

# CSEE 3827: Fundamentals of Computer Systems, Spring 2022

## Lecture 8

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# Assembly Code vs. Machine Code

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- An instruction has two forms: Assembly and Machine
  - **Assembly**: human-readable form, e.g., an **instruction**: `add $t1, $s0, $s2`
    - says take values in registers s0 and s2, add them together, store result in register t1
  - **Machine**: bits that actually store the instruction - that feed into the various MUXs, decoders, selector bits to produce the desired computation and/or operation:
    - instruction `add $t1, $s0, $s2` is `00000010 00110010 01000000 00100000` in binary or `02 32 40 28` in hex
- An **assembler** is software that converts a text file of assembly code (instructions) into a binary file of machine code
  - Mostly a very straightforward (trivial) process: each instruction converts quite easily
  - One “smart” thing assembler does is permit labels for branches and jumps

# Assembly Instruction ↔ Machine Code Instruction

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- Each assembly instruction (e.g., `add $t1, $s0, $s2`)
- Has a corresponding 32-bit machine code representation, (e.g., `00000010 00110010 01000000 00100000` (binary) or `02 32 40 28` (hex))
- How is this 1-1 mapping performed?
  - Some parts are easy:
    - e.g., Each register is numbered (between 0 and 31), hence can be described with 5 bits
    - The operation (e.g., `add`) also easily described by a few bits
    - Constants (e.g., the -10 in `adde $t1, $s0, -10`) described using 16 bits or less (e.g., the 7 in `sll $t1, $s0, 7` requires 5 bits)

# Addressing in an Instruction (for branches and jumps)

# Converting Assembly labels into machine code instructions

	fact:
40000	addi \$sp, \$sp, -8
40004	sw \$ra, 4(\$sp)
40008	sw \$a0, 0(\$sp)
40012	slti \$t0, \$a0, 1
40016	beq \$t0, \$zero, L1
40020	addi \$v0, \$zero, 1
40024	addi \$sp, \$sp, 8
40028	jr \$ra
40032	L1: addi \$a0, \$a0, -1
40036	jal fact
40040	lw \$a0, 0(\$sp)
40044	lw \$ra, 4(\$sp)
40048	addi \$sp, \$sp, 8
40052	mul \$v0, \$a0, \$v0
40056	jr \$ra

- 2 ways to interpret label:
  - **Indirect addressing:**
    - info in instruction explains how far from current address
    - e.g., “From current location, go 10 miles East and 3 miles North”
  - **Direct addressing:**
    - Specify exact address to go to
    - e.g., “Go to 500 W 120th Street, New York NY 10027 USA”

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- Branches use **Indirect addressing**:
  - e.g., for beq instruction in fact, “If conditional true, **skip 3 instructions**” from where we would have otherwise been.
  - Uses a 16-bit (signed) constant to indicate # instructions to skip

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  - Can branch backwards as well
    - e.g., here it says “If conditional true, **skip -5 instructions**”

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  - e.g., for beq instruction in fact, “If conditional true, **skip 3 instructions**” from where we would have otherwise been.
  - Uses a 16-bit (signed) constant to indicate # instructions to skip
  - Can branch backwards as well
    - e.g., here it says “If conditional true, **skip -5 instructions**”

- Jumps use **Direct addressing**:
  - Requires that assembler know where (i.e., 32-bit addresses) in memory of code to be known by assembler
  - e.g., for jal instruction: “The next instruction to execute will be **at address 40,000**.”

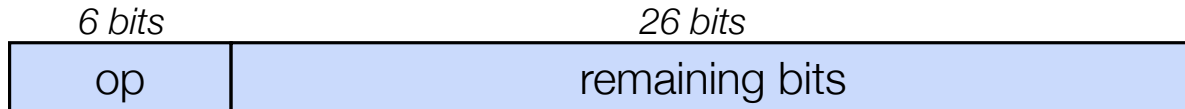


# Instruction Formats

# Representing Instructions

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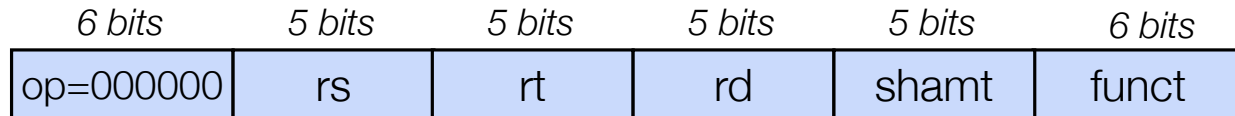
- General form of machine code instruction:



- op is the 6-bit **op-code**: indicates how remaining 26 bits will be interpreted
- There are 3 basic formats
  - **R-format**: instructions with 3 register parameters (e.g., add \$s1, \$s2, \$s3)
    - Shifting (sll, slr) are also R-format even though only have 2 params
  - **I-format** / **mem-access** / (indirect addressing) **conditional branches**
  - (direct addressing) **jumps**

# Representing Instructions

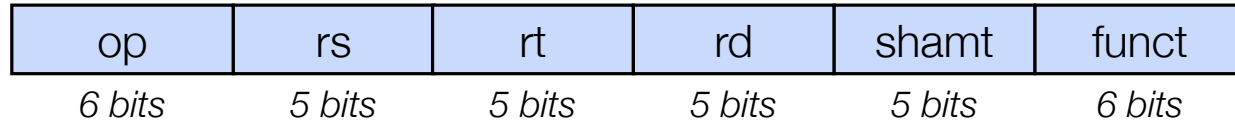
**R-format (R-type):** instructions that have **3 register parameters**



- e.g., add \$t0, \$s1, \$s2: must indicate 3 registers, “+” operation
- **op-code** always 000000 for R-format instruction
- **rs** = 5-bit description of 1st register to be read from
- **rt** = 5-bit description of 2nd register to be read from
  - rs = rt permitted
- **rd** = 5-bit description of register to write to (destination reg)
- **shamt**: used only for shift ops, 5-bit specification for how much to shift by
- **funct**: the specific operation (e.g., add, sub, or, sll, etc. to perform)
  - e.g., add is 100000, addu is 100001, subtract is 100010, etc.

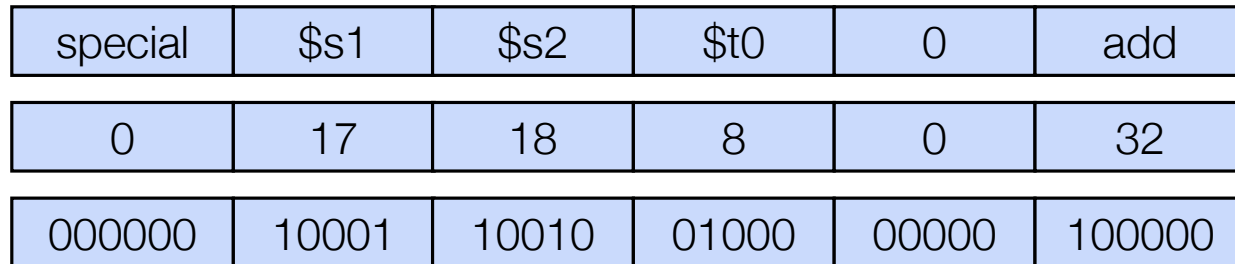
# R-format Example: add

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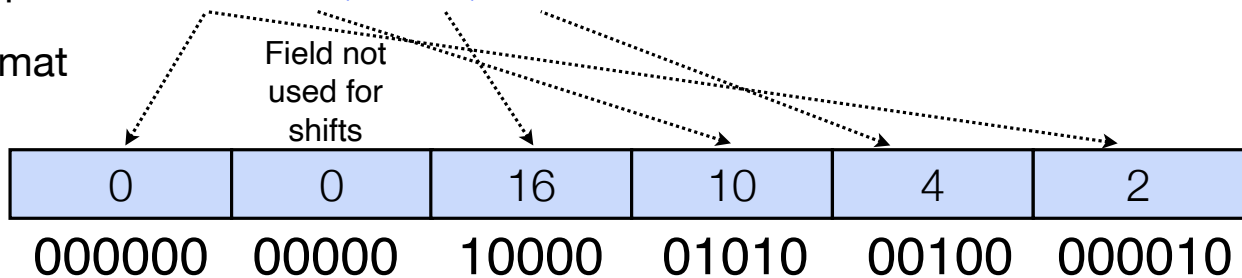
add \$t0, \$s1, \$s2

↑  
Field not  
used for  
add  
↓



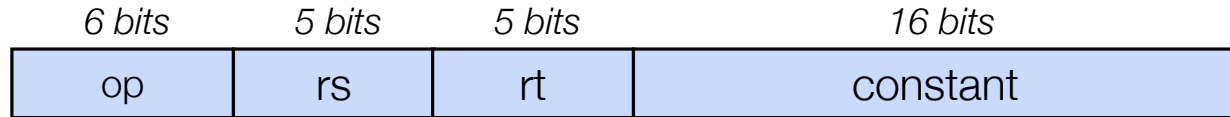
## R-Format Example 2: shift right logical (srl)

- e.g., shift left logical (i.e., instruction is = sll)
  - Shift left and fill with 0s
  - sll by  $i$  bits multiplies by  $2^i$  (*unsigned only*)
- Shift right logical (op = srl)
  - Shift right and fill with 0s
  - srl by  $i$  bits divides by  $2^i$  (*for unsigned values only*)
- **shamt** is 5-bit description of how far to shift by
- example: `srl $t2, $s0, 4` # \$t2 = \$s0 >> 4 bits
- R-format



# 2-register Instructions

**I-format** / **mem-access** / (indirect addressing) **conditional branches**



- **op-code** describes what the instruction will do (no funct field here)
  - op = 1?????: memory access op (e.g., lw, sw)
  - op = 001????: I-format: immediate (uses constant) arithmetic/logic op (e.g., addi, ori, etc.)
  - op = 0001?? or 000001: conditional branch
- **rs** = 5-bit description of a register to read from
- **rt** = 5-bit description of 2nd register (some instructions write to, some read to)
- **constant**: 16-bit constant (usually unsigned)

# MIPS I-format Instructions

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- Includes immediate arithmetic and load/store operations
  - op: operation code (opcode): addi [001000], addiu [001001], etc.
  - rs: source register number (register to read from)
  - rt: destination register number (register to write to)
  - constant: offset added to base value in rs, its interpretation depends on opcode (i.e., signed or unsigned)

# I-format Example: add

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op=001???	rs	rt	constant
<i>6 bits</i>	<i>5 bits</i>	<i>5 bits</i>	<i>16 bits</i>

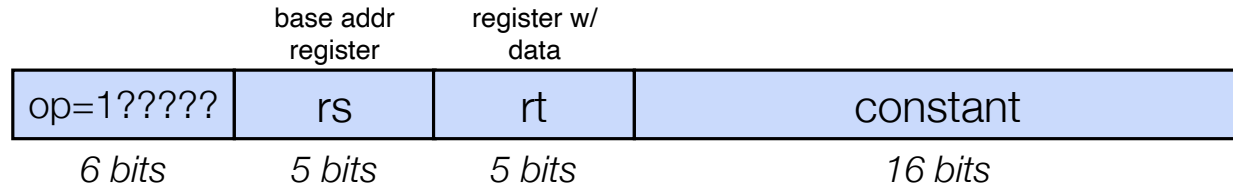
`addi $t0, $s1, -1`

addi	\$s1	\$t0	-1
8	17	8	-1
001000	10001	01000	1111111111111111



# Memory Access

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- e.g., lw [100011], sw [101011]
- Let A = value in register #rs, B = value in register #rt, C = value of constant
- lw: loads word in memory at address A+C into register #rt
- sw: stores B into memory at address A+C

# lw Example

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op=100011	rs	rt	constant
<i>6 bits</i>	<i>5 bits</i>	<i>5 bits</i>	<i>16 bits</i>

lw \$t0, 8(\$s1)

lw	\$s1	\$t0	8
35	17	8	00000000000001000
100011	10001	01000	00000000000001000

# sw Example

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op=101011	rs	rt	constant
<i>6 bits</i>	<i>5 bits</i>	<i>5 bits</i>	<i>16 bits</i>

sw \$t0, 8(\$s1)

sw	\$s1	\$t0	8
43	17	8	00000000000001000
101011	10001	01000	00000000000001000

# Branch Addressing

*beq \$s1, \$s3, LABEL*

- Branch instructions specify: opcode, two registers, branch target
- 2<sup>i</sup> → 2<sup>i+1</sup> → 2<sup>i+2</sup>*  
*10011 → 100110*
- 7 before this instruction*



*beq \$s1 \$s3*

- op also 000001 (Bltz/gez)
- Uses both registers: beq [000100], bne [000101]
- Uses just rs: blez [000110], bgtz [000111]
- Most branch targets are near branch (either forwards or backwards)
- Recall branches use **relative** addressing: instruction's constant specifies offset from next instruction (current address + 4)
- target address = next address + (offset \* 4) (NOTE constant counts instructions (words), not bytes - hence the need to \* 4)
- Q: Why is offset computed from next (+4) address instead of current?

*next x+1*  
*current: X = 0*  
*target: X - 7*  
*1.4*  
*10.4*  
*7.0*  
*28*  
*-8*  
*-32*  
*4*

# Target Addressing Example

- Loop code from earlier example
- Assume Loop label placed in memory at address 80000

```
Loop: sll $t1, $s3, 2
      add $t1, $t1, $s5
      lw $t0, 0($t1)
      bne $t0, $s4, Exit
      addi $s3, $s3, 1
      j Loop
Exit:
```

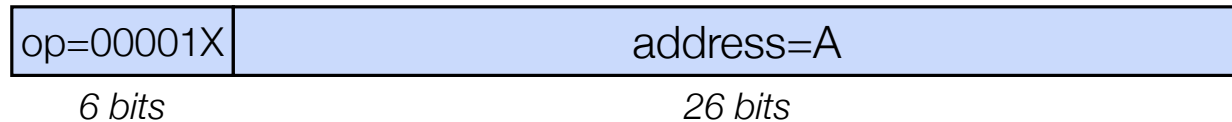
## Machine code

80000	0	0	19	9	4	0
80004	0	9	21	9	0	32
80008	35	9	8	0		
80012	5	8	20	2 ←		
80016	8	19	19	1		
80020	2	20000				
80024						

Note: branch constant measures distance in words (i.e., instructions), not bytes (whereas memory access is in bytes, not words)

# Jump Addressing

- Jump (j and jal) targets could be anywhere in a text segment, so, encode the full address in the instruction



- jump (j): 000010, jump and link (jal): 000011
- **Pseudo-direct** addressing: address, not offset, is specified
- target address: how to build 32-bit address from 26 bits included in instruction?
- Ans:
  - bottom 2 bits are 00 (instruction (word) address is always a multiple of 4)
  - top 4 bits stay same as current (entire program should fit within a  $2^{28}$  byte =  $2^{26}$  word > 67 million instruction block)
- e.g., @ addr    0101 1110 0101 1100 0011 1100 1010 1100:
  - j 1010 0101 1000 0010 1010 1111 10
  - new addr:       0101 1010 0101 1000 0010 1010 1111 1000



# Target Addressing Example

- Loop code from earlier example
- Assume loop at location 80000

```
Loop: sll $t1, $s3, 2
      add $t1, $t1, $s5
      lw $t0, 0($t1)
      bne $t0, $s4, Exit
      addi $s3, $s3, 1
      j Loop
```

Exit:

80000  
80004  
80008  
80012  
80016  
80020  
80024

0	0	19	9	4	0
0	9	21	9	0	32
35	9	8	0		
5	8	20	2		
8	19	19	1		
2	20000				

Note: divided by 4  
because last 2 bits  
omitted

# Relative v. Direct Addressing

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- Why are branches addressed in a relative manner while jumps are (pseudo)direct?
  - branch distance is usually short (e.g., while or for loop), so relative values will usually be small (easily fit in 16 bits)
  - jumps often used to reach external code (e.g., standard procedures at fixed locations, like a `sqrt()` procedure)
    - using direct addressing means just using a fixed value rather than computing offset



# That f\*\*\*\*\*g offset by 4

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- Data Memory access (e.g., lw, sw): there are times a single byte access (in the middle of the word) might be desired, hence constants, registers are in address (byte) units.
- Instruction memory access (i.e., jumps and branches): since instructions always start an address  $A$  where  $A \bmod 4 = 0$ , offsets (in branches) and addresses (in jumps) within instruction are in instruction (word) units.
  - Note: if they were in address units, the 2 lowest order bits would always be 0 anyhow, why waste the bits?

Where to find various  
opcodes and funcs

op(31:26)								
28–26 31–29	0(000)	1(001)	2(010)	3(011)	4(100)	5(101)	6(110)	7(111)
0(000)	R-format	Bltz/gez	jump	jump & link	branch eq	branch ne	blez	bgtz
1(001)	add immediate	addiu	set less than imm.	sltiu	andi	ori	xori	load upper imm
2(010)	TLB	FlPt						
3(011)								
4(100)	load byte	load half	lwl	load word	lbu	lhu	lwr	
5(101)	store byte	store half	swl	store word			swr	
6(110)	lwc0	lwc1						
7(111)	swc0	swc1						
op(31:26)=010000 (TLB), rs(25:21)								
23–21 25–24	0(000)	1(001)	2(010)	3(011)	4(100)	5(101)	6(110)	7(111)
0(00)	mfc0		cfc0		mtc0		ctc0	
1(01)								
2(10)								
3(11)								
op(31:26)=000000 (R-format), funct(5:0)								
2–0 5–3	0(000)	1(001)	2(010)	3(011)	4(100)	5(101)	6(110)	7(111)
0(000)	shift left logical		shift right logical	sra	sllv		srlv	srav
1(001)	jump reg.	jalr			syscall	break		
2(010)	mfhi	mthi	mflo	mtlo				
3(011)	mult	multu	div	divu				
4(100)	add	addu	subtract	subu	and	or	xor	not or (nor)
5(101)			set l.t.	sltu				
6(110)								
7(111)								

**FIGURE 2.25 MIPS instruction encoding.** This notation gives the value of a field by row and by column. For example, the top portion of the figure shows `load word` in row number 4 (100<sub>two</sub> for bits 31–29 of the instruction) and column number 3 (011<sub>two</sub> for bits 28–26 of the instruction), so the corresponding value of the `op` field (bits 31–26) is 100011<sub>two</sub>. Underscore means the field is used elsewhere. For example, `R-format` in row 0 and column 0 (`op` = 000000<sub>two</sub>) is defined in the bottom part of the figure. Hence, `subtract` in row 4 and column 2 of the bottom section means that the `funct` field (bits 5–0) of the instruction is 100010<sub>two</sub> and the `op` field (bits 31–26) is 000000<sub>two</sub>. The `FlPt` value in row 2, column 1 is defined in Figure 3.20 in Chapter 3. `Bltz/gez` is the opcode for four instructions found in Appendix A: `bltz`, `bgez`, `bltzal`, and `bgezal`. Chapter 2 describes instructions given in full name using color, while Chapter 3 describes instructions given in mnemonics using color. Appendix A covers all instructions.

MIPS  
instruction  
formats  
summarized

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	R-format	Bltz/gez	jump	jump & link	branch eq	branch ne	blez	bgtz
1(001)	add immediate	addiu	set less than imm.	sltiu	andi	ori	xori	load upper imm
2(010)	TLB	FlPt						
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4(100)	load byte	load half	lwl	load word	lbu	lhu	lwr	
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op(31:26)=010000 (TLB), rs(25:21)								
23–21 25–24 0(00)	0(000)	1(001)	2(010)	3(011)	4(100)	5(101)	6(110)	7(111)
	mfc0		cfc0		mtc0		ctc0	
1(01)								
2(10)								
3(11)								

R-type instructions with opcode=000000, what is funct?

op(31:26)=000000 (R-format), funct(5:0)								
2–0 5–3 0(000)	0(000)	1(001)	2(010)	3(011)	4(100)	5(101)	6(110)	7(111)
	shift left logical		shift right logical	sra	sllv		srlv	srav
1(001)	jump reg.	jalr			syscall	break		
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3(011)	mult	multu	div	divu				
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IGNORE THIS!!!

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3(11)								

op(31:26)=000000 (R-format), funct(5:0)								
5-3 0(000)	0(000)	1(001)	2(010)	3(011)	4(100)	5(101)	6(110)	7(111)
	shift left logical		shift right logical	sra	sllv		srlv	srav
1(001)	jump reg.	jalr			syscall	break		
2(010)	mfhi	mthi	mflo	mtlo				
3(011)	mult	multu	div	divu				
4(100)	add	addu	subtract	subu	and	or	xor	not or (nor)
5(101)			set l.t.	sltu				
6(110)								
7(111)								

3 low-order bits of op-code

MIPS instruction formats summarized

**FIGURE 2.25 MIPS instruction encoding.** This notation gives the value of a field by row and by column. For example, the top portion of the figure shows load word in row number 4 (100<sub>two</sub> for bits 31–29 of the instruction) and column number 3 (011<sub>two</sub> for bits 28–26 of the instruction), so the corresponding value of the op field (bits 31–26) is 100011<sub>two</sub>. Underscore means the field is used elsewhere. For example, R-format in row 0 and column 0 (op = 000000<sub>two</sub>) is defined in the bottom part of the figure. Hence, subtract in row 4 and column 2 of the bottom section means that the funct field (bits 5–0) of the instruction is 100010<sub>two</sub> and the op field (bits 31–26) is 000000<sub>two</sub>. The FlPt value in row 2, column 1 is defined in Figure 3.20 in Chapter 3. Bltz/gez is the opcode for four instructions found in Appendix A: bltz, bgez, bltzal, and bgezal. Chapter 2 describes instructions given in full name using color, while Chapter 3 describes instructions given in mnemonics using color. Appendix A covers all instructions.

op(31:26)								
28-26 31-29 0(000)	0(000)	1(001)	2(010)	3(011)	4(100)	5(101)	6(110)	7(111)
	R-format	Bltz/gez	jump	jump & link	branch eq	branch ne	blez	bgtz
1(001)	add immediate	addiu	set less than imm.	sltiu	andi	ori	xori	load upper imm
2(010)	TLB	FlPt						
3(011)								
4(100)	load byte	load half	lwl	load word	lbu	lhu	lwr	
5(101)	store byte	store half	swl	store word			swr	
6(110)	lwc0	lwc1						
7(111)	swc0	swc1						

op(23:20)=000000 (R-format), funct(5:0)								
23-21 25-24 0(00)	0(000)	1(001)	2(010)	3(011)	4(100)	5(101)	6(110)	7(111)
0(00)	mfc0		cfc0		mtc0		ctc0	
1(01)								
2(10)								
3(11)								

op(31:26)=000000 (R-format), funct(5:0)								
5-3 0(000)	0(000)	1(001)	2(010)	3(011)	4(100)	5(101)	6(110)	7(111)
	shift left logical		shift right logical	sra	sllv		srlv	srav
1(001)	jump reg.	jair			syscall	break		
2(010)	mfhi	mthi	mflo	mtlo				
3(011)	mult	multu	div	divu				
4(100)	add	addu	subtract	subu	and	or	xor	not or (nor)
5(101)								
6(110)								
7(111)								

3 low-order bits of op-code

MIPS instruction formats summarized

3 high-order bits of op-code

e.g., load word is 100011

**FIGURE 2.25 MIPS instruction encoding.** This notation gives the value of a field by row and by column. For example, the top portion of the figure shows load word in row number 4 (100<sub>two</sub> for bits 31–29 of the instruction) and column number 3 (011<sub>two</sub> for bits 28–26 of the instruction), so the corresponding value of the op field (bits 31–26) is 100011<sub>two</sub>. Underscore means the field is used elsewhere. For example, R-format in row 0 and column 0 (op = 000000<sub>two</sub>) is defined in the bottom part of the figure. Hence, subtract in row 4 and column 2 of the bottom section means that the funct field (bits 5–0) of the instruction is 100010<sub>two</sub> and the op field (bits 31–26) is 000000<sub>two</sub>. The FlPt value in row 2, column 1 is defined in Figure 3.20 in Chapter 3. Bltz/gez is the opcode for four instructions found in Appendix A: bltz, bgez, bltzal, and bgezal. Chapter 2 describes instructions given in full name using color, while Chapter 3 describes instructions given in mnemonics using color. Appendix A covers all instructions.