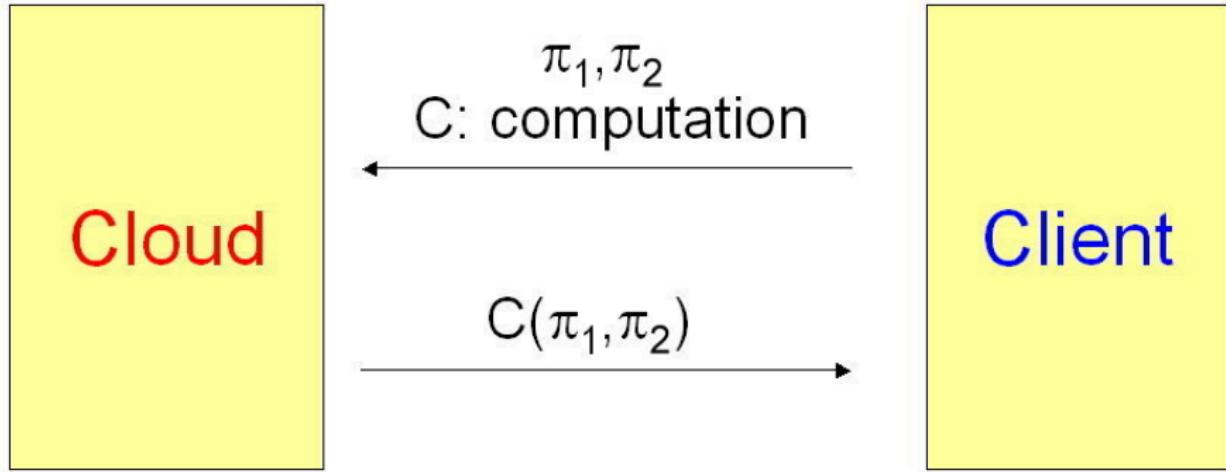


# Fully Homomorphic Encryption Using Ideal Lattices

Presenter: Alison Tsai-Yin Lin

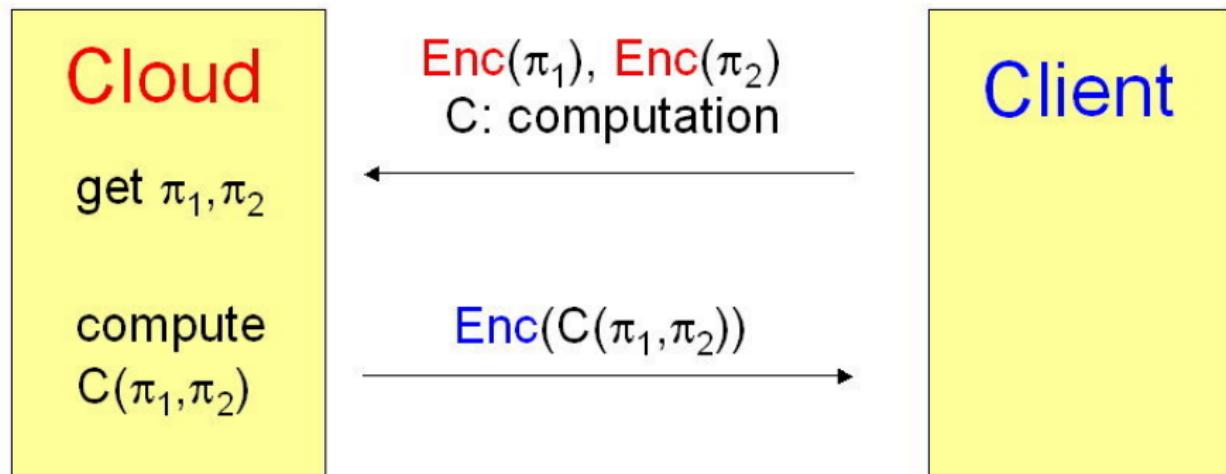
November 16 2010

# Cloud computing problem



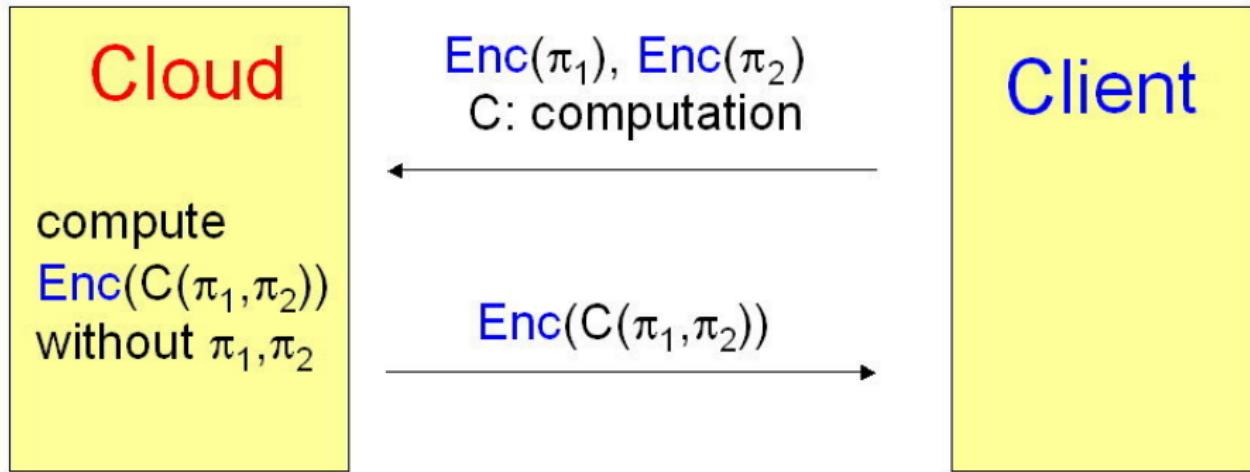
- How to keep  $\pi_1, \pi_2, C(\pi_1, \pi_2)$  private from others?

# Cloud computing problem



- How to keep  $\pi_1, \pi_2, C(\pi_1, \pi_2)$  private from both others and **Cloud**?

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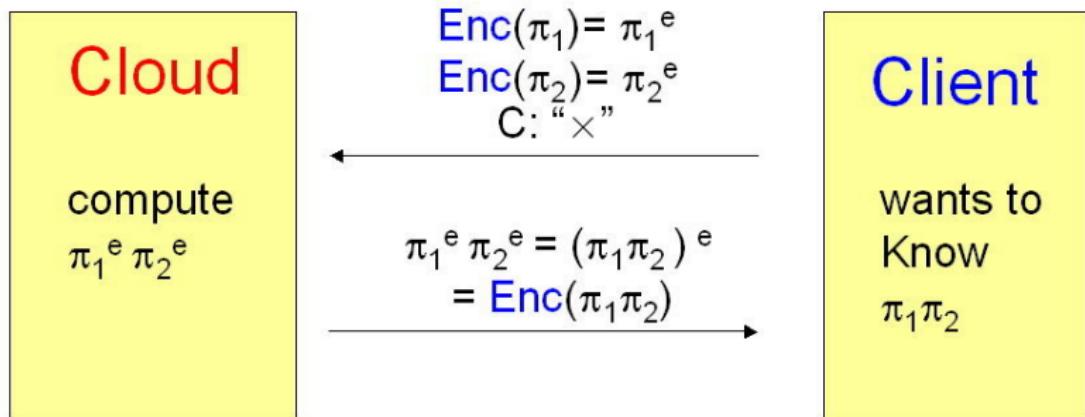


- Use **homomorphic encryption scheme** to do this.

# Cloud computing problem

- Example: RSA is a multiplicatively homomorphic encryption scheme, i.e.,

$$\text{Enc}(\pi_1 \pi_2) = (\pi_1 \pi_2)^e = \pi_1^e \pi_2^e = \text{Enc}(\pi_1) \text{Enc}(\pi_2)$$



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- Idea: Use mod. mod is homomorphic under " + " and "  $\times$  ".

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- Definition A homomorphic public key encryption scheme has 4 algorithms: **KeyGen**, **Enc**, **Dec**, and **Evaluate** s.t.

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**Evaluate**[ $\text{pk}, \mathcal{C}, \text{Enc}(\text{pk}, \pi_1), \dots, \text{Enc}(\text{pk}, \pi_t)]$   
= **Enc**[ $\text{pk}, \mathcal{C}(\pi_1, \dots, \pi_t)$ ], for all circuit  $\mathcal{C}$ .

# Factor Ring and Lattice

- Let  $f(x) \in \mathbb{Z}[x]$  with degree n.
- Let  $R := \mathbb{Z}[x]/f(x)$ .
- Identify  $R$  with n-dim integer lattice  $\mathbb{Z}^n$  by

$$a_0 + \cdots + a_{n-1}x^{n-1} \in \mathbb{Z}[x]/f(x) \longleftrightarrow (a_0, \dots, a_{n-1}) \in \mathbb{Z}^n$$

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$$a_0 + \cdots + a_{n-1}x^{n-1} \in \mathbb{Z}[x]/f(x) \longleftrightarrow (a_0, \dots, a_{n-1}) \in \mathbb{Z}^n$$
- additive subgroup of  $R \longleftrightarrow$  additive subgroup of  $\mathbb{Z}^n$ ,  
i.e., sublattice of  $\mathbb{Z}^n$
- Let  $I \subseteq R$  be an ideal.  $I \longleftrightarrow$  sublattice of  $\mathbb{Z}^n$ .

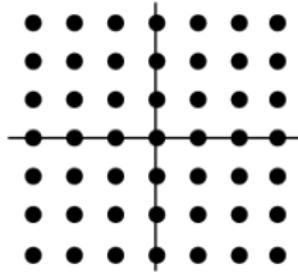
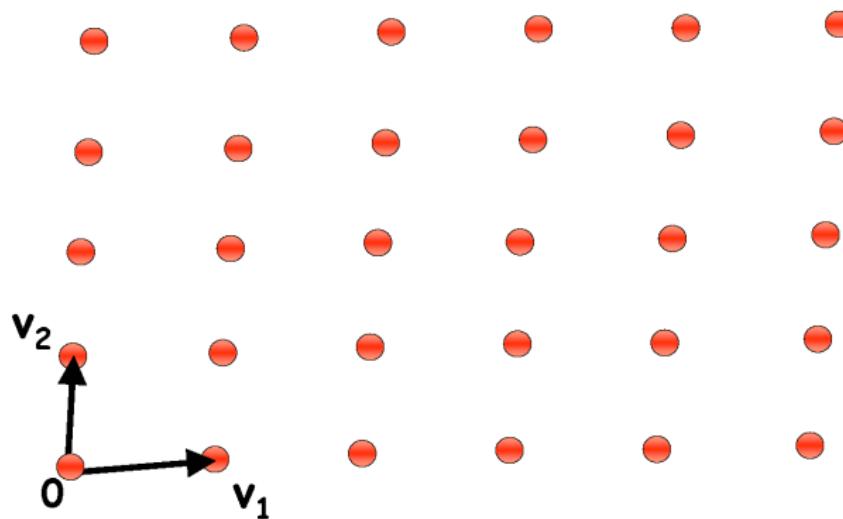


Figure:  $\mathbb{Z}^2$  lattice

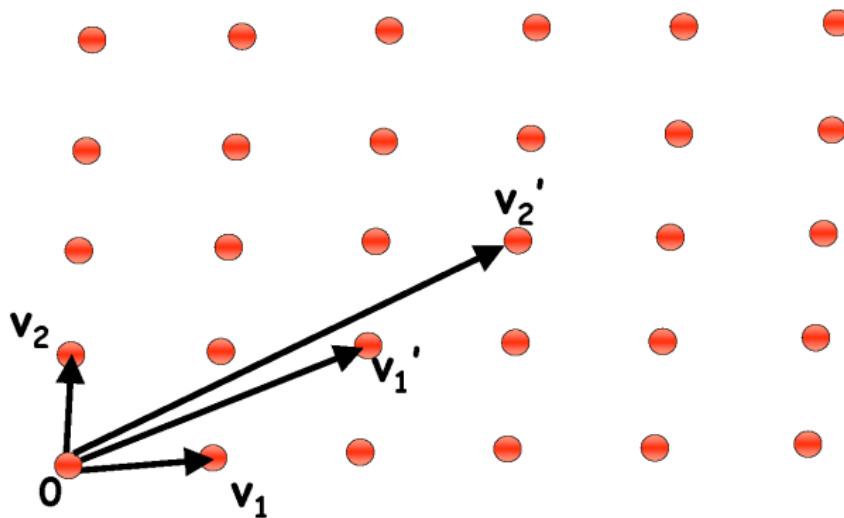
## Sublattice of $\mathbb{Z}^n$ and basis

- $B = \{v_1, v_2\}$  is a basis of the lattice  $L \subseteq \mathbb{Z}^n$ .



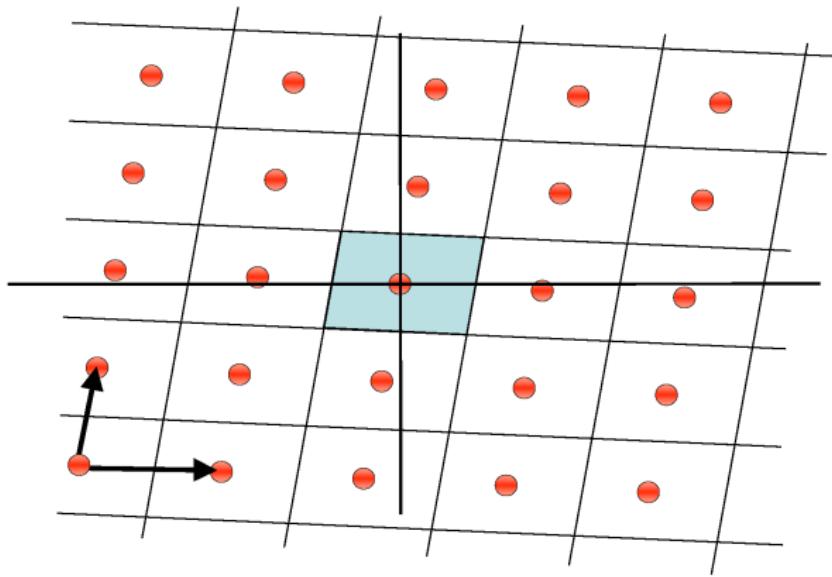
## Sublattice of $\mathbb{Z}^n$ and basis

- $B_{sk} = \{v_1, v_2\}$  and  $B_{pk} = \{v'_1, v'_2\}$  are both bases of the lattice  $L$ .



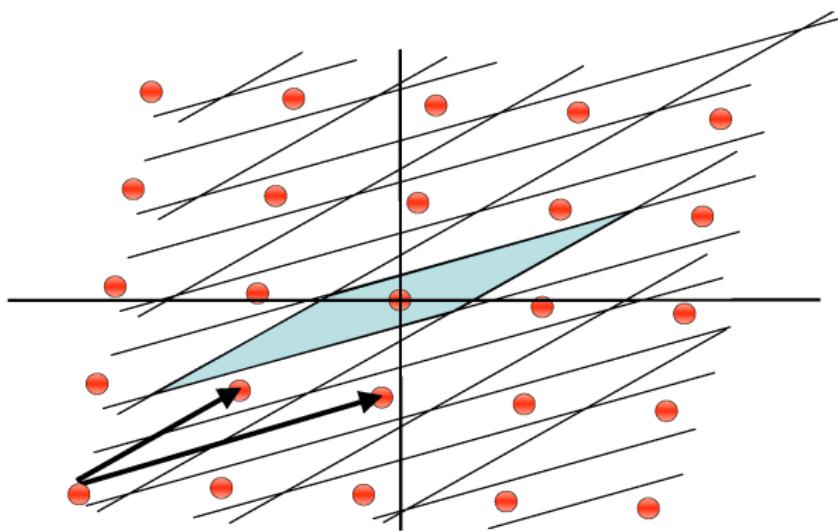
## Sublattice of $\mathbb{Z}^n$ and basis

- Let  $\mathcal{P}(B_{sk})$  be the parallelepiped of  $B_{sk} = \{v_1, v_2\}$ ,  
i.e.,  $\mathcal{P}(B_{sk}) := \{c_1 v_1 + c_2 v_2 \mid c_1, c_2 \in [-\frac{1}{2}, \frac{1}{2}]\}$



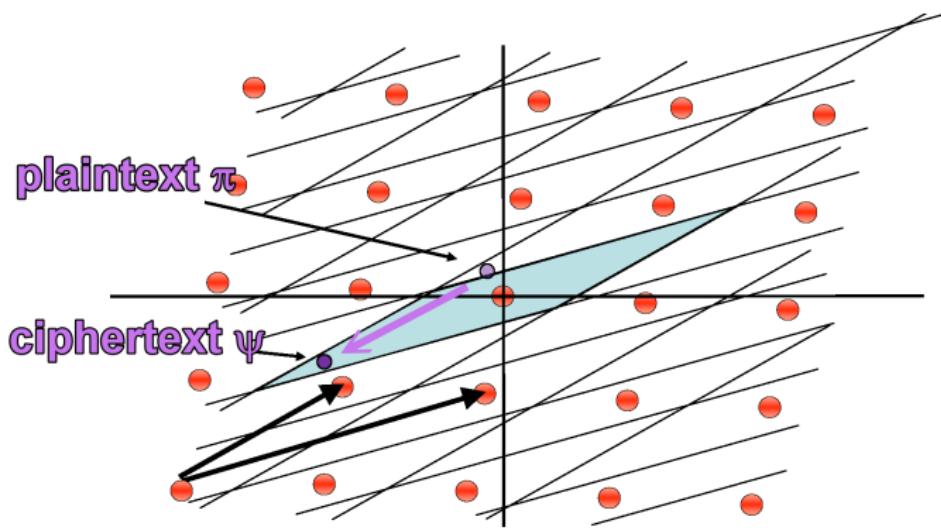
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- $\mathcal{P}(B_{pk}) := \{c_1 v'_1 + c_2 v'_2 \mid c_1, c_2 \in [-\frac{1}{2}, \frac{1}{2})\}$
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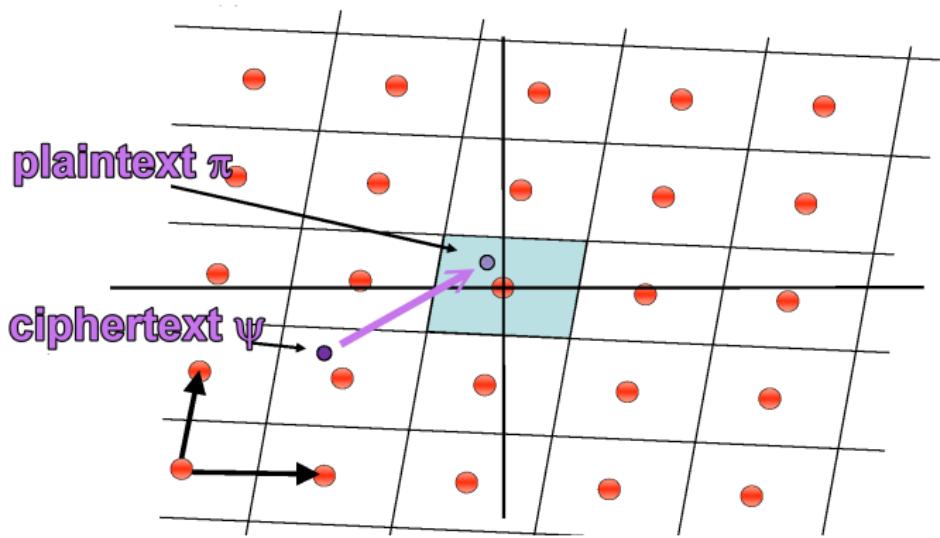
# Sketch of the Encryption Scheme

- $\text{Enc}: \pi \mapsto \psi = (\pi \bmod B_{pk})$
- $\text{Enc}$  is homomorphic under "+", " $\times$ "



# Sketch of the Encryption Scheme

- **Enc:**  $\pi \mapsto \psi = (\pi \bmod B_{pk})$
- **Dec:**  $\psi \mapsto \pi = (\psi \bmod B_{sk})$



# Obstacle of the Scheme

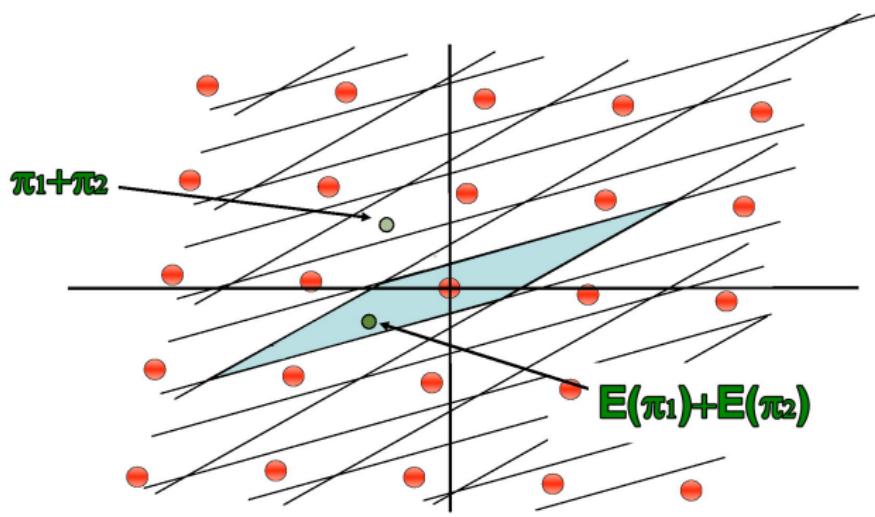
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- We say such plaintext/ciphertext is noisy.
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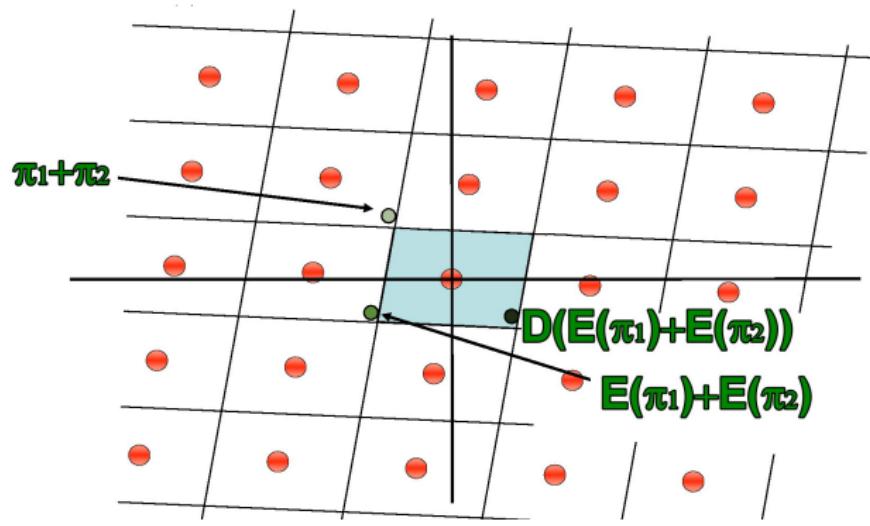
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=  $\text{Enc}(\text{pk}_2, \pi)$

- We call the encryption scheme is **bootstrappable** if  $\text{Dec} \in \mathcal{C}$

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- Let  $r_{ENC}, r_{DEC}$  be radii s.t.

$$\mathcal{C} = \{C : R \rightarrow R \mid \forall \pi_i \in B(r_{ENC}), C(\pi_1, \dots, \pi_t) \in B(r_{DEC})\}$$

## Analyze the depth of permitted circuit

- To analyze  $r_{ENC}$ ,  $r_{DEC}$ ,  $d$ , let's see how "+" and "×" increase the length of vectors

$$|u + v| \leq |u| + |v| \quad ; \quad |u \times v| \leq \gamma_R \cdot |u| \cdot |v|$$

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## Lower the decryption circuit

- Now we have ideas of circuit depth of the permitted circuits. We are going to reduce the decryption circuit. Let's see the encryption scheme more concretely.

## Encryption scheme (more concrete)

- **Parameters:** Ring  $R = \mathbb{Z}[x]/(f(x))$ , basis  $B_I$  of ideal lattice  $I$ , radii  $r_{DEC}$  and  $r_{ENC}$ , "+" and "×" in  $R$ .

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- **Dec** $(B_{sk}, \psi)$ : Output  $(\psi \bmod B_{sk}) \bmod B_I \rightarrow \pi \bmod B_I$
- **Add** $(B_{pk}, \psi_1, \psi_2)$ : Output  $\psi \leftarrow \psi_1 + \psi_2 \bmod B_{pk}$
- **Mult** $(B_{pk}, \psi_1, \psi_2)$ : Output  $\psi \leftarrow \psi_1 \cdot \psi_2 \bmod B_{pk}$

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- Dec:  $\psi \mapsto (\psi \bmod B_{sk}) \bmod B_I$

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 $= \psi - \lfloor v_{sk} \times \psi \rfloor \bmod B_I$   
for some  $v_{sk} \in \mathbb{Q}[x]/(f(x))$ .

Remark  $v_{sk}$  and  $v_{sk} \times \psi \in \mathbb{Q}[x]/(f(x)) \approx \mathbb{Q}^n$

## Reduce the decryption circuit - Effort 2

- Recall  $\text{Dec}$ :  $\psi \mapsto \psi - \lfloor v_{sk} \times \psi \rfloor \pmod{B_I}$
- Hide  $v_{sk}$  in  $\{t_1, \dots, t_K\}$
- secret  $S \subseteq \{1, \dots, K\}$  s.t.  $v_{sk} = \sum_{i \in S} t_i \pmod{B_I}$ . So  
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## Reduce the decryption circuit - Effort 2

- Recall **Dec**:  $\psi \mapsto \psi - \lfloor v_{sk} \times \psi \rfloor \pmod{B_I}$
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- Encryptor: Compute  $t_i \times \psi$  for all  $i = 1, \dots, K$  and sends those to Decryptor
- Decryptor: Just **add up**  $\sum_{i \in S} (t_i \times \psi) = v_{sk} \times \psi$  **without doing multiplication**
- Theorem bootstrappable when  $|S| \leq \frac{\log(r_{DEC}/m)}{\alpha \cdot 2^c \cdot \log(\gamma_R \cdot r_{ENC})}$

## Reduce the decryption circuit - Effort 3

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⋮

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$$\Rightarrow \sum_{j=-T-1}^{\infty} a_i^{(j)} < t \cdot \frac{1}{4t} = \frac{1}{4}$$

## Summary

- "mod an ideal" in a ring is well-defined but "mod a basis of a lattice" causes some problem for long vectors.
- So we need to pull those vector back by bootstrapping.
- In order to be bootstrappable, we analyze the restriction of **DEC** circuit. And then reduce it.