

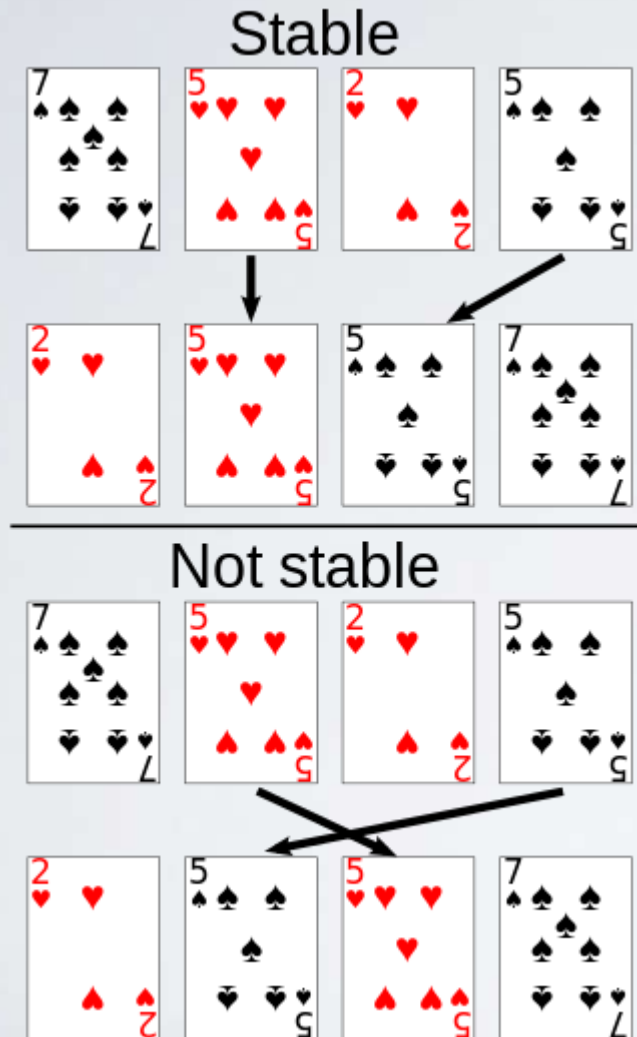
# METODE DE SORTARE INTERNA

Metode directe de sortare: interschimbare,  
selectie, insertie. Metode specifice de sortare:  
radix, numarare, bucket

# PROBLEMA SORTARII

- Exista o relatie de ordine liniara intre cheile obiectelor pe care dorim sa le sortam
- Avem o secventa de obiecte (inregistrari, elemente)  $r_1, r_2, \dots, r_n$  cu cheile  $k_1, k_2, \dots, k_n$ , respectiv, trebuie sa re-aranjam obiectele in ordinea  $r_{i1}, r_{i2}, \dots, r_{in}$ , astfel incat  $k_{i1} \leq k_{i2} \leq \dots \leq k_{in}$ 
  - i.e. sa generam o permutare crescatoare

- Complexitatea computationala (defav, mediu, fav)
- Memoria aditionala utilizata
- Stabilitate
  - Vezi exemplul urmator

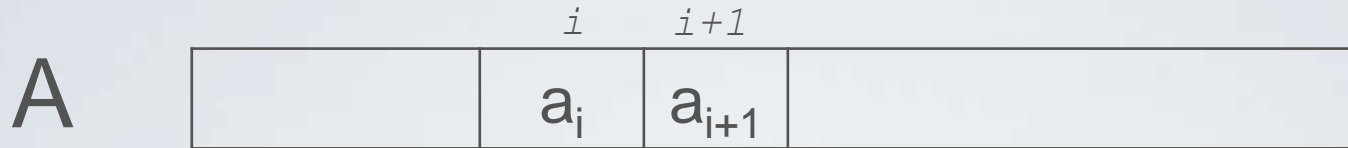


- Stabilitate
  - pastreaza ordinea relativa a elementelor egale
  - Daca doua obiecte cu chei egale apar in aceeași ordine în sirul ordonat ca și în sirul initial – neordonat atunci algoritmul de sortare este stabil.

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- Daca e bazata pe comparatii sau nu
- Strategia generala
  - inserare, interschimbare, selectie, interclasare
- Adaptivitate
  - cat de mult variaza timpul de rulare in functie de cum arata intrarea
  - Cum este influentat timpul de rulare daca sirul este gata ordonat inainte sa se ruleze algoritmul de sortare. Algoritmii care considera acest lucru sunt algoritmi adaptabili..

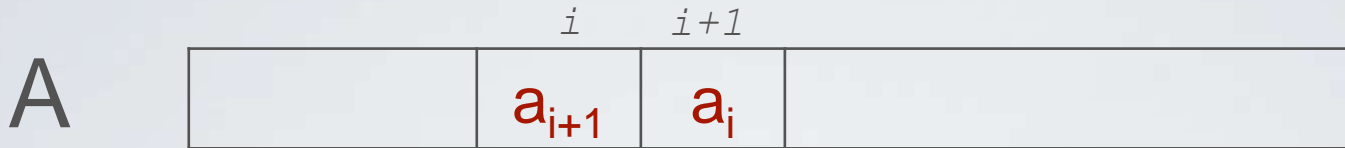
- Complexitatea computatională = timpul de rulare
- Cum evaluăm timpul de rulare
  - Număr de pași pt a sorta  $n$  elemente
  - Număr de comparații
  - Număr de “mutări” (atribuiri)

# SORTAREA PRIN INTERSCHIMBARE (BUBBLESORT)



- Facem o trecere prin sir, pornind de la primul element, si, pentru fiecare element:
  - Comparam cu elementul urmator (tot timpul comparam elemente adiacente-vecine)

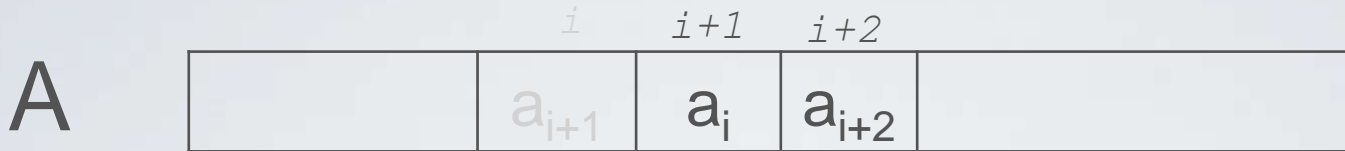
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  - Daca ordinea lor nu e cea corecta, le interschimbam



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  - Avansam la urmatorul element

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- 1. Ce se intampla dupa prima parcurgere a sirului?

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  - Avansam la urmatorul element

1. Ce se intampla dupa prima parcurgere a sirului?

A	$n$	
		Max( $a_i$ )

2. De cate treceri prin sir este nevoie pentru a fi siguri ca sirul este sortat?

# SORTAREA PRIN INTERSCHIMBARE (BUBBLESORT)

```
BUBBLE-SORT (A[1...n])
  n = length(A)
  repeat
    swapped = false
    for i = 1 to n-1 inclusive do
      /* if this pair is out of order */
      if A[i] > A[i+1] then
        /* swap them and remember something changed */
        swap(A[i], A[i+1])
        swapped = true
    n=n-1
  until not swapped
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# SORTAREA PRIN INTERSCHIMBARE (BUBBLESORT)

	1	2	3	4	5	6	7	8
A	9	3	12	5	7	2	9	5

n=8  
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```
BUBBLE-SORT (A[1...n])
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BUBBLE-SORT (A[1...n])
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# SORTAREA PRIN INTERSCHIMBARE (BUBBLESORT)

Dupa prima trecere:

	1	2	3	4	5	6	7	8
A	3	9	5	7	2	9	5	12

n=7  
swapped = true

# SORTAREA PRIN INTERSCHIMBARE (BUBBLESORT)

Dupa a doua trecere completa:

	1	2	3	4	5	6	7	8
A	3	5	7	2	9	5	9	12

n=6  
swapped = true

# SORTAREA PRIN INTERSCHIMBARE (BUBBLESORT)

Dupa a treia trecere completa:

	1	2	3	4	5	6	7	8
A	3	5	2	7	5	9	9	12

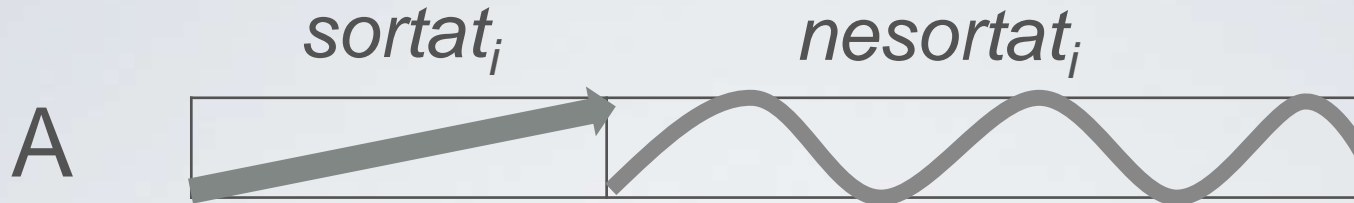
n=5  
swapped = true

etc.

# SORTAREA PRIN INTERSCHIMBARE (BUBBLESORT)

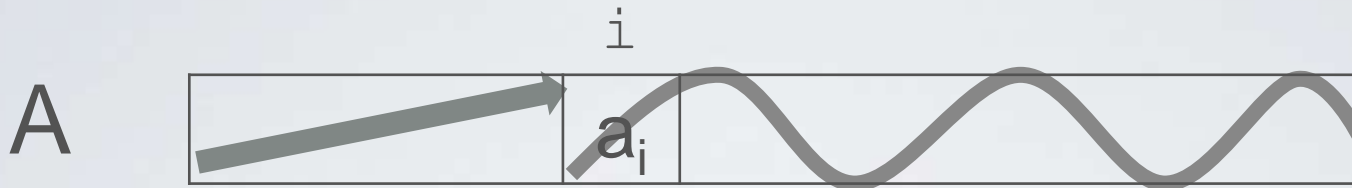
- *Rabbits and turtles (maxime vs minime)*
  - Versiuni imbunatatite (see Wikipedia)
    - Cocktail sort
    - Comb sort
- Complexitate?
  - favorabil, defavorabil
- Stabilitate?
- Adaptivitate?
- Memorie aditionala?

# SELECTIE SI INSERARE

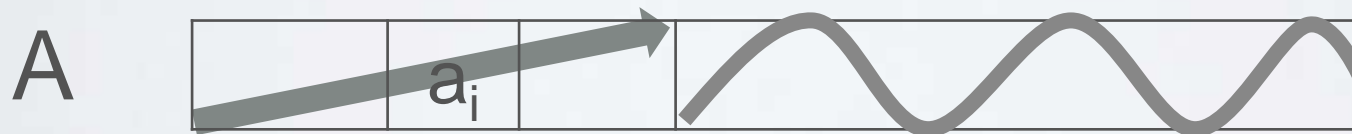


- La fiecare iteratie, sirul = parte sortata si parte nesortata
- Se alege un element din partea nesortata, si se adauga partii sortate (astfel partea sortata creste cu 1 la fiecare iteratie)
  - **INSERARE**: se alege un element OARECARE (primul) din partea nesortata si se **cauta** pozitia lui in partea sortata
  - **SELECTIE**: se **cauta** un element anume (minimul) din partea nesortata si se adauga pe o pozitie OARECARE (urmatoarea) din partea sortata

# SORTAREA PRIN INSERARE



- Pentru fiecare din elementele de la 2 la  $n$
- Cauta pozitia in partea sortata ( $1 \dots i-1$ )
- Insereaza elementul pe pozitia aceea



# SORTAREA PRIN INSERARE

```
INSERT-SORT (A[1...n])  
for i = 2 to length(A)  
    x = A[i]  
    j = i - 1  
    while j >= 1 and A[j] > x  
        A[j+1] = A[j]  
        j = j - 1  
    A[j+1] = x
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```

# SORTAREA PRIN INSERARE

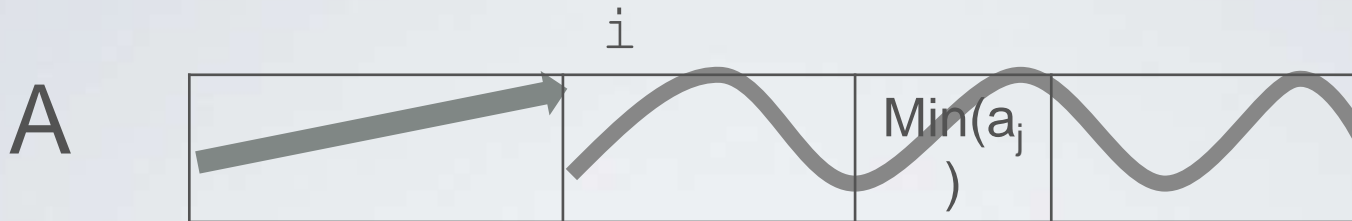
	1	2	3	4	5	6	7	8
A	2	3	5	5	7	9	9	12

```
INSERT-SORT (A[1...n])  
for i = 2 to length(A)  
    x = A[i]  
    j = i - 1  
    while j >= 1 and A[j] > x  
        A[j+1] = A[j]  
        j = j - 1  
    A[j+1] = x
```

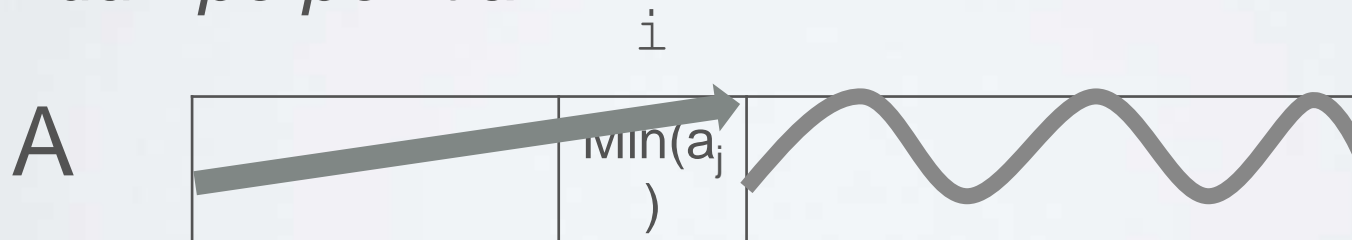
# SORTAREA PRIN INSERARE

- *Cautarea binara a pozitiei de inserare in partea sortata*
- Complexitate?
  - favorabil, defavorabil
- Stabilitate?
- Adaptivitate?
- Memorie aditionala?

# SORTAREA PRIN SELECTIE



- Pentru fiecare element  $i$  de la primul pana la penultimul
  - Cauta minimul intre elementele  $i...n$
  - Adu-l pe pozitia  $i$





# SORTAREA PRIN SELECTIE

```
SELECTION-SORT(A[1...n])  
  for i = 1 to n-1  
    /* find the min element in the unsorted A[i .. n] */  
    jMin = i;  
    /* test against elements after i to find the min */  
    for j = i+1 to n  
      if A[j] < A[jMin]  
        then jMin = j  
    if jMin != i  
      then swap(a[i], a[jMin])
```

# SORTAREA PRIN SELECTIE

	1	2	3	4	5	6	7	8
A	9	3	12	5	7	2	9	5

```
SELECTION-SORT(A[1...n])
```

```
  for i = 1 to n-1
```

```
    /* find the min element in the unsorted A[i .. n] */
```

```
    jMin = i;
```

```
    /* test against elements after i to find the min */
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```
    for j = i+1 to n
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      if A[j] < A[jMin]
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```

```
        then jMin = j
```

```
  if jMin != i
```

```
    then swap(a[i], a[jMin])
```

# SORTAREA PRIN SELECTIE

- Complexitate?
  - favorabil, defavorabil
- Stabilitate?
- Memorie?
- Adaptivitate?
  - Dintre cei 3 algoritmi de pana acum, care este cel mai adaptabil?
  - Dar cel mai putin adaptabil?

# SORTARI BAZATE PE COMPARATII

- Pana acum, am vazut sortari bazate pe comparatii – decizii luate in urma *compararii* a 2 elemente
  - Mergesort, Quicksort
  - Selection, Insertion, Bubble
- Avantaj – algoritm general; aplicabil pe orice fel de chei, atata timp cat se pot compara (suprascriem comparatorul)
- Dezavantaj – algoritmul utilizeaza doar un bit de informatie la fiecare apel de *comparare*
  - Avem  $n!$  ordonari posibile  $\Rightarrow$  avem nevoie de cel putin  $\log(n!) = O(n \log n)$  apeluri de *comparare*

# SORTARI SPECIFICE

- Ce facem daca avem de sortat  $n$  stringuri a cate  $m$  caractere fiecare?
- Algoritm bazat pe comparatii:  $O(nm \log n)$
- Dar daca cunoastem de la inceput ca avem chei intregi in intervalul  $1 \dots k$ ?
- Nu putem sorta oare mai eficient in aceasta situatie?

# SORTAREA PRIN NUMARARE

- Cheile sunt întregi în intervalul  $1 \dots k$ , deci pot fi folosite pt. indexarea unui vector (nu se bazează pe comparații)
- Se numără aparițiile fiecărei chei din  $A$ , și se stochează această informație în vectorul  $C$

	1	2	3	4	5	6
<b>A =</b>	3	4	1	4	1	1
<b>C =</b>	3	0	1	2		

- Apoi se determină numărul de elemente care sunt  $\leq$  cu fiecare dintre chei, prin calcularea *sumelor prefix*

**C = 3 3 4 6**

# SORTAREA PRIN NUMARARE

- Rezultatul se genereaza intr-un vector nou,  $B$ , parcurgand  $A$  in sens invers si determinand pozitia elementului prin informatia stocata in  $C$ ; se scade cu 1 valoarea intrarii elementului in  $C$

	1	2	3	4	5	6
<b>A =</b>	3	4	1	4	1	1
<b>B =</b>			1			
<b>C =</b>	2	3	4	6		



# SORTAREA PRIN NUMARARE

COUNTING-SORT (A, B, k)

1 let C[1...k] be a new array

2 for i=1 to k

3     C[i] = 0

4 for j=1 to A.length

5     C[A[j]] = C[A[j]] + 1

6 // C[i] now contains the number of elements = i

7 for i=2 to k

8     C[i] = C[i] + C[i-1]

9 // C[i] now contains the number of elements ≤ i

10 for j=A.length downto 1

11     B[C[A[j]]] = A[j]

12     C[A[j]] = C[A[j]] - 1

& Stabilitate?

& Memorie?

# SORTAREA PRIN NUMARARE

A

1	2	3	4	5	6	7	8
9	3	12	5	7	2	9	5

C

1	2	3	4	5	6	7	8	9	10	11	12
0	0	0	0	0	0	0	0	0	0	0	0

```
1 let C[1...k] be a new array
2 for i=1 to k
3     C[i] = 0
```

# SORTAREA PRIN NUMARARE

A	1	2	3	4	5	6	7	8
	9	3	12	5	7	2	9	5

C	1	2	3	4	5	6	7	8	9	10	11	12
	0	0	0	0	0	0	0	0	0	0	0	0

```
4 for j=1 to A.length
5     C[A[j]] = C[A[j]] + 1
```

# SORTAREA PRIN NUMARARE

	j	1	2	3	4	5	6	7	8
A		9	3	12	5	7	2	9	5

	1	2	3	4	5	6	7	8	9	10	11	12
C	0	0	0	0	0	0	0	0	1	0	0	0

```
4 for j=1 to A.length
5     C[A[j]] = C[A[j]] + 1
```

# SORTAREA PRIN NUMARARE

	j	1	2	3	4	5	6	7	8
A		9	3	12	5	7	2	9	5

	1	2	3	4	5	6	7	8	9	10	11	12
C	0	0	1	0	0	0	0	0	1	0	0	0

4 for j=1 to A.length

5        C[A[j]] = C[A[j]] + 1

# SORTAREA PRIN NUMARARE

	j	1	2	3	4	5	6	7	8
A		9	3	12	5	7	2	9	5

	1	2	3	4	5	6	7	8	9	10	11	12
C	0	0	1	0	0	0	0	0	1	0	0	1

```
4 for j=1 to A.length
5     C[A[j]] = C[A[j]] + 1
```

# SORTAREA PRIN NUMARARE

	j	1	2	3	4	5	6	7	8
A		9	3	12	5	7	2	9	5

	1	2	3	4	5	6	7	8	9	10	11	12
C	0	0	1	0	1	0	0	0	1	0	0	1

4 for j=1 to A.length

5       C[A[j]] = C[A[j]] + 1

# SORTAREA PRIN NUMARARE

	j	1	2	3	4	5	6	7	8
A		9	3	12	5	7	2	9	5

	1	2	3	4	5	6	7	8	9	10	11	12
C	0	0	1	0	1	0	1	0	1	0	0	1

4 for j=1 to A.length

5        C[A[j]] = C[A[j]] + 1



# SORTAREA PRIN NUMARARE

	j	1	2	3	4	5	6	7	8
A		9	3	12	5	7	2	9	5

	1	2	3	4	5	6	7	8	9	10	11	12
C	0	1	1	0	1	0	1	0	1	0	0	1

```
4 for j=1 to A.length
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	j	1	2	3	4	5	6	7	8
A		9	3	12	5	7	2	9	5

	1	2	3	4	5	6	7	8	9	10	11	12
C	0	1	1	0	1	0	1	0	2	0	0	1

4 for j=1 to A.length

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# SORTAREA PRIN NUMARARE

	j	1	2	3	4	5	6	7	8
A		9	3	12	5	7	2	9	5

	1	2	3	4	5	6	7	8	9	10	11	12
C	0	1	1	0	2	0	1	0	2	0	0	1

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	j	1	2	3	4	5	6	7	8
A		9	3	12	5	7	2	9	5

i	1	2	3	4	5	6	7	8	9	10	11	12
C	0	1	1	0	2	0	1	0	2	0	0	1

7 for i=2 to k

8         $C[i] = C[i] + C[i-1]$

# SORTAREA PRIN NUMARARE

A	j	1	2	3	4	5	6	7	8
		9	3	12	5	7	2	9	5

C	i	1	2	3	4	5	6	7	8	9	10	11	12
		0	1	1	0	2	0	1	0	2	0	0	1

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		0	1	2	0	2	0	1	0	2	0	0	1

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C		0	1	2	2	4	0	1	0	2	0	0	1

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A	j	1	2	3	4	5	6	7	8
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	j	1	2	3	4	5	6	7	8
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	i	1	2	3	4	5	6	7	8	9	10	11	12
C		0	1	2	2	4	4	5	0	2	0	0	1

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		0	1	2	2	4	4	5	5	7	7	0	1

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		0	1	2	2	4	4	5	5	7	7	7	1

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C

i	1	2	3	4	5	6	7	8	9	10	11	12
	0	1	2	2	4	4	5	5	7	7	7	8

B

j	1	2	3	4	5	6	7	8
	9	3	12	5	7	2	9	5

10 for j=A.length downto 1

11     B[C[A[j]]] = A[j]

12     C[A[j]] = C[A[j]] - 1

SDA



# SORTAREA PRIN NUMARARE

**A**

1	2	3	4	5	6	7	8
9	3	12	5	7	2	9	5



**C**

i	1	2	3	4	5	6	7	8	9	10	11	12
	0	1	2	2	4	4	5	5	7	7	7	8



**B**

j	1	2	3	4	5	6	7	8
				5				

```

10 for j=A.length downto 1
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SDA

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9	3	12	5	7	2	9	5

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i	1	2	3	4	5	6	7	8	9	10	11	12
	0	1	2	2	3	4	5	5	7	7	7	8

B

j	1	2	3	4	5	6	7	8
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i	1	2	3	4	5	6	7	8	9	10	11	12
	0	1	2	2	3	4	5	5	7	7	7	8



B

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				5			9	

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**C**

i	1	2	3	4	5	6	7	8	9	10	11	12
	0	1	2	2	3	4	5	5	6	7	7	8



**B**

j	1	2	3	4	5	6	7	8
				5			9	

```

10 for j=A.length downto 1
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SDA

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9	3	12	5	7	2	9	5



**C**

i	1	2	3	4	5	6	7	8	9	10	11	12
	0	1	2	2	3	4	5	5	6	7	7	8



**B**

j	1	2	3	4	5	6	7	8
	2			5			9	

```

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SDA



# SORTAREA PRIN NUMARARE

- Complexitate:  $O(n+k)$
- Memorie:  $O(n+k)$
- Stabilitate ?
- Se utilizeaza de regula ca sub-rutina in radix sort

# BUCKET SORT

- Elementele din sir sunt impartite intr-un numar de partitii (en. *buckets*)
- Sortam *bucketurile* cu un alt algoritm - e.g. insertion sort
- Sortare distribuita
- $\Theta(n)$  in cazul mediu, presupunand distributie uniforma a cheilor, si am ales intervalele suficient de mici
  - E.g. avem de sortat numere reale intre 0.0 si 1.0, uniform distribuite
- Poate/poate sa nu fie bazata pe comparatii (e.g. pt chei intregi, daca avem 10 partitii, se impart cheile la 10, si luam partea intreaga pt a selecta partitia)

# BUCKET SORT

BUCKET-SORT ( $A[1..n]$ )

Let  $B[0..n-1]$  be a new array  $\Omega(1)$

**for**  $i = 1$  to  $n$   $O(n) * \Omega(1)$

    insert  $A[i]$  into bucket  $B[\text{translate}(A[i], n)]$

**for**  $i = 0$  to  $n-1$   $O(n) * O(n_i^2)$

    sort(bucket  $B[i]$ ) //typically w. insertion

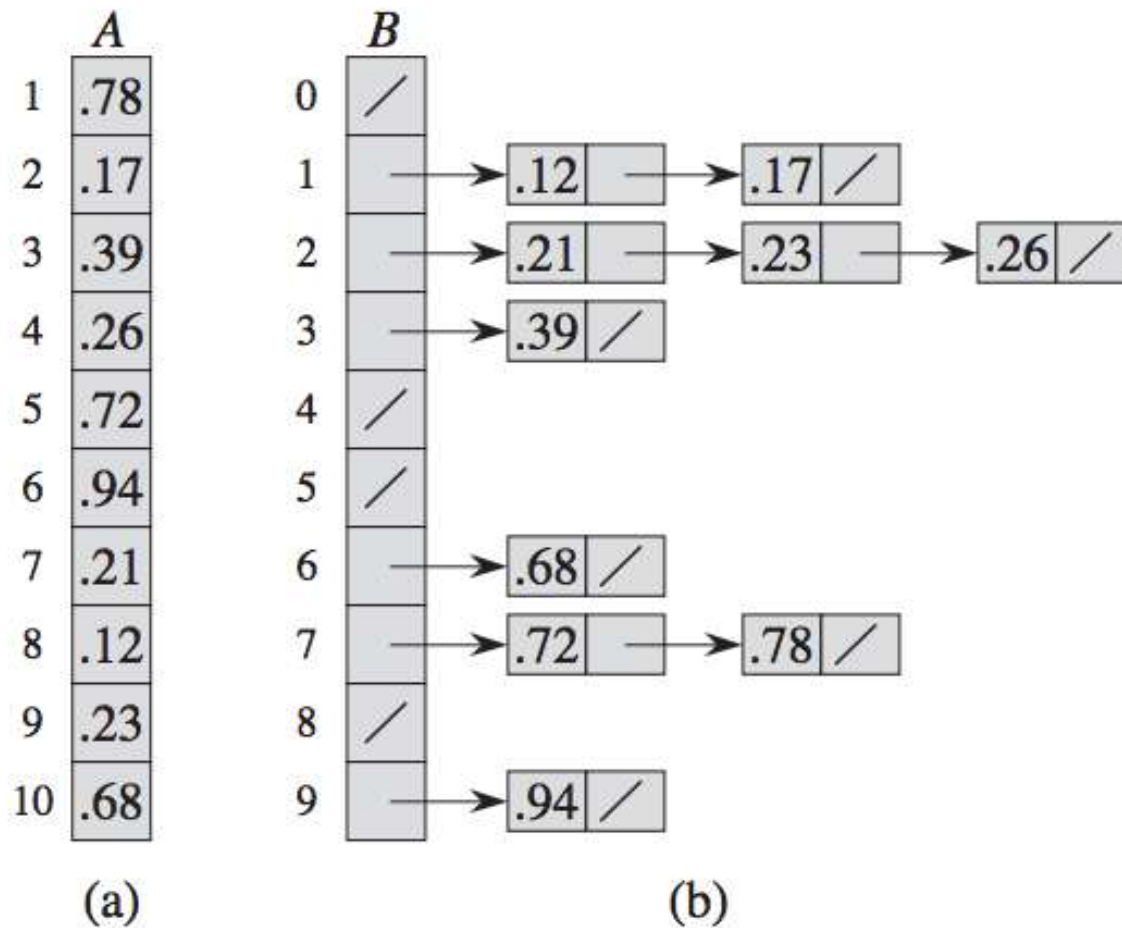
Concatenate buckets  $B[0], B[1], \dots, B[n-1]$   $O(n)$

$n_i$  este dimensiunea *bucketului*  $B[i]$

$$T(n) = \Theta(n) + \sum_{i=0}^{n-1} O(n_i^2)$$

$$\begin{aligned} \text{Cazul mediu: } E[T(n)] &= E[\Theta(n) + \sum_{i=0}^{n-1} O(n_i^2)] = \\ &= \Theta(n) + \sum_{i=0}^{n-1} O(E[n_i^2]) = \\ &\dots = \Theta(n) + nO\left(2 - \frac{1}{n}\right) = \Theta(n) \end{aligned}$$

# BUCKET SORT – EXEMPLU



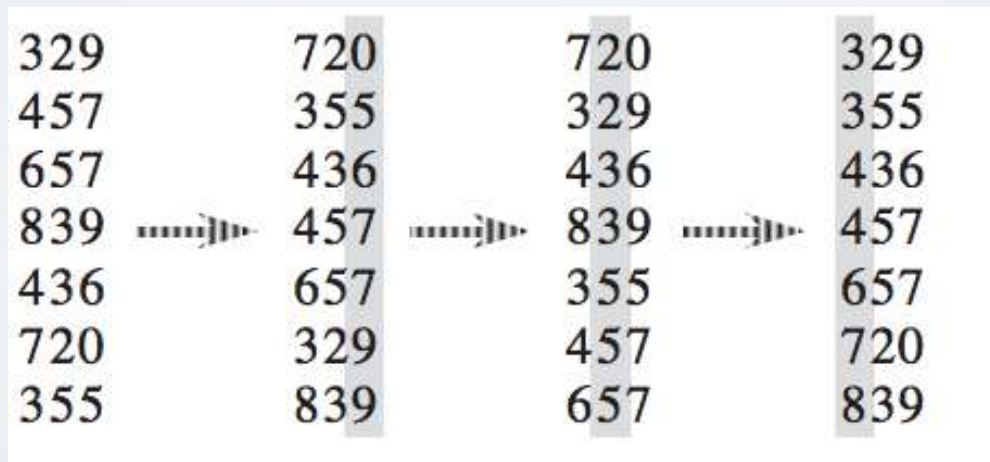
# RADIX SORT

- Pp. ca avem de sortat o secventa de chei care pot fi comparate pe bucati:  
e.g. intregi de 3 cifre

```
RADIX-SORT(A[1...n], d)
  for i=1 to d
    use a stable sort to sort array A on digit i
```

*De ce sortare stabila?*

*Exemplu:*



# RADIX SORT

- Eficienta
  - daca avem cifrele de la 1 la  $k$ , putem folosi sortarea prin numarare pt. a sorta cifra  $i$ :  $\Theta(n+k)$
  - Facem  $d$  treceri prin sir:  $\Theta(d(n+k))$
- Utilizare:
  - Sortare stringuri
  - Sortare date (an-luna-zi)
- Varianta LSB, exista si varianta MSB

# CUM ALEGEM ALGORITMUL POTRIVIT

- Dimensiunea problemei
  - toate cursurile vs cursurile unui singur student
- Elementele de sortat ocupa memorie multa?
  - de evitat mutarile in cazul acesta
  - utilizare de structuri auxiliare - pointeri - mutam pointerii, nu elementele
- Avem nevoie de garantii asupra timpului de sortare (e.g. sisteme de control, retele)
  - nu putem utiliza QuickSort datorita comportamentului sau in cazul defavorabil

# CUM ALEGEM ALGORITMUL POTRIVIT

- Elementele pot avea aceleasi chei? Avem nevoie de algoritm stabil?
  - $O(n^2)$  tind sa fie stabili,  $O(n \lg n)$  nu prea
  - Algoritmii instabili pot fi transformati in algoritmi stabili - cheie cu pozitia
    - costa spatiu si timp
- Avem spatiu limitat?
  - MergeSort -  $O(n)$  - memorie
  - QuickSort -  $O(n)$  memorie in cazul defav.;  $O(\log n)$  mediu



# CUM ALEGEM ALGORITMUL POTRIVIT

- S-ar putea ca secventa sa nu incapa in memorie? (memorie virtuala)
  - Daca da se prefera algoritmi cu comportament local
    - HeapSort, QuickSort, met. directe
- S-ar putea ca secventa sa nu incapa nici in memoria virtuala?
  - sortari externe
- Ce stim despre intrare?
  - Daca sunt intr-un domeniu mic -> CountingSort
  - Daca avem chei compuse din parti ce se compara individual -> RadixSort
  - Daca avem chei numere reale distribuite uniform intr-un anumit interval -> BucketSort

# COMPARATIE ALGORITMI DE SORTARE

Algoritm	Timp (Mediu/Defav)		Mem.	Stabil	Observatii
BubbleSort	$O(n^2)$	$O(n^2)$	$O(1)$	DA	"Tiny code size" (Wikipedia)
InsertionSort	$O(n^2)$	$O(n^2)$	$O(1)$	DA	Cautarea binara imbunatateste timpul de cautare a pozitiei de inserare
SelectionSort	$O(n^2)$	$O(n^2)$	$O(1)$	NU	Cel mai putin adaptiv
MergeSort	$O(n \log n)$	$O(n \log n)$	$O(n)$	DA	Not in-place; daca se aplica pe liste, nu necesita memorie aditionala; se poate aplica eficient pe liste; extrem de paralelizabil
QuickSort	$O(n \log n)$	$O(n^2)$	$O(\log n)$	NU	Selectia aleatoare a pivotului, pt a evita cazul defavorabil. Se poate face sa fie stabila.
RadixSort	$O(d(n+k))$	$O(d(n+k))$	$O(n+k)$	DA	Daca se utilizeaza counting sort pt. sortarea pe digit
BucketSort	$O(n)$	$O(n^2)$	$O(n)$	DA	
CountingSort	$O(n+k)$	$O(n+k)$	$O(n+k)$	DA	Not in-place

# PROBLEME PROPUSE

1. Fiind date doua siruri X si Y de numere intregi, pozitive, determinati toate perechile  $(x,y)$  astfel incat  $x^y > y^x$  unde x este un element din sirul X si y este un element din Y.  
Exemplu:  $X=\{2,1,6\}$ ,  $Y = \{1, 5\}$   
Iesire: Exista 3 perechi  $(x,y)$  si anume  $(2, 1)$ ,  $(2, 5)$  si  $(6, 1)$

2. Folositi RadixSort ca sa ordonati crescator o multime de date de forma  $\{zz,ll, an\}$ , cu  $an \geq 2000$ .  
Exemplu:

Datele de intrare:	Datele de iesire sortate:
{20, 1, 2014}	{ 3, 12, 2000}
{25, 3, 2010}	{18, 11, 2001}
{ 3, 12, 2000}	{ 9, 7, 2005}
{18, 11, 2001}	{25, 3, 2010}
{19, 4, 2015}	{20, 1, 2014}
{ 9, 7, 2005}	{19, 4, 2015}

3. Se da un sir de cuvinte. Ordonati sirul crescator in functie de lungimea fiecarui cuvint.  
Exemplu: cuvinte = {"invat", "la", "SDA"}  
Iesire: la SDA invat
4. Se da o lista simplu inlantuita care are proprietatea ca elementele sale sunt ordonate crescator apoi descrescator, apoi crescator s.a.m.d. ca in exemplu. Scrieti un algoritm care sorteaza lista crescator.  
Exemplu: Lista: 10->40->53->30->67->12->89->NULL  
Lista ordonata este: 10->12->30->43->53->67->89->NULL

# BIBLIOGRAFIE

- Th. Cormen et al.: Introduction to Algorithms, cap. 8, sect. 2.1
- Animatii – algoritmi de sortare:
  - <https://www.toptal.com/developers/sorting-algorithms/>