

1. **Party or parties** (answer one):

a. ☐ This statement is submitted by party (name):

b. ☒ This statement is submitted **jointly** by parties (names): John Gall, SFOL, a California corporation, San Francisco Office Lofts, and 1049 Market Street, LLC

2. **Complaint and cross-complaint** (to be answered by plaintiffs and cross-complainants only)

a. The complaint was filed on (date): January 2, 2015

b. ☐ The cross-complaint, if any, was filed on (date):

3. **Service** (to be answered by plaintiffs and cross-complainants only)

a. ☐ All parties named in the complaint and cross-complaint have been served, have appeared, or have been dismissed.

b. ☐ The following parties named in the complaint or cross-complaint

(1) ☒ have not been served (specify names and explain why not): L. Peter Ryan, an Ind., Fox Rothschild LLP, and MVB Law LLP (See explanation in Item 18)

(2) ☐ have been served but have not appeared and have not been dismissed (specify names):

(3) ☐ have had a default entered against them (specify names):

c. ☐ The following additional parties may be added (specify names, nature of involvement in case, and date by which they may be served):

4. **Description of case**

a. Type of case in ☒ complaint ☐ cross-complaint (Describe, including causes of action): Complaint for Professional Negligence

PLAINTIFF/PETITIONER: John Gall, et al.	CASE NUMBER: CGC-15-543472
DEFENDANT/RESPONDENT: L. Peter Ryan, an individual, and MVB Law LLP	

4. b. Provide a brief statement of the case, including any damages. *(If personal injury damages are sought, specify the injury and damages claimed, including medical expenses to date [indicate source and amount], estimated future medical expenses, lost earnings to date, and estimated future lost earnings. If equitable relief is sought, describe the nature of the relief.)*
 Defendants represented Plaintiffs as attorney of record at all pertinent times. Defendants were negligent in their representation of Plaintiffs and this negligence caused damage to the Plaintiffs in an amount according to proof. Defendants also breached their fiduciary duties to Plaintiffs to provide competent and full representation. Plaintiffs were harmed because of this breach. Defendants' conduct was a substantial factor in causing Plaintiffs' harm.

☐ *(If more space is needed, check this box and attach a page designated as Attachment 4b.)*

5. **Jury or nonjury trial**

The party or parties request ☐ a jury trial ☐ a nonjury trial. *(If more than one party, provide the name of each party requesting a jury trial):*

6. **Trial date**

- a. ☐ The trial has been set for *(date)*:
 b. ☐ No trial date has been set. This case will be ready for trial within 12 months of the date of the filing of the complaint *(if not, explain)*:
 c. Dates on which parties or attorneys will not be available for trial *(specify dates and explain reasons for unavailability)*:

7. **Estimated length of trial**

The party or parties estimate that the trial will take *(check one)*:

- a. ☐ days *(specify number)*:
 b. ☐ hours *(short causes) (specify)*:

8. **Trial representation** *(to be answered for each party)*

The party or parties will be represented at trial ☐ by the attorney or party listed in the caption ☐ by the following:

- a. Attorney:
 b. Firm:
 c. Address:
 d. Telephone number:
 e. E-mail address:
 f. Fax number:
 g. Party represented:

☐ Additional representation is described in Attachment 8.

9. **Preference**

☐ This case is entitled to preference *(specify code section)*:

10. **Alternative dispute resolution (ADR)**

- a. **ADR information package.** Please note that different ADR processes are available in different courts and communities; read the ADR information package provided by the court under rule 3.221 for information about the processes available through the court and community programs in this case.
 (1) For parties represented by counsel: Counsel ☐ has ☐ has not provided the ADR information package identified in rule 3.221 to the client and reviewed ADR options with the client.
 (2) For self-represented parties: Party ☐ has ☐ has not reviewed the ADR information package identified in rule 3.221.
 b. **Referral to judicial arbitration or civil action mediation** *(if available)*.
 (1) ☐ This matter is subject to mandatory judicial arbitration under Code of Civil Procedure section 1141.11 or to civil action mediation under Code of Civil Procedure section 1775.3 because the amount in controversy does not exceed the statutory limit.
 (2) ☐ Plaintiff elects to refer this case to judicial arbitration and agrees to limit recovery to the amount specified in Code of Civil Procedure section 1141.11.
 (3) ☐ This case is exempt from judicial arbitration under rule 3.811 of the California Rules of Court or from civil action mediation under Code of Civil Procedure section 1775 et seq. *(specify exemption)*:

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DEFENDANT/RESPONDENT: L. Peter Ryan, an individual, and MVB Law LLP	CGC-15-543472

10. c. Indicate the ADR process or processes that the party or parties are willing to participate in, have agreed to participate in, or have already participated in (*check all that apply and provide the specified information*):

	The party or parties completing this form are willing to participate in the following ADR processes (<i>check all that apply</i>):	If the party or parties completing this form in the case have agreed to participate in or have already completed an ADR process or processes, indicate the status of the processes (<i>attach a copy of the parties' ADR stipulation</i>):
(1) Mediation	<input type="checkbox"/>	<input type="checkbox"/> Mediation session not yet scheduled <input type="checkbox"/> Mediation session scheduled for (date): <input type="checkbox"/> Agreed to complete mediation by (date): <input type="checkbox"/> Mediation completed on (date):
(2) Settlement conference	<input type="checkbox"/>	<input type="checkbox"/> Settlement conference not yet scheduled <input type="checkbox"/> Settlement conference scheduled for (date): <input type="checkbox"/> Agreed to complete settlement conference by (date): <input type="checkbox"/> Settlement conference completed on (date):
(3) Neutral evaluation	<input type="checkbox"/>	<input type="checkbox"/> Neutral evaluation not yet scheduled <input type="checkbox"/> Neutral evaluation scheduled for (date): <input type="checkbox"/> Agreed to complete neutral evaluation by (date): <input type="checkbox"/> Neutral evaluation completed on (date):
(4) Nonbinding judicial arbitration	<input type="checkbox"/>	<input type="checkbox"/> Judicial arbitration not yet scheduled <input type="checkbox"/> Judicial arbitration scheduled for (date): <input type="checkbox"/> Agreed to complete judicial arbitration by (date): <input type="checkbox"/> Judicial arbitration completed on (date):
(5) Binding private arbitration	<input type="checkbox"/>	<input type="checkbox"/> Private arbitration not yet scheduled <input type="checkbox"/> Private arbitration scheduled for (date): <input type="checkbox"/> Agreed to complete private arbitration by (date): <input type="checkbox"/> Private arbitration completed on (date):
(6) Other (<i>specify</i>):	<input type="checkbox"/>	<input type="checkbox"/> ADR session not yet scheduled <input type="checkbox"/> ADR session scheduled for (date): <input type="checkbox"/> Agreed to complete ADR session by (date): <input type="checkbox"/> ADR completed on (date):

PLAINTIFF/PETITIONER John Gall, et al.	CASE NUMBER:
DEFENDANT/RESPONDENT L. Peter Ryan, an individual, and MVB Law LLP	CGC-15-543472

11. Insurance

- a. ☐ Insurance carrier, if any, for party filing this statement (*name*):
- b. Reservation of rights: ☐ Yes ☐ No
- c. ☐ Coverage issues will significantly affect resolution of this case (*explain*):

12. Jurisdiction

Indicate any matters that may affect the court's jurisdiction or processing of this case and describe the status.

☐ Bankruptcy ☐ Other (*specify*):

Status:

13. Related cases, consolidation, and coordination

- a. ☐ There are companion, underlying, or related cases.
- (1) Name of case:
- (2) Name of court:
- (3) Case number:
- (4) Status:
- ☐ Additional cases are described in Attachment 13a.
- b. ☐ A motion to ☐ consolidate ☐ coordinate will be filed by (*name party*):

14. Bifurcation

- ☐ The party or parties intend to file a motion for an order bifurcating, severing, or coordinating the following issues or causes of action (*specify moving party, type of motion, and reasons*):

15. Other motions

- ☐ The party or parties expect to file the following motions before trial (*specify moving party, type of motion, and issues*):

16. Discovery

- a. ☐ The party or parties have completed all discovery.
- b. ☐ The following discovery will be completed by the date specified (*describe all anticipated discovery*):
- | <u>Party</u> | <u>Description</u> | <u>Date</u> |
|--------------|--------------------|-------------|
| | | |
- c. ☐ The following discovery issues, including issues regarding the discovery of electronically stored information, are anticipated (*specify*):

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17. Economic litigation

- a. ☐ This is a limited civil case (i.e., the amount demanded is \$25,000 or less) and the economic litigation procedures in Code of Civil Procedure sections 90-98 will apply to this case.
- b. ☐ This is a limited civil case and a motion to withdraw the case from the economic litigation procedures or for additional discovery will be filed (*if checked, explain specifically why economic litigation procedures relating to discovery or trial should not apply to this case*):

18. Other issues

- ☒ The party or parties request that the following additional matters be considered or determined at the case management conference (*specify*): The underlying cases, which are either on appeal (CGC15-545950) or still in the pleading stage (CGC15-543999), must be resolved in order to determine causation and damages in this case. Settlement discussions are underway in CGC15-543999. Plaintiffs therefore request that the current stay of proceedings remain in effect until final resolution of the underlying cases.

19. Meet and confer

- a. ☐ The party or parties have met and conferred with all parties on all subjects required by rule 3.724 of the California Rules of Court (*if not, explain*):
- b. After meeting and conferring as required by rule 3.724 of the California Rules of Court, the parties agree on the following (*specify*):

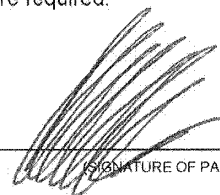
20. Total number of pages attached (*if any*): _____

I am completely familiar with this case and will be fully prepared to discuss the status of discovery and alternative dispute resolution, as well as other issues raised by this statement, and will possess the authority to enter into stipulations on these issues at the time of the case management conference, including the written authority of the party where required.

Date: September 2, 2016

Basil Plastiras, SBN

(TYPE OR PRINT NAME)



(SIGNATURE OF PARTY OR ATTORNEY)

(TYPE OR PRINT NAME)

(SIGNATURE OF PARTY OR ATTORNEY)

☐ Additional signatures are attached.

1 PROOF OF SERVICE
2 (CCP SECTION 1013a - 2015)

3 I am over the age of eighteen years and not a party to the within action. I am employed
4 in the City of San Rafael, County of Marin, California and my business address is 24
5 Professional Center Parkway, Suite 150, San Rafael, CA 94903

6 On January 2, 2016, I served a true copy of:

7 CASE MANAGEMENT STATEMENT

8 on the interested parties in this action by placing a true copy thereof in a sealed envelope
9 addressed as follows and mailing same:

10 Jonathan S. O'Donnell Esq.
11 Fox Rothschild LLP
12 345 California Street, Suite 2200
13 San Francisco, CA 94104

14 /XX / (BY MAIL) I placed each such sealed envelope, with postage thereon fully prepaid, on the
15 date of execution of this declaration, with our office's outgoing mail following ordinary business
16 practices. I am readily familiar with my employer's business practice for collection and
17 processing of correspondence for mailing. Such correspondence is deposited with the United
18 States Postal Service, the same day in the ordinary course of business.

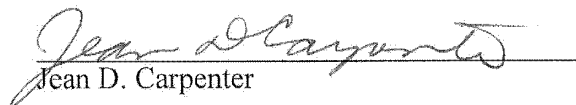
19 // (BY EXPRESS MAIL (OVERNIGHT DELIVERY)) I deposited in a box or other facility
20 regularly maintained by an express service carrier for overnight delivery, or delivered to a
21 courier or driver authorized by said express service carrier to receive the above-designated
22 documents, together with a signed copy of this declaration, in an envelope designated by the said
23 express service carrier, with deliver fees paid or provided for.

24 // (BY E-MAIL) I caused the said document(s) to be transmitted by e-mail to the parties
25 indicated above.

26 // (BY FACSIMILE) I caused the said document(s) to be transmitted by Facsimile to the
27 numbers indicated above.

28 // (BY HAND SERVICE) I delivered to a courier authorized to receive the above-designed
documents, together with a signed copy of this declaration in an envelope designed by courier,
with delivery fees paid or provided for.

I certify under penalty of perjury under the laws of the State of California that the
foregoing is true and correct. Executed on September 2, 2016, in the City of San Rafael,
California.


Jean D. Carpenter

Plastras & Terrizzi
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