

# INTRODUCTION

In this presentation, I will share my report on applying Baler to a given particle physics dataset (data).

Baler is a machine learning based compression tool for scientific data.

Baler is a tool used to test the feasibility of compressing different types of scientific data using machine learning-based autoencoders.

The goal is to minimize the difference between the mass calculated before and after compression (this value is found in ./projects/example/plotting/analysis.pdf after running the analysis), thereby improving the Baler tool

= 0.15

The Dataset here is a root data (DAA238E5-29D6-E511-AE59-001E67DBE3EF.root)

#### SETUP

cd GSoC-application-baler

poetry install

#### Procedure

poetry run python baler --project=example --mode=new\_project

poetry run python baler --project=example --mode=preprocessing

poetry run python baler --project=example --mode=train

poetry run python baler --project=example --mode=compress

poetry run python baler --project=example --mode=decompress

poetry run python baler --project=example --mode=evaluate

poetry run python baler --project=example --mode=analysis

```
Place the working data (example root) in the data directory[/data/example/]
[i.e. /data/example/example.rppt]
Configuration (configuration of interest):
Via config.py(helper.py):
 path_before_pre_processing = "data/example/example.root"
 epochs
 early_stopping
                      = False
 lr_scheduler
                      = True
 patience
                      = 20
 min_delta
                      = 0
 model_name
                      = "george_SAE"
 custom_norm
                      = False
 reg_param
                        = 0.001
 RHO
                      = 0.05
                      = 0.001
 batch_size
                      = 512
 save_as_root
                      - True
```

Via utils pv:

test\_size

Via utils.py factor = 0.5 min\_lr = 1e-6

factor = 0.5 min\_lr = 1e-6

# **IMPROVEMENTS**

Initial Results;

from the initial setup, the results of the applying the baler compressor tool on the given data were;

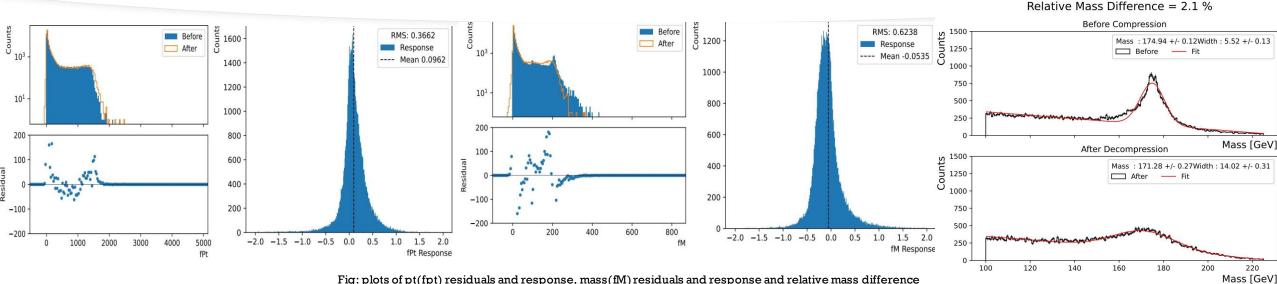


Fig: plots of pt(fpt) residuals and response, mass(fM) residuals and response and relative mass difference

I have considered some improvements which should achieve better when using the baler compressor tool.

- Normalization Techniques: I have implemented a Custom Normalization technique in-place of the default standard Min-max scaler. The improved normalization technique produces a better relative error in the mass calculated difference, as this technique has a better fitting/performance in normalizing the data
- Autoencoder Model Variation: I have implemented a Sparse Autoencoder Model (george\_SAE) as opposed to other Neural Networks which are unable to generate a good model (The choice of which activation function to use can have a big impact on the performance)
- Modified Training Procedure and Modified Training Utilities: In implementing my improvements, I have configured some procedures such as the utilization of early stopping, adjusted discount\_factor and learning rate

#### IMPLEMENTATION:

I observed maximum improvement by optimizing the normalization function; manually scaling each variable improving the data distribution and in-turn the optimizing the mass calculated difference after compression

Also, improvement to Autoencoder model was applied; this involved modifying the input layer to a larger one, and reducing the number of dropouts

Improvement to the training procedure and training utilities were; adjusting the discount\_factor to a value of 0.9, early\_stopping and epochs value of 50.

# **RESULTS**

#### Improvements applied Results;

Via utils.py:

#### **IMPROVEMENTS:**

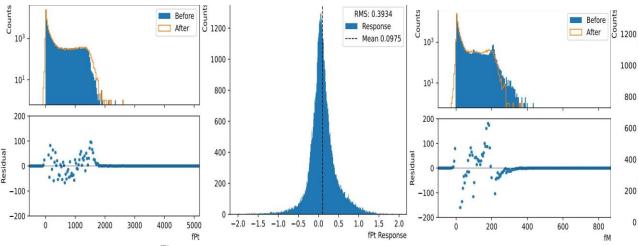
Normalization Techniques; changes applied to "normalize" function (preprocessing.py). Autoencoder Modification; changes applied to "george SAE" model. Training and Configuration: changes applied to utilities py. Also changes applied to helper py to allow for the aforementioned changes

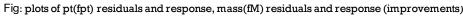
## **SETUP**(modifications)

Configuration (configuration of interest): Via config.py(helper.py): custom\_norm = True

factor = 0.5 min lr = 1e-6

From the improvements, the results of the applying the baler compressor tool on the given data were;





1000

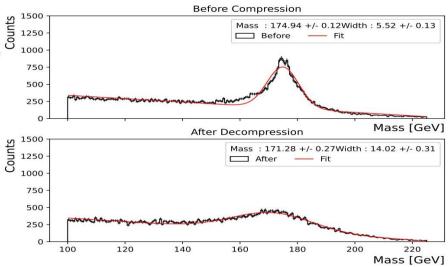
600

400

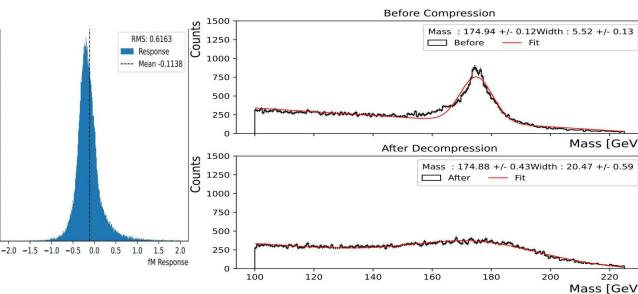
200

# Comparison of Initial Results to the Improvements

#### Relative Mass Difference = 2.1 %



Relative Mass Difference = 0.0 %



# **DISCUSSION**

#### Improvement vs Initial Result:

#### Reviewing the Initial Results and the Improvements;

Custom Normalization techniques applied significantly improved the results of mass calculated difference. Utilizing the standard normalization techniques would have resulted in mass calculated difference of about 3%, whereas, the applied custom normalization technique resulted in mass calculated difference of less than 0.5%

The modification the Autoencoder results in much less significant improvements in the mass calculated difference. Adjusted training resulted in much longer training times with less significant results in mass calculated difference

#### Why do the Improvements work?

Given the compression techniques, the particles data of the jet-trained model are sensitive to the normalization approach utilized, thus producing significantly better results when appropriate normalization techniques are applied.

While modification of autoencoder models and training procedure produce improvements, the significance of the improvements are subtle across various Standard Autoencoder models and training configuration

## What could be improved further?

Further research on more appropriate normalization techniques would be effective, and utilization of custom autoencoder models would be worth exploring (models such as the Adversarial Autoencoders and VAEs)

# CONCLUSION

### Evaluation(score) Vs Good Analysis result:

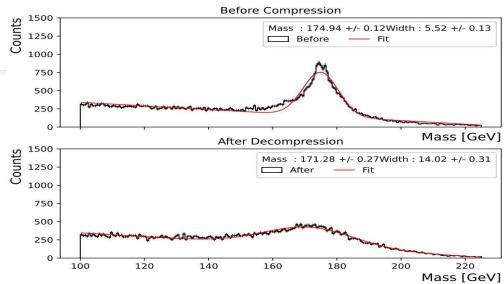
In the course of the analysis, it was observed that extensive analysis provided much more valuable results than an evaluation/error score. Despite having great mass calculated difference, some configurations did not provide expected performance after compression. Extensive analysis(including graphs) showed that these configurations did not appropriately fit the particle features and were invalid despite have great evaluation score. Indicating that evaluation score alone is not sufficient in determining performance of the modifications made

#### Fundamental Flaw with Baler:

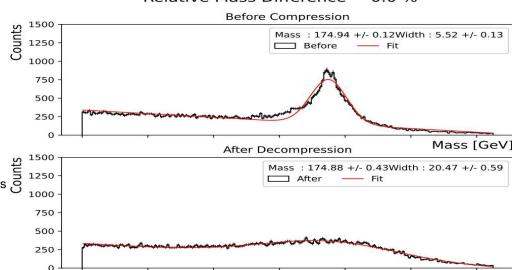
- Inherent flaw from Standard Autoencoder models, flexibility of analysis methodology

## Comparison of Initial Results to the Improvements

Relative Mass Difference = 2.1 %



Relative Mass Difference = 0.0 %



120

220

Mass [GeV]