# Math 437 Notes

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### 1 Divisibility

Consider  $a, b \in \mathbb{Z}, a > 0$ . There exists uniquely  $q, r \in \mathbb{Z}$  such that

$$\begin{cases} b = aq + r \\ 0 \le r < a \end{cases}$$

Corollary 1.0.1.  $a \mid b \iff r = 0$ .

**Definition 1.1.** Let  $a, b \in \mathbb{Z}$ , not both 0. Then there exists a finite set of common divisors of both a and b. Denote greatest common divisor of a and b as gcd(a, b) = (a, b).

**Proposition 1.1.** Let  $D = \gcd(a, b)$ , then

- 1. if  $d \mid a$  and  $d \mid b$ , then  $d \mid D$ .
- 2. D is the least positive integer of the form ax + by, for some  $x, y \in \mathbb{Z}$ .

*Proof of* (2) 
$$\implies$$
 (1).  $D = ax_0 + by_0, d \mid ax_0 + by_0 = D$ 

Proof of (2). Let  $S = \{ax + by > 0 : x, y \in \mathbb{Z}\}$ . Clearly S is nonempty. Let  $s = \min S$ . Since  $D \mid ax + by$ , so  $D \mid s \Longrightarrow D \leq s$ .

Claim:  $s \mid a$  and  $s \mid b$ . It suffices to prove  $s \mid a$ . We divide a by s so that a = sq + r and  $0 \le r < s$ . It suffices to prove that r = 0. Since a is a linear combination of a and b, and s is a combination of a and b, then r = a - sq must be a combination of a and b. But r < s so  $r \notin S$  so r cannot be positive. Therefore r = 0.

Now since 
$$s \mid a$$
 and  $s \mid b$ , then  $s \mid D \implies s \leq D$ . Therefore  $s = D$ .

**Proposition 1.2** (i). Let  $c \in \mathbb{N}$ , then gcd(ac, bc) = c gcd(a, b).

**Proposition 1.3** (ii). Let  $d \in \mathbb{Z}$  s.t.  $d \mid a$  and  $d \mid b$ , then  $\gcd\left(\frac{a}{d}, \frac{b}{d}\right) = \gcd(a, b)/d$ .

Proof of (1) 
$$\implies$$
 (2).  $d \gcd\left(\frac{a}{d}, \frac{b}{d}\right) = \gcd(a, b)$ 

Proof of (1). gcd(ac, bc) = least positive integer of the form acx + bcy= c(least positive integer of the form <math>ax + by) = c gcd(a, b).

**Proposition 1.4.**  $gcd(a,b) = gcd(\pm a, \pm b) = gcd(a,b+ac)$  for any  $c \in \mathbb{Z}$ .

*Proof.* Any linear combination of a and b is a linear combination of a and b+ac since ax+(b+ac)y=a(x+cy)+by.

*Proof.* 
$$\begin{bmatrix} a \\ b+ac \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ c & 1 \end{bmatrix} \begin{bmatrix} a \\ b \end{bmatrix}$$
 and  $\begin{bmatrix} 1 & 0 \\ c & 1 \end{bmatrix}^{-1} = \begin{bmatrix} 1 & 0 \\ -c & 1 \end{bmatrix}$ . This is a reversible transformation.  $\Box$ 

**Corollary 1.0.2.** We can use the Euclidean Algorithm to find gcd(a, b) and write gcd(a, b) as a linear combination of a and b.

### 1.1 Greatest Common Divisor

**Definition 1.2.** Let  $a_1, \ldots, a_n \in \mathbb{Z}$ , not all 0. We define  $gcd(a_1, \ldots, a_n)$  to be the greatest common divisor of all  $a_i$ .

**Property 1.1** (i). If  $d \mid a_i \text{ for } i = 1, ..., n$ , then  $d \mid \gcd(a_1, ..., a_n)$ .

**Property 1.2** (ii).  $gcd(a_1,...,a_n)$  is the least positive integer which can be written as  $\sum_{i=1}^{n} a_i x_i$  for  $x_i \in \mathbb{Z}$ .

**Theorem 1.1.** If (a, b) = 1 and (a, c) = 1, then (a, bc) = 1.

*Proof.* Since  $(a,b)=1, \exists x,y\in\mathbb{Z}$  such that ax+by=1. Also,  $\exists z,t\in\mathbb{Z}$  such that az+ct=1.

$$(ax + by)(az + ct) = 1 \implies a(axz + xct + zby) + bc(yt) = 1 \implies \gcd(a, bc) = 1.$$

**Theorem 1.2.** If  $a \mid bc \text{ and } (a, b) = 1$ , then  $a \mid c$ .

*Proof.*  $\exists x, y \in \mathbb{Z}$  such that  $ax + by = 1 \mid c \implies acx + bcy = c$ . Since  $a \mid acx$  and  $a \mid bcy$ , then  $a \mid c$ .

### 1.2 Least Common Multiple

**Definition 1.3.** Let  $a, b \in \mathbb{Z} \setminus \{0\}$ . We define the lcm[a, b] be the least positive integer which is a common multiple of a and b. Similarly define lcm $[a_1, \ldots, a_n]$ .

**Proposition 1.5.** Let M = lcm[a, b].

- 1. If m is a common multiple of a and b, then  $M \mid m$ .
- 2. If  $c \in \mathbb{N}$ , then  $lcm[ac, bc] = c \cdot lcm[a, b]$ .
- 3.  $gcd(a, b) \cdot lcm[a, b] = |ab|$ .

Proof of 1. We divide m by M to get m = Mq + r such that  $0 \le r < M$ . It suffices to prove that r = 0. We know  $a \mid M \implies Mq$  and  $a \mid m$  therefore  $a \mid r$ . Similarly,  $b \mid r$ . Therefore r is a common multiple of a and b and we must have r = 0 because there does not exist a common multiple of a and b between 1 and b 1 inclusive.

Proof of 2. Let  $M_1 = \operatorname{lcm}[ac, bc]$ . We want  $M_1 = c \cdot M$ . We have  $a \mid M \Longrightarrow ac \mid c \cdot M$  and similarly  $bc \mid c \cdot M$ . Therefore  $M_1 = \operatorname{lcm}[ac, bc] \mid c \cdot M$ . We also have  $c \mid ac \mid M_1 \Longrightarrow M_1 = cx$  for some  $x \in \mathbb{Z}$ . Then  $ac \mid M_1 = cx \Longrightarrow a \mid x$ . Similarly  $b \mid x$ . Then x is a common multiple of a and b so  $\operatorname{lcm}[a, b] \mid x \Longrightarrow c \cdot \operatorname{lcm}[a, b] \mid M_1$ . Now we have both  $M_1 \mid c \cdot M$  and  $c \cdot M \mid M_1$ , and  $c \cdot M = M_1$ .

*Proof of 3.* Let d = (a, b) and M = [a, b]. Without loss of generality assume a, b > 0.

Look at the lcm.  $dM = d \operatorname{lcm}[a, b] = \operatorname{lcm}[da, db]$ . Since  $d \mid a$  and  $d \mid b$ , then  $db \mid ab$  and  $da \mid ab$ , so  $\operatorname{lcm}[da, db] \mid ab \implies dM \mid ab$ .

Look at the gcd.  $dM = \gcd(a,b)M = \gcd(aM,bM)$ . Since  $ab \mid aM$  and  $ab \mid bM$ , then  $ab \mid \gcd(aM,bM) \implies ab \mid dM$ .

Now we have both  $ab \mid dM$  and  $dM \mid ab$  so dM = ab.

#### 1.3 Primes

**Definition 1.4.** An integer n > 1 is called prime if its only positive divisors are 1 and itself.

**Lemma 1.3.** If n > 1 is an integer, then there exists a prime p dividing n.

*Proof.* Proof by induction.

Case n=2: obvious.

Case n > 2: We assume that the statement holds for all k = 2, ..., N - 1. Suppose that N is prime, then  $N \mid N$  and we are done. Otherwise there exists some integer d such that 1 < d < N and  $d \mid N$ . Since there exists a prime p such that  $p \mid d$ , we must have  $p \mid d \mid N$ .

**Theorem 1.4.** There exists infinitely many prime numbers

*Proof.* Suppose that there exists only finitely many prime numbers  $p_1, \ldots, p_k$ . Consider  $N = \prod_{i=1}^k p_i + 1$ . By the lemma, there exists some prime q such that  $q \mid N$ . Since q is prime, let  $q = p_j$ . Then  $p_j \mid \prod_{i=1}^k p_i + 1$  and  $p_j \mid \prod_{i=1}^k p_i$ . It follows that  $p_j \mid 1$  and we have a contradiction.

**Proposition 1.6** (i). If p is prime,  $a \in \mathbb{Z}$ , then  $gcd(a, p) \in \{1, p\}$  and  $gcd(a, p) = p \iff p \mid a$ .

**Proposition 1.7** (ii). p is prime,  $a, b \in \mathbb{Z}$ . If  $p \mid ab$  then  $p \mid a$  or  $p \mid b$ .

idea for Proof of (i). p only has divisors 1 and p.

*Proof of (ii).* Assume that  $p \nmid a$ . Then gcd(a, p) = 1, so  $p \mid b$ , and we are done.

Corollary 1.4.1. If p is prime and  $p \mid \prod_{i=1}^n a_i$ , then  $p \mid a_i$  for some  $i = a_1, \ldots, a_n$ .

**Theorem 1.5** (Fundamental Theorem of Arithmetic). Any integer n > 1 can be written uniquely as a product of primes if we disregard the order of factors.

*Proof.* Claim 1: n > 1 can be written as a product of primes.

*Proof of Claim 1*: Proof by induction. Clearly n=2 works. There exists some integer d such that 1 < d < N and  $d \mid N$ . Then  $N = d \cdot \frac{N}{d}$  and we are done.

**Claim 2:** If  $p_i$  and  $q_j$  are primes and  $p_1 \cdots p_n = q_1 \cdots q_m$ , then n = m and there exists a permutation  $\sigma$  of  $\{1, \ldots, n\}$  such that  $p_i = q_{\sigma(i)}$ .

Proof of Claim 2: Assume that there exists some positive integer N that can be written as a product of primes in two ways. That is  $p_1 \cdots p_n = q_1 \cdots q_m$ . Without loss of generality, assume that n+m is minimum among all possible products of primes. It follows that  $p_i \neq q_j$  for  $1 \leq i \leq n$  and  $1 \leq j \leq m$  because otherwise we can divide both sides by the repeated primes. Then it follows that  $p_1 \mid \prod_{i=1}^n p_i$  but  $p_1 \nmid \prod_{j=1}^m q_j$ .

## 2 Congruences

**Definition 2.1.** For  $m \in \mathbb{Z} \setminus \{0\}$  and  $a, b \in \mathbb{Z}$  we say that a is congruent with b modulo m, that is  $a \equiv b \pmod{m}$ , if  $m \mid a - b$ .

For  $m \in \mathbb{Z} \setminus \{0\}$  and  $a \in \mathbb{Z}$ , we denote by  $\bar{a}$  the residue class of a modulo m.

**Property 2.1** (i).  $a \equiv a \pmod{m}$ .

**Property 2.2** (ii). If  $a \equiv b \pmod{m}$ , then  $b \equiv a \pmod{m}$ .

**Property 2.3** (iii). if  $a \equiv b \pmod{m}$  and  $b \equiv c \pmod{m}$ , then  $a \equiv c \pmod{m}$ .

**Property 2.4.** Given  $m \in \mathbb{N}$ , for any  $a \in \mathbb{Z}, \exists k \in \{0, 1, \dots, m-1\}$  such that  $\bar{a} = \bar{k}$ .

*Proof.* By the division algorithm,  $\exists q \in \mathbb{Z} \text{ and } k \in \{0, 1, \dots, m-1\}$  such that a = mq + k. This implies  $a \equiv k \pmod{m}$ .

**Property 2.5.** If  $d \mid m$  and  $a \equiv b \pmod{m}$ , then  $a \equiv b \pmod{d}$ .

*Proof.*  $a \equiv b \pmod{m} \implies m \mid a - b \implies d \mid a - b \implies a \equiv b \pmod{d}$ .

**Property 2.6.** If  $a \equiv b \pmod{m}$  and  $c \equiv d \pmod{m}$  then  $a + c \equiv b + d \pmod{m}$ .

*Proof.* 
$$m \mid a - b \text{ and } m \mid c - d \implies m \mid (a + c) - (b + d).$$

**Property 2.7.** If  $a \equiv b \pmod{m}$  and  $c \equiv d \pmod{m}$  then  $ac \equiv bd \pmod{m}$ 

*Proof.*  $a \equiv b \pmod{m} \implies a - b = mx$  for some  $x \in \mathbb{Z}$ , and  $c \equiv d \pmod{m} \implies c - d = my$  for some  $y \in \mathbb{Z}$ . Then  $ac = (mx + b)(my + d) = m^2xy + m(dx + by) + bd \implies ac \equiv bd \pmod{m}$ .

**Definition 2.2.**  $\mathbb{Z}/m\mathbb{Z} = \{\overline{0}, \overline{1}, ..., \overline{m-1}\}$  is a complete set of residues modulo m.

**Definition 2.3.** We say that  $a \in \mathbb{Z}$  is invertible modulo  $m \in \mathbb{Z} \setminus \{0\}$  if there exists  $b \in \mathbb{Z}$  such that  $ab \equiv 1 \pmod{m}$ .

**Definition 2.4.**  $(\mathbb{Z}/m\mathbb{Z})*$  is the set of residues  $\bar{i}$  such that  $\bar{i}$  is invertible.

**Proposition 2.1.** Let  $m_1, \ldots, m_r \in \mathbb{Z} \setminus \{0\}$ , then  $x \equiv y \pmod{m_i}$  for  $i = 1, \ldots, r$  if and only if  $x \equiv y \pmod{\lim_{i \to \infty} [m_1, \ldots, m_r]}$ .

**Proposition 2.2.**  $ax \equiv ay \pmod{m} \iff x \equiv y \pmod{\frac{m}{\gcd(a,m)}}$ .

*Proof.* Let  $d = \gcd(a, m)$ . Then  $a = da_1$  and  $m = dm_1$  and  $\gcd(a_1, m_1) = 1$ . It follows that

$$ax \equiv ay \pmod{m} \iff m \mid a(x-y)$$

$$\iff dm_1 \mid da_1(x-y)$$

$$\iff m_1 \mid a_1(x-y)$$

$$\iff m_1 \mid x-y \iff x \equiv y \pmod{m_1}.$$

**Proposition 2.3.** Let  $f \in \mathbb{Z}[x]$ . If  $a \equiv b \pmod{m}$ , then  $f(a) \equiv f(b) \pmod{m}$ .

*Proof.* Write  $f(x) = \sum_{i=0}^{r} c_i x^i$ . Then

$$f(a) \equiv f(b) \pmod{m} \iff \sum_{i=0}^{r} c_i a^i \equiv \sum_{i=0}^{r} c_i b^i \pmod{m}.$$

It suffices to show  $a^i \equiv b^i \pmod{m}$ .

Let  $N = \overline{a_n a_{n-1} ... a_0} = a_n 10^n + a_{n-1} 10^{n-1} + \dots + a_0$  where  $a_i \in \{0, \dots, 9\}$ .

- $2 \mid N \iff 2 \mid a_0$ .
- $4 \mid N \iff 4 \mid 10a_1 + a_0 = \overline{a_1 a_0}$
- $5 \mid N \iff 5 \mid a_0$ .
- $3 \mid N \iff 3 \mid \sum a_i \text{ because } 10 \equiv 1 \pmod{3} \implies 10^k \equiv 1 \pmod{3}.$
- $9 \mid N \iff 9 \mid \sum a_i \text{ similarly to } 3.$
- 11 |  $N \iff 11 \mid \sum (-1)^i a_i$  similarly to 9.

### 2.1 Fermat's Little Theorem, Euler's Theorem, Wilson's Theorem

**Theorem 2.1** (Fermat's (Little) Theorem). Let p be a prime and  $a \in \mathbb{Z}$  such that  $\gcd a, p = 1$ . Then a is invertible modulo p and  $a^{p-1} \equiv a \pmod{p}$ .

*Proof.* Let  $S = \{\overline{1}, \overline{2}, ..., \overline{p-1}\}$ . Let  $f_a : S \to S$  such that  $f_a(\overline{k}) = \overline{ak}$ . This is well defined because  $p \nmid a$  and  $p \nmid k$ , then p cannot divide ak.

Claim:  $f_a$  is bijective.

It suffices to prove that  $f_a$  is injective. This is true because

$$\overline{ai} = \overline{aj} \implies p \mid ai - aj \implies p \mid i - j \implies \overline{i} = \overline{j}.$$

Since  $p \nmid (p-1)!$ , it follows that from the claim that

$$\overline{1} \cdot \overline{2} \cdots \overline{p-1} = \overline{a \cdot 1} \cdot \overline{a \cdot 2} \cdots \overline{a \cdot (p-1)} \implies (p-1)! \equiv (p-1)! \cdot a^{p-1} \pmod{p}.$$

Then  $a^{p-1} \equiv 1 \pmod{p}$ .

**Definition 2.5.** Let  $m \in \mathbb{N}$ . Then Euler's function  $\phi(m)$  is the cardinality of  $\{0 \le i < m : \gcd(i, m) = 1\}$ .

- $\phi(1) = 1$ .
- If p is prime, then  $\phi(p) = p 1$ .
- If p is prime, then  $\phi(p^n) = p^n p^{n-1}$ .

**Theorem 2.2** (Euler's Theorem). Let  $m \in \mathbb{N}$  and  $a \in \mathbb{Z}$  such that gcd(a, m) = 1. Then

$$a^{\phi(m)} \equiv 1 \pmod{m}$$
.

*Proof.* Let  $S = \{\bar{i} : 0 \le i \le m-1, \gcd(i, m) = 1\}$ . Then  $\phi(m) = \#S$ . Let  $f_a : S \to S$  defined by  $f_a(\bar{i}) = \overline{a \cdot i}$ . This function is well defined because

$$gcd(i, m) = 1$$
 and  $gcd(a, m) = 1 \implies gcd(a \cdot i, m) = 1$ .

Now we prove that  $f_a$  is bijective (it suffices to prove that  $f_a$  is injective). Observe that

$$f_a(\bar{i}) = f_a(\bar{j}) \implies a \cdot i \equiv a \cdot j \pmod{m} \implies m \mid a(i-j) \implies m \mid (i-j).$$

It follows that  $\overline{i} = \overline{j}$  and  $f_a$  must be bijective.

Now let  $P = \prod_{k \in S} k$ . Observe that P is coprime with m because each  $k \in S$  is coprime with m. Then

$$P \cdot a^{\phi(m)} \equiv P \pmod{m} \implies a^{\phi(m)} \equiv 1 \pmod{m}.$$

**Theorem 2.3** (Wilson's Theorem). Let p be a prime. Then

$$(p-1)! \equiv -1 \pmod{p}$$
.

*Proof.* We claim that  $\forall i \in \{2, \dots, p-2\}$ ,  $\exists ! j \in \{2, \dots, p-2\}$  such that  $ij \equiv 1 \pmod{p}$ , and  $i \neq j$ . First we check that the inverse of i cannot be 1 or -1. If j = 1, then  $ij \equiv i \pmod{p} \implies i \equiv 1 \pmod{p}$ . If j = -1, then  $ij \equiv -i \implies i \equiv p-1 \pmod{p}$ .

Now  $j \neq i$  because if j = i, then  $i^2 \equiv 1 \pmod{p} \implies p \mid (i-1)(i+1)$ . Contradiction. It follows that

$$(p-1)! = (1 \cdot (p-1)) \cdot (i_1 \cdot j_1) \cdots (i_{\frac{p-3}{2}} \cdot j_{\frac{p-3}{2}}) \equiv -1 \pmod{p}.$$

**Proposition 2.4** (i). If  $p \equiv 1 \pmod{4}$  is prime, then

$$\left(\left(\frac{p-1}{2}\right)!\right)^2 \equiv -1 \pmod{p}.$$

**Proposition 2.5** (ii). If  $p \equiv 3 \pmod{4}$  is prime, then

$$\left(\left(\frac{p-1}{2}\right)!\right)^2 \equiv 1 \pmod{p}.$$

*Proof.* Wilson's Theorem gives

$$(p-1)! \equiv -1 \pmod{p}$$

$$1 \cdot 2 \cdots \left(\frac{p-1}{2}\right) \cdot \left(\frac{p+1}{2}\right) \cdots (p-1) \equiv -1 \pmod{p}$$

$$\left(\frac{p-1}{2}\right) \cdot (-1)^{\frac{p-1}{2}} \left(\frac{p-1}{2}\right) \equiv -1 \pmod{p}$$

If  $p \equiv 1 \pmod{4}$ , then  $\frac{p-1}{2}$  and the result follows. If  $p \equiv 3 \pmod{4}$ , then  $\frac{p-1}{2}$  is odd and the result follows.

#### 2.2 Sum of two squares

**Theorem 2.4** (i). If  $p \equiv 1 \pmod{4}$ , then there exists 2 distinct residue classes  $\overline{x}$  such that  $x^2 \equiv -1 \pmod{p}$ .

**Theorem 2.5** (ii). If  $p \equiv 3 \pmod{4}$ , then there exists no integer x such that  $x^2 \equiv -1 \pmod{p}$ .

*Proof of (i).* There exists two residue classes  $\frac{1}{\pm \left(\frac{p-1}{2}\right)!} \equiv -1 \pmod{p}$ . These are the only two residue classes.

If  $x^2 \equiv y^2 \pmod{p}$ , then  $(x-y)(x+y) \equiv 0 \pmod{p}$  so  $x \equiv y \pmod{p}$  and  $x \equiv -y \pmod{p}$ .  $\square$ 

Proof of (ii). Assume  $\exists x \in \mathbb{Z}$  such that  $x^2 \equiv -1 \pmod{p}$ . This implies  $\gcd(x,p) = 1$ . Therefore

$$-1 \equiv (-1)^{\frac{p-1}{2}} \equiv (x^2)^{\frac{p-1}{2}} \equiv x^{p-1} \equiv 1 \pmod{p}$$

because  $\frac{p-1}{2}$  is odd.

Corollary 2.5.1. Let  $p \equiv 3 \pmod{4}$  be a prime. If  $p \mid a^2 + b^2$ , then  $p \mid a$  and  $p \mid b$ .

*Proof.* Suppose that  $p \mid a^2 + b^2$  and  $p \nmid a$ . Then  $p \nmid b$ . Since p is prime, there exists  $c \in \mathbb{Z}$  such that  $bc \equiv 1 \pmod{p}$ . Then  $a^2 + b^2 \equiv 0 \pmod{p} \implies (ac)^2 + (bc)^2 \equiv 0 \pmod{p} \implies (ac)^2 \equiv -1 \pmod{p}$ .

**Definition 2.6.** For any prime p and any positive integer n, we define  $\exp_p(n)$  be the exponent of p in the prime factorization of n.

**Proposition 2.6.** Let  $p \equiv 3 \pmod{4}$  be a prime. If  $n \in \mathbb{N}$  and  $a, b \in \mathbb{Z}$  such that  $n = a^2 + b^2$ , then  $\exp_p(n)$  is even.

*Proof.* If  $p \nmid n$ , we are done. So assume  $p \mid n$ . Then  $p \mid a$  and  $p \mid b \implies p^2 \mid n$ . Then we let  $\alpha = \min \{ \exp_p(a), \exp_p(b) \}$ , without loss of generality let  $\exp_p(a) = \alpha$ . Then  $p^{2\alpha} \mid n \implies n = p^{2\alpha}m$  for some  $m \in \mathbb{N}$ . Now let  $a = p^{\alpha}c$  and  $b = p^{\alpha}d$  for some  $c, d \in \mathbb{N}$ . Then  $m = c^2 + d^2$ . Now  $p \nmid m$  because  $p \nmid c$ . Therefore  $\exp_p(n) = 2\alpha$ .

**Proposition 2.7.**  $(a^2 + b^2)(c^2 + d^2) = (ac - bd)^2 + (ad + bc)^2$ .

**Proposition 2.8.** Let  $p \equiv 1 \pmod{4}$  be a prime. There exists  $a, b \in \mathbb{N}$  such that  $a^2 + b^2 = p$ .

*Proof.* Let  $S = \{c \in \mathbb{N} : \exists a, b \in \mathbb{N}, a^2 + b^2 = c \cdot p\}$ . Now S is nonempty because  $p \in S$  because  $p \cdot p = p^2 + 0^2$ .

Consider  $c_0 = \min S$ . It suffices to show that  $c_0 = 1$ .

### **Lemma 1**: $c_0 < p$ .

proof. There exists  $x \in \mathbb{Z}$  such that  $x^2 \equiv -1 \pmod{p}$ . Let  $x \in \{0, 1, \dots, p-1\}$ . Now  $x^2 + 1 \leq (p-1)^2 + 1 < p^2$ . Then  $x^2 + 1 = kp$  and k < p so  $k \in S$ .

— END LEMMA —

Let  $a_0, b_0 \in \mathbb{N} \cup \{0\}$  such that  $a_0^2 + b_0^2 = c_0 \cdot p$ .

**Lemma 2**:  $gcd(a_0, c_0) = gcd(b_0, c_0) = 1$ .

proof. It suffices to prove that  $gcd(a_0, c_0) = 1$  by symmetry. Suppose that there exists a prime q such that  $q \mid a_0$  and  $q \mid c_0$ . Then  $q \mid c_0 \cdot p = a_0^2 + b_0^2 \implies q \mid b_0$ . Now since  $q \leq c_0 < p$  (Lemma 1), we must have  $q \nmid p$ . Then

$$a_0^2 + b_0^2 = c_0 \cdot p \implies \left(\frac{a_0}{q}\right)^2 + \left(\frac{b_0}{q}\right)^2 = \frac{c_0}{q^2} \cdot p$$

and we get a contradiction.

— END LEMMA —

Now we proceed by contradiction. Assume that  $c_0 > 1$ .

There exists

$$a_1,b_1 \in \mathbb{Z} \text{ such that } \begin{cases} a_0 \equiv a_1 \pmod{c_0} \text{ and } b_0 \equiv b_1 \pmod{c_0} \\ |a_1| \leq \frac{c_0}{2} \text{ and } |b_1| \leq \frac{c_0}{2} \\ |a_1| \neq 0 \text{ and } |b_1| \neq 0 \end{cases}$$

Part 2 can be shown by listing the residue classes. Part 3 can be shown by considering Lemma 2 and the assumption  $c_0 > 1$ . Now

$$c_0 \mid a_0^2 + b_0^2 \text{ and } a_1^2 + b_1^2 \equiv a_0^2 + b_0^2 \pmod{c_0} \implies a_1^2 + b_1^2 = c_0 \cdot c_1.$$

It follows that  $c_1 < c_0$  because

$$a_1^2 + b_1^2 \le \left(\frac{c_0}{2}\right)^2 + \left(\frac{c_0}{2}\right)^2 < c_0^2.$$

Now we get

$$(a_0^2 + b_0^2)(a_1^2 + b_1^2) = p \cdot c_0^2 \cdot c_1$$
$$(a_0a_1 + b_0b_1)^2 + (a_0b_1 - a_1b_0)^2 = p \cdot c_0^2 \cdot c_1$$
$$\left(\frac{a_0a_1 + b_0b_1}{c_0}\right)^2 + \left(\frac{a_0b_1 - a_1b_0}{c_0}\right)^2 = p \cdot c_1$$

It follows that  $c_1 \in S$  and  $c_1 < c_0$ , contradicting the minimality of  $c_0$ . Therefore  $c_0$  must be 1.

Question: What positive integers can be written as a sum of 2 squares?

$$n = 2^{\alpha} \cdot \prod_{i=1}^{r} p_i^{\beta_i} \cdot \prod_{j=1}^{s} q_j^{\gamma_j}$$

where  $p_i \equiv 1 \pmod{4}$  and  $q_j \equiv 3 \pmod{4}$ . Now since 2 and each  $p_i$  can be written as a sum of two squares. It suffices that  $\prod_{j=1}^{s} q_j^{\gamma_j}$  is a square, that is  $\gamma_j$  is even for all j.

#### 2.3 Chinese Remainder Theorem

**Theorem 2.6** (Chinese Remainder Theorem). Let  $m_1, \ldots, m_r \in \mathbb{Z} \setminus \{0\}$  be pairwise coprime integers. Let  $a_1, \ldots, a_r \in \mathbb{Z}$  be arbitrary. Then the system of congruences

$$x \equiv a_1 \pmod{m_1}$$
  
 $\vdots x \equiv a_r \pmod{m_r}$ 

has a unique solution modulo  $\prod_{i=1}^r m_i$ .

*Proof.* Let  $M_i = \prod_{j \neq i} m_j$  for each i = 1, ..., r. Then since  $\gcd(m_i, M_i) = 1$ , there exists

$$y_i \in \mathbb{Z}$$
 such that  $y_i M_i \equiv 1 \pmod{m_i}$ .

Then  $x = \sum_{i=1}^{r} a_i y_i M_i$  is a solution to the system. Consider x modulo  $m_j$ .

$$\sum_{i=1}^{r} a_i y_i M_i \equiv a_j y_j M_j + \sum_{i \neq j} i \neq j a_i y_i M_i \pmod{m_j}$$
$$\equiv a_j y_j M_j \mod m_j$$
$$\equiv a_j \pmod{m_j}$$

If  $x' \in \mathbb{Z}$  is another solution to the system of congruences, then  $x - x' \equiv 0 \pmod{m_i}$  for all  $i = 1, \ldots, r$ . This is equivalent to  $\lim_{r \to \infty} [m_1, \ldots, m_r] = \prod_{i=1}^r m_i \mid x - x'$ . However our mod is  $\prod_{i=1}^r m_i$  so the solution x must be unique.

### 2.4 Euler's Totient Function $\phi$

The function  $\phi: \mathbb{N} \to \mathbb{N}$  is defined as follows  $\phi(n) = \# \{0 \le i \le n-1 : \gcd(i,n) = 1\} = \#(\mathbb{Z}/n\mathbb{Z})^*$ .

**Proposition 2.9.** If gcd(m, n) = 1, then  $\phi(mn) = \phi(m)\phi(n)$ .

*Proof.* Observation:  $gcd(i, mn) = 1 \iff gcd(i, m) = 1$  and gcd(i, n) = 1.

Let  $f: (\mathbb{Z}/mn\mathbb{Z})^* \to (\mathbb{Z}/m\mathbb{Z})^* \times (\mathbb{Z}/n\mathbb{Z})^*$  defined as  $f(\bar{i}) = (i \mod m, i \mod n)$ . This function is well defined (if direction of the observation).

For any i, j such that

$$\begin{cases} 0 \le i \le m - 1 \text{ and } \gcd(i, m) = 1 \\ 0 \le j \le n - 1 \text{ and } \gcd(j, n) = 1 \end{cases}$$

then CRT yields the existence of a unique x modulo mn such that

$$\begin{cases} x \equiv i \pmod{m} \\ x \equiv j \pmod{m} \end{cases}$$

Then  $f(\overline{x}) = (i \mod m, j \mod n)$ . Now f is both surjective and injective. Therefore f is bijective so  $\phi(mn) = \phi(m)\phi(n)$ .

Since Euler's function is multiplicative, then

$$\phi\left(n = \prod_{i=1}^{r} p_i^{\alpha_i}\right) = \prod_{i=1}^{r} (p_i^{\alpha_i} - p_i^{\alpha_i - 1})$$
$$= n\left(1 - \frac{1}{p_i}\right)$$

**Definition 2.7.** For the function  $f: \mathbb{N} \to \mathbb{C}$ , we say that f is multiplicative if f(mn) = f(m)f(n) whenever gcd(m,n) = 1. If we do not need the condition gcd(m,n) = 1, we call f completely multiplicative.

**Proposition 2.10.** The function d(n) = # {positive divisors of n} is multiplicative.

*Proof.* If gcd(m, n) = 1 and  $d \mid mn$ , then d = gcd(d, m) gcd(d, n).

## **3** The congruence $f(x) \equiv 0 \pmod{p^{\alpha}}$

In this section p will always be prime.

**Proposition 3.1.** Let  $f \in \mathbb{Z}[x]$  and for each nonzero  $m \in \mathbb{Z}$ , we let  $N_f(m)$  be the number of solutions to the congruence  $f(x) \equiv 0 \pmod{m}$ . Then  $N_f : \mathbb{N} \to \mathbb{N} \cup \{0\}$  is multiplicative.

Proof. Suppose gcd(m, n) = 1, then  $f(x) \equiv 0 \pmod{mn} \iff f(x) \equiv 0 \pmod{m}$  and  $f(x) \equiv 0 \pmod{n}$ . Now if  $x_1$  is a solution to  $f(x) \equiv 0 \pmod{m}$  and  $x_2$  is a solution to  $f(x) \equiv 0 \pmod{n}$ , then there exists a unique  $x \mod mn$  such that  $x \equiv x_1 \pmod{m}$  and  $x \equiv x_2 \pmod{n}$  (by CRT). Then  $f(x) \equiv 0 \pmod{mn}$ . It suffices to count the solutions.

Now suppose we want to solve the congruence  $f(x) \equiv 0 \pmod{n}$ . We should write  $n = \prod_{i=1}^r p_i^{\alpha_i}$ . Then it suffices to solve

$$\begin{cases} f(x) \equiv 0 \pmod{p_i^{\alpha_i}} \\ \vdots \\ f(x) \equiv 0 \pmod{p_r^{\alpha_r}} \end{cases}$$

By CRT, we can solve these congruences independently.

**Definition 3.1.** A degree n polynomial f is monic if  $f(x) = \sum_{i=0}^{n} a_n x^n$  and  $a_n = 1$ .

**Proposition 3.2.** Let  $f \in \mathbb{Z}[x] \setminus \{0\}$  of degree  $n \geq 0$ . Without loss of generality, let f be monic. Then for any prime p, there exists at most n solutions to  $f(x) \equiv 0 \pmod{p}$ .

*Proof.* We prove this by induction on n.

Case n = 0: We want to solve  $f(x) = 1 \equiv 0 \pmod{p}$ . There are 0 solutions and  $0 \leq 0$ , so we are done.

Now assume that the proposition is true for all monic polynomials of degree less than n. Suppose there exists some  $x_1$  such that  $f(x_1) \equiv 0 \pmod{p}$ . We can write  $f(x) = (x - x_1)g(x) + R(x)$  where R(x) has degree less than 1. It follows that  $r = f(x_1) \equiv 0 \pmod{p}$ .

Now solving  $f(x) \equiv 0 \pmod{p}$  is equivalent to solving  $(x - x_1)g(x) \equiv 0 \pmod{p}$ . Suppose  $x_2 \not\equiv x_1 \pmod{p}$  is another solution to  $f(x) \equiv 0 \pmod{p}$ . Then  $(x_2 - x_1)g(x) \equiv 0 \pmod{p}$ . Since  $p \nmid x_2 - x_1$ , then we must have  $p \mid g(x) \implies g(x) \equiv 0 \pmod{p}$ .

Observe that g is monic and  $\deg(g) = n - 1 < n$ . Then by induction, there are at most n - 1 solutions to the congruence  $g(x) \equiv 0 \pmod{p}$ . It follows that  $f(x) \equiv 0 \pmod{p}$  has at most n solutions.

**Proposition 3.3.** Given any  $f \in \mathbb{Z}[x]$  of degree  $n \geq 0$ , we can find a  $g \in \mathbb{Z}[x]$  with less that p such that  $f(x) \equiv g(x) \pmod{p}$ .

*Proof.* For any  $x \in \mathbb{Z}$ , we have  $x^p \equiv x \pmod{p}$ . Then  $f(x) = (x^p - x)Q(x) + R(x)$  where  $\deg(R) < p$ . Then  $f(x) \equiv 0 \pmod{p} \iff R(x) \equiv 0 \pmod{p}$ .

Observe that f has p solutions if and only if  $R(x) \equiv 0 \pmod{p}$  for all  $x \in \mathbb{Z}$ .

#### 3.1 Hensel's Lemma

**Theorem 3.1** (Hensel's Lifting Lemma). Let  $f \in \mathbb{Z}[x]$ , let p be a prime and let

$$x_1 \in \mathbb{Z}$$
 such that  $f(x_1) \equiv 0 \pmod{p}$  and  $f'(x_1) \not\equiv 0 \pmod{p}$ .

Then for any  $n \in \mathbb{N}$ , there exists a unique solution  $x_n$  to the congruence

$$f(x) \equiv 0 \pmod{p^n}$$
 and  $x_n \equiv x_1 \pmod{p}$ .

*Proof.* It suffices to show that if  $f(x_n) \equiv 0 \pmod{p^n}$  and  $x_n \equiv x_1 \pmod{p}$ , then there exists a unique solution  $x_{n+1}$  to  $f(x) \equiv 0 \pmod{p^{n+1}}$  and  $x_{n+1} \equiv x_n \pmod{p^n}$ .

We write

$$f(x) = \sum_{i=0}^{d} c_i x^i$$

$$x_{n+1} = x_n + p^n k$$

$$f(x_{n+1}) = f(x_n + p^n k) = \sum_{i=0}^{d} c_i (x_n + p^n k)$$

$$= \sum_{i=0}^{d} c_i \sum_{j=0}^{i} {i \choose j} x_n^j (p^n k)^{i-j}$$

$$= \left(\sum_{i=0}^{d} c_i x_n^i\right) + \left(p^n k \sum_{i=1}^{d} i c_i x_n^{i-1}\right) + p^{2n} A$$

$$= f(x_n) + p^n k f'(x_n) \equiv 0 \pmod{p^{n+1}}$$

Now since  $f(x_n) \equiv 0 \pmod{p^n} \iff f(x_n) = p^n b$ , it

$$p^n b + p^n k f'(x_n) \equiv 0 \pmod{p^{n+1}} \iff b + k f'(x_n) \equiv 0 \pmod{p}.$$

Then by the assumption that  $x_n \equiv x_1 \pmod{p}$ , we get  $f'(x_n) \equiv f'(x_1) \not\equiv 0 \pmod{p}$ , so  $f'(x_n)$  is invertible modulo p. Then we can uniquely solve for k modulo p.

Therefore we get a unique solution  $x_{n+1} = x_n + p^n k \text{ modulo } p^{n+1}$ .

**Theorem 3.2** (Refined Hensel's Lemma). If  $x_0$  is a solution to  $f(x_0) \equiv 0 \pmod{p}$  and

$$\exp_p(f(x_0)) > 2 \exp_p(f'(x_0)),$$

then it always lifts.

Example:  $x^2 + x + 37 \equiv 0 \pmod{7}$ . Everything lifts to level 2, only some lift to level 3. Example:  $x^2 + 2x + 50 \equiv 0 \pmod{7}$ . Everything lifts to level 2, nothing lift to level 3.

### **3.2** The Congruence $a^n \equiv 1 \pmod{m}$

**Definition 3.2.** Let  $m \in \mathbb{Z} \setminus \{0\}$  and let  $a \in \mathbb{Z}$  such that gcd(a, m) = 1. We define the order of a modulo m, denoted  $ord_m(a)$  be the least positive integer d such that  $a^d \equiv 1 \pmod{m}$ .

Since  $a^{\phi(m)} \equiv 1 \pmod{m}$ , we have  $\operatorname{ord}_m(a) \leq \phi(m)$ .

**Lemma 3.3.** If p is a prime and  $d \in \mathbb{N}$  divides p-1, then there are exactly d solutions to

$$x^d - 1 \equiv 0 \pmod{p}.$$

*Proof.* Let  $k = \frac{p-1}{d} \in \mathbb{N}$ . Now

$$\underbrace{x^{p-1}-1}_{\text{exactly }p-1 \text{ solutions}} = (x^d-1)\underbrace{(x^{d(k-1)}+x^{d(k-2)}+\cdots+1)}_{\text{at most }d(k-1)=p-d \text{ solutions}}.$$

It follows that  $x^d - 1 \equiv 0 \pmod{p}$  has at least d solutions because modulo prime.

However, since  $deg(x^d-1)=d$ , it cannot have more than d solutions. Therefore,  $x^d-1\equiv 0\pmod p$  has exactly d solutions.

**Lemma 3.4.** For any  $n \in \mathbb{N}$  such that  $a^n \equiv 1 \pmod{m}$ , we have  $ord_m(a) \mid n$ .

*Proof.* Let  $d = \operatorname{ord}_m(a)$ . Let q and r be the quotient and remainder respectively when we divide n by d. That is n = dq + r with  $0 \le r < d$ . It suffices to show r = 0.

$$1 \equiv a^n \equiv a^{dq+r} \equiv (a^d)^q a^r \equiv a^r \pmod{m}$$
.

It follows that r = 0, implying that  $d \mid n$ .

**Lemma 3.5.** Let  $d = ord_m(a)$ . If  $k \in \mathbb{Z}$ , then  $ord_m(a^k) = \frac{d}{\gcd(k,d)}$ 

*Proof.* Let  $D = \gcd(d, k)$  and let  $d = l \gcd(d, k) = Dl$ . Now we need to show that  $\operatorname{ord}_m(a^k) = l$ .

$$(a^k)^l \equiv a^{\frac{k}{D}Dl} \equiv (a^d)^{\frac{k}{D}} \equiv 1 \pmod{m}.$$

So,  $\operatorname{ord}_m(a^k) \mid l$ . Furthermore,

$$a^{k \operatorname{ord}_m(a^k)} \equiv (a^k)^{\operatorname{ord}_m(a^k)} \equiv 1 \pmod{m}$$

so  $\operatorname{ord}_m(a) = d \mid kd_1 \implies \frac{d}{D} \mid \frac{k}{D}d_1 \implies l \mid d_1.$ 

**Lemma 3.6.** If  $d_1 = ord_m(a_1)$  and  $d_2 = ord_m(a_2)$  and  $gcd(d_1, d_2) = 1$ , then  $ord_m(a_1a_2) = d_1d_2$ .

*Proof.* Let  $d = \operatorname{ord}_m(a_1 a_2)$ .

$$(a_1 a_2)^{d_1 d_2} \equiv (a_1^{d_1})^{d_2} (a_2^{d_2})^{d_1} \equiv 1 \pmod{m}$$

so  $d \mid d_1 d_2$ .

$$1 \equiv ((a_1 a_2)^d)^{d_1} \equiv (a_1 a_2)^{dd_1} \equiv (a_1^{d_1})^d a_2^{dd_1} \equiv a_2^{dd_1} \pmod{m}$$

so  $d_2 \mid dd_1 \implies d_2 \mid d$ . Similarly, we must have  $d_2 \mid d$ . Therefore  $d_1d_2 \mid d$  so we must have  $d = d_1d_2$ .

**Lemma 3.7.** Let p, q be primes and  $\alpha \in \mathbb{N}$  such that  $q^{\alpha} \mid p-1$ . Then there exist exactly  $q^{\alpha} - q^{\alpha-1}$  residue classes of integers a such that  $ord_p(a) = q^{\alpha}$ .

*Proof.* Since  $q^{\alpha} \mid p-1$ , there exist exactly  $q^{\alpha}$  solutions to the congruence

$$x^{q^{\alpha}} \equiv 1 \pmod{p}$$
.

For each solution a of this congruence, we must have  $\operatorname{ord}_p(a) \mid q^{\alpha}$ , that is  $\operatorname{ord}_p(a) = q^{\beta}$  where  $0 < \beta < \alpha$ .

Consider the case where  $\beta < \alpha$ . This implies

$$a^{q^{\alpha-1}} \equiv 1 \pmod{p}.$$

Now since  $q^{\alpha-1} \mid p-1$ , there are exactly  $q^{\alpha-1}$  solutions to this congruence.

The lemma follows.

**Theorem 3.8.** Let p be a prime, then there exists  $a \in \mathbb{Z}$  such that  $ord_p(a) = p - 1$ .

*Proof.* For p = 2, we can choose a = 1.

For p > 2, let  $p - 1 = \prod_{i=1}^{l} q_i^{\alpha_i}$ . By the previous lemma, for each i = i, ..., l, let  $a_i \in \mathbb{Z}$  such that  $\operatorname{ord}_p(a_i) = q_i^{\alpha_i}$ . Now let  $a = \prod_{i=1}^{l} a_i$ ,  $\operatorname{ord}_p(a) = p - 1$ .

**Definition 3.3.** If  $\operatorname{ord}_p(a) = p - 1$ , then a is a primitive root modulo p.

Corollary 3.8.1. There exist exactly  $\phi(p-1)$  primitive roots modulo p.

*Proof.* We know there exists one primitive root g modulo p. Now we can write all nonzero residue classes of p as  $g^{\alpha}$ .

Claim:  $\{g^{\alpha}: 0 \leq \alpha \leq p-2\} = \{\overline{1}, \overline{2}, ..., \overline{p-1}\}$ 

It suffices to prove that  $g^{\alpha} \not\equiv g^{\beta} \pmod{p}$  if  $0 \leq \alpha < \beta \leq p-2$ . If  $g^{\alpha} \equiv g^{\beta} \pmod{p}$ , then  $g^{\beta-\alpha} \equiv 1 \pmod{p}$ . Now this is a contradiction because  $\beta - \alpha < p-1 = \operatorname{ord}_p(g)$ .

Observe that we want  $gcd(\alpha, ord_p(g)) = 1$  in order for  $g^{\alpha}$  to be a primitive root.

$$\operatorname{ord}_p(g^{\alpha}) = \frac{\operatorname{ord}_p(g)}{\gcd(\alpha, \operatorname{ord}_p(g))} = p - 1 \iff \gcd(\alpha, p - 1) = 1.$$

It follows that there are exactly  $\phi(p-1)$  primitive roots.

**Lemma 3.9.** Let  $a, b \in \mathbb{Z}, m \in \mathbb{Z} \setminus \{0\}$  and let  $d = \gcd(a, m)$ . Consider the congruence

$$ax \equiv b \pmod{m}$$
.

- (a) If  $d \mid b$ , then there exists exactly d solutions.
- (b) If  $d \nmid b$ , then there exists no solution.

*Proof.* To see that d must divide b in order for there to be solutions, observe that

$$m \mid ax - b \implies d \mid ax - b \implies d \mid b$$
.

Now let  $a = da_1, b = db_1, m = dm_1$ . Now  $ax \equiv b \pmod{m} \iff a_1x \equiv b_1 \pmod{m_1}$ . There exists a unique  $x_0$  modulo  $m_1$  that is the solution to  $a_1x \equiv b_1 \pmod{m_1}$ . Then the solutions to  $ax \equiv b \pmod{m}$  are

$$x_0, x_0 + m_1, ..., x_0 + (d-1)m_1,$$

a total of d solutions.

**Theorem 3.10.** Let p be a prime and  $a \in \mathbb{Z}$  not divisible by p and  $n \in \mathbb{N}$ . Let  $d = \gcd(n, p - 1)$ .

- (a) If  $a^{\frac{p-1}{d}} \not\equiv 1 \pmod{p}$ , then there is no solution to  $x^n \equiv a \pmod{p}$ .
- (b) If  $a^{\frac{p-1}{d}} \equiv 1 \pmod{p}$ , then there exist d solutions to  $x^n \equiv a \pmod{p}$ .

*Proof.* Let g be some primitive root modulo p. Then there exists some  $\alpha \in \{1, \ldots, p-2\}$  such that  $a \equiv g^{\alpha} \pmod{p}$ . Now any solution x to  $x^n \equiv a \pmod{p}$  can be written as  $g^{\beta}$  where  $\beta \in \{1, \ldots, p-2\}$ . Then the congruence becomes

$$(g^{\beta})^n \equiv g^{\alpha} \pmod{p} \iff g^{n\beta} \equiv g^{\alpha} \pmod{p}$$
  
 $\iff g^{|n\beta-\alpha|} \equiv 1 \pmod{p}$   
 $\iff p-1 = \operatorname{ord}_p(g) \mid n\beta - \alpha.$ 

Now this last divisibility is equivalent to the congruence

$$n\beta \equiv \alpha \pmod{p}$$
.

By the previous lemma, we are done.

**Corollary 3.10.1.** *If* p *is an odd prime and*  $a \in \mathbb{Z}$  *not divisible by* p, *then* 

- (a) If  $a^{\frac{p-1}{2}} \not\equiv 1 \pmod{p}$ , then there is no solution to  $x^2 \equiv a \pmod{p}$ .
- (b) If  $a^{\frac{p-1}{2}} \equiv 1 \pmod{p}$ , then there are 2 solutions to  $x^2 \equiv a \pmod{p}$ .

#### 3.3 Primitive Roots

**Theorem 3.11.** Let p be an odd prime and  $\alpha \in \mathbb{N}$ . Then there is a primitive root g modulo  $p^{\alpha}$ , that is

$$ord_{p^{\alpha}}(g) = \phi(p^{\alpha}) = p^{\alpha - 1}(p - 1).$$

Proof. We know there exists  $g_1 \in \mathbb{Z}$  such that  $ord_p(g_1) = p - 1$ . We construct  $g_2 \in \mathbb{Z}$  such that  $ord_{p^2}(g_2) = p(p-1)$ . Now if  $g_2 \equiv g_1 \pmod{p}$ , then  $ord_p(g_2) = ord_p(g_1) = p - 1$ . Now we must have  $p-1 \mid ord_{p^2}(g_2)$  because we need  $g_2^n \equiv 1 \pmod{p}$  if we want  $g_2^n \equiv 1 \pmod{p^2}$ .

By Euler's Theorem, we get  $\operatorname{ord}_{p^2}(g_2) \mid \phi(p^2) = p(p-1)$ , hence it suffices to show that there exists some  $g_2$  such that

$$\operatorname{ord}_{p^2}(g_2) \neq p - 1.$$

Now if  $\operatorname{ord}_{p^2}(g_2) = p-1$ , this means  $g^{p-1} \equiv 1 \pmod{p^2}$ . Hence  $g_2^p \equiv g_2 \pmod{p^2}$ . We can write  $g_2 = g_1 + pk$  and get

$$g_2^p = (g_1 + pk)^p$$

$$= g_1^p + \sum_{i=1}^p g_1^{p-i} (pk)^i$$

$$\equiv g_1^p \pmod{p^2}$$

Now this is equivalent to

$$g_2 \equiv g_2^p \equiv g_1^p \equiv g_1 + pk \pmod{p^2}$$
.

This means

$$(g_1^p - g_1) - pk \equiv 0 \pmod{p^2}$$

which is true for at most one residue class k. Therefore there exist p-1 residue classes modulo  $p^2$  such that  $\operatorname{ord}_{p^2}(g_2) \neq p-1$ . Hence we must have  $\operatorname{ord}_{p^2}(g_2) = p(p-1)$ .

This proves that there is a primitive root modulo  $p^2$ .

**Claim:**  $g_2$  is a primitive root modulo  $p^{\alpha}$  for any  $\alpha \geq 1$ . We already know that the claim is valid for  $\alpha \leq 2$ .

We prove this claim by induction on  $\alpha$ . Suppose that  $g_2$  is a primitive root for all  $\beta \leq \alpha$  for some  $\alpha > 2$ .

We want to show  $\operatorname{ord} p^{\alpha+1}(g_2) = p^{\alpha}(p-1) = \phi(p^{\alpha+1})$ . Observe that

$$g_2^{\operatorname{ord}_{p^{\alpha+1}}(g_2)} \equiv 1 \pmod{p^{\alpha}} \implies \operatorname{ord}_{p^{\alpha}}(g_2) \mid \operatorname{ord}_{p^{\alpha+1}}(g_2).$$

This means  $p^{\alpha-1}(p-1) \mid \operatorname{ord}_{p^{\alpha+1}}(g_2)$ . Hence suffices to prove that  $\operatorname{ord}_{p^{\alpha+1}}(g_2) \neq p^{\alpha-1}(p-1)$ , that is

$$g_2^{p^{\alpha-1}(p-1)} \not\equiv 1 \pmod{p^{\alpha+1}}.$$

Now since we are dealing with orders, we get

$$g_2^{p^{\alpha-2}(p-1)} \not\equiv 1 \pmod{p^{\alpha}}$$

$$g_2^{p^{\alpha-2}(p-1)} \equiv 1 \pmod{p^{\alpha-1}}$$

Hence we write

$$g_2^{p^{\alpha-2}(p-1)} = 1 + p^{\alpha-1}k$$

where  $p \nmid k$ .

Therefore we can compute

Let 
$$q = g_2 p^{\alpha - 2}$$
  
Let  $h = g^{\alpha - 1}$   

$$q^p = (1 + hk)^p$$

$$= 1 + {p \choose 1}hk + {p \choose 2}(hk)^2 + \dots + (hk)^p$$

$$= 1 + p^{\alpha}k + \sum_{i=2}^p {p \choose i}(hk)^i$$

Now for  $i = 2, \ldots, p - 1$ , we have

$$\exp_p\left(\binom{p}{i}(p^{\alpha-1}k)^i\right) = 1 + i(\alpha - 1) \ge 1 + 2(\alpha - 1) \ge \alpha + 1$$

and for i = p, we have

$$\exp_p((p^{\alpha-1}k)^p) = p(\alpha-1) \ge 3(\alpha-1) \ge \alpha+1.$$

Now we are done.  $\Box$ 

**Lemma 3.12.** If  $\alpha \geq 3$ , there is no primitive root modulo  $2^{\alpha}$ .

*Proof.* We prove that if x is odd, then

$$x^{2^{\alpha-2}} \equiv 1 \pmod{2^{\alpha}}.$$

We already know this is true for  $\alpha = 3$ . We use induction on  $\alpha$ .

Assume that this is true for  $\alpha$  and prove the result for  $\alpha + 1$ . We can compute

$$x^{2^{\alpha-1}} = (x^{2^{\alpha-2}})^2 = (1+2^{\alpha}k)^2 = 1+2^{\alpha+1}k+2^{2\alpha}k^2 \equiv 1 \pmod{2^{\alpha+1}}.$$

(The reason is the exponent is not large enough)

**Lemma 3.13.** Let  $m, n \in \mathbb{N}$  such that gcd(m, n) = 1. If a is a primitive root modulo mn, then

- (a) a is a primitive root modulo m and modulo n.
- (b)  $gcd(\phi(m), \phi(n)) = 1$ .

*Proof.* Let  $d_1 = \operatorname{ord}_m(a)$  and  $d_2 = \operatorname{ord}_n(a)$ .

$$a^{d_1 d_2} \equiv (a^{d_1})^{d_2} \equiv 1 \pmod{m}$$
  
 $a^{d_1 d_2} \equiv (a^{d_2})^{d_1} \equiv 1 \pmod{n}$ 

Since gcd(m, n) = 1, we have  $a^{d_1d_2} \equiv 1 \pmod{nm}$ . Hence

$$\phi(mn) = \operatorname{ord}_{mn}(a) \mid d_1 d_2 \mid \phi(m) \phi(n) = \phi(mn).$$

Now this means  $d_1 = \phi(m)$  and  $d_2 = \phi(n)$ , and part (a) follows.

Replacing  $d_1d_2$  with lcm $[d_1, d_2]$  gives part (b) because we get

$$\phi(mn) = \operatorname{ord}_{mn}(a) \mid \operatorname{lcm}[d_1, d_2] \mid d_1 d_2 \mid \phi(m) \phi(n) = \phi(mn).$$

Then  $\operatorname{lcm}[d_1, d_2] = d_1 d_2$  so  $\gcd(\phi(m), \phi(n)) = 1$ .

**CONCLUSION**: *n* admits a primitive root if

- $n = 2^{\alpha}$ , where  $\alpha \leq 2$ .
- $n = p^{\alpha}$ , where p is an odd prime.
- $n = 2 \cdot p^{\alpha}$ , where p is an odd prime.

**Claim:** If a is some primitive root  $p^{\alpha}$ , then either a or  $a + p^{\alpha}$  is a primitive root modulo  $2 \cdot p^{\alpha}$ .

*Proof.* Without loss of generality, a is odd. Then  $\operatorname{ord}_{2 \cdot p^{\alpha}}(a) = \phi(p^{\alpha})$  is divisible by  $\operatorname{ord}_{p^{\alpha}}(a) = \phi(p^{\alpha})$ .

### 4 Quadratic Reciprocity

### 4.1 Quadratic Residues and the Legendre Symbol

Recall the congruence

$$(a^{\frac{p-1}{2}})^2 \equiv 1 \pmod{p}.$$

Then there are two possibilities:

$$a^{\frac{p-1}{2}} \equiv 1 \pmod{p} \implies x^2 \equiv a \pmod{p}$$
 has 2 solutions,

$$a^{\frac{p-1}{2}} \equiv -1 \pmod{p} \implies x^2 \equiv a \pmod{p}$$
 has 0 solutions.

Recall that the congruence

$$x^2 \equiv -1 \pmod{p}$$

is solvable if  $p \equiv 1 \pmod{4}$  and not solvable if  $p \equiv 3 \pmod{4}$ .

**Definition 4.1.** Let p be an odd prime and  $a \in \mathbb{Z}$ . The Legendre symbol is

$$\left(\frac{a}{p}\right) \text{ is } \begin{cases} 0, \text{ if } p \mid a \\ 1, \text{ if } p \nmid a \text{ and } a^{\frac{p-1}{2}} \equiv 1 \pmod{p} \\ -1, \text{ if } p \nmid a \text{ and } a^{\frac{p-1}{2}} \equiv -1 \pmod{p} \end{cases}$$

**Remark 4.1.** Observations for odd primes p.

- 1. If  $\left(\frac{a}{p}\right) \in \{0,1\}$ , then a is called a quadratic residue modulo p (square mod p).
- 2. There are  $\frac{p-1}{2}$  nonzero quadratic residues modulo p. They are  $1^2, 2^2, ..., (\frac{p-1}{2})^2$ .
- 3.  $\left(\frac{a}{p}\right) \equiv a^{\frac{p-1}{2}} \pmod{p}$ .

Proof of observation 2. It suffices to show that the residue classes are distinct. That is if  $1 \le i < j \le \frac{p-1}{2}$  then  $i^2 \not\equiv j^2 \pmod{p}$ . Suppose that there exists  $i^2 \equiv j^2 \pmod{p}$  for contradiction. Then

$$(i-j)(i+j) \equiv 0 \pmod{p} \iff i \equiv j \pmod{p} \text{ or } i+j \equiv 0 \mod{p}.$$

This cannot be true.  $\Box$ 

**Lemma 4.1.** If p is an odd prime, then

$$\left(\frac{ab}{p}\right) = \left(\frac{a}{p}\right) \left(\frac{b}{p}\right).$$

*Proof.* Observe that

$$\left(\frac{ab}{p}\right) \equiv (ab)^{\frac{p-1}{2}} \equiv a^{\frac{p-1}{2}}b^{\frac{p-1}{2}} \equiv \left(\frac{a}{p}\right)\left(\frac{b}{p}\right) \pmod{p}.$$

Now this means

$$\left(\frac{ab}{p}\right) - \left(\frac{a}{p}\right) \left(\frac{b}{p}\right) \implies \left(\frac{ab}{p}\right) = \left(\frac{a}{p}\right) \left(\frac{b}{p}\right).$$

Remark 4.2. More observations.

1. 
$$\left(\frac{1}{p}\right) = 1$$
 and  $\left(\frac{-1}{p}\right) = (-1)^{\frac{p-1}{2}}$ .

2. If 
$$p \nmid a$$
, then  $\left(\frac{a^n}{p}\right) = \left(\frac{a}{p}\right)^n$ .

3. If 
$$a \equiv b \pmod{p}$$
, then  $\left(\frac{a}{p}\right) = \left(\frac{b}{p}\right)$ .

**Theorem 4.2** (Quadratic Reciprocity). If  $p \neq q$  are odd primes, then

$$\left(\frac{p}{q}\right) \cdot \left(\frac{q}{p}\right) = (-1)^{\frac{(p-1)(q-1)}{4}}.$$

Equivalently,

$$\left(\frac{p}{q}\right) = \left(\frac{q}{p}\right) \cdot \left(-1\right)^{\frac{(p-1)(q-1)}{4}}.$$

*Proof.* First we outline the very enlightening proof.

- 1. Polynomials and Irreducibility
- 2. Roots of Unity
- 3. Character Sums
- 4. Quadratic Reciprocity

**Theorem 4.3.** If p is an odd prime, then

$$\left(\frac{2}{p}\right) = 1 \iff p \equiv \pm 1 \pmod{8} \ and \ \left(\frac{2}{p}\right) = -1 \iff p \equiv \pm 3 \pmod{8}.$$

*Proof.* For each  $i=1,...,\frac{p-1}{2}$ , there exist unique  $\varepsilon(i)\in\{0,1\}$  and  $f(i)\in\left\{1,...,\frac{p-1}{2}\right\}$  such that

$$2 \cdot i \equiv (-1)^{\varepsilon(i)} \cdot f(i) \pmod{p}.$$

We claim that f is bijective. Since f is from a set to itself, it suffices to prove that it is injective. Case 1:  $\varepsilon(i) = \varepsilon(j)$ . Then

$$2i \equiv (-1)^{\varepsilon(i)} f(i) \equiv (-1)^{\varepsilon(j)} f(j) \equiv 2j \pmod{p} \implies i \equiv j \pmod{p} \implies i = j.$$

Case 2:  $\varepsilon(i) \neq \varepsilon(j)$ . Then

$$2i \equiv (-1)^{\varepsilon(i)} f(i) \equiv -(-1)^{\varepsilon(j)} f(j) \equiv -2j \pmod{p} \implies p \mid 2(i+j) \implies p \mid i+j.$$

This leads to a contradiction.

Now take the products (same trick as Euler's Theorem)

$$\prod_{i=1}^{\frac{p-1}{2}} (2i) \equiv \prod_{i=1}^{\frac{p-1}{2}} (-1)^{\varepsilon(i)} f(i) \pmod{p}$$
$$2^{\frac{p-1}{2}} \left(\frac{p-1}{2}\right)! \equiv (-1)^l \left(\frac{p-1}{2}\right)! \pmod{p}$$

Now,  $l = \# \left\{ 1 \le i \le \frac{p-1}{2} : 2i > \frac{p-1}{2} \right\}$ .

We can consider the two cases p = 4k + 1 and p = 4k + 3 to get that l is even if and only if  $p \equiv \pm 1 \pmod{8}$ .

Observe that we can write  $\left(\frac{2}{p}\right) = (-1)^{\frac{p^2-1}{8}}$ .

### 4.2 Polynomials and Commutative Algebra

We want to prove that the pth cyclotomic polynomial  $x^{p-1} + x^{p-2} + \cdots + x + 1$  is irreducible.

**Proposition 4.1.** Let  $f, g, h \in \mathbb{Z}[x]$ , and  $f(x) = g(x) \cdot h(x)$ . If there exists a prime p dividing each coefficient of f, then p devidies each coefficient of g or each coefficient of h.

*Proof with algebra.* We can reduce the polynomials modulo p, that is

$$\overline{f}, \overline{g}, \overline{h} \in \mathbb{R}_p[x].$$

Now if the proposition is not true, we can consider the product of the following nonzero polynomials

$$\overline{g}(x) = \overline{a_m}x^m + \cdots$$

$$\overline{h}(x) = \overline{b_n}x^n + \cdots$$

which is nonzero.

*Proof.* We prove this by contradiction.

Let m, n be maximal such that if

$$g(x) = \sum_{i=0}^{\deg(g)} a_i x^i$$

$$h(x) = \sum_{i=0}^{\deg(h)} b_i x^i$$

then  $a_m \not\equiv 0 \pmod{p}$  and  $b_n \not\equiv 0 \pmod{p}$ . Now consider the coefficient of  $x^{m+n}$  in f(x), then

$$\sum_{i+j=m+n} a_i b_j \equiv a_m b_n \pmod{p}.$$

Now we are done because  $a_m b_n \not\equiv 0 \pmod{p}$ .

**Definition 4.2.** We first define irreducibility.

- 1.  $f \in \mathbb{Q}[x]$  is irreducible if  $\nexists g, h \in \mathbb{Q}[x]$  such that  $f = g \cdot h$  and  $\deg(g), \deg(h) < \deg(f)$ .
- 2.  $f \in \mathbb{Z}[x]$  is irreducible if  $\nexists g, h \in \mathbb{Z}[x]$  such that  $f = g \cdot h$  and  $\deg(g), \deg(h) < \deg(f)$ .

**Proposition 4.2** (Gauss's Lemma). Let  $f \in \mathbb{Z}[x]$ . Then f is irreducible in  $\mathbb{Q}[x]$  if and only if f is irreducible in  $\mathbb{Z}[x]$ .

*Proof.* Since  $\mathbb{Z} \subset \mathbb{Q}$ , the implication follows.

For the converse, we prove that if f is reducible in  $\mathbb{Q}[x]$ , then f is reducible in  $\mathbb{Z}[x]$ . There exists  $g, h \in \mathbb{Q}[x]$  such that  $f = g \cdot h$  and  $\deg(g), \deg(h) < \deg(f)$ .

Let  $D(g) \in \mathbb{Z} \setminus \{0\}$  such that  $D(g)g(x) \in \mathbb{Z}[x]$ . Similarly define D(h). Let  $g_1(x) = D(g)g(x) \in \mathbb{Z}[x]$  and  $h_1(x) = D(h)h(x) \in \mathbb{Z}[x]$ . Then we have

$$D(g)D(h) \cdot f = g_1 \cdot h_1.$$

Let  $N(g_1)$  be the gcd of the coefficients of  $g_1$ . Similarly define  $N(h_1)$ . Let  $g_2(x) = \frac{g_1(x)}{N(g_1)} \in \mathbb{Z}[x]$  and  $h_2(x) = \frac{h_1(x)}{N(h_1)} \in \mathbb{Z}[x]$ . Now we have

$$D(g)D(h) \cdot f = g_1 \cdot g_2 = N(g_1)N(h_1) \cdot g_2 \cdot h_2.$$

By the previous lemma, there does not exist a prime p that divides each coefficient of  $g_2(x)$  or each coefficient of  $h_2(x)$ . Otherwise, we contradict gcd.

Let  $\frac{N(g_1)N(h_1)}{D(g)D(h)}$  written in lowest terms as  $\frac{a}{b}$  (ie.  $a,b \in \mathbb{Z}$  and  $\gcd(a,b)=1$ ). It suffices to prove that b=1, that is no prime p divides b. By contradiction, suppose that there exists some prime p that divides b. Since  $\gcd(a,b)=1$ , then  $p \nmid a$ . Equivalently,

$$b \cdot f = a \cdot g_2 \cdot h_2.$$

Now p divides each coefficient of  $b \cdot f$ . Since  $p \nmid a$  and p does not divide each coefficient of  $g_2$  or each coefficient of  $h_2$  and we have a contradiction. It follows that b = 1.

**Proposition 4.3** (Eisenstein Criterion for Irreducibility). Let p be a prime and let  $a_0, \ldots, a_n \in \mathbb{Z}$  such that

- 1.  $p \nmid a_n$ ,
- 2.  $p \mid a_i \text{ for } 0 \le i \le n-1$ ,
- 3.  $p^2 \nmid a_0$ .

Then  $a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0$  is irreducible.

*Proof.* We prove this by contradiction. Assume that there exists such a polynomial is reducible, that is  $\exists g, h \in \mathbb{Z}[x]$  such that f = gh and  $1 \leq \deg(g), \deg(h) < \deg(f)$ . Let

$$g(x) = b_m x^m + b_{m-1} x^{m-1} + \dots + b_1 x + b_0$$

$$h(x) = c_l x^l + c_{l-1} x^{l-1} + \dots + c_1 x + c_0$$

Since  $a_0 = b_0 c_0$ ,  $p \mid a_0$  and  $p^2 \nmid a_0$ , we get that p divides exactly one of  $b_0$  and  $c_0$ . Without loss of generality, assume that  $p \mid b_0$  and  $p \nmid c_0$ . Now we can prove by induction that  $p \mid b_i$  for all  $i = 0, \ldots, m$ .

We already have the base case. Assume that  $p \mid b_j$  for all j < i. Then

$$p \mid a_i = b_i c_0 + b_{i-1} c_1 + \dots + b_0 c_i \implies p \mid b_i$$
.

It follows that  $p \mid a_n$ , contradiction.

Corollary 4.3.1. Let p be a prime, and let  $\Phi_p(x) = x^{p-1} + \cdots + x + 1$ , then  $\Phi_p(x)$  is irreducible.

*Proof.* Observe that  $\Phi_p(x+1) = \frac{x^p-1}{x-1}$ . Then

$$\Phi_p(x+1) = \frac{(x+1)^p - 1}{x} = x^{p-1} + \binom{p}{1}x^{p-2} + \dots + \binom{p}{p-1}$$

satisfies the Eisenstein criterion for p, so  $\Phi_p(x+1)$  is irreducible. Now  $\Phi_p(x)$  must be irreducible. We can see that if  $\Phi_p(x) = g(x) \cdot h(x) \implies \Phi_p(x+1) = g(x+1) \cdot h(x+1)$ .

### 4.3 Primitive Roots of Unity

Consider the roots of  $\Phi_p(x)$ . These are  $e^{i\frac{2\pi l}{p}}, l \in \{1, \dots, p-1\}$ . Let  $\xi_p = e^{i\frac{2\pi}{p}}$ .

**Lemma 4.4.** There exists no nonzero polynomial  $g(x) \in \mathbb{Q}[x]$  of degree less than p-1 such that  $g(\xi_p) = 0$ .

*Proof.* We can assume that  $g \in \mathbb{Z}[x]$  because we can simply clear the denominators. Without loss of generality, assume g has the minimum degree among all polynomials with  $\xi_p$  as a root. We divide  $\Phi_p(x)$  by g(x) with quotient and remainder

$$\Phi_p(x) = g(x) \cdot Q(x) + R(x)$$

where  $Q, R \in \mathbb{Q}[x]$  and  $\deg(R) < \deg(g)$ .

Now we get  $\Phi_p(\xi_p) = g(\xi_p)Q(\xi_p) + R(\xi_p) \implies R(\xi_p) = 0$ . By the minimality of g, we get R(x) = 0. This implies that  $\Phi_p(x) = g(x)Q(x)$  and by Gauss's Lemma,  $\Phi_p(x)$  is irreducible. Hence Q(x) is a constant function.

Corollary 4.4.1. If  $c_1, \ldots, c_p - 1 \in \mathbb{Q}$  such that  $c_1 \xi_p + c_2 \xi_p^2 + \cdots + c_{p-1} \xi_p^{p-1} = 0$ , then  $c_1 = c_2 = \cdots = c_{p-1} = 0$ .

*Proof.* Assume  $\sum_{i=1}^{p-1} c_i \xi_p^i = 0$ . Since  $\xi_p \neq 0$ , we can divide by  $\xi_p$ . Now we get some polynomial of degree less than p-1 with a root at  $\xi_p$ , so it is identically 0.

**Lemma 4.5.** Let  $b \in \mathbb{Z}$ . Then

$$\sum_{i=1}^{p-1} \xi_p^{ib} = \begin{cases} p-1 & \text{if } p \mid b \\ -1 & \text{if } p \nmid b \end{cases}$$

*Proof.* If  $p \mid b$ , then  $\xi_p^{ib} = 1$  for all i, so  $\sum_{i=1}^{p-1} \xi_p^{ib} = p-1$ . If  $P \nmid b$ , then  $\{ib : 1 \leq i \leq p-1\} = \{1, ..., p-1\}$  modulo p, so

$$\sum_{i=1}^{p-1} \xi_p^{ib} = \sum_{i=1}^{p-1} \xi_p^i = -1.$$

**Definition 4.3.** We define the Gauss sum to be

$$G(p) = \sum_{i=1}^{p-1} \left(\frac{i}{p}\right) \cdot \xi_p^i.$$

**Lemma 4.6.**  $G(p)^2 = p \cdot \left(\frac{-1}{p}\right) = p \cdot (-1)^{\frac{p-1}{2}}$ .

*Proof.* We expand to get

$$G(p)^{2} = \sum_{1 \leq i, j \leq p-1} \left(\frac{i}{p}\right) \left(\frac{j}{p}\right) \xi_{p}^{i} \xi_{p}^{j}$$

Everything is invertible, so we an let j = ik for some  $k \in \{1, ..., p - 1\}$ .

$$G(p)^{2} = \sum_{1 \leq i, j \leq p-1} \left(\frac{ij}{p}\right) \xi_{p}^{i+j} = \sum_{1 \leq i, k \leq p-1} \left(\frac{i^{2}k}{p}\right) \xi_{p}^{i(k+1)} = \sum_{k=1}^{p-1} \left(\frac{k}{p}\right) \sum_{i=1}^{p-1} \xi_{p}^{i(k+1)}$$

$$= \left(\sum_{k=1}^{p-2} \left(\frac{k}{p}\right) \sum_{i=1}^{p-1} \xi_{p}^{i}\right) + \left(\frac{p-1}{p}\right) \sum_{i=1}^{p-1} \xi_{p}^{0} = \left(\sum_{k=1}^{p-2} \left(\frac{k}{p}\right) (-1)\right) + \left(\frac{p-1}{p}\right) (p-1)$$

$$= p\left(\frac{-1}{p}\right) - \sum_{k=1}^{p-1} \left(\frac{k}{p}\right) = p\left(\frac{-1}{p}\right)$$

**Lemma 4.7.** Let  $n \in \mathbb{N}$ ,  $i_1, ..., i_k \in \mathbb{Z}$  and  $a_{i_1}, ..., a_{i_k} \in \mathbb{Z}$  such that  $n \mid a_{i_j}$  for each j = 1, ..., k. Then there exist

$$b_1, ..., b_{p-1} \in \mathbb{Z}$$
 such that  $\forall j \in \{1, ..., p-1\}, n \mid b_j$  such that  $a_{i_1} \xi_p^{i_1} + \cdots + a_{i_k} \xi_p^{i_k} = b_1 \xi_p + b_2 \xi_p^2 + \cdots + b_{p-1} \xi_p^{p-1}$ 

#### 4.4 Proof of Quadratic Reciprocity

**Lemma 4.8.** Let  $k \in \mathbb{N}$  and  $x_1, \ldots, x_k$  are variables and q is a prime. Then

$$(x_1 + \dots + x_k)^q = x_1^q + \dots + x_k^q + \sum_{i_1 + \dots + i_k = q} c_{i_1, \dots, i_k} x_1^{i_1} \cdots x_k^{i_k}$$

where each c is divisible by q. That is

$$(x_1 + \dots + x_k)^q \equiv x_1^q + \dots + x_k^q \pmod{q}.$$

*Proof.* Proof by induction ok k.

Base case k=2: Obvious after expansion.

Inductive step k > 2:

$$(x_1 + \dots + (x_k + x_{k+1}))^q = x_1^q + \dots + (x_k + x_{k+1})^q + \sum_{i_1 + \dots + i_k = q} c_{i_1, \dots, i_k} \dots (x_k + x_{k+1})^{i_k}$$
$$= x_1^q + \dots + x_{k+1}^q + \sum_{i=1}^{q-1} \binom{q}{j} x_k^j x_{k+1}^{q-j} + \sum_{i=1}^{q-1} c_{\dots} \left(\sum_{i=1}^{q} \text{binom}\right)$$

Now we get  $(x_1 + \dots + x_k)^q \equiv x_1^q + \dots + x_k^q \pmod{q}$ .

**Theorem 4.9** (Quadratic Reciprocity). If  $p \neq q$  are odd primes, then

$$\left(\frac{p}{q}\right) \cdot \left(\frac{q}{p}\right) = (-1)^{\frac{(p-1)(q-1)}{4}}.$$

Equivalently,

$$\left(\frac{p}{q}\right) = \left(\frac{q}{p}\right) \cdot (-1)^{\frac{(p-1)(q-1)}{4}}.$$

*Proof.* Consider the Gauss sum.

$$G(p) = \sum_{i=1}^{p-1} {i \choose p} \xi_p^i$$

$$G(p)^2 = p \cdot \left(\frac{-1}{p}\right) = p \cdot (-1)^{\frac{p-1}{2}}$$

$$G(p)^q = G(p) \cdot (G(p)^2)^{\frac{q-1}{2}}$$

Raising the Gauss sum to the power of q we get

$$G(p)^{q} = \left(\sum_{i=1}^{p-1} \left(\frac{i}{p}\right) \xi_{p}^{i}\right)^{q}$$

$$= \sum_{i=1}^{p-1} \left(\frac{i}{p}\right)^{q} \xi_{p}^{iq} + \sum_{i_{1}, \dots, i_{p-1}} c_{i_{1}, \dots, i_{p-1}} \left(\prod_{j=1}^{p-1} \left(\left(\frac{j}{p}\right) \xi_{p}^{j}\right)^{i_{j}}\right)$$

$$= \sum_{i=1}^{p-1} \left(\frac{i}{p}\right) \xi_{p}^{iq} + \sum_{i=1}^{p-1} b_{i} \xi_{p}^{i}$$

$$= \left(\frac{q}{p}\right) \sum_{i=1}^{p-1} \left(\frac{iq}{p}\right) \xi_{p}^{iq} + \sum_{i=1}^{p-1} b_{i} \xi_{p}^{i}$$

$$= \left(\frac{q}{p}\right) G(p) + \sum_{i=1}^{p-1} b_{i} \xi_{p}^{i}$$

where  $p \mid b_i$  for each i. Now from the other side, we get

$$G(p)^{q} = G(p) \cdot (G(p)^{2})^{\frac{q-1}{2}}$$

$$= G(p) \cdot (p \cdot (-1)^{\frac{p-1}{2}})^{\frac{q-1}{2}}$$

$$= G(p) \cdot p^{q-1} 2 \cdot (-1)^{\frac{(p-1)(q-1)}{4}}$$

$$= G(p) \cdot \left(\left(\frac{p}{q}\right) + ql\right) \cdot (-1)^{\frac{(p-1)(q-1)}{4}}$$

$$= G(p) \cdot \left(\frac{p}{q}\right) + ql(-1)^{\frac{(p-1)(q-1)}{4}}G(p)$$

$$= G(p) \cdot \left(\frac{p}{q}\right) + \sum_{i=1}^{p-1} a_{i} \xi_{p}^{i}$$

where  $q \mid a_i$  for each i.

Equating the two expressions for  $G(p)^q$  gives

$$\left(\frac{q}{p}\right)G(p) + \sum_{i=1}^{p-1} b_i \xi_p^i = \left(\frac{p}{q}\right) (-1)^{\frac{(p-1)(q-1)}{4}} G(p) + \sum_{i=1}^{p-1} a_i \xi_p^i$$

$$\left(\left(\frac{q}{p}\right) - \left(\frac{p}{q}\right) (-1)^{\frac{(p-1)(q-1)}{4}} G(p)\right) = \sum_{i=1}^{p-1} (a_i - b_i) \xi_p^i$$

$$\sum_{i=1}^{p-1} \left(\left(\frac{q}{p}\right) - \left(\frac{p}{q}\right) (-1)^{\frac{(p-1)(q-1)}{4}}\right) \left(\frac{i}{p}\right) \xi_p^i = \sum_{i=1}^{p-1} (a_i - b_i) \xi_p^i$$

It follows that for each i = 1, ..., p - 1 we have

$$q \mid a_i - b_i = \left( \left( \frac{q}{p} \right) - \left( \frac{p}{q} \right) (-1)^{\frac{(p-1)(q-1)}{4}} \right) \left( \frac{i}{p} \right) \in \{-2, 0, 2\}.$$

Hence  $a_i = b_i$  and the proof is complete.

### 5 Diophantine Equations

**Definition 5.1.** If  $f \in \mathbb{Z}[x_1,...,x_n]$ , then  $f(x_1,...,x_n) = 0$  is a diophantine equation. We search for integer solutions.

The common questions relating to Diophantine equations:

- 1. Find all solutions.
- 2. Determine whether there are infinitely many solutions.

Consider the equation

$$Ax^m + By^n + Cz^k = 0$$

where  $A, B, C \in \mathbb{Z}$  and  $m, n, k \in \mathbb{N}$ , and we are looking for solutions in rationals. Then we have three cases.

- 1. If  $\frac{1}{m} + \frac{1}{n} + \frac{1}{k} > 1$ , then there are infinitely many solutions.
- 2. If  $\frac{1}{m} + \frac{1}{n} + \frac{1}{k} = 1$ , this gives an elliptic curve.
- 3. If  $\frac{1}{m} + \frac{1}{n} + \frac{1}{k} < 1$ , then there are finitely many solutions.

Note: There are always finitely many integer solutions on an elliptic curve.

Example on elliptic curves.

$$y^2 + x^3 - 17z^6 = 0$$

We can rewrite this equation as

$$\left(\frac{y}{z^3}\right)^2 + \left(\frac{x}{z^2}\right)^3 - 17 = 0$$

which is a planar curve as follows

$$y^2 = x^3 + 17.$$

How do we generate solutions when we know one solution? We can draw the tangent and find another point on the curve.

### 5.1 Examples of Diophantine Equations

Example 5.1. Consider the Diophantine equation

$$x^2 + 2y^2 - 8z - 5 = 0.$$

*Proof.* This is equivalent with the congruence

$$x^2 + 2y^2 \equiv 5 \pmod{8}.$$

Observe that x is odd, so  $x^2 \equiv 1 \pmod{8}$ . Then the congruence is equivalent to

$$2y^2 \equiv 4 \pmod{8}.$$

Now  $2 \mid y$  so  $4 \mid y^2$ , hence  $2y^2 \equiv 0 \pmod 8$  and we have a contradiction. Therefore there are no solutions.

**Example 5.2.** Consider the Diophantine equation

$$x^2 - 3xy + z^2 - 6xz^3 - 21 = 0.$$

*Proof.* We reduce this equation modulo 3.

$$x^2 + z^2 \equiv 0 \pmod{3}.$$

This means  $3 \mid x^2 + z^2$  and since  $3 \pmod{4}$ , we get  $3 \mid x$  and  $3 \mid z$ . Now we get that 9 divides all terms in the equation except -21 and we have a contradiction. Therefore there are no solutions.  $\square$ 

Example 5.3. Consider the Diophantine equation

$$x^2 - 2xy^2 + 5y^4 - 3x + 6y + 10 = 0.$$

*Proof.* Observe that

$$0 = \left(\frac{1}{4}x^2 - 2xy^2 + 4y^4\right) + \left(\frac{3}{4}x^2 - 3x + 3\right) + \left(y^4 - 2y^2 + 1\right) + 2y^2 + 6y + 6$$

$$= \left(\frac{1}{2}x - 2y^2\right)^2 + 3\left(\frac{1}{2}x - 1\right)^2 + \left(y^2 - 1\right)^2 + 2\left(y + \frac{3}{2}\right)^2 + \frac{3}{2}$$

$$> 0$$

Example 5.4. Consider the Diophantine equation

$$x^3 + 2y^3 - 7z^3 - 14w^3 = 0.$$

*Proof.* Consider reducing modulo 7.

$$x^3 + 2y^3 \equiv 0 \pmod{7}.$$

Observe that  $(n^3)^2 = x^6 \equiv 1 \pmod{7}$  so

$$x^3 \equiv \begin{cases} 0 \pmod{7} \\ \pm 1 \pmod{7} \end{cases}$$

It follows that we must have  $7 \mid x$  and  $7 \mid y$ . Now consider the original equation, we must get  $7 \mid z^3 + 2w^3$  and hence infinite descent.

If (0,0,0,0) is not the only solution, then there exists a nontrivial solution (x,y,z,w) such that gcd(x,y,z,w) = 1. Then we show that  $7 \mid gcd(x,y,z,w)$  and get a contradiction.

### **Example 5.5.** Consider the Diophantine equation

$$7x^4 + 11y^4 - z^4 = 0.$$

*Proof.* Assume that there is a nontrivial solution (x, y, z) such that gcd(x, y, z) = 1.

Consider reducing modulo 7.

$$4y^4 \equiv z^4 \pmod{7}$$

This does not give anything when  $7 \nmid y$  and  $7 \nmid z$ .

Consider reducing modulo 11 and suppose that  $11 \nmid x$  and  $11 \nmid z$ .

$$7x^4 \equiv z^4 \pmod{7}.$$

No we get  $7 \equiv A^4 \pmod{11} \iff 7 \equiv B^2 \pmod{11}$  but  $\left(\frac{7}{11}\right) = -1$  and we have a contradiction.

### 5.2 Pythagorean Triples

Consider the Diophantine equation

$$x^2 + y^2 = z^2$$
 where  $x, y, z \in \mathbb{Z}$ .

**Observation 5.1.** If  $d \mid \gcd(x, y, z)$ , then

$$\left(\frac{x}{d}\right)^2 + \left(\frac{y}{d}\right)^2 = \left(\frac{z}{d}\right)^2$$

**Observation 5.2.** We can assume gcd(x,y) = gcd(y,z) = gcd(z,x) = 1.

**Observation 5.3.** z is odd.

*Proof.* If z is even, then x and y have the same parity.

If x and y are even, then we contradict the coprimality.

If x and y are odd, then we have

$$0 \equiv z^2 \equiv x^2 + y^2 \equiv 2 \pmod{4}.$$

Now, since we know that z is odd, then without loss of generality, let x be even and y be odd. Then

$$x = 2x_1; x_1 \in \mathbb{Z}.$$

$$x^{2} = z^{2} - y^{2} = (z - y)(z + y).$$

**Observation 5.4.** If  $A, B, C \in \mathbb{N}$  and  $n \in \mathbb{N}$ , and

$$\begin{cases} A^n = BC \\ \gcd(B, C) = 1 \end{cases}$$

then we must have B and C are both nth powers.

*Proof.* For each prime p, we have

$$\exp_p(B) + \exp_p(C) = n \cdot \exp_p(A)$$

However, we must have  $\exp_p(B) = 0$ , or  $\exp_p(B) > 0$  and  $\exp_p(C) = 0$ . Hence,  $\exp_p(B) \in \{0, n \cdot \exp_p(A)\}$ . Similarly for C.

Since z and y are both odd, we can let

$$z - y = 2u; u \in \mathbb{N}$$
$$z + y = 2v; v \in \mathbb{N}$$
$$4x_1^2 = 2u \cdot 2v \implies x_1^2 = u \cdot v.$$

**Observation 5.5.** u and v are coprime.

*Proof.* Since z = u + v and y = v - u, if  $d \mid u$  and  $d \mid v$ , then  $d \mid y$  and  $d \mid z$ . Hence gcd(u, v) = 1.  $\square$ 

Now, we get that u and v are perfect squares, that is there exist  $a, b \in \mathbb{N}$  such that

$$u = a^{2}$$

$$v = b^{2}$$

$$x_{1} = a \cdot b.$$

Now we get the solutions

$$x = 2ab$$
$$y = b^2 - a^2$$
$$z = b^2 + a^2$$

for  $a, b \in \mathbb{N}$  and gcd(a, b) = 1. These are all the primitive solutions.

$$(2ab, b^2 - a^2, b^2 + a^2)$$
 solves  $x^2 + y^2 = z^2$ 

Now, any  $a, b \in \mathbb{Z}$  would solve the equation. However, we do not recover all solutions like this. To recover all solutions, it suffices to multiply the triple by a constant

$$(2abc, (b^2 - a^2)c, (b^2 + a^2)c)$$

### 5.2.1 Rational Points on the Unit Circle

Consider rational solutions to the equation

$$x^2 + y^2 = 1.$$

Since we have the integer solutions to  $x^2 + y^2 = z^2$ , we can simply divide to get

$$\left(\frac{2ab}{a^2 + b^2}, \frac{b^2 - a^2}{a^2 + b^2}\right)$$
$$\left(\frac{2t}{1 + t^2}, \frac{1 - t^2}{1 + t^2}\right)$$

for  $t = \frac{a}{b}$ .

This means that the number of rational solutions of  $x^2 + y^2 = 1$  is infinite. Usually we expect the number of rational solutions on a curve is finite.

**Theorem 5.1** (Faltings). Let  $f \in \mathbb{Q}[x,y]$ ,  $\deg_x(f)$ ,  $\deg_y(f) \geq 4$  and f is irreducible over  $\mathbb{Q}$ , then the number of rational solutions to f(x,y) = 0 is finite.

### **5.2.2** The Equation $x^4 + y^4 = z^4$

**Theorem 5.2.** There are no solutions in  $\mathbb{N}$  to the equation

$$x^4 + y^4 = z^4$$
.

*Proof.* Assume that there exist solutions in  $\mathbb{N}$  to the equation

$$x^4 + y^4 = z^2.$$

Let  $(x_0, y_0, z_0)$  be the solution with the smallest  $z_0$ .

Observe that  $gcd(x_0, y_0, z_0) = 1$ . If  $p \mid x_0$  and  $p \mid y_0$ , then  $p^4 \mid x^4 + y^4 = z^2 \implies p^2 \mid z$ . Hence  $(\frac{x_0}{p}, \frac{y_0}{p}, \frac{z_0}{p^2})$  is another solution with a smaller  $z_0$ . Now we get that  $gcd(x_0, y_0) = gcd(y_0, z_0) = gcd(x_0, z_0) = 1$ .

Now we can rewrite the original equation as

$$(x_0^2)^2 + (y_0^2)^2 = z_0^2$$

where  $(x_0^2, y_0^2, z_0)$  is a primitive Pythagorean triple.

Now we know that  $z_0$  is odd and we may assume that  $x_0$  is even and  $y_0$  is odd. Hence there exist  $a, b \in \mathbb{N}$  where gcd(a, b) = 1 such that

$$x_0^2 = 2ab$$
$$y_0^2 = b^2 - a^2$$
$$z_0 = a^2 + b^2$$

Observe that we have another primitive Pythagorean triple

$$a^2 + y_0^2 = b^2.$$

Now there exist  $u, v \in \mathbb{N}$  where gcd(u, v) = 1 such that

$$a = 2uv$$
$$y_0 = v^2 - u^2$$
$$b = u^2 + v^2$$

Now we need to check that  $x_0^2 = 2ab$  is a perfect square. Since  $x_0$  is even, we can write  $x_0 = 2x_1$ .

$$x_1^2 = uv(u^2 + v^2).$$

Since gcd(u, v) = 1, we get that

$$1 = \gcd(u, v) = \gcd(u, u^2 + v^2) = \gcd(v, u^2 + v^2).$$

Hence  $u, v, u^2 + v^2$  are all perfect squares.

Let  $c, d, e \in \mathbb{N}$  where c, d, e are pairwise coprime such that

$$u = c^{2}$$

$$v = d^{2}$$

$$u^{2} + v^{2} = e^{2}$$

Now we get the equation

$$c^4 + d^4 = e^2.$$

It suffices to check that  $e < z_0$ .

$$e < e^2 = u^2 + v^2 = b < b^2 < a^2 + b^2 = z_0$$

Now we have found a smaller solution in  $\mathbb{N}$  to the original equation, contradicting the minimality of  $z_0$ .

### 5.3 Pell's Equation

**Theorem 5.3.** Let  $D \in \mathbb{N}$  such that  $\sqrt{D} \notin \mathbb{N}$ . There exist infinitely many solutions in  $\mathbb{N} \times \mathbb{N}$  to  $x^2 - Dy^2 = 1$ .

**Lemma 5.4.** If there exists a solution  $(x_0, y_0) \in \mathbb{N} \times \mathbb{N}$  such that

$$x^2 - Dy^2 = 1.$$

then there exist infinitely many solutions.

*Proof.* Assume that

$$\begin{cases} x_0^2 - Dy_0^2 = 1\\ x_1^1 - Dy_1^2 = 1 \end{cases}$$

We simply multiply the two equations to get

$$1 = x_0^2 x_1^2 + D^2 y_0^2 y_1^2 - D(x_0^2 y_1^2 + x_1^2 y_0^2)$$

$$= x_0^2 x_1^2 + D^2 y_0^2 y_1^2 + 2Dx_0 x_1 y_0 y_1 - D(x_0^2 y_1^2 - 2x_0 x_1 y_0 y_1 + x_1^2 y_0^2)$$

$$= (x_0 x_1 + Dy_0 y_1)^2 - D(x_0 y_1 + x_1 y_0)^2$$

$$= x_2^2 - Dy_2^2$$

Clearly,  $(x_2, y_2) \in \mathbb{N} \times \mathbb{N}$  and  $x_2, y_2$  are not equal to the two solutions we started with.

**Lemma 5.5.** Let  $\alpha \in \mathbb{R} \setminus \mathbb{Q}$  and  $N \in \mathbb{N}$ , then there exists  $(p,q) \in \mathbb{Z} \times \mathbb{N}$  such that

$$q \leq N \ and \ \left| \alpha - \frac{p}{q} \right| < \frac{1}{qN}.$$

*Proof.* Consider  $\{i \cdot \alpha\} \in [0,1); 0 \le i \le N$ . We divide the interval [0,1) into N intervals. Then by the Pigeonhole Principle, there exist  $0 \le j < i \le N$  such that

$$\frac{1}{N} > |\{i\alpha\} - \{j\alpha\}|$$

$$= |(i-j)\alpha - (\lfloor i\alpha \rfloor - \lfloor j\alpha \rfloor)|$$

$$\frac{1}{(i-j)N} > \left|\alpha - \frac{\lfloor i\alpha \rfloor - \lfloor j\alpha \rfloor}{i-j}\right|$$

Now we let  $p = \lfloor i\alpha \rfloor - \lfloor j\alpha \rfloor$  and q = i - j to get the desired result.

**Corollary 5.5.1.** Let  $\alpha \in \mathbb{R} \setminus \mathbb{Q}$ , then there exist infinitely many pairs  $(p,q) \in \mathbb{Z} \times \mathbb{N}$  such that

$$\left|\alpha - \frac{p}{q}\right| < \frac{1}{q^2}.$$

*Proof.* For each  $N \in \mathbb{N}$ , there exists  $(p_N, q_N) \in \mathbb{Z} \times \mathbb{N}$  such that

$$\left|\alpha - \frac{p_N}{q_N}\right| < \frac{1}{q_N N} \le \frac{1}{q_N^2}.$$

It suffices to show that there is no pair  $(p,q) \in \mathbb{Z} \times \mathbb{N}$  such that for infinitely many  $N \in \mathbb{N}$ , we have  $(p_N, q_N) = (p, q)$ . Suppose that we have such (p, q), then

$$\left|\alpha - \frac{p}{q}\right| = \left|\alpha - \frac{p_N}{q_N}\right| < \frac{1}{q_N N} \to 0,$$

contradicting the irrationality of  $\alpha$ .

**Lemma 5.6.** Let  $D \in \mathbb{N}$  such that  $\sqrt{D} \notin \mathbb{N}$ , then there exists  $n_0 \in \mathbb{Z} \setminus \{0\}$  such that the equation

$$x^2 - Dy^2 = n_0$$

has infinitely many solutions in  $\mathbb{N} \times \mathbb{N}$ .

*Proof.* We know there exist infinitely many  $(p,q) \in \mathbb{Z} \times \mathbb{N}$  such that

$$\left| \sqrt{D} - \frac{p}{q} \right| < \frac{1}{q^2}.$$

Observe that

$$\left|p^2 - Dq^2\right| = \left|p - \sqrt{D}q\right| \cdot \left|p + \sqrt{D}q\right| = q^2 \cdot \left|\frac{p}{q} - \sqrt{D}\right| \cdot \left|\frac{p}{q} + \sqrt{D}\right| < q^2 \cdot \frac{1}{q^2} \cdot (2\sqrt{D} + 1)$$

Now, for each pair  $(p,q) \in \mathbb{Z} \times \mathbb{N}$ , we have

$$\left| p^2 - Dq^2 \right| < 2\sqrt{D} + 1.$$

There exists  $n_0 \in [-2\sqrt{D} - 1, 2\sqrt{D} + 1]$  such that the equation  $x^2 - Dy^2 = n_0$  has infinitely many solutions.

#### 5.3.1 Diophantine Approximation

**Lemma 5.7.** Let  $D \in \mathbb{N}$  such that  $\sqrt{D} \notin \mathbb{N}$ , Let  $(x_1, y_1) \in \mathbb{N} \times \mathbb{N}$  such that  $x_1^2 - Dy_1^2 = 1$  and  $x_1$  is minimal among all such nontrivial solutions, then for any  $(\alpha, \beta) \in \mathbb{N} \times \mathbb{N}$  such that  $\alpha^2 - D\beta^2 = 1$ , there exists a unique  $n \in \mathbb{N}$  such that

$$\alpha + \sqrt{D}\beta = (x_1 + \sqrt{D}y_1)^n$$
$$\alpha - \sqrt{D}\beta = (x_1 - \sqrt{D}y_1)^n$$

*Proof.* Since  $x_1$  is minimal among all nontrivial solutions to Pell's equation, then  $x_1 + \sqrt{D}y_1$  is minimal among all nontrivial solutions. We argue by contradiction that there exists an n such that

$$(x_1 + \sqrt{D}y_1)^n < \alpha + \sqrt{D}\beta < (x_1 + \sqrt{D}y_1)^{n+1}$$
$$1 < (\alpha + \sqrt{D}\beta)(x_1 - \sqrt{D}y_1)^n < x_1 + \sqrt{D}y_1$$

It suffices to show that if  $\gamma + \delta\sqrt{D} = (\alpha + \beta\sqrt{D})(x_1 - \sqrt{D}y_1)^n$ , then  $\gamma$  and  $\delta$  are positive, since  $\gamma^2 - D\delta^2 = 1$  so we contradict the minimality of  $x_1 + \sqrt{D}y_1$ .

First observe that  $\gamma$  and  $\delta$  are nonzero because Pell's equation. By inspection, at least one of  $\gamma$  and  $\delta$  is positive.

If  $\gamma < 0$  and  $\delta > 0$ , then since  $\gamma^2 > D\delta^2$ , we have  $-\gamma > \sqrt{D}\delta$ . Hence  $\gamma + \sqrt{D}\delta < 0$ , contradicting  $\gamma + \sqrt{D}\delta > 1$ .

If  $\gamma > 0$  and  $\delta < 0$ , then we get  $\gamma + \sqrt{D}\delta = \frac{1}{\gamma - \sqrt{D}\delta} < 1$ , contradiction.

Finally we get that  $\gamma, \delta > 0$ , contradicting the minimality of  $x_1 + \sqrt{D}y_1$ .

**Lemma 5.8.** There exist infinitely many  $(p,q) \in \mathbb{N} \times \mathbb{N}$  such that

$$\left| \frac{p}{q} - \sqrt{2} \right| < \frac{1}{2\sqrt{2}q^2}$$

*Proof.* There exist infinitely many solutions to Pell's Equation.

Let  $(p,q) \in \mathbb{N} \times \mathbb{N}$  be a solution to Pell's Equation

$$x^2 - 2y^2 = 1.$$

Now we rewrite the approximation as

$$\left|\frac{p}{q}-\sqrt{2}\right|=\frac{\left|p-\sqrt{2}q\right|}{q}=\frac{\left|p^2-2q^2\right|}{q(p+\sqrt{2}q)}=\frac{1}{q^2\left(\frac{p}{q}+\sqrt{2}\right)}<\frac{1}{2\sqrt{2}q^2}$$

**Lemma 5.9.** There exist no  $(p,q) \in \mathbb{N} \times \mathbb{N}$  such that

$$\left| \frac{p}{q} - \sqrt{2} \right| \le \frac{1}{3q^2}.$$

*Proof.* If q=1, then

$$\left| \frac{p}{q} - \sqrt{2} \right| \ge \min \left\{ 2 - \sqrt{2}, \sqrt{2} - 1 \right\} = \sqrt{2} - 1 > \frac{1}{3}.$$

Now we assume that  $q \geq 2$ .

$$\left|\frac{p}{q} - \sqrt{2}\right| = \frac{\left|p^2 - 2q^2\right|}{q(p + \sqrt{2}q)} \ge \frac{1}{q^2\left(\frac{p}{q} + \sqrt{2}\right)}.$$

Now it suffices to prove that

$$\frac{p}{q} < 3 - \sqrt{2}.$$

If  $\frac{p}{q} \geq 3 - \sqrt{2}$ , then

$$\left| \frac{p}{q} - \sqrt{2} \right| \ge 3 - 2\sqrt{2} > 0.16 > \frac{1}{3q^2}.$$

**Lemma 5.10.** There exist no  $(p,q) \in \mathbb{N} \times \mathbb{N}$  such that

$$\left| \frac{p}{q} - \sqrt[4]{2} \right| \le \frac{1}{12q^4}.$$

*Proof.* We rewrite as

$$\left| \frac{p}{q} - \sqrt[4]{2} \right| = \frac{\left| p - \sqrt[4]{2}q \right|}{q} = \frac{\left| p^4 - 2q^4 \right|}{q^4 \left( \frac{p}{q} + \sqrt[4]{2} \right) \left( \frac{p^2}{q^2} + \sqrt{2} \right)} \ge \frac{1}{q^4 \left( \frac{p}{q} + \sqrt[4]{2} \right) \left( \frac{p^2}{q^2} + \sqrt{2} \right)}$$

Observe that  $1 < \sqrt[4]{2} < \frac{5}{4}$ , so  $0 < \frac{p}{q} < \frac{3}{2}$ . If otherwise,  $\frac{p}{q} \ge \frac{3}{2}$ , then  $\left| \frac{p}{q} - \sqrt[4]{2} \right| > \frac{1}{4} > \frac{1}{12q^4}$ . Hence  $\frac{p}{q} < \frac{3}{2}$ , so  $\frac{p}{q} + \sqrt[4]{2} < 3$ . Similarly,  $\frac{p^2}{q^2} + \sqrt{2} < 4$ .

Another approach that does not involve numerically expressing irrational numbers is as follows. Assume that  $\left|\frac{p}{q} - \sqrt[4]{2}\right| < 1$ , otherwise it is not a good approximation.

$$\left| \frac{p}{q} + \sqrt[4]{2} \right| = \left| \frac{p}{q} - \sqrt[4]{2} + \sqrt[4]{2} + \sqrt[4]{2} \right| < 1 + 2\sqrt[4]{2}$$

$$\left| \frac{p}{q} + i\sqrt[4]{2} \right| = \left| \frac{p}{q} - \sqrt[4]{2} + \sqrt[4]{2} + i\sqrt[4]{2} \right| < 1 + \left| \sqrt[4]{2} + i\sqrt[4]{2} \right|$$

$$\left| \frac{p}{q} - i\sqrt[4]{2} \right| = \left| \frac{p}{q} - \sqrt[4]{2} + \sqrt[4]{2} - i\sqrt[4]{2} \right| < 1 + \left| \sqrt[4]{2} - i\sqrt[4]{2} \right|$$

Then we can rewrite the error as

$$\begin{split} \left| \frac{p}{q} - \sqrt[4]{2} \right| &= \frac{\left| p^4 - 2q^4 \right|}{q^4 \left( \frac{p}{q} + \sqrt[4]{2} \right) \left( \frac{p^2}{q^2} + \sqrt{2} \right)} \\ &= \frac{\left| p^4 - 2q^4 \right|}{q^4 \left| \frac{p}{q} + \sqrt[4]{2} \right| \left| \frac{p}{q} + i\sqrt[4]{2} \right| \left| \frac{p}{q} - i\sqrt[4]{2} \right|} \\ &> \frac{1}{q^4 (1 + \left| \sqrt[4]{2} - \left( -\sqrt[4]{2} \right) \right|) (1 + \left| \sqrt[4]{2} - \left( -i\sqrt[4]{2} \right) \right|)} \end{split}$$

#### 5.3.2 Liouville's Theorem

**Definition 5.2.** A number  $\alpha \in \mathbb{C}$  is algebraic if  $\exists f \in \mathbb{Z}[x] \setminus \{0\}$  such that  $f(\alpha) = 0$ . The minimum degree d for such a polynomial is called the degree of  $\alpha$ .

**Definition 5.3.** A number is trancendental if it is not algebraic.

**Remark 5.1.** Observe the following.

- $d=1 \iff \alpha \in \mathbb{Q}$ .
- The set of all algebraic numbers is countable.
- If  $f \in \mathbb{Z}[x]$  is monic, then  $\alpha$  is an algebraic integer.

**Lemma 5.11.** If  $\alpha$  has degree d and  $f \in \mathbb{Z}[x]$  has degree d and  $f(\alpha) = 0$ , then f is irreducible.

*Proof.* Otherwise, let  $f = g \cdot h$ ;  $g, h \in \mathbb{Z}[x]$ . Then  $\deg g, \deg h < \deg f$ , contradicting the minimality of  $\deg f$ .

**Remark 5.2.** Let f be the minimal polynomial, then if  $\deg(f) \geq 2$ , then f has no root which is a rational number.

**Remark 5.3.** All the roots of f are simple (multiplicity 1).

*Proof.* Otherwise, f and f' would share a root. The gcd(f, f') = g, where  $g \in \mathbb{Q}[x]$  and  $\deg g \geq 1$ . Then f is reducible, but it cannot be.

**Theorem 5.12** (Liouville's Theorem). Let  $\alpha$  be algebraic of degree  $d \geq 2$  and let  $f \in \mathbb{Z}[x]$  of degree d such that  $f(\alpha) = 0$ .

$$f(x) = a_d x^d + a_{d-1} x^{d-1} + \dots + a_0.$$

Let  $\alpha_1, \alpha_2, ..., \alpha_d$  be the roots of f. Let  $\alpha = \alpha_1$ . Let

$$c = \frac{1}{|a_d| \cdot \prod_{i=2}^{d} (1 + |\alpha_i - \alpha_i|)}.$$

Then for any  $\frac{p}{q} \in \mathbb{Q}$ , we have

$$\left| \frac{p}{q} - \alpha \right| > \frac{c}{q^d}.$$

*Proof.* Let  $\frac{p}{q} \in \mathbb{Q}$  and consider

$$\left| f\left(\frac{p}{q}\right) \right| = \left| a_d \left(\frac{p}{q}\right)^d + a_{d-1} \left(\frac{p}{q}\right)^{d-1} + \dots + a_0 \right|$$

$$= \frac{\left| a_d p^d + a_{d-1} p^{d-1} q + \dots + a_0 q^d \right|}{q^d}$$

$$\geq \frac{1}{q^d}$$

Now we look at the roots of f.

$$\left| f(\frac{p}{q}) \right| = \left| a_d \cdot \prod_{i=2}^d (\frac{p}{q} - \alpha_i) \right|$$

Now we get the following inequality.

$$\left| \frac{p}{q} - \alpha \right| \ge \frac{1}{q^d \cdot |a_d| \cdot \prod_{i=2}^d \left| \frac{p}{q} - \alpha_i \right|}.$$

If  $\left|\frac{p}{q} - \alpha\right| \ge 1$ , then we are done because c < 1. If  $\left|\frac{p}{q} - \alpha\right| < 1$ , then for each i = 2, ..., d, we have

$$\left| \frac{p}{q} - \alpha_i \right| \le \left| \frac{p}{q} - \alpha_1 \right| + \left| \alpha_1 - \alpha_i \right| < 1 + \left| \alpha_1 - \alpha_i \right|.$$

The result of the theorem follows.

Corollary 5.12.1. Let  $\beta = \sum_{n=1}^{\infty} \frac{1}{10^{n!}}$ , then  $\beta$  is trancendental.

*Proof.* First observe that  $\beta \notin \mathbb{Q}$ .

Assume that  $\beta$  is algebraic with degree  $d \geq 2$ . So  $\exists c > 0$  such that for any  $\frac{p}{q} \in \mathbb{Q}$ , we have

$$\left| \frac{p}{q} - \beta \right| > \frac{c}{q^d}.$$

Let the partial sum of the series be

$$\frac{p_n}{q_n} = \sum_{i=1}^n \frac{1}{10^{i!}}.$$

Then we have

$$\left| \frac{p_n}{q_n} - \beta \right| = \sum_{i=n+1}^{\infty}$$

$$< \frac{1}{10^{(n+1)!}} \cdot \sum_{i \ge 0} \frac{1}{10^i} = \frac{1}{10^{(n+1)!}} \cdot \frac{1}{1 - \frac{1}{10}}$$

$$= \frac{10}{9 \cdot 10^{(n+1)!}}$$

Now we get the following inequalities,

$$\left| \frac{c}{10^{n! \cdot d}} < \left| \frac{p_n}{q_n} - \beta \right| < \frac{10}{9 \cdot 10^{(n+1)!}} \right|$$

$$\frac{9c}{10} < 10^{n!(d-n-1)} \to 0$$

which is a contradiction.

### 5.4 Polynomial-Exponential Equations

**Example 5.6.** We want to solve the following equation where  $m, n \in \mathbb{Z}$ .

$$3^m - 2^n = 1.$$

Then (m, n) = (1, 1), (2, 3) are the only solutions

*Proof.* If  $n \ge 2$ , then  $2^n \equiv 0 \pmod{4}$ . Then  $(-1)^m \equiv 3^m \equiv 1 \pmod{4}$ , so m is even. Let m = 2k. It follows that

$$3^{2k} - 1 = 2^n \implies (3^k - 1)(3^k + 1) = 2^n$$

and the result follows.

**Example 5.7.** Another similar equation is

$$3^m - 2^n = -1.$$

*Proof.* A similar trick but with  $3^m = 2^n - 1$  taken modulo 3.

**Example 5.8.** Consider the equation

$$n^{2}017 - 53n + 21) \cdot 10^{n} + (n+2) \cdot 13^{n} - (m^{4} + 5m + 2) \cdot 6^{m} - (k^{2} + 5k + 2) \cdot 5^{k} = 2018.$$

*Proof.* This one will be ugly.

**Example 5.9.** Any polynomial-exponential is a linear recurrence sequence.

$$(n^2+3)\cdot 2^n+3^n+(-n+6)\cdot 12^n$$

gives the recurrence

$$(x-2)^3 \cdot (x-3) \cdot (x-12)^2$$
.

**Theorem 5.13** (Laurent's Theorem). The equation

$$\sum_{i=1}^{l} \sum_{j=1}^{k_i} p_{i,j}(n_i) r_{i,j}^{n_i} = b,$$

where  $p_{i,j} \in \mathbb{Z}[x], r_{i,j} \in \mathbb{Z}, n_i \in \mathbb{N}$  are variables, has finitely many solutions if the numbers  $r_{i,j}$  are multiplicatively independent. ie. if for some  $c_{i,j} \in \mathbb{Z}$ , we have  $\prod_{i,j} r_{i,j}^{c_{i,j}} = 1$ , then  $c_{i,j} = 0, \forall i, j$ .

Note: The equation could be rewritten as

$$\sum_{i=1}^{l} a_{i,n_i}.$$

**Example 5.10.** Consider the linear recurrences

$$\{F_n\}$$
;  
 $\{a_0 = 2; a_1 = 3; a_{n+2} = -5a_{n+1} - 2a_n\}$ ;  
 $\{b_0 = 1; b_1 = -2; b_2 = 3; b_{n+3} = 7b_{n+2} - 2b_{n+1} + 3b_n\}$   
 $F_n + a_m + b_k = 2017$ .

*Proof.* Laurent's Theorem says this has finitely many solutions.