

**European Joint Doctorate in
Data Engineering for Data Science (DEDS)**

Doctoral Project Plan¹

Thesis Title

First Name Last Name

1 Project Summary

The term Big Data refers to data sets that are too large or complex to be dealt with by traditional data processing software. In particular, Big Data captures to 4 dimensions: Velocity, Variety, Veracity, and Volume. This large quantity of data available nowadays has a lot of statistical and business value, therefore their analysis is of core importance for business decision-making.

Data Integration is the set of processes to gather and bridge data from heterogeneous sources together in order to have a unified view. The premise of data integration is to make data more freely available and easier to consume and process by systems and users. Research on Data Integration started more than 50 years ago [17, 29] but as we entered the Big Data era, new challenges arose, which include scaling [14] data integration while guaranteeing the privacy [45] of the individuals involved in the datasets. Over the last decade new techniques have been applied for improving computational performance, consisting of the use of parallelizing the computation by using big data processing platforms [14], or algorithmically, namely using summarization techniques [6], used for approximate fast and approximate querying, improving the performance of Machine Learning processes [22, 1, 26] as well as in some data integration scenarios.

Analyzing Big Data may involve accessing sensitive information, especially in the health sector. For these reasons, in Data Integration, techniques like Secure Multi-Party Computation and Differential Privacy [15] have been used. One big concern in private Data Integration is that it is difficult to guarantee privacy, accuracy, and good performance at the same time.

Machine Learning and Data Integration have really close relationship [13]. In particular, it is possible to leverage the first to improve the performance of the second and vice-versa. An evolving branch of Machine Learning is Federated Learning, which consists in building a Machine Learning model in a federated setting (when the data is distributed across edge devices) and model in a collaborative way, without moving the data to a central server.

This Ph.D. aims to explore summarization techniques and Federated Learning for scaling Data Integration tasks while guaranteeing privacy and achieving good performance.

2 Scientific Content of the Doctorate Project

2.1 Background

2.1.1 Data Integration

Data Integration (DI) is the practice of consolidating data from disparate sources into a unified view. It has been studied since the birth relational databases. It is characterized by three main

¹Choose the appropriate heading. Based on the PhD Study Plan of Aalborg University, available at <http://www.phd.teknat.aau.dk/intranet/phd-study-plan>.

steps:

1. **Schema alignment**, a process that takes as input a set of different schemas on the same domain and outputs a *mediated schema*, an *attribute matching* and a *schema mapping*.
2. **Record linkage**, also referred as entity resolution, computes a partitioning of the set of records from different datasets, such that each partition identifies the records that refer to a distinct entity.
3. **Data fusion** aim is to identify which are the best records to represent a specific entity, when a source provide conflicting values.

2.1.2 Federated Learning

Federated Learning is a machine learning approach where a model is trained across multiple decentralized edge-devices, in an edge computing fashion. Each device trains a local model and then, either in a centralized, decentralized or heterogeneous approach, build a global model. It differs from a distributed machine learning as the data is not expected to be identically distributed. Besides the advantage of having a distributed computation, guaranteeing more efficiency, it gained a lot of popularity due to the fact that data is not exchanged between the parts involved, thus guaranteeing privacy.

It has gained a lot of popularity both in research and industry, in particular in transportation [16], Industry 4.0 [40] and digital health [35].

2.1.3 Differential Privacy & Synopses for Big Data

Differential Privacy (DP) is a technique for sharing datasets' information without compromising the privacy of the individuals. The idea is to add noise to the data such that the new distribution is close to the real one, but not equal.

Synopses or summaries are a set of technique and probabilistic data structures to compute compact description of big datasets. They gained a lot of popularity over the last decade due to the rise of Big Data.

2.2 State of the Art

2.2.1 Privacy-aware Data Integration in the Big Data Era

Privacy in the context of data management has gained a lot of popularity over the last decade, as public awareness about issues in management sensitive data increased. Due to this, privacy became of central importance in the field of Big Data Management, analytics and processing.

In the particular case of Data Integration, privacy-preserving techniques has been used extensively in literature, especially for record linkage. In particular, differentially-private record linkage and cryptography has been used extensively [27, 44, 5, 28, 21, 31, 4, 28]. As regards schema matching and data fusion, there are fewer works on guaranteeing privacy, most of the work is based on guaranteeing efficiency, by using both rule-based and learning-based approaches [38, 37, 39].

Schema matching. Schema matching aligns attributes and data types. It is one of the oldest problems studied for data integration and the traditional approaches consist in extracting knowledge according to a predefined schema. They can be categorized as it follows:

- **Schema-level matchers**, where only the metadata is considered (e.g. column labels, data-types). Linguistic matching is mostly used here (stemming, tokenization, etc.) [2].
- **Instance-level matchers**, where the content of the columns is used for matching by using probabilistic approaches [41, 9] or rule-based approaches.

- **Hybrid matchers** that combine the two matchers just described.

In schema matching the problem of *volume* have to be taken into account only in few specific cases, for example when we consider millions sources from the web [34], but not in typical DI scenarios, where the number of sources is limited. Universal Schema [37] has revolutionized schema alignment. It consists in extracting (subject, predicate, object) triples, where the predicate can be any word or phrase from texts and instead of outputting mappings between predicates, it adds inferred triples. This is done through matrix factorization [37], while recently it is improved by using Recurrent Neural Networks [7, 30].

For what we know so far, there is no work in literature regarding privacy-aware schema matching. This because most of the techniques are based on the schemas' metadata. Nevertheless, if Instance-level approaches are used, it may be useful to use techniques for guaranteeing the privacy of the individuals, e.g. differential privacy.

Record linkage. Record Linkage, also called Entity Resolution, consists in finding records, among different data sources, that refers to the same real world entity. It is the most important problem in integrating data from different sources.

Generally, it proceeds in three steps:

1. **blocking records** that are likely to be a match;
2. **compare pairs of records** to decide if it's a match;
3. **clustering records** according to the previous step's results.

Approaches consisted mostly in rule-based techniques [17, 18] for the first two steps, while for clustering either rule-based or optimizing a particular objective function [24].

Recently, supervised learning approaches (e.g. Support Vector Machines, Decision Trees, Random Forest) showed to obtain high precision and recall [8], at the cost of generating training labels, i.e. to obtain a precision and recall of 99% on linking a pair of datasets, 1.5M training labels are required [11].

Performance and efficiency is not only the main concern of Record Linkage. In a real-world scenario, the data involved in the linkage may be sensitive, and methods to guarantee the privacy of the individuals is a major concern. Privacy-Preserving Record Linkage (PPRL) identifies the set of techniques that aim to link different datasets in a privacy-preserving manner. Initially, Secure Multiparty Computation (SMC) techniques were used, in particular, the Paillier crypto-system [32]. These protocols are reliable and very effective, with the downside of a very prohibitive computational cost. In order to improve performance, by applying secure transformations to the data [3], such as embedding records to different spaces and then mining them with differential privacy. This comes with the cost of having less accurate results.

Generally, the PPRL protocols proposed for secure two-party private record linkage are not able to meet the following three requirements altogether, without making strict assumptions: (1) **full end-to-end privacy**, (2) **perfect precision and recall** for the matching records and (3) **sub-quadratic computational complexity** [25, 23]. Moreover, multi-party PPRL is a more realistic scenario and only in the last years it has been tackled, with still limited results [43, 44, 42].

Data Fusion. Data fusion resolves conflicts between different data sources, by selecting the best record per entity. Access to highly accurate data is critical for industry applications, such as knowledge graph search, so data fusion is often an important step in data integration.

The main methods for data fusion are rule-based [12] and also data-mining based [33]. Graphical models are also used in this context [19] as well as semi-supervised approaches [36].

Privacy-preserving data fusion has not been studied deeply in literature. There are a few context specific works, for example [10] identifies privacy issues and future research directions for data fusion in Internet-Of-Things and [20] which focuses on Differential Privacy in the context of Cyber-Physical Systems.

2.2.2 Federated Learning

Section 3. Co-supervisors/Candidate Co-operation Agreements

The project will be carried out in three years during which the PhD student will stay in one research institution and one university. During the first and the third year, the candidate will work in Athena Research Center (ARC) under the supervision of Prof. Minos Garofalakis (ARC). During the second year, the program will take place in Universitat Politècnica de Catalunya (UPC) under the supervision of Prof. Oscar Romero (UPC). The project will be a joint work of all parties, hence close co-operation is expected in the following way.

The progress of the project will be validated through frequent meetings between the candidate and his supervisors. The candidate will meet on a weekly basis with his home supervisor and one or two times per month with his host supervisor (the opposite when he will be hosted at UPC). Following typical business practice, the expectations and tasks planned for each meeting will be clearly communicated in advance, with a reasonable notice, both from the supervisors to the candidate and vice-versa. Standard tools of the trade will be used to boost collaboration, such as a shared repository for documents and code artifacts (e.g., Mendeley Library, Github), communication platforms (e.g. Skype, Teams).

Section 4. Proposed Education and Training Programme

The DEDS education and training programme is composed of several activities.

- **Research**, where doctoral candidates work on a novel research problem guided by two supervisors who will advise them to gradually become independent researchers.
- **Research-specific courses**, aimed at providing doctoral candidates with focused state-of-the-art technical skills pertaining to their research topic.
- **Innovation and entrepreneurship courses**, aimed at complementing the scientific training of doctoral candidates with business-related aspects such as entrepreneurship, intellectual property rights, etc.
- **Methodological and communication courses**, aimed at introducing the necessary research methods and communication skills.
- **Language courses**, aimed at introducing the local language at each partner university.
- **Summer and winter schools**, wherein candidates will obtain feedback about their research from invited researchers and practitioners, as well as get international contacts in both academia and industry.
- **Tutoring**, whereby candidates will be involved in teaching activities (e.g., supervising student projects and delivering exercises) while being coached by their supervisors or other experienced staff.
- **Knowledge dissemination and participation to scientific events**, aimed at allowing doctoral candidates to present and confront their findings, thereby familiarising themselves with essential practices such as peer-review and public debating.
- **External cooperation and secondments**, aimed at ensuring that the candidate participate actively in another research environment outside his/her home and host universities. These activities are realised typically with DEDS partner organisations.

Please detail in the following subsections your personalised education and training programme, taking into account your previous background and future career prospects. This programme must be approved from both co-supervisors.

Activities adding at least 30 ECTS credits must be outlined. A tabular listing of all activities performed or to be performed during the doctorate project is to be included. Group the activities according to the categories specified above. For each activity, the title, time, location, organiser, and ECTS credits must be included together with an indication of whether the activity has been completed. Please use this table:

Activity	Place/Organised by	ECTS	General/Project course	Status

TPR: The table of activities must be updated with planned and hitherto completed activities.

RPR: The contents and the extent of the completed activities must be reported. It is expected that all training activities have been finalised in order to devote the last year of the project for finalising the Doctoral Dissertation.

Section 4.1. Planned Courses

Courses adding at least 20 ECTS credits must be outlined.

Only courses at doctorate level are approved. If a course at master level is deemed to be highly relevant for the doctorate project, the co-supervisors can establish a study group on the topic, which includes the master course and additional reading/discussion to bring it up to doctorate level. A written report on participation in a study group must be completed to get course credit. To ensure the scientific level, the study circle must be headed by a member of the scientific staff, who is Professor or Associate Professor (senior scientist level). A 2-3 ECTS study circle organised by the co-supervisors on the state of the art in the research field of the doctorate study is recommended.

TPR: The course table should be updated with more specific information for the completed courses, as well as with the rest of planned courses for the rest of the doctorate project.

RPR: The table must be updated, reporting the complete set of courses that are completed in the doctorate project.

Section 4.2. Knowledge Dissemination and Participation to Scientific Events

Detail the plan for dissemination of knowledge and findings from the project.

This could for instance be:

- Poster presentations at conferences/seminars.
- Presentations at conferences/seminars
- Newspaper articles or other popular presentations
- Teaching (lecturing and project supervision)

Each participation to scientific events must be accompanied by a written report by the doctorate candidate that relates the specific activity to the doctorate project. This report must be of general value for the project. Activities that relate to workshop and conference participation must not exceed 6 ECTS credits.

TPR: Updated plan for dissemination of knowledge and findings from the doctorate project other than those listed in Section 2 must be specified.

RPR: The final realisation of the knowledge and findings dissemination from the doctorate project other than those listed in Section 2 must be reported.

Section 4.3. External Co-operation

The doctorate candidate will spend time studying both in Greece ‘ and Spain. Furthermore, a secondment of three months will take place, where the candidate will join Spring Techno, where he will work on of a complex Federated Learning scenario with real data. During the following three years, all ESRs will meet in four different winter/summer schools to present their work, receive feedback, exchange ideas, and get exposed to new challenges. During these schools, candidates will have the opportunity to get in touch with academic and non-academic partners, presenting them their findings, reflecting on new opportunities, and opening the way for further collaboration. Finally, the candidate may co-operate with external researchers or research teams, in case that his work can be combined or merged with similar works of others.

Section 5. Agreements on Immaterial Rights to Patents

Patents and immaterial rights will be handled according to general rules applied by Athena Research Center, National and Kapodistrian University of Athens, and Universitat Politecnica de Catalunya.

Section 6. Financing Budget

This project is one of the 15 ESRs of Data Engineering for Data Science PhD programme, which is funded by the European Union’s Horizon 2020 research and innovation programme under the Marie Skłodowska-Curie grant agreement No 955895. The funding covers expenses related to the successful completion of the project, such as work equipment, research experiments, training activities and others that are relevant to the programme.

Section 7. Career Development Plan

In this section, the candidate’s career plan and development are described. When the thesis is handed in (M4), this section is revisited in a self-contained document called “Career Development Plan” (CDP) to be signed by the candidate and the supervisors.

Section 7.1. Long-Term Career Objectives

DPP: Describe long-term career goals (over 5 years) and how to become able to reach those goals.

TPR, RPR, CDP: Update as needed if the career plans have evolved.

Section 7.2. Objectives Covered in Project

Describe which development objectives will be/have been achieved in the project with respect to

1. Research skills and techniques
2. Research management and co-operation
3. Communication skills
4. Other professional training
5. Networking activities and opportunities
6. Other activities with professional relevance

CDP: Include also published and accepted papers as well as completed course activities such that the document is self-contained.

Section 8. References

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Date:

The Supervisor from the Home University

Professor
Date:

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Date:

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