지능시스템

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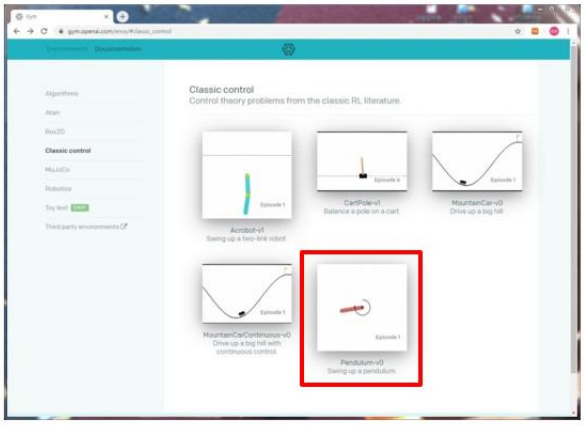
학기과제 (DQN 학습 – 도립진자의 제어)

- Cart Pole System(Open AI gym/CartPole-v1)에 대한 DQN 구조의 강화학습 예제 코드를 참고하여 Open AI gym이 제공하는 Pendulum System을 환경(대상)으로 하여 Pendulum을 수직으로 세우는 **제어기를 강화학습을 통해 학습**시키는 프로그램을 작성하고 결과를 제시하시오. (**효과적인 학습을 위한 방안(예를 들어, 보상의 설계)과 제어성능의 우수성을 결과에 포함하여 제시**하시오) **6월 14일 월요일까지 Google Classroom에 제출**



작업공간(이산, 연속) 및 관측공간(이산, 연속)을 보고 알고리즘을 분류할 수 있디.

1. Q-learning 은 개별 작업 공간과 개별 관측 공간을 처리할 수 있다.
2. DQN 은 개별 작업 공간과 이산 및 연속 관측 공간을 모두 처리할 수 있다.
3. DDPG 는 연속 작업 공간과 이산 및 연속 작업 공간을 모두 처리할 수 있다.

진자 환경과 같이 지속적인 작업 공간이있는 경우 Q-learning 만으로는 연속 작업 공간에서 행동하는 방법을 배울 수 없으므로 정책 그라데이션 모델을 ddpg 로 사용해야 한다.

Q-learning 에서는 Q-값을 극대화하는 액션을 선택하려고 한다. 이때 단순한 방법으로 가능한 각 작업을 하나씩 살펴보고 Q 값이 가장 높은 것을 선택하는 것이다. 그러나 연속 공간에서는 무한한 양의 가능한 작업이므로 이 방법을 사용할 수 없다.

지속적인 작업을 처리하는 방법에는 여러 가지가 있다. 정책 그라데이션 방법, 소프트 액터 비평가, DDPG 등과 같은 많은 알고리즘이 있다.

이번 과제에서는 DDPG 를 사용해 구현했다.

DDPG(심도 결정적 정책 그라데이션)는 연속적인 작업을 학습하기 위한 모델이 없는 오프정책 알고리즘이다.

DPG(결정적 정책 그라데이션)와 DQN(Deep Q-Network)의 아이디어를 결합.

DQN 의 익스피리언스 리플레이 및 슬로우 러닝 타겟 네트워크를 사용하며, 연속 작업 공간을 통해 작동할 수 있는 DPG 를 기반.

DDPG 는 2 개의 네트워크를 보유하여 작동한다.
첫 번째 네트워크는 Q-값을 예측하는 법을 학습하고
두 번째 네트워크는 주어진 상태 작업을 선택하는 방법을 학습한다.

<코드>

```python
import gym
import tensorflow as tf
from tensorflow.keras import layers
import numpy as np
import matplotlib.pyplot as plt

problem = "Pendulum-v0"
env = gym.make(problem)

num_states = env.observation_space.shape[0]
print("Size of State Space ->  {}".format(num_states))
num_actions = env.action_space.shape[0]
print("Size of Action Space ->  {}".format(num_actions))

upper_bound = env.action_space.high[0]
lower_bound = env.action_space.low[0]

print("Max Value of Action ->  {}".format(upper_bound))
print("Min Value of Action ->  {}".format(lower_bound))

class OUActionNoise:
    def __init__(self, mean, std_deviation, theta=0.15, dt=1e-2, x_initial=None):
        self.theta = theta
        self.mean = mean
        self.std_dev = std_deviation
        self.dt = dt
        self.x_initial = x_initial
        self.reset()

    def __call__(self):
        x = (
            self.x_prev
            + self.theta * (self.mean - self.x_prev) * self.dt
            + self.std_dev * np.sqrt(self.dt) * np.random.normal(size=self.mean.shape)
        )
        self.x_prev = x
        return x

    def reset(self):
        if self.x_initial is not None:
            self.x_prev = self.x_initial
        else:
            self.x_prev = np.zeros_like(self.mean)

```

```python
class Buffer:
    def __init__(self, buffer_capacity=100000, batch_size=64):
        self.buffer_capacity = buffer_capacity
        self.batch_size = batch_size

        self.buffer_counter = 0

        self.state_buffer = np.zeros((self.buffer_capacity, num_states))
        self.action_buffer = np.zeros((self.buffer_capacity, num_actions))
        self.reward_buffer = np.zeros((self.buffer_capacity, 1))
        self.next_state_buffer = np.zeros((self.buffer_capacity, num_states))

    def record(self, obs_tuple):
        index = self.buffer_counter % self.buffer_capacity

        self.state_buffer[index] = obs_tuple[0]
        self.action_buffer[index] = obs_tuple[1]
        self.reward_buffer[index] = obs_tuple[2]
        self.next_state_buffer[index] = obs_tuple[3]

        self.buffer_counter += 1

    @tf.function
    def update(
        self, state_batch, action_batch, reward_batch, next_state_batch,
    ):

        with tf.GradientTape() as tape:
            target_actions = target_actor(next_state_batch, training=True)
            y = reward_batch + gamma * target_critic(
                [next_state_batch, target_actions], training=True
            )
            critic_value = critic_model([state_batch, action_batch], training=True)
            critic_loss = tf.math.reduce_mean(tf.math.square(y - critic_value))

        critic_grad = tape.gradient(critic_loss, critic_model.trainable_variables)
        critic_optimizer.apply_gradients(
            zip(critic_grad, critic_model.trainable_variables)
        )

        with tf.GradientTape() as tape:
            actions = actor_model(state_batch, training=True)
            critic_value = critic_model([state_batch, actions], training=True)

            actor_loss = -tf.math.reduce_mean(critic_value)

        actor_grad = tape.gradient(actor_loss, actor_model.trainable_variables)
        actor_optimizer.apply_gradients(
            zip(actor_grad, actor_model.trainable_variables)
        )


```

```
    def learn(self):
        record_range = min(self.buffer_counter, self.buffer_capacity)
        batch_indices = np.random.choice(record_range, self.batch_size)

        state_batch = tf.convert_to_tensor(self.state_buffer[batch_indices])
        action_batch = tf.convert_to_tensor(self.action_buffer[batch_indices])
        reward_batch = tf.convert_to_tensor(self.reward_buffer[batch_indices])
        reward_batch = tf.cast(reward_batch, dtype=tf.float32)
        next_state_batch = tf.convert_to_tensor(self.next_state_buffer[batch_indices])

        self.update(state_batch, action_batch, reward_batch, next_state_batch)


@tf.function
def update_target(target_weights, weights, tau):
    for (a, b) in zip(target_weights, weights):
        a.assign(b * tau + a * (1 - tau))

def get_actor():
    last_init = tf.random_uniform_initializer(minval=-0.003, maxval=0.003)

    inputs = layers.Input(shape=(num_states,))
    out = layers.Dense(256, activation="relu")(inputs)
    out = layers.Dense(256, activation="relu")(out)
    outputs = layers.Dense(1, activation="tanh", kernel_initializer=last_init)(out)

    outputs = outputs * upper_bound
    model = tf.keras.Model(inputs, outputs)
    return model


def get_critic():
    state_input = layers.Input(shape=(num_states))
    state_out = layers.Dense(16, activation="relu")(state_input)
    state_out = layers.Dense(32, activation="relu")(state_out)

    action_input = layers.Input(shape=(num_actions))
    action_out = layers.Dense(32, activation="relu")(action_input)

    concat = layers.Concatenate()([state_out, action_out])

    out = layers.Dense(256, activation="relu")(concat)
    out = layers.Dense(256, activation="relu")(out)
    outputs = layers.Dense(1)(out)

    model = tf.keras.Model([state_input, action_input], outputs)

    return model

def policy(state, noise_object):
    sampled_actions = tf.squeeze(actor_model(state))
    noise = noise_object()
    sampled_actions = sampled_actions.numpy() + noise

    legal_action = np.clip(sampled_actions, lower_bound, upper_bound)

    return [np.squeeze(legal_action)]

```

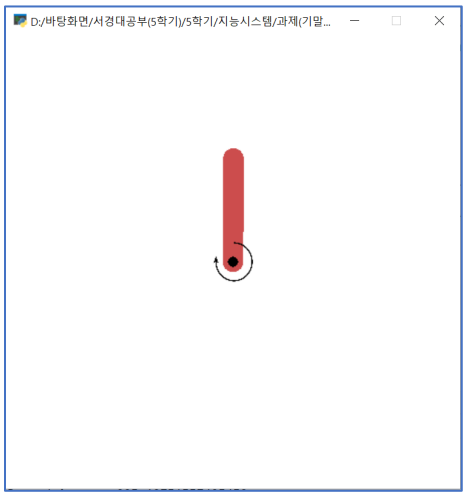
```
std_dev = 0.2
ou_noise = OUActionNoise(mean=np.zeros(1), std_deviation=float(std_dev) * np.ones(1))

actor_model = get_actor()
critic_model = get_critic()

target_actor = get_actor()
target_critic = get_critic()

target_actor.set_weights(actor_model.get_weights())
target_critic.set_weights(critic_model.get_weights())

critic_lr = 0.002
actor_lr = 0.001

critic_optimizer = tf.keras.optimizers.Adam(critic_lr)
actor_optimizer = tf.keras.optimizers.Adam(actor_lr)

total_episodes = 100
gamma = 0.99
tau = 0.005

buffer = Buffer(50000, 64)

ep_reward_list = []
avg_reward_list = []

for ep in range(total_episodes):

    prev_state = env.reset()
    episodic_reward = 0

    while True:

        tf_prev_state = tf.expand_dims(tf.convert_to_tensor(prev_state), 0)

        action = policy(tf_prev_state, ou_noise)

        state, reward, done, info = env.step(action)

        buffer.record((prev_state, action, reward, state))
        episodic_reward += reward

        buffer.learn()
        update_target(target_actor.variables, actor_model.variables, tau)
        update_target(target_critic.variables, critic_model.variables, tau)

        if done:
            break

        prev_state = state

    ep_reward_list.append(episodic_reward)

    avg_reward = np.mean(ep_reward_list[-40:])
    print("Episode * {} * Avg Reward is ==> {}".format(ep, avg_reward))
    avg_reward_list.append(avg_reward)
```

```
214  plt.plot(avg_reward_list)
215  plt.xlabel("Episode")
216  plt.ylabel("Avg. Epsiodic Reward")
217  plt.show()
218
219  actor_model.save_weights("pendulum_actor.h5")
220  critic_model.save_weights("pendulum_critic.h5")
221
222  target_actor.save_weights("pendulum_target_actor.h5")
223  target_critic.save_weights("pendulum_target_critic.h5")
224
225
226  input('press a key to continue for test run')
227  state = env.reset()
228  done = False
229  while not done:
230      tf_prev_state = tf.expand_dims(tf.convert_to_tensor(state), 0)
231      action = policy(tf_prev_state, ou_noise)
232      next_state, reward, done, _ = env.step(action)
233      state = next_state
234      env.render()
235  env.close()
236
```

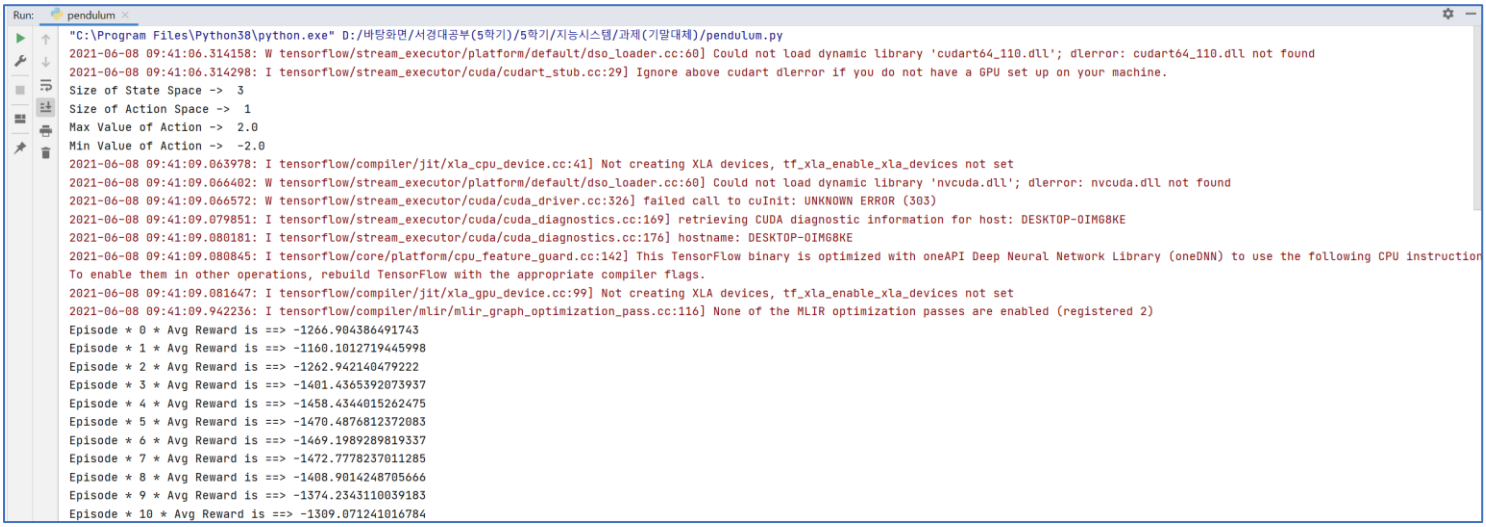
직접 추가한 코드

학습결과를 render메소드를 통해 비주얼로 표현한다.

Ex)



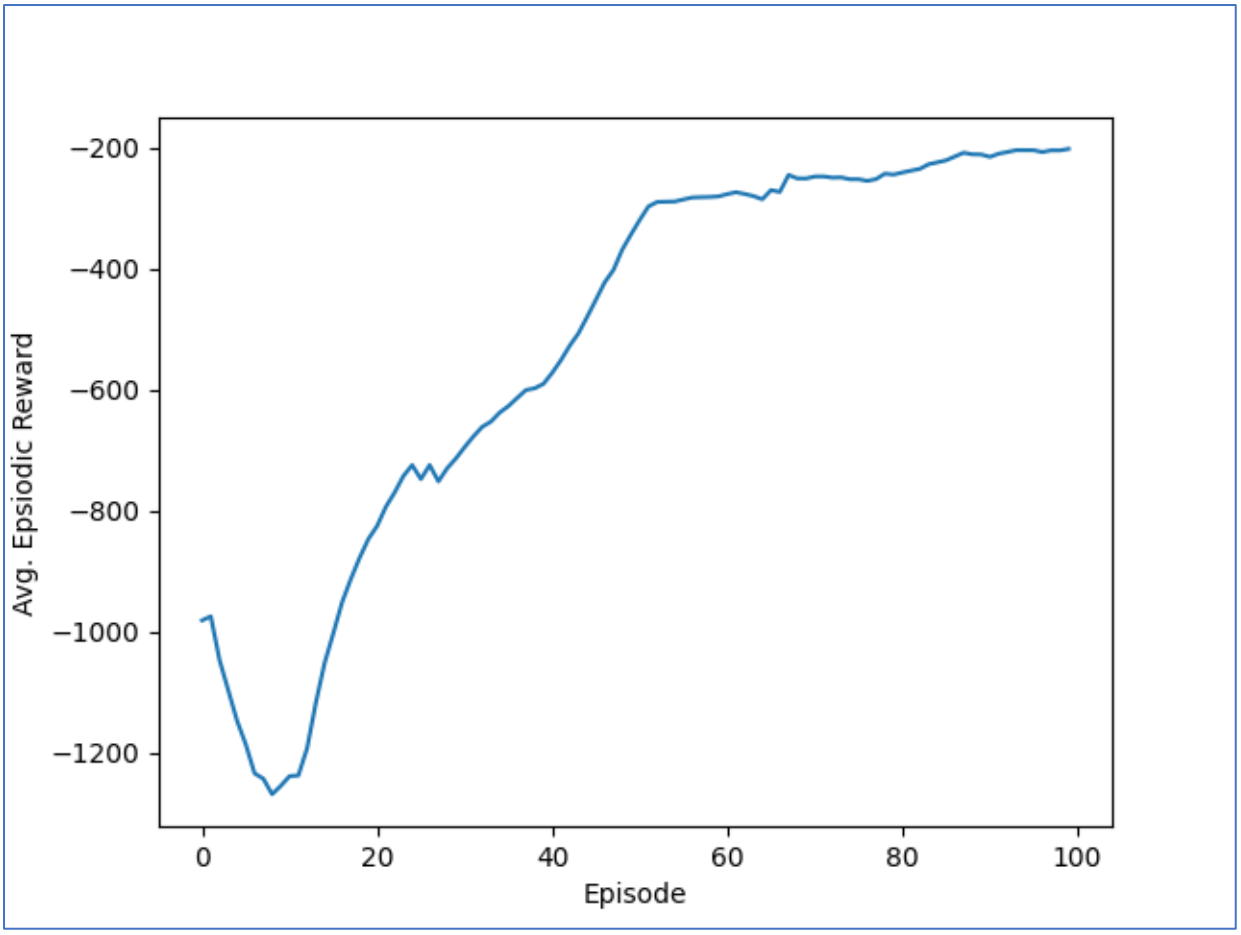
<실행결과>

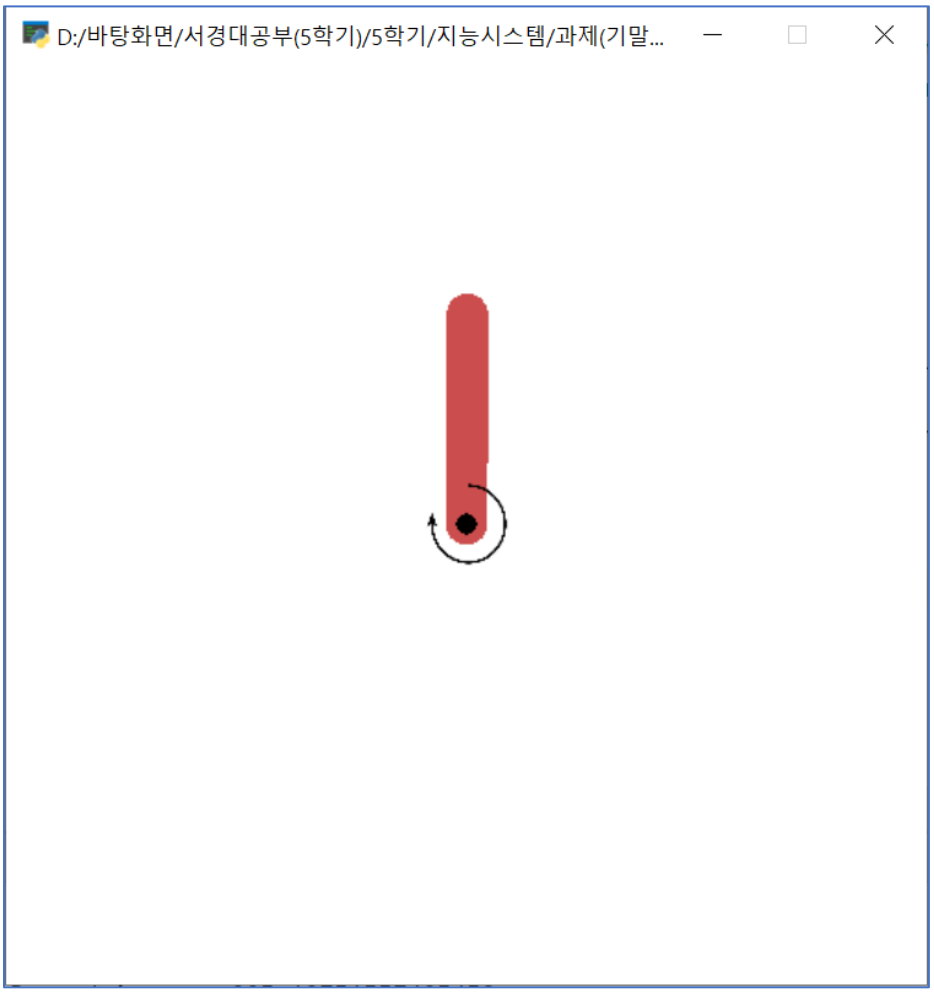



```
Episode * 0 * Avg Reward is ==> -981.2168329701693
Episode * 1 * Avg Reward is ==> -974.5008333503071
Episode * 2 * Avg Reward is ==> -1047.048232919383
Episode * 3 * Avg Reward is ==> -1097.1185316541814
Episode * 4 * Avg Reward is ==> -1147.2553260405266
Episode * 5 * Avg Reward is ==> -1186.6385431023948
Episode * 6 * Avg Reward is ==> -1234.2580864198005
Episode * 7 * Avg Reward is ==> -1243.0193229597623
Episode * 8 * Avg Reward is ==> -1268.8086857653263
Episode * 9 * Avg Reward is ==> -1254.7276127314738
Episode * 10 * Avg Reward is ==> -1238.7468729280206
Episode * 11 * Avg Reward is ==> -1237.682666878154
Episode * 12 * Avg Reward is ==> -1192.6486879129957
Episode * 13 * Avg Reward is ==> -1117.255529263095
Episode * 14 * Avg Reward is ==> -1051.5623556277592
Episode * 15 * Avg Reward is ==> -1002.1831328285903
Episode * 16 * Avg Reward is ==> -951.0421874306827
Episode * 17 * Avg Reward is ==> -912.5647587025315
Episode * 18 * Avg Reward is ==> -877.6033536272961
Episode * 19 * Avg Reward is ==> -846.6414423935597
Episode * 20 * Avg Reward is ==> -824.9676317441287
Episode * 21 * Avg Reward is ==> -793.2398441250187
Episode * 22 * Avg Reward is ==> -769.6546150419948
Episode * 23 * Avg Reward is ==> -742.4887919541511
Episode * 24 * Avg Reward is ==> -724.3730749541766
Episode * 25 * Avg Reward is ==> -747.5590212096414
Episode * 26 * Avg Reward is ==> -724.2935983092336
Episode * 27 * Avg Reward is ==> -751.283781700285
Episode * 28 * Avg Reward is ==> -729.6051407181939
Episode * 29 * Avg Reward is ==> -713.381735752476
```

(중간 생략)

```
Episode * 90 * Avg Reward is ==> -214.68677771191068
Episode * 91 * Avg Reward is ==> -209.46807976530923
Episode * 92 * Avg Reward is ==> -206.61452482715077
Episode * 93 * Avg Reward is ==> -203.57159869114548
Episode * 94 * Avg Reward is ==> -203.60756337403458
Episode * 95 * Avg Reward is ==> -203.6327072714442
Episode * 96 * Avg Reward is ==> -206.70653307738075
Episode * 97 * Avg Reward is ==> -203.82494869795292
Episode * 98 * Avg Reward is ==> -203.95345773492153
Episode * 99 * Avg Reward is ==> -201.46951187358368
```





결과를 보면 학습이 정상적으로 되어 목표하는 결과가 나왔다.