1	Depth Separations	368
		8.6.2	Temporal Separations	371
		8.6.3	Transverse Separations	373
		8.6.4	Observations	376
	8.7	Mutua	l Coherence Function: Frequency Separations	382
		8.7.1	Adiabatic Approach	384
		8.7.2	Effects of Coupling	385
	8.8	Intensi	ity Variance	387
		8.8.1	Adiabatic Approach and Hybrid Theory	390
		8.8.2	Transport Theory	391
		8.8.3	Asymptotic Behavior	391
		8.8.4	Two-Mode Example	394
		8.8.5	Observations	397
	8.9	Summ	ary	399
	Appendix A Integrals Related to Standard Scattering Matrices			399
	Appendix B Integrals Related to MCFs with Temporal and Transverse Lags			400
Appendix C Random Surface Gravity Waves				401
Refe	rence	S		403
Inde	Index 4			