



Using the NHS Interoperability Toolkit

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About This Book

This book explains how to use the National Health Service (NHS) Interoperability Toolkit (ITK). The toolkit is a set of standards and frameworks for making IT systems interoperable with the English NHS. It provides services that prescribe both how the applications talk to each other and what they communicate.

This book contains the following chapters:

- [Overview](#)
- [Setting Up the ITK Switchboard](#)
- [Using the ITK Adapter Kit](#)

For a detailed outline, see the [table of contents](#).

The following books provide related information:

- *[Best Practices for Creating Productions](#)* describes best practices for organizing and developing productions.
- *[Developing Productions](#)* explains how to perform the development tasks related to creating a production.
- *[Configuring Productions](#)* describes how to configure the settings for productions, business hosts, and adapters. It provides details on settings not discussed in this book.

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Overview

The NHS Interoperability Toolkit provides a platform for sharing innovation, enabling Trusts to solve problems with new applications that can be reused across the NHS. By working with InterSystems, Trusts are able to achieve a quick and simple path to ITK adoption. In September 2010, InterSystems received the UK's first fully compliant ITK accreditation from the National Integration Centre (NIC).

The ITK provides NHS end users and application developers with a 'plug and play' solution to fast-track them towards full ITK compliance.

In developing this solution, InterSystems has also been mindful that the ITK is a standards-based initiative that must cover new as well as existing systems. To achieve this, ITK standards need apply to both the applications that interface with end users, as well as the behind the scenes integration middleware that connects them. The InterSystems ITK capability therefore supports both usage scenarios, providing a uniquely holistic approach through two main strands:

- [The ITK Switchboard](#): A data-driven integration solution that allows ITK-compliant systems to interoperate with a minimum of integration work.
- [The ITK Adapter Kit](#): A set of components that provides a fast track to ITK-compliance for both legacy and new applications. Use the Adapter Kit to communicate between ITK-accredited applications and legacy applications.

Support for ITK has proved successful in a number of clinical pilot schemes. Crucially, InterSystems has developed the ITK in anticipation of change. Where possible, the toolkit describes format and protocol standards in registries that are readily updated to accommodate new versions. Therefore, using the InterSystems ITK is not only a highly efficient and effective route towards ITK adoption – it also helps to futureproof against any future evolution of ITK standards.

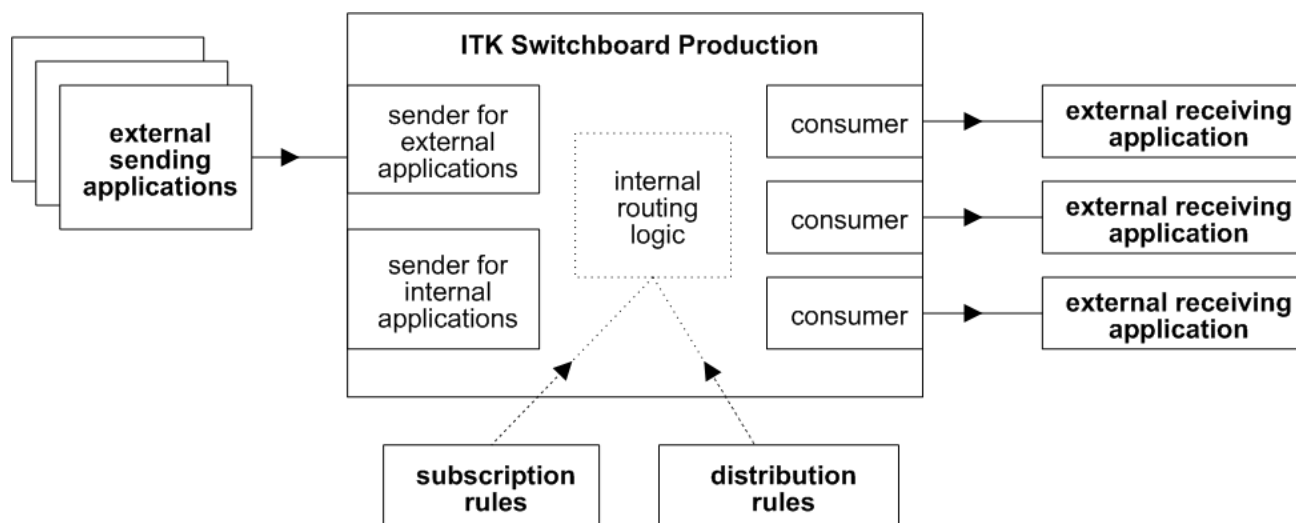
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Setting Up the ITK Switchboard

The ITK Switchboard is a data-driven integration solution that allows ITK-compliant systems to interoperate with a minimum of integration work.

2.1 Overview

The ITK Switchboard is a specialized interoperability production that routes messages. The following figure shows its basic architecture:



The production includes two kinds of business hosts:

- *ITK listeners*. Each listener is a business service that receives SOAP messages from sending applications. Typically the ITK Switchboard contains two listeners: one to handle applications that are external to the production, and another one to handle messages that originate within the production.

Each listener uses a single port and has a single security policy.

- *ITK consumers*. Each consumer is a business operation that sends SOAP messages to one external application.

The ITK Switchboard uses the generic term *systems* or *applications* to refer collectively to external systems. When you configure the ITK Switchboard, you declare all systems that the Switchboard will use. Note that some systems can both

send and receive messages from the switchboard. This means that, for some systems, the production contains both a corresponding listener and a corresponding consumer.

The ITK Switchboard production provides internal, data-driven logic to route messages; the production does not use a business host for this purpose. The routing logic uses the subscription and distribution rules that you define when you configure the Switchboard.

The listeners and consumers communicate via SOAP with the external systems as follows:

- The SOAP messages follow the Web Services-Addressing (WS-Addressing) and Web Service-Security (WS-Security) standards.

WS-Addressing header elements indicate the UUID of the message, the corresponding SOAP action, the sender of the message, and confirmation of the designated recipient of the message.

WS-Security header elements include a signed timestamp (with expiry). The Switchboard can then use the public key of the sending application to ensure that the message is genuine. It is also possible to sign and encrypt the entire SOAP body.

SSL/TLS is also available.

- The SOAP body can have any content. The content is matched against the SOAP action.

You configure the Switchboard to expect specific SOAP actions in specific contexts. The Switchboard uses the following system to handle the wide variety of messages that it routes:

- The Switchboard provides a set of *services* that match the UK NHS CfH ITK Services. This book uses the phrase *ITK service*, to distinguish these from web services and from business services.

Each ITK service corresponds to a SOAP action.

Each ITK service has a short service name, for use within the Switchboard. It also has a behavior type, which indicates what behavior is expected in response to the inbound request. Also, an ITK service uses specific request and response message classes.

- When you configure listeners, you indicate the ITK services that each listener will use.
- When you configure consumers, you indicate the ITK services that each consumer will use.
- When you define subscription rules and distribution rules, you indicate the ITK services used in each rule.

For additional details, see the classes in the `EnsLib.ITK` packages of the class reference.

2.2 Getting Started



To start to create the ITK Switchboard production:

1. Use the Management Portal to create a new interoperability production enabled namespace.
2. Access the following web page:

`http://localhost:port-number/csp/itk-namespace/EnsLib.ITK.Setup.UI.RegistryViewer.cls`

Where *localhost* is the server on which ITK is running, *port-number* is the web server port that, and *itk-namespace* is the namespace that you created.

This page is a specialized version of the standard production configuration page. Two buttons at the top let you control whether you are viewing senders or consumers:

	Click this to view systems, senders, and listeners.
	Click this to view consumers, subscription rules, and distribution rules.

3. Click **New**.
4. Specify the following information:
 - **Package Name** — Package to contain the production class.
 - **Production Name** — Name of the production class.
 - **Production Description** — Optional description of the production.
5. Click **OK**.

2.3 Defining ITK Services

As noted earlier, the Switchboard provides a set of ITK services that match the UK NHS CfH ITK Services. Obtain the configuration data for these services from the [InterSystems Worldwide Response Center \(WRC\)](#) and load it as directed.

Normally you would not edit this data; if you do, your solution might no longer be ITK-compliant. If you do edit this data, specify the following details for each ITK service:

Service Name

Required. Unique, internal name of the ITK service, usually a short name. You may find it convenient to use a short form of the SOAP action. For example: `ConfirmMessageReceipt-v1-0`

SOAP Action

Required. Unique SOAP action. For example: `urn:nhs-itk:201005:ConfirmMessageReceipt-v1-0`

Behavior Type

Required. Select one of the following:

- **Request-Response** — The sender sends a request and receives a response that contains the requested information.
The response can be synchronous or asynchronous.
- **Post** — The sender sends a request and receives a simple OK response. The sender is essentially treating this as a fire and forget operation. Note the sender may be a sending application into the switchboard or the switchboard itself sending a message to a consuming application.
- **Acknowledged** — Similar to **Post**, but includes asynchronous technical and business acknowledgements.
- **Queue Collection** — The sender sends the messages to a queue and the consumer picks up the messages later rather than in real time. This behavior type is useful for a consumer associated with an application that has intermittent network connectivity. An example is applications running on handheld devices.
- **Fault Handler** — A service used to receive asynchronous faults.

- **Asynch Response Handler** — A service used to receive asynchronous responses.

The Switchboard automatically mediates the handling of synchronous and asynchronous communications.

Request Class

Do not change the value for this.

Response Class

Do not change the value for this.

Web Client Class



Do not change the value for this.

Web Service Class

Do not change the value for this.

2.4 Defining Systems

To define each system, display the [basic Switchboard production](#) and then do the following:

1.  Click the View Senders button  .
2. Click the plus sign + next to **Systems**.
3. Specify the following details:

ApplicationName

Required. Unique name of the system.

Each system can have an associated consumer, a listener or both. See below for information on choosing suitable names in these cases.

ApplicationRouter

Specify the name of a business rule that contains the rules that map the content of the message to consumers for content-based routing.

4. Click **OK**.

2.4.1 System Names for Consumers

If the system represents a consumer, the [reconciliation process](#) examines the routing rules and creates the needed business operation for that system. The name of the system becomes the name of a business operation.

For pure consumers, the name of the system is not that important and is only used internally. However, note that if the same system uses the queue collection facility (that is, sends requests to get the messages) or it if responds asynchronously (that is, sends responses) then the system is also a listener; see the next heading.

2.4.2 System Names for Listeners

The ITK Switchboard has a limited amount of business services that vary by port numbers and security configurations. It is common to have one business service for internal systems and another for external systems.

For a listener, the name of the system must match the 'From' value in the SOAP Header. This allows more than one system to send messages into the same business service, while enabling the Switchboard to route the messages easily based on the sending system.

2.5 Defining the Listeners

To define each listener, display the [basic Switchboard production](#) and then do the following:

1.

Click the View Senders button .

2. Click the plus sign + next to **Listeners**.

3. Specify a name for the listener, which is a business service.

4. Click **OK**.

5. Click the circle for a sender that sends to this listener.

With the left mouse down, drag a line from this to the listener. This action displays a dialog box where you can specify configuration details.

6. For each service expected from this sender, do the following:

- a. Select a service from the **Web Service** drop-down list.
- b. Select a value for **Invocation Pattern**, one of the following:
 - **Asynchronous**
 - **Synchronous**
 - **Derived**

This option matters only if the service is a request/response type.

c. Ignore **Validate Payload**.

d. Click **Save**.

7. When you are done specifying services, click **OK**.

2.6 Defining the Consumers

To define consumers, display the [basic Switchboard production](#) and then do the following:

1.

Click the View Consumers button .

2. Click the plus sign + next to **Consumers**.
3. For **ApplicationName**, specify a consumer name.
4. Click **OK**.
Make sure that the new consumer is selected.
5. On the **Settings** tab, expand the **Consumer Settings** section.
6. Click the search button next to **Services**.
7. For each service expected from this sender, do the following:
 - a. Select a service from the **Web Service** drop-down list.
 - b. Select a value for **Invocation Pattern**, one of the following:

- **Asynchronous**
- **Synchronous**

This option matters only if the service is a request/response type.

- c. For **Partial Logical Endpoint**, specify a logical path to append to the base endpoint of the web service used by this consumer. The base endpoint is configured (later) in the [business operation](#).
 - d. For **Partial Physical Endpoint**, specify an optional physical path to append to the base endpoint, which is configured (later) in the [business operation](#).
 - e. Click **Save**.
8. When you are done specifying services, click **OK**.

2.7 Defining Subscription Rules

A *subscription rule* sends all messages for given service from a given sender to a specific consumer.

To define subscription rules, display the [basic Switchboard production](#) and then do the following:

- 1.



Click the View Consumers button .


2. Click the circle for a sender that sends to this consumer.
With the left mouse down, drag a line from this to the consumer. This action displays a dialog box where you can specify configuration details for the subscription rule.
3. Select a [service](#) and click **OK**.

It is an error to set up more than one subscriber for a request/response service from the same application.

2.8 Defining Distribution Rules

A *distribution rule* applies to requests that are wrapped in a distribution envelope, which can contain logical addresses. A distribution rule describes how to dispatch the payload to the systems specified by the addresses.

To define distribution rules, display the [basic Switchboard production](#) and then do the following:

1.  Click the View Consumers button.
2. Select a consumer.
3. On the **Settings** tab, expand the **Consumer Settings** section.
4. Click the search button next to **DistributionRules**.
5. For each distribution rule for this consumer, do the following:
 - a. Select a service from the **Web Service** drop-down list.
 - b. Select a value for **Distribution Mode**, one of the following:
 - **Authoritative**
 - **Non-Authoritative**

This option refers to the mode needed for the distribution rule to be effective. The distribution mode is set by the business service.

Authoritative means that the Switchboard should deliver this service to this component only if we are acting authoritatively. The best way to look at this is to think about the ITK Switchboard at the edge of a data center. We might for example communicate with external systems outside of our data center, but we should only communicate with these external systems when processing a message from one of our internal systems (we call this authoritatively).

In contrast, if we receive a message from one of the external systems we may expect that this external system has already spoken to any other external systems. In such a case, we should not relay the message on (that is, we would not send the message on to these systems when we are acting non-authoritatively).

- c. For **Logical Address**, specify an address inside the distribution envelope of a SOAP message.
 - d. Click **Save**.
6. When you are done specifying rules, click **OK**.

2.9 Reconciling the ITK Switchboard Production

The reconciliation process examines the routing rules and creates any needed business services and business operations.

To reconcile the production, display the production configuration in the Management Portal and click the **Reconcile** button.

2.10 Completing the Configuration of the ITK Switchboard Production

After performing reconciliation, complete the configuration by specifying settings for the [business services](#) and [business operations](#).

2.10.1 Configuring the Business Services (Listeners)

Each listener is an instance of `EnsLib.ITK.Framework.Service.SOAPRequestHandler` and uses the adapter class `EnsLib.SOAP.InboundAdapter`.

For each listener, specify the following settings:

Endpoint URL

Specify the same value that is in the To element in the SOAP header, for SOAP messages received by this business service.

Distribution Mode

This setting applies only when processing address inside an incoming distribution envelope using distribution rules. If the service receives from internal applications, use the value `authoritatively`. If the service receives from external applications, use the value `non-authoritatively`.

Fault Vocabulary

Vocabulary to use for faults. For ITK, use the value `2.16.840.1.113883.2.1.3.2.4.17.268`

WWSecurityPassword

Password for the user. Ensure that the caller is a registered user on this system.

SignedHeaders

Indicates which parts of the header are expected to be signed by the digital signature provided.

SOAPBodyEncrypted

Turn on if this service should check the body is encrypted. Useful if receiving from an external system over unsecure connection. Typically do not encrypt the messages.

TargetConfigName

Specify `ITKMessageRouter`

Port

Specify the port number on which ITK should listen.

Specify other settings as described in [Configuring Productions](#).

2.10.2 Configuring the Business Operations (Consumers)

Each consumer is an instance of `EnsLib.ITK.Framework.Operation.SOAPOperation` and uses the adapter class `EnsLib.SOAP.OutboundAdapter`.

For each consumer, specify the following settings:

Endpoint Base URL

Specify the base logical endpoint for the web service. The actual endpoint is this endpoint plus the partial logical endpoint specified in the consumer registry.

Application Name

Name of the sending application, typically the name of the consumer.

UserNameToken

If you are not signing the messages (see the **Sign Headers** setting), then specify the username to place in the username field in the outbound messages.

Fault Vocabulary

Vocabulary to use for faults. For ITK, use the value 2.16.840.1.113883.2.1.3.2.4.17.268

Use ITK Extensions

Set this to true.

Throttle

Specifies an optional throttle on the business operation. This value is the time in seconds between requests.

Sign Headers

The standard ITK signing level is 2, which signs the timestamp. In a trusted environment, you can use 0, which means that the messages do not need to be signed. Use higher values if needed; for details, see the tooltip for this setting.

X509CertificateSign

The username in a pre-installed X509 certificate with which to sign the request. Load this certificate into InterSystems IRIS as described in the chapter “Setup and Other Common Activities” in *Securing Web Services*.

Encryption Standard

If you want to encrypt the request body, then determines if this is 128-bit or 256-bit encryption. Keep None for no encryption.

X509CertificateEncrypt

Same as X509CertificationSign but for the encryption. If used typically the same as signing certificate. Do not encrypt unless there is a good reason to do so.

Subscription Pull

Set to true if this consumer will call in at a time of its choosing to collect the messages. In this case, the business operation saves the message away.

Subscription Pull Limit

Specifies the maximum number of messages that the consumer can subsequently request if using subscription pull. Specify -1 for no limit.

Specify other settings as described in [Configuring Productions](#).

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Using the ITK Adapter Kit

The ITK Adapter Kit is a set of components that provide a fast track to ITK-compliance for both legacy and new applications. Use this to communicate between ITK-accredited applications and legacy applications.

The InterSystems ITK Adapter Kit includes the following items, which you can combine as meets your needs:

- An ITK business service (`EnsLib.ITK.AdapterKit.Service.SOAPService`), which receives SOAP messages from ITK-accredited endpoints.
- An ITK business operation (`EnsLib.ITK.AdapterKit.Operation.SOAPOperation`), which sends SOAP messages to ITK-accredited endpoints.
- DTL transformations, routers, and business processes to convert ITK messages to native application formats and vice versa.

This includes a set of classes in the package `EnsLib.ITK.AdapterKit.Process`.

You can add these to an existing production or you can create a new production to contain them.

