

- An OCaml datatype can be [parametric data type]parameterized*parametric!data type* on a type variable, as in the general definition of the `List` datatype:

```
type 'a List = nil
             | cons of 'a * 'a List
```

Type-theoretically, `List` can be viewed as a kind of function—called a *type operator*—that maps each choice of `'a` to a concrete datatype... `Nat` to `NatList`, etc. Type operators are the subject of Chapter 29.

Variants as Disjoint Unions

Sum and variant types are sometimes called *disjoint unions*. The type $T_1 + T_2$ is a “union” of T_1 and T_2 in the sense that its elements include all the elements from T_1 and T_2 . This union is disjoint because the sets of elements of T_1 or T_2 are tagged with `inl` or `inr`, respectively, before they are combined, so that it is always clear whether a given element of the union comes from T_1 or T_2 . The phrase *union type* is also used to refer to *untagged* (non-disjoint) union types, described in §15.7.

Type Dynamic

Even in statically typed languages, there is often the need to deal with data whose type cannot be determined at compile time. This occurs in particular when the lifetime of the data spans multiple machines or many runs of the compiler—when, for example, the data is stored in an external file system or database, or communicated across a network. To handle such situations safely, many languages offer facilities for inspecting the types of values at run time.

One attractive way of accomplishing this is to add a type `Dynamic` whose values are pairs of a value `v` and a type tag `T` where `v` has type `T`. Instances of `Dynamic` are built with an explicit tagging construct and inspected with a type safe `typecase` construct. In effect, `Dynamic` can be thought of as an infinite disjoint union, whose labels are types. See Gordon (circa 1980), Mycroft (1983), Abadi, Cardelli, Pierce, and Plotkin (1991b), Leroy and Mauny (1991), Abadi, Cardelli, Pierce, and Rémy (1995), and Henglein (1994).

11.11 General Recursion

Another facility found in most programming languages is the ability to define recursive functions. We have seen (Chapter 5, p. 65) that, in the untyped

lambda-calculus, such functions can be defined with the aid of the `fix` combinator.

Recursive functions can be defined in a typed setting in a similar way. For example, here is a function `iseven` that returns `true` when called with an even argument and `false` otherwise:

```
ff = λie:Nat→Bool.
    λx:Nat.
      if iszero x then true
      else if iszero (pred x) then false
      else ie (pred (pred x));

► ff : (Nat→Bool) → Nat → Bool

iseven = fix ff;

► iseven : Nat → Bool

iseven 7;

► false : Bool
```

The intuition is that the higher-order function `ff` passed to `fix` is a *generator* for the `iseven` function: if `ff` is applied to a function `ie` that approximates the desired behavior of `iseven` up to some number n (that is, a function that returns correct results on inputs less than or equal to n), then it returns a better approximation to `iseven`—a function that returns correct results for inputs up to $n + 2$. Applying `fix` to this generator returns its fixed point—a function that gives the desired behavior for all inputs n .

However, there is one important difference from the untyped setting: `fix` itself cannot be defined in the simply typed lambda-calculus. Indeed, we will see in Chapter 12 that *no* expression that can lead to non-terminating computations can be typed using only simple types.⁸ So, instead of defining `fix` as a term in the language, we simply add it as a new primitive, with evaluation rules mimicking the behavior of the untyped `fix` combinator and a typing rule that captures its intended uses. These rules are written out in Figure 11-12. (The `letrec` abbreviation will be discussed below.)

The simply typed lambda-calculus with numbers and `fix` has long been a favorite experimental subject for programming language researchers, since it is the simplest language in which a range of subtle semantic phenomena such as *full abstraction* (Plotkin, 1977, Hyland and Ong, 2000, Abramsky, Jagadeesan, and Malacaria, 2000) arise. It is often called *PCF*.

8. In later chapters—Chapter 13 and Chapter 20—we will see some extensions of simple types that recover the power to define `fix` within the system.

| | | | |
|--|--|--|--|
| \rightarrow fix | | Extends λ_{\rightarrow} (9-1) | |
| <p>New syntactic forms</p> <p>$t ::= \dots$</p> <p>fix t</p> | | <p>New typing rules</p> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> $\Gamma \vdash t : T$ </div> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> $\frac{\Gamma \vdash t_1 : T_1 \rightarrow T_1}{\Gamma \vdash \text{fix } t_1 : T_1}$ </div> <p>(T-FIX)</p> | |
| <p>New evaluation rules</p> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> $t \rightarrow t'$ </div> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> $\frac{\text{fix } (\lambda x:T_1. t_2)}{\rightarrow [x \mapsto (\text{fix } (\lambda x:T_1. t_2))] t_2}$ </div> <p>(E-FIXBETA)</p> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> $\frac{t_1 \rightarrow t'_1}{\text{fix } t_1 \rightarrow \text{fix } t'_1}$ </div> <p>(E-FIX)</p> | | <p>New derived forms</p> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> $\text{letrec } x:T_1=t_1 \text{ in } t_2$ $\stackrel{\text{def}}{=} \text{let } x = \text{fix } (\lambda x:T_1. t_1) \text{ in } t_2$ </div> | |

Figure 11-12: General recursion

11.11.1 EXERCISE [★]: Define `equal`, `plus`, `times`, and `factorial` using `fix`. □

The `fix` construct is typically used to build functions (as fixed points of functions from functions to functions), but it is worth noticing that the type T in rule T-FIX is not restricted to function types. This extra power is sometimes handy. For example, it allows us to define a *record* of mutually recursive functions as the fixed point of a function on records (of functions). The following implementation of `iseven` uses an auxiliary function `isodd`; the two functions are defined as fields of a record, where the definition of this record is abstracted on a record `ieio` whose components are used to make recursive calls from the bodies of the `iseven` and `isodd` fields.

```
ff = λieio:{iseven:Nat→Bool, isodd:Nat→Bool}.
  {iseven = λx:Nat.
    if iszero x then true
    else ieio.isodd (pred x),
   isodd = λx:Nat.
    if iszero x then false
    else ieio.iseven (pred x)};
```

```
► ff : {iseven:Nat→Bool, isodd:Nat→Bool} →
  {iseven:Nat→Bool, isodd:Nat→Bool}
```

Forming the fixed point of the function `ff` gives us a record of two functions

```
r = fix ff;
```

```
► r : {iseven:Nat→Bool, isodd:Nat→Bool}
```

and projecting the first of these gives us the `iseven` function itself:

```
iseven = r.iseven;
► iseven : Nat → Bool

iseven 7;

► false : Bool
```

The ability to form the fixed point of a function of type $T \rightarrow T$ for any T has some surprising consequences. In particular, it implies that *every* type is inhabited by some term. To see this, observe that, for every type T , we can define a function diverge_T as follows:

```
divergeT = λ_:Unit. fix (λx:T.x);
► divergeT : Unit → T
```

Whenever diverge_T is applied to a `unit` argument, we get a non-terminating evaluation sequence in which `E-FIXBETA` is applied over and over, always yielding the same term. That is, for every type T , the term $\text{diverge}_T \text{ unit}$ is an *undefined element* of T .

One final refinement that we may consider is introducing more convenient concrete syntax for the common case where what we want to do is to bind a variable to the result of a recursive definition. In most high-level languages, the first definition of `iseven` above would be written something like this:

```
letrec iseven : Nat→Bool =
  λx:Nat.
    if iszero x then true
    else if iszero (pred x) then false
    else iseven (pred (pred x))
in
  iseven 7;

► false : Bool
```

The recursive binding construct `letrec` is easily defined as a derived form:

$$\text{letrec } x:T_1=t_1 \text{ in } t_2 \stackrel{\text{def}}{=} \text{let } x = \text{fix } (\lambda x:T_1.t_1) \text{ in } t_2$$

11.11.2 EXERCISE [★]: Rewrite your definitions of `plus`, `times`, and `factorial` from Exercise 11.11.1 using `letrec` instead of `fix`. □

Further information on fixed point operators can be found in Klop (1980) and Winskel (1993).