




# BCImat: a Matlab-based framework for Intracortical Brain-Computer Interfaces and their simulation with an artificial spiking neural network

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## Summary

Recent advances in intracortical Brain-Computer Interface (BCI) technology allowed motor disabled patients to partially regain lost motor functions (Aflalo et al., 2015; Ajiboye et al., 2017; Collinger et al., 2013; Hochberg et al., 2012). In these patients, intact neural activity is extracted from motor-related areas of the cerebral cortex via intracortical implanted electrodes and interpreted by a machine-learning algorithm to control a prosthetic device, thereby bypassing dysfunctional corticospinal projections that resulted, for example, from spinal cord lesions. BCIs of this type have been and still are being developed mostly in non-human primate animal models. Additionally, they are also used for basic neuroscientific studies in animals to establish a specific experimentally-controlled transformation between the brain area under investigation and a specific behavior (Koralek et al., 2012; Sadtler et al., 2014), thereby imposing a direct and controllable causal link between brain activity and behavior. The software introduced here allows true online BCI control of a computer cursor based on physiological signals. Importantly, it also allows realistic real-time neural data simulations from artificial spiking neural network (SNN). With this, all algorithms and the control architecture can be tested in silico identical to the physiological experiment.

## Statement of need

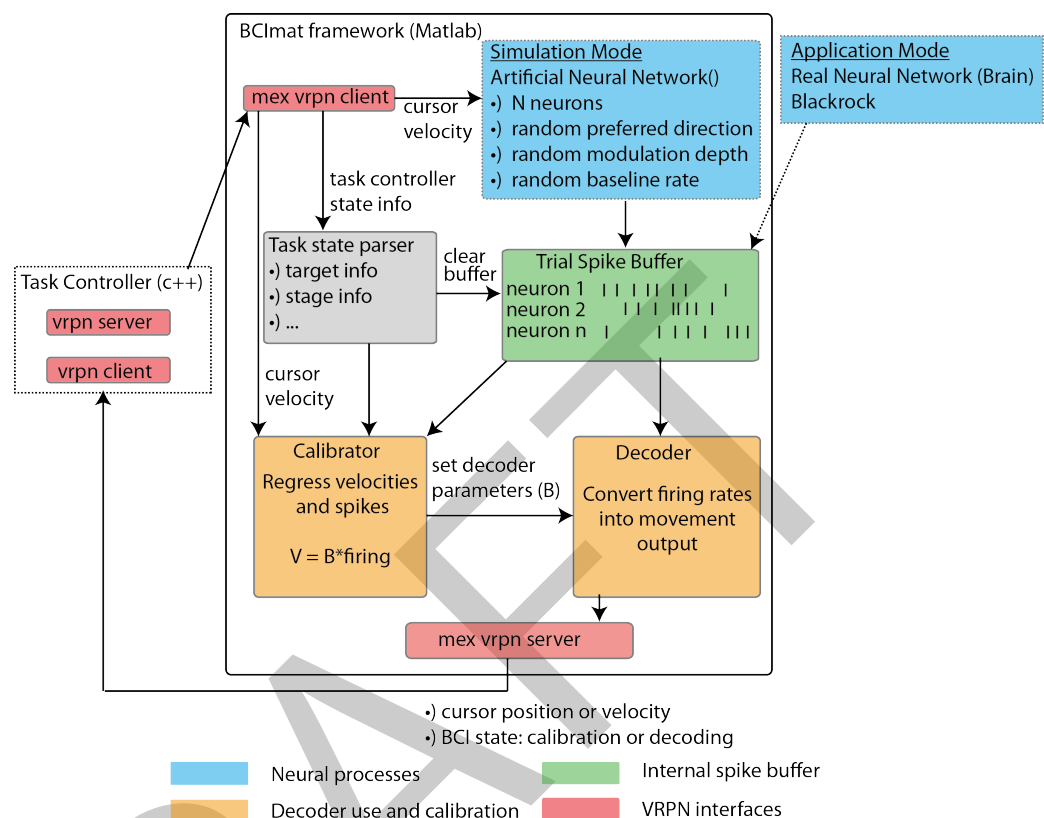
Software for simulating, testing, and applying BCIs based on intracortical recordings of neural spiking activity is not publicly available. Most of the publicly available software for BCIs is designed for applications based on time-continuous electrophysiological signals, like electroencephalographic (EEG) or electrocorticographic (ECoG) signals (Stegman et al., 2020). BCImat instead is a Matlab framework for implementing and testing a BCI based on stochastic event time-series, particularly neuronal spiking signals recorded from large numbers of individual neurons that vary at a time-scale of milliseconds. Importantly, BCImat can alternatively use as input simulated data from a built-in artificial spiking neural network (SNN). This allows testing BCI applications with the same algorithm and framework as intended for later use in BCI experiments but before the availability of recordings from implanted animals or human patients. This way, the full online decoding experiment or application can be developed in advance without the need for pre-recorded data files. The code is intended for use by anyone wanting to test closed-loop BCI methods or perform intracortical closed-loop BCI experiments. Here the method was tested in rhesus monkeys but it is as well suited for use in other species

42 (mice, humans).

## 43 Overview

44 The software that defines the BCI framework (BCImat, Fig. 1) interfaces bi-directionally with  
45 the software that serves as a simple behavioral task controller (here written in c++, Task  
46 Controller Fig. 1). The task controller allows computer-controlled behavioral experiments in  
47 which subjects perform center-out reach movements by moving a cursor on a screen with the  
48 computer mouse. The BCI framework interacts with the task controller for the purpose of  
49 decoder calibration, when first training the machine-learning algorithms to link the physical  
50 movements of the hand to the corresponding neural activity patterns, and then later to control  
51 cursor movements exclusively based on the neural activity patterns. The communication  
52 between the task-controller and the BCI framework is done via the Virtual-Reality Peripheral  
53 Network (VRPN) protocol, implementing a client-server application via Transmission Control  
54 Protocol (TCP) or User Datagram Protocol (UDP) (Taylor et al., 2001) on both sides. On the  
55 task controller side, a c++ server application is used to provide information to BCImat about  
56 the stages of the behavioral task and the position of the cursor on the screen. On the same side,  
57 a client application is implemented to update the cursor position on the screen that is read from  
58 BCImat. On the BCImat side, a Matlab executable version of the server and client VRPN classes  
59 are implemented to read the parameters for calibration and send the decoded parameters. Since  
60 the communication is established via Internet Protocol (IP) network, the task controller and  
61 the BCI can run on different computers. The BCI framework is implemented in object-oriented  
62 Matlab to exploit modularity. This makes it possible to use more advanced decoders (e.g.,  
63 non-linear long-short-term-memory (LSTM) networks, Transformers) as alternatives in order to  
64 optimize decoding performance or to provide additional functionalities (e.g., to perturb neural  
65 parameters for decoding to experimentally induce neural plasticity in BCI learning studies).  
66 Further supporting modularity, BCImat can communicate with other task controllers written in  
67 any other programming language provided that VRPN is used to stream and read information  
68 to and from the BCI framework. For real intracortical BCI experiments (application mode, Fig.  
69 1), the presented package is implemented for interfacing a Cereplex system (Blackrock, Salt  
70 Lake City, USA), but can easily be adapted to other common data acquisition systems. In fact,  
71 BCImat can be interfaced with any other recording hardware provided that the same internal  
72 buffer structure to store spiking activity in real-time is programed. To use BCImat without  
73 recording hardware, an artificial spiking neural network (SNN) is implemented (simulation  
74 mode, Fig. 1). In the simulation mode, we decided to implement the same spike buffer  
75 structure of the application mode in order to keep compatibility when switching among the  
76 two modes. The task-controller provided here allows performing a center-out reach task with  
77 computer mouse movements. While the subject performs the task manually, i.e. by actually  
78 physically moving the arm (manual task), the simulated neurons fire accordingly. Mimicking  
79 stochastic properties of neural activity in the brain, the firing pattern is simulated as a Poisson  
80 process. The frequency of firing is proportional to the cosine of the angle between the direction  
81 of movements in the task and their preferred direction. Thereby, the SNN simulates neural  
82 response patterns of primate motor cortex during reaching tasks (Georgopoulos et al., 1982).  
83 In practice in the simulation mode, the user would first execute the manual task to calibrate  
84 the parameters of the decoding algorithm. After calibration, one will switch from manual  
85 task execution to closed-loop “BCI control”. During this closed-loop, the cursor movements  
86 are controlled via the decoder output and no longer by real computer mouse movements.  
87 At the same time, the user should still perform the task with the mouse, since the cursor  
88 movement determines the neurons firing pattern of the SNN, which serves as input to the  
89 decoder. The SNN firing depends on its own neural dynamics driven by the cosine model  
90 relative to the mouse movement direction. Later, in the application mode, the subject’s brain  
91 activity would replace the SNN output, and physical movements would be no longer required  
92 to move the cursor. To demonstrate functionality and performance, the BCImat code was  
93 successfully used with neural activity recorded in motor cortical areas of two rhesus monkeys

94 performing a center-out reach task in a virtual-reality environment, similar to a setup that was  
95 previously described (Ferrea et al., 2022). Both animals were housed in social groups with  
96 one or two male conspecifics in facilities of the German Primate Center. The facilities provide  
97 cage sizes exceeding the requirements by German and European regulations, and access to  
98 an enriched environment including wooden structures and various toys. All procedures have  
99 been approved by the responsible regional government office [Niedersächsisches Landesamt für  
100 Verbraucherschutz und Lebensmittelsicherheit (LAVES)] under permit numbers 3392 42502-  
101 04-13/1100 and comply with German Law and the European Directive 2010/63/EU regulating  
102 use of animals in research. Both animals learned to control the cursor via BCI<sub>mat</sub>. The time  
103 that the animals needed to move the cursor to the targets was in the same order of magnitude  
104 during manual and BCI task performance in both animals (animal 1: median hand movement  
105 time = 404 ms, animal 1: median BCI<sub>mat</sub> movement time = 585 ms, animal 2: median  
106 hand movement time = 418 ms, animal 2: median BCI<sub>mat</sub> movement time = 743 ms). Note  
107 that the observed decrease in performance comparing hand movements with BCI movements  
108 is generally expected. The implemented decoder is a Kalman-Filter for motor control BCI  
109 applications (Wu et al., 2006). We also implemented some important BCI features that are  
110 frequently used in the literature. These features were found to provide efficient training and  
111 better decoding performance. In particular, we implemented (i) the possibility to re-train  
112 the Kalman filter during online control (Gilja et al., 2012), (ii) an assisted computer cursor  
113 control during closed-loop trials (Collinger et al., 2013) to perform calibration in absence of  
114 movements, (iii) rotation of unit preferred directions resulting in movement direction rotations  
115 (Jarosiewicz et al., 2008), and (iv) the possibility to perform open-loop testing of the decoder  
116 (for review see (Shenoy & Carmena, 2014)).



**Figure 1:** BCIImat framework. The BCIImat framework interfaces with a task controller to display movements of a cursor on a computer screen and with a neural interface providing spiking signal to ultimately (after decoder calibration) control cursor movements. The neural signal fills an internal spike buffer. The spike buffer is fed with input from an artificial spiking neural network (SNN) for stand-alone (patient independent) experiments (simulation mode), or with external intracortical physiological signals providing neural spike data in real-time (application mode). Our system was tested in application mode with a Matlab executable (mex) code to stream online spikes recorded with a Cereplex system (Blackrock, Salt Lake City, USA). Task controller and BCI framework exchange messages, cursor position or velocities data via VRPN clients and servers. Inside the BCI framework, the cursor velocities are used to calibrate the decoder by regressing them with simultaneously recorded neural activity. A task state parser is used in BCIImat to handle received messages from the task controller. It can be expanded to handle any type of message. Here, for example, information about the completion of a movement is handled to clear the spike buffer regularly to avoid memory overload.

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