4) Clup. 13.

\*) Idea: add redundancy into the transmitted data stream to protect the information against channel impairments (e.g. additive noise). 0 ->000 0-0 4-3411  $1 \rightarrow 1$ 

Why does it help?

 $P_e = {3 \choose 2} p^2 (1-p) + {3 \choose 3} p^3$ Pe=P  $=3p^2-2p^3$ 

An example: Assume that four messages are being transmitted.

Case 1: uncoded transmission with BPSK.

00 -> -1-1 01 --- - |+| 10 -> +1-1 11 -> +1+1

Case 2: coded transmission

-> +1 +1 +1 111 00-> -- +1 -1 -1 o ( ----001 (0 ---> 001 ->- + 1-1 0 10 · ·

compare the performance of the two schemes AWGN channel. Case 1. Average energy = 2.

min. squared Euclidean distance = 4.

$$\frac{d^2i\dot{a}}{Eav}=2.$$

Case 2. Ave. energy = 3.

min. squared Euclidean distance = 8.

$$\frac{d^2}{E_{aV}} = \frac{8}{3}$$

At high SNRs the coded scheme is better by  $10 \log_{10} \left(\frac{8/3}{2}\right) = 1.25 dB$ .

- #) More sophisticated coding schemes would provide more gains
- 4) Cost: Instead of two bits, we transmit three bits (in the above example) => bandwidth expansion!

#### Ultimate limits:

For noisy channels, there exists a quantity called channel capacity (denote it by C) for which reliable communication (with arbitrarily low prob. of veliable communication (with arbitrarily low prob. of error) at rates RCC is possible.

And, for R>C, error rate is bounded away from zero.

EX) Binary symmetric channel (BSC)

ment

1 - P: crossover probability.

Ment

C=1-Hb(P) bits {Hb(.): binary entropy function (in bits)

eg. for P=0.1 => C=0.53 bits/use.

4

$$\lambda = X + N$$

$$X : input, power constraint P.$$

$$(E[X^2] \le P)$$

N~W(0, Pu)

$$C = \frac{1}{z} log \left(1 + \frac{P}{P_N}\right)$$
. bits/use.

EX) Bandlimited Gaussian Waveform Channel

$$y(t) = x(t) + \eta(t)$$

Garssian noise process with  $\frac{N_0}{2}$ .

input with

power constraint P

& bandwidth constraint W

$$C = W \log \left(1 + \frac{P}{N_0 W}\right)$$
 bits/sec.

eg. W=3kHz, 
$$SNR = \frac{P}{N_0W} = 39dB$$

$$C = 38.8 kbps$$

\*) Channel coding is the way to approach the information theoretic channel vapacity limits.

There are two main classes of codes: - linear block codes.

- convolutional codes.

and the second of the second o

## Linear Block Codes:

\*) (n,k) block code is a collection of M=2k binary sequences of length n.

Codewords: C1, C21.-, CM'
(each on n-typle)

Code rate: K/M. Pactor: M. Bandwidth expansion factor: Tk.

#) If the set of codewords E1, --, EM form a subspace of all n-types, then the code is called à linear block code.

An equivalent defn: If the modulo-2 sum of 6 any two codewords is also a codeword, then the code is a linear block code.

4) Note: All zero sequence 3 always a codeword for a linear block code. (why?)

ex! Even parity code.

Add one parity bit to a sequence of message bits (of length k) to make the total number of 1's even.

e.g. olloll k=5, n=6we seage vale = 5/6.

With this code we can detect single bit errors in the transmission.

even parity on each column.

orate  $\frac{25}{35} = \frac{5}{7}$ .

. Can correct single bit errors.

ex) A (5,2) code with codewords

200000, 10100, 01111, 110113 13 2

enear block code (verify!)

For encoding, the mapping of

00000 01-01111 10-->10100 11-3 11011.

# Generator and Parity Check Matrices:

\*) Generator matrix G is a kxn matrix (of o's el's) where the rows form a basis for the k-dimensional code exploser.

\*) Define e= (1,0,0,...,0) (1xk row rectors) e2 = (0,1,0,--,0) ex = (0,0,0,...,1)

as the codeword Then, if we gide Fi corresponding to Ei (i=1,21...,k), we can form a generator matrix for the code as G = | 31 |.

\*) Consider à message vector 2 25,

$$\chi = (\chi_1, \chi_2, ..., \chi_k)$$
.

We can write:  $x = \sum_{i=1}^{n} x_i e_i$ , and as the

codeward corresponding to 2 we can use!

$$c = \sum_{i=1}^{k} x_i 2i$$

I.e., we have  $c = z \cdot G$ .

\*) Note: all operations are in the binary field.

ex) For the prev. ex:

$$G = [0, 1, 0, 0]$$

eign for 
$$x = [1 \ 1]$$
,  $c = [1 \ 1]$   $G = [1 \ 1]$ .

Systematie Codes.

n-k parity check bits are added to the information bits to form the n-bit ademords.

i.e., 
$$c_i = \chi_i$$
  $i = 1,2,...,k$ 

$$C_{i} = \chi_{i}$$

$$C_{i} = \sum_{j=1}^{k} P_{2i} \chi_{j}$$

$$i = k+1, k+2, \dots, n.$$

$$j=1$$
 $(4/2)$  code with  $c_1=\chi_1$ ,  $c_2=\chi_2$ ,  $c_3=\chi_1+\chi_2$ ,
 $c_4=\chi_1$  is a systematic code, with

$$G = \begin{bmatrix} 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 \end{bmatrix}$$

parity check matrix.

- \*) parity check matrix H is an N-kxn matrix of 0's kl's whose rows form a basis for the null space of G.
  - +) For any codeword &: c. Ht =0
  - A GUHT = Q.
  - De For systematic codes: G=[Ik:P]

$$R = \begin{bmatrix} P^{\dagger} & I & I \\ P^{\dagger} & I & I \end{bmatrix}$$

ex) Prev. example: G = [0 | 10]

$$\exists H = \begin{bmatrix} 1 & 0 & 0 & 1 \end{bmatrix}$$

### Minimum Distance of a Code:

\*) Del: Hamming distruce between any two codewords Ci, Cj is the number of components at which the two codewords differ. Denoted by d(Ci, Cj).

e.g. Si = [1011011]  $\int_{-1}^{1} d(Si,Si) = 6$ . Si = [0100101]

- +) Del. Hamming weight of a codeword Er is the number of 1's in the codeword. Denoted by w(Si).
  - \*) Def. Minimum distance of a code:

 $d_{min} = min d(si, sj)$  si, sj  $i \neq j$ 

(min. Hamming distance between any two codewords)

\*) Def. Minimum weight of a code:

Wmin = min w(si).

\*) In any linear block code dmin = Wmin.

(needs proof, see the textbook, p. 696)

\*) dmin is the smallest number of columns of H that add to zero.

[to see this: recall that c. Ht = 0, i.e., if C=[C1 C2···Cn] 13 à codeword &

H=[h, hr...hn], we have

 $[c_1 c_2 \cdots c_n] [h_1 \cdots h_n]^{t} = 0$  $\Rightarrow \sum_{i=1}^{n} c_i b_i = 0 \Rightarrow \sum_{i=1}^{n} c_i b_i = 0.$ 

### Hamming Codes:

\*) A class of linear block codes with

$$n = 2^{m} - 1$$

$$k = 2^{m} - m - 1$$

where m 73 is an integer.

· · · Hamming vien (7,4), (15,11), (31,26), (63,57) codes exist.

A harmon was the common for the con-

Code rate = 
$$\frac{k}{n} = \frac{2^m - m - 1}{2^m - 1}$$
List ex

\*) Can correct exactly one bit error.

\*) Parity check matrix consists of all 2<sup>m</sup>-1 nonzero m-tuples.

(7,4) Hamming code. ex) m=3, n=7, k=4,

$$H = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 & 1 & 0 & 0 \end{bmatrix} & G = \begin{bmatrix} 1 & 0 & 1 & 1 \\ 2 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 & 0 \end{bmatrix}$$

where  $G = \begin{bmatrix} 1 & 0 & 1 & 1 \\ 2 & 1 & 1 & 0 & 0 \\ 1 & 1 & 1 & 1 & 0 \end{bmatrix}$ 

(this is an example of a systematic Hamming

Consider transmission of a codeword over an AWGN channel (assume that the coded bits are modulated using BPSK). I.e.,  $c_i = [c_{i1}, c_{i2} - c_{in}], c_{ij}'s$  are BPSK modulated (ie 0->-1, 1->+1). Received

(or, ció-> 2ció-1)

sianal:  $f_i = (2 \text{ Cij-1}) \cdot \sqrt{E_s} + \eta_i$  i = 1/2, ---, nsignal:

Es: energy per coded bit.

 $E_b = \frac{E_s}{Rc} = \frac{\gamma}{L} E_s$  energy for with

 $M_2 \sim \mathcal{N}(0, \frac{M_0}{2})$ . i.i.d. noße samples.

Soft decision decoding: Given  $y = [y_1 y_2 - - y_n]$ , what is the most likely codeword?

(ML decoding)

Solution: Minimize the squared Euclidean distance between the received sequence & the distance between the received sequence & the distance BPSK modulated versions of codewords. I.e.,

$$\frac{\hat{C}}{C}_{opt} = \underset{c_i}{\operatorname{argmin}} \sum_{j=1}^{n} (\hat{J}_{ij} - VE_{ij}(2c_{ij}-1))^{2}.$$

\*) Soft decision decoding is usually very difficult for linear block codes, and a more frequently used decoding scheme is "hard decision decoding."

Hard Decision Decoding:

horasin to the lateral of the second of the

\*) Idea: Map the components of the received signal y=[y, y, -- yn] to 0's &1's (i.e., find

 $\hat{c} = [\hat{c}_1, \dots, \hat{c}_n] \quad \text{s.t.} \quad \hat{c}_3 = 0 \quad \text{if} \quad \hat{b}_3 < 0 \quad \text{s.t.} \quad \hat{c}_3 = 0 \quad \text{if} \quad \hat{b}_3 < 0 \quad \text{s.t.} \quad \hat{c}_3 = 0 \quad \text{if} \quad \hat{b}_3 < 0 \quad \text{s.t.} \quad \hat{c}_3 = 0 \quad \text{if} \quad \hat{b}_3 < 0 \quad \text{s.t.} \quad \hat{c}_3 = 0 \quad \text{if} \quad \hat{b}_3 < 0 \quad \text{s.t.} \quad \hat{c}_3 = 0 \quad \text{if} \quad \hat{b}_3 < 0 \quad \text{s.t.} \quad \hat{c}_3 = 0 \quad \text{if} \quad \hat{b}_3 < 0 \quad \text{s.t.} \quad \hat{c}_3 = 0 \quad \text{if} \quad \hat{b}_3 < 0 \quad \text{s.t.} \quad \hat{c}_3 = 0 \quad \text{if} \quad \hat{b}_3 < 0 \quad \text{s.t.} \quad \hat{c}_3 = 0 \quad \text{if} \quad \hat{b}_3 < 0 \quad \text{s.t.} \quad \hat{c}_3 = 0 \quad \text{if} \quad \hat{b}_3 < 0 \quad \text{s.t.} \quad \hat{c}_3 = 0 \quad \text{if} \quad \hat{b}_3 < 0 \quad \text{s.t.} \quad \hat{c}_3 = 0 \quad \text{if} \quad \hat{b}_3 < 0 \quad \text{s.t.} \quad \hat{c}_3 = 0 \quad \text{if} \quad \hat{b}_3 < 0 \quad \text{s.t.} \quad \hat{c}_3 = 0 \quad \text{if} \quad \hat{b}_3 < 0 \quad \text{s.t.} \quad \hat{c}_3 = 0 \quad \text{if} \quad \hat{b}_3 < 0 \quad \text{s.t.} \quad \hat{c}_3 = 0 \quad \text{if} \quad \hat{b}_3 < 0 \quad \text{s.t.} \quad \hat{c}_3 = 0 \quad \text{if} \quad \hat{b}_3 < 0 \quad \text{if}$ 会 は り; >0),

& then find the codeword which is closest to 2 in the Hamming distance sense. That is,

Copt = arg min d (si, s).

\*) For a general block code (not necessarily linear), this is a very hard problem. We need to search over all codewords (there are 2k of them).

\*) The decoding problem is simplified for the case of linear block codes. We can use the standard array or syndrome table decoding.

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P. 2 5

the codeword

The codeword

The codeword

The binary

The binary

The binary n-tuple à 13 received.

 $e = e + \hat{c}$   $\hat{s} = e + e$ Error rector: (binary componentwise addition).

\* Syndrome of a: [5=2.4t] (1 x n-k vector of 0's & 1's.)

 $|S| = (c + e) H^{t} = e H^{t}$  (syndrowe depends only on pattern) |S| = 2 if and only if 2 is a codeword

if z + 2, presence of errors in the transmission

nos seen detected.

2½-1 undetectable error

·) Clearly, there are

o)  $J_{d(\underline{c},\underline{c})} = d(\underline{c}+\underline{e},\underline{c}) = d(\underline{e},\underline{0}) = w(\underline{e})$ o) There are  $2^k$  error patterns that result in the

some syndrome. [s=eHT] > n unknown not equations not equations

#### Standard array:

\*) 2 codewords are placed on a row (with ==0 as the leftmost element).

\*) A new row is obtained by choosing an unused n-typle e with the lowest number of I's by placing et si under Si.

\*) Continue until all n-tuples are covered.

That 13, (let  $M=2^k$ )

1 = 0	<u>C</u> <sub>2</sub>	e <sub>i</sub> tem
e de la companya de l	exter exter	ez+CM
e <sub>2</sub>	e2+ c2 e2+c3	
		Ente, +CM
ez-k-	Eznet + Sz Eznet + Sz	

(3,1) repetition code

 $((\frac{1}{2}\log C) - \log C_{1}) = 3 + \frac{1}{2} \log \log C_{1} + (\log C_{1} + \log C_{2}) + (\log C_{1} + \log C_{2}) + (\log C_{2} + \log$ 

0 -> 000

1-3111.

Standard array:

1000	111
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	110
001	101
010	911
100	and the second s

rows of the standard array are called the cosets of the code. The first n-typle in each row is the coset leader.

\*) No two n-types in the same row are identical. Facts!

\*) Every n-tuple appears in one and only one row.

\*) If e & e' have the same syndrome then
they after by a nonzero codeword. Thuis, two n-tuples have the same syndrome if and only if they are in the same coset (row). Decoding based on the standard array: Find 2 in the standard array. The coret leader is the most likely error pattern & the column header is the maximum likelihood

and I know a many the beautiful.

Syndrome Table Decoding:

ML decading:

- Calculate syndrome:  $S = \hat{C}H^T$ 

- Find e s.t. eHT=5 ~ multiple

- Choose e with minimum w(e).

\*) Compute the syndrome of

€, i.e., s= £.Ht, lookiup

with the same syndrome

to find the most likely codeword.

the received rector

the error pattern & find 2+2

ex) (3,1) repetition code.

$$H = \begin{bmatrix} 1 & 0 & 1 \\ 1 & 0 & 1 \end{bmatrix}_{1 \times 3}$$

syndrome error pattern 00 000 0 | 001 010 100

(3,1) repetition code.  

$$G = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 2 & 3 \end{bmatrix}$$

$$G = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 3 & 3 \end{bmatrix}$$

$$G = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 3 & 3 \end{bmatrix}$$

$$G = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 3 & 3 \end{bmatrix}$$

$$G = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 3 & 3 \end{bmatrix}$$

$$G = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 3 & 3 \end{bmatrix}$$

$$G = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 3 & 3 \end{bmatrix}$$

$$G = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 3 & 3 \end{bmatrix}$$

$$G = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 3 & 3 \end{bmatrix}$$

$$G = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 3 & 3 \end{bmatrix}$$

$$G = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 3 & 3 \end{bmatrix}$$

$$\begin{cases} 1 & c = [110] \\ 3 & s = [01] \end{cases}$$

$$S = \hat{C} + \hat{T} \rightarrow 1 \times 2 \qquad 2^2 = 4 \text{ Syndromes}$$

$$1 \times 3 \times 3 \qquad (k=1 \text{ n=3})$$

exercise -> Similarly for s=[10] & s=[11]

e.g. 
$$\hat{C} = [1 \ 1 \ 0]$$

$$S = \hat{C} + [1 \ 1 \ 0] \begin{bmatrix} 1 \ 1 \end{bmatrix} - [0 \ 1]$$

$$So, \hat{C} = [1 \ 1 \ 1]$$

$$So, \hat{C} = [1 \ 1 \ 1]$$

Syndrome Table:

leaders.

<u> </u>	S	<u> </u>		- 2 <sup>k</sup> -		<u>}</u>	<del>&gt;</del>	
, sau	0	10	C <sub>2</sub>	*	*	-	C2 <sup>k</sup> ↑	
	S <sub>2</sub>	1 E2	!   ez+Cz			·	<u>C</u> 2+ <u>C</u> 2k	
	:	1 (	! :				2 2 2 1	K
	S	1 @	1 e+ C2	•	•	,	e+c2k	
	<u>S</u> 2n.k	e 2n-k	e20-k+	-2 ,		•	ezn-k+Czk	
		4						
		Syndron (cosed	ne :)					

Ex. If 
$$G = \begin{bmatrix} 1 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 & 1 \end{bmatrix}$$
 and  $H = \begin{bmatrix} 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 \end{bmatrix}$ .

obtain syndrome table and decode £=[11101].

22=4 adewords 25=28 syndromes

$$C = X G \rightarrow C_1 = [00000] C_2 = [10101] C_3 = [11010] C_4 = [01111].$$

Syndrome Table:

- sy y parent capatron and an amount canada	<u> </u>		É		
<u>S</u>	e+C1	e+ C2	E+C3	e+C4	
000	00000	10101	11010	01111	
004	00100	10001	11110	01011	
[010]	01000	11101	10010	00111	
011	01100	11001	10110	00011	
100	10000	00101	01010	11111	
101	00001	10100	11011	01110	
110	00010	10111	11000	01101	
111	(01001)	11100	10011	00110	
0	ir, 00110			e	

or, 00110

e.s. find e for 
$$s=[1111]$$
:  $[e_1 e_2 e_3 e_4 e_5][1 0 0]=[111]$ 

e.g.  $e_1 e_2 e_3 e_4 e_5$ 

 $e_{1} \oplus e_{4} \oplus e_{5} = 1$   $e_{2} \oplus e_{4} = 1$   $e_{3} \oplus e_{5} = 1$   $e_{3} \oplus e_{5} = 1$   $e_{4} \oplus e_{5} = 1$   $e_{5} \oplus e_{5} = 1$   $e_{6} \oplus e_{7} \oplus e_{7} = 1$   $e_{6} \oplus e_{7} \oplus e_{7} = 1$ 

$$\hat{c} = [11101] \Rightarrow s = \hat{c}H^T = [010] \Rightarrow e = [01000]$$

$$\hat{c}\theta e = [10101] = c_2$$

From H, dmin = 3.   
Or, dmin = min 
$$w(ci) = 3$$
 | can detect all errors up to 2 bits

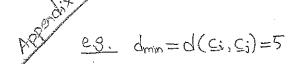
or, dmin = min  $w(ci) = 3$  | can correct all errors of 1 bit.

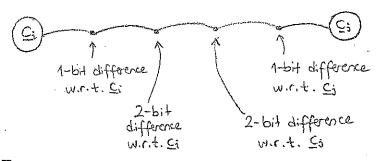
 $\frac{ci}{ci + 0}$ 

## Error Correction & Detection Capabilities:

- A block code with min. distance dmin is guaranteed to detect all error patterns of dmm-1 or fewer 1's.
  - \*) An (n,k) linear block code can detect exactly 2<sup>n</sup>-2<sup>k</sup> error patterns. There are 2<sup>k</sup>-1 undetectable error patterns.  $(2^{n}-1)-(2^{k}-1)$   $= 2^{n}-2^{k}$
- \*) If a linear block code is used for random error correction, then all error patterns with tor fewer "1"s with 2t+1 & dmin & 2t+2 \*) There exists an error pattern with the l's that connot be corrected.

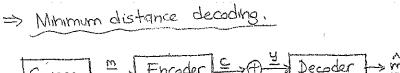
  \*) A total of 2<sup>n-k</sup> | non-zero error patterns can be corrected.
- corrected (coset leaders in syndrome table decoding).

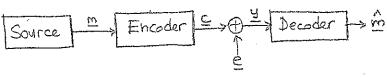




(2-bit errors can be corrected by selecting the closest adeword:

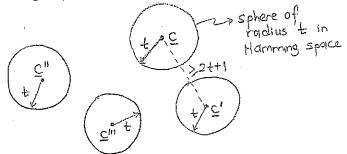
• Error Correction Rule: Given a vector  $\underline{y}$ , decode it into the codeword  $\underline{c}$  s.t.  $d(\underline{y},\underline{c})$  is minimum over all codewords.





Replace y with  $\hat{c}$ on this page.  $y \leftrightarrow \hat{c}$ 

Hamming space



Spheres do not mtersect. So emore with st an be corrected.

· If d(c,y) ≤t, then d(y,c')>t ∀c'+c. + for a code with dmin=2+1.

Proof: Triangle inequality:  $d(\underline{c},\underline{y}) + d(\underline{y},\underline{c}') \ge d(\underline{c},\underline{c}') \ge 2t+1$ 

$$d(\underline{y},\underline{c}) \geqslant 2t+1-d(\underline{c},\underline{y}) \geqslant t+1$$

• For a linear code, if c and s' are codewords, then C-C' is also a codeword.

. , 'q.