

An automatic and effective parameter optimization method for model tuning

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Abstract.

Physical parameterizations in General Circulation Models (GCMs), having various uncertain parameters, greatly impact model performance and model climate sensitivity. Traditional manual and empirical tuning of these parameters is time consuming and ineffective. In this study, a “three-step” methodology is proposed to automatically and effectively obtain the optimum combination of some key parameters in cloud and convective parameterizations according to a comprehensive objective evaluation metrics. Results show that the optimum combination of these parameters determined using this method is able to improve model’s overall performance by 9% in a AGCM. The method can be easily applied to other GCMs to speed up the model development process, especially regarding unavoidable comprehensive parameters tuning in model development stage.

1 Introduction

Due to current model resolution, General Circulation Models (GCMs) need to parameterize various sub-grid scale processes. However, due to the complexities involved in these processes, sub-grid scale physical processes are presented as empirical or statistical parameters in climate system models (Hack et al., 1994). There are various empirical determined parameters, especially within cloud and convective parameterizations. Physical parameterizations aim to approximate the overall statistical outcomes of various sub-grid scale physics (Williams, 2005). Consequently, these parameterizations introduce uncertainties to climate simulations using climate system models (Warren and Schneider, 1979). In general, these new uncertain parameters are required to be calibrated or constrained when new parameterization schemes are integrated into models (Li et al., 2013).

Traditionally, the uncertain parameters are manually tuned by a comprehensive comparison of model simulations with available observations. Such an approach is subjective, labor intensive, and hard to be extended (Hakkarainen et al., 2012; Allen et al., 2000). Currently, the automatic parameter calibration technique is a hot topic in uncertainty quantification of climate system models. Previous
25 works focus on the methods of posterior distribution and probability, optimization algorithm, and data assimilation technique.

For the first class method, the confidence range of the optimization parameters is evaluated based on likelihood and Bayesian estimation. Cameron et al. (1999) improves the forecast by the generalized likelihood uncertainty estimation (GLUE) (Beven and Binley, 1992), a method obtaining
30 parameters uncertain range of a specific confidence level. The Bayesian Markov Chain Monte Carlo (MCMC) (Gilks, 2005) is widely used to obtain posterior probability distributions from prior knowledge. Sun et al. (2013) demonstrates the possibility of calibration of the hydrologic process in the Community Land Model version 4 (CLM4) (Lawrence et al., 2011; Lawrence and Chase, 2007) with a Metropolis-Hasting algorithm, based on the MCMC approach. Hararuk et al. (2014) calibrates soil
35 carbon data in the Community Land Model coupled with Carnegie-Ames-Stanford Approach biogeochemistry submodel (CLM-CASA) (Oleson et al., 2004, 2008; Parton et al., 1993), a global land model consisting of biogeophysical and biogeochemical processes, by using an adaptive Metropolis (AM) algorithm (Gilks, 2005). Jackson et al. (2008) obtains parameters posterior probability from clouds and convection physical process in the Community Atmosphere Model version 3.1 (CAM3.1)
40 (Collins et al., 2004) by multiple very fast simulated annealing (MVFSA) according a comprehensive metrics. The MVFSA method is one to two orders of magnitude faster than the Metropolis-Hasting algorithm (Jackson et al., 2004). However, these methods only try to get the likely area and cannot directly find the best combination of uncertain parameters with a minimum metrics value. Moreover, the posterior distribution heavily depends on the likelihood function assumed, which is usually
45 difficult to determine for climate system model tuning problem.

Optimization algorithms can be used to search the maximum or minimum metrics value in a given parametric space. Severijns and Hazeleger (2005) calibrates parameters of radiation, clouds, and convection in Speedy with downhill simplex (Press et al., 1992; Nelder and Mead, 1965) to improve the radiation budget at the top of the atmosphere and at the surface, as well as the large
50 scale circulation. Downhill simplex is a fast convergence algorithm when the parametric space is not high. However, it is a local optimization algorithm, not aiming to find the global optimal solution. Meanwhile, the algorithm has convergence issue when the simplex becomes ill-conditioned. Yang et al. (2013) uses simulated stochastic approximation annealing (SSRR) (Liang et al., 2013) to tune the parameters of the Zhang-McFarlane convection scheme to improve convection on the global
55 circulation and climate. Yang et al. (2014) uses the MVFSA algorithm to calibrate a convective parameterization scheme in the Weather Research and Forecasting (WRF) model (Michalakes et al., 2001). Gill et al. (2006) calibrates the the Sacramento soil moisture accounting (SACSMA) model

(Burnash et al.) by multi-objective particle swarm optimization (MOPSO). SSRR requires at least ten thousands of steps to get a stable solution (Liang et al., 2013), and MVFSA also requires thousands
60 of steps (Jackson et al., 2004). MOPSO needs dozens of individual cases in each iteration. All these global optimization algorithms lead to large number of model runs, leading to unacceptable high computation cost during model tuning.

Data assimilation method has been well addressed for state estimation, which is also regarded as a potential solution for parameter estimation. Aksoy et al. (2006) estimates the parameter uncertainty
65 of the NCAR/PSU Mesoscale Model version 5 (MM5) (Haagenson et al., 1994) by the Ensemble Kalman Filter (ENKF). Santitissadeekorn and Jones (2013) presents two-stage filtering for the joint state-parameter estimation with a combination method of particle filtering (PF) and ENKF. ENKF has the difficulty in looking for the representative samples. Moreover, same as the MOPSO method, ENKF and PF require quite a few of individual samples in each iteration, thus require more compu-
70 tation resources.

Climate system model is a strongly nonlinear system, having large number of uncertain parameters. As a result, the parameter space of a climate system model is high-dimensional, multi-modal, strongly nonlinear, and not separable. The mentioned methods require long iterations for convergence. More seriously, one sample run of a climate system model might require tens or even hun-
75 dreds years simulation to get the scientifically meaningful results.

To overcome these challenges, we propose a “three-step” strategy for calibrating the uncertain parameters in climate system models effectively and efficiently in this study. In the first step, a global sensitivity analysis method, Morris (Morris, 1991; Campolongo et al., 2007), is chosen to eliminate the insensitive parameters by analyzing the main and interaction effects among parameters. Another
80 global method by Sobol (Sobol, 2001) is used to validate the results of Morris. The downhill simplex algorithm is used to solve the optimization problem because of its low computational cost and fast convergence for the low dimension parameter problem. A pre-processing of initial values is presented to perform global optimization and to resolve the issue of ill-conditioned simplex. Taking into account the complex configuration and manipulation of model tuning, an automatic workflow is
85 designed and implemented to make the calibration process more efficient. The three-step calibration strategy is applied to Grid-point Atmospheric Model of LASG, version 2 (GAMIL2) (Li et al., 2013), an AMIP/CMIP5 Atmospheric Model. The experiment results show that the model overall performance can be improved by 9% in GAMIL2 according to the climate mean state. The method and workflow can be easily applied to other GCMs to speed up model development process, especially
90 regarding unavoidable comprehensive parameters tuning in model development.

The remaining part of this paper is organized as follows. Section 2 introduces the automatic workflow proposed. It points out that the calibration work consists of a series of tedious processes. It is necessary to build a end-to-end automatic workflow. Section 3 describes the details of GAMIL2,

reference data, and calibration metrics. The three-step calibration strategy is presented in Section 4.

95 Section 5 evaluates the calibration results, followed by a summary and discussion in Section 6.

2 The end-to-end automatic calibration workflow

The common uncertainty quantification toolkits, such as the Problem Solving Environment for Uncertainty Analysis and Design Exploration (PSUADE) (Tong, 2005), Design Analysis Kit for Optimization and Terascale Applications (DAKOTA) (Eldred et al., 2007), support various calibration
100 and uncertain analysis methods and pre-defined function interfaces. However, To perform model tuning for today’s climate system models, there are many operations need to carry out, including parameter sampling, model configuration with different parameters, model runs during sampling and tuning, metrics diagnostics, parameter sensitivity analysis, initial values selection, and the model evaluation with optimal parameters. These common software cannot effectively organize the above
105 model tuning process. Therefore, it is worth designing and developing a well-defined automatic end-to-end calibration workflow.

Following the design philosophy, we implement an automatic workflow system, which supports multiple model run simultaneously, perform sample points duplication and model evaluation need to be integrated into the workflow of model tuning. More importantly, the workflow needs to be
110 flexible and expandable to easily integrate other advanced algorithms as well as tools like PSUADE, DAKOTA. This workflow can carry flexible calibration strategies easily and efficiently, including the three-step we proposed. Users only require to specify the model to tune, the corresponding tuneable parameters of interest and their ranges, as well as the calibration method. The workflow can automatically execute any part of a calibration strategy, and produce the optimal parameters and its
115 corresponding diagnostic results.

The architecture of the automatic workflow is in Figure 1. It consists of four components: preparation, calibration algorithm, post-processing, and task scheduler. Currently, the preparation module provides Morris and Sobol sensitivity analysis methods and other sampling methods, such as full factor, Latin Hypercube, MOAT, and Central Composite Designs. Meanwhile, this module can
120 eliminate duplicate samples to reduce unnecessary computing loads. Moreover, the MCMC method based on adaptive Metropolis-Hastings algorithms is also provided to get the posterior distribution of uncertain parameters. The calibration algorithm module offers local and global optimization algorithms including downhill simplex, genetic algorithm, particle swarm optimization, differential evolution and simulated annealing. To make full use of the computational resource, the scheduler
125 module schedules as many as cases to run simultaneously and coordinates the different tasks for reducing the contention and improving throughput. The post-processing module is responsible for metrics diagnostics, re-analysis and observational data management. In addition, all the intermediate

metrics and their corresponding parameters are stored in a MySQL database, and can be used for posterior knowledge analysis.

130 3 Model and Metrics

3.1 GAMIL2 description

In this paper, the Grid-point Atmospheric Model of IAP LASG version 2 (GAMIL2) is used for evaluating our method and workflow. It takes part in the Atmospheric Model Inter-comparison Project (AMIP) of IPCC AR5, the Cloud Feedback Model Inter-comparison Project (CFMIP) and the Coupled Model Intercomparison Project Phase 5 (CMIP5) as the atmospheric component of the Flexible Global-Ocean-Atmosphere-Land System Model grid version 2 (FGOALS-g2). The horizontal resolution is $2.8^\circ \times 2.8^\circ$, with 26 vertical levels. The dynamical core of GAMIL2 uses a finite difference scheme that conserves mass and effective energy (Wang et al., 2004). The moisture equation adopts the two-step shape-preserving advection scheme (Yu, 1994). Compared with the pervious version
140 GAMIL1, GAMIL2 has modifications on cloud-related processes (Li et al., 2013), such as the deep convection parameterization (Zhang and Mu, 2005), the convective cloud fraction (Xu and Krueger, 1991), and the cloud microphysics (Morrison and Gettelman, 2008). The tuneable parameters are selected from deep convection, shallow convection, cloud fraction, cloud microphysical processes and boundary layer scheme, as shown in Table 1. The default parameter values come from the configuration of the standard version, which is used for AMIP/CMIP5 experiments and then is called as
145 CNTL experiment.

3.2 Evaluation data and metrics

Wind, humidity, and geopotential height are derived from the European Center for Medium-Range Weather Forecasts (ECMWF) Re-Analysis (ERA) - Interim reanalysis, 1989 to 2004, and $1.5^\circ \times 1.5^\circ$
150 horizontal resolution (Simmons et al., 2007). The precipitation data come from the Global Precipitation Climatology Project (GPCP), 1989 to 2004, and $2.5^\circ \times 2.5^\circ$ horizontal resolution (Adler et al., 2003). The radiation variables come from the Earth Radiation Budget Experiment (ERBE), 1985 to 1989, and $1.875^\circ \times 1.875^\circ$ horizontal resolution (Barkstrom, 1984). The climate mean state of the observational data are require to remap to the grid of GAMIL2. It is noted that the duration
155 of the simulation (2000-2004) is inconsistent with the observational data. So we conduct a longer simulation (1989-2004) with the optimal parameters to validate the model performance with tuned parameters. The results show the consistent improvements with the short simulation.

A comprehensive metrics, including wind, temperature, humidity, geopotential height, precipitation, and radiation flux is used to quantitatively evaluate the simulation performance of overall
160 simulation skills (Murphy et al., 2004; Gleckler et al., 2008; Reichler and Kim, 2008). These variables are shown in Table 2. The model starts in the 2000th year and simulates 5 years. The climate

mean state of the last three years is used to calculate the metrics. The calibration RMSE is defined as the spatial standard deviation (SD) of the model simulation against observations/re-analysis, as in equation (5) (Taylor, 2001; Yang et al., 2013). To integrate the 16 output variables into an unique metrics, the SD of the default GAMIL2 simulations against observations (equation (6)) is used to scale each variable calibration RMSE. Finally, the metrics is computed as the average value of each scaling variable, as equation (7). As a consequence, if the metrics is lower than one, the model performance gets improved.

$$(\sigma_m^F)^2 = \sum_{i=1}^l w(i)(x_m^F(i) - x_o^F(i))^2 \quad (1)$$

$$(\sigma_r^F)^2 = \sum_{i=1}^l w(i)(x_r^F(i) - x_o^F(i))^2 \quad (2)$$

$$\chi^2 = \frac{1}{N^F} \sum_{F=1}^{N^F} \left(\frac{\sigma_m^F}{\sigma_r^F} \right)^2 \quad (3)$$

$x_m^F(i)$ is the model outputs according to selected shown in the Table 2. $x_o^F(i)$ is the corresponding observation or reanalysis data. $x_r^F(i)$ is the reference results from CMIP5. w is the weight due to the different grid area. l is the total grid number in model. N^F is the number of the chosen variables.

4 Method

The number of uncertain parameters in physical parameterizations of the climate system model is quite large. Most optimization algorithms, such as particle swarm optimization (PSO), downhill simplex, and simulated annealing algorithm are ineffective in high dimension problems. Iterations for convergence will increase exponentially with the parameters need to be tuned. In addition, climate models generally need a long simulation to have meaningful results. Moreover, some components of the climate system model, such as atmosphere and ocean, require a long time to get significant results. Therefore, solving high dimension parameter tuning problem suffers from extreme calibration computational cost. Thus, it is necessary to reduce the parameters dimension before the optimization.

Parameter tuning for a climate system model is to solve a global optimization problem in theory. But traditional evolutionary algorithms, such as genetic algorithm (Goldberg et al., 1989), differential evolutionary (DE) (Storn and Price, 1995), and PSO, generally require at least thousands of iterations to get a stable global solution and need to set a population of individuals in each iteration, leading to high computational cost (Hegerty et al., 2009; Shi and Eberhart, 1999). Model tuning is always a trade-off between performance and computational cost. Therefore, it is the most important thing to get the best possible results with limited iterations as well as model runs for model tuning. Taking into account this practical requirement, local optimal algorithms can play an important role, which have good performance with a cheap computational cost.

In this paper, we propose an effective and efficient calibration strategy for model tuning problem with high dimension of parameter space. In the first step, the number of tuning parameters is reduced by eliminating the insensitive parameters during the optimization; In the second step, fast convergence for better solution is achieved by pre-selecting proper initial values and by using the downhill simplex method as the following optimal algorithm in the third step.

4.1 Sensitive parameter determination

The Morris method (Morris, 1991; Campolongo et al., 2007) is a qualitative global sensitivity method. The advantage of this method is that not only the single parameter sensitivity can be calculated, but also the interactive sensitivity among parameters can be got at the same time.

The sampling strategy is based on Morris's one-step-at-a-time (MOAT) experimental design for relatively less samples required. It only needs $(n + 1) \times M$ samples, where n is the number of calibration parameters and M is the number of trajectories, usually from 10 to 20. Considering the n parameters $x_i (i = 1, \dots, n)$, normalized to $[0, 1]$, the influence of each variable is defined as an elementary effect, shown as equation (1), where Δ is the step size for each parameter. The starting point of a trajectory is selected randomly and the next point is chosen by changing one unchanged parameter value at one time in a random order until getting $n + 1$ samples. The mean of $|d_j|$ stands for the main effect of a single parameter, and the standard deviation presents the interactive effect among multiple parameters. Therefore, those parameters with a low mean and low standard deviation is regared as the insensitive ones for metrics and will be eliminated during following optimization step.

$$d_{ij} = \frac{y(X_1, \dots, X_j + \Delta, \dots, X_N) - y(X_1, \dots, X_j, \dots, X_N)}{\Delta} \quad (4)$$

$$\mu_j = avg(|d_{i,j}|), \sigma_j = stdddev(d_{i,j}) \quad (5)$$

Taking GAMIL2 as an example, tuneable parameters in Table 2 are required to perform sensitivity analysis. We perform 80 samples, and the results are shown in Figure 1. The insensitivity parameters, ke, capelmt, and c0 of shallow convection, will not be taken into consideration in the next step.

The parameter elimination step is critical for the final result of model tuning. To validate the results got by Morris, we compare the results with those with Sobol's benchmark method (Sobol, 2001). It is also a quantitative method based on variance decomposition requiring more samples than the Morris, with a higher computation cost. The variance of the model output can be decomposed as equation (3), where n is the number of parameters, and V_i is the variance of the i_{th} parameter, and V_{ij} is the variance of the interactive effect between the i_{th} and j_{th} parameters, and so on. The total sensitivity effect of i_{th} parameter can be presented as equation (4), where V_{-i} is the total variance except for the x_i parameter. The Sobol results are shown as Figure 2. The screened out parameters are the same ones as those of the Morris.

$$V = \sum_{i=1}^n V_i + \sum_{1 \leq i < j \leq n} V_{ij} + \dots + V_{1,2,\dots,n} \quad (6)$$

$$S_{T_i} = 1 - \frac{V_{-i}}{V} \quad (7)$$

4.2 Optimization method and initial value selection

We choose the downhill simplex method to tune the uncertain parameters for climate system models with relatively low computation cost. Downhill simplex searches the optimal solution by changing the shape of a simplex, which represents the optimal direction and step length. A simplex is a geometry, consisting of $N + 1$ vertexes and their interconnecting edges, where N is the number of calibration parameters screened in Section 2.1. The vertexes stand for the pair of a set of parameters and their metrics. The new vertex is determined by expanding and shrinking the vertex with the highest metrics value, leading to a new simplex Press et al. (1992) and Nelder and Mead (1965).

Mathematically, the downhill simplex method is a local optimization algorithm. Its convergence performance strongly depends on the quality of the initial values. For better initial values, we need to find the parameter combinations with the smaller metrics around the final solution. Moreover, we have to complete the searching as fast as possible for minimal overhead. For these two objectives, a hierarchical sampling based on the full factor sample method is used. The method uses a longer distance to find the candidate regions for the optimal solution first followed by a second round sampling using a smaller distance to reduce the interested region. The simple sampling method is easy to implement and has lower overhead compared to other complex adaptive sampling methods.

At the same time, inappropriate initial values may lead to ill-conditioned simplex geometry, which can be found in model tuning. One issue we meet is that some parameters keep the same value in the initial values. As a result, these parameters are invariant during the optimization by using the downhill simplex method and this leads to poor performance of optimization. Consequently, simplex checking is conducted to keep as many as different parameters values during looking for initial values. Well-conditioned simplex geometry will increase the parameter freedom for optimization and get better convergence. This procedure is presented as the initial values pre-processing of the downhill simplex algorithm.

It is noted that samples for looking for initial values might be the same ones in dimension reduction step. In this case, one model run can be used in the two steps, saving computational resource.

4.3 Evaluate the tuning performance

We compare the tuning effectiveness and efficiency with global and local optimization methods in Table 3. For the global algorithms, the population size of DE and PSO is set to twelve. The parameters required to calibrate are shown in Table 1. The effectiveness is evaluated by the final optimal solution, and the efficiency is evaluated by the core hours consumed, which are computed

as $N_{step} \times N_{size} \times 30 \times 6$, where N_{step} is the number of iterations and N_{size} is the population size. Every GAMIL2 experiment runs with thirty cores, taking six hours for five-year simulation.

In table 3, PSO gets the best solution. But this global method spends more computational cost than the local downhill simplex method. Taking into account the bad effectiveness of downhill simplex, we present two optimal strategies, the proposed three-step in this paper, and the “two-step” method only having the initial values pre-processing and downhill simplex method (Tao Zhang et al., Quantification and optimization of parameter uncertainty in the Grid-point Atmospheric Model GAMIL2, manuscript in review, 2014). The downhill simplex in the three-step tunes the sensitivity parameters described in section 2.1. The initial values pre-processing requires extra 25 sampling points, and the parameters sensitivity analysis with Morris requires 80 sampling points. The two-step gets a better solution than the “one-step” downhill simple. It indicates pre-selecting the proper initial values can remarkably improve the calibration performance. Although the “two-step” method has the best efficiency, while the solution is worse than the “three-step” method. Meanwhile, the computational cost of all strategies based on the local algorithm are better than the global method. With the results in Table 3 and Table 4, we can conclude that our proposed three-step method can get the best trade-off between accuracy and computation cost.

5 The mechanism analysis

Figure 4 exhibits the Taylor diagram of the 16 variables for three years of the climate mean state from 2002 to 2004. No variable of EXP becomes much less than CNTL. Instead, zonal wind at 850 hPa (U850), zonal wind at 200 hPa (U200), meridional wind at 850 hPa (V850), meridional wind at 200 hPa (V200), and specific humidity at 400 hPa (Q400) have been improved a lot. Figure 5 shows the metrics of each variable with the global, tropic, and northern/southern middle and high latitudes (NMHL/SMHL). Most variables of the global area are improved compared with CNTL. Specific humidity at 400 hPa (Q400) is the most improved. Two variables, meridional wind at 200 hPa (V200) and clearsky short wave net flux at TOA (FSNTOAC) keep the same magnitude. Temperature at 850 hPa and 200 hPa are worse than CNTL. From the spatial distribution perspective, the SMHL contributes the most improvement.

Figure 5 presents the improvement of all the radiation variables. This could be attributed to specific humidity and cloud improvement in the middle and upper troposphere. The EXP consumes the more water vapor and achieves a the better simulation than CNTL above 700 hPa, shown in Figure 6. The decrease in the atmospheric water vapor reduces the greenhouse effect. Therefore, it emits more outgoing long-wave radiation with a clear sky, reducing the negative bias of clear sky long wave upward flux at TOA (FLUTC), as shown in Figure 8(a).

Compared with CNTL, middle and high cloud significantly increase, as a result of the reducing rhminh parameter, shown in Figure 7. Consequently, it enhances the blocking effect on the long

wave upward flux at TOA (FLUT), reducing the FLUT in $30^{\circ}/60^{\circ}$ of the southern and northern hemisphere, shown in Figure 8(b). Taking into account the long wave cloud forcing (LWCF) computed as $FLUTC - FLUT$, the improvement of FLUTC in the tropics makes up for the increasing bias of FLUT. Therefore, the LWCF in tropics stays the same as CNTL. But the LWCF in the middle and high latitudes is improved due to the improvement of FLUT in this area, as shown in Figure 8(c).

6 Conclusions

In this paper, we present the “three-step” method for tuning uncertain physical parameters in climate system models effectively and efficiently, including dimension reduction step, step of pre-processing initial values, and optimization step with the downhill simplex method. To improve the convergence and the solution of downhill simplex, an initial values pre-processing algorithm is presented to evaluate the likely area of the optimal solution quickly and to resolve the issue of ill-conditioned simplex. Moreover, an automatic parameters calibration workflow is further designed and implemented to further enhance operation efficiency and to support multi uncertainty quantification analysis and calibration strategy. The experiment results over AGCM model of GAMIL2 show the “three-step” outperforms the PSO and DE in both effectiveness and efficiency and has a good trade-off between accuracy and computation cost compared with “two-step” methods and downhill simplex method. The optimal results of the “three-step” method demonstrate most of the variables are improved compared with the CNTL experiment, especially for the radiation related variables. The mechanism analysis demonstrates that the reduced simulation errors of water vapor have a direct effect on FLUT, and via cloud fraction indirectly influences FLUTC. The LWCF is improved following the improved FLUT and FLUTC.

In the future, we plan to evaluate the computation-cheap surrogate model, further reducing the computational cost for calibrating the climate system model. In addition, more analysis need to be conducted to well understand the model behavior.

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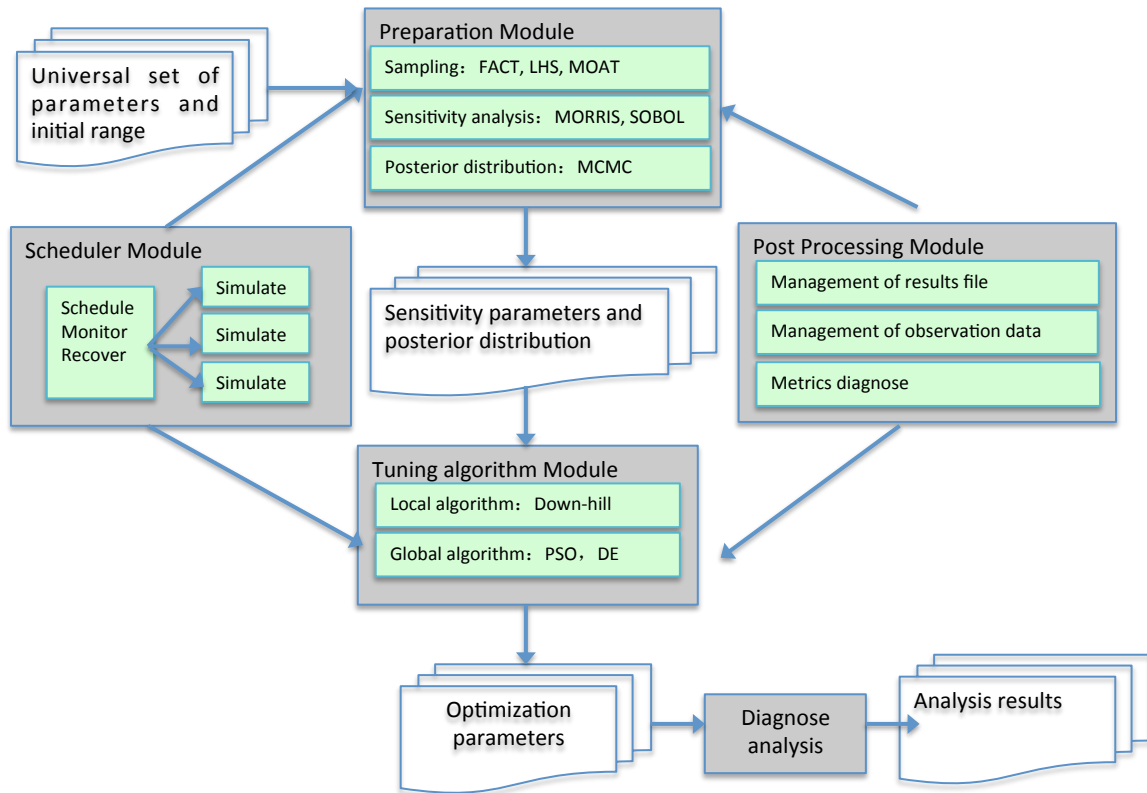


Figure 1. The structure of the automatic calibration workflow. The input of the workflow is the parameters of interest and their initial value range. The output is the optimal parameters and its corresponding diagnostic results after calibrating with a calibration strategy. The preparation module provides the parameter sensitivity analysis. The calibration algorithm module offers local and global optimization algorithms including downhill simplex, genetic algorithm, particle swarm optimization, differential evolution and simulated annealing. The scheduler module schedules as many as cases to run simultaneously and coordinates the different tasks. The post-processing module is responsible for metrics diagnostics, re-analysis and observational data management.

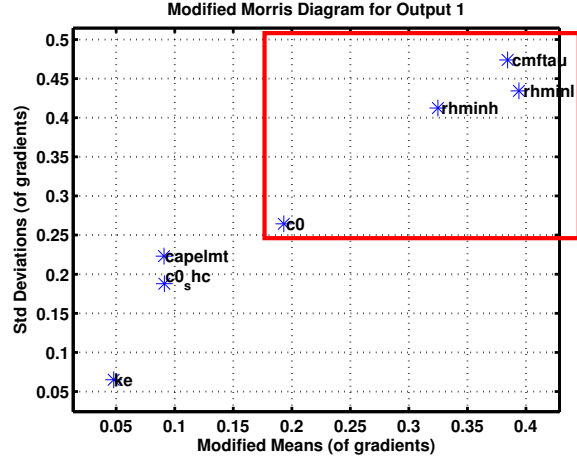


Figure 2. Scatter diagram of Morris sensitivity analysis. The X-axis stands for the main effect sensitivity of single parameter. The Y-axis stands for the interactive effect among multi-parameters. Therefore, c0, rhminl, rhminh, and cmftau have high sensitivity. ke, c0_shc, and capelmt have low sensitivity.

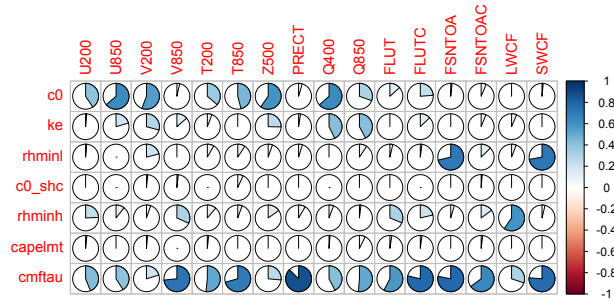


Figure 3. Sobol sensitivity results. The total sensitivity in equation (7) is presented by the size of color area. The total sensitivities of ke, c0_shc, and capelmt are not more than 0.5 with regard to each variable. They are insensitive.

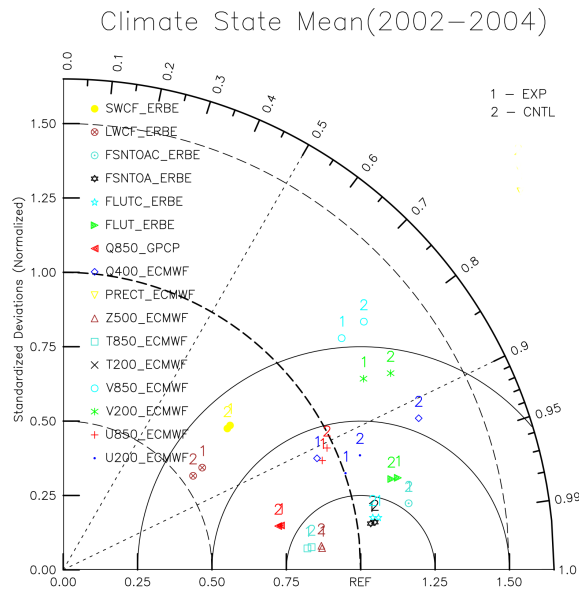


Figure 4. Taylor diagram of the climate mean state of each variable from 2002 to 2004 of EXP and CNTL.

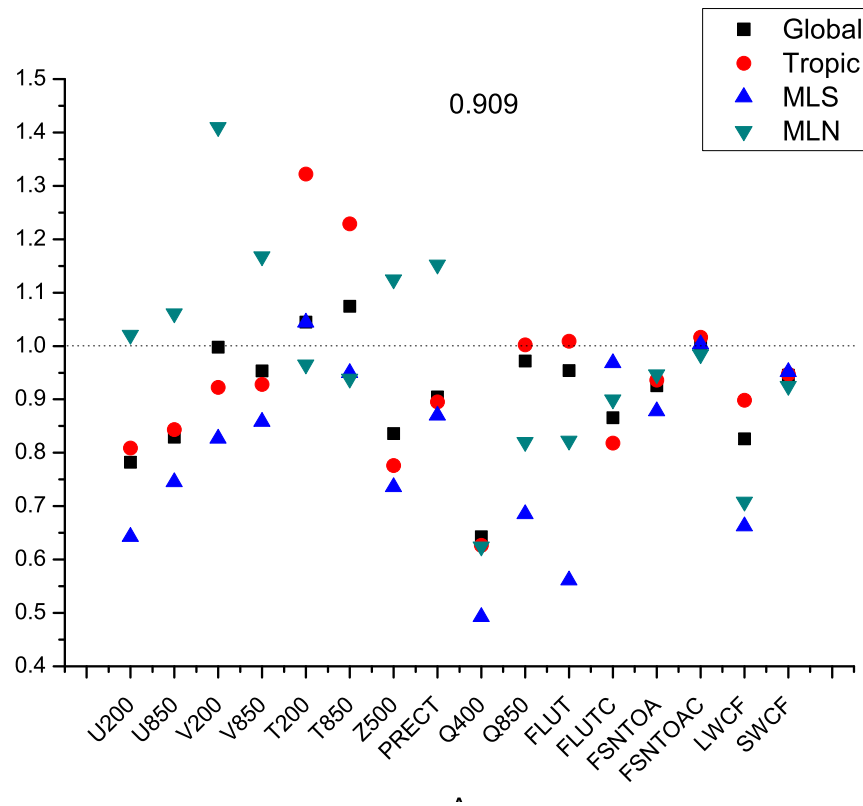


Figure 5. The EXP metrics of each variable with the global, tropical, and northern/southern mid- and high-latitude.

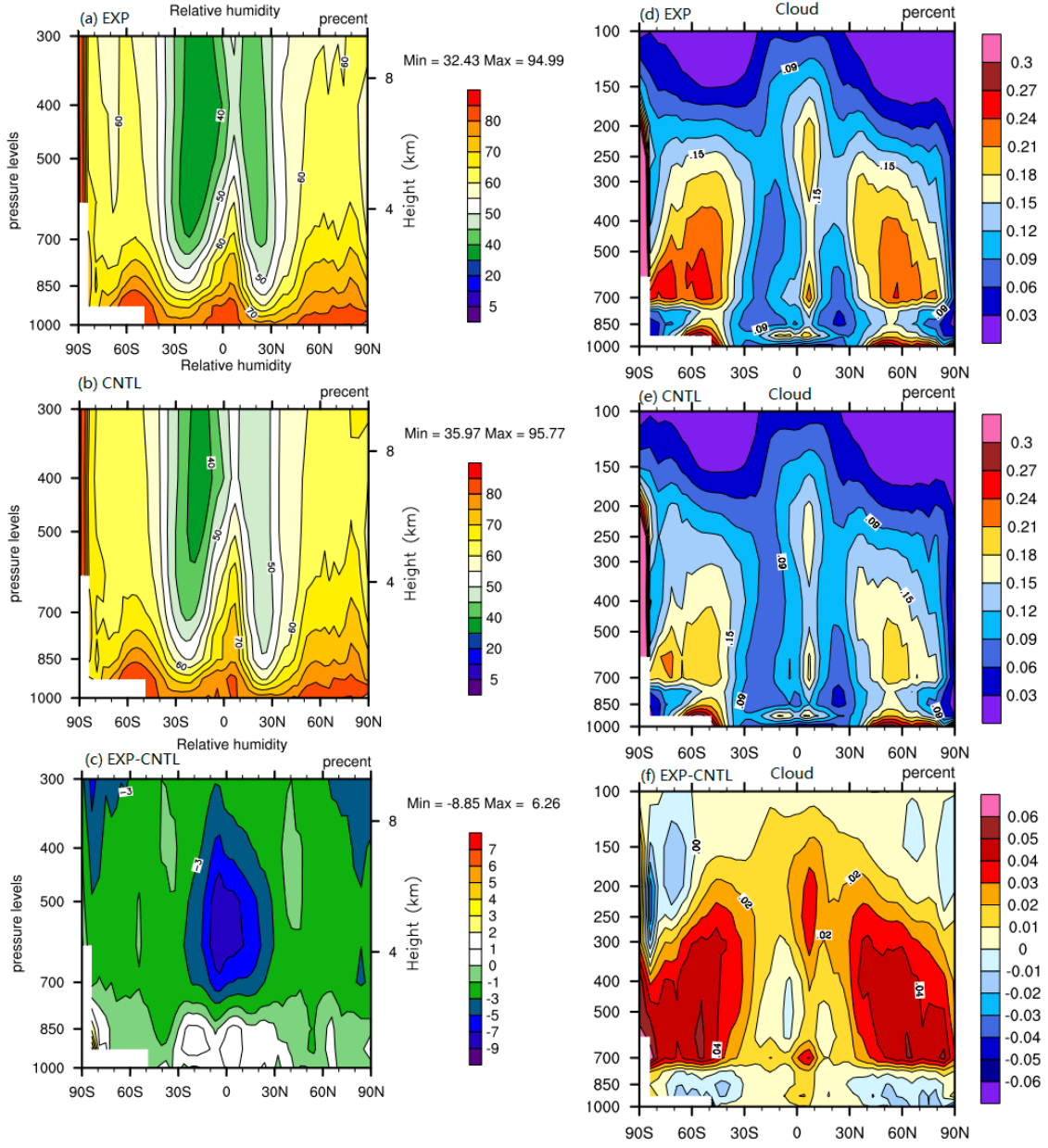


Figure 6. Pressure–latitude distributions of relative humidity and cloud fraction of EXP (a,d), CNTL (b,e), EXP-CNTL (c,f).

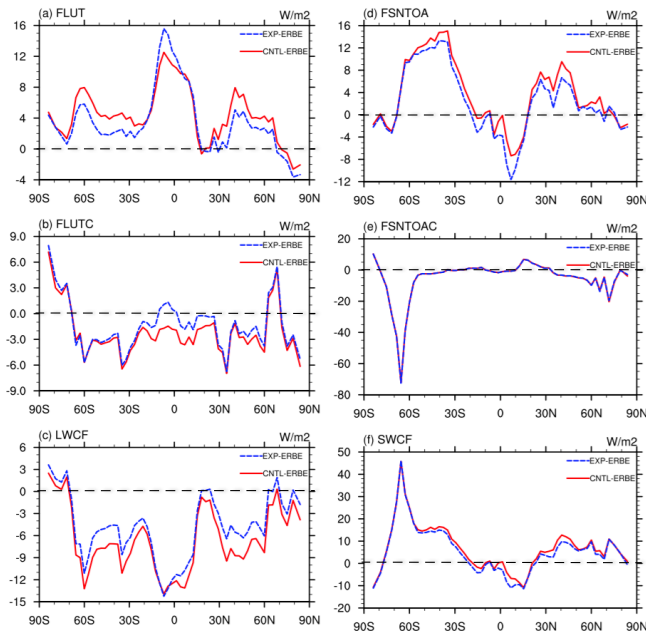


Figure 7. Meridional distributions of the annual mean difference between EXP/CNTL and observations of FLUT (a), FLUTC (b), LWCF (c), SWCF (d), FNSTOA(d), FNSTOAC(e), and SWCF(f).

Table 1. Initial selected uncertain parameters in GAMIL2 and their optimal values in EXP.

Parameter	Description	Default	Range	Optimal
c0	rain water autoconversion coefficient for deep convection	3.0e-4	1.e-4 ~ 5.4e-3	5.427294e-4
ke	evaporation efficiency for deep convection	7.5e-6	5e-7 ~ 5e-5	–
capelmt	threshold value for cape for deep convection	80	20 ~ 200	–
rhminl	threshold RH for low clouds	0.915	0.8 ~ 0.95	0.917661
rhminh	threshold RH for high clouds	0.78	0.6 ~ 0.9	0.6289215
c0_shc	rain water autoconversion coefficient for shallow convection	5e-5	3e-5 ~ 2e-4	–
cmftau	characteristic adjustment time scale of shallow cape	7200	900 ~ 14400	7198.048

Table 2. Model output variables and evaluation data in the metrics.

Variable	Observation	Variable	Observation
Meridional wind at 850hPa	ECMWF	Geopotential Z at 500hPa	ECMWF
Meridional wind at 200hPa	ECMWF	Total precipitation rate	GPCP
Zonal wind at 850hPa	ECMWF	Long-wave cloud forcing	ERBE
Zonal wind at 200hPa	ECMWF	Short-wave cloud forcing	ERBE
Temperature at 850hPa	ECMWF	Long-wave upward flux at TOA	ERBE
Temperature at 200hPa	ECMWF	Clearsky long-wave upward flux at TOA	ERBE
Specific Humidity at 850hPa	ECMWF	Short-wave net flux at TOA	ERBE
Specific Humidity at 400hPa	ECMWF	Clearsky short-wave net flux at TOA	ERBE

Table 3. Comparison with local and global algorithms. Downhill simplex is a local method. We use “Downhill_1_step” represents it, distinguished from some optimal strategies based on the downhill simplex. PSO and DE are the global method. Optimal solution is the final calibrating result. N_{step} is the total numbers of calibrating iteration. N_{size} is the size of population of the global algorithms. Core hours is computed by $N_{step} \times N_{size} \times \text{numbers of process (30)} \times \text{hours of 5-years simulation (6)}$.

	Optimal solution	N_{step}	N_{size}	Core hours
Downhill_1_step	0.9585	80	1	14400
PSO	0.911537	24	12	51840
DE	0.942148	33	12	71280

Table 4. Comparison with optimal strategies based on the downhill simplex. The initial values pre-process is applied to Downhill_2_steps and Downhill_3_steps with extra 25 samples. In the Downhill_3_steps, a step of parameter sensitivity process is conducted before the initial values pre-process with extra 80 samples.

	Optimal solution	N_{step}	N_{size}	Core hours
Downhill_1_step	0.9585	80	1	14400
Downhill_2_steps	0.9256899	25+34	1	10620
Downhill_3_steps	0.9098545	80+25+50	1	27900

Algorithm 1 Preprocessing the initial values of Downhill Simplex Algorithm.

```
//full factor sample
sampling_sets=full_factor_sampling(parameters_range,number_of_factors)
//refine full factor sample in the sensitivity range if needed
if metrics of the the adjacent same parameter sampling points >= then
    sampling_sets+=full_factor_sampling(adjacent_parameter_range,refine_number_of_factors)
end if

//Initial vertexes with parameters of the N+1 minimum metrics
N=number_of_parameters
for each initial  $V_i$  of N+1 vertexes do
    //get the parameters of the  $i_{th}$  minimum metrics
    candidate_init_sets += min(i, sampling_sets)
end for

//make sure the initial simplex geometry is well-conditioned
while one parameter have the same values in the N+1 sets do
    j=1
    remove_parameter_set(the parameter set with higher metrics, candidate_init_sets)
    //get the parameters of the  $N + 1 + j_{th}$  minimum metrics
    candidate_init_sets += min(N+1+j, sampling_sets)
    j+=1
end while
```
