

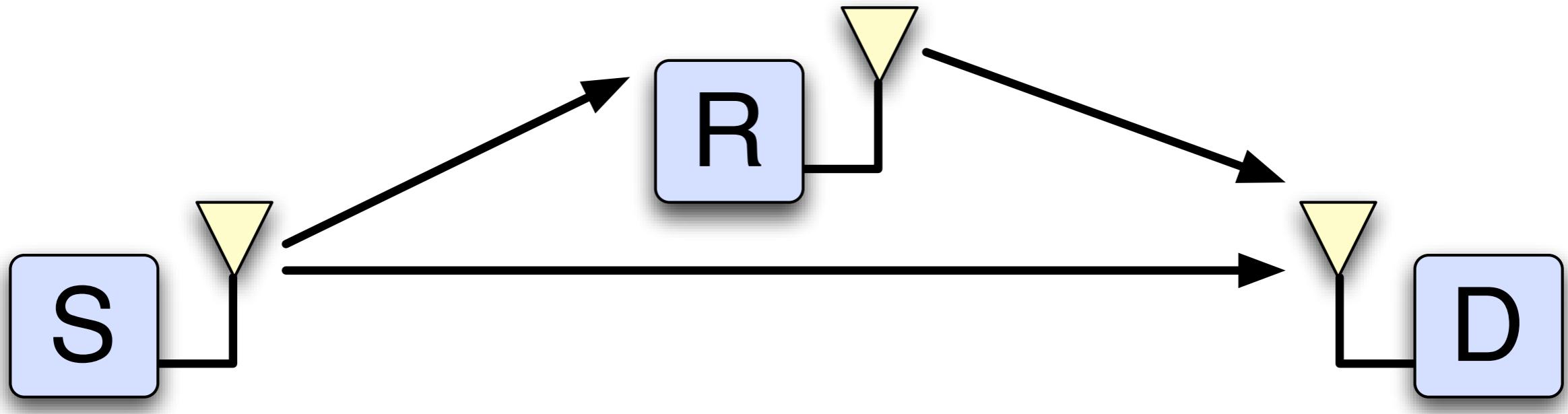
Design, Implementation and Characterization of a Cooperative Communications System

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PhD Defense
November 23, 2010

The Problem

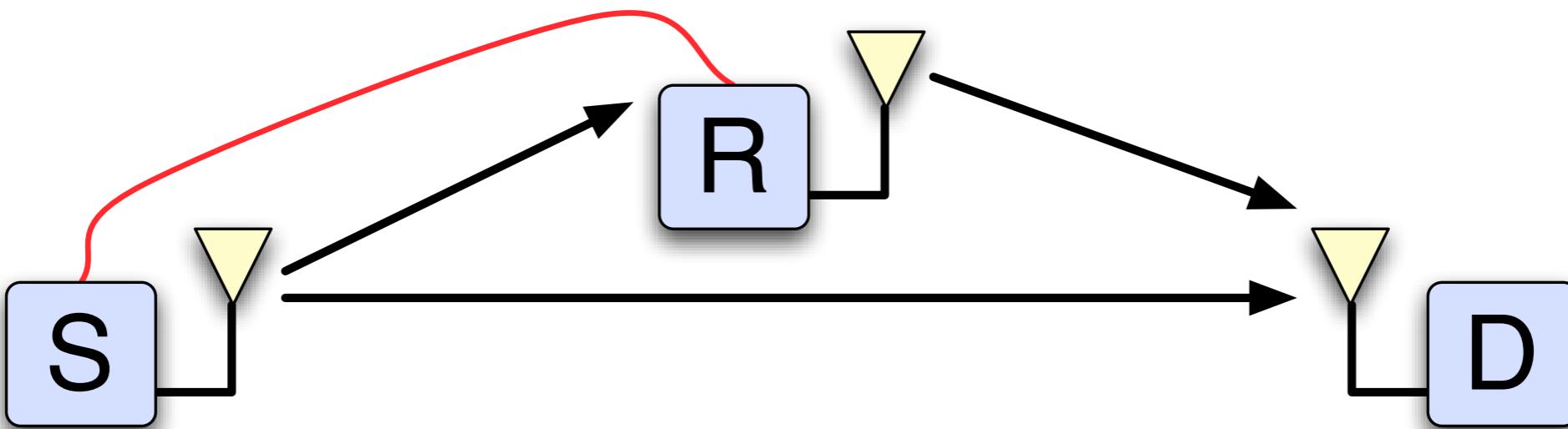
- Wireless always needs to be faster & more reliable
 - Use more power & bandwidth
 - Exploit spatial resources
 - More antennas - throughput and reliability
 - More processing resources
 - Stronger codes
 - Iterative processing beyond codes
 - **Exploit other users' resources**

Cooperative Communications

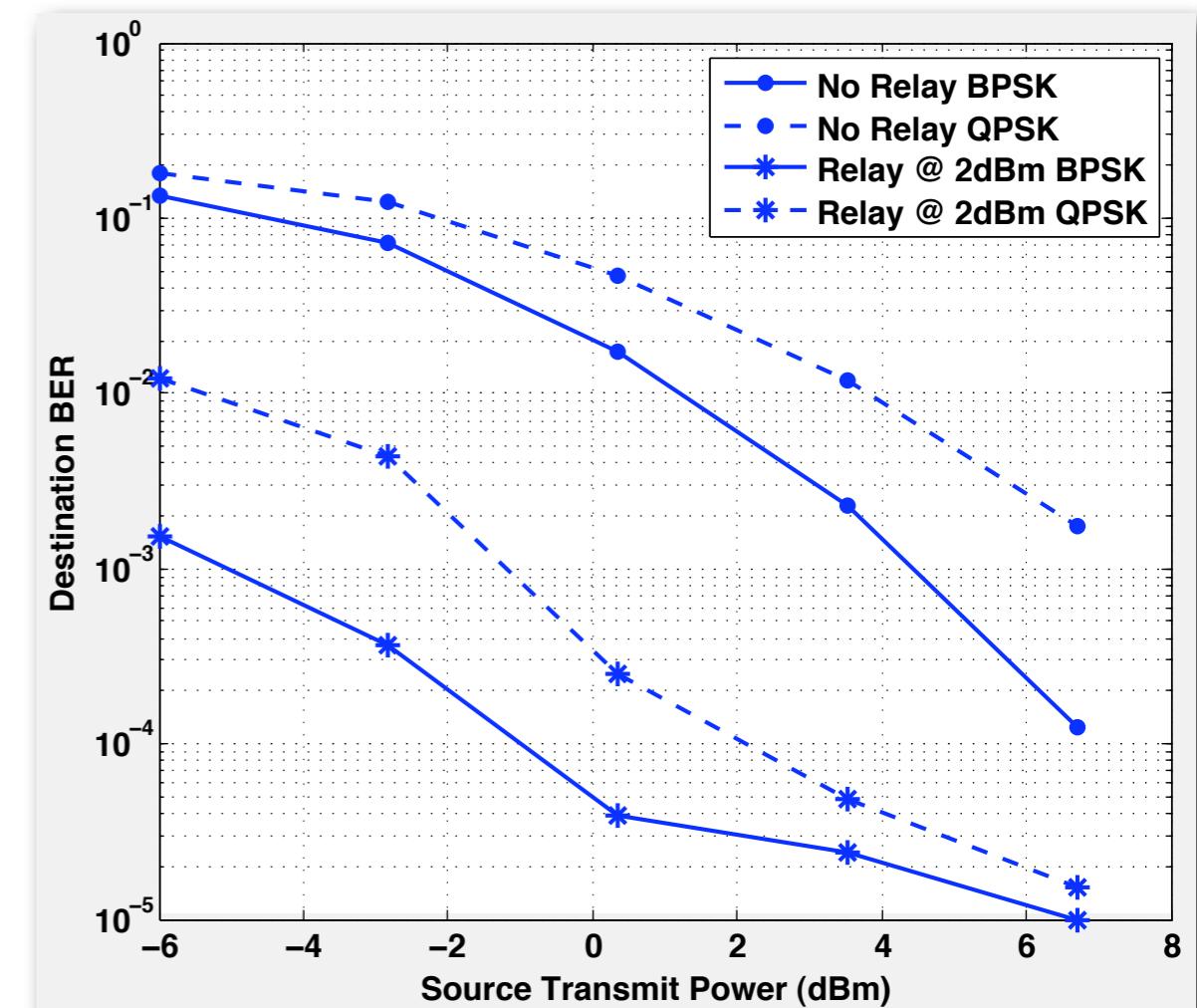


- Cooperation at the physical layer
- Originally described in the 1970's
- Revived in the 1990's
- Lots of promise in theory
- But will it actually work?

Our Previous Results



- AF only
- Fixed length transmissions
- Dedicated relay
- Cheated on synchronization
- Partial characterization



Goals

- Complete real-time cooperative transceiver
 - AF, DF and non-cooperative schemes
 - No cheating
- Rigorous characterization
- Support for MAC protocol development
 - Any role (S/R/D) at every node
 - Cooperative scheme per-packet

Requirements

Physical Layer Cooperation

OFDM

Implementation

Hardware
Platform

Experiment
Design

Outline

- Brief background
 - Carrier frequency offsets
 - Experiment design
 - Characterization results
 - Future work

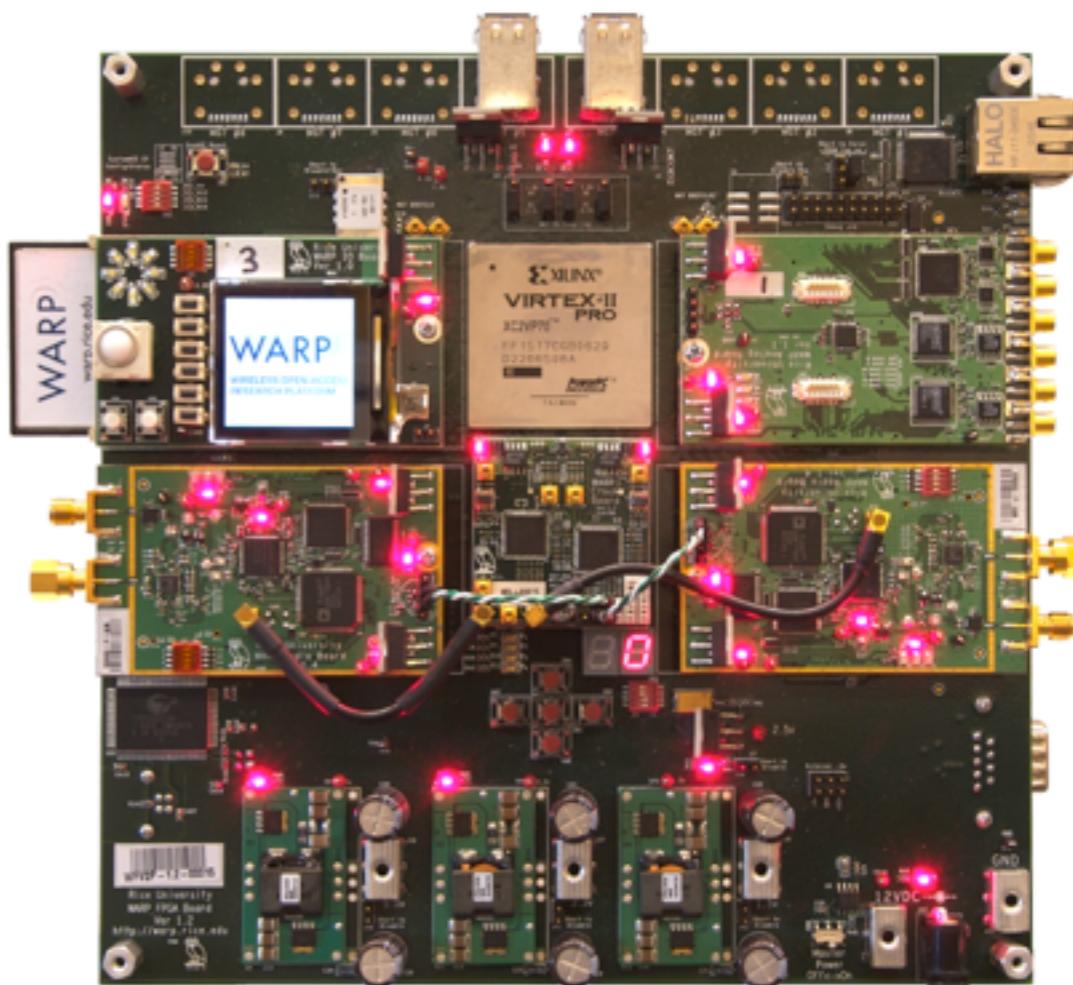
Background

- Platform selection
- Cooperative schemes
- Transceiver basics

Wireless Open-Access Research Platform

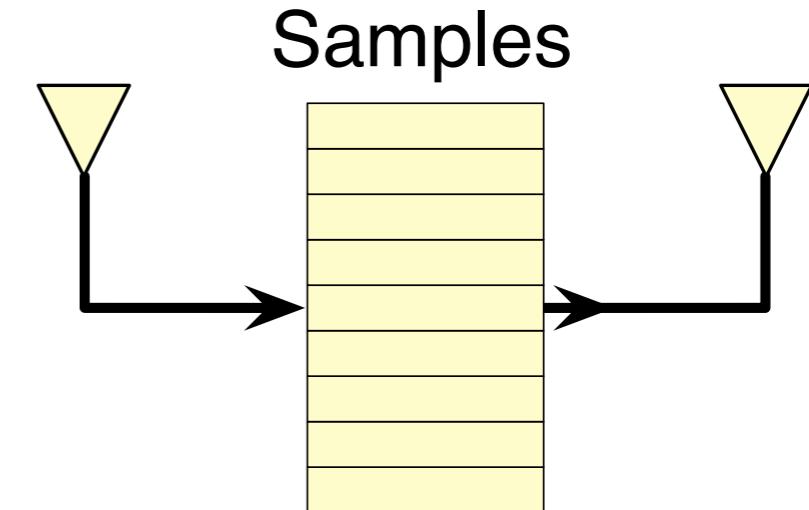
- Rice WARP is obvious choice
- Designed for high-performance wireless prototyping
- Large community of active users
- Local expertise

WARP

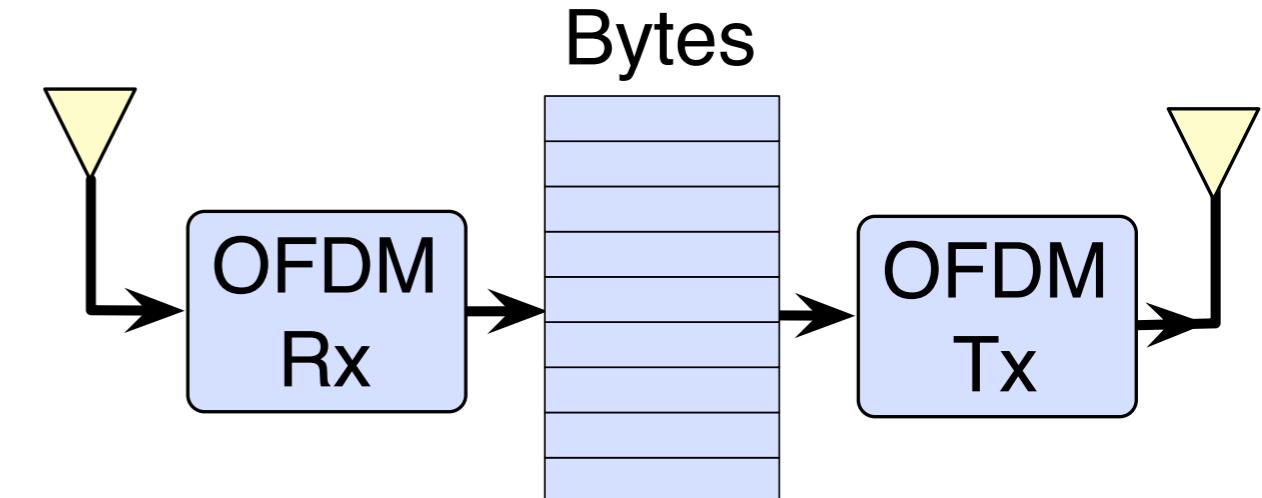


Cooperative Schemes

- Amplify and Forward
 - Relay captures raw I/Q samples
 - Re-transmits scaled waveform



- Decode and Forward
 - Relay implements full PHY
 - Re-transmits payload



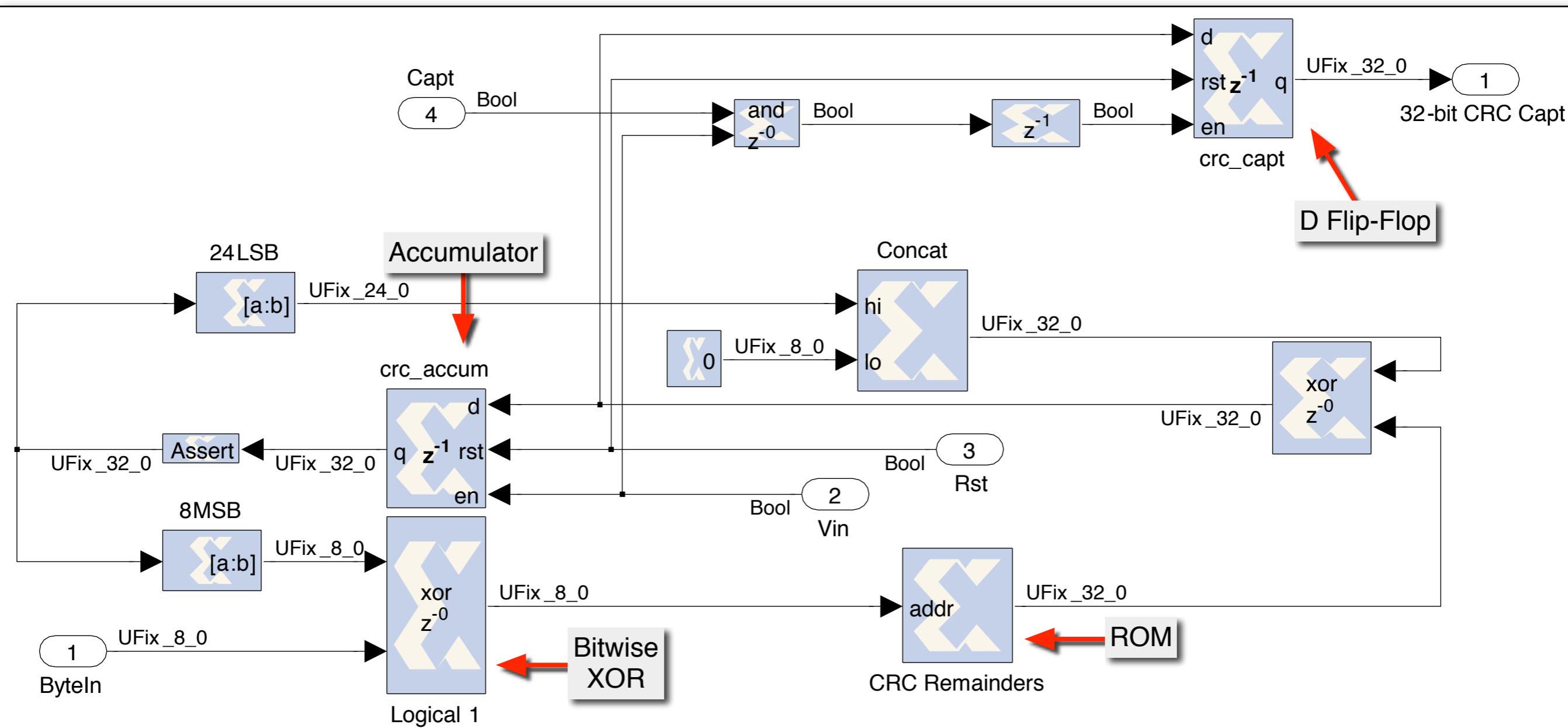
Transceiver Basics

- How to implement real-time PHY?
- How to go wideband?
- How to orthogonalize transmissions?
- How to synchronize transmissions?

FPGA Design

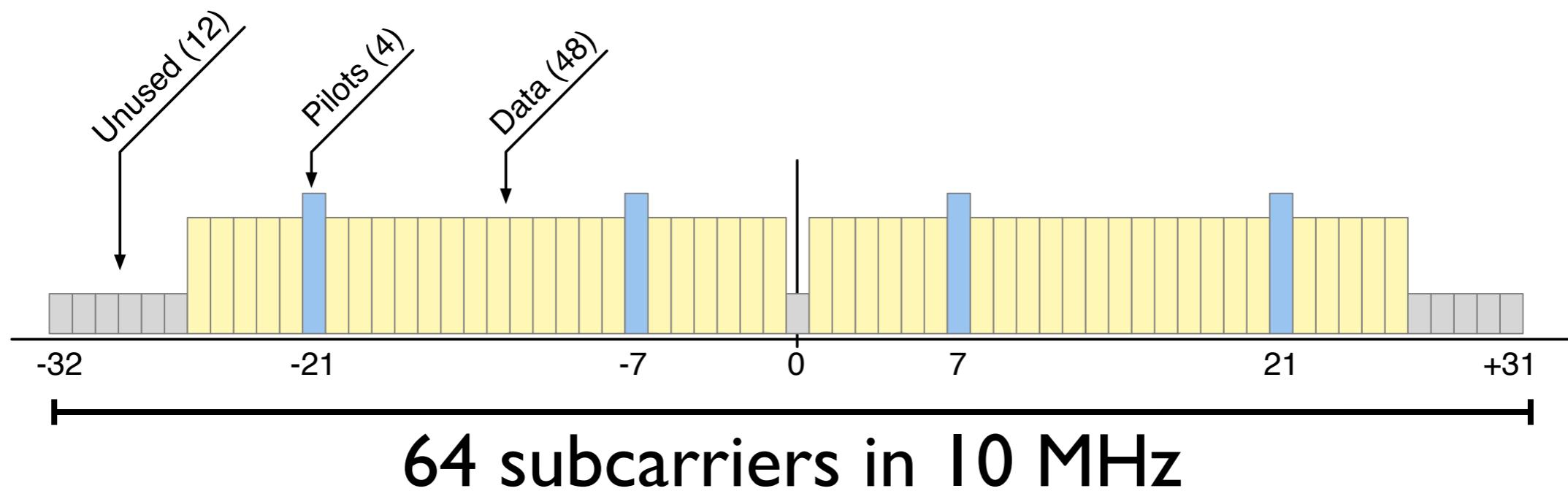
- Xilinx System Generator
 - Graphical design entry via Simulink
 - Matching simulation and HDL generation
- Still low-level design
 - Same cores as HDL + Coregen
 - One big model implements everything

FPGA Design

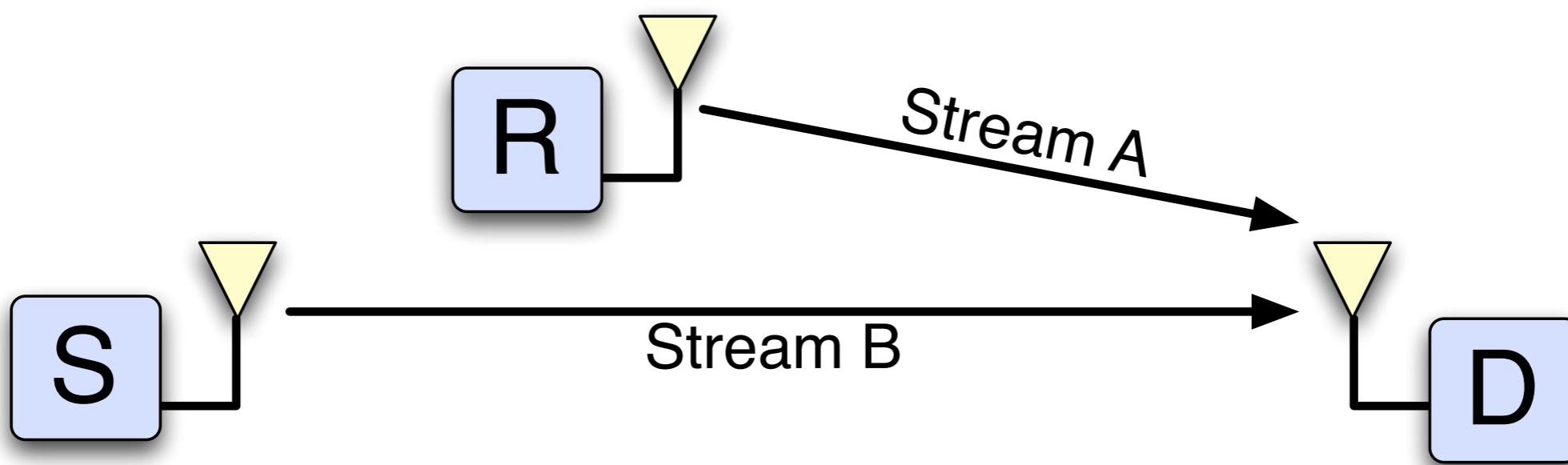
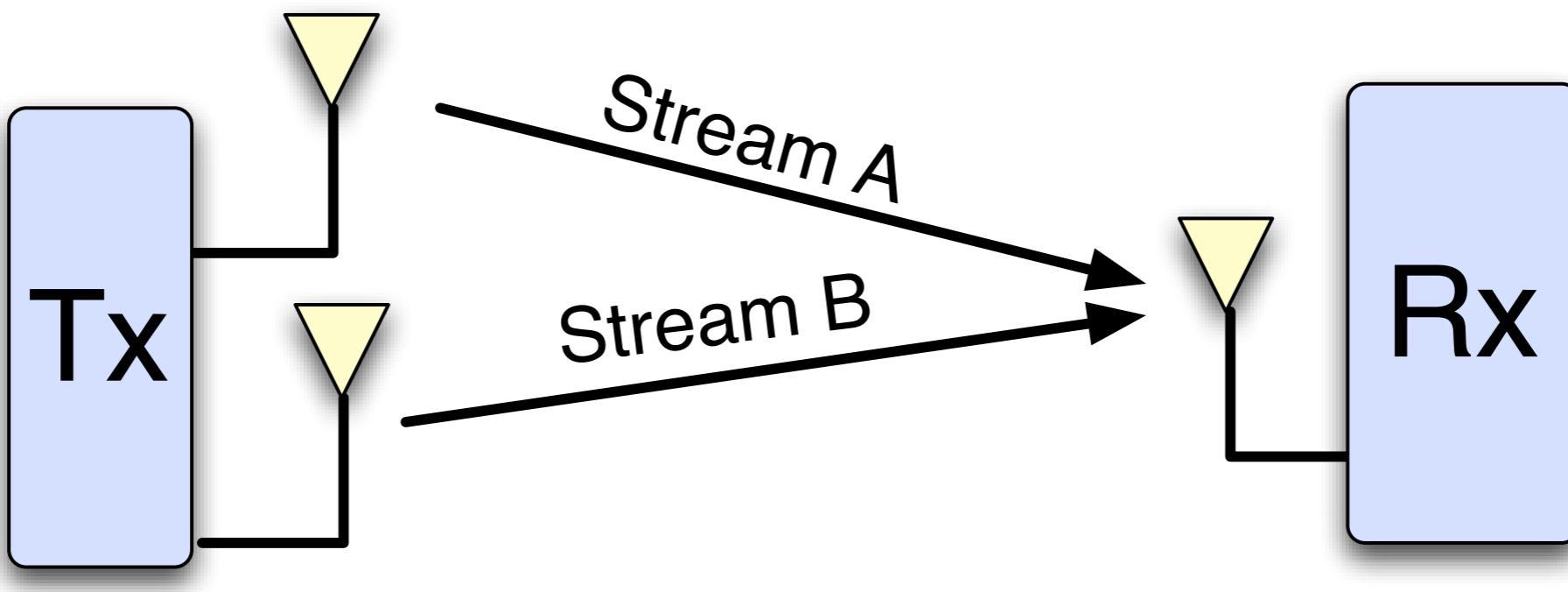


OFDM

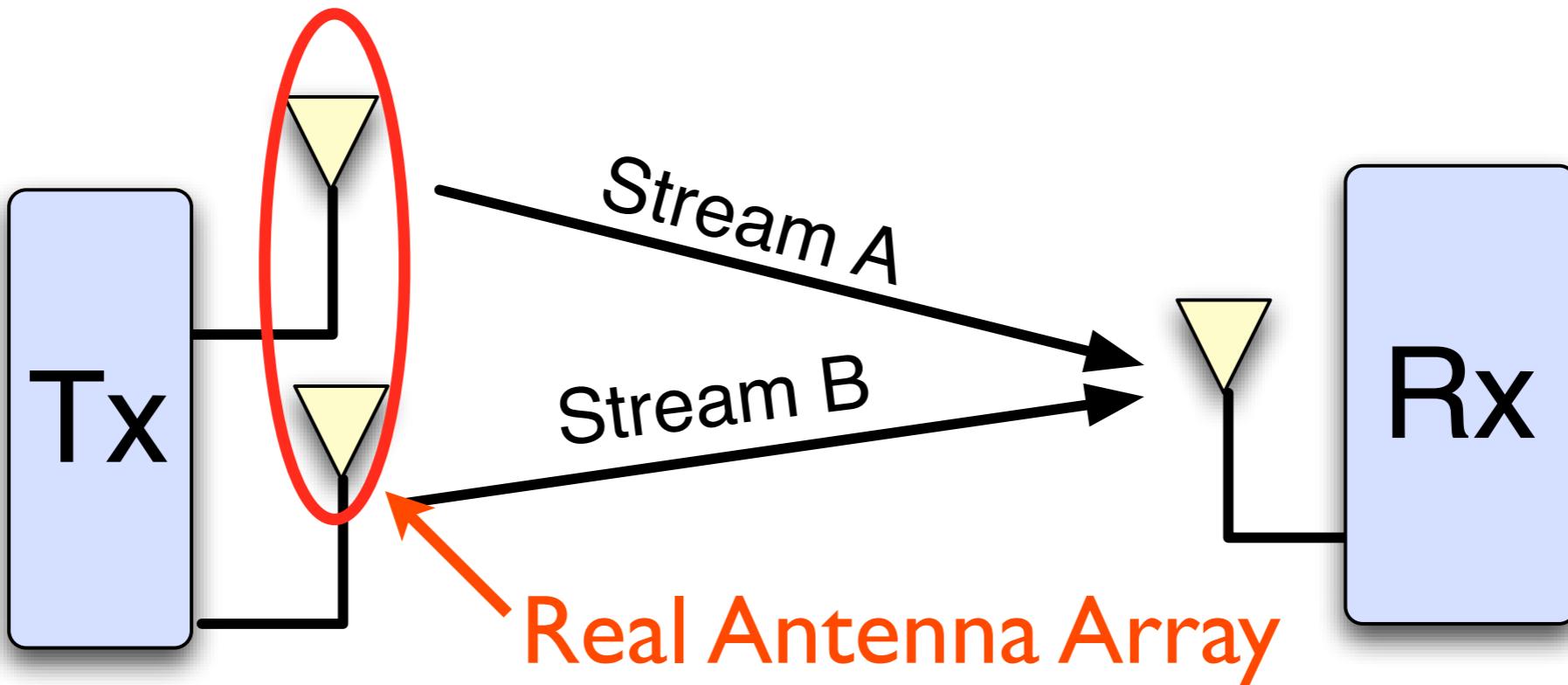
- Orthogonal frequency division multiplexing
 - Foundation for 802.11a/g/n, WiMAX, etc.
 - Good multipath tolerance
 - Eases receiver implementation



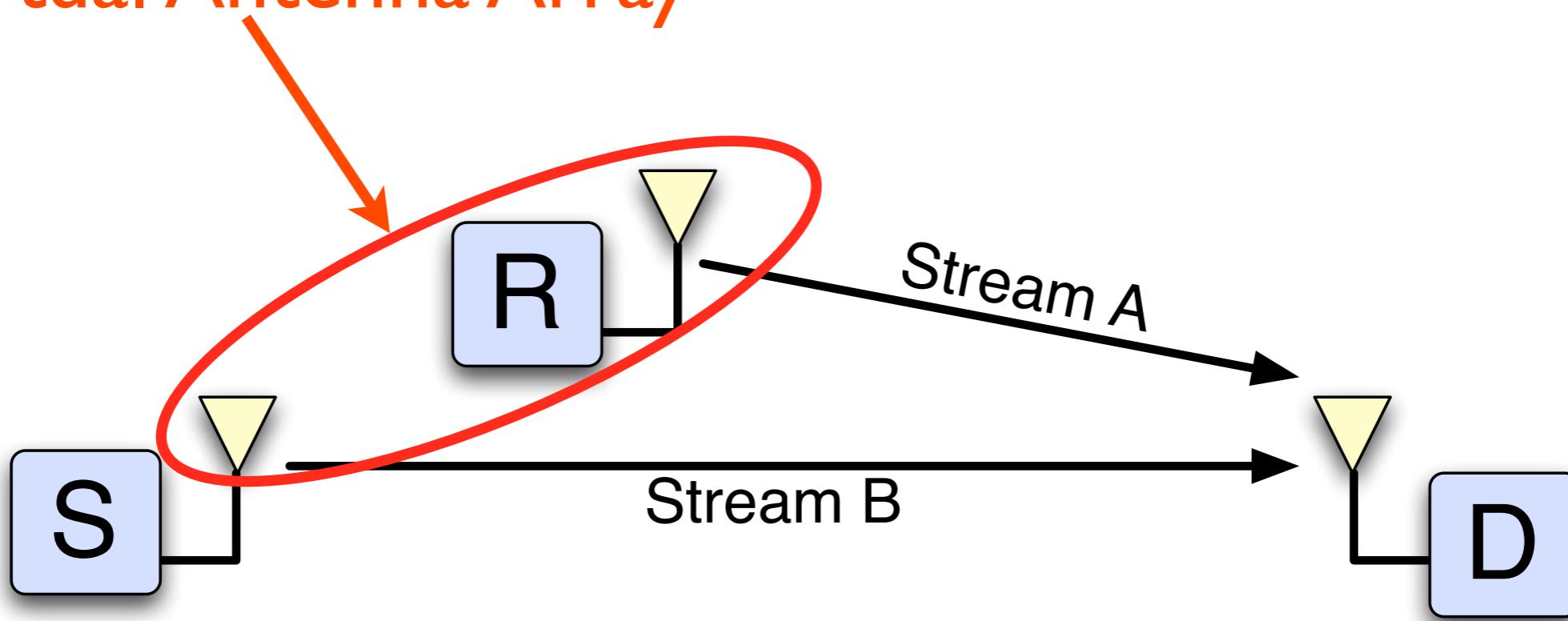
Distributed Alamouti Code



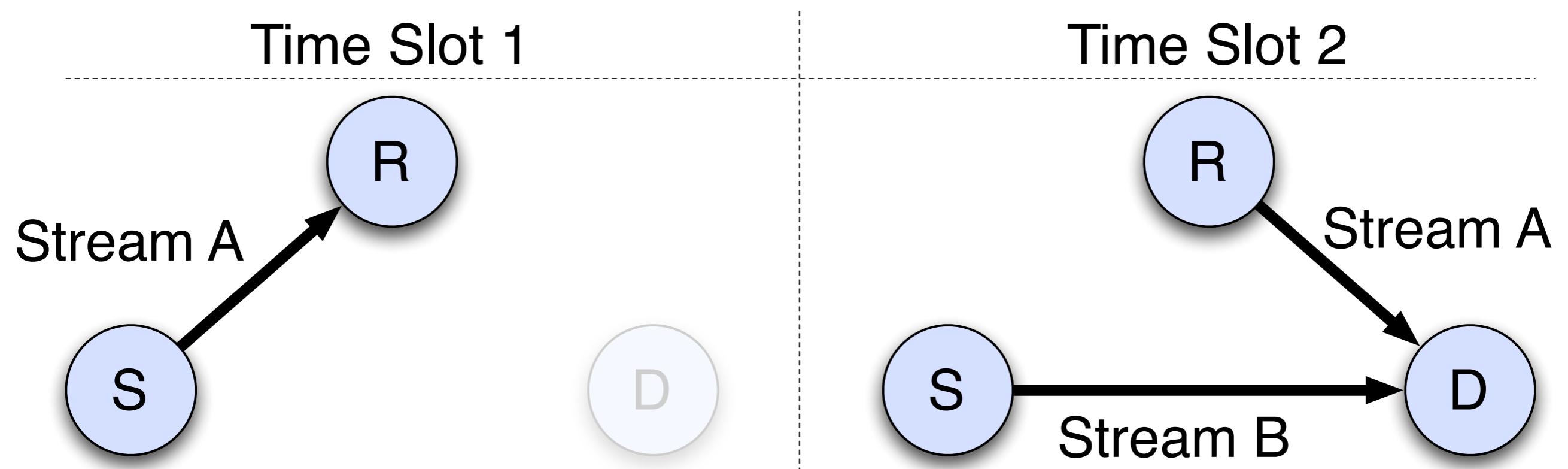
Distributed Alamouti Code



Virtual Antenna Array

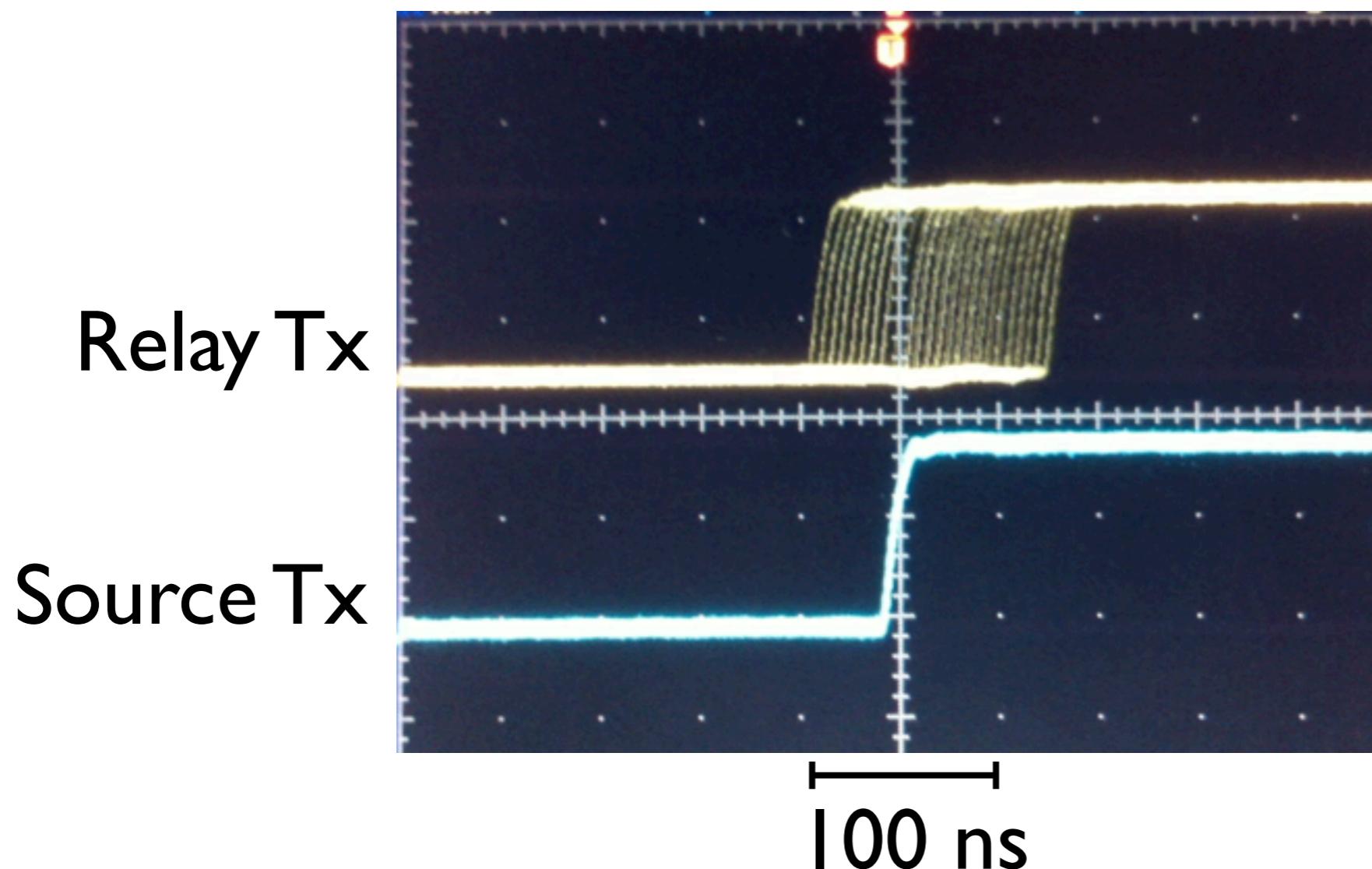


Distributed Alamouti Code



Synchronized Transmissions

- Implements Rx/Tx turnaround in FPGA
 - Fast & deterministic latency
 - Programmable for other MAC uses



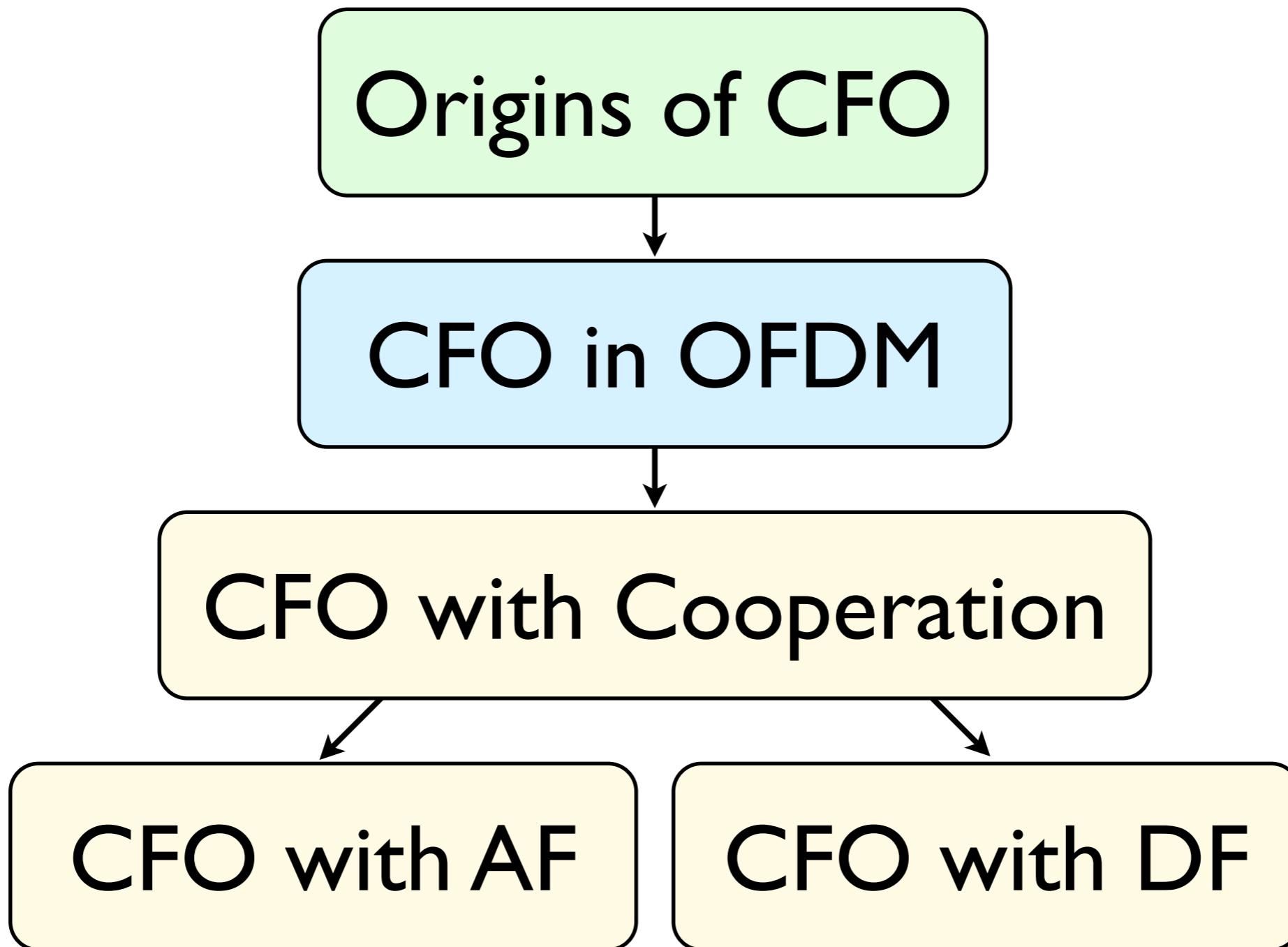
Transceiver Basics

- How to implement real-time PHY? ✓ FPGA Logic
- How to go wideband? ✓ OFDM
- How to orthogonalize transmissions? ✓ Alamouti STBC
- How to synchronize transmissions? ✓ Rx/Tx turnaround
in FPGA

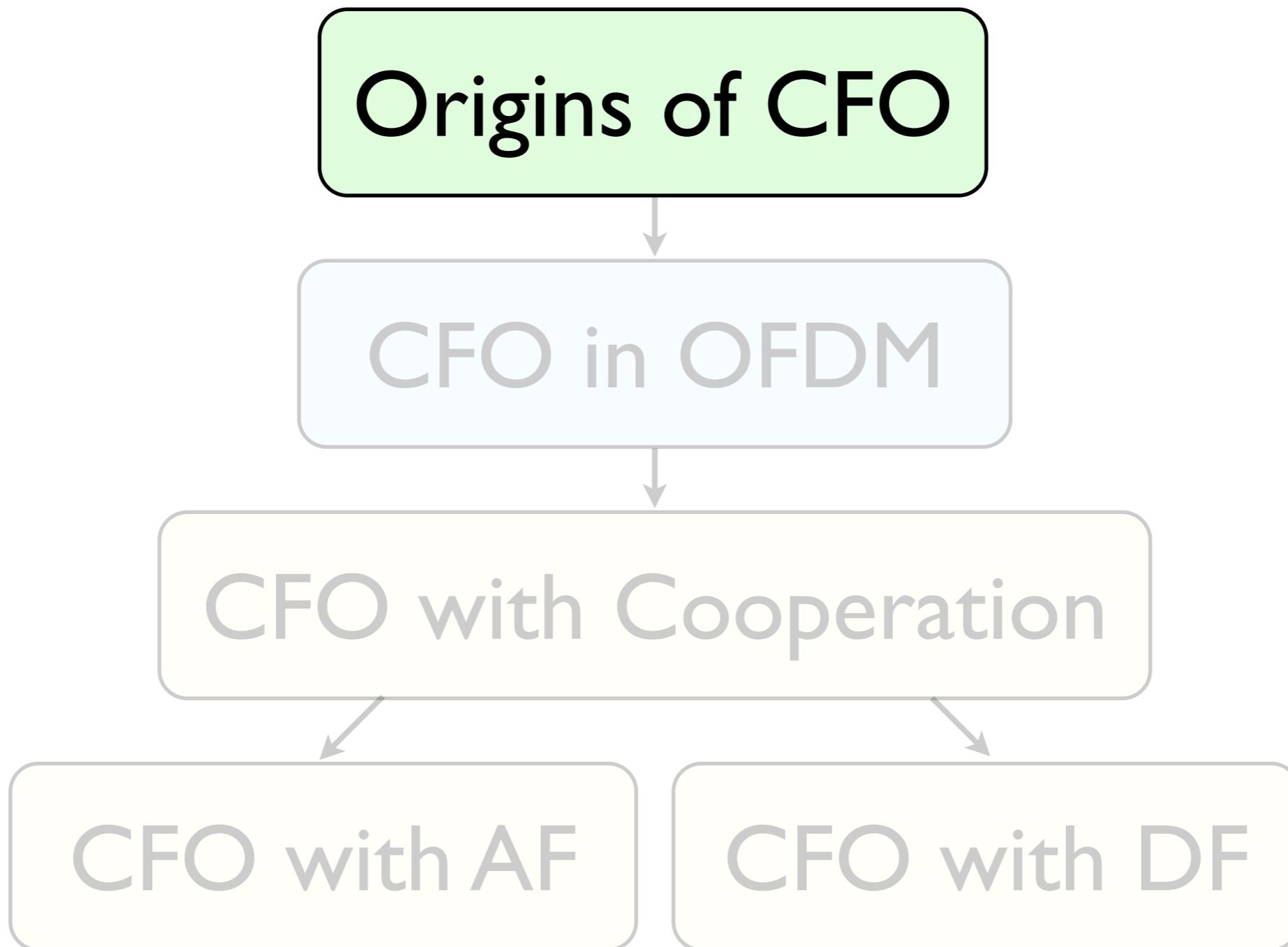
Outline

- Brief background
- **Carrier frequency offsets**
- Experiment design
- Characterization results
- Future work

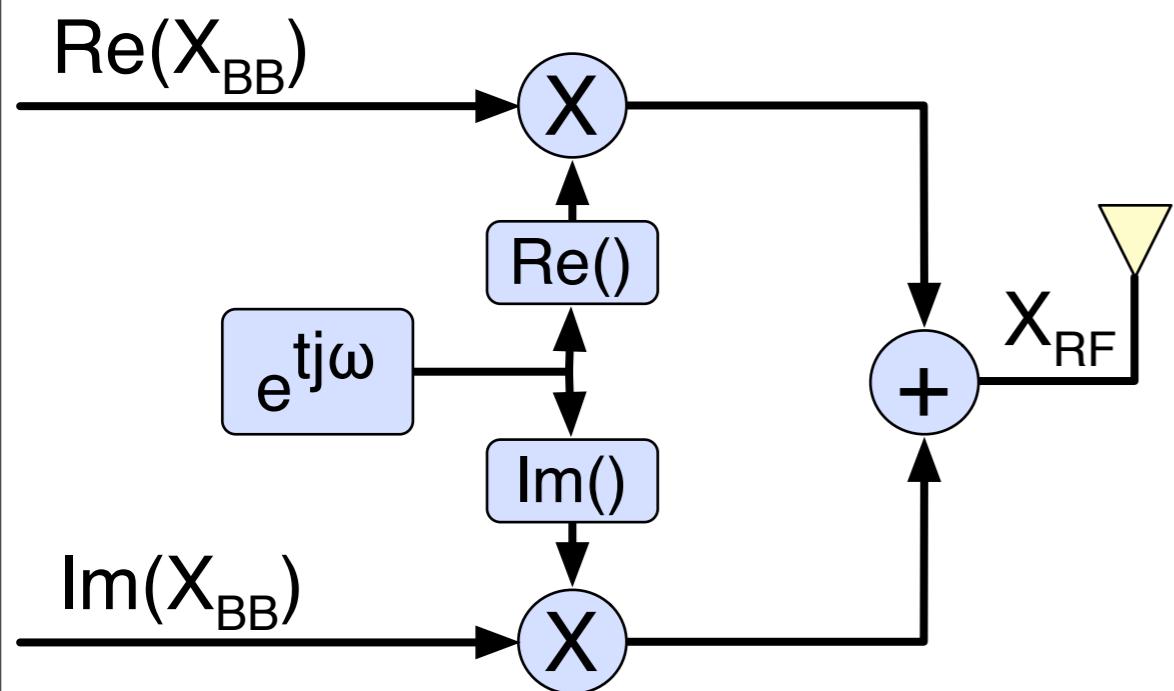
Carrier Frequency Offset



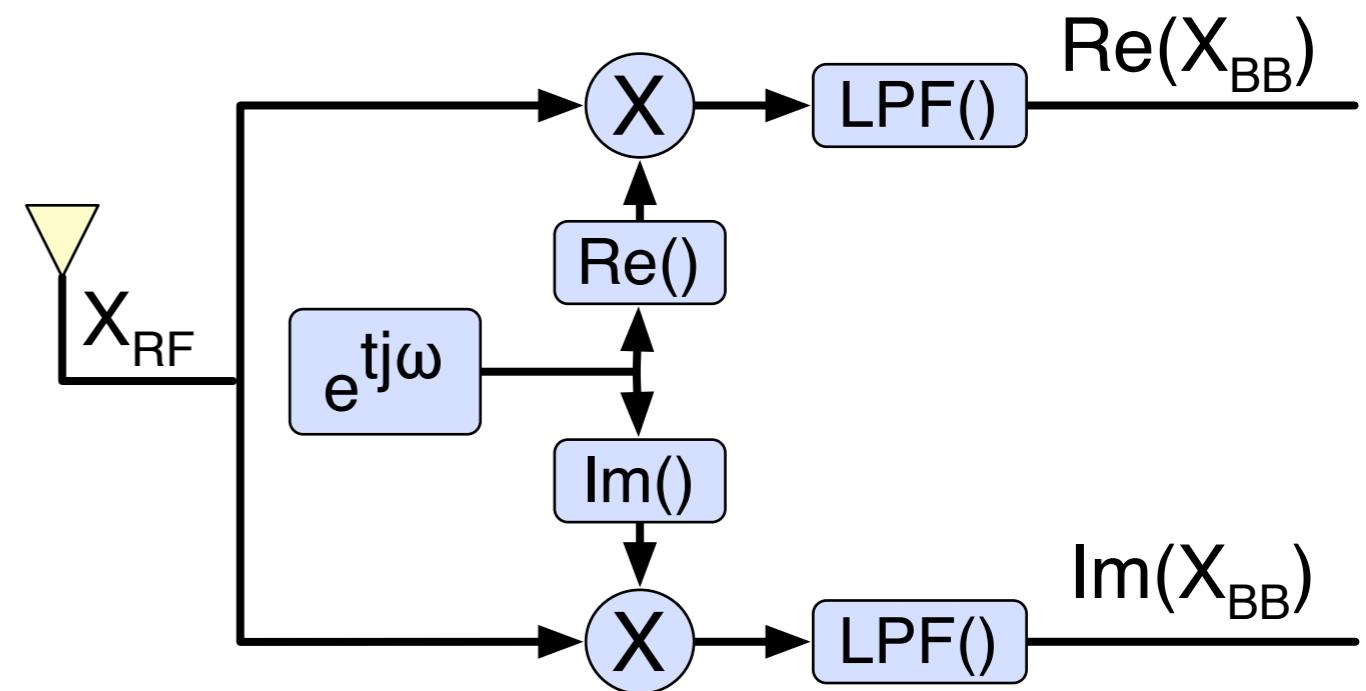
Carrier Frequency Offset



Carrier Frequency Offset

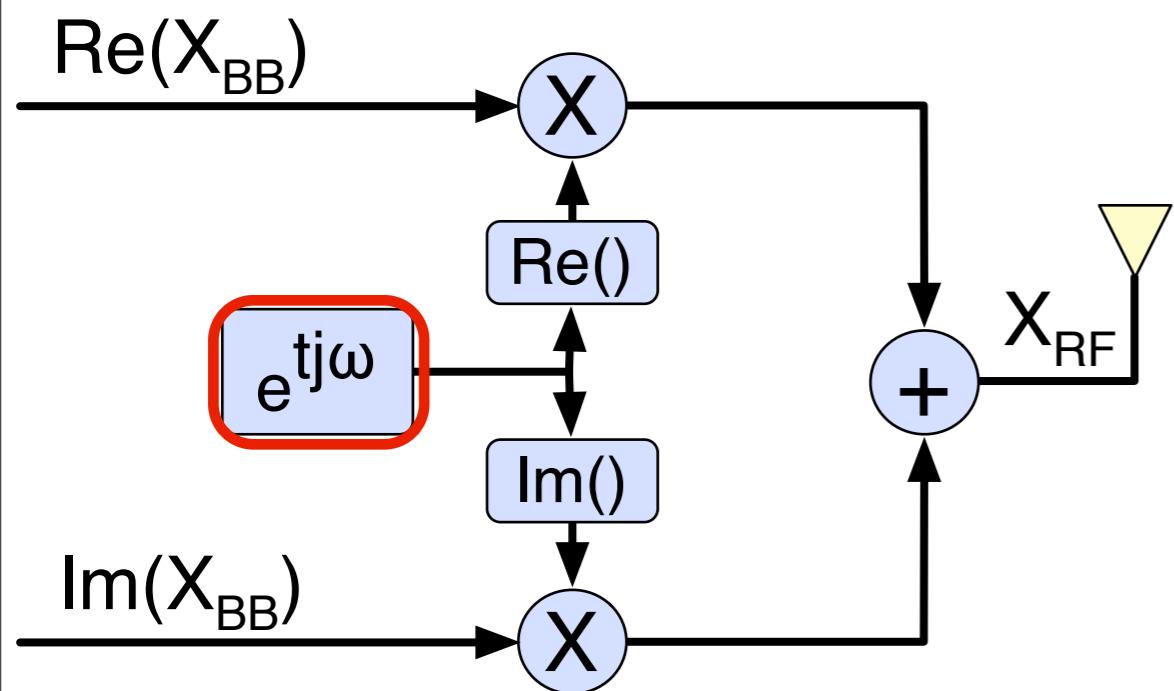


RF Upconverter

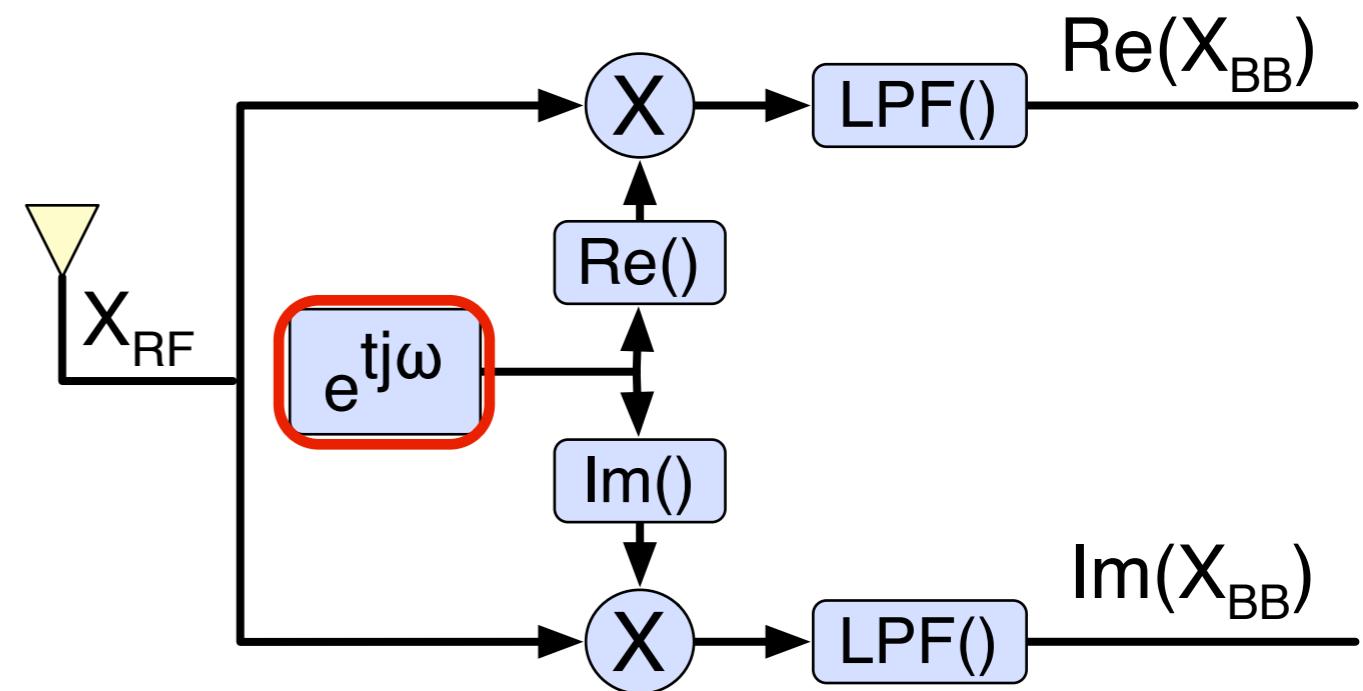


RF Downconverter

Carrier Frequency Offset

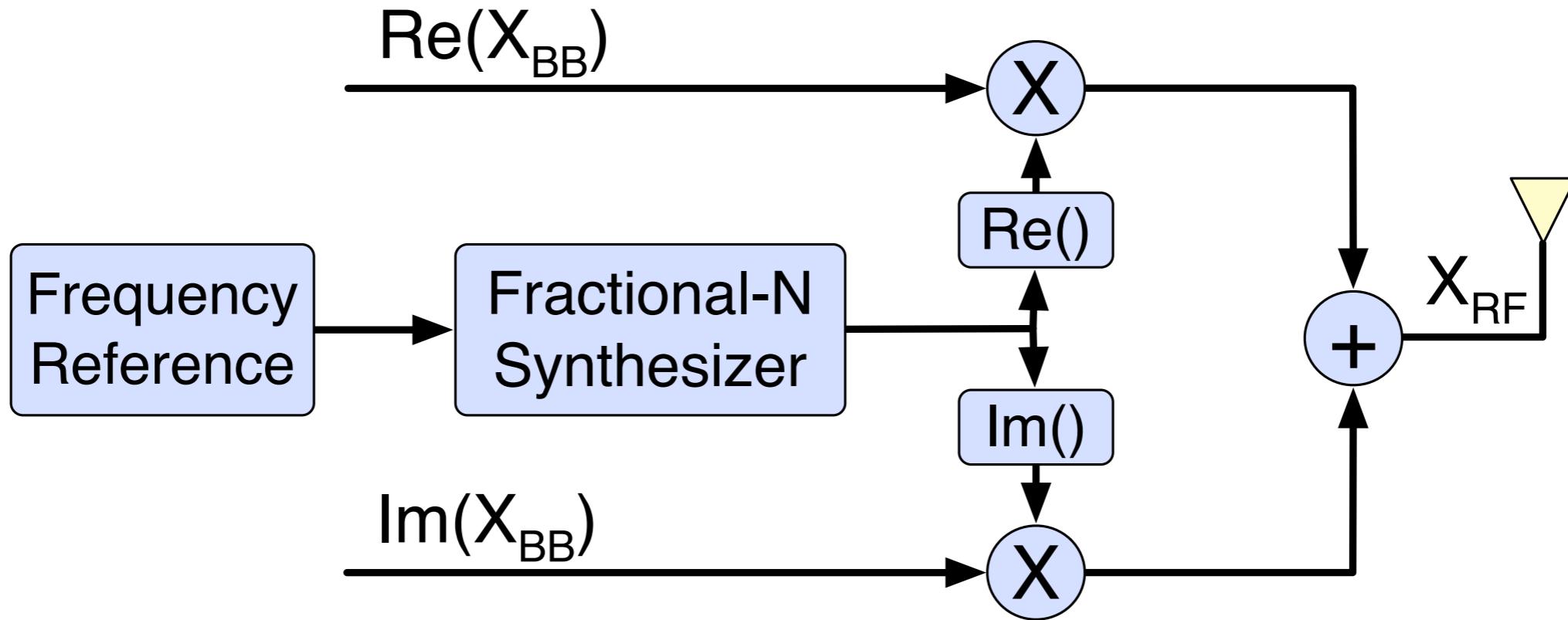


RF Upconverter



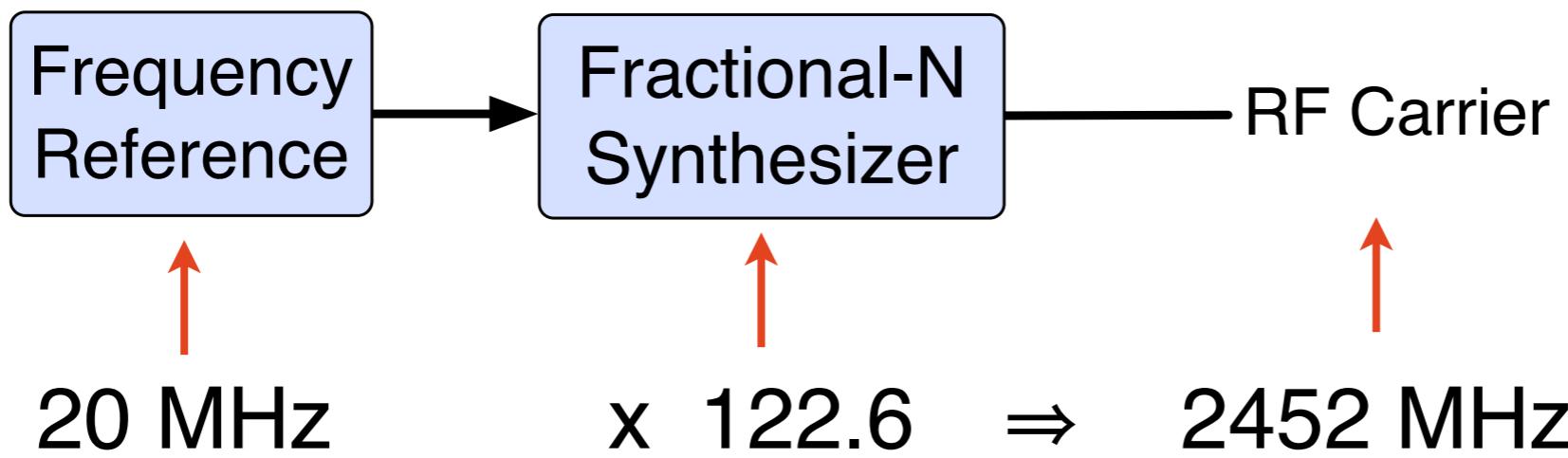
RF Downconverter

Carrier Frequency Offset



CFO characteristics very hardware specific

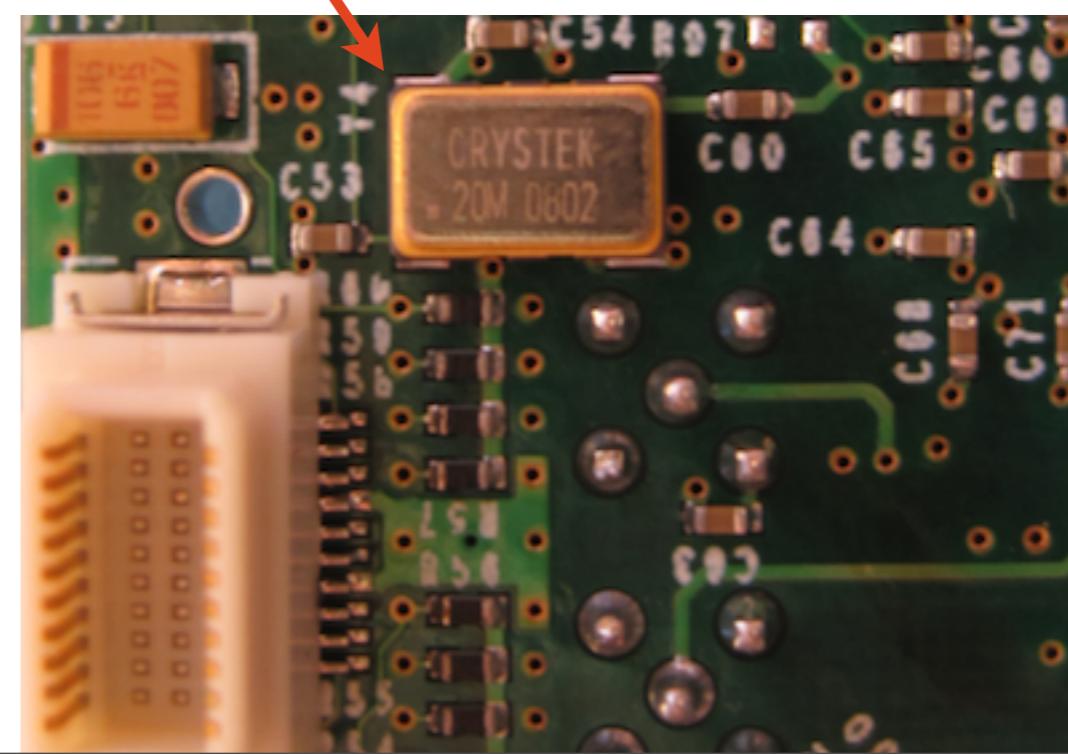
Carrier Frequency Offset



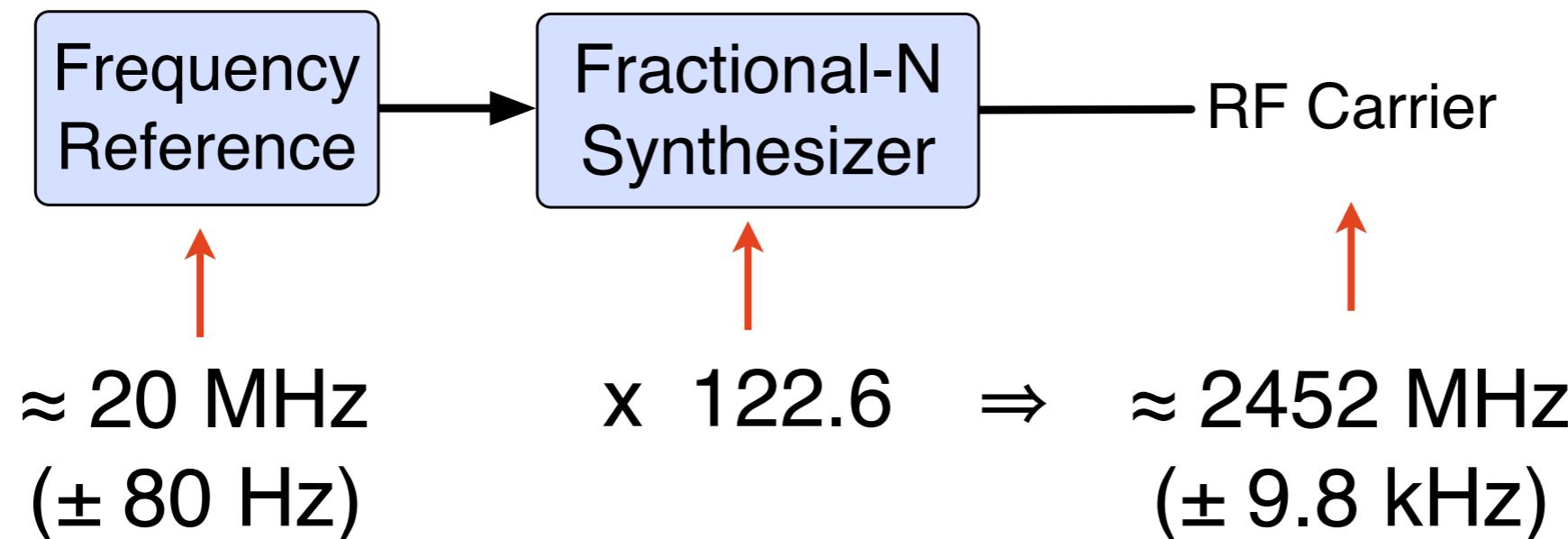
Carrier Frequency Offset

CVT32 Model
3.2x5.0 mm SMD, 3V, TCVCXO

Frequency Reference	20 MHz	10MHz to 30MHz
Calibration Tolerance:	$\pm 1.5\text{ppm}$	
Frequency Stability:	$\pm 2.5\text{ppm}$	
Temperature Range:	-20°C to 80°C	

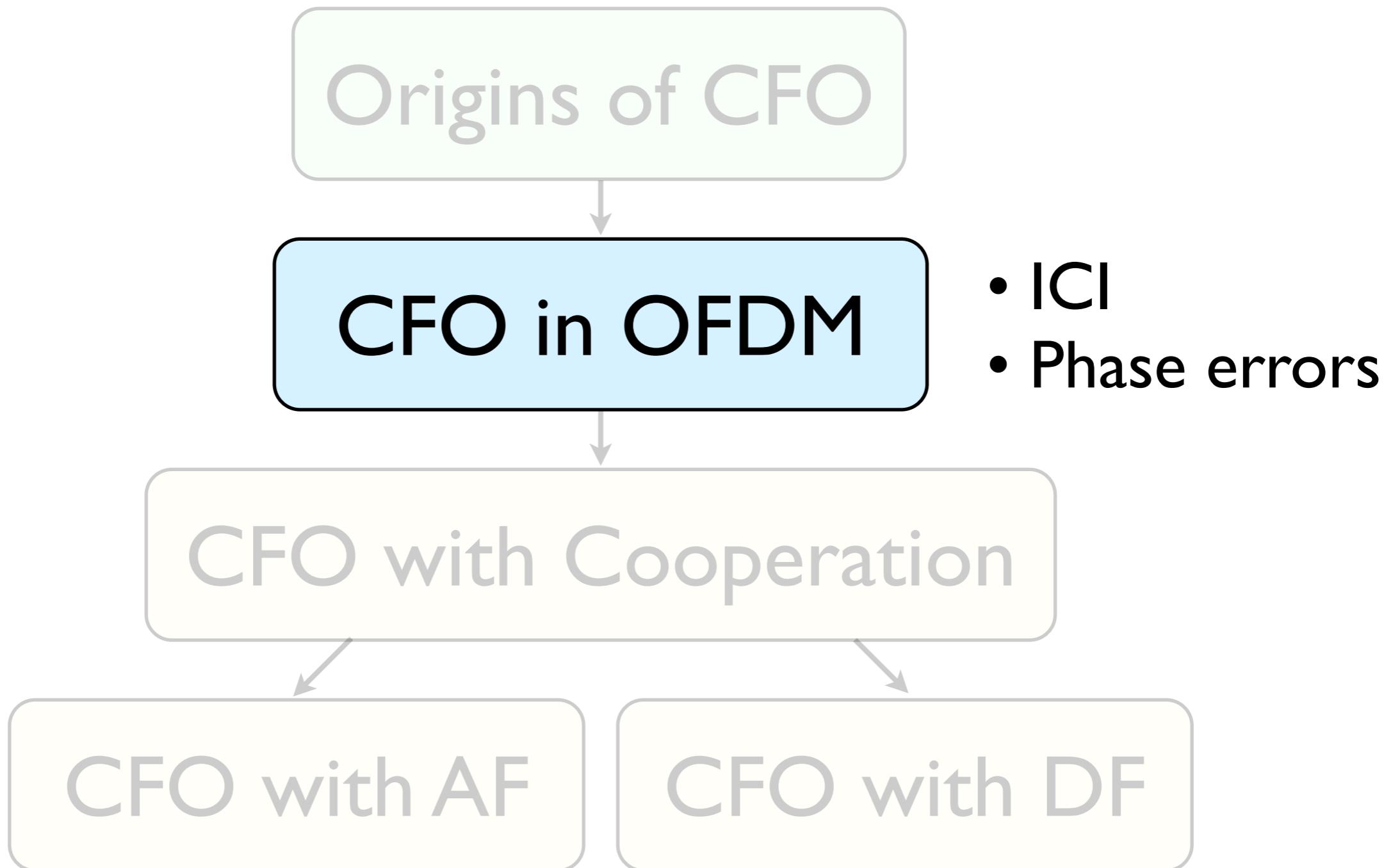


Carrier Frequency Offset

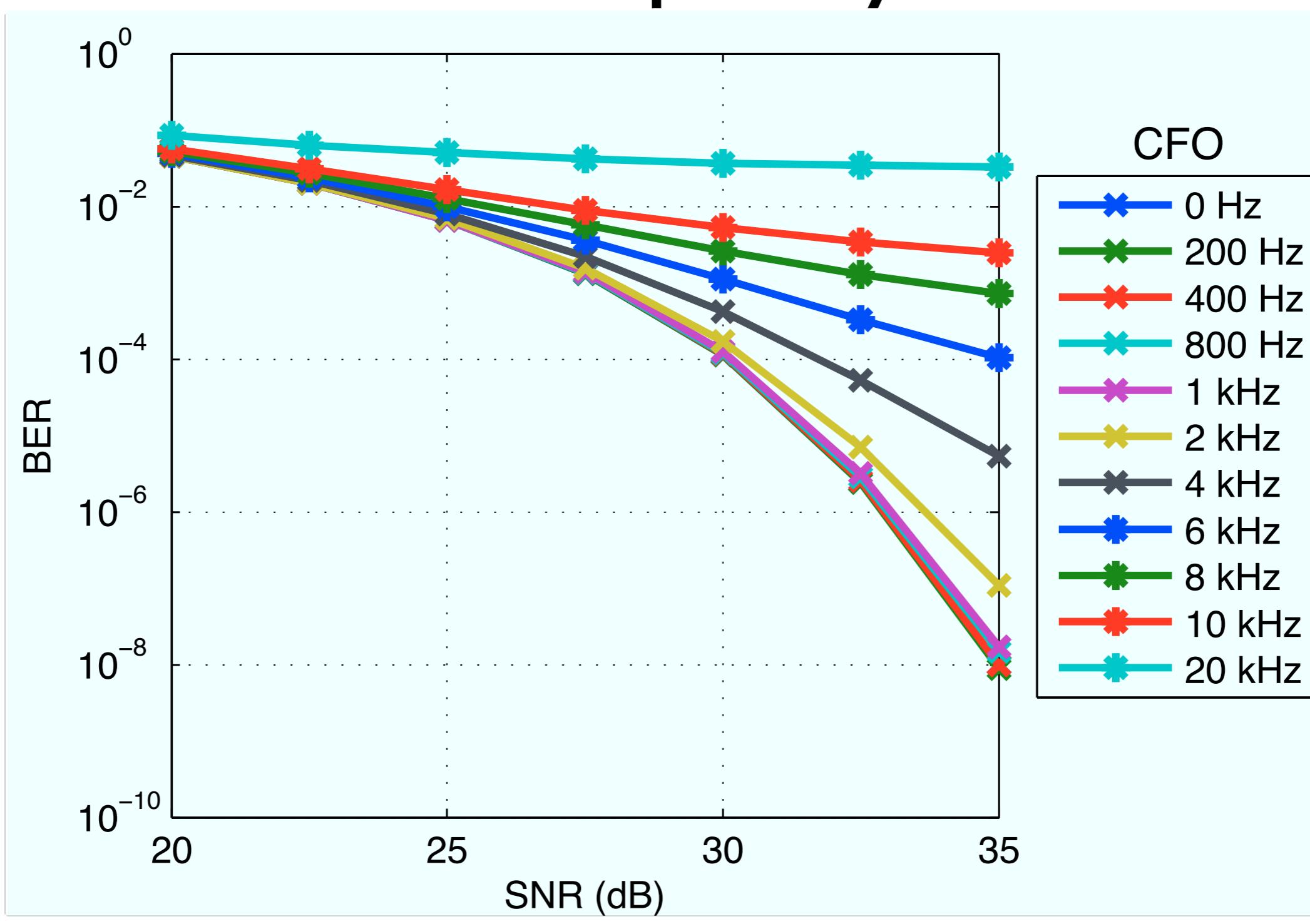


⇒ Max node-to-node CFO $\approx 20 \text{ kHz}$

Carrier Frequency Offset

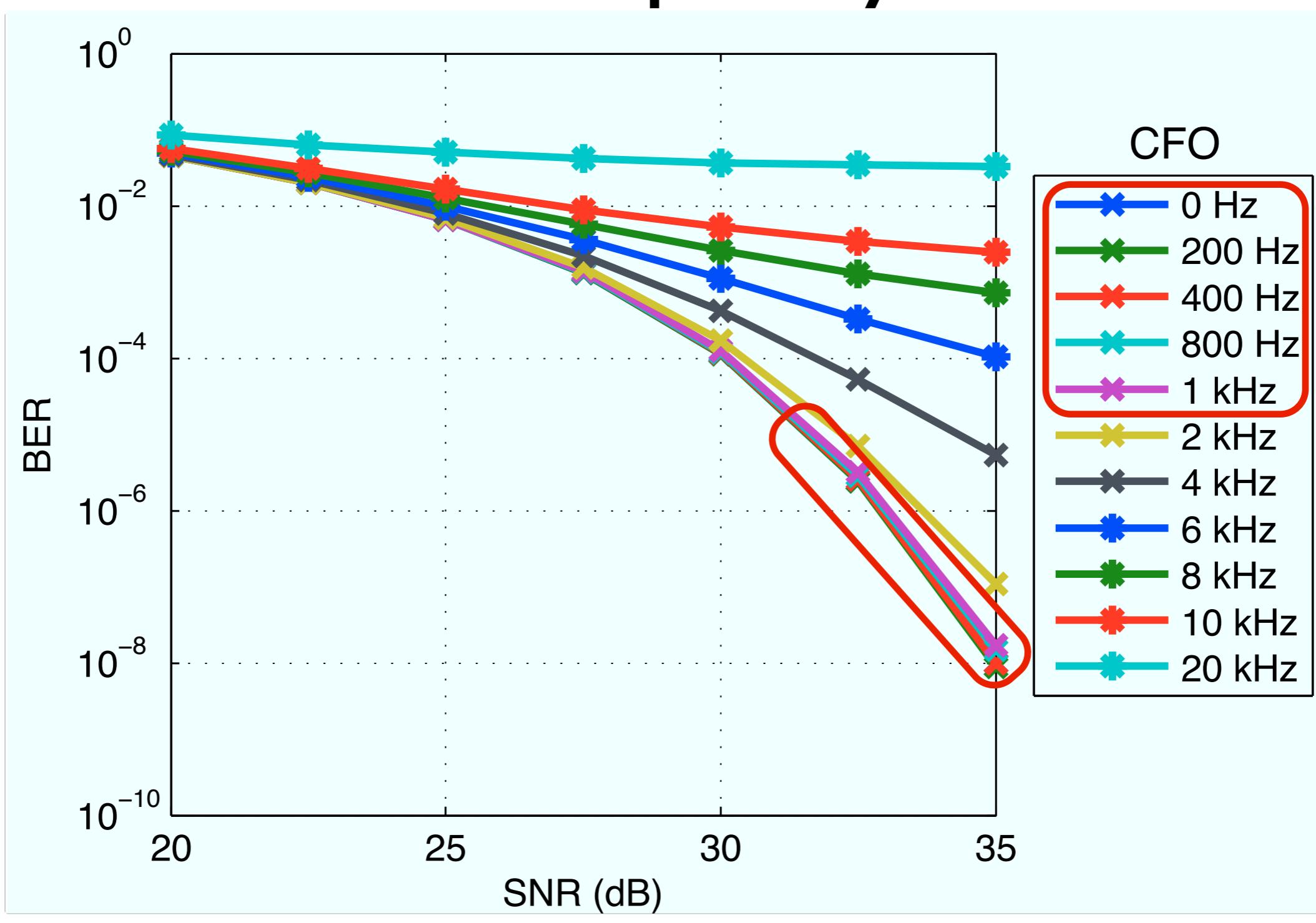


Carrier Frequency Offset



- Monte Carlo sim of SISO OFDM
- AWGN
- 1500 byte 16-QAM packets
- Perfect phase error correction

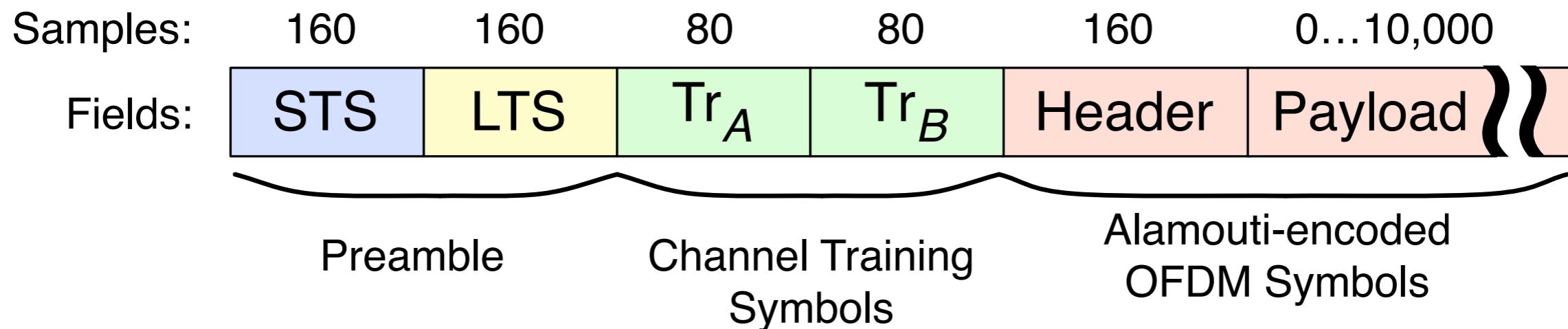
Carrier Frequency Offset



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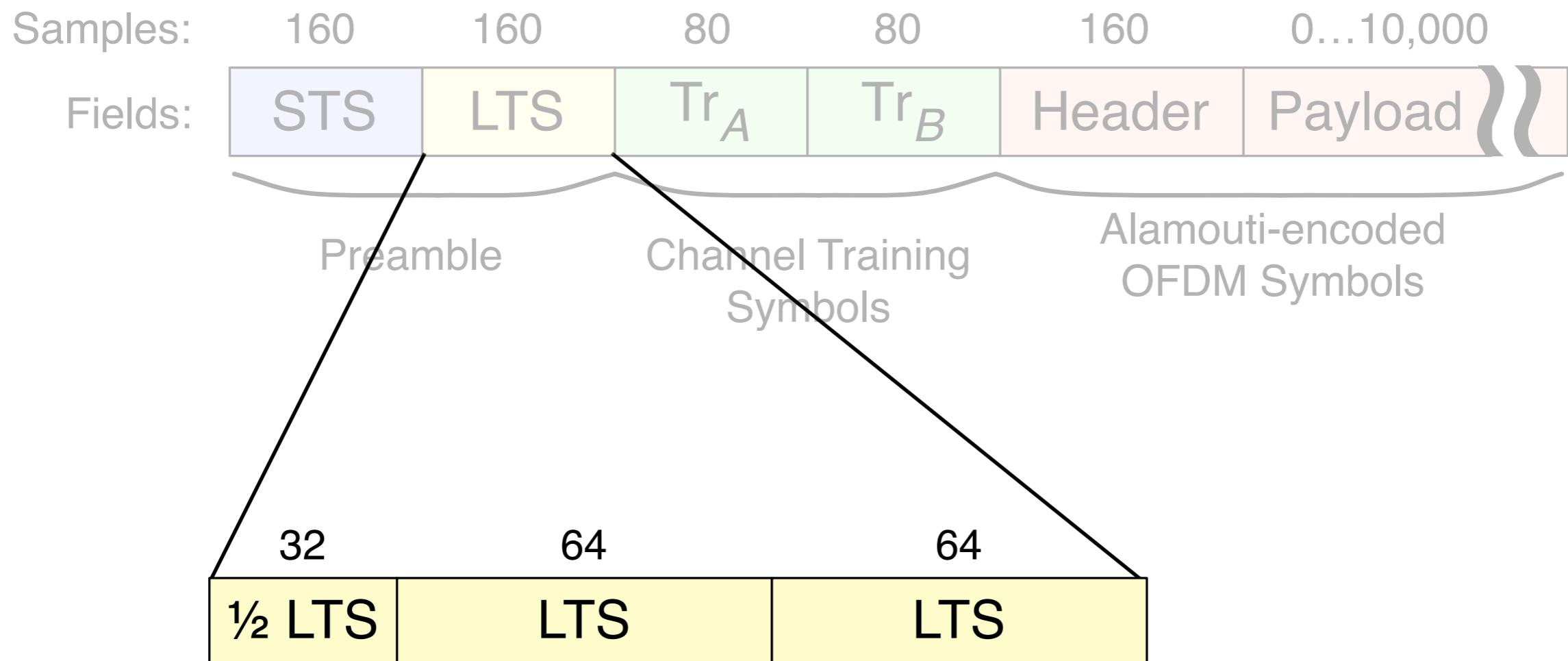
Carrier Frequency Offset

Time Domain CFO Estimation



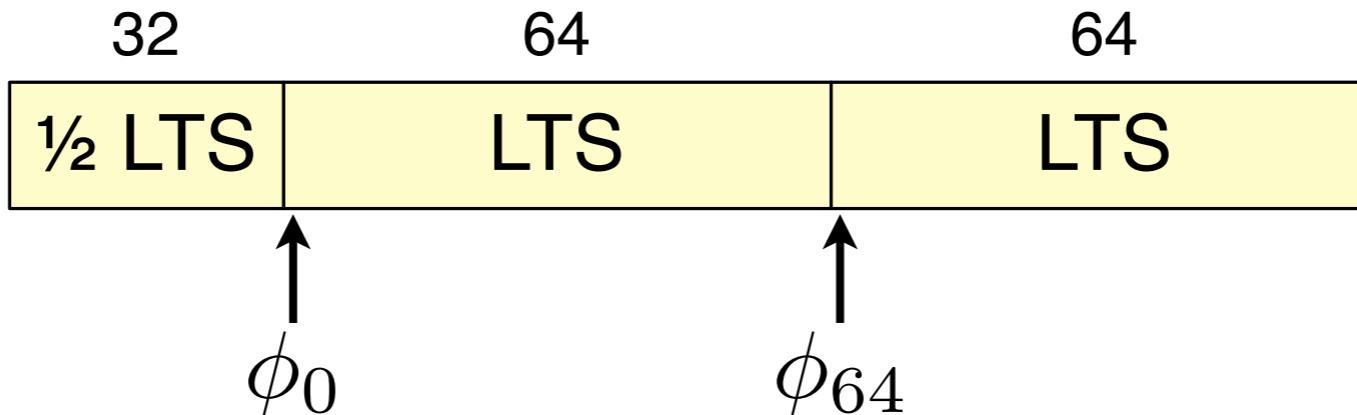
Carrier Frequency Offset

Time Domain CFO Estimation



Carrier Frequency Offset

Time Domain CFO Estimation

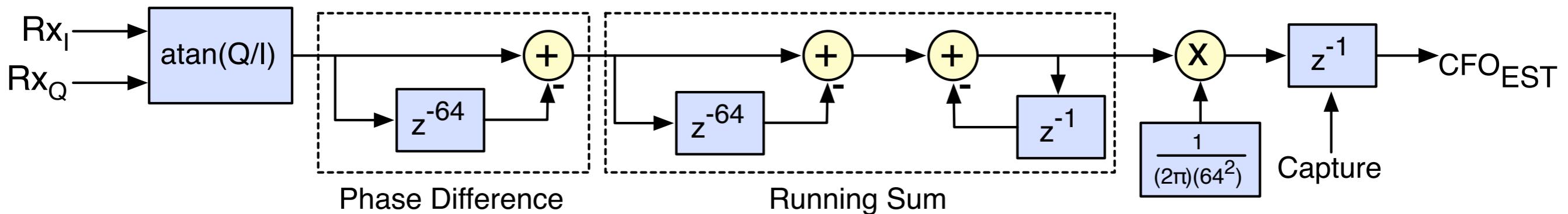


$$CFO \sim (\phi_{64} - \phi_0)$$

$$CFO_{EST} = \frac{f_s}{2\pi \cdot 64^2} \sum_{n=64}^{127} \phi_n - \phi_{(n-64)}$$

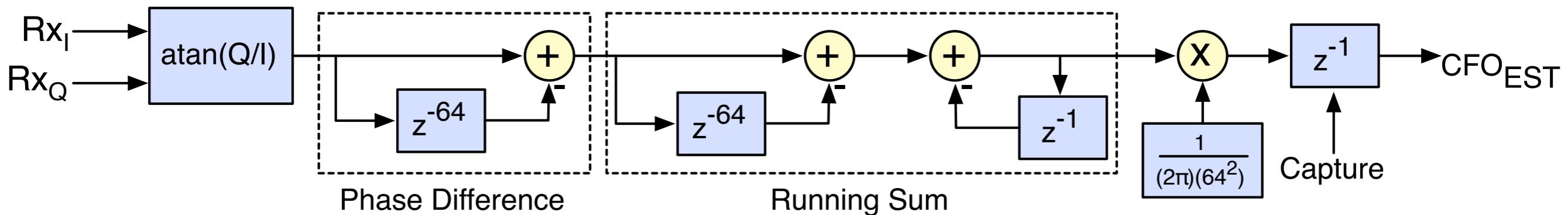
Carrier Frequency Offset

Time Domain CFO Estimation



Carrier Frequency Offset

Time Domain CFO Estimation

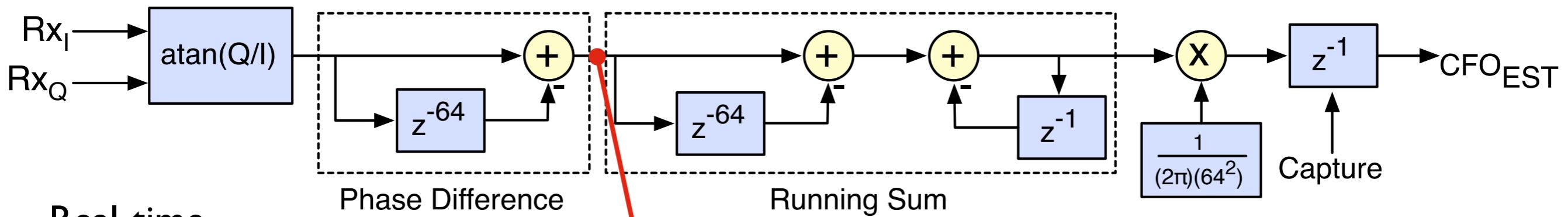


Something weird happened in characterizing the estimator...

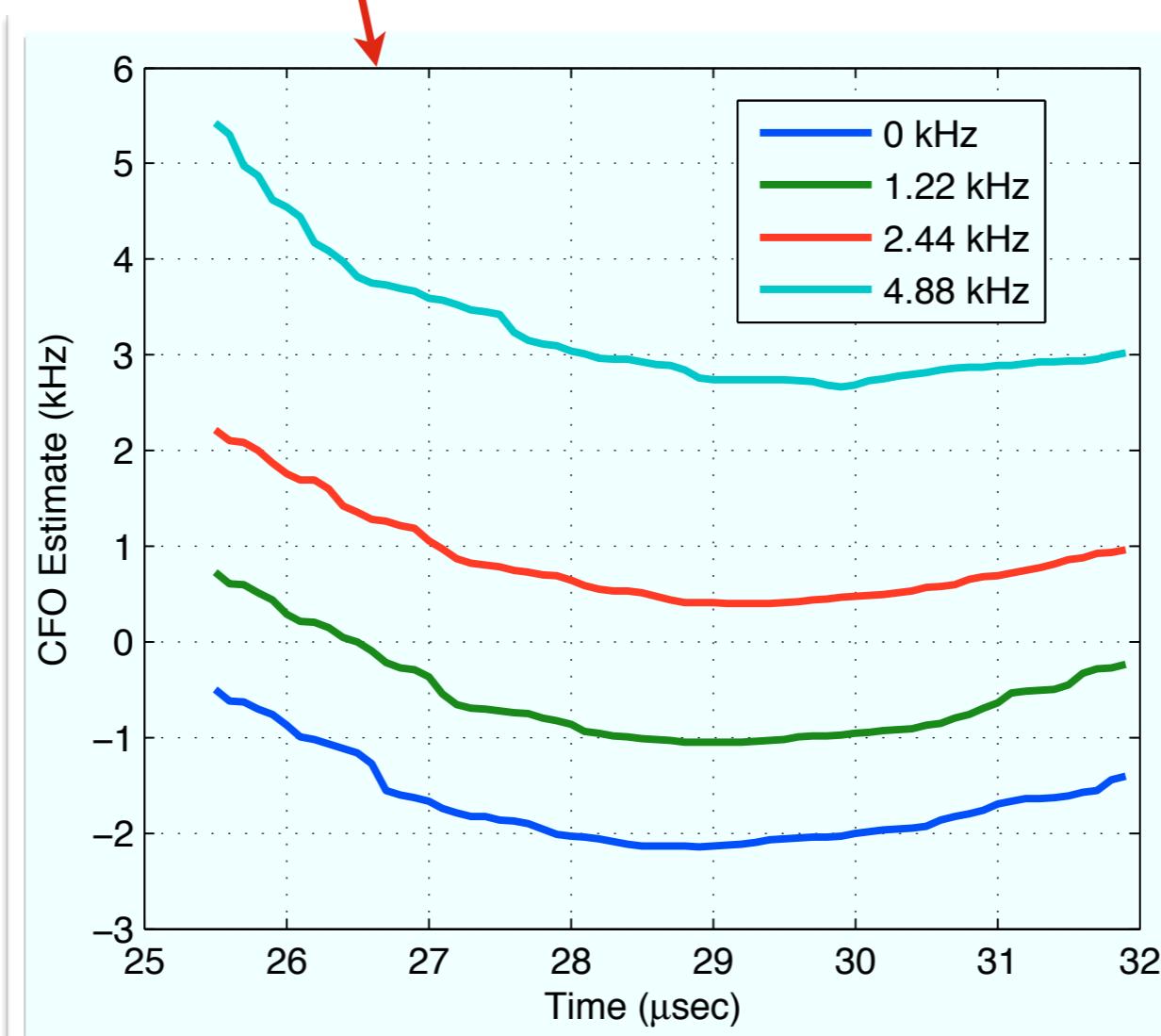
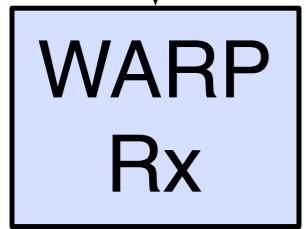
It didn't work...at all.

Carrier Frequency Offset

Time Domain CFO Estimation



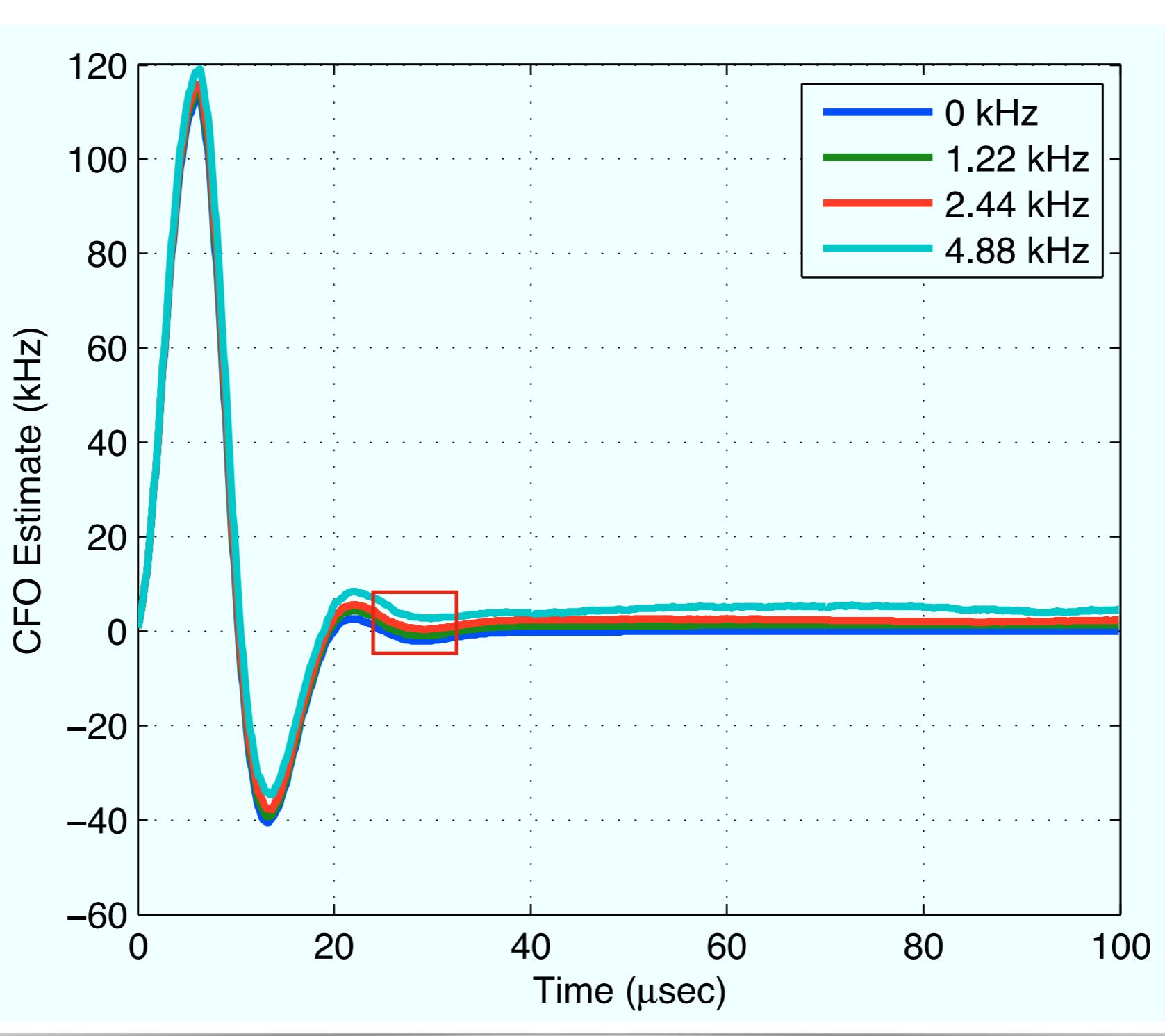
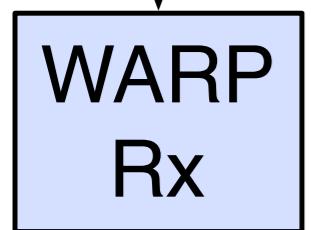
Real-time



Carrier Frequency Offset

Radio Transients

WARPLab



Carrier Frequency Offset

Radio Transients

WARPLab



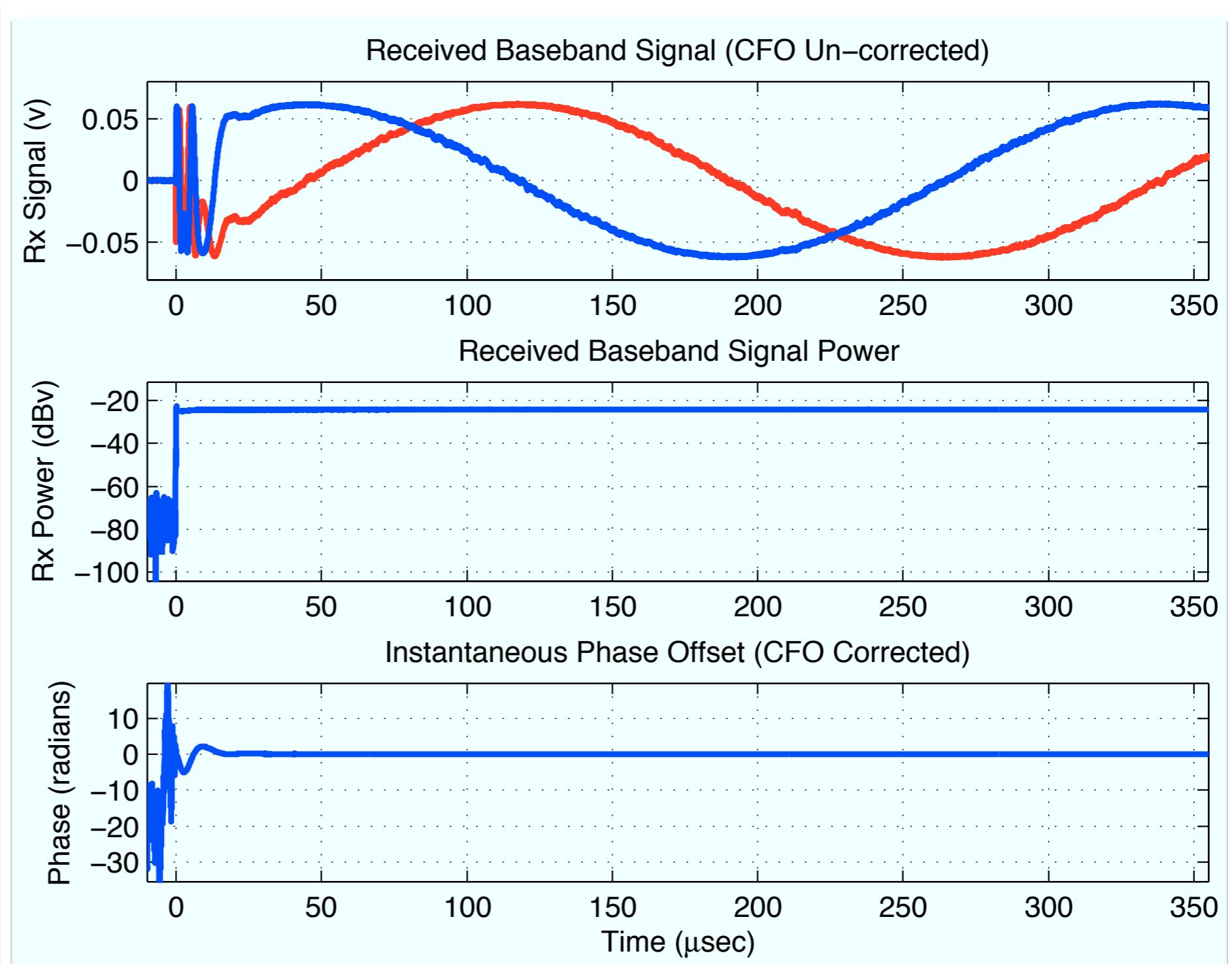
Carrier Frequency Offset

Radio Transients

WARPLab

WARP
Tx

VSA
PM Demod



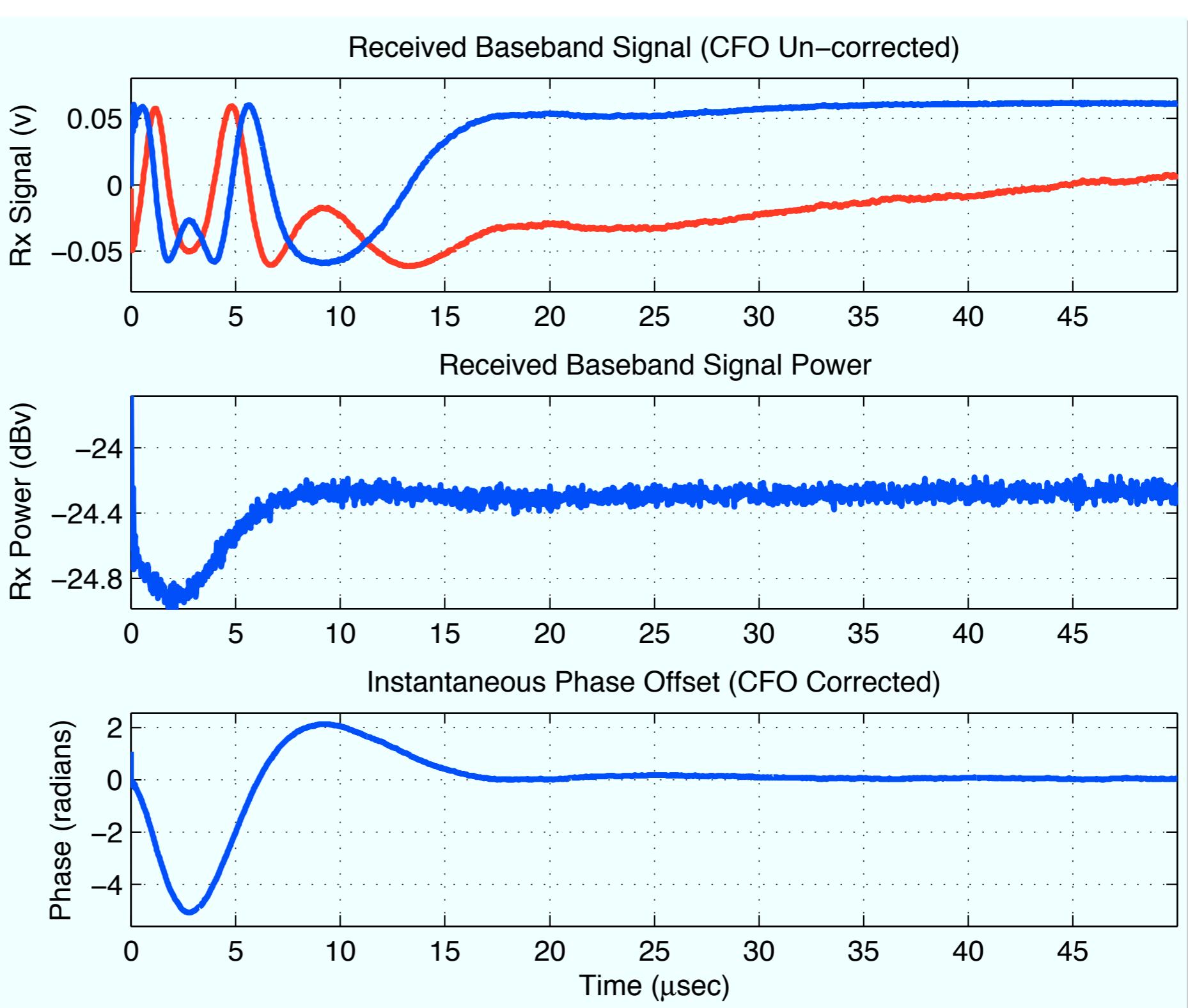
Carrier Frequency Offset

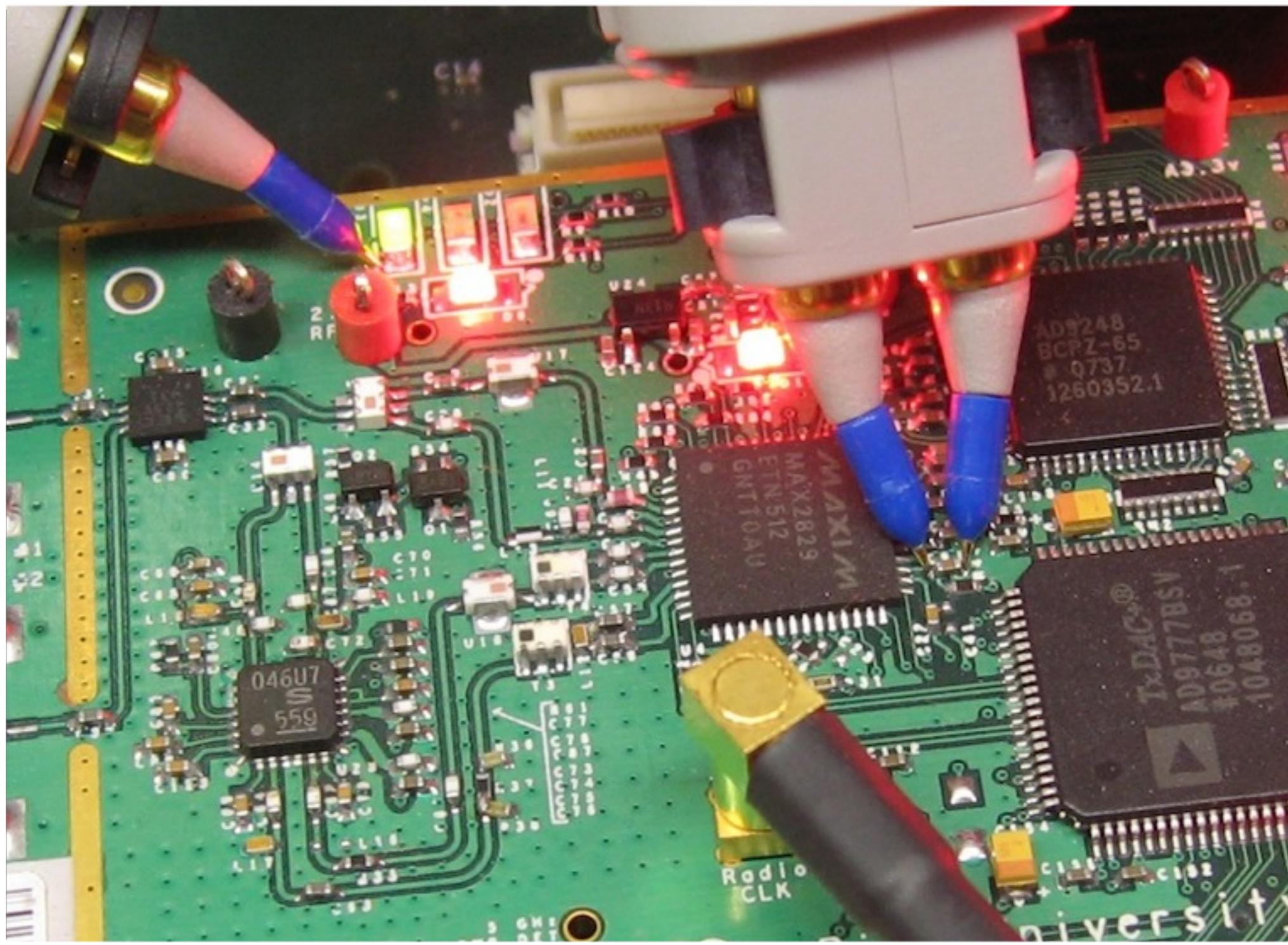
Radio Transients

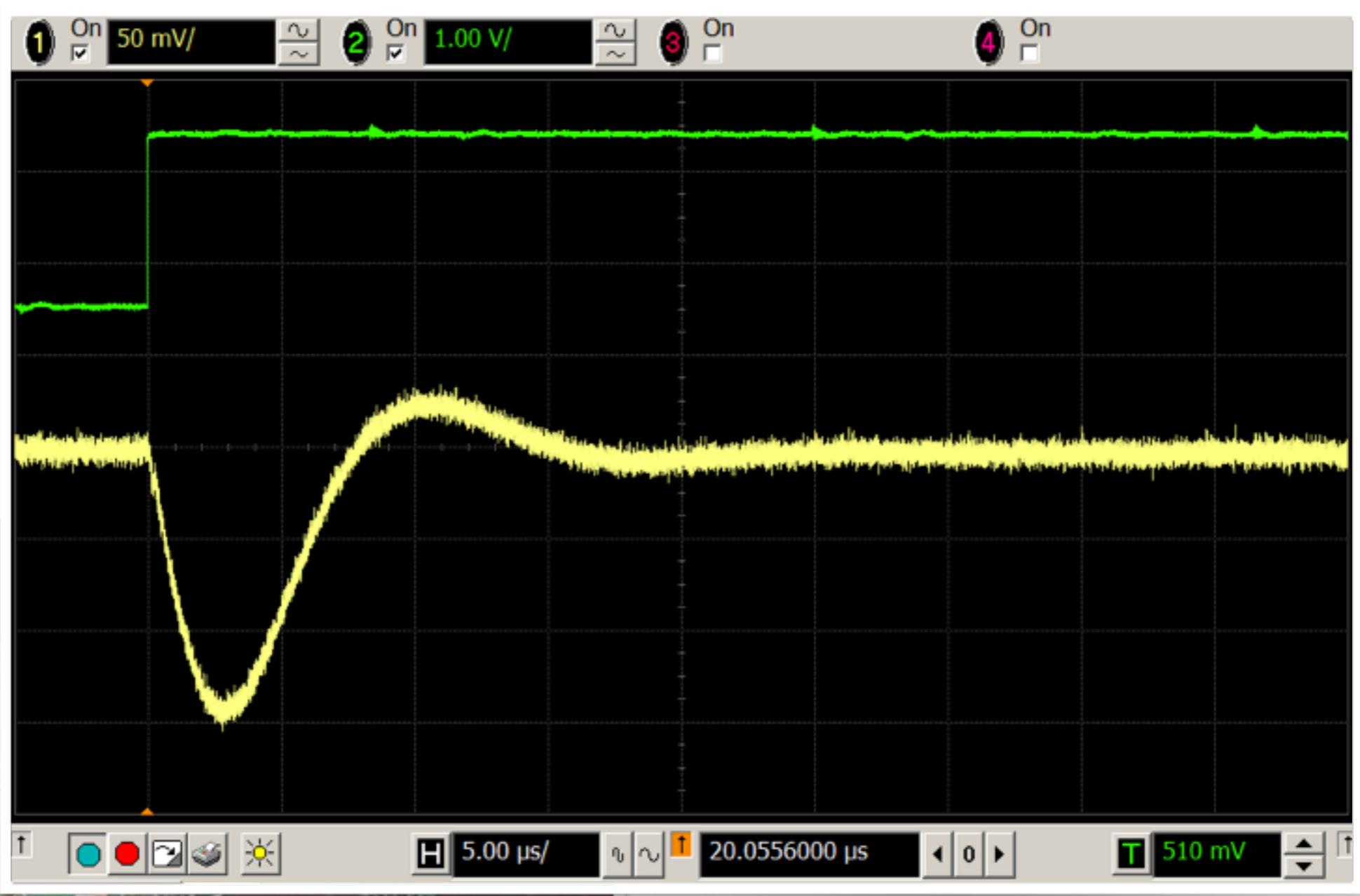
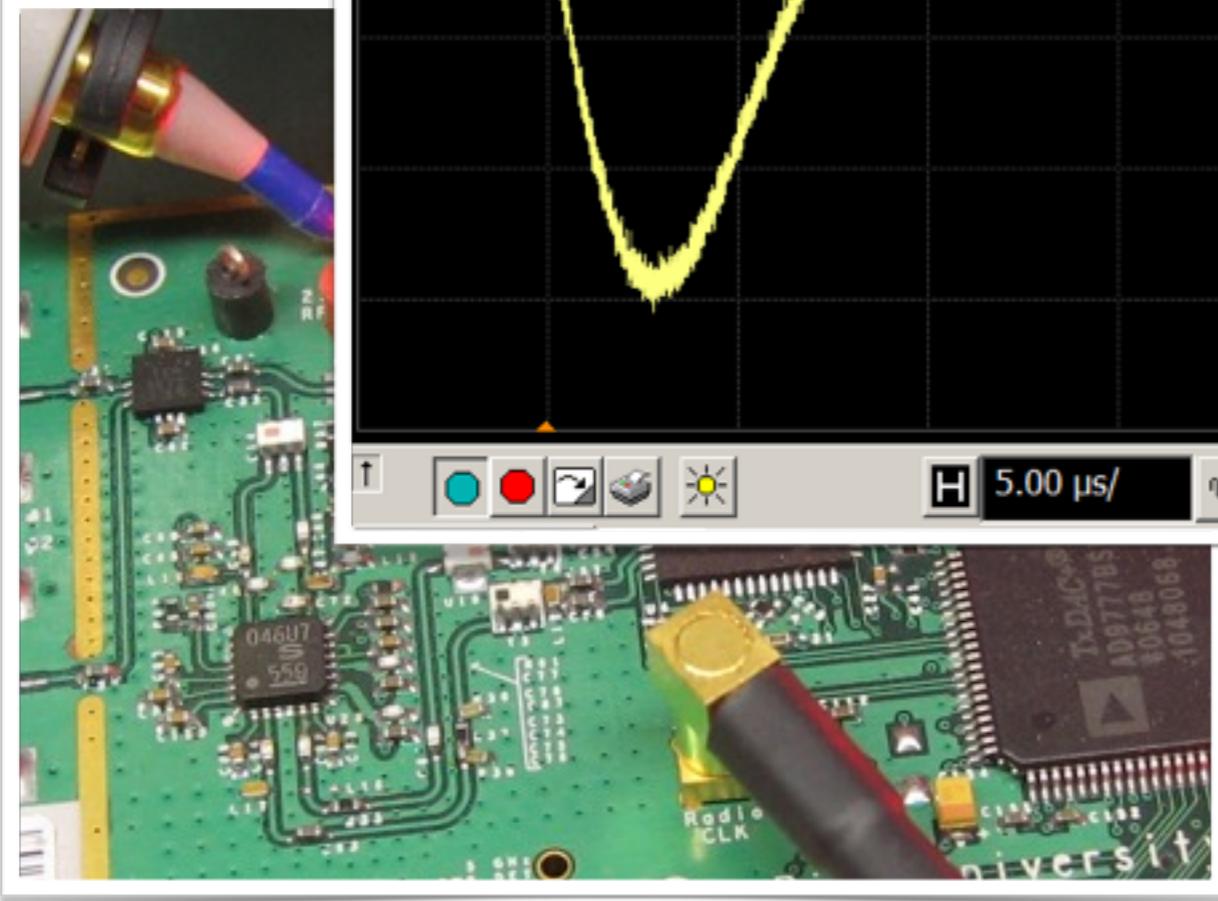
WARPLab

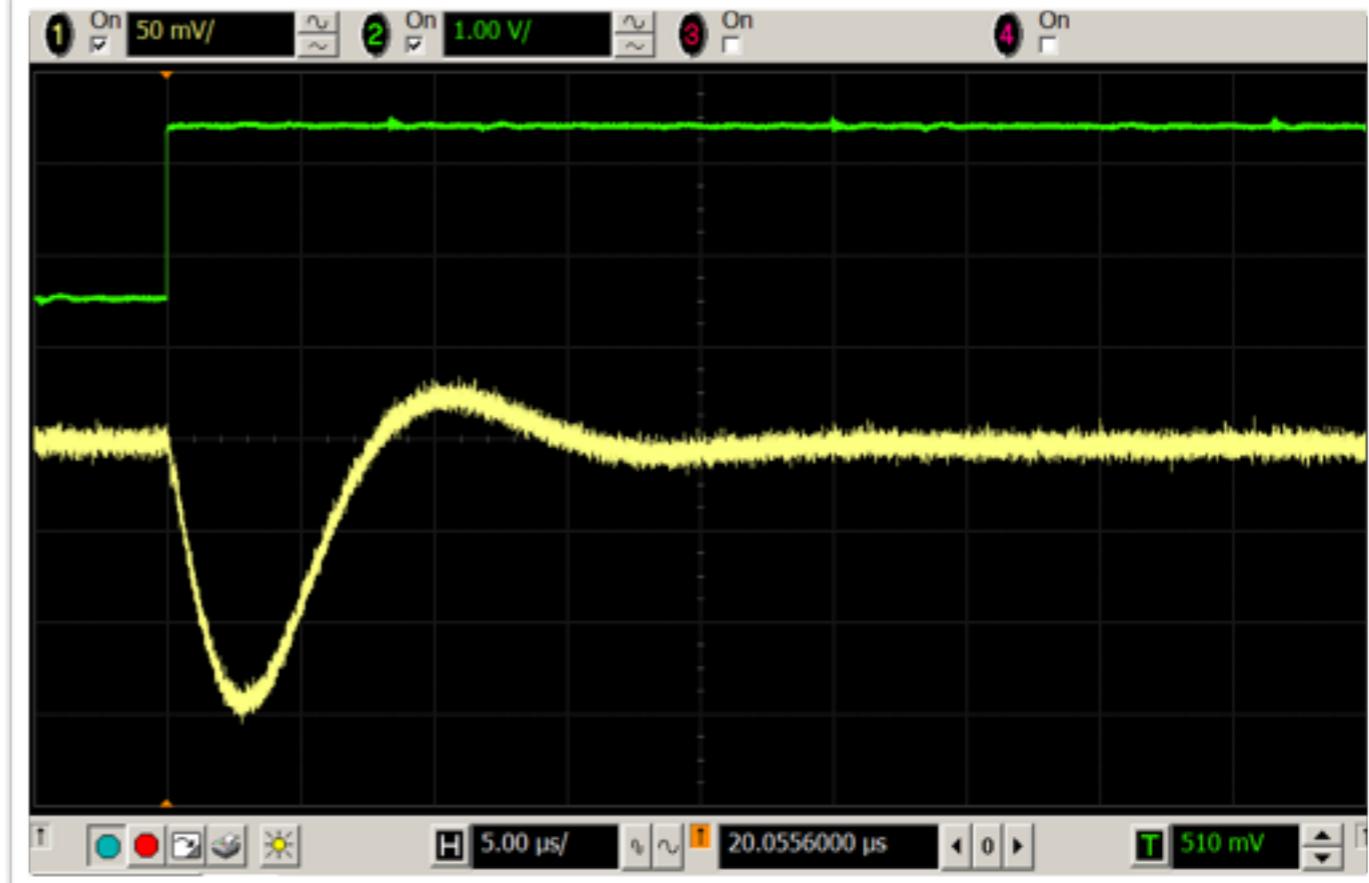
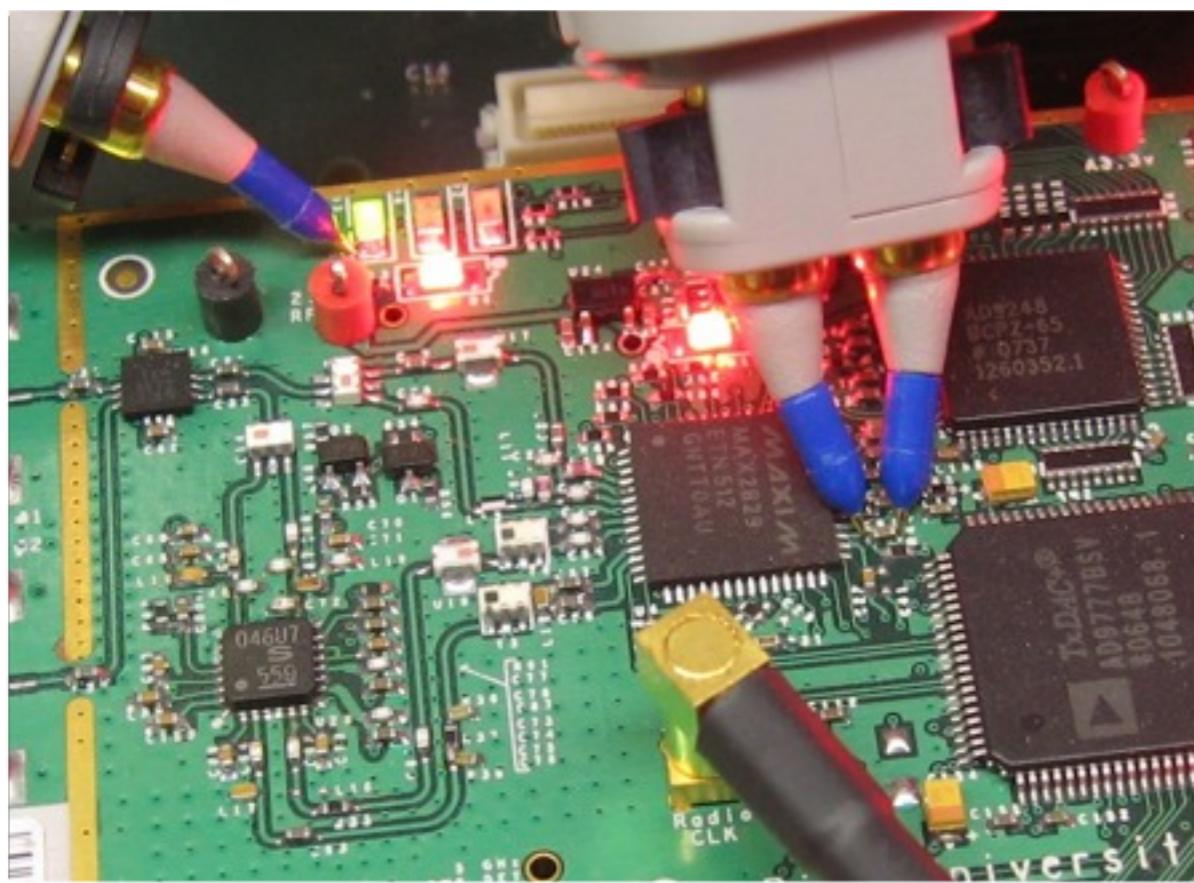
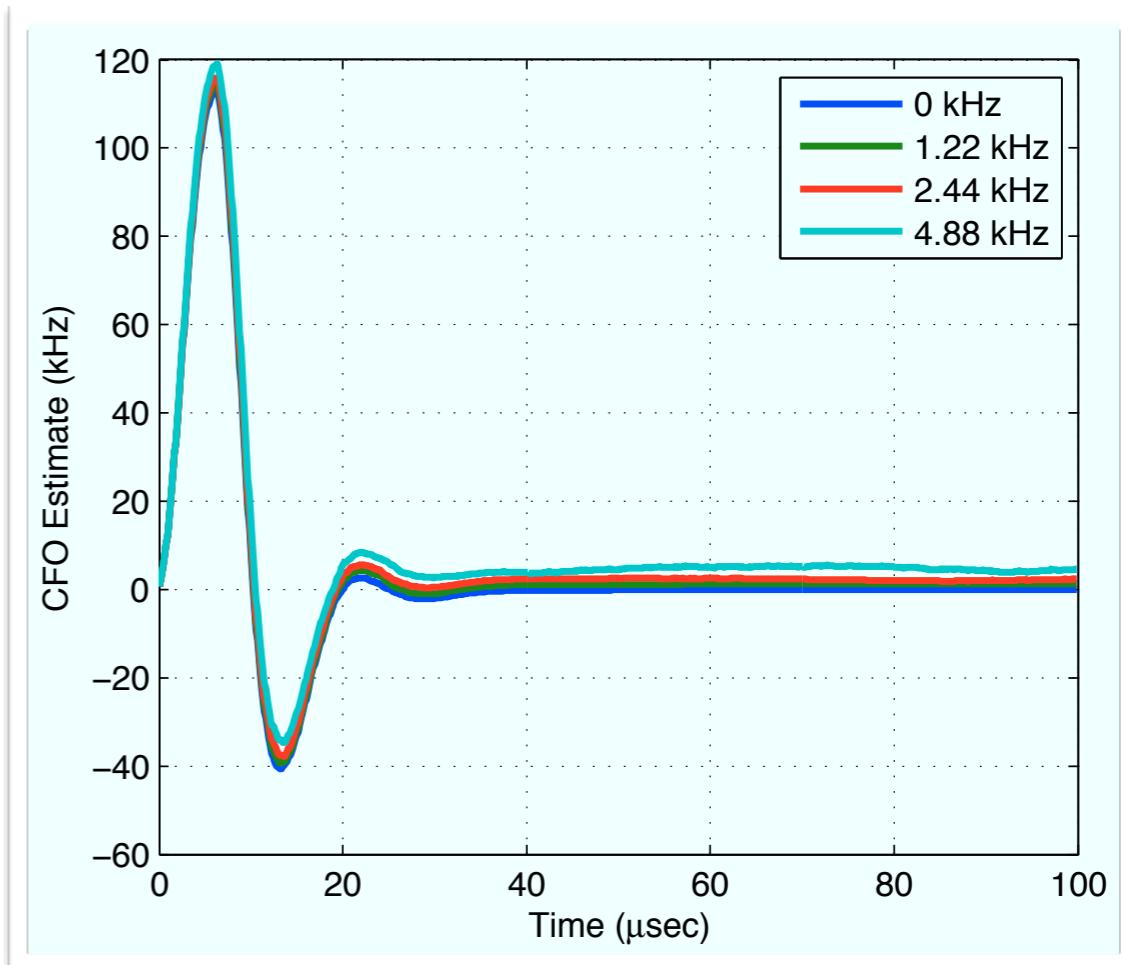
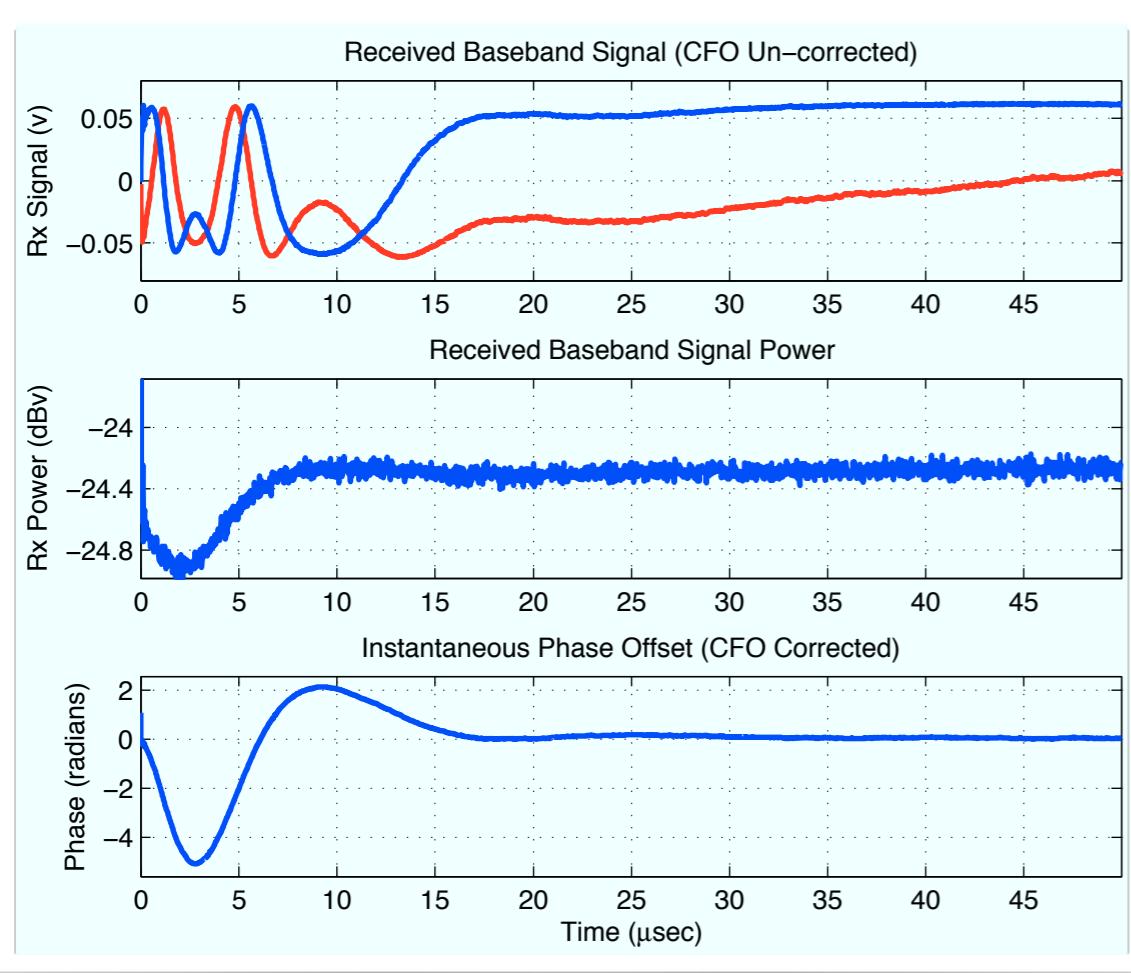
WARP
Tx

VSA
PM Demod





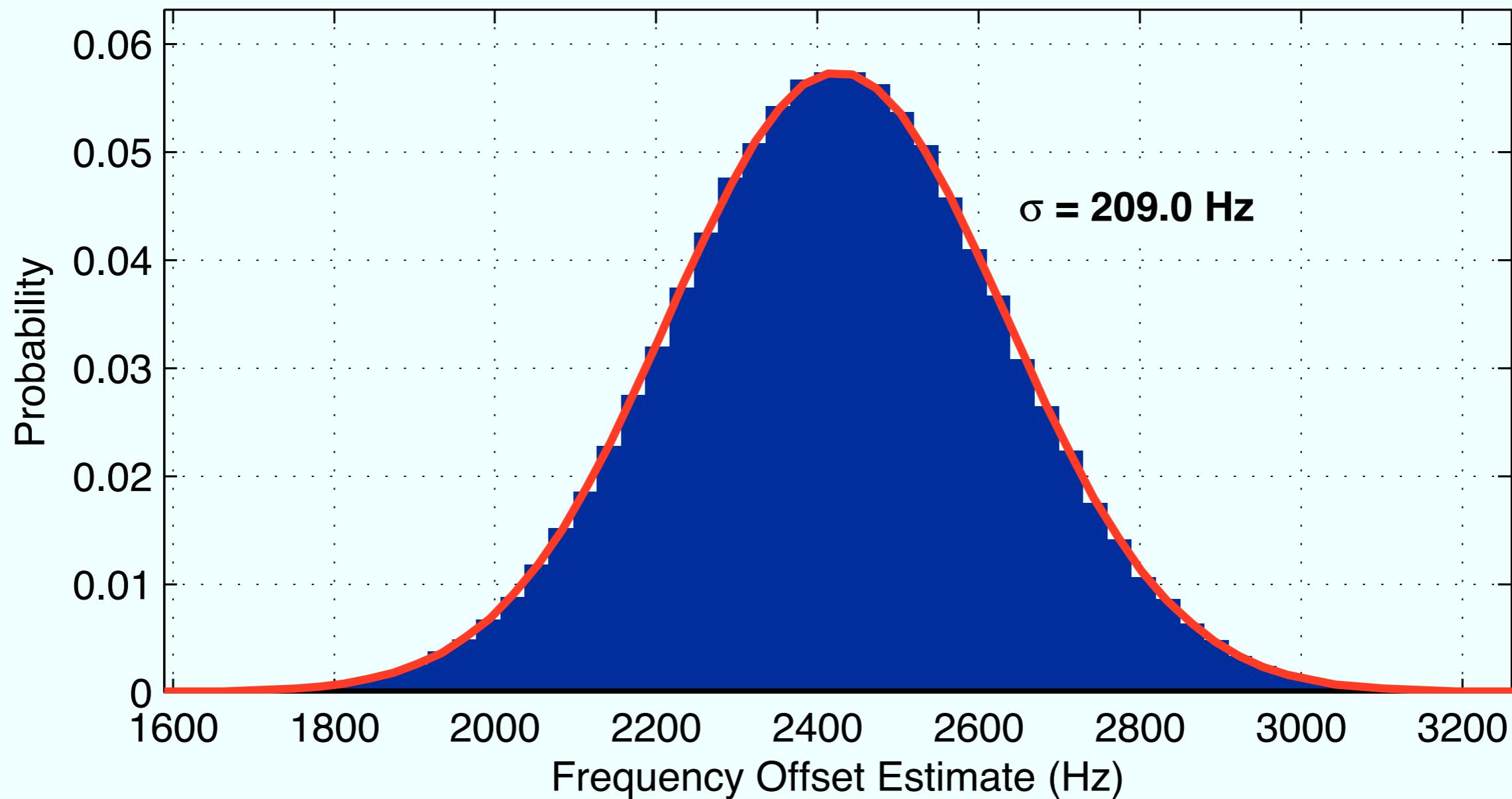




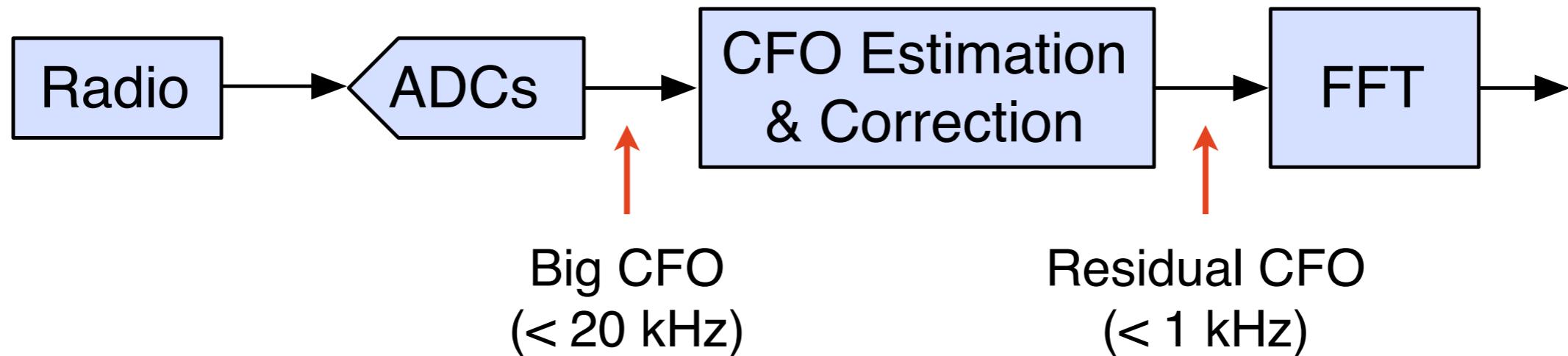
Carrier Frequency Offset

Time Domain CFO Estimation

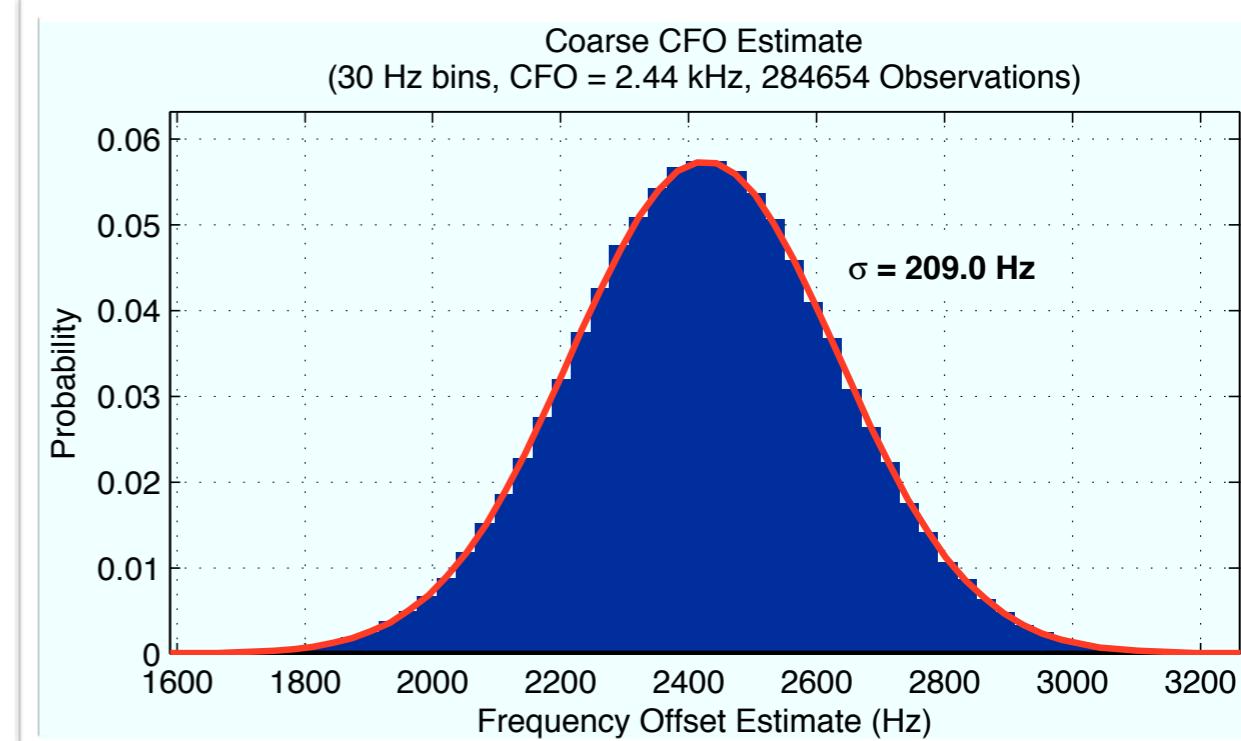
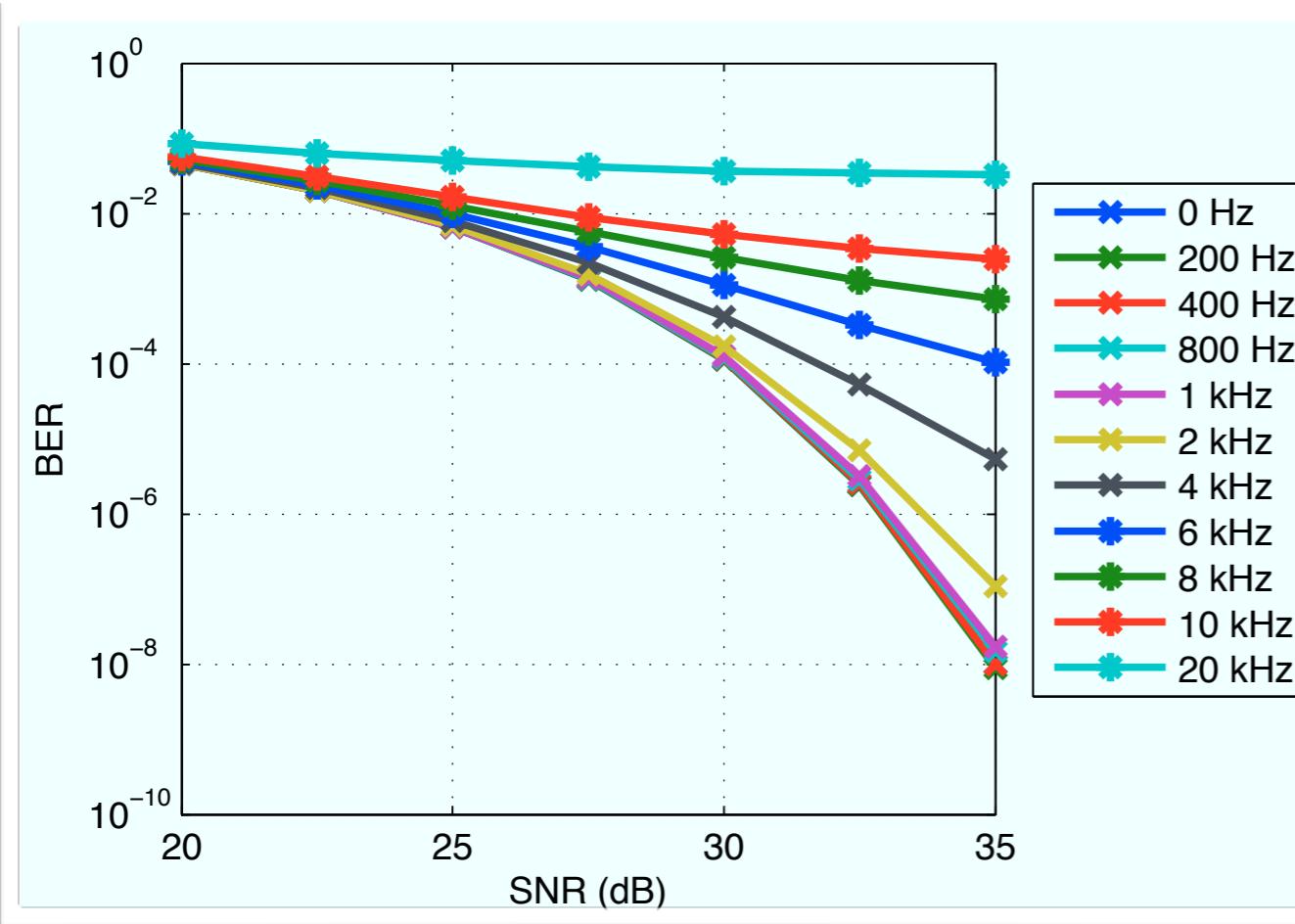
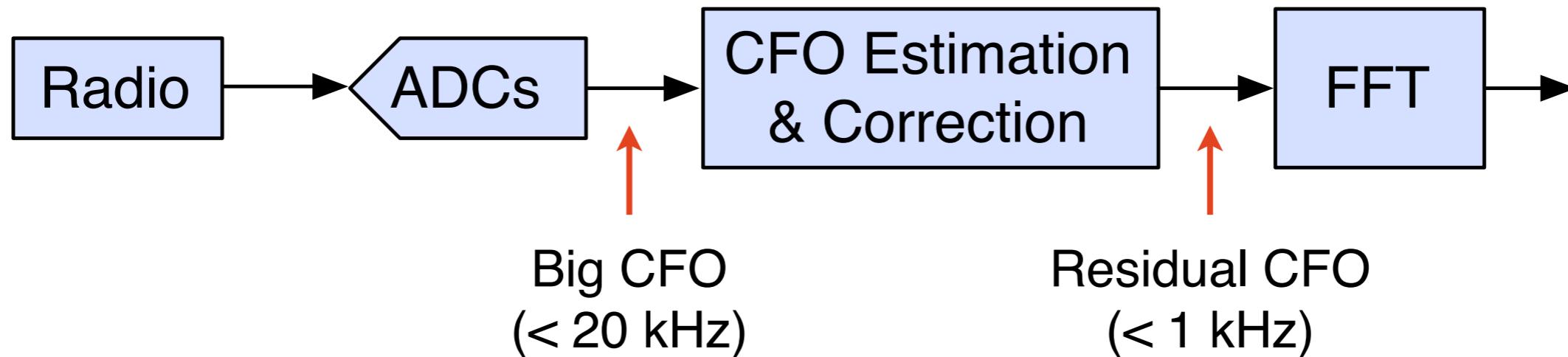
Coarse CFO Estimate
(30 Hz bins, CFO = 2.44 kHz, 284654 Observations)



Carrier Frequency Offset

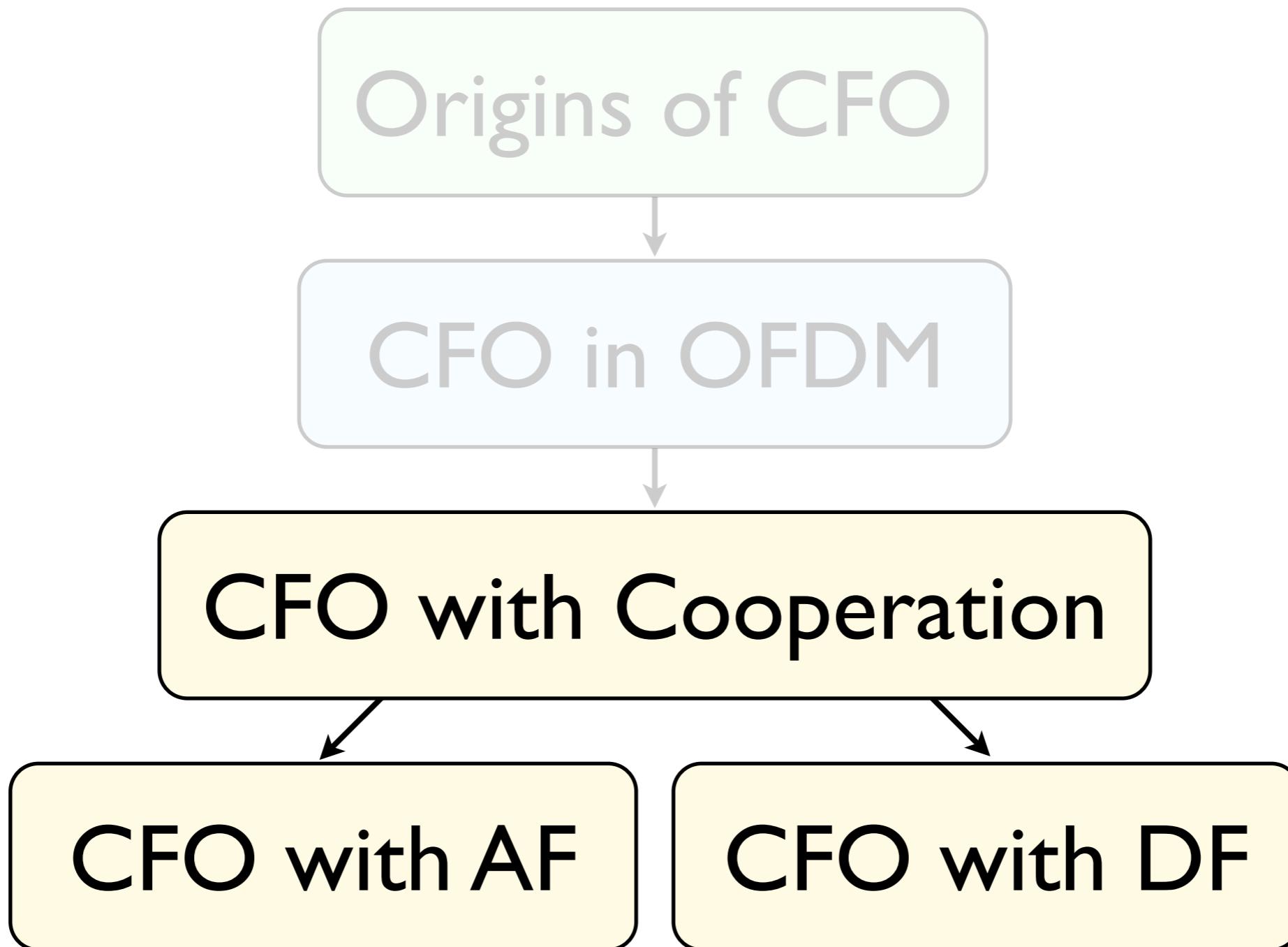


Carrier Frequency Offset

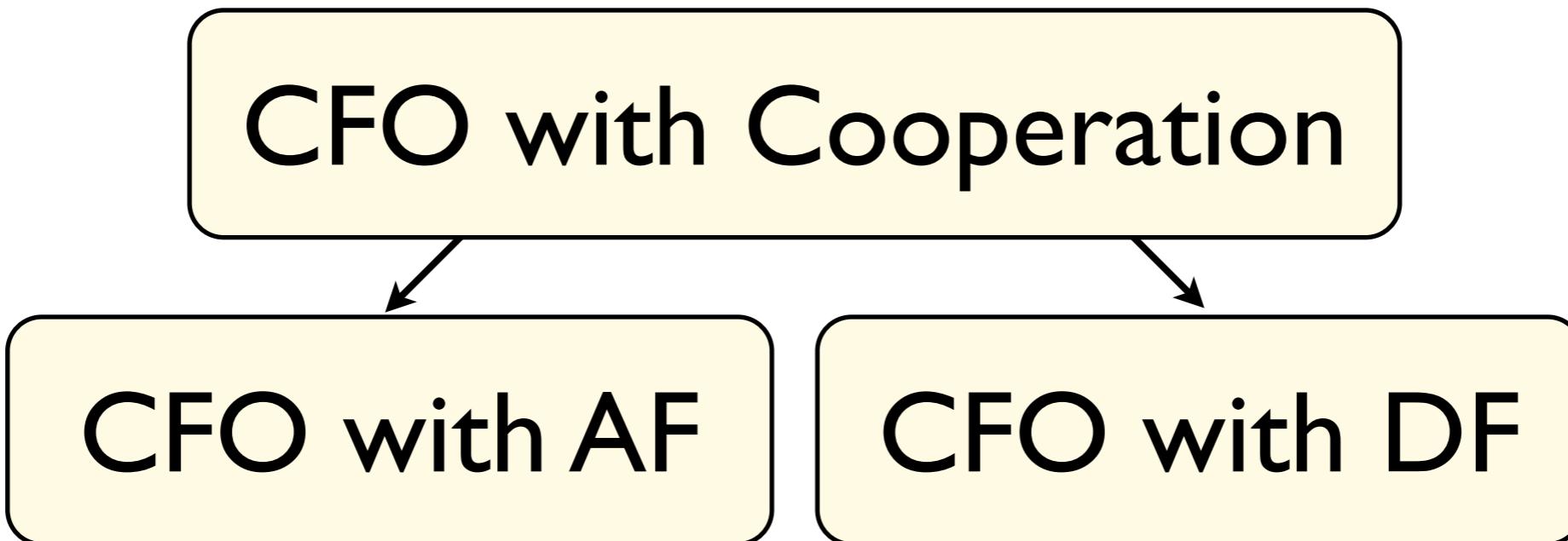


✓ Success!

Carrier Frequency Offset



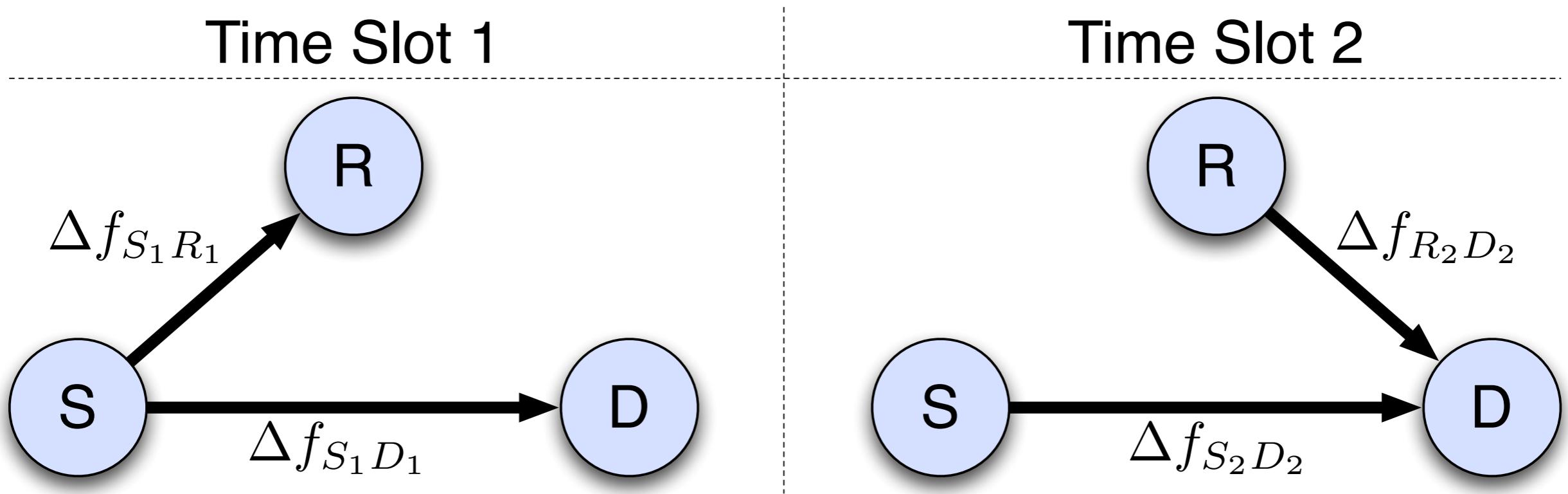
Carrier Frequency Offset



- CFO stability vs. time
- Offsets between cooperating nodes
 - Correcting it for AF/DF

Carrier Frequency Offset

CFO in Cooperative Links



Carrier Frequency Offset

CFO in Cooperative Links

CVT32 Model

3.2x5.0 mm SMD, 3V, TCVCXO

Frequency Range:

10MHz to 30MHz

Calibration Tolerance:

±1.5ppm

Frequency Stability:

±2.5ppm

Temperature Range:

-20°C to 80°C

Storage:

-40°C to 90°C

Input Voltage:

3.0V ±10%

Input Current:

1.2mA Typ., 2mA Max

Output:

Waveform:

Clipped Sine

Load:

6-15Kohm // 2-10pF

Voltage Control:

1.5V ± 1.0V

V_{cont} Trim.

±4ppm Min, ±12ppm Max

Harmonics:

-12 dBC Max

Δf_S

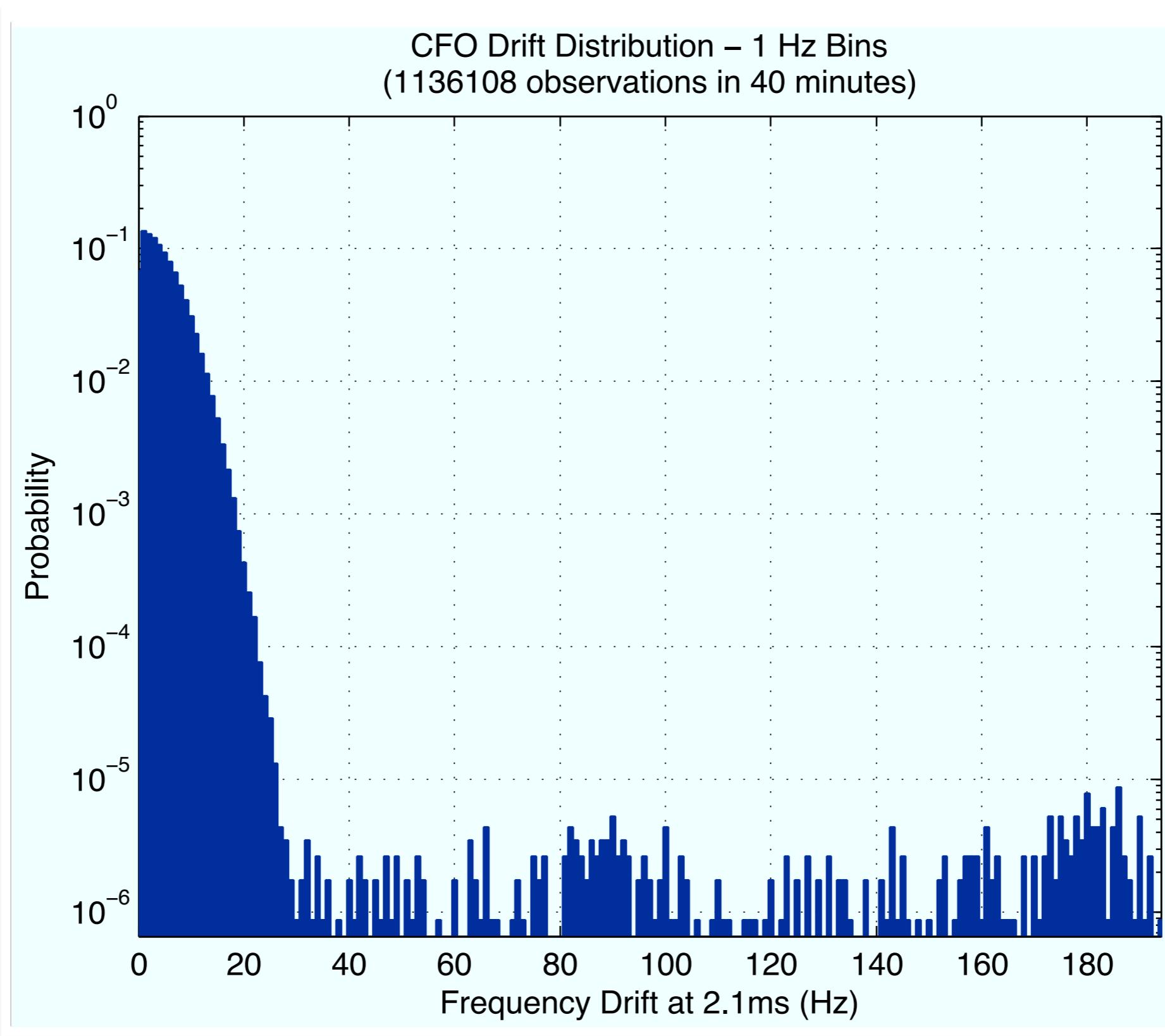
D_2

S

D

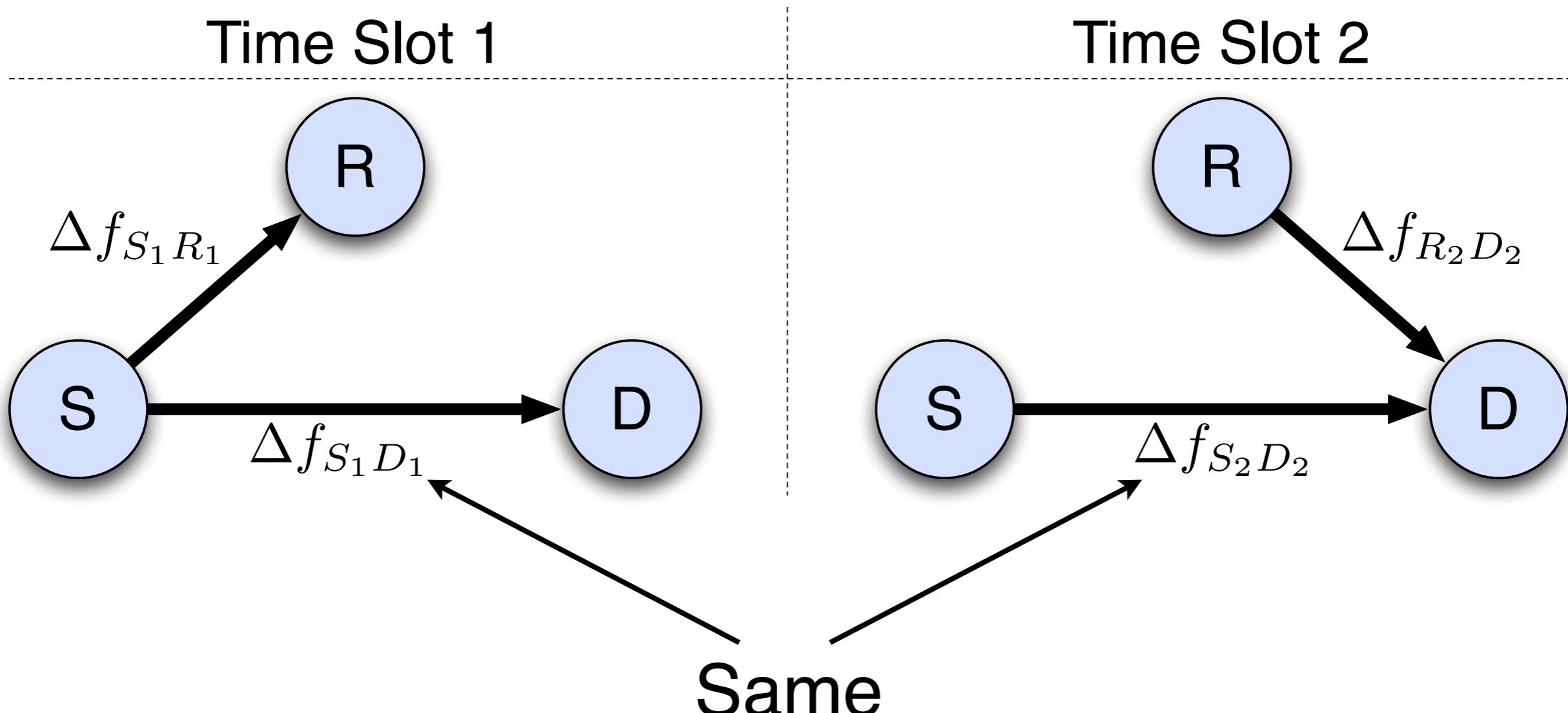
Carrier Frequency Offset

CFO in Cooperative Links



Carrier Frequency Offset

CFO in Cooperative Links



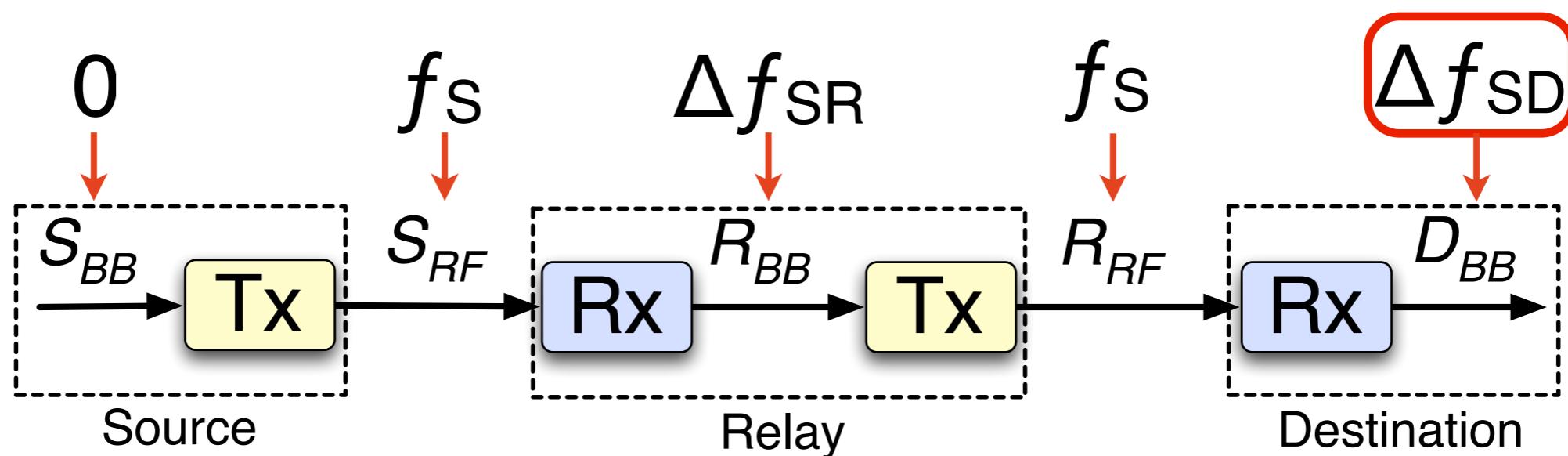
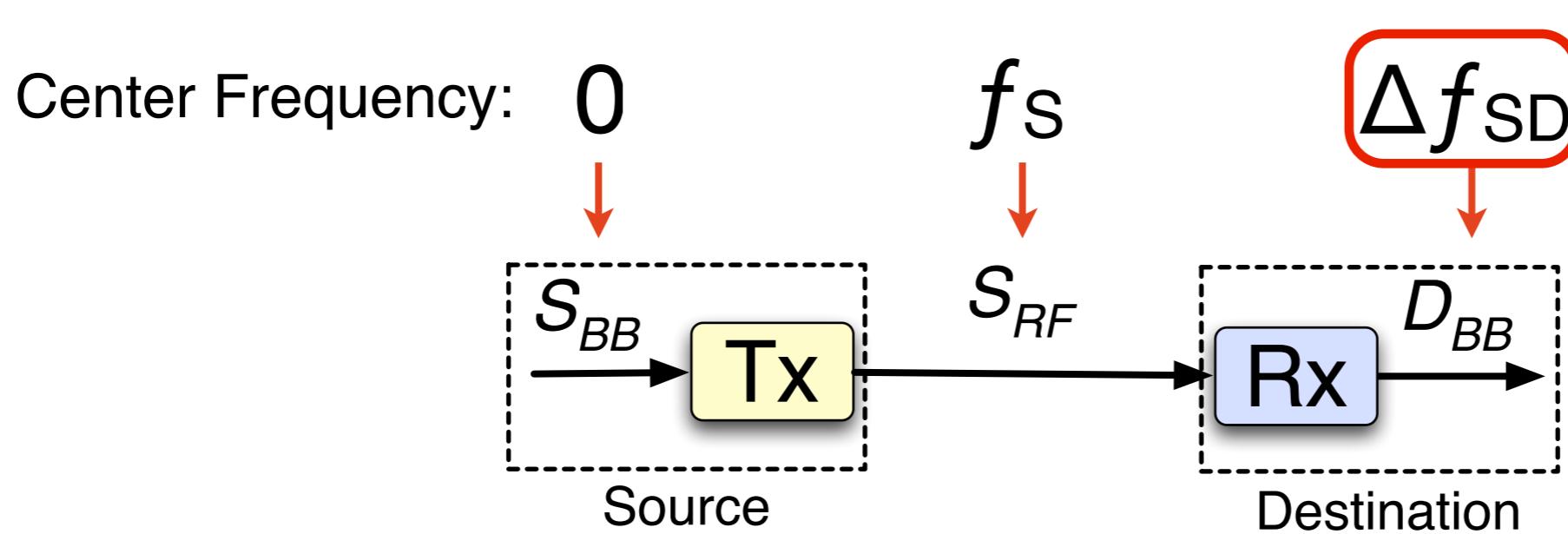
Same
(with high probability for adjacent ~2 msec slots)

Carrier Frequency Offset

- CFO with Amplify and Forward
- CFO with Decode and Forward

Carrier Frequency Offset

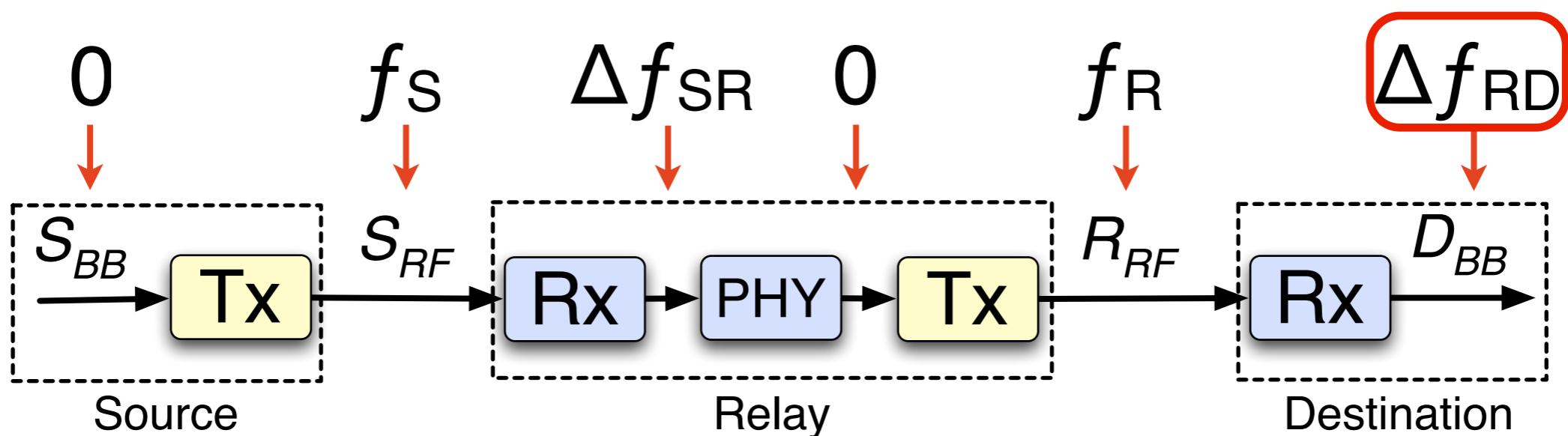
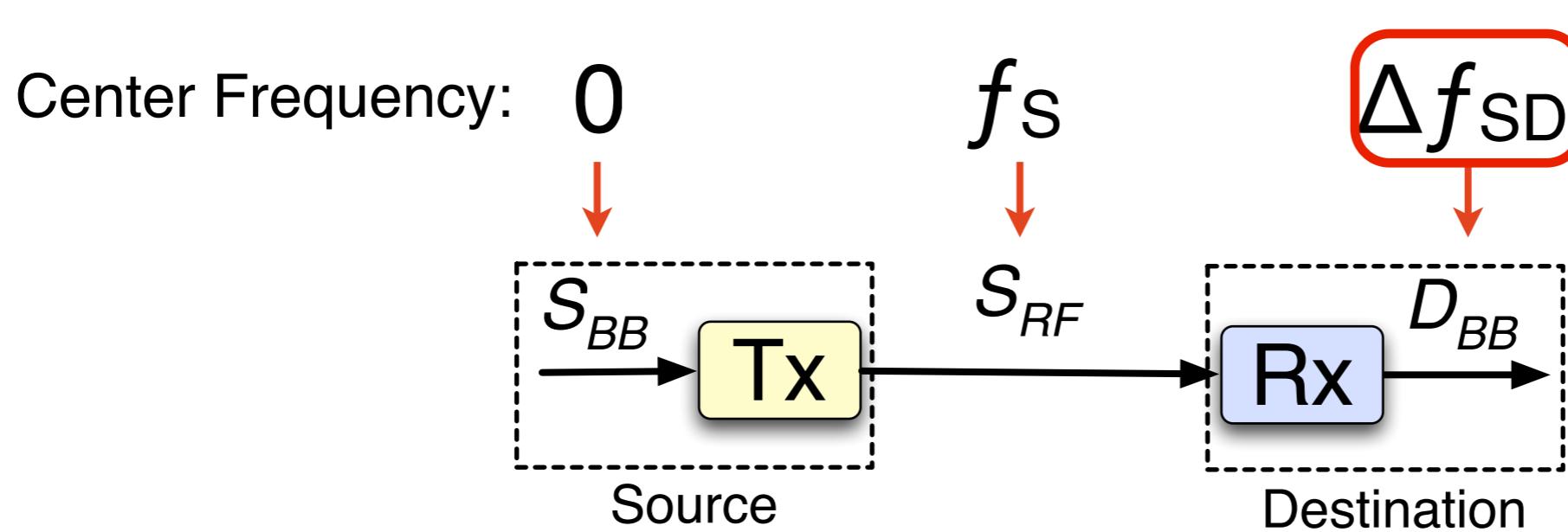
CFO in Amplify and Forward



- Inter-Tx CFO in AF is almost trivial
- Coherence time of oscillators still matters

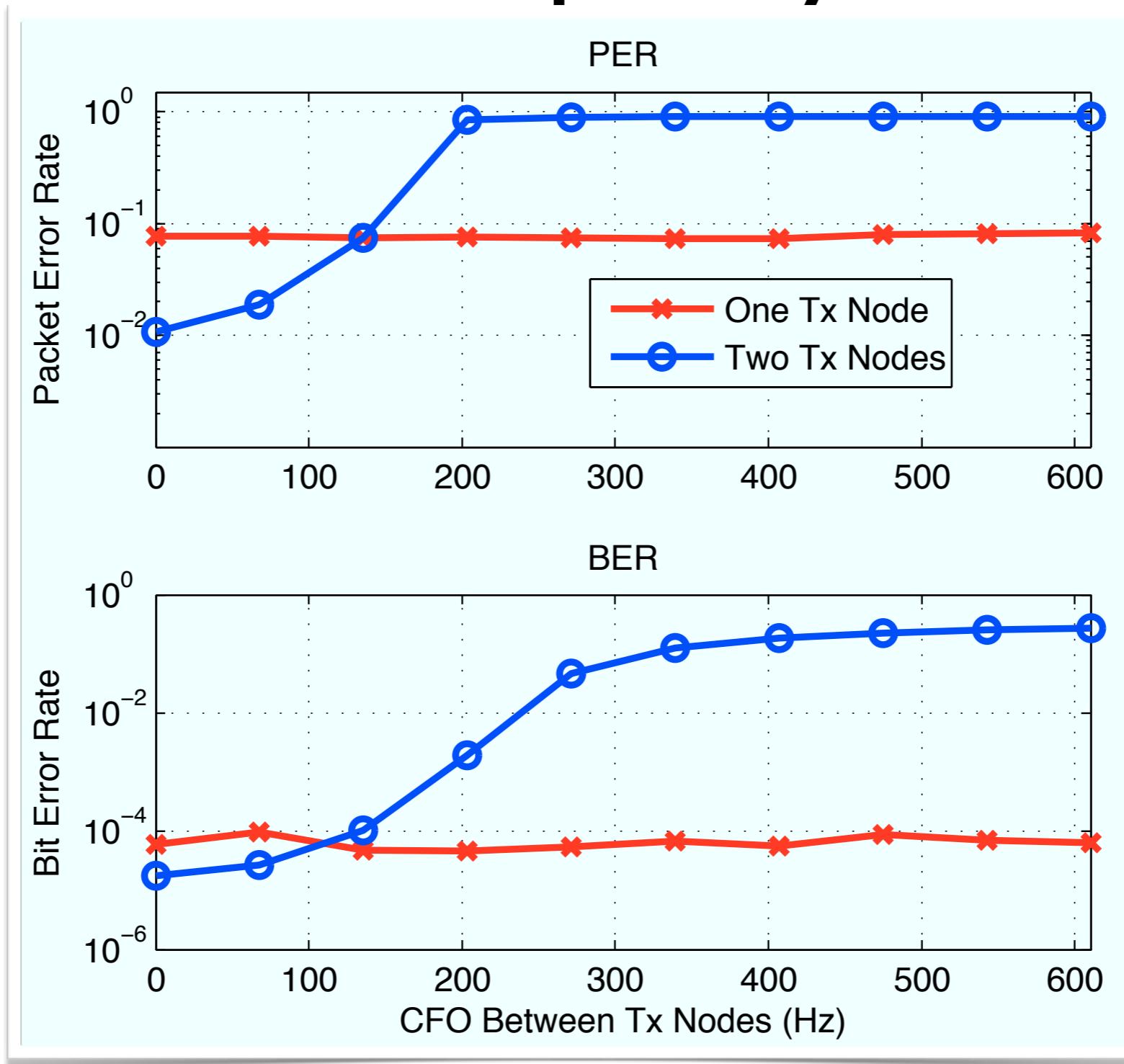
Carrier Frequency Offset

CFO in Decode and Forward



- Inter-Tx CFO in DF is harder
- How bad?

Carrier Frequency Offset

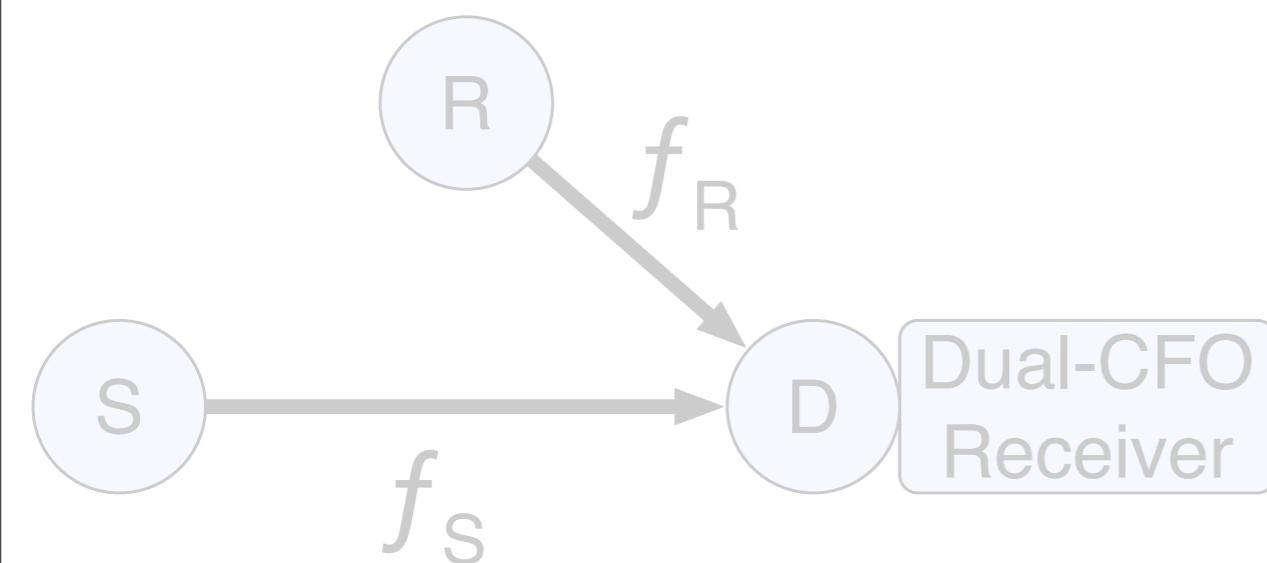


- *Experimental results*
- *1412 byte 16-QAM packets*
- *Frequency flat fading, high average SNR*

Carrier Frequency Offset

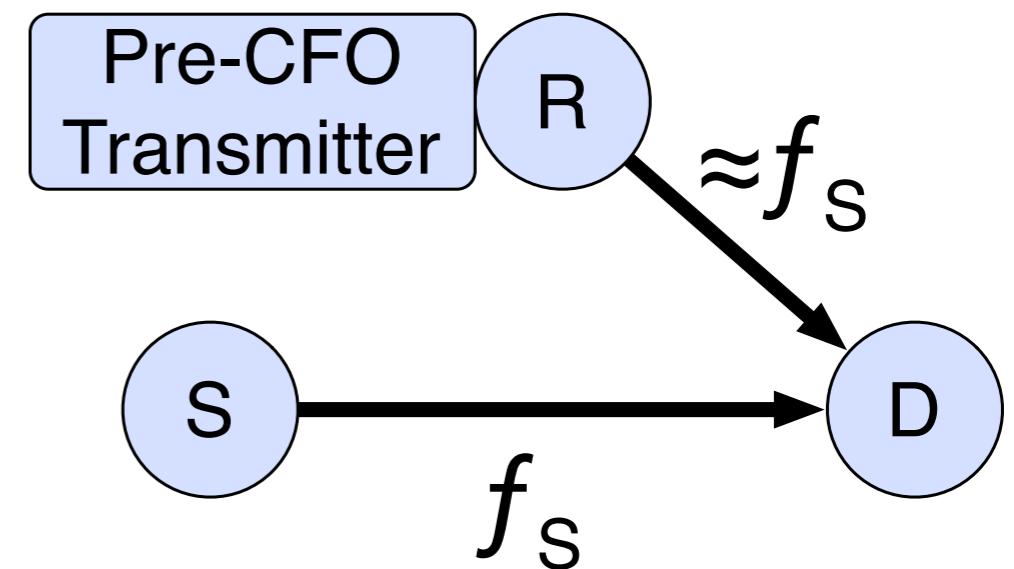
CFO in Decode and Forward

Fix it at the Destination



- Simple relay
- *Really complicated destination*
- Requires lots of estimation, overhead

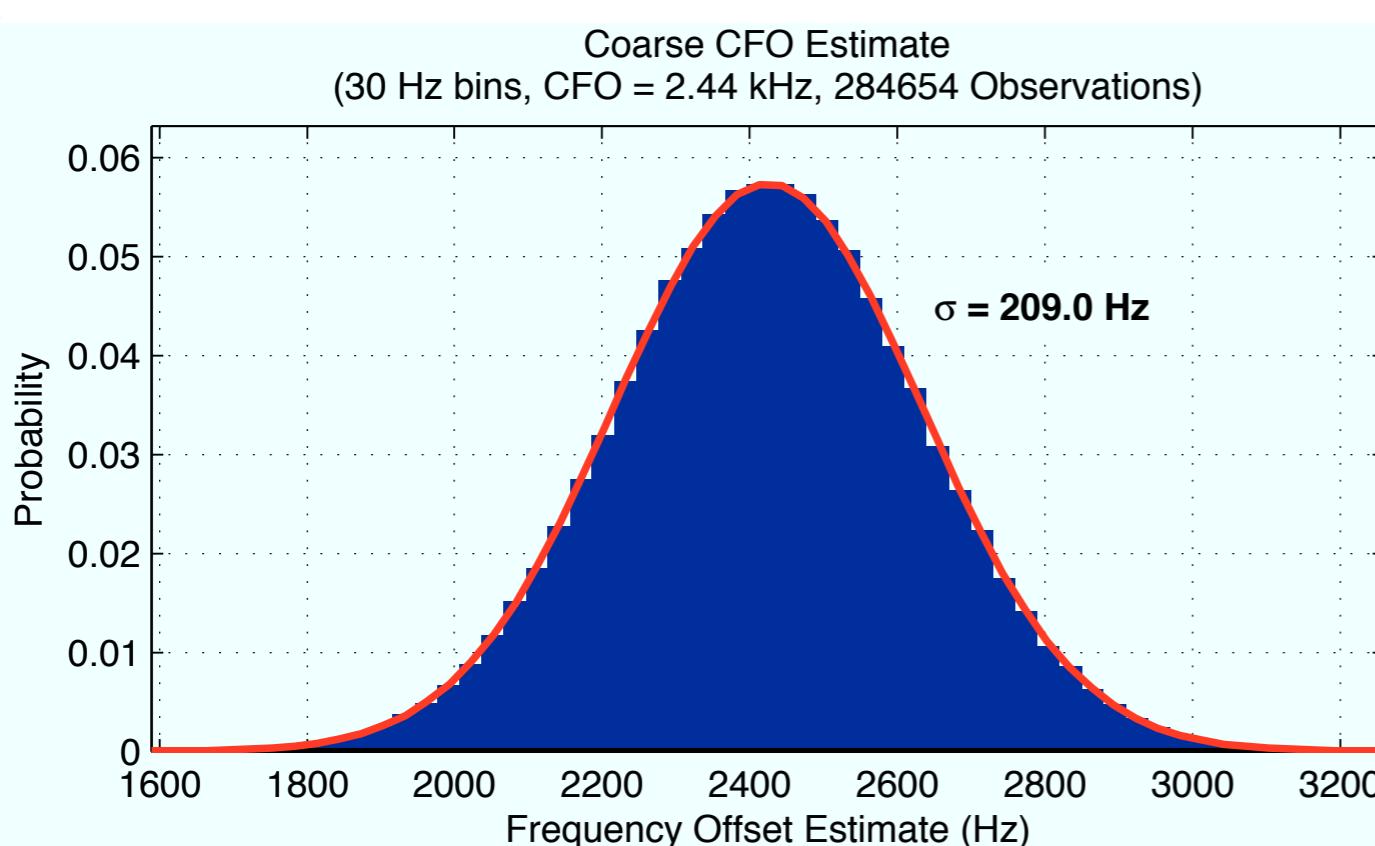
Fix it at the Relay



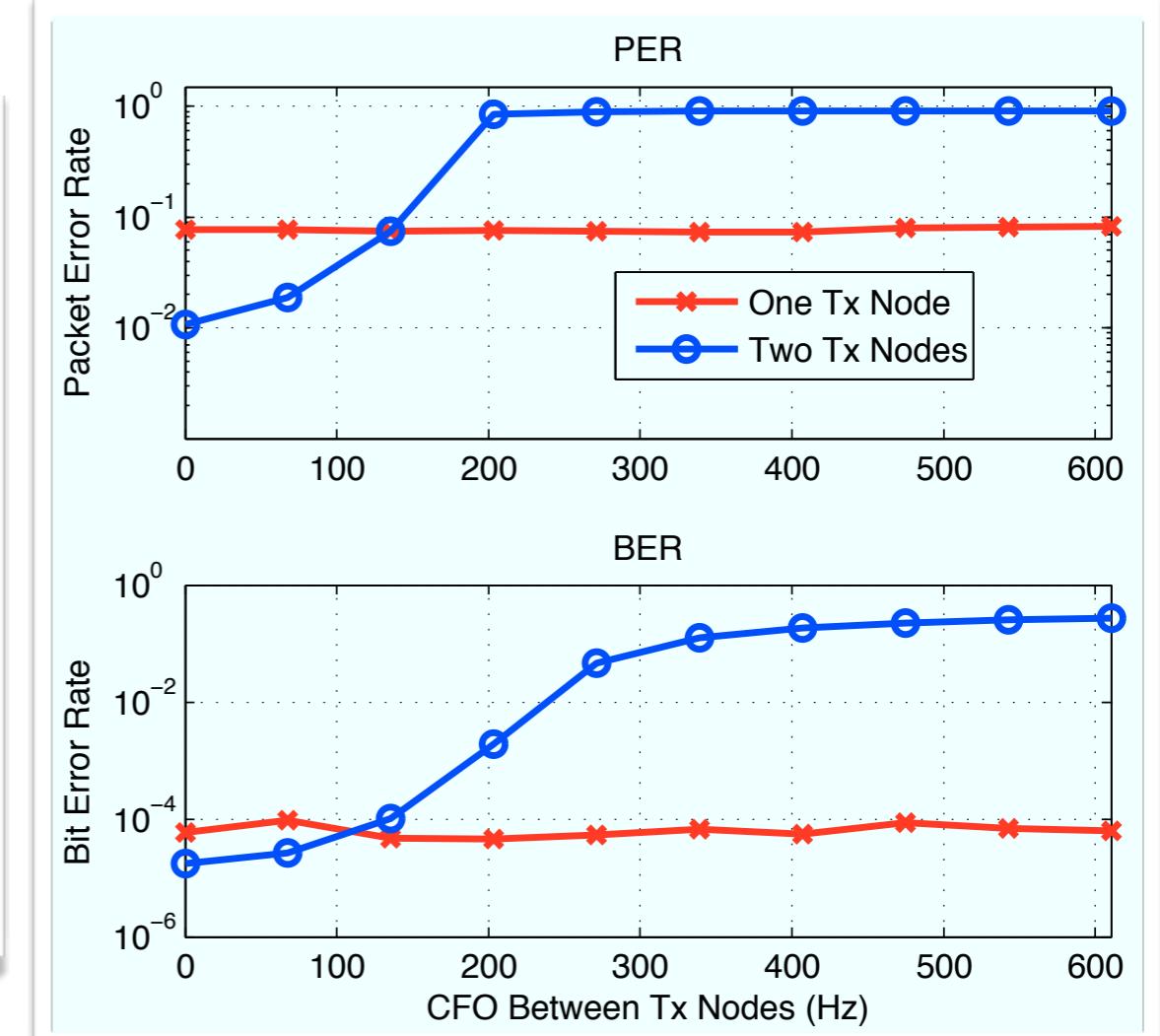
- Simple destination
- Straightforward relay
- Pre-spin by Δf_{SR} is easy
- Requires good Δf_{SR} estimate

Carrier Frequency Offset

CFO in Decode and Forward



Time Domain CFO Estimate

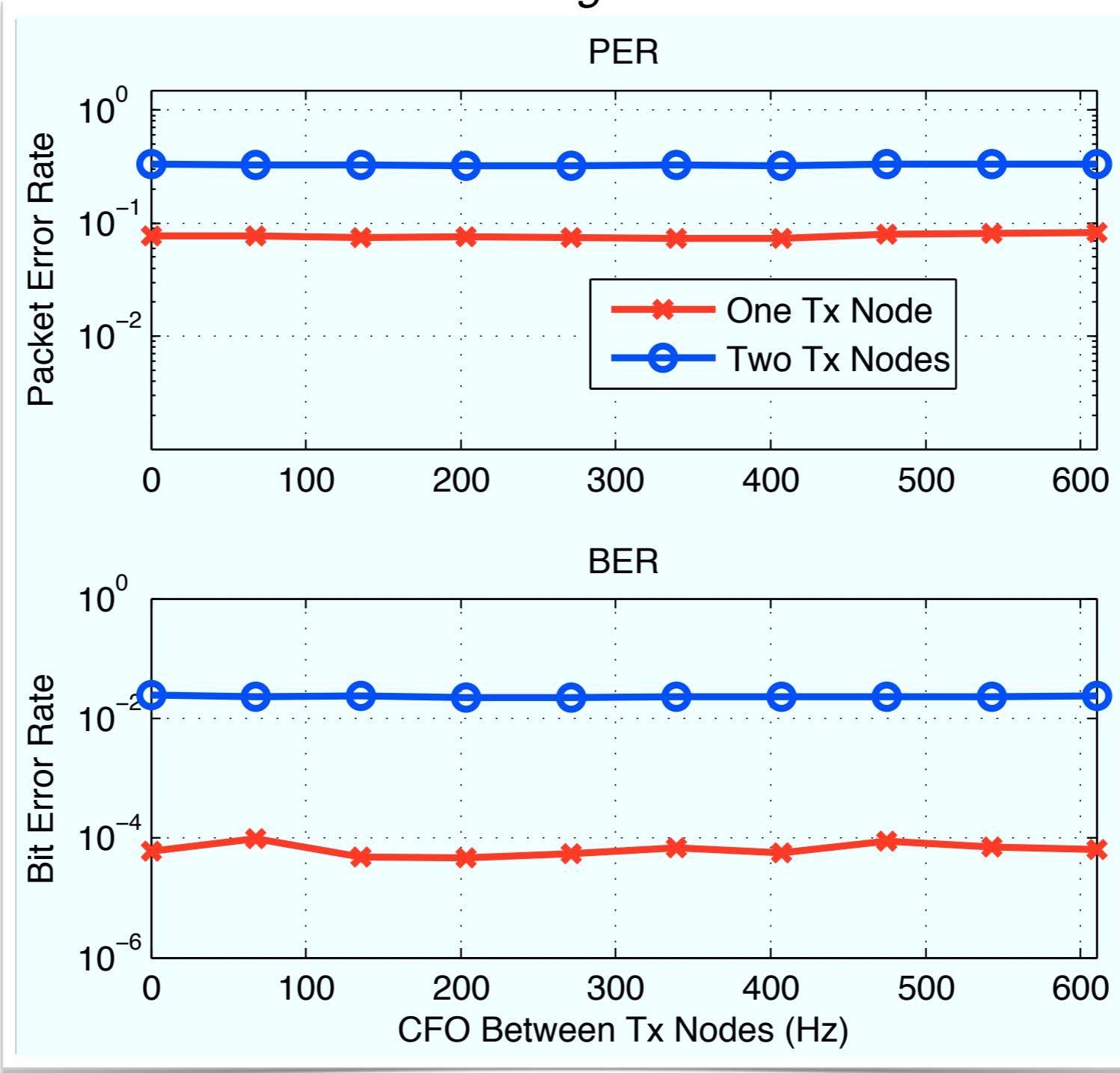


Inter-Tx CFO Impact

Probably not going to work...

Carrier Frequency Offset

CFO Pre-Correction using Time Domain Estimate

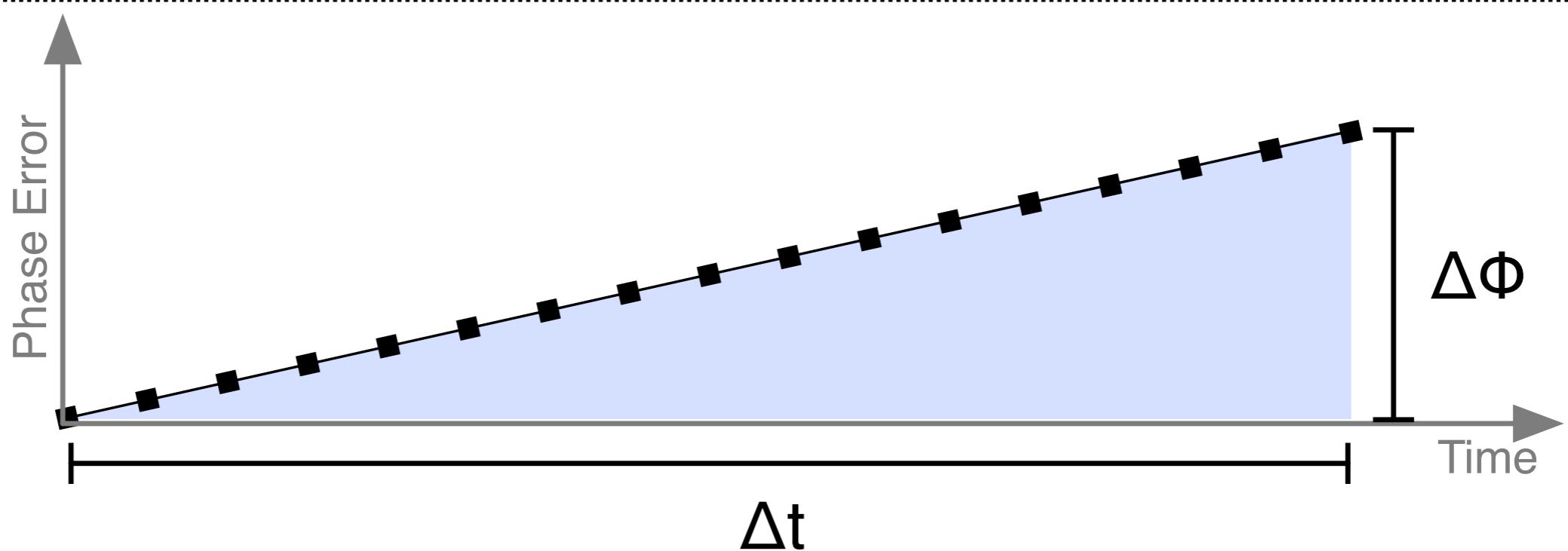
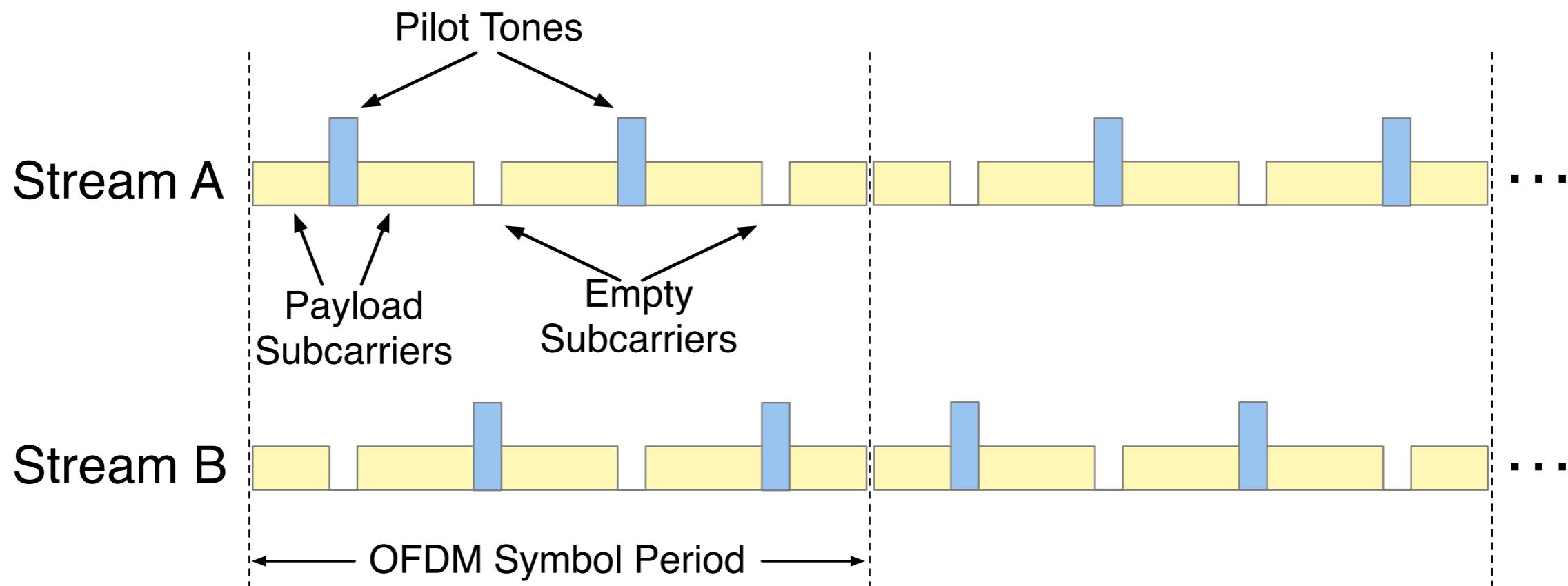


✗ Fail!

How to better estimate CFO?

Carrier Frequency Offset

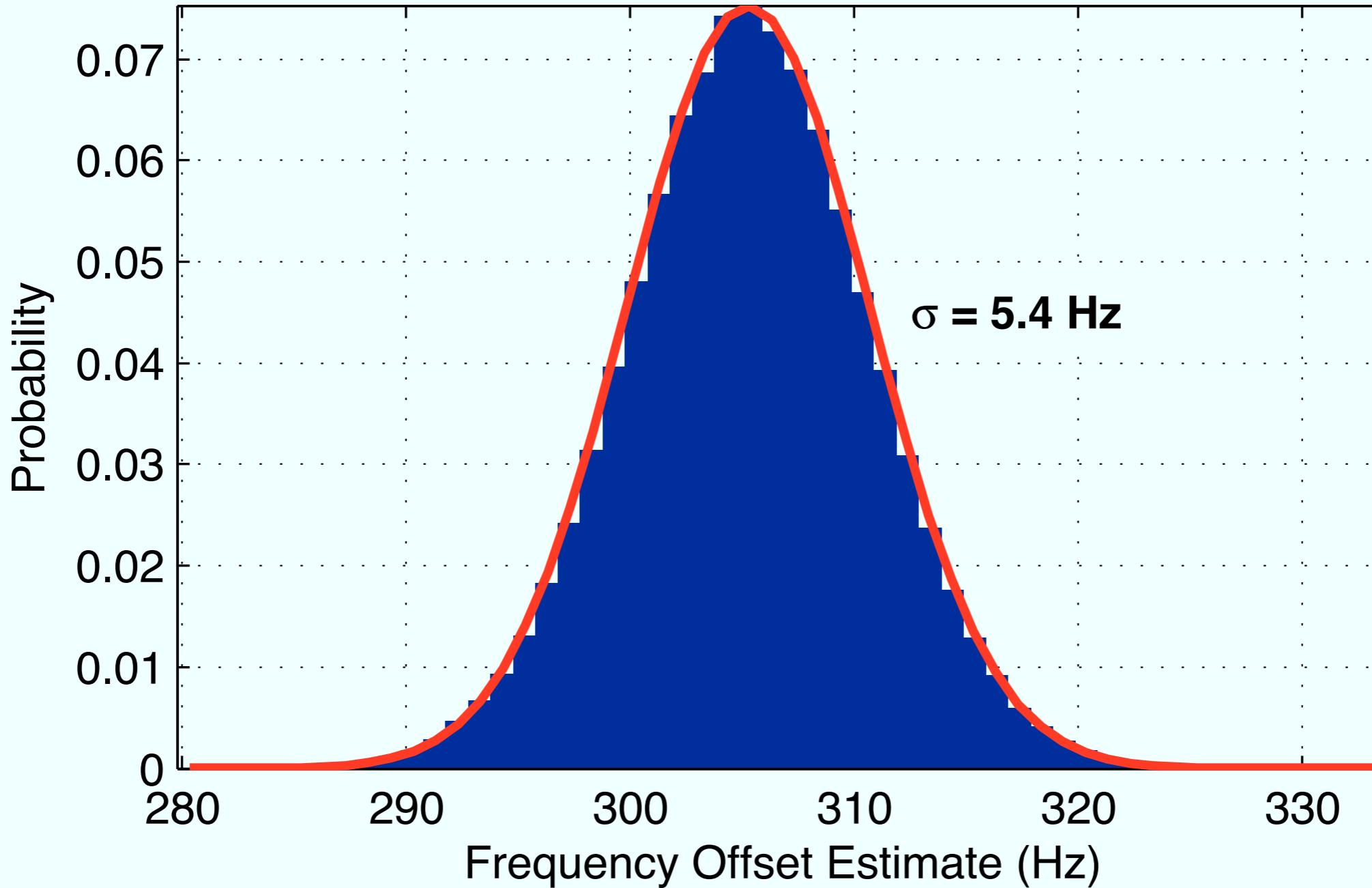
CFO in Decode and Forward



Carrier Frequency Offset

Frequency Domain CFO Estimator

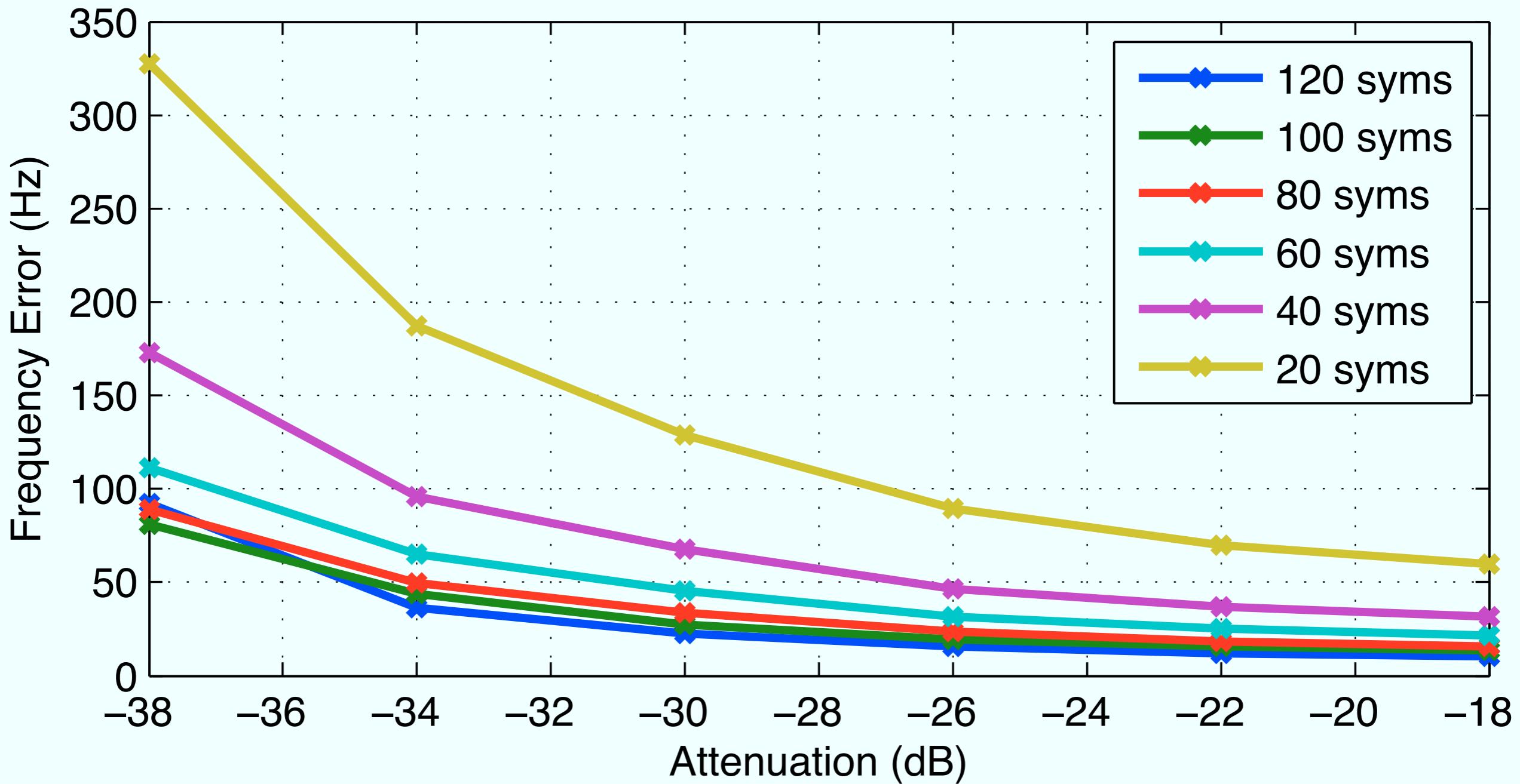
Pilot CFO Estimate
(1 Hz bins, CFO = 305 Hz, 284726 Observations)



Carrier Frequency Offset

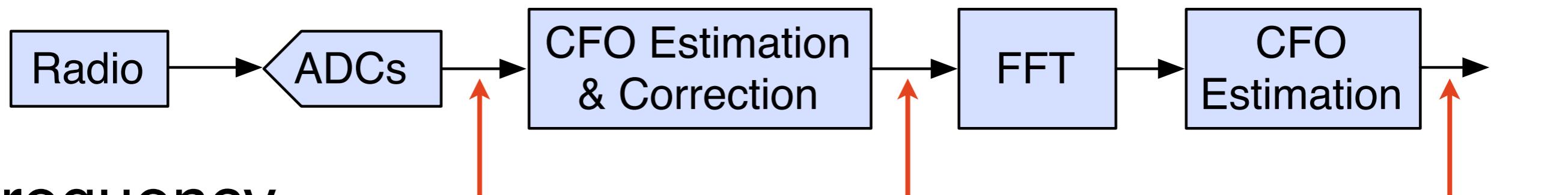
Frequency Domain CFO Estimator

Pilot Estimate Error (2σ) / Residual CFO = 305 Hz



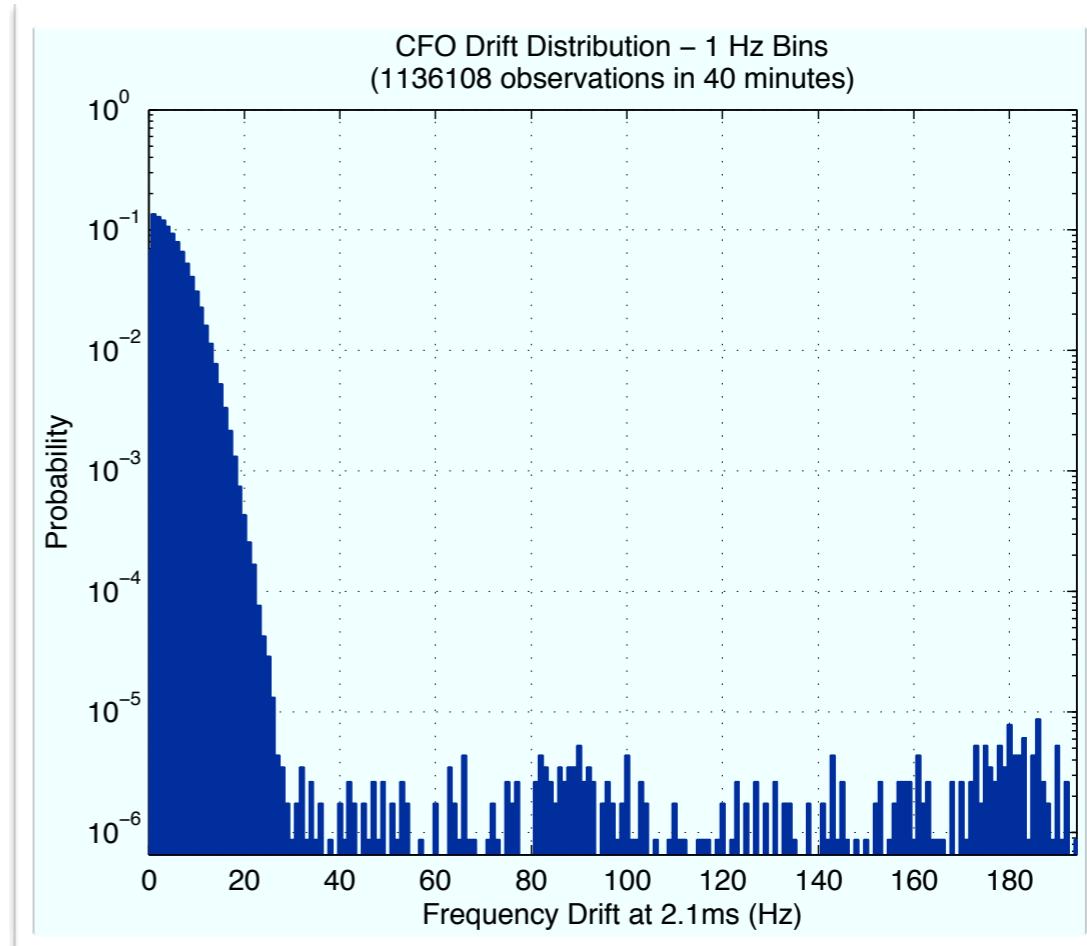
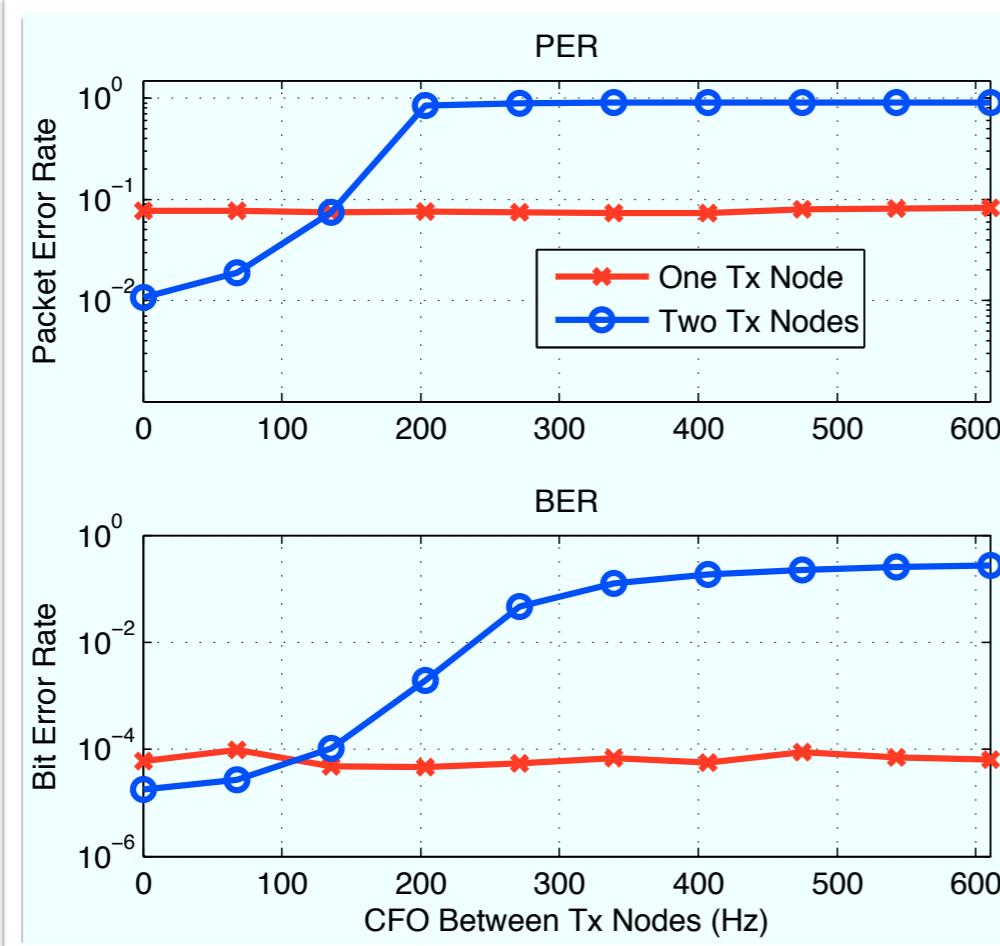
- Experimental results
- AWGN, SISO OFDM

Carrier Frequency Offset



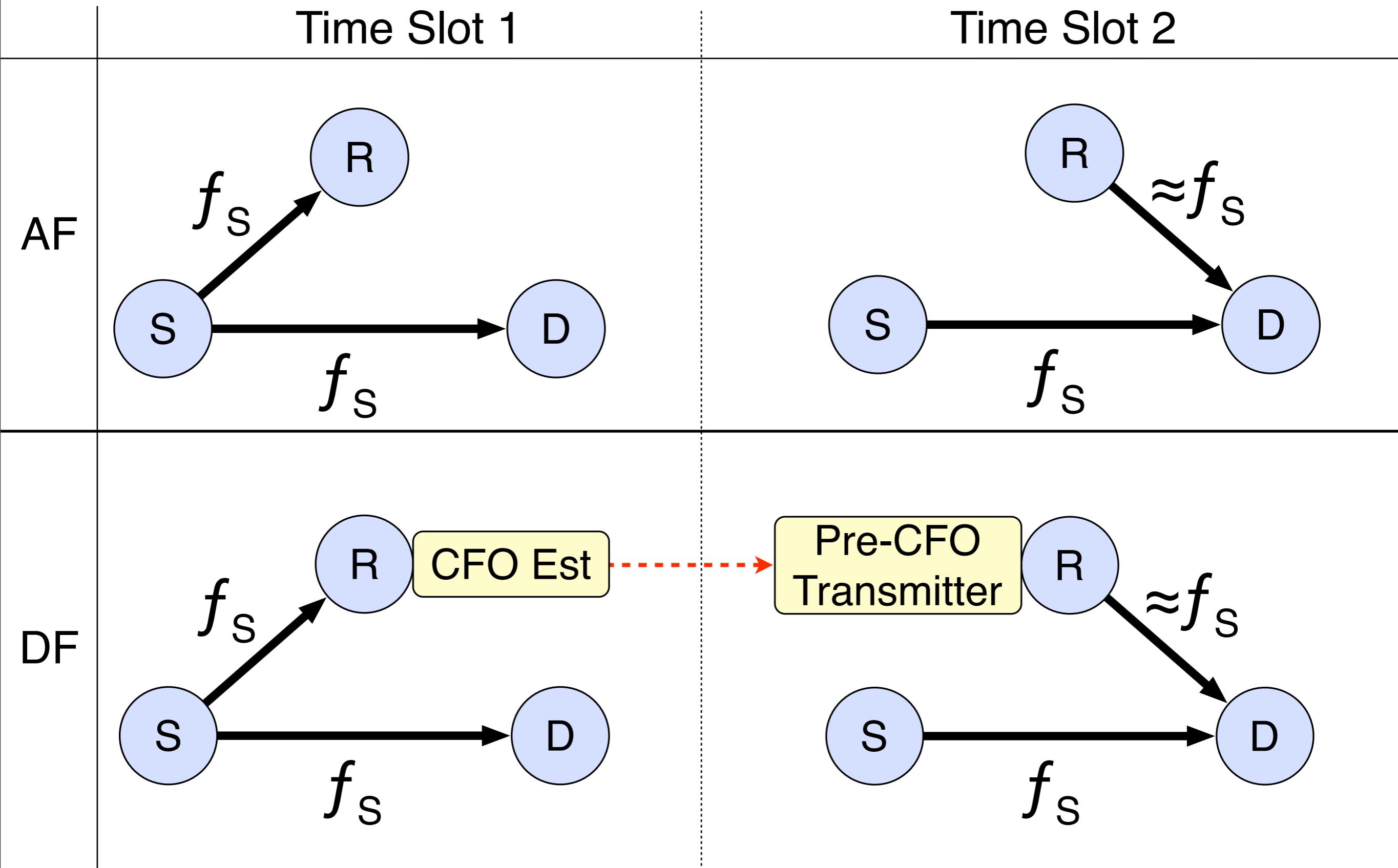
Frequency Error:

$< 20 \text{ kHz}$ $\approx 500 \text{ Hz}$ $\approx 11 \text{ Hz}$



✓ Success!

CFO and Cooperation



Outline

- Brief background
- Carrier frequency offsets
- **Experiment design**
- Characterization results
- Future work

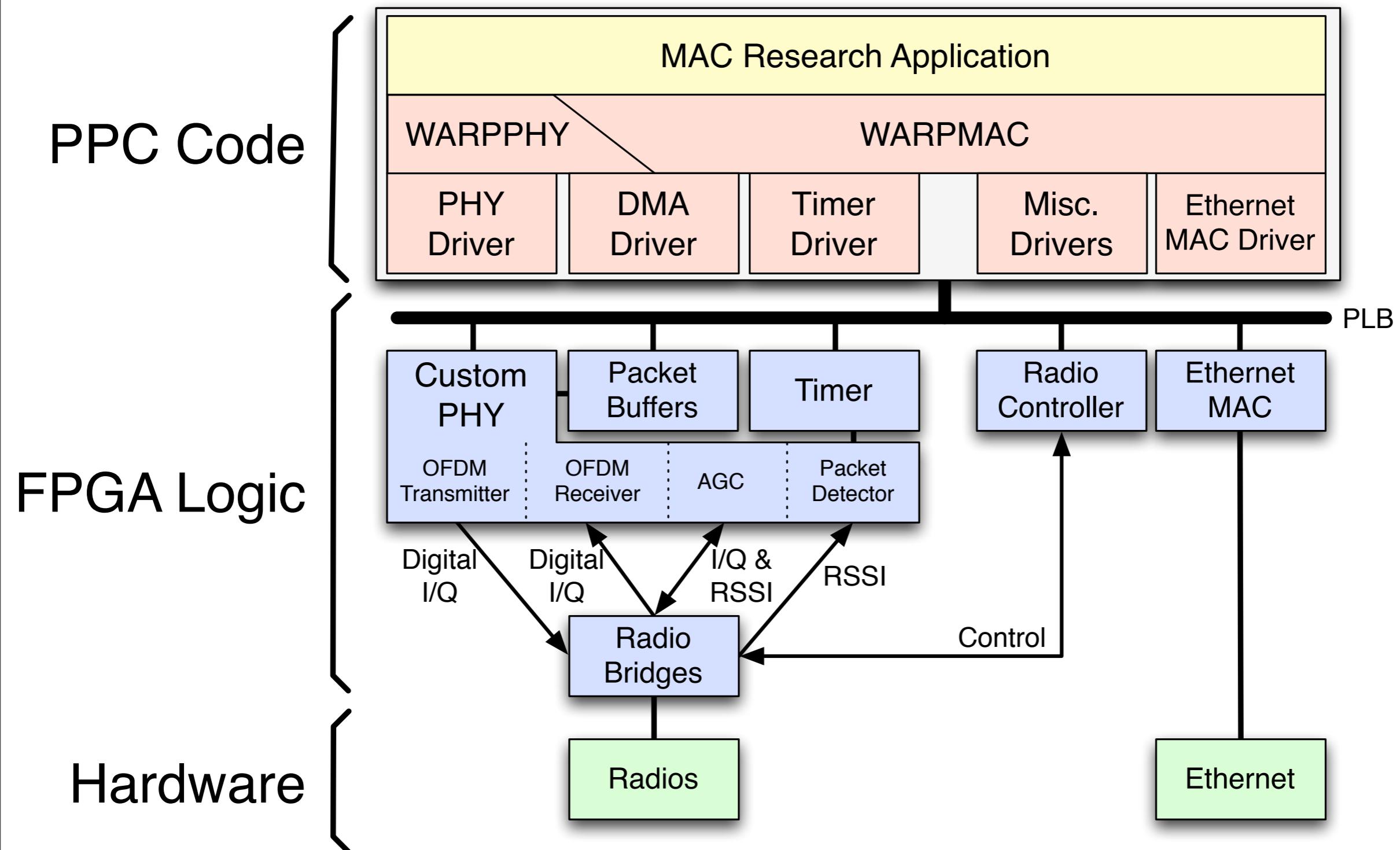
Experiment Design

- How to integrate everything?
- How to control propagation?
- What variables to sweep?
- What to measure?
- How to coordinate everything?

Experiment Design

- How to integrate everything?
- How to control propagation?
- What variables to sweep?
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- How to coordinate everything?

Design Integration



Design Integration

- One design implements everything
 - OFDM Tx/Rx processing
 - Source/Relay/Destination roles
 - NC/AF/DF per-packet
 - MAC protocol support
- Already posted on WARP site as OFDM Reference Design v15



Design Integration

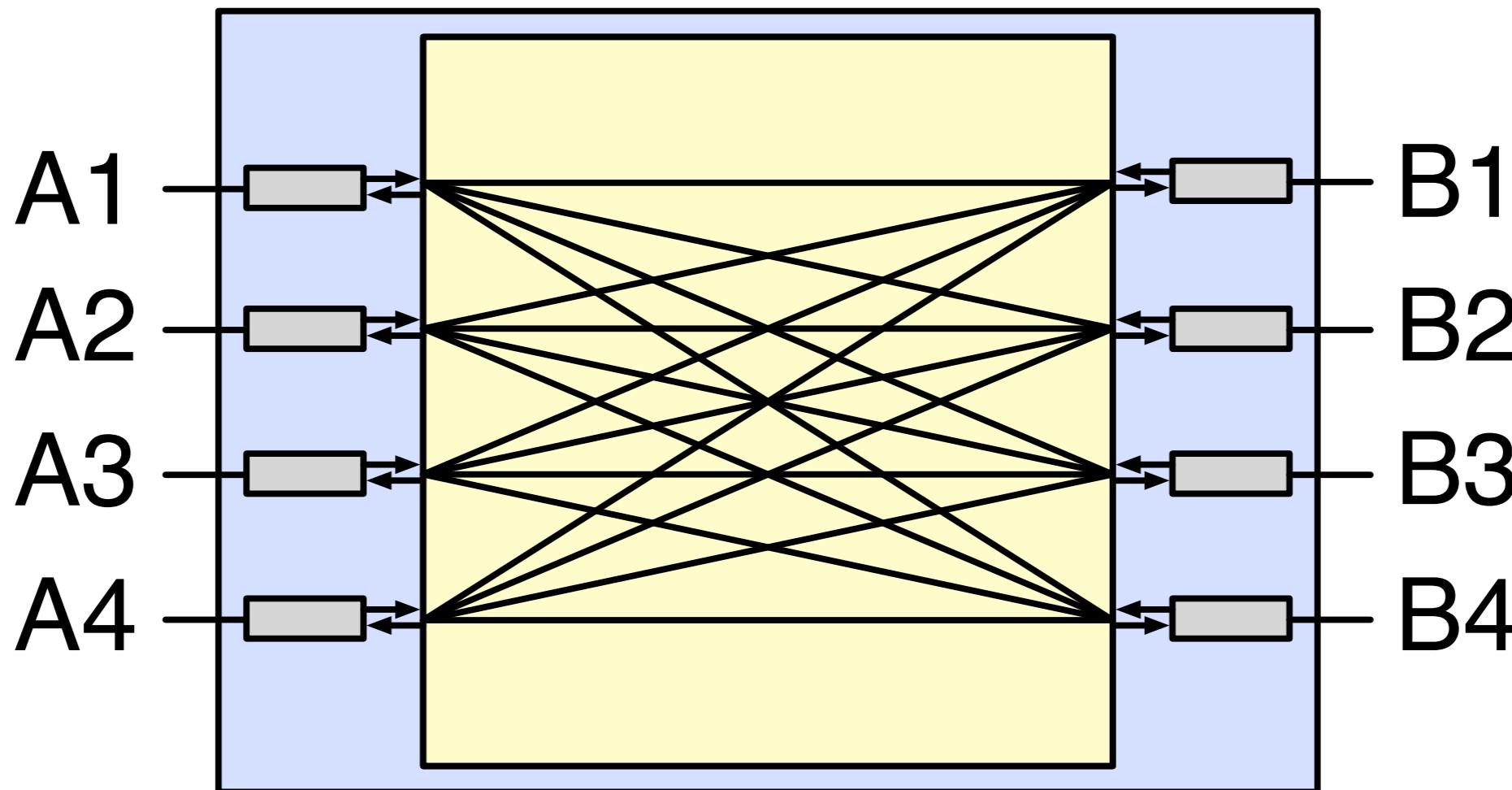
FPGA Resource	PHY Tx	PHY Rx	Full Design	Available (XC2VP70)
Logic Slices	4512	10360	29182	33088
Multipliers	88	122	214	328
Block RAMs	8	140	309	328
I/O	—	—	548	964

Experiment Design

- How to integrate everything?
- **How to control propagation?**
- What variables to sweep?
- What to measure?
- How to coordinate everything?

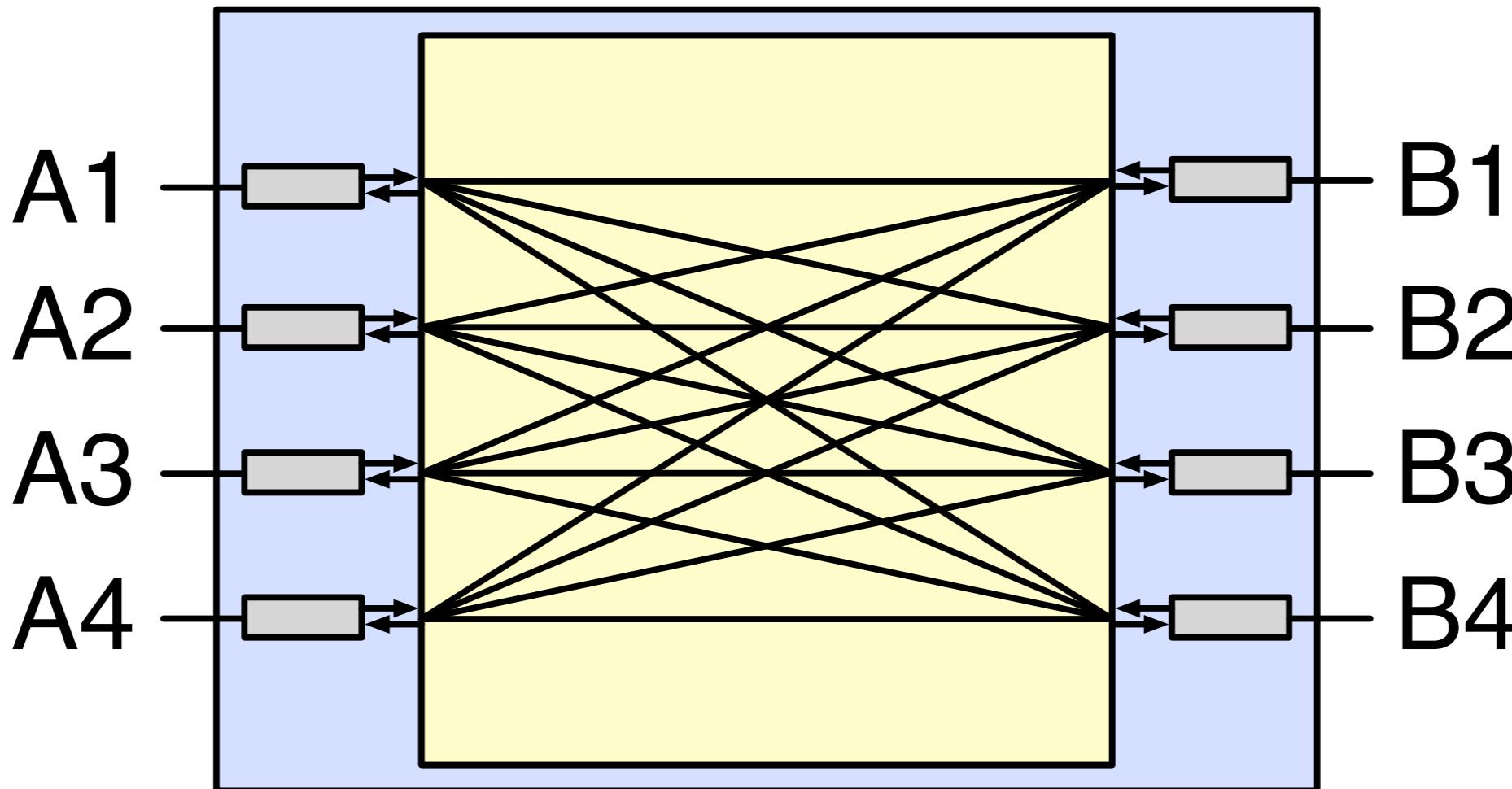
Channel Emulator

Azimuth ACE 400WB



Channel Emulator

Azimuth ACE 400WB

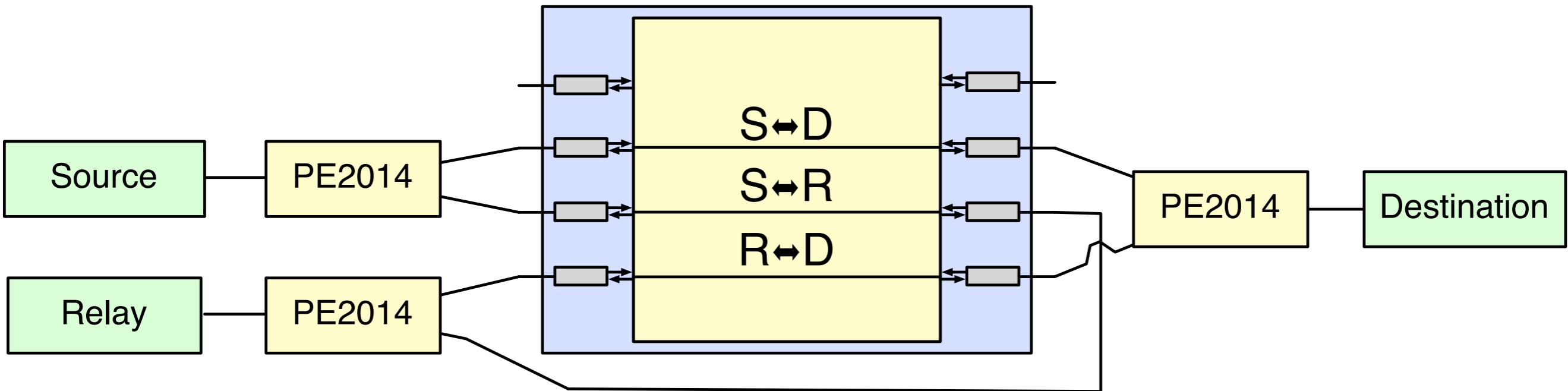


Two limitations:

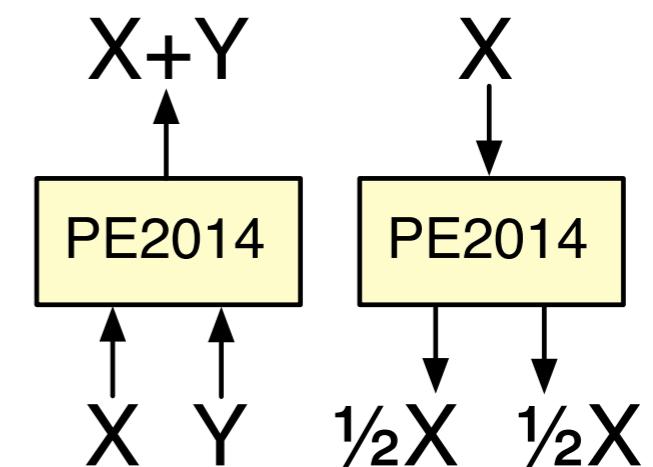
- One channel model per output
- Only constructs A↔B paths

Channel Emulator

Cooperative Experiment Connections



- Three independent paths
- All nodes inter-connected



Channel Emulator

Cooperative Experiment Connections

RF
Cabling
Channel
Emulator



**WARP
Nodes**

Source

Relay

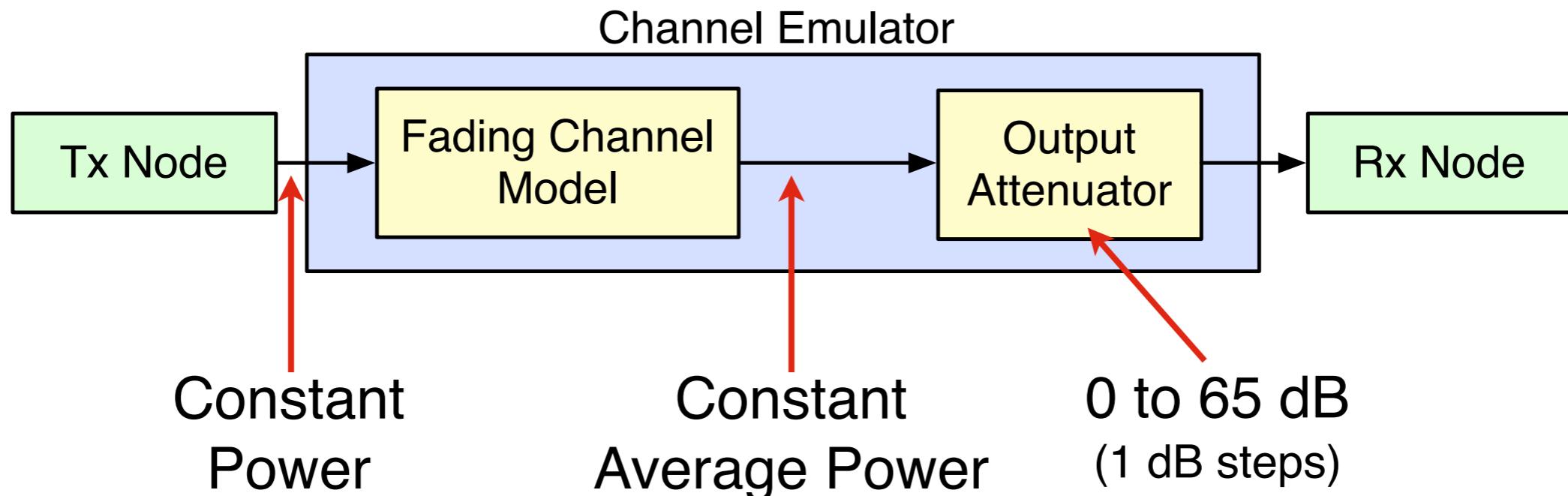
Destination

Cheesy photo © 2010 by Chris Hunter

Experiment Design

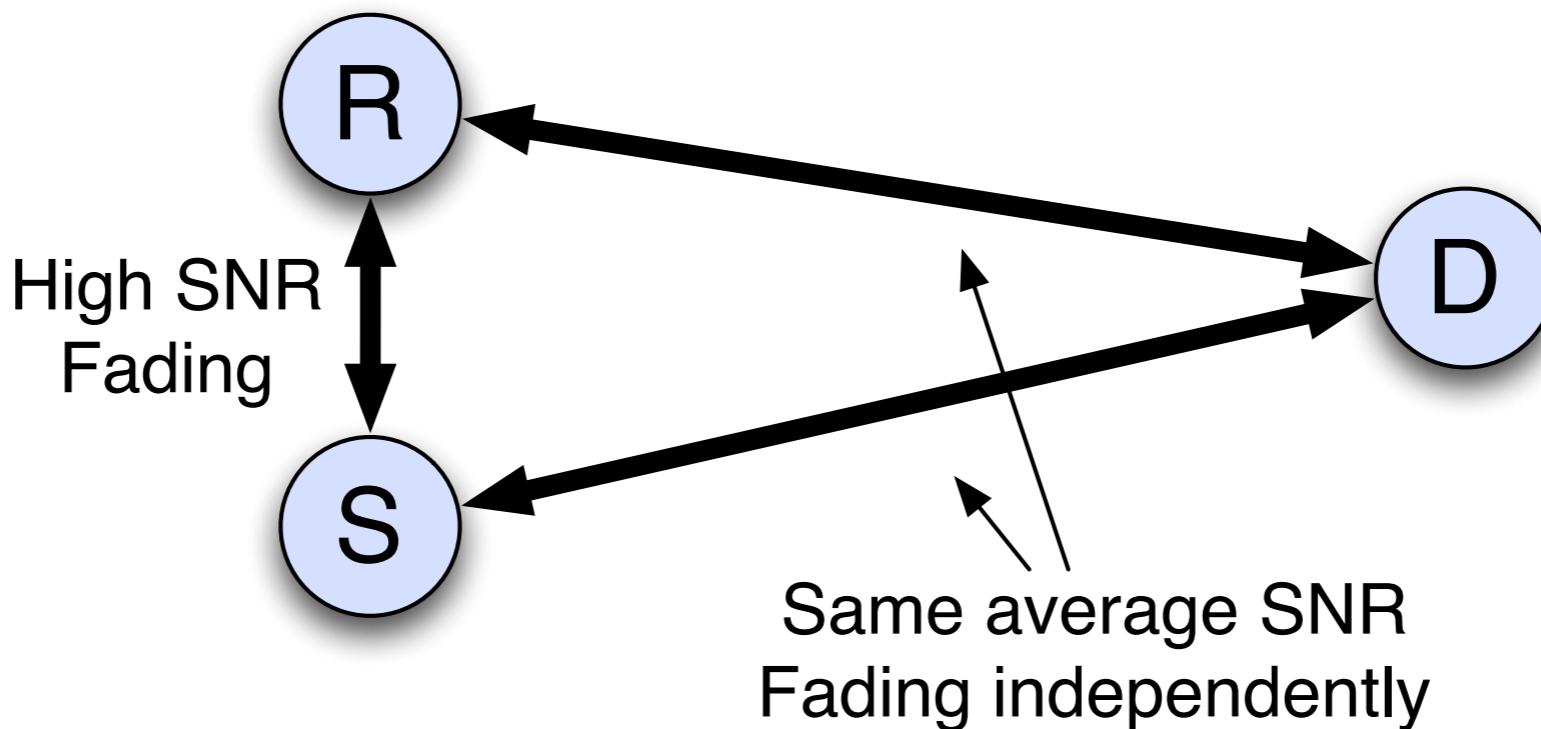
- How to integrate everything?
- How to control propagation?
- **What variables to sweep?**
- What to measure?
- How to coordinate everything?

Topologies



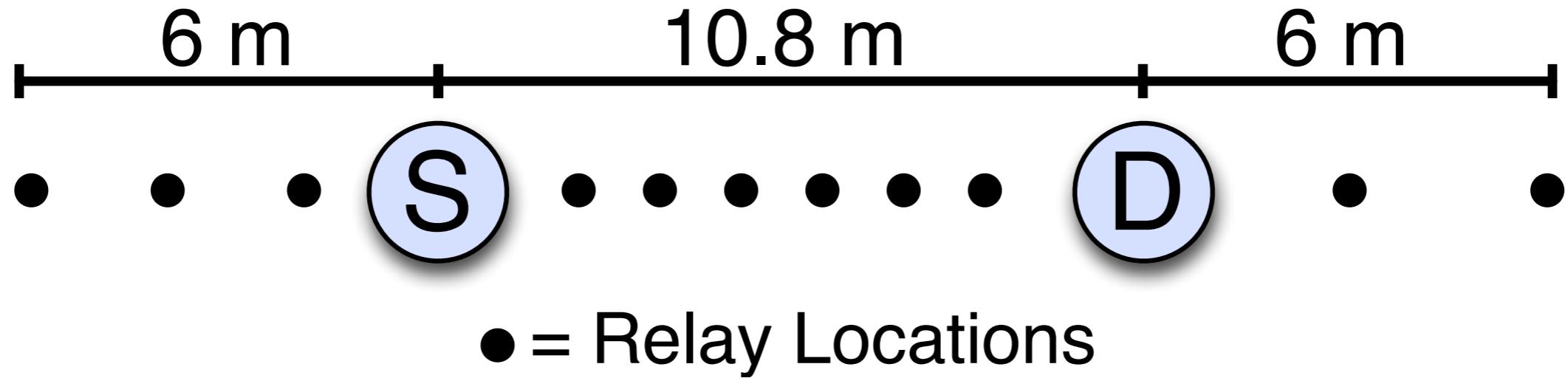
- Performance depends on relative node distances
- Emulate distances with average path loss
- Controlled only via emulator - **no change to nodes**
- Full sweep of 66^3 attenuation combinations infeasible

Co-located Source/Relay



- All links are fading
- SR is fixed at high average SNR
- Parameterized by common SD/RD average SNR
- Interesting usage case for cooperation

Linear Topology



- All links are fading
- SD link is fixed at moderate average SNR
- Parameterized by relay location along SD line

Experiment Design

- How to integrate everything?
- How to control propagation?
- What variables to sweep?
- What to measure?
- How to coordinate everything?

Metrics

Packet Error Rate

N_{Tx} : Number of packets transmitted

N_{RxGood} : Number of packets received with no bit errors

$$PER = \frac{N_{Tx} - N_{RxGood}}{N_{Tx}}$$

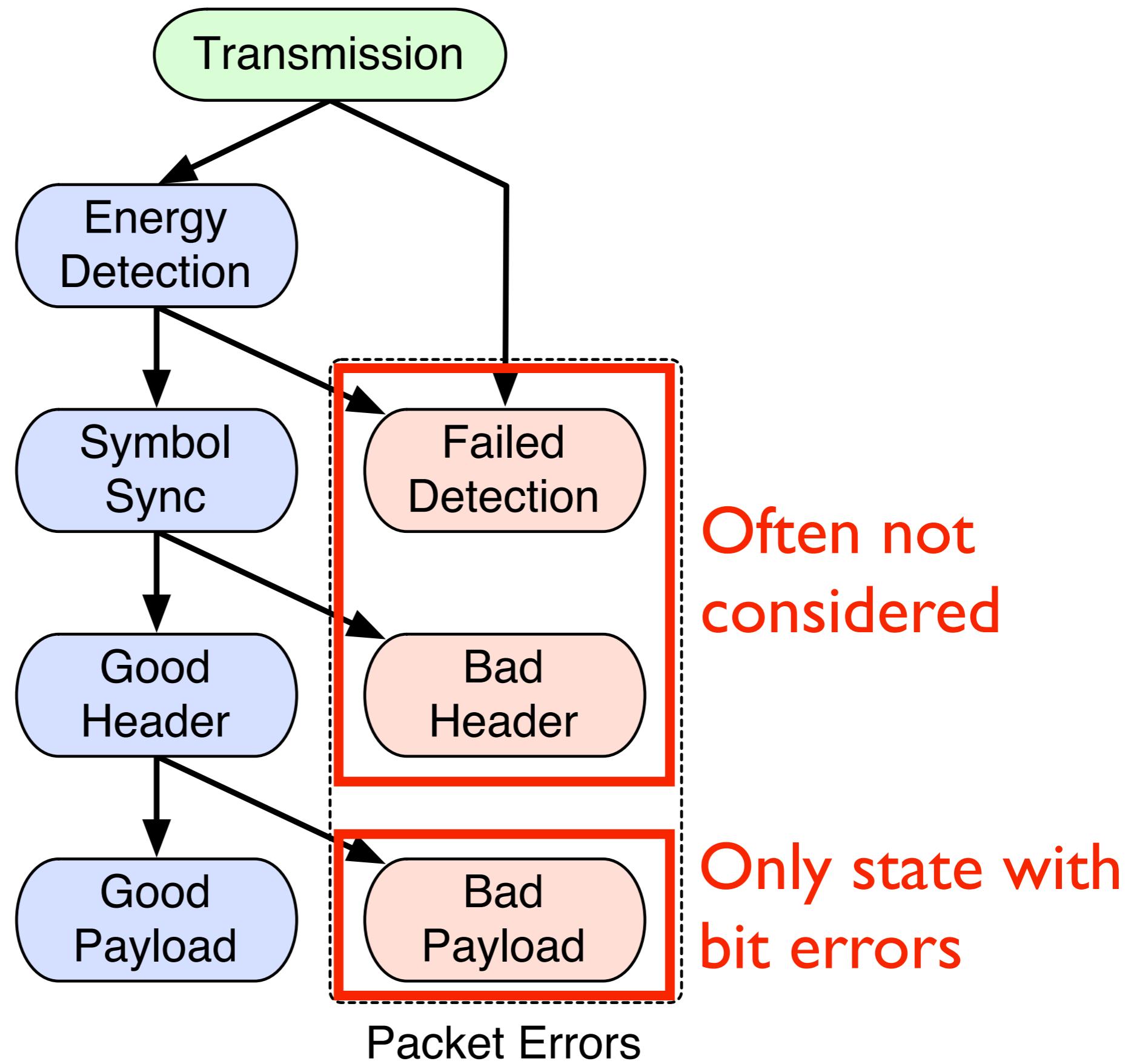
Bit Error Rate

B_{Error} : Number of bit errors observed

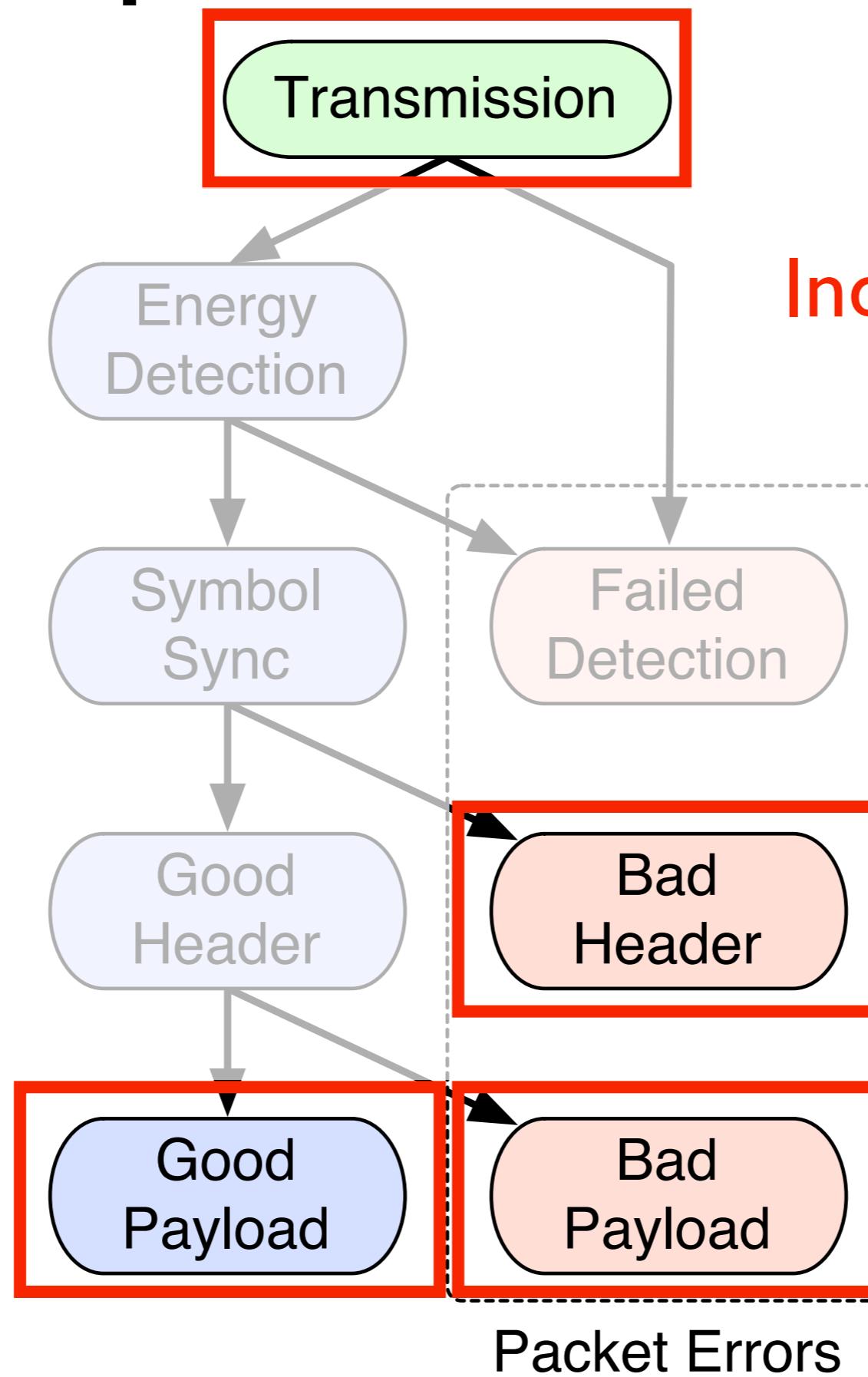
$$BER = \frac{B_{Error}}{B_{Total}}$$

B_{Total} : Number of bits processed

Reception Outcomes



Reception Outcomes

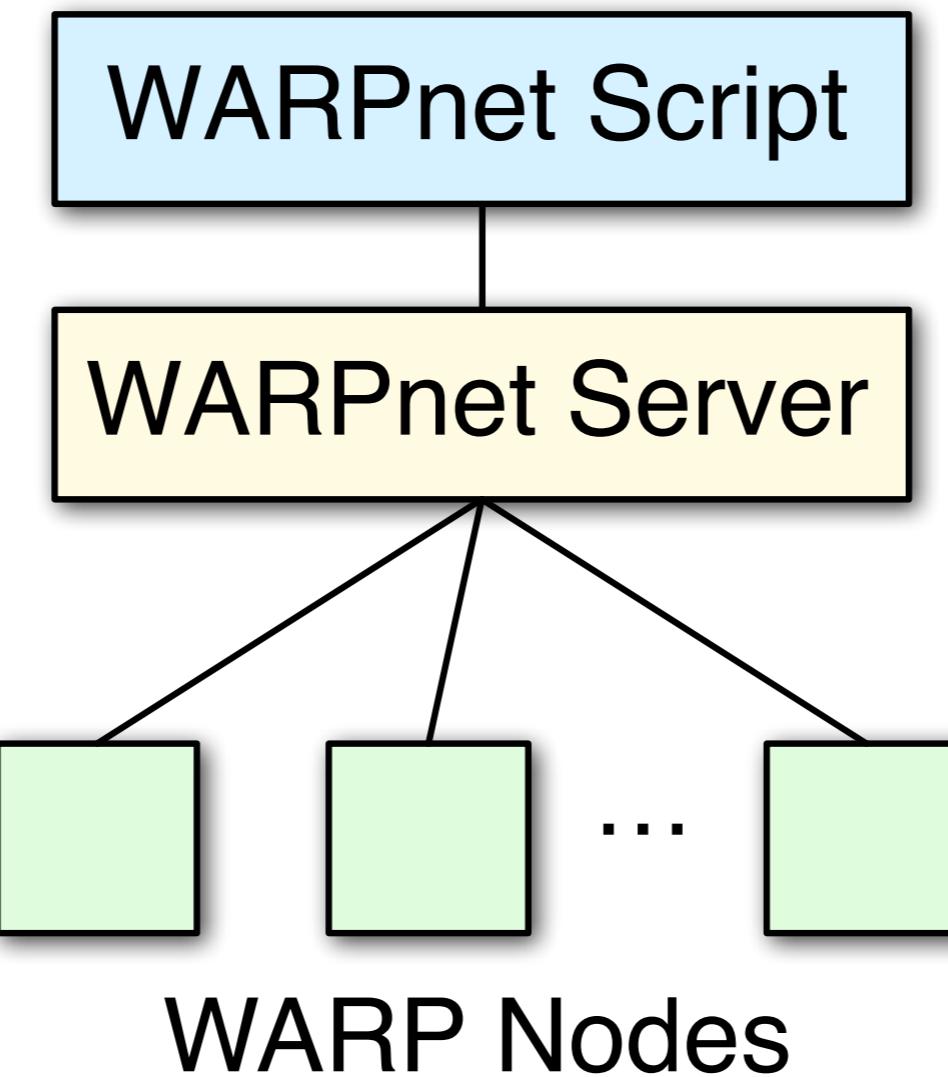


Experiment Design

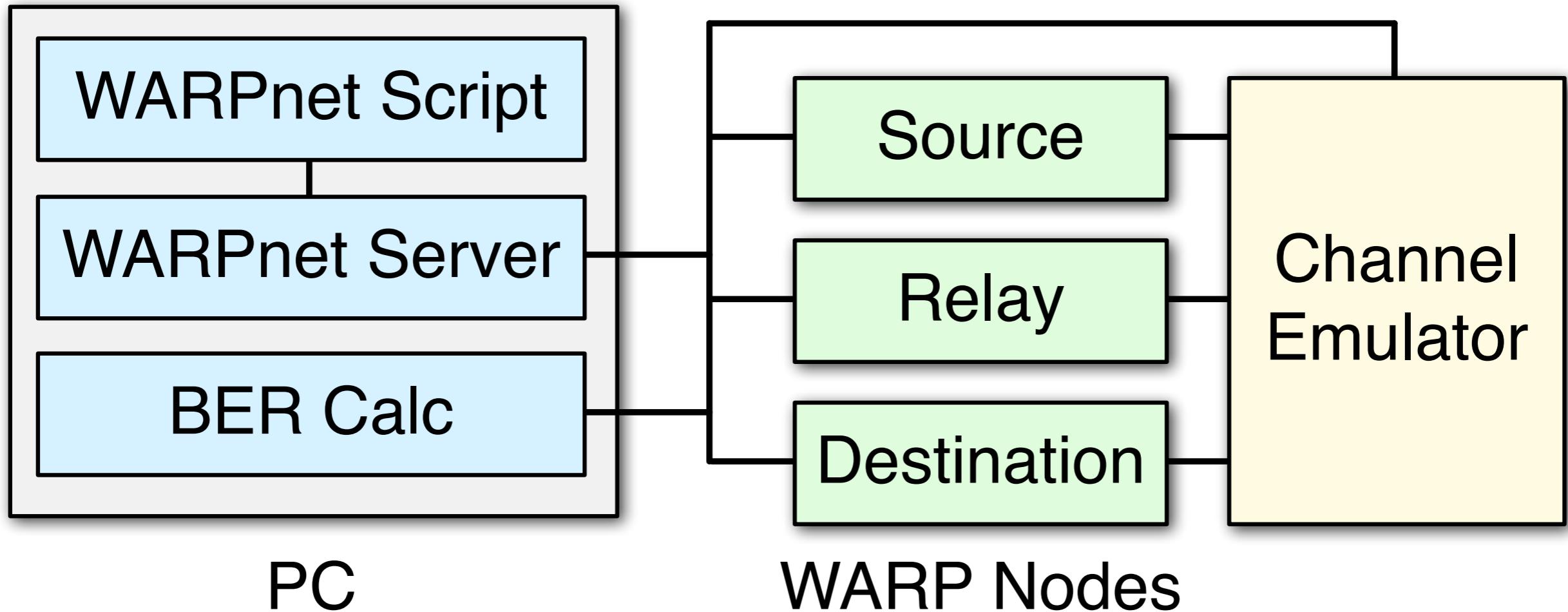
- How to integrate everything?
- How to control propagation?
- What variables to sweep?
- What to measure?
- How to coordinate everything?

WARPnet Framework

- Automated testing for WARP nodes
- Abstraction between script and nodes
- All custom Python and open-source
- Thanks Sid!



WARPnet Framework



- BER co-processor mimics a node
- Gathers PER/BER in one experiment
- One Python script controls everything

Experiment Design

- How to integrate everything? ✓ Single FPGA design
- How to control propagation? ✓ Channel emulator
- What variables to sweep?
✓ Attenuations for interesting topologies
- What to measure?
✓ Bit & packet errors
- How to coordinate everything? ✓ WARPnet

Experimental Results

- Iterated on every combination of:
 - [isoTri, eqTri, lin10, lin18] topologies
 - [QPSK, 16-QAM] modulation
 - [1412, 692] byte payloads
 - Frequency [flat, selective] fading
 - [NC, AF, DF] schemes
 - (plus [MHOP, AFGH] in linear topologies)

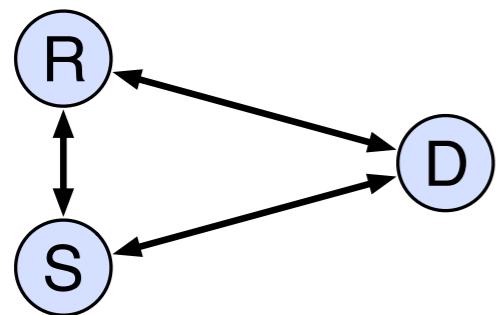
Experimental Results

- Iterated on every combination of:

10 x	4	• [isoTri, eqTri, lin10, lin18] topologies
x	2	• [QPSK, 16-QAM] modulation
x	2	• [1412, 692] byte payloads
x	2	• Frequency [flat, selective] fading
x	3	• [NC, AF, DF] schemes (plus [MHOP, AFGH] in linear topologies)
		• 300+ million transmissions total

Experimental Results

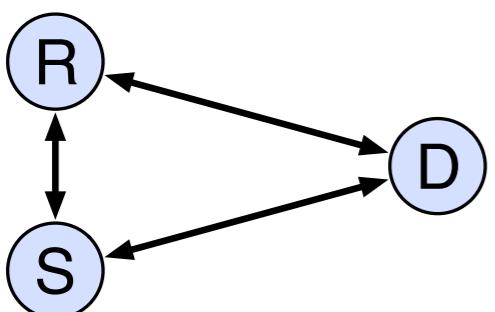
- Iterated on every combination of:
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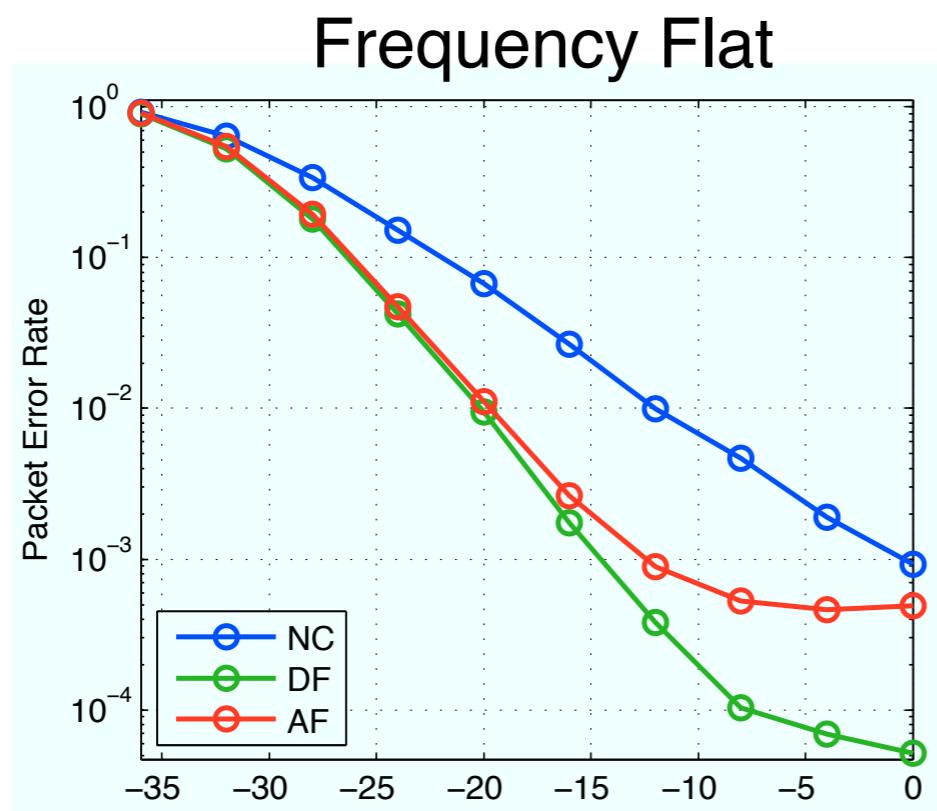
PER

BER

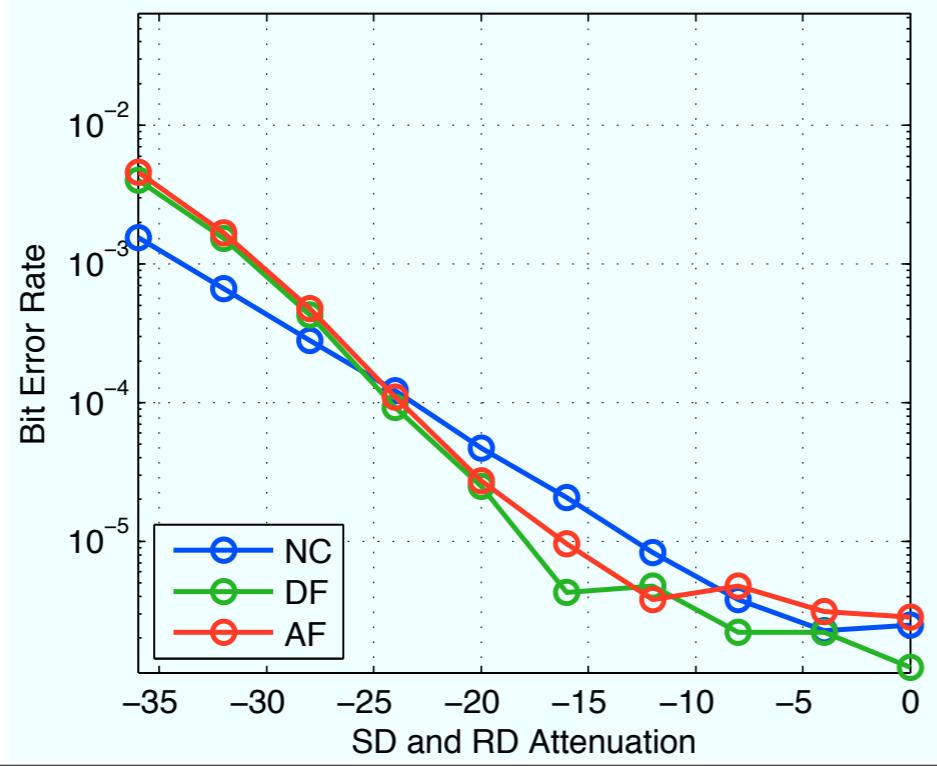
QPSK
1412 bytes
6.9M packets



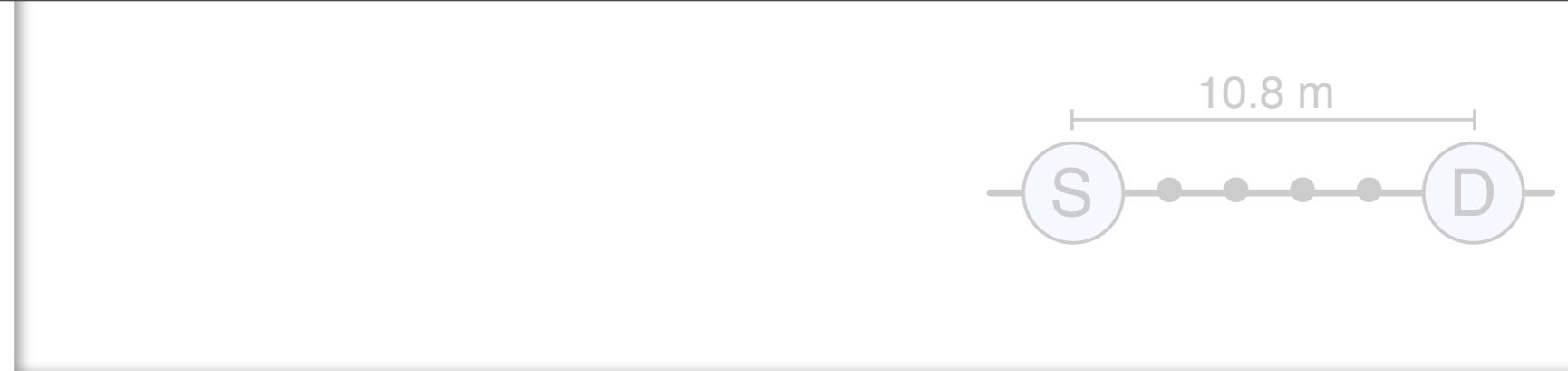
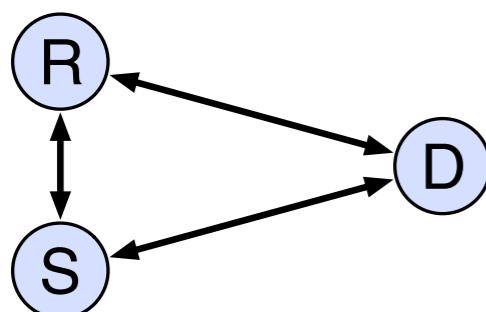
PER



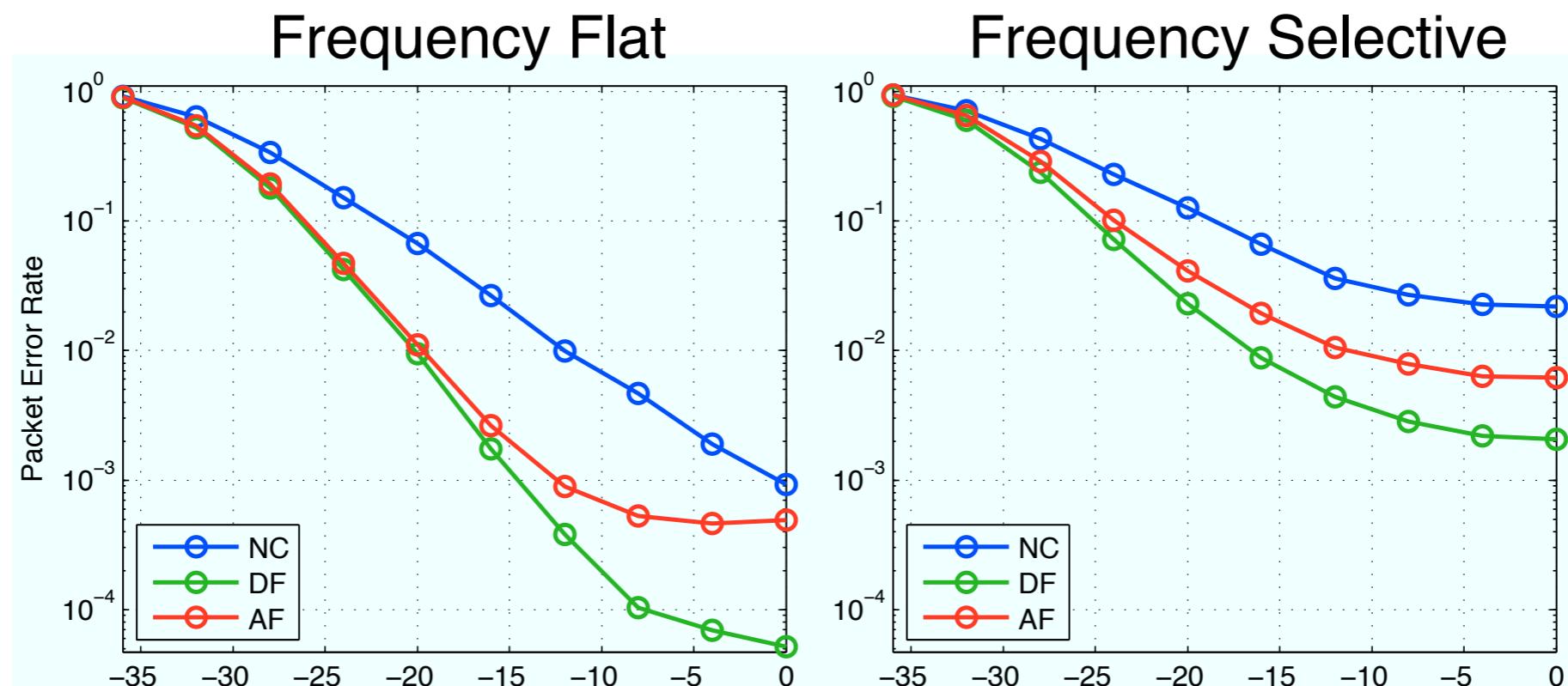
BER



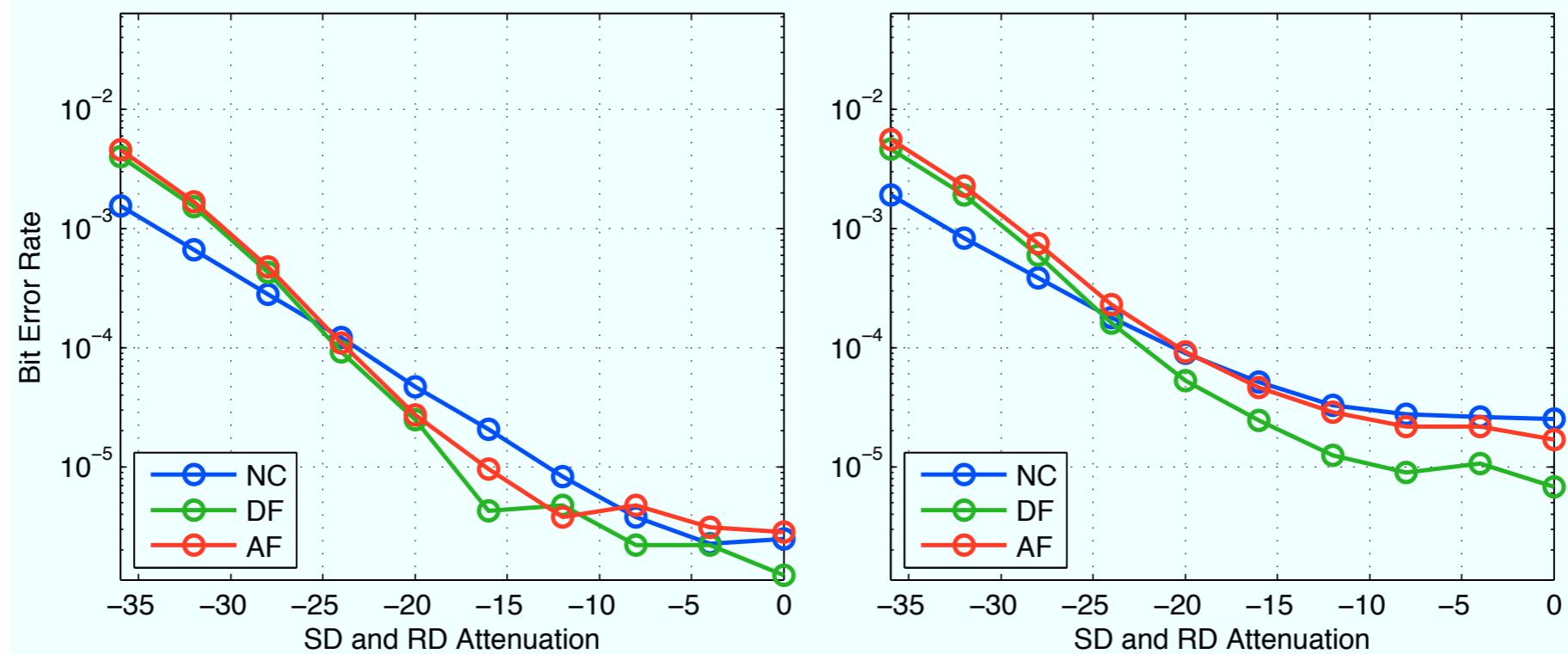
*QPSK
1412 bytes
6.9M packets*



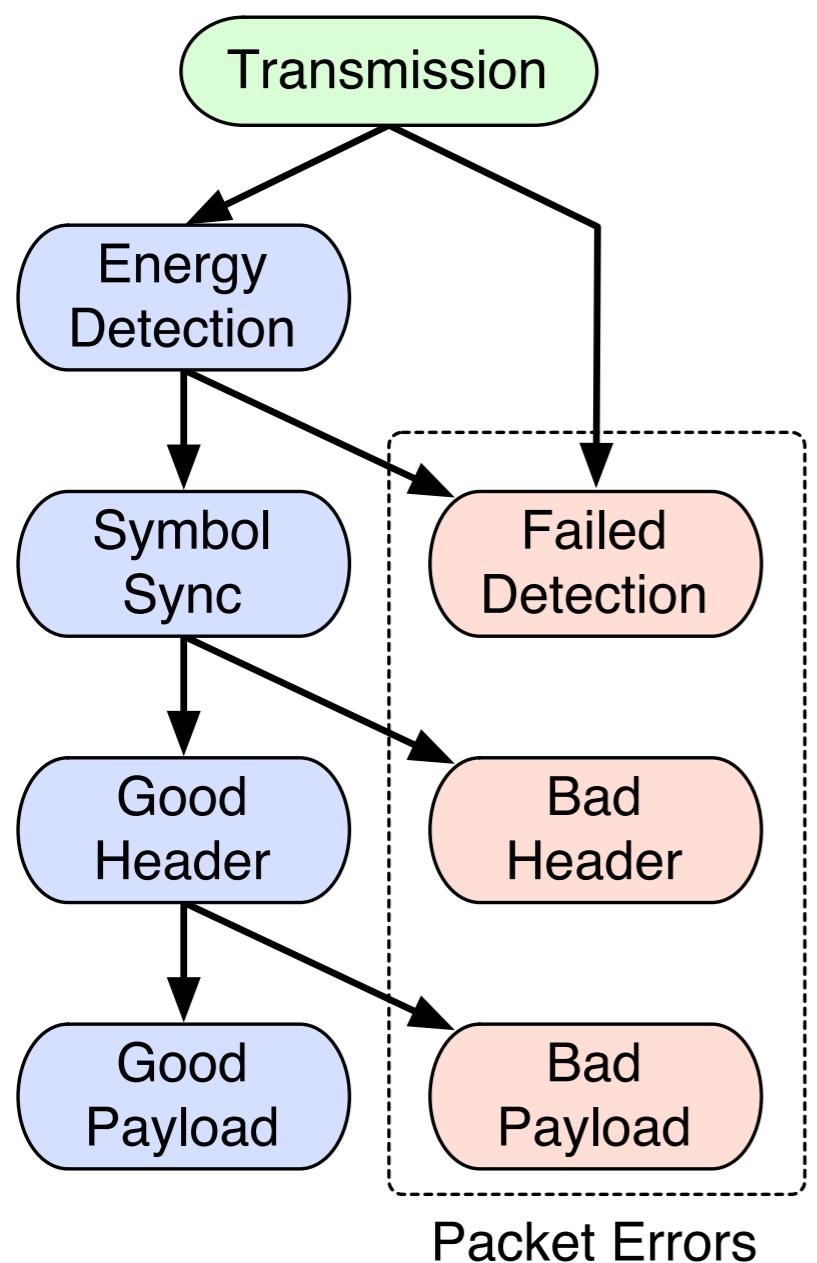
PER



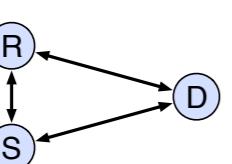
Frequency Selective

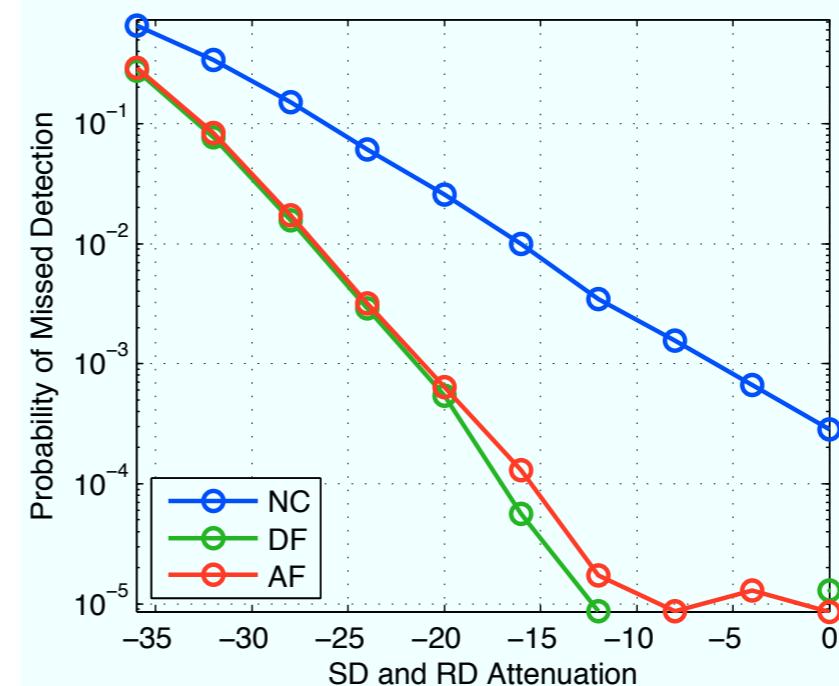
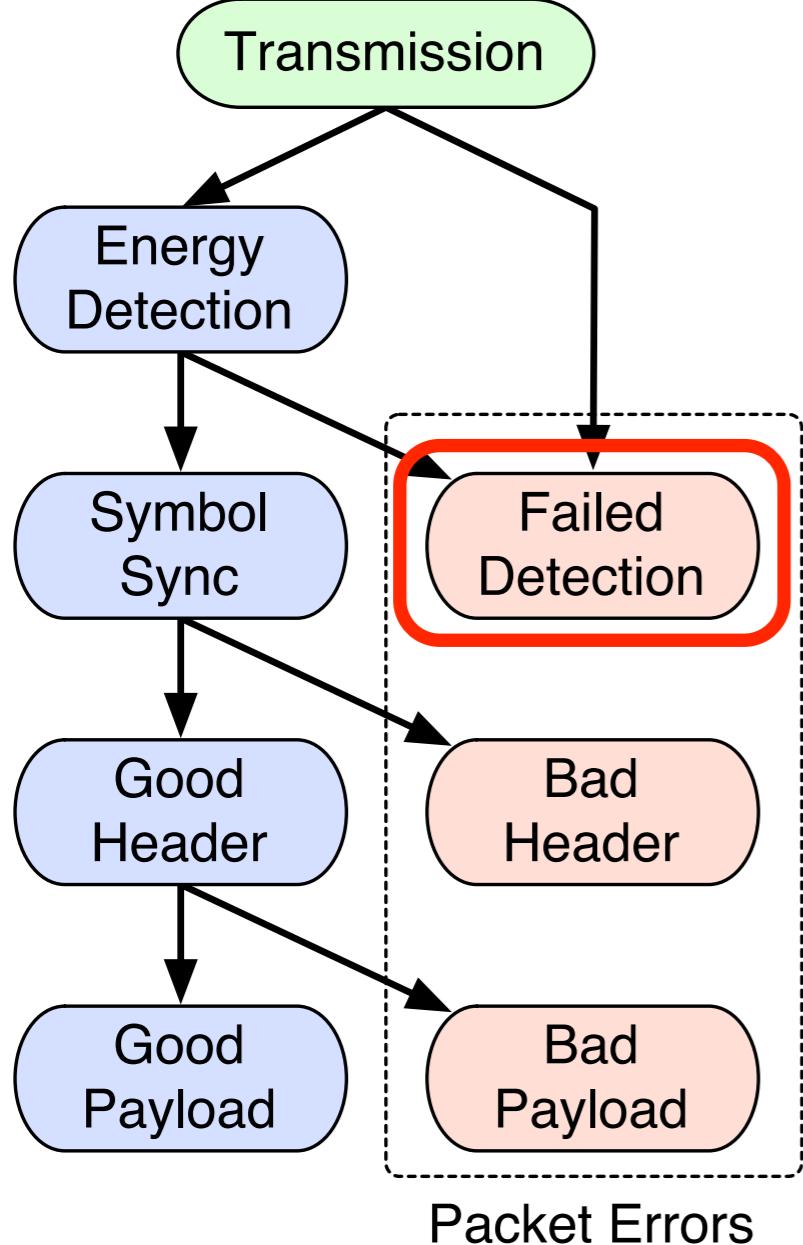


*QPSK
1412 bytes
6.9M packets*



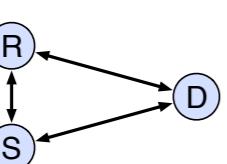
QPSK /1412 bytes
Flat fading
6.9M packets

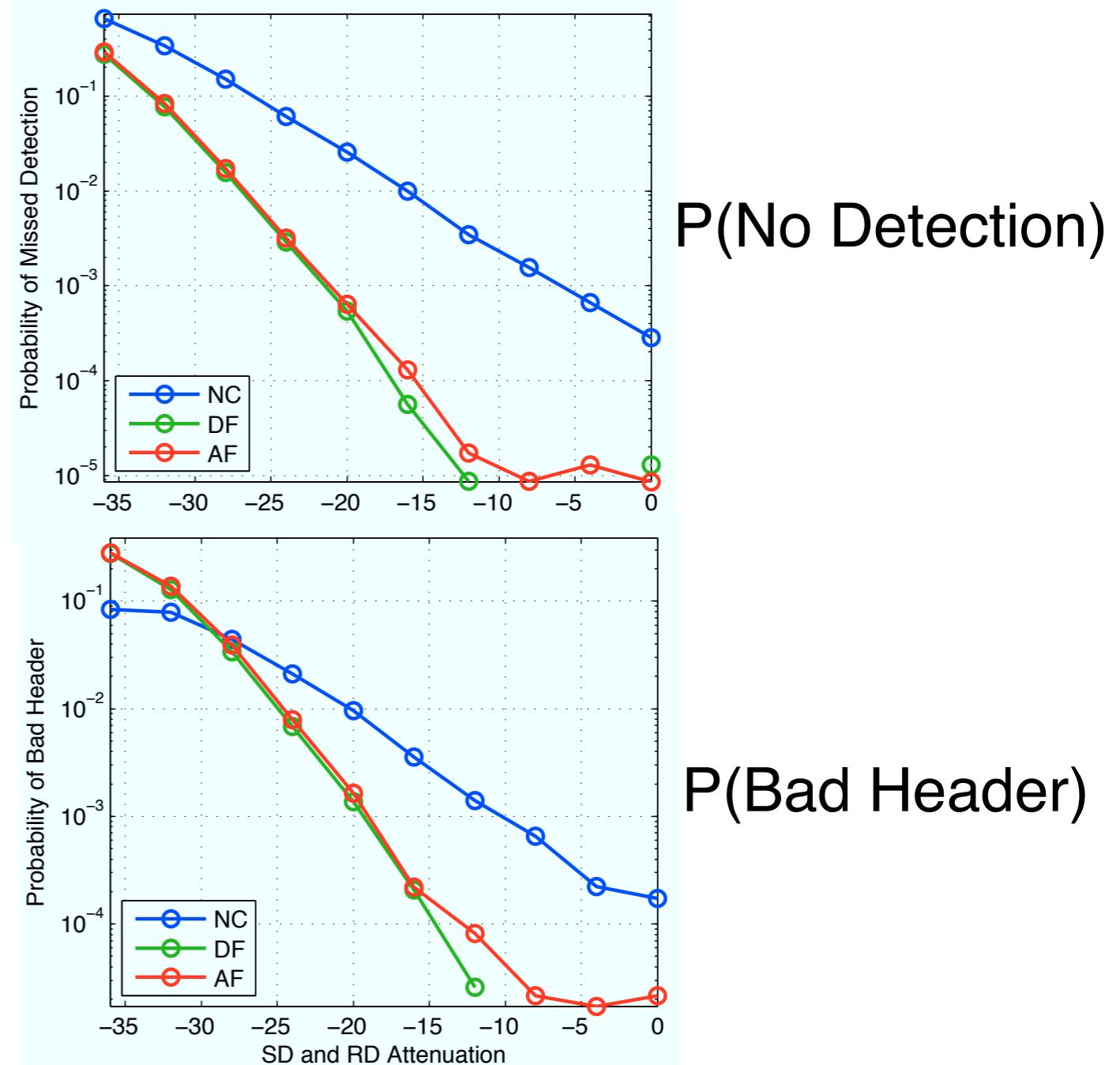
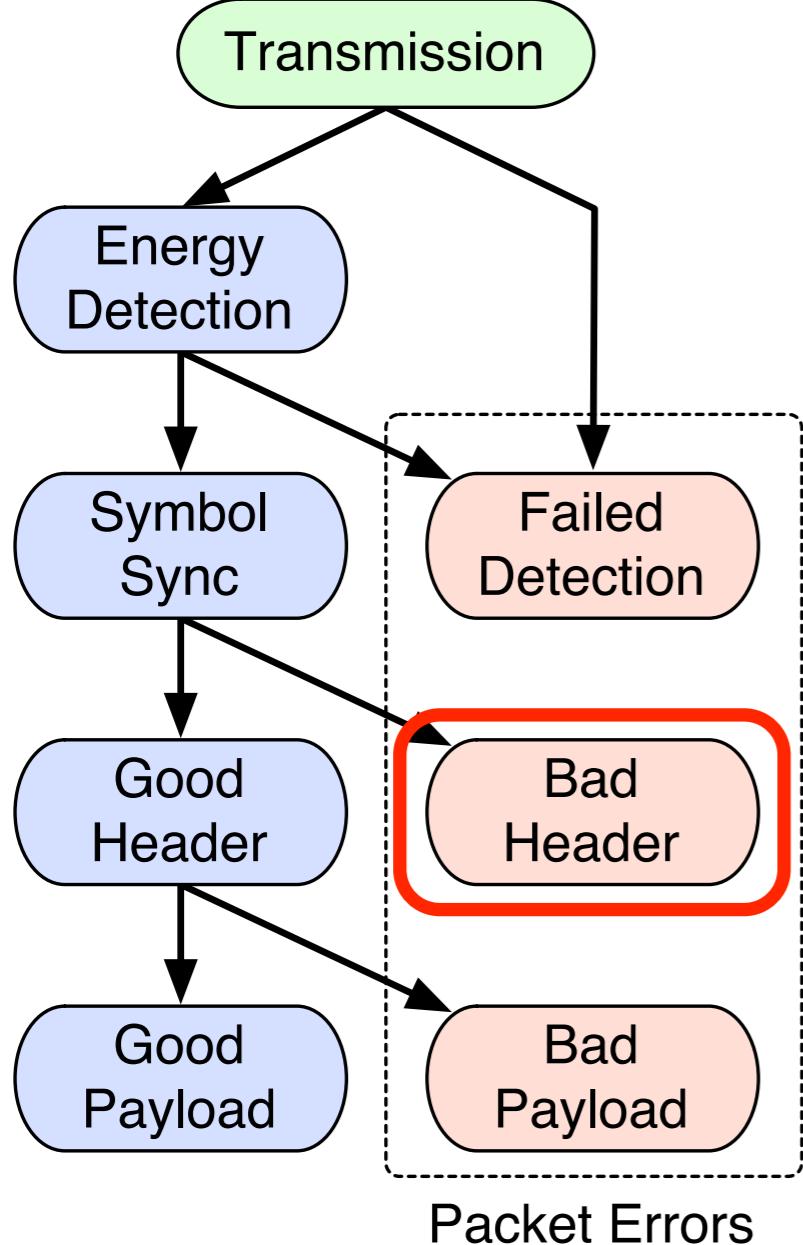




P(No Detection)

$QPSK / 1412 \text{ bytes}$
Flat fading
 $6.9M \text{ packets}$

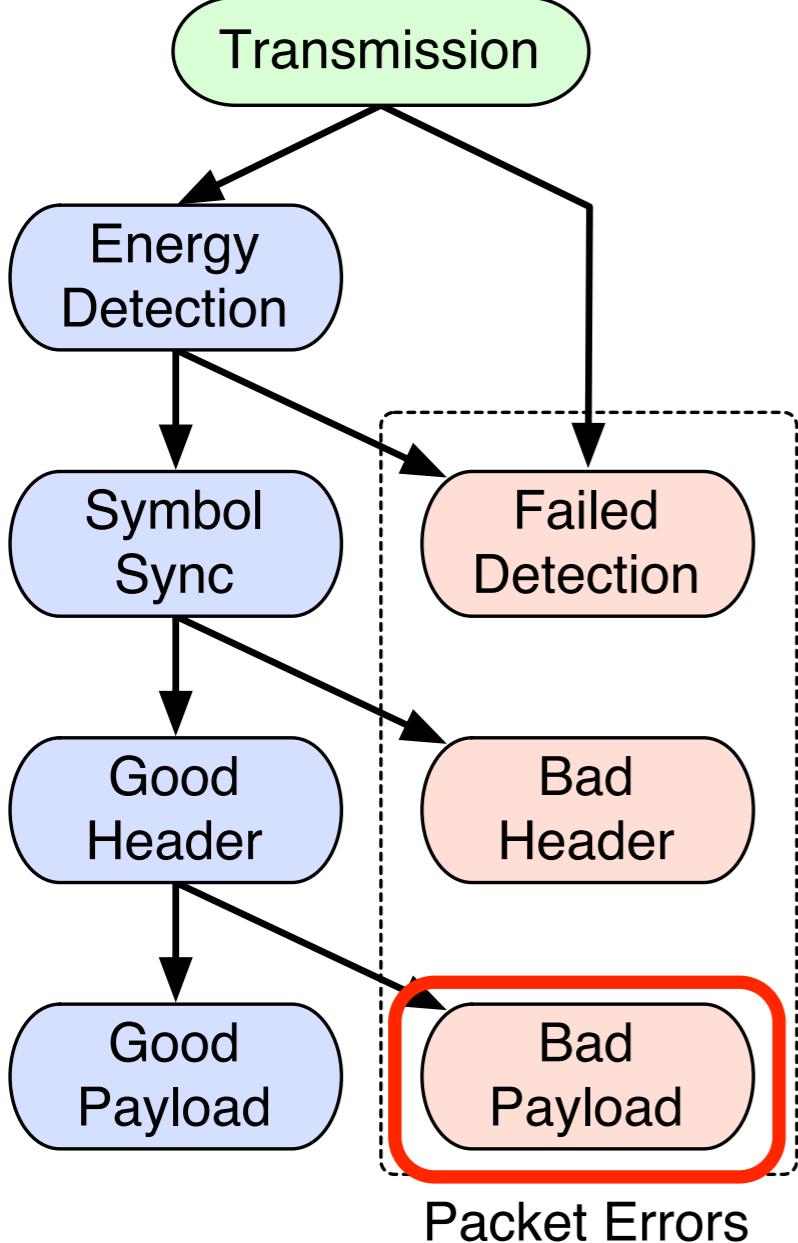




*QPSK /1412 bytes
Flat fading
6.9M packets*

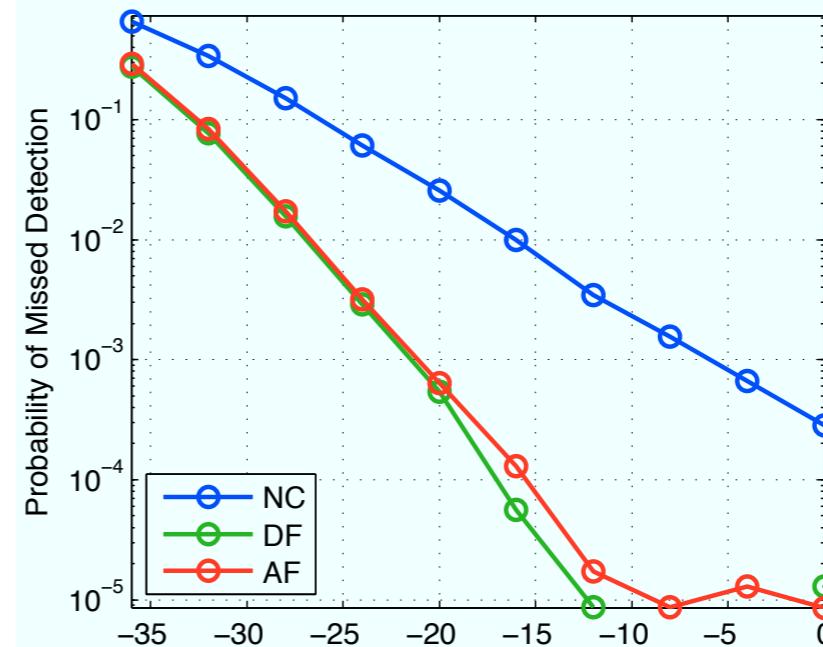
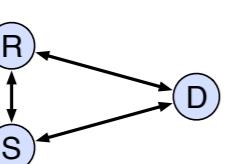
```

graph TD
    S((S)) --> R((R))
    R --> D((D))
    
```

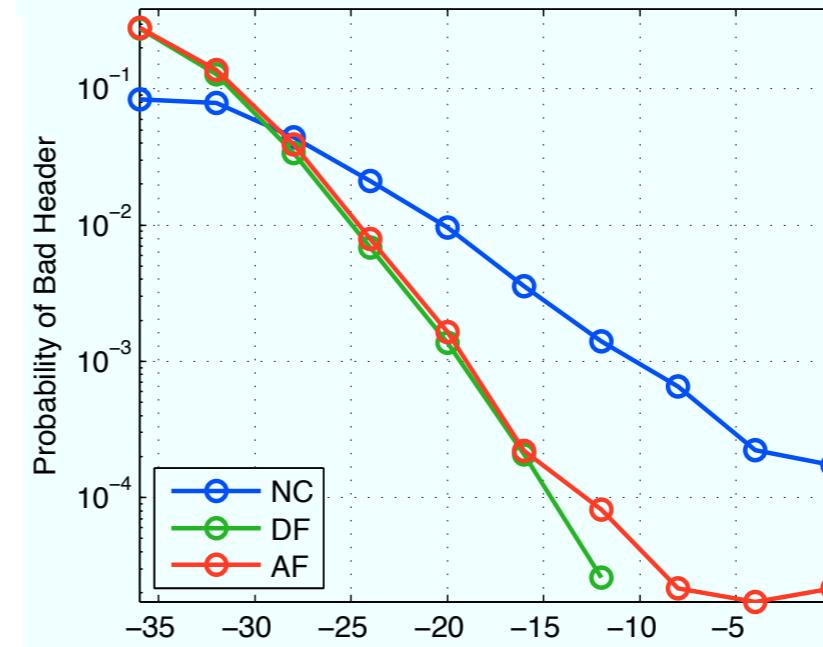


Packet Errors

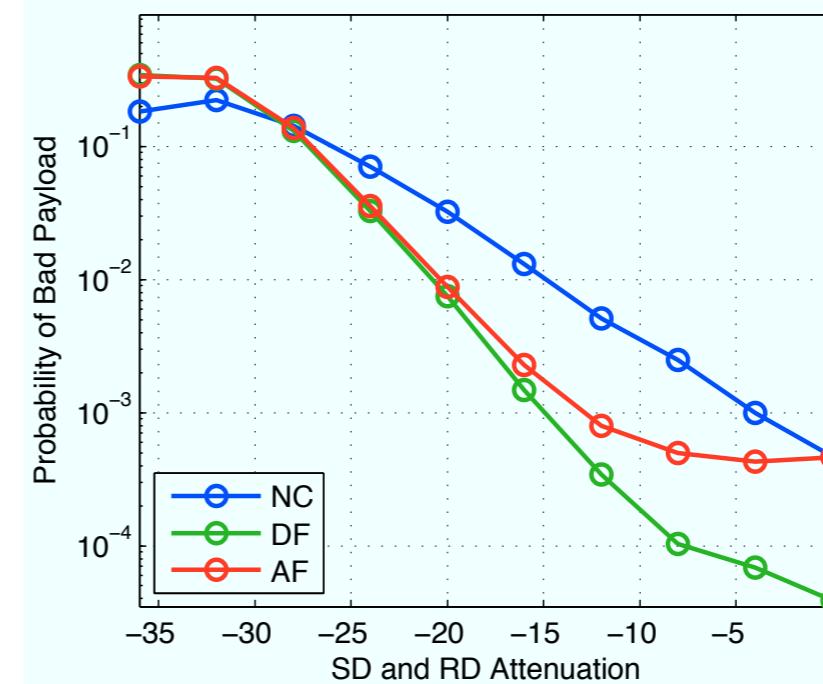
*QPSK /1412 bytes
Flat fading
6.9M packets*



P(No Detection)

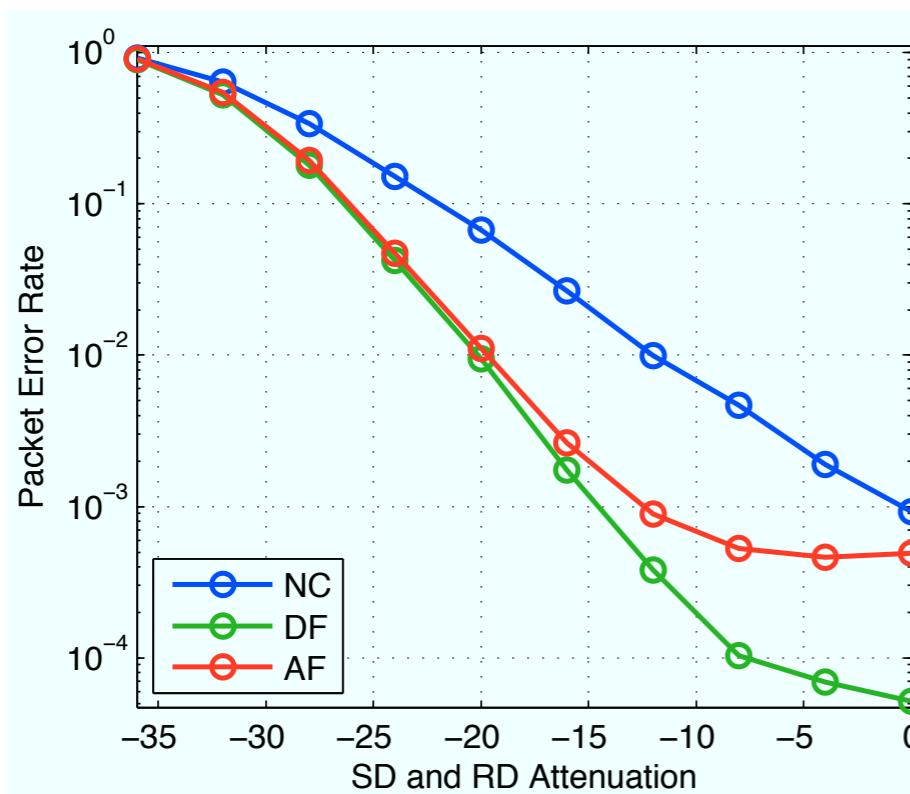


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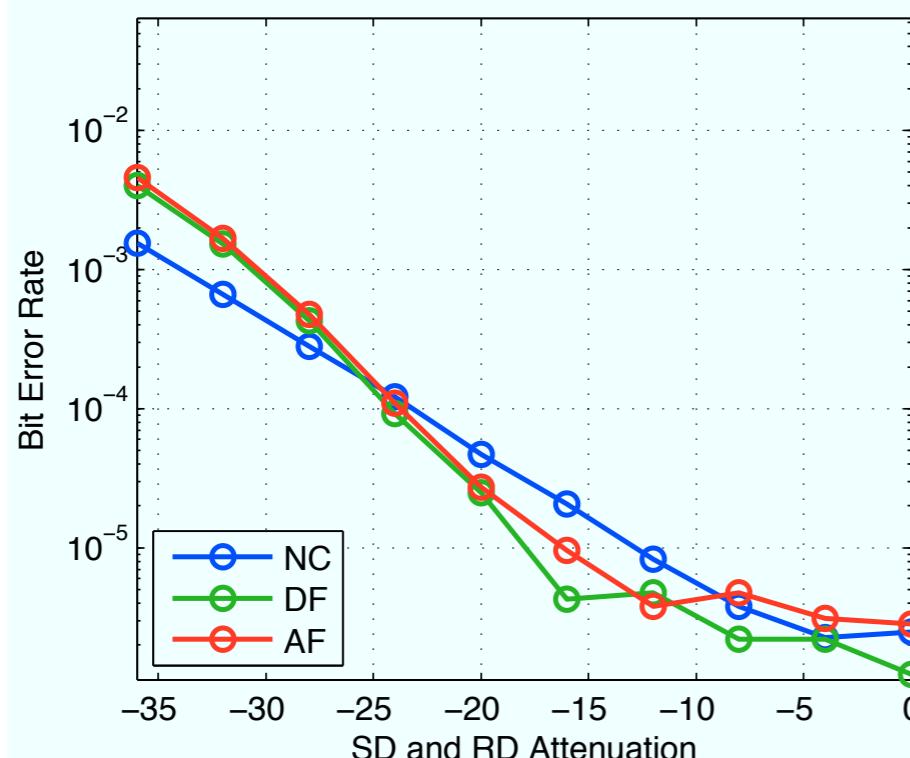


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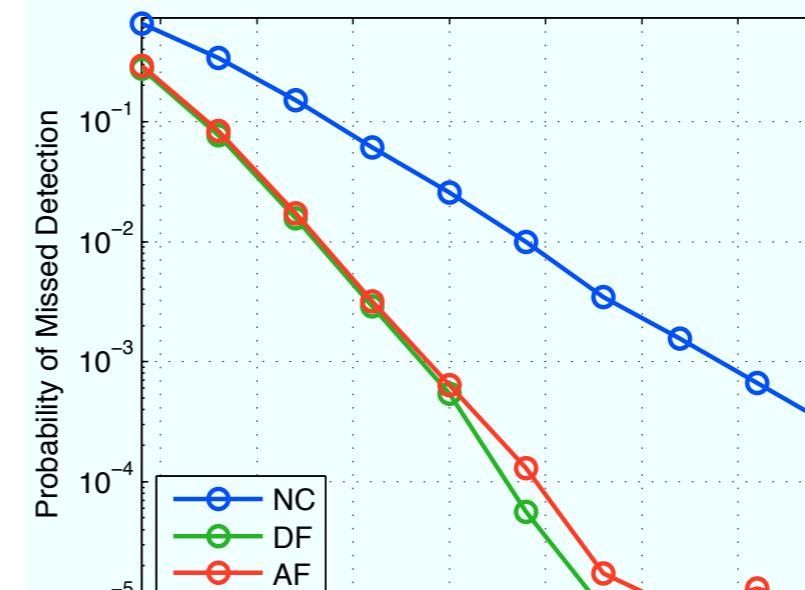
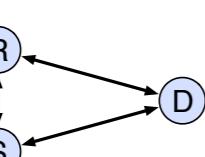
PER



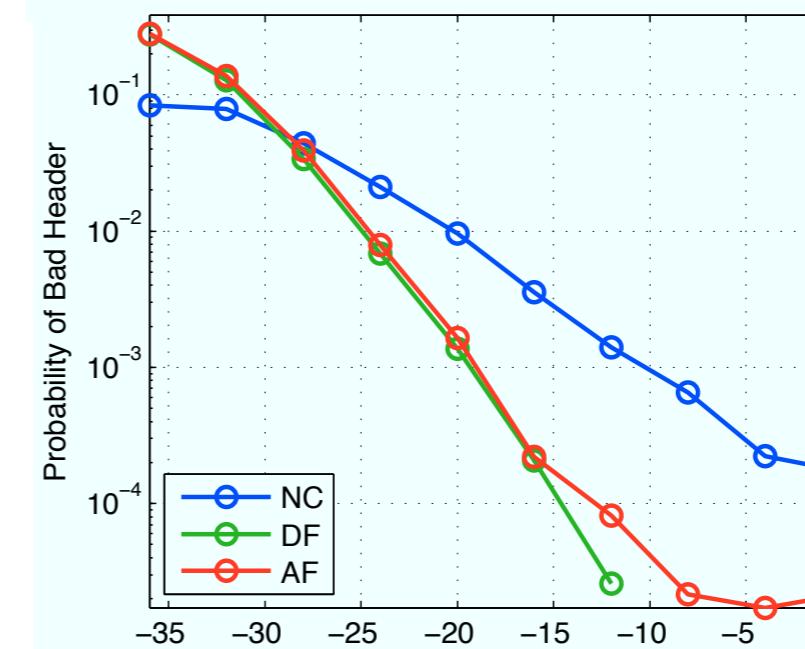
BER



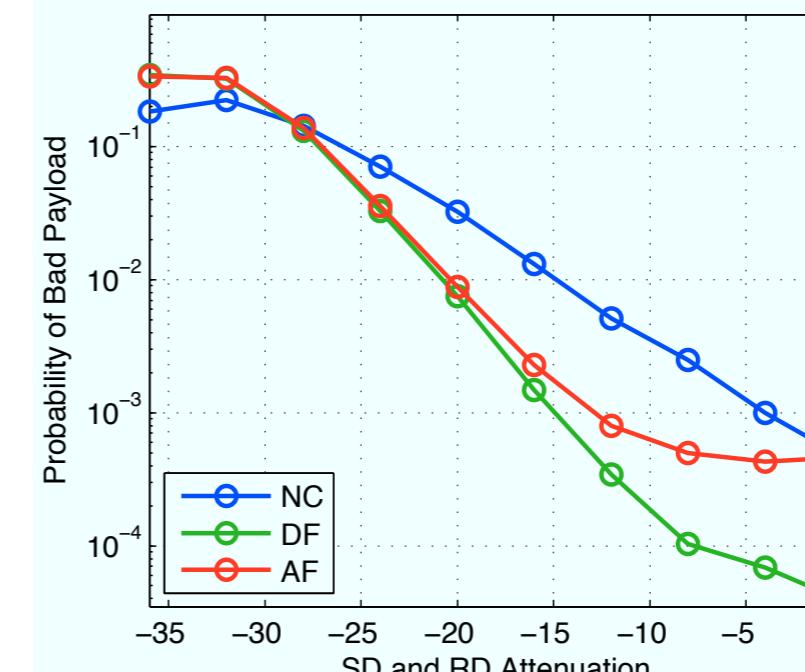
QPSK /1412 bytes
Flat fading
6.9M packets



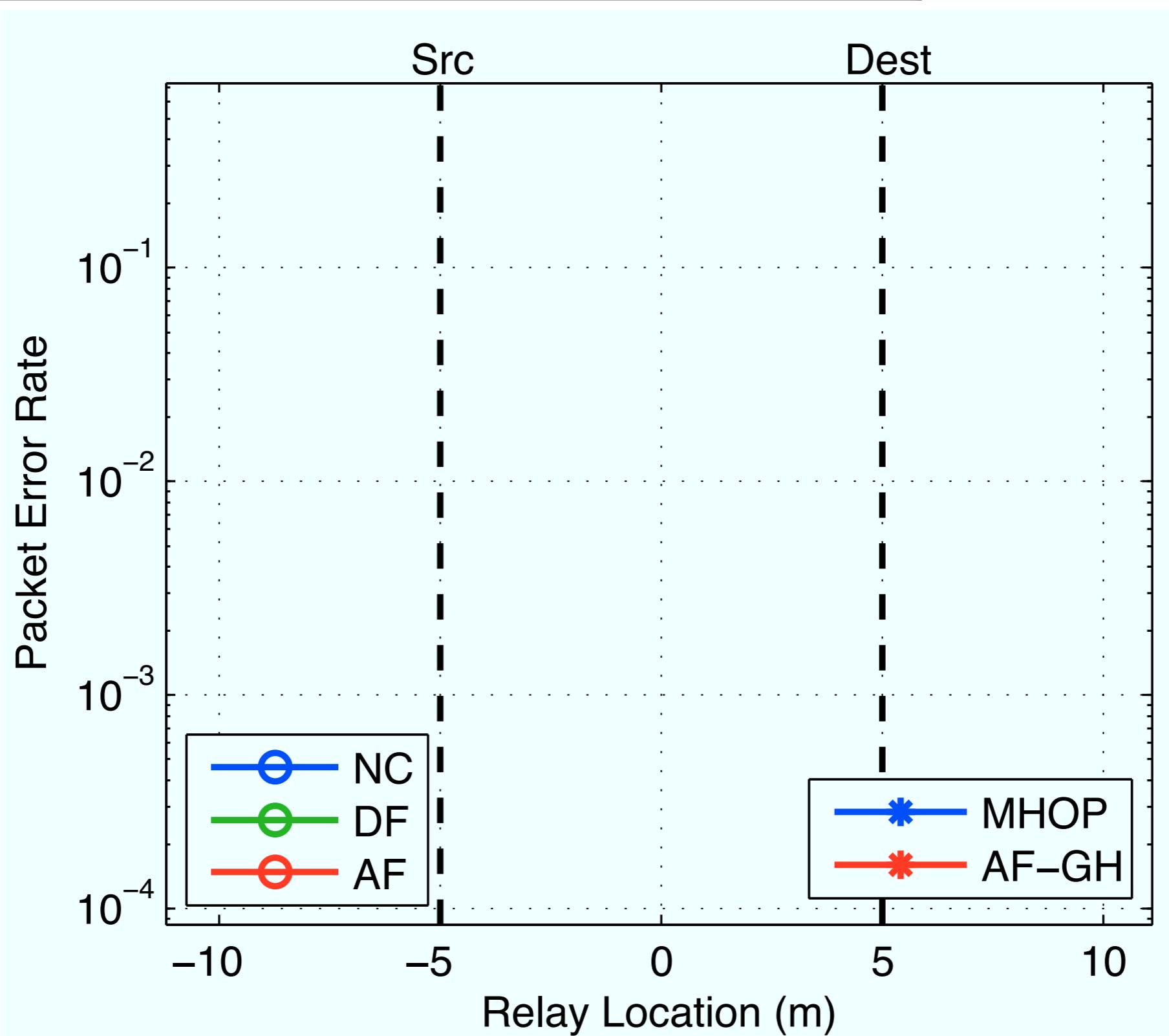
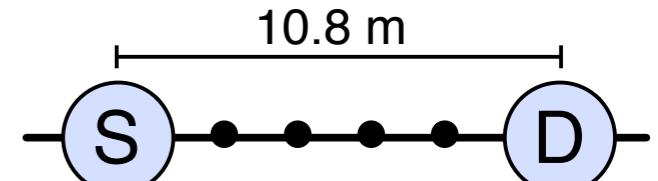
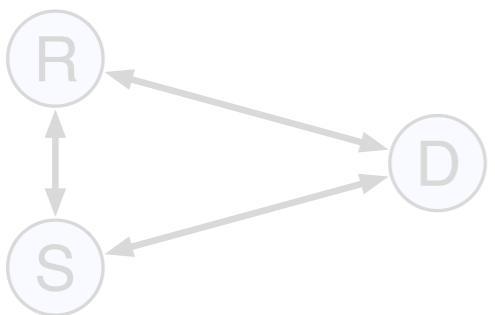
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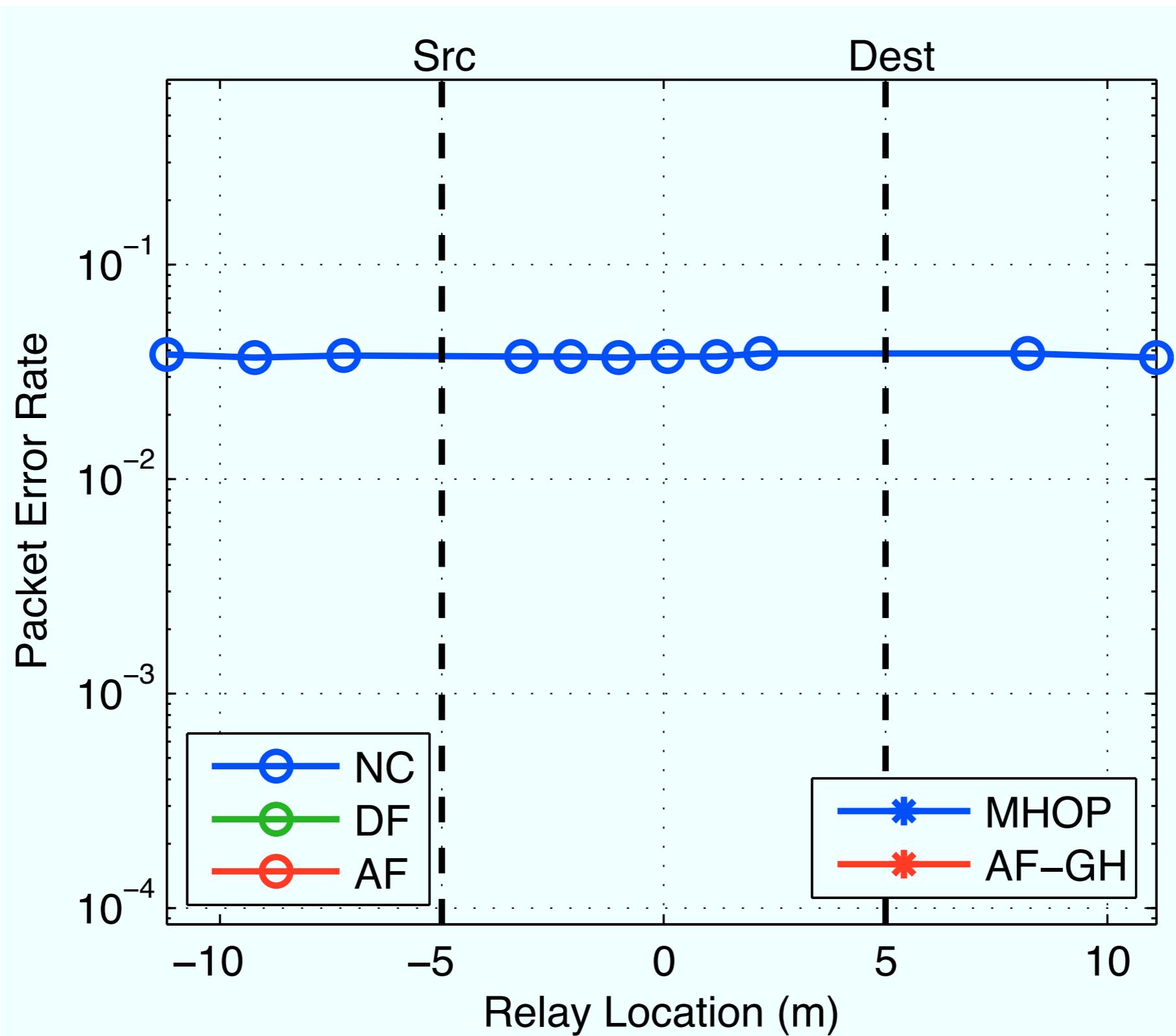
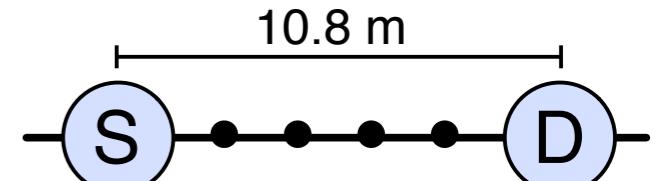
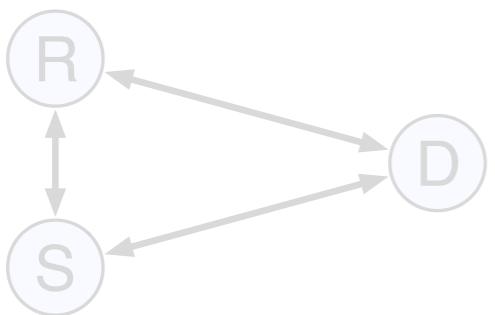


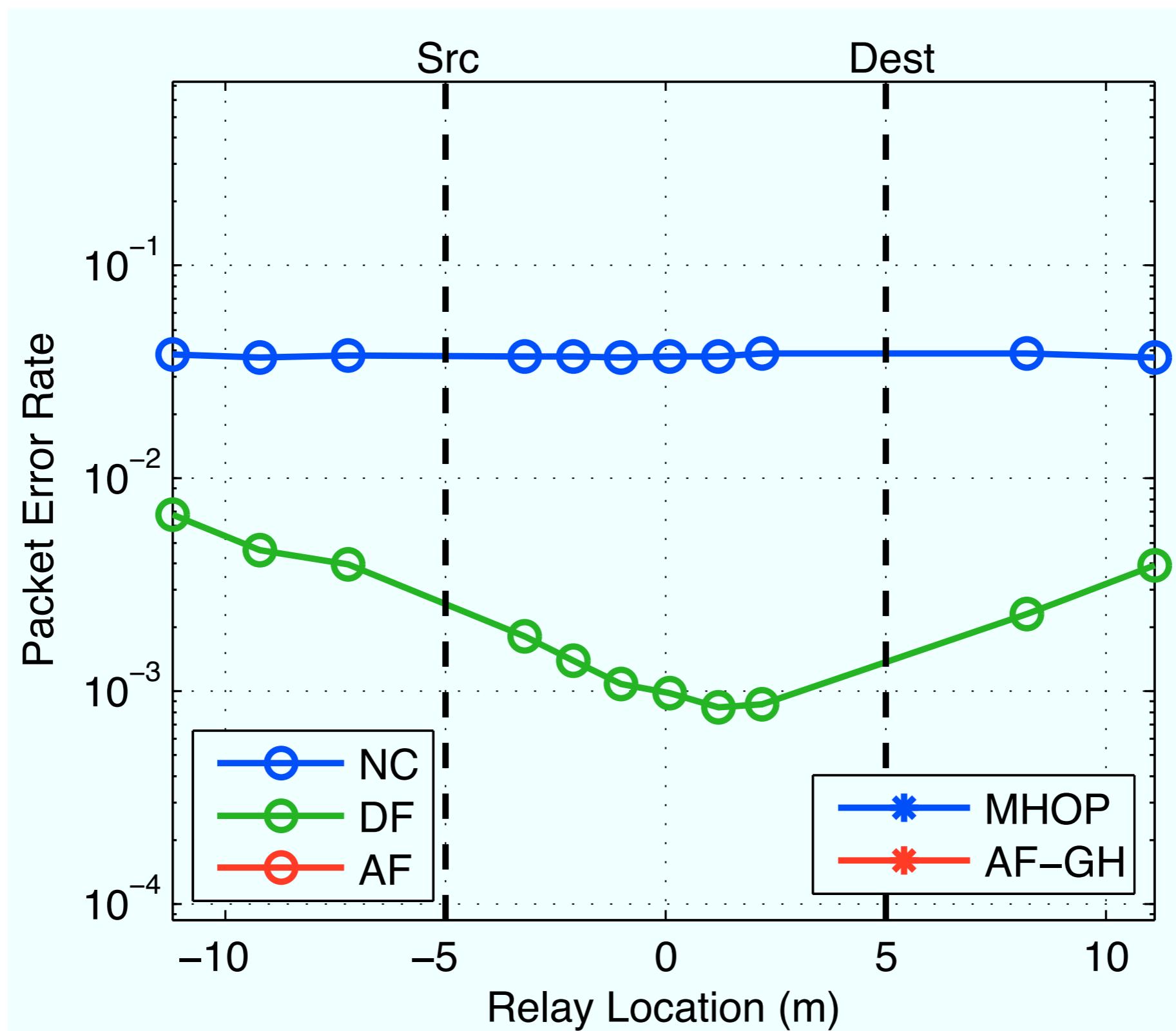
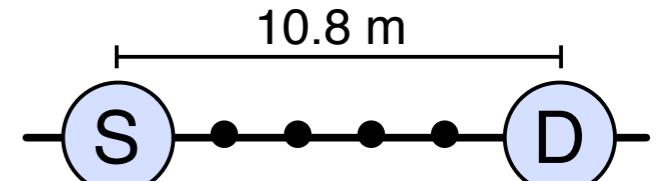
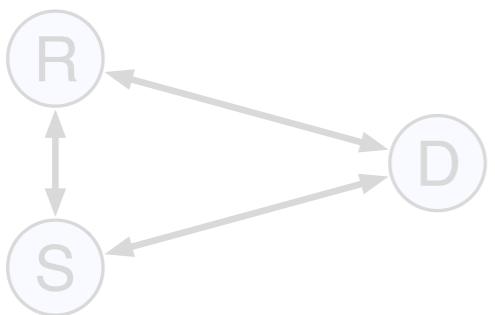
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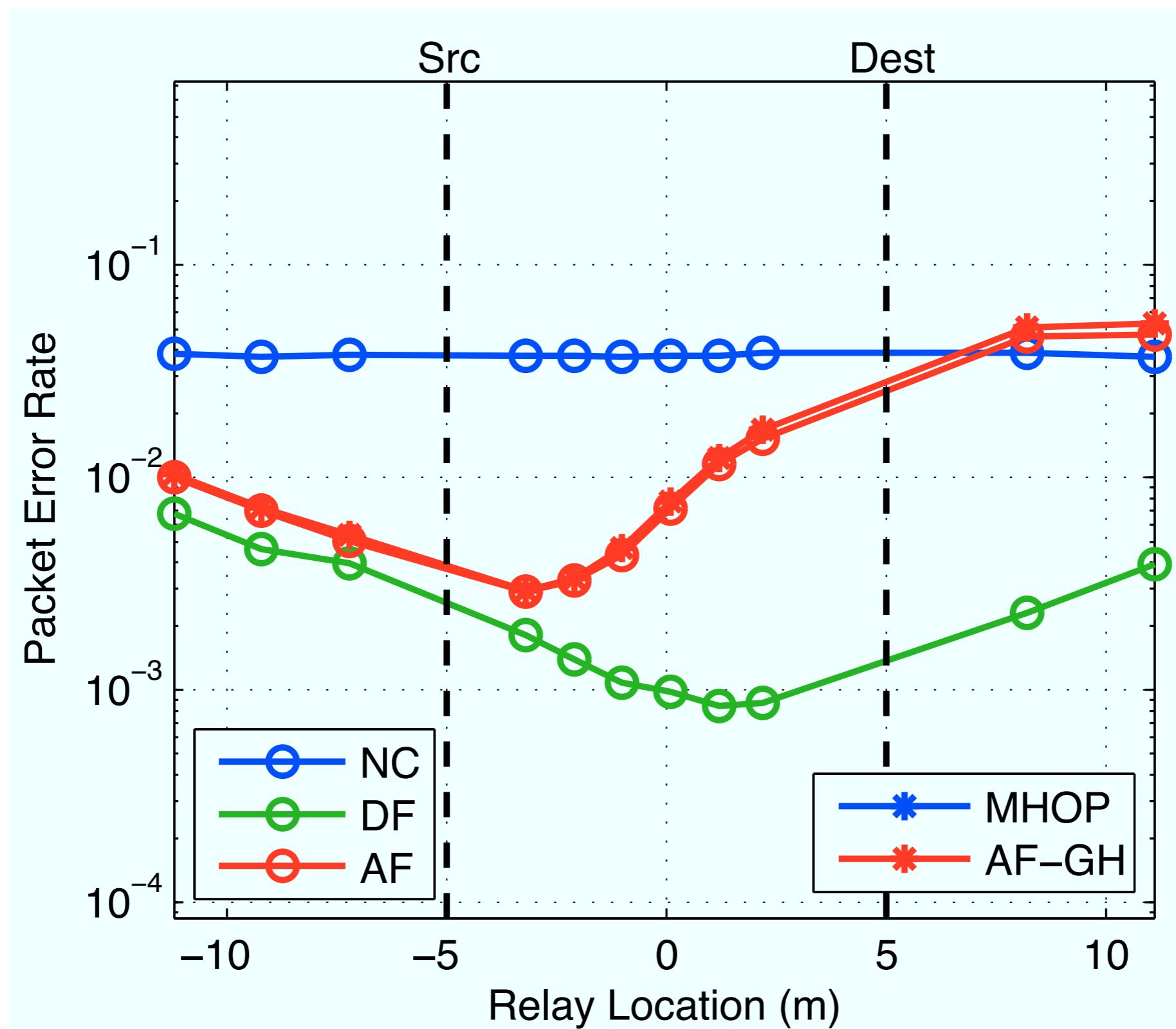
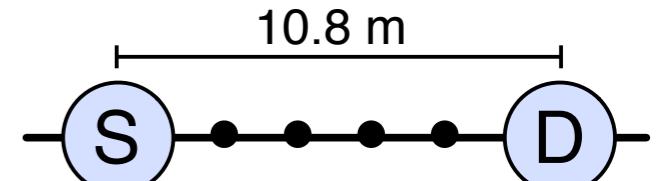
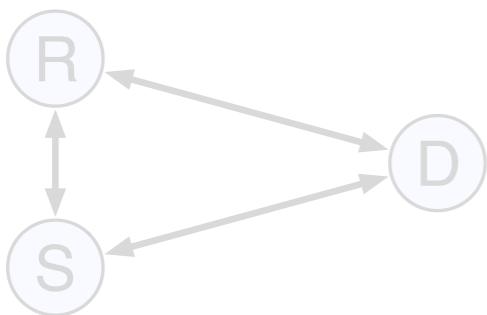


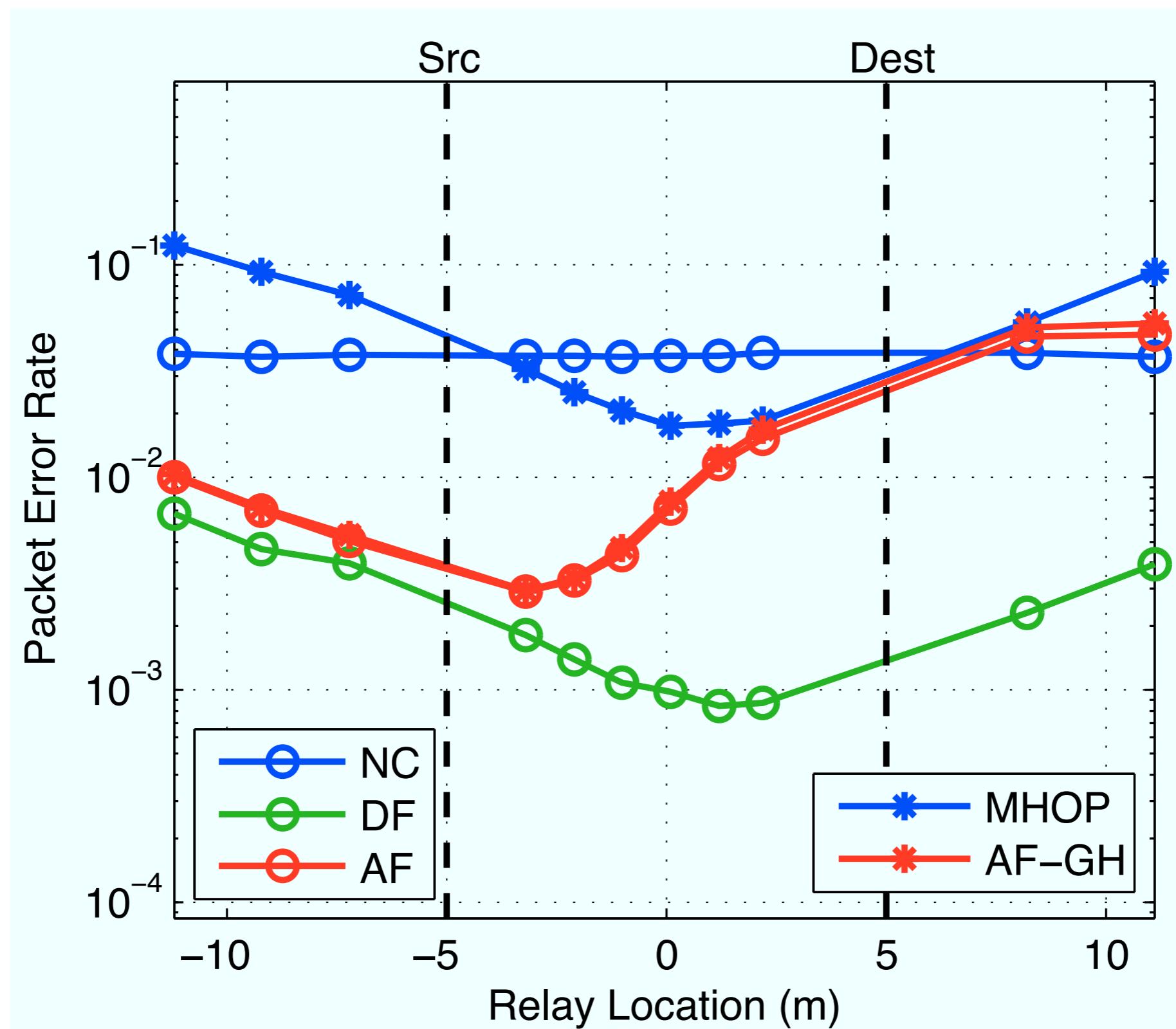
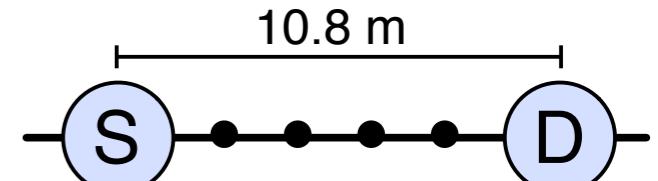
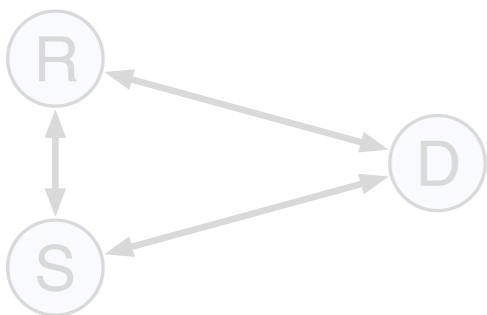
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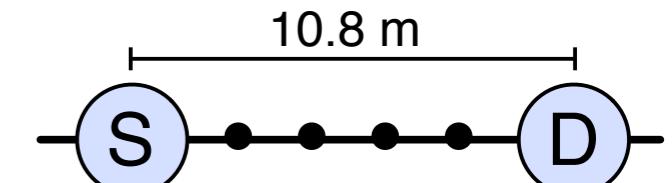
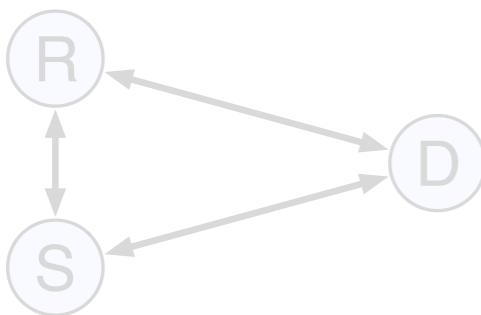






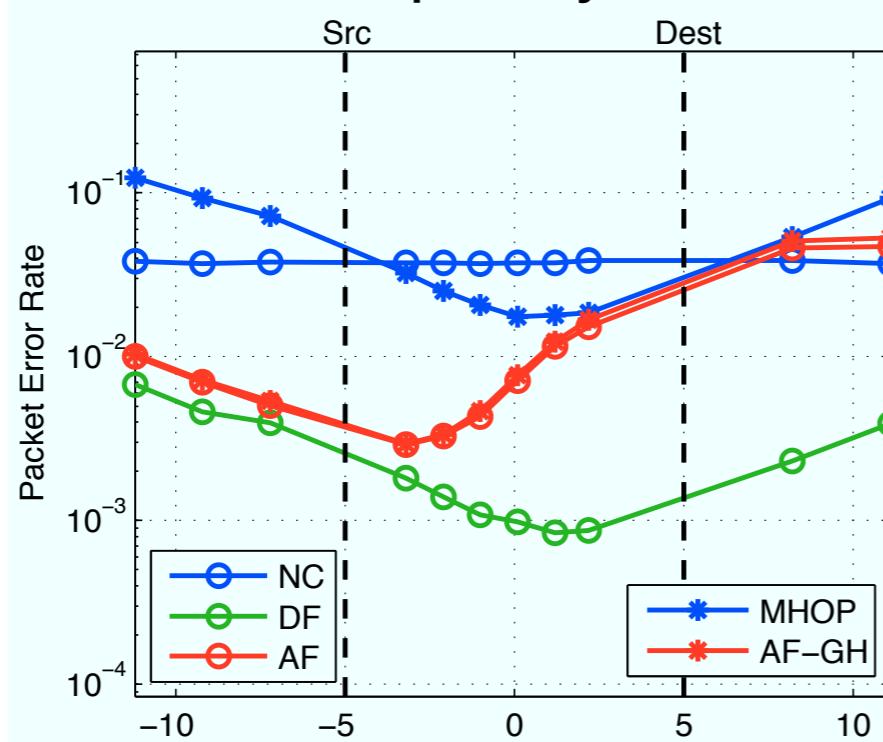






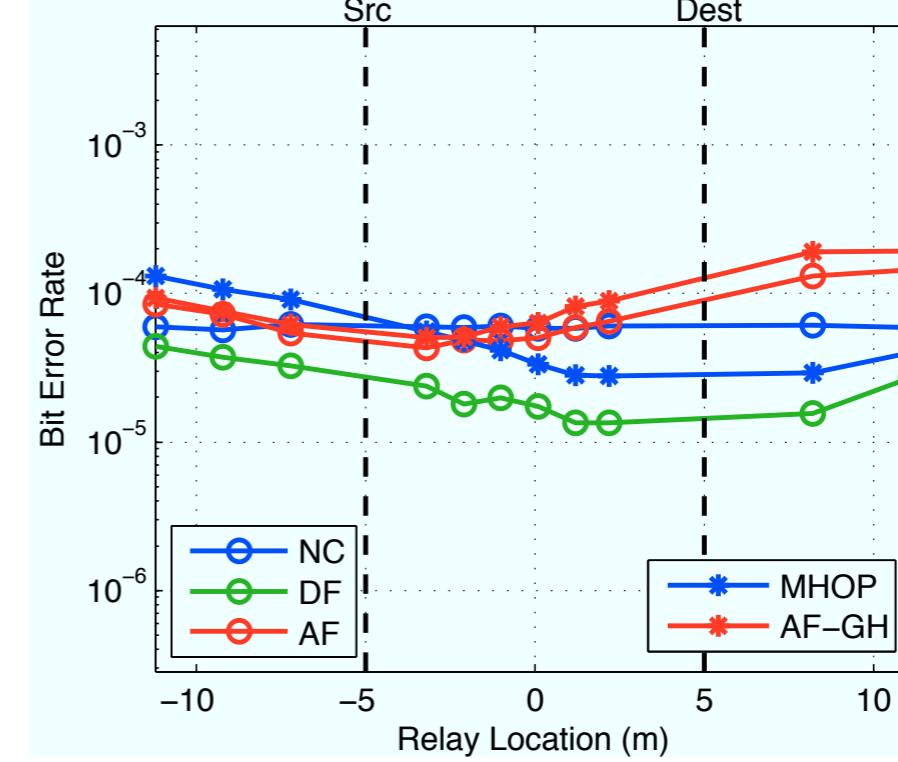
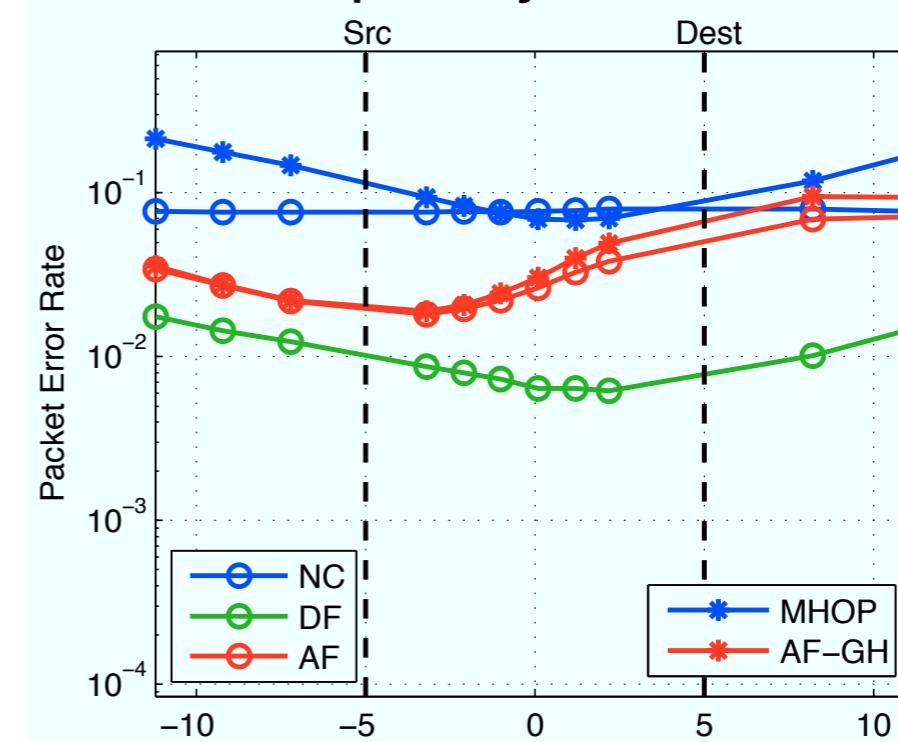
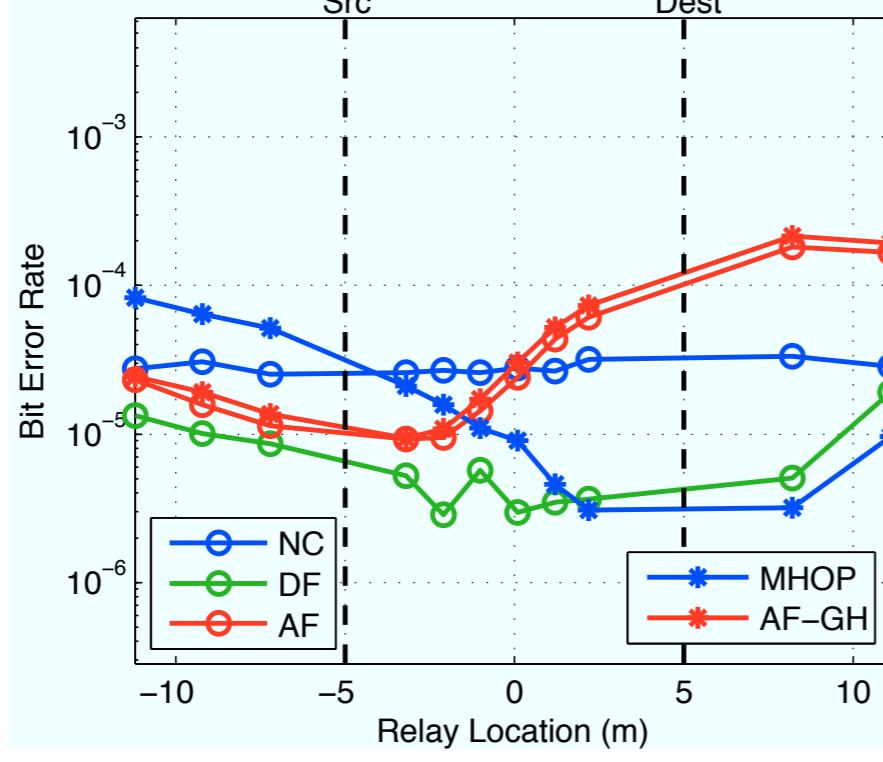
Frequency Flat

PER



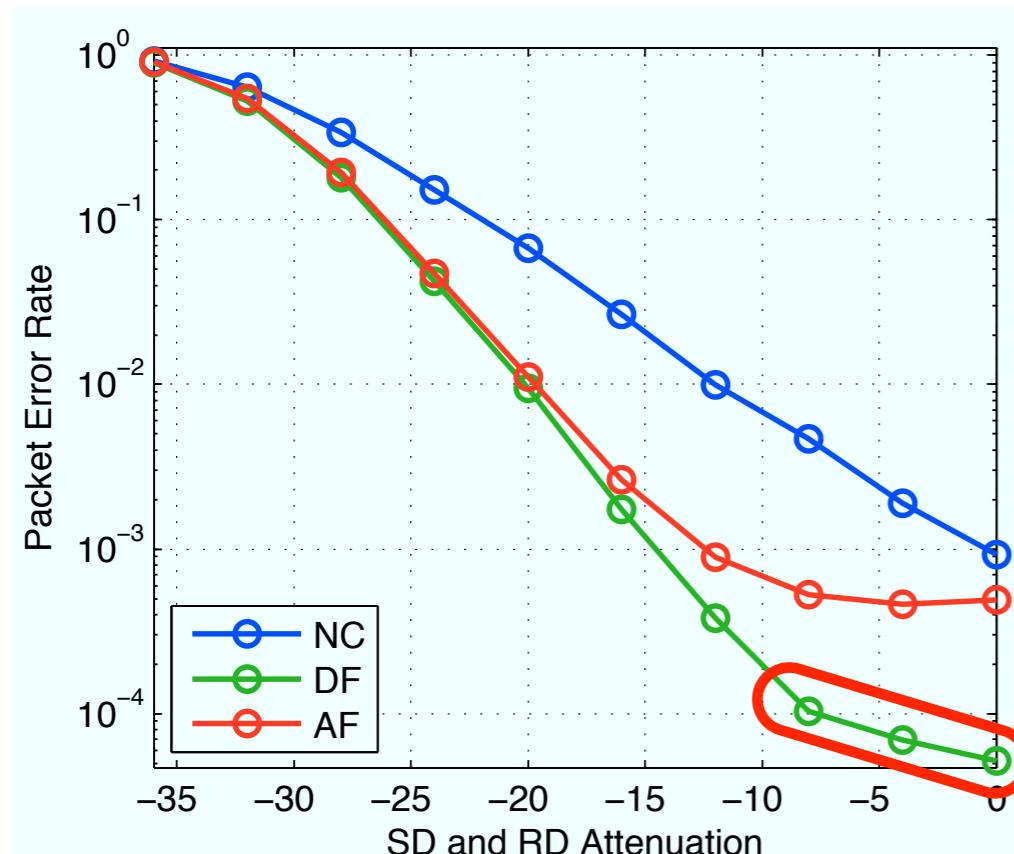
Frequency Selective

BER

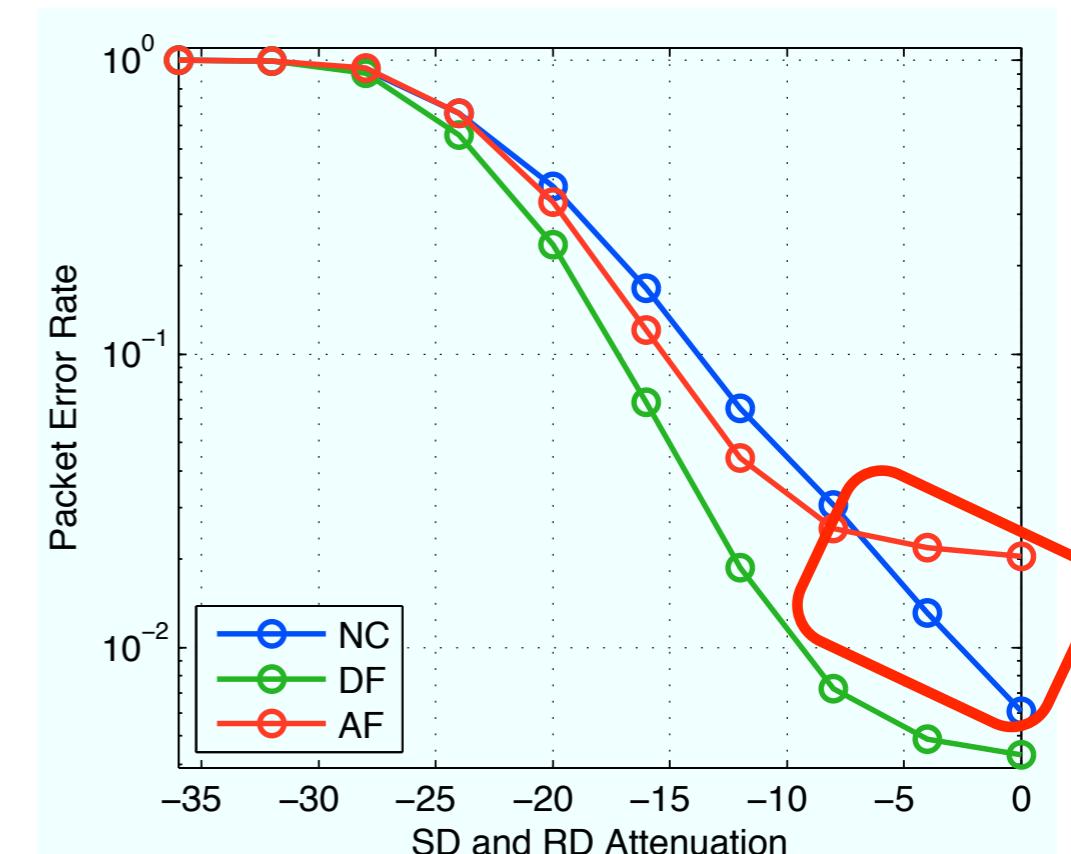


QPSK
1412 bytes
17.6M packets

Understanding the Results

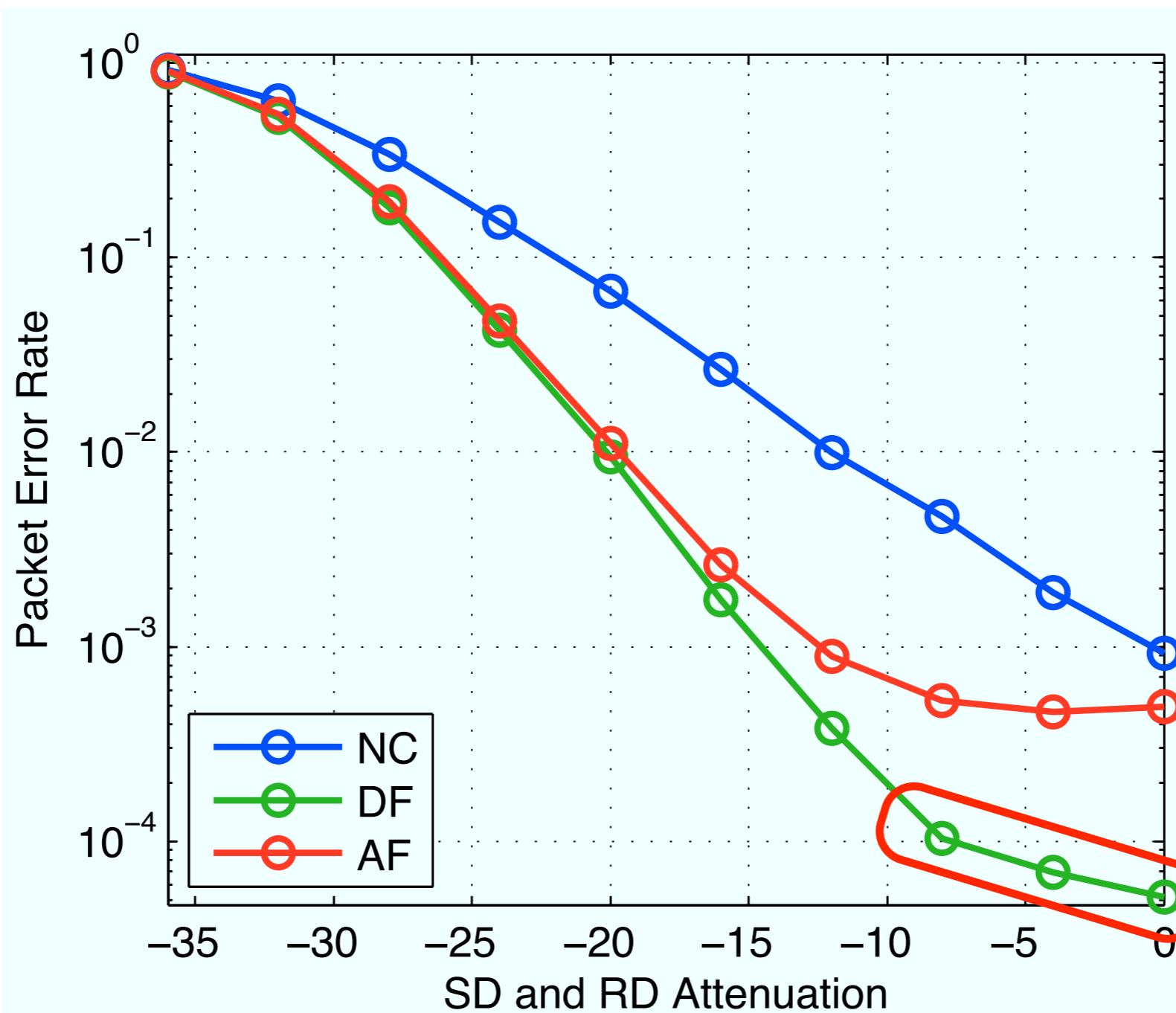


DF Floor



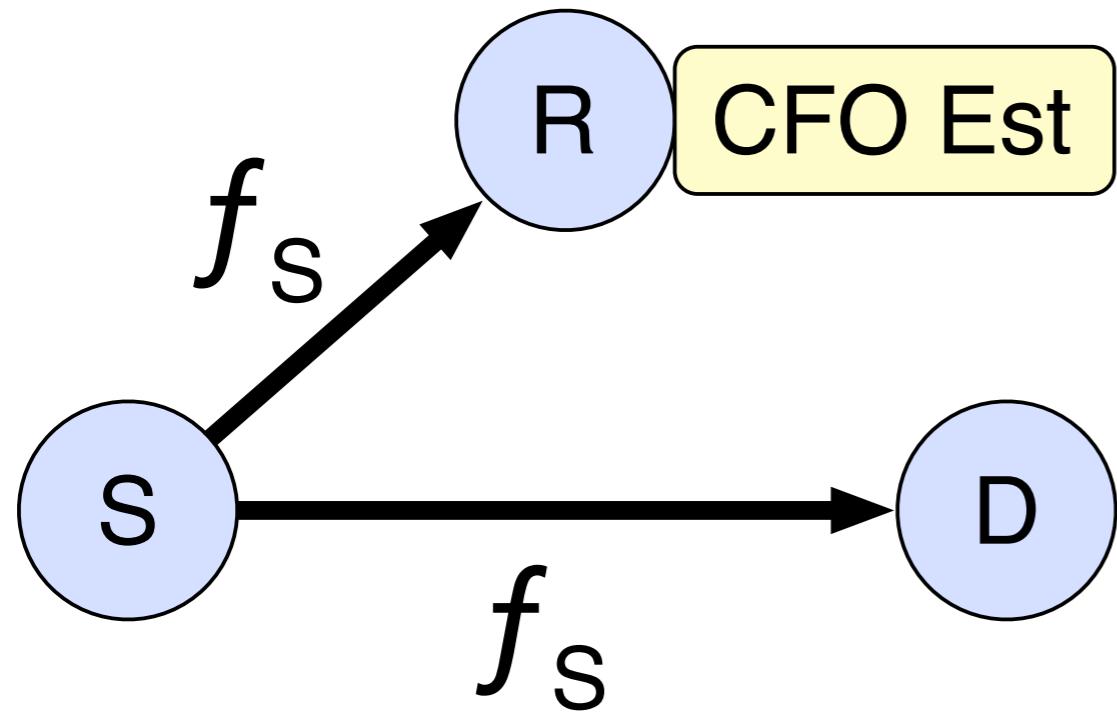
AF Floor

Relay CFO Errors

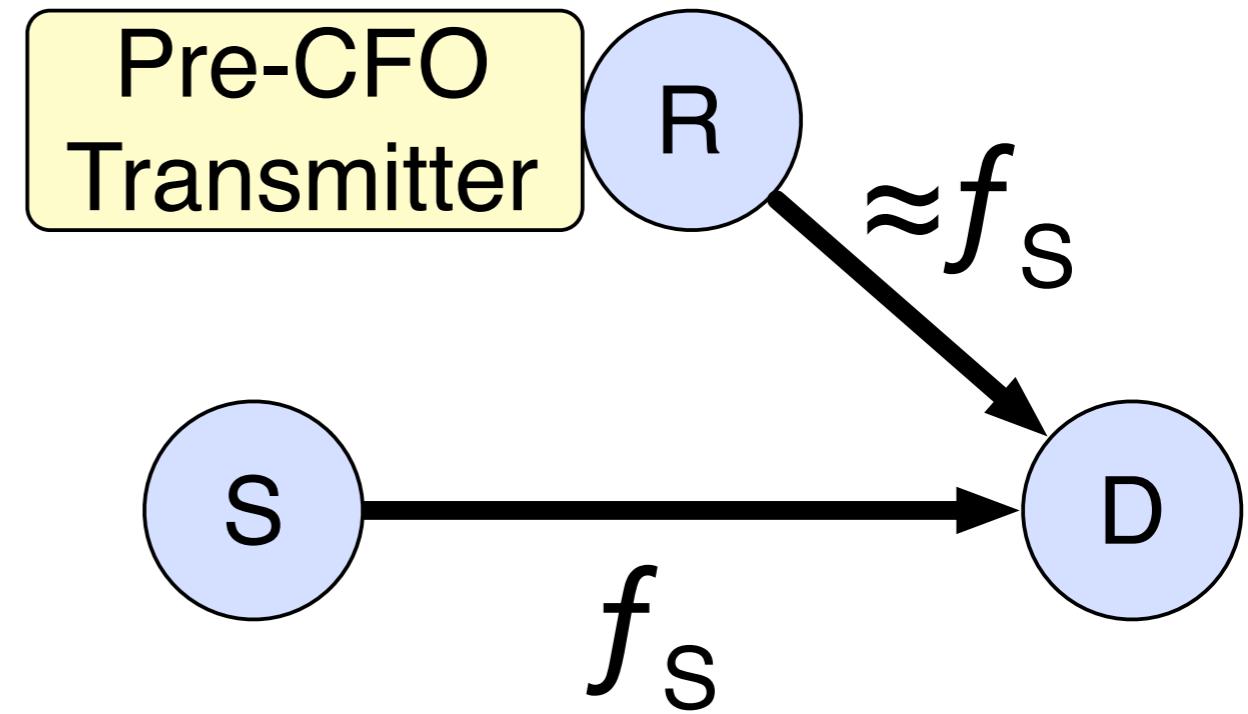


- DF floor
- Occasional CFO estimation errors?

Relay CFO Errors

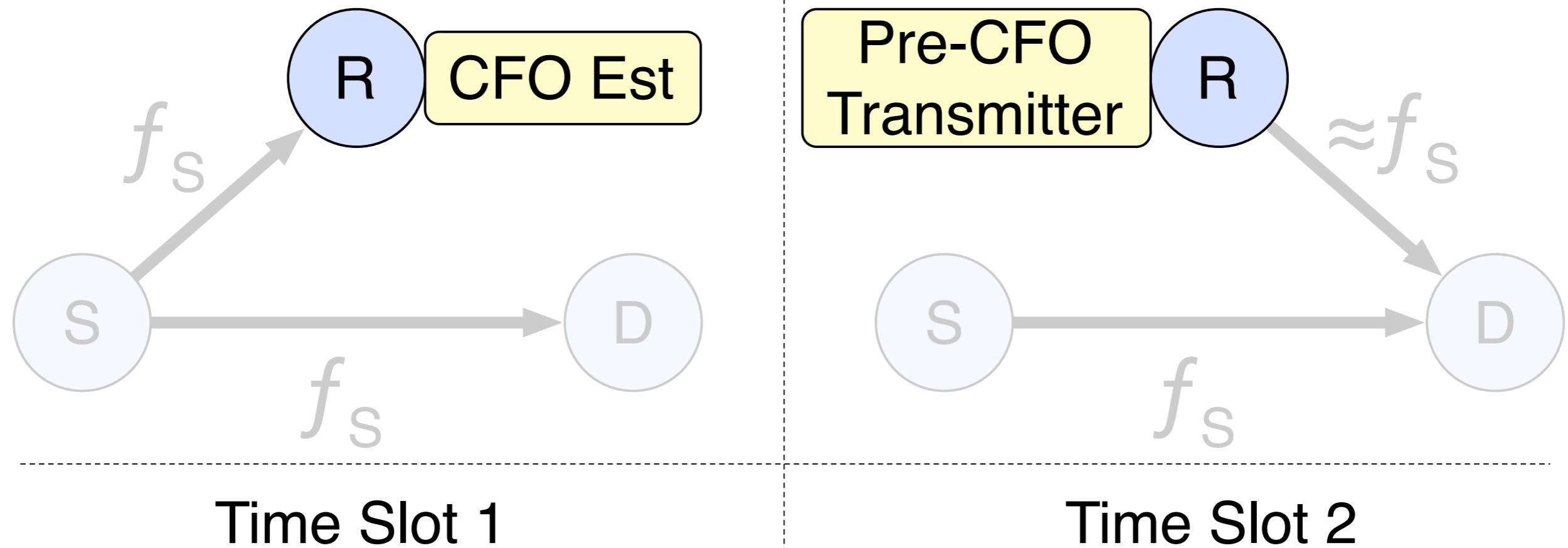
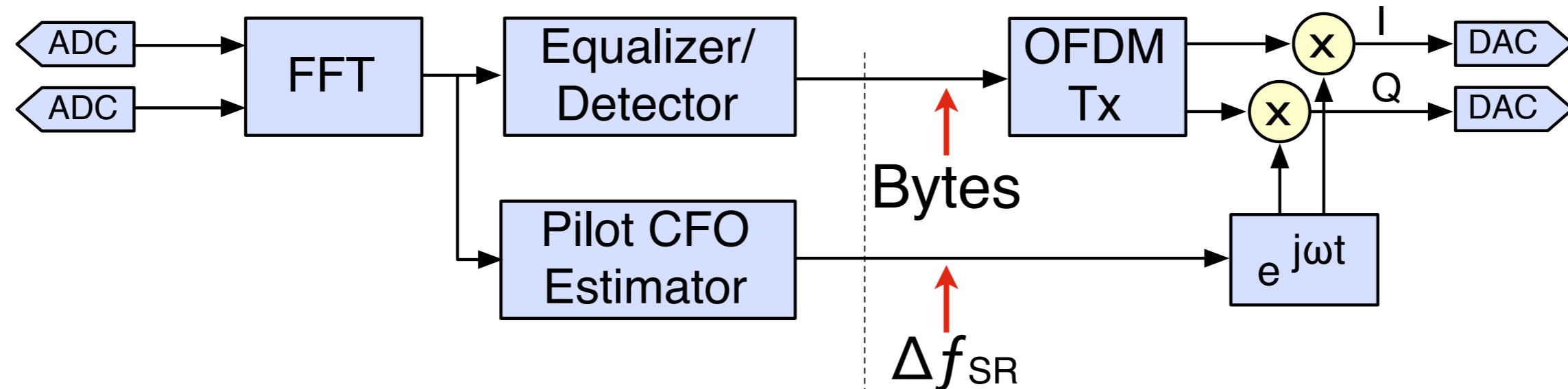


Time Slot 1

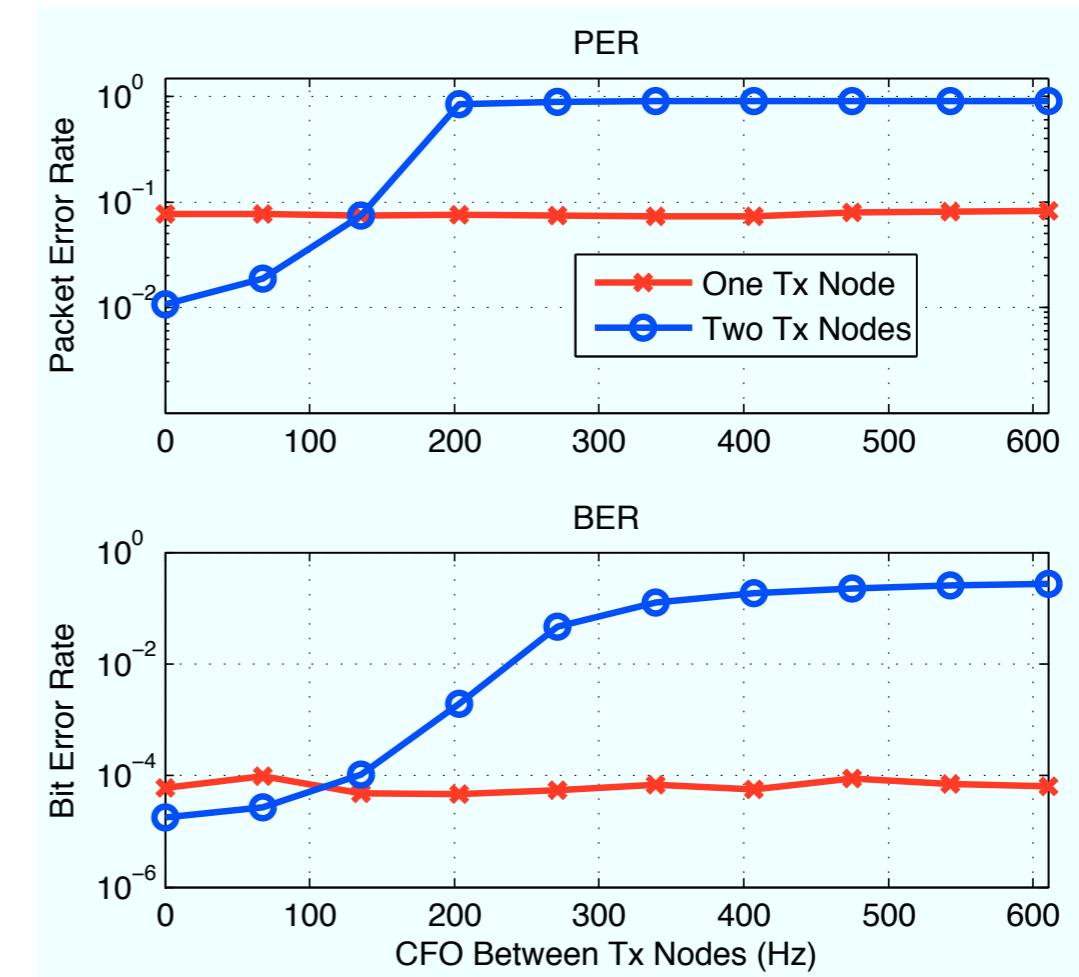
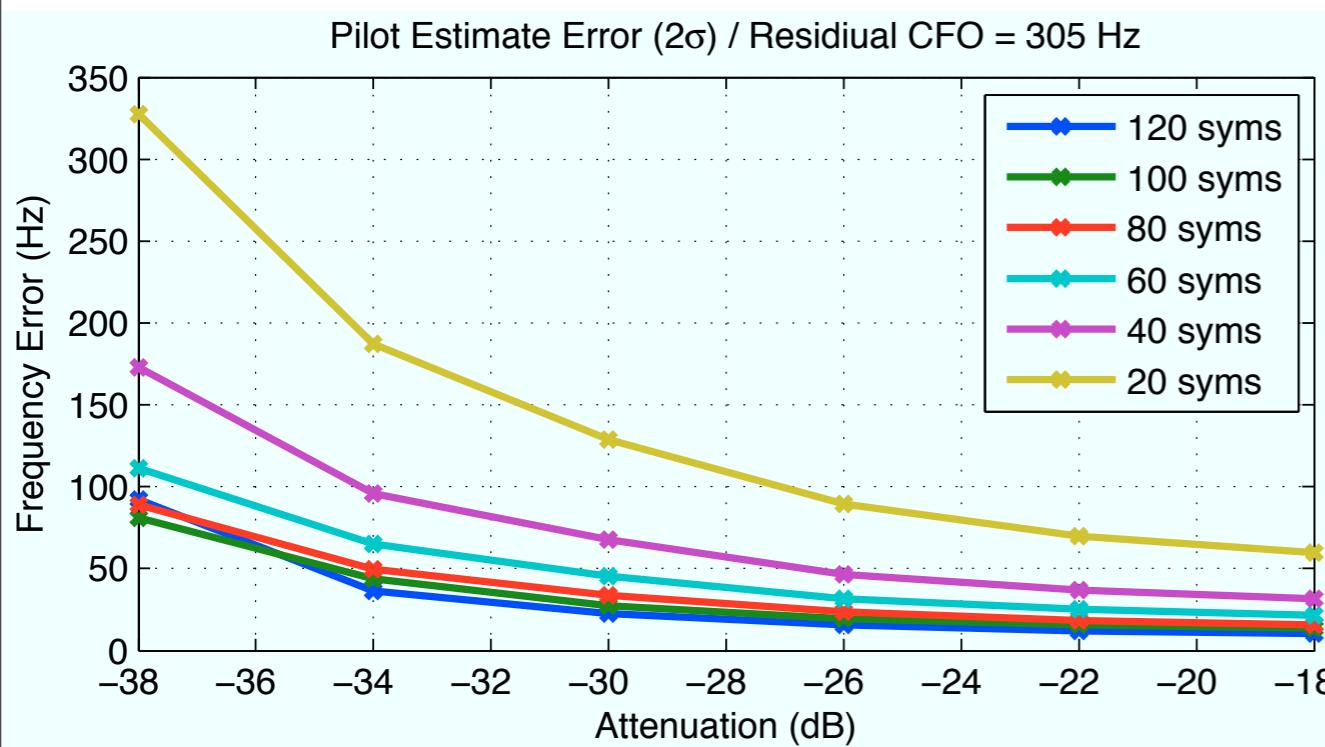
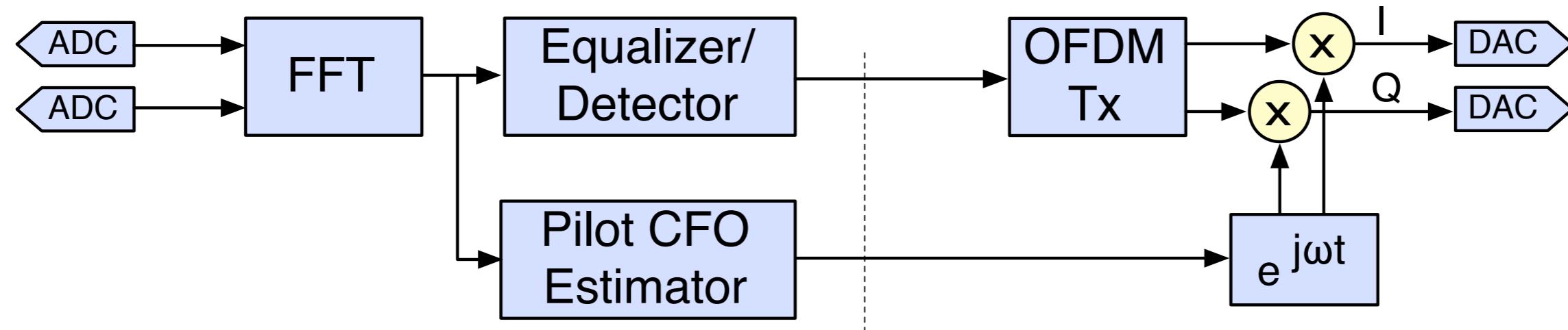


Time Slot 2

Relay CFO Errors



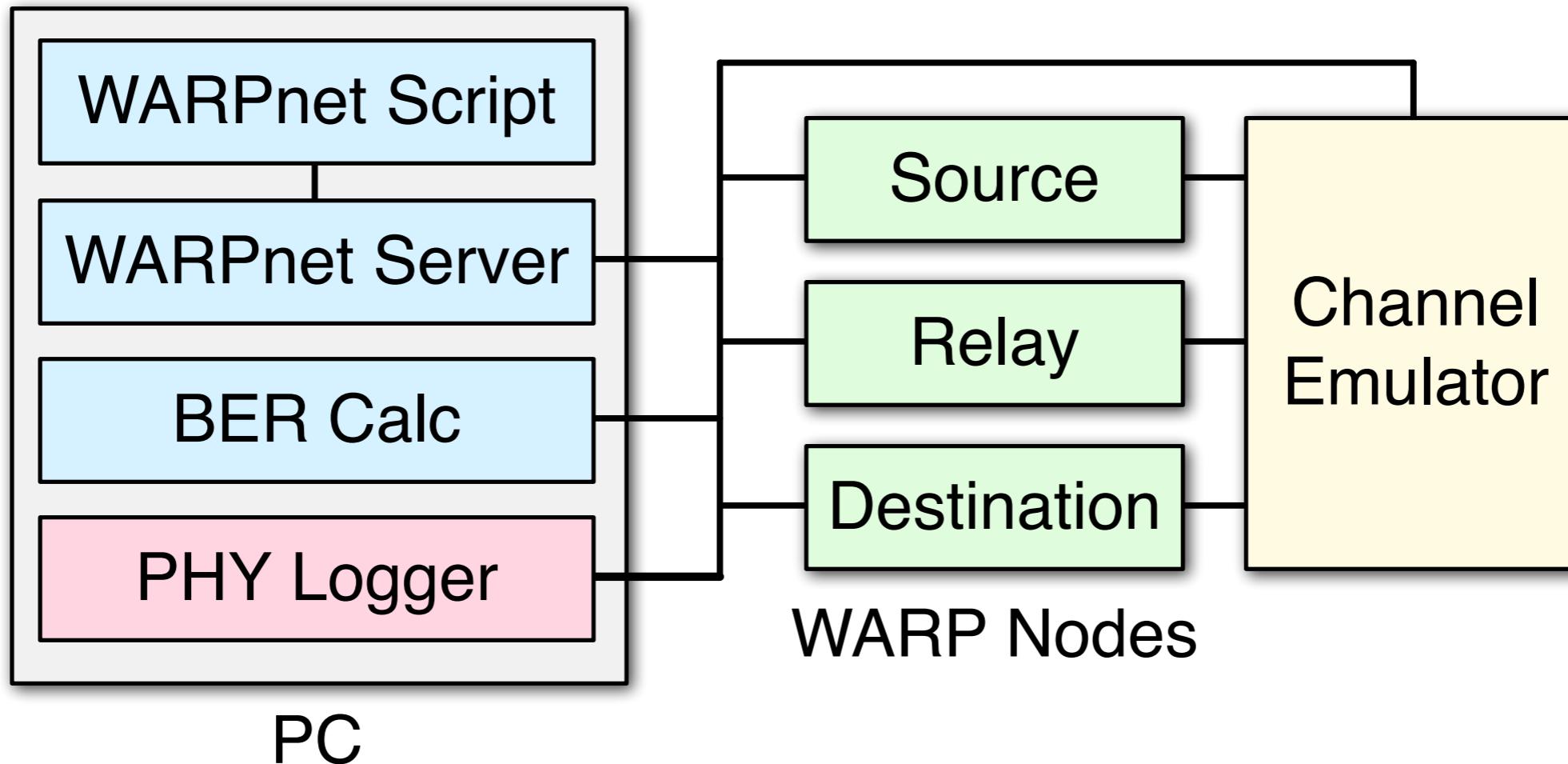
Relay CFO Errors



Time Slot 1

Time Slot 2

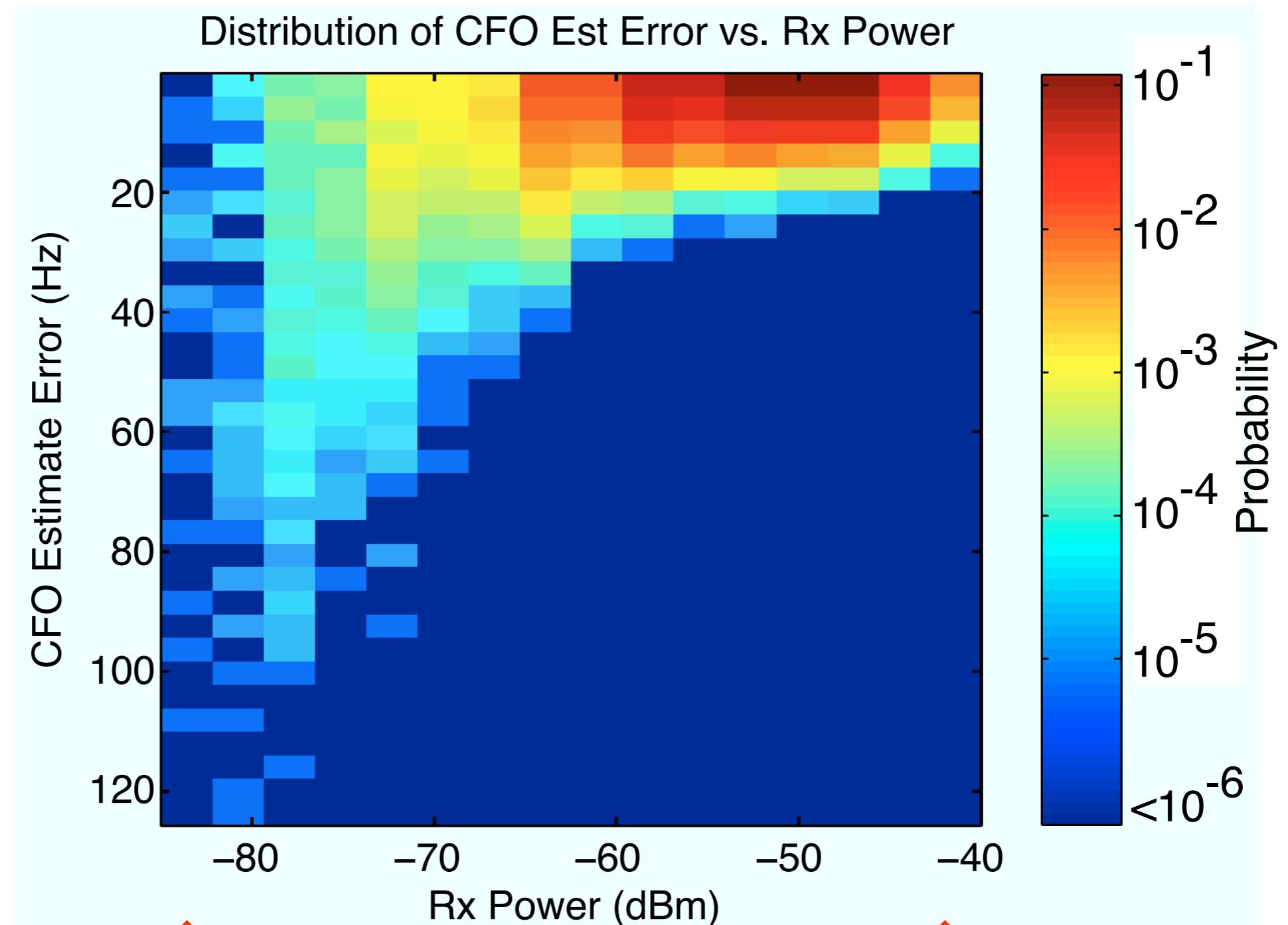
WARPnet Framework



- PHY Logger co-processor acts like node
- Logs per-packet CFO estimates, AGC gains, EVM, RSSI, CRC status & channel coefficients

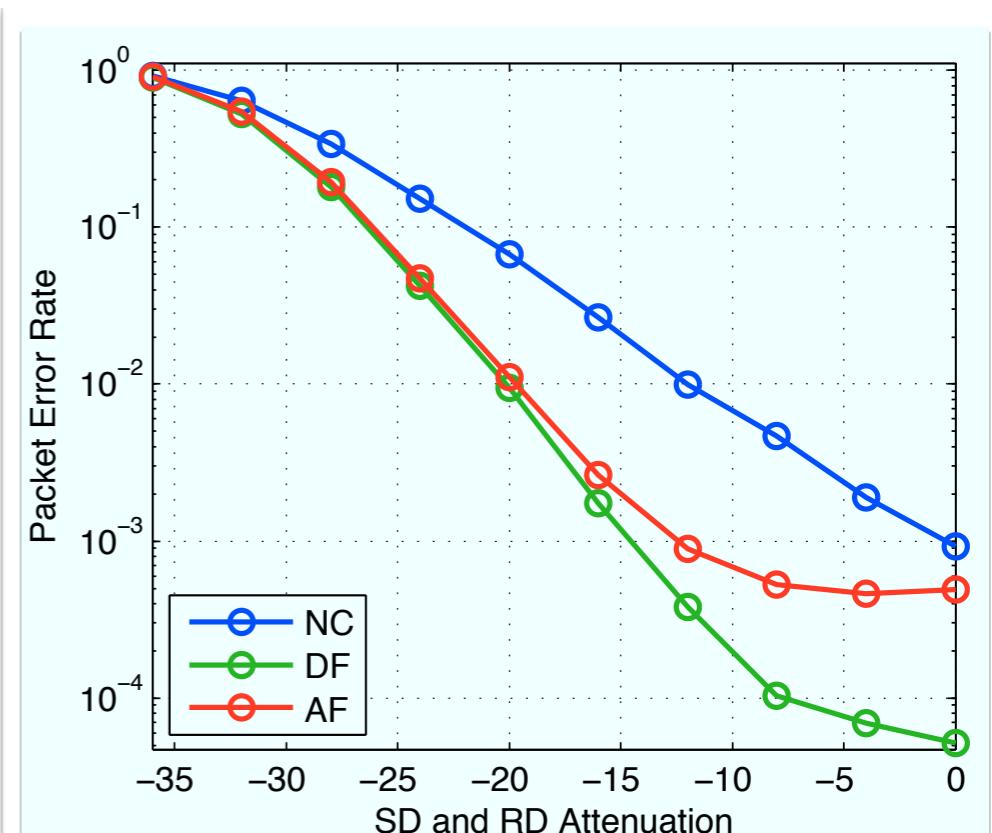
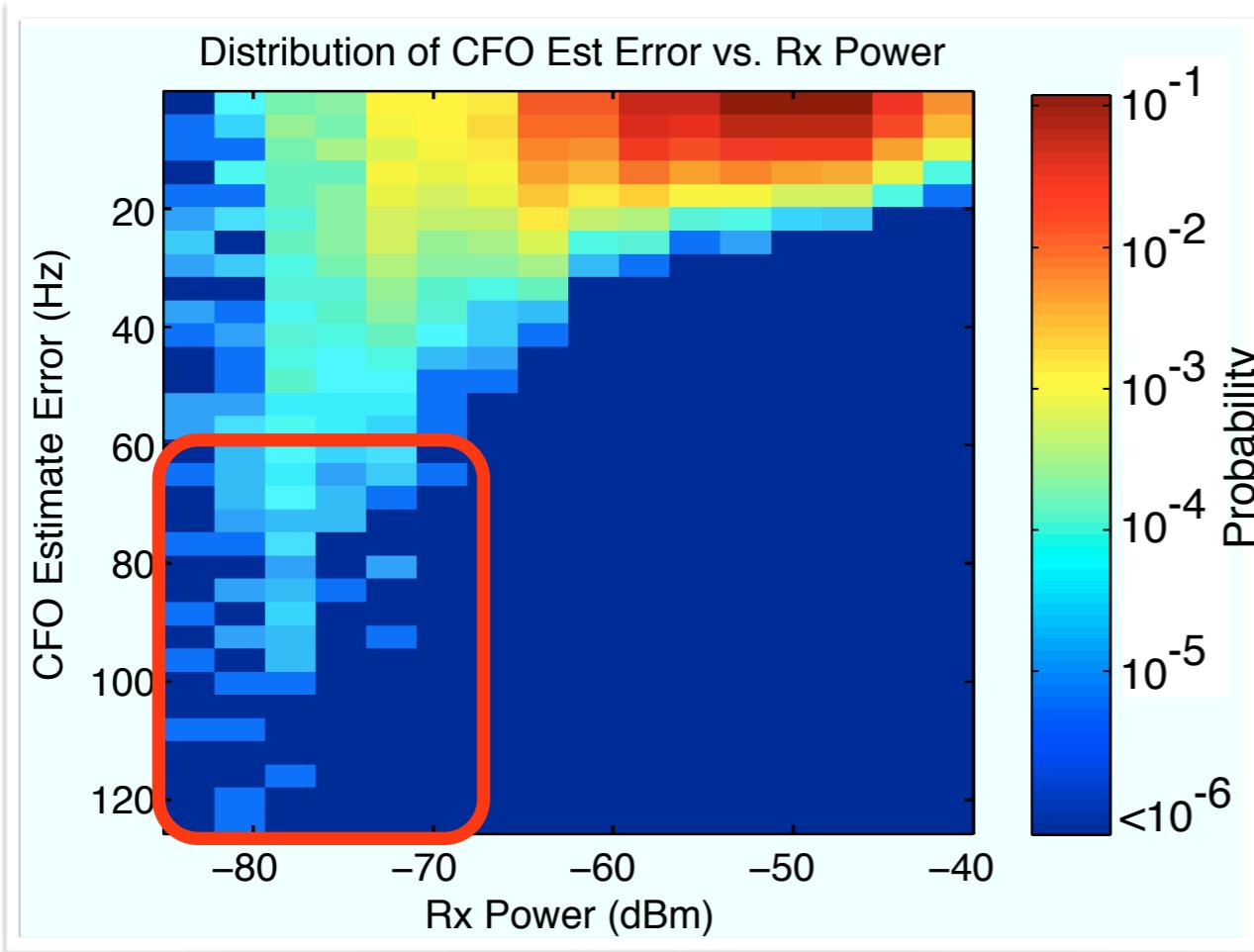
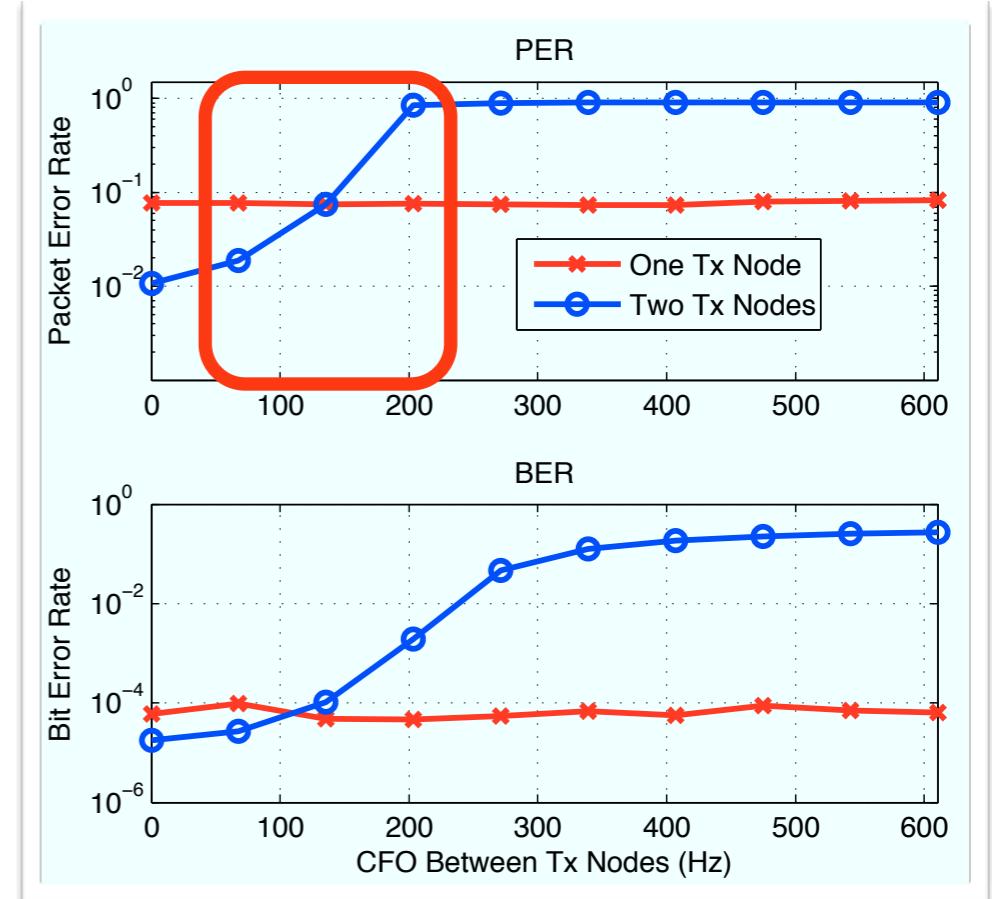
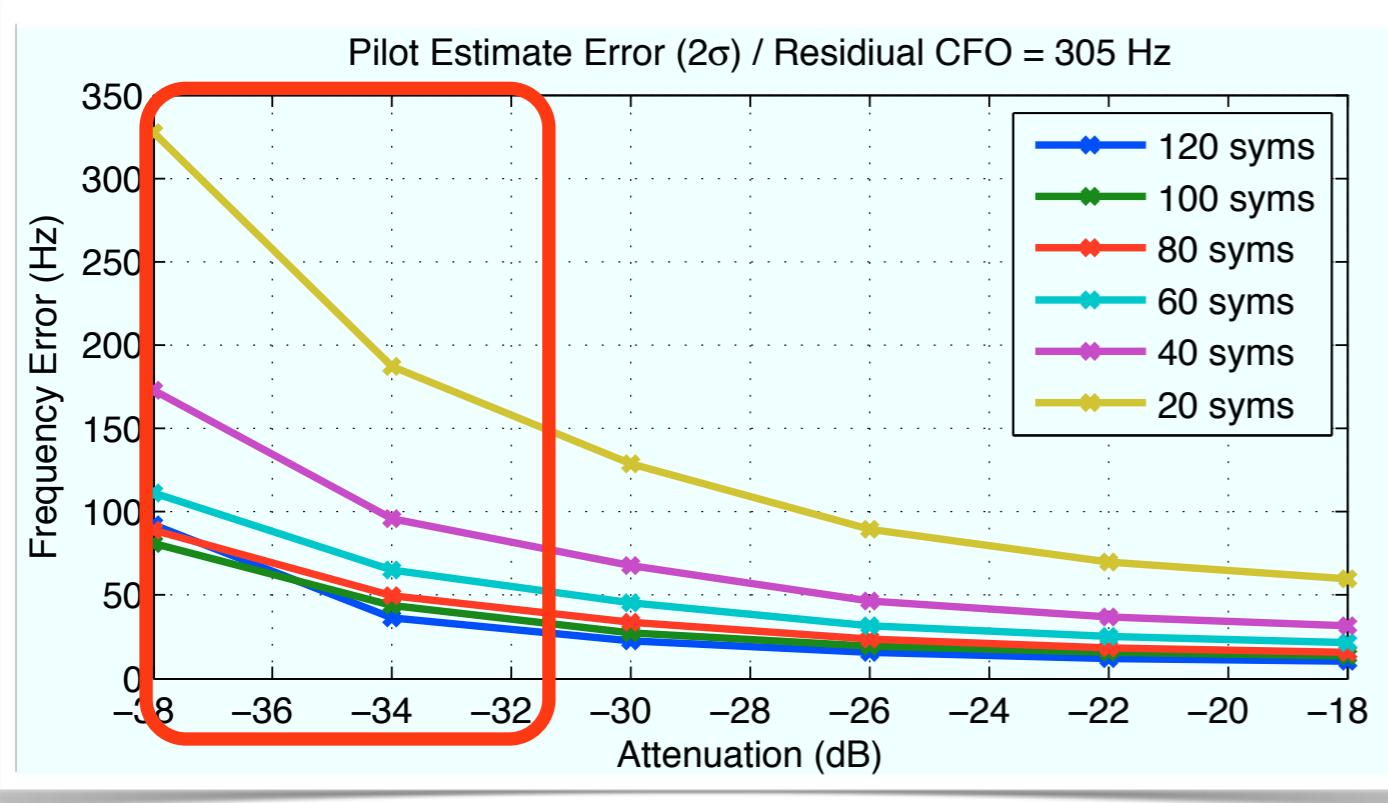
Relay CFO Errors

Smaller
Estimation
Error

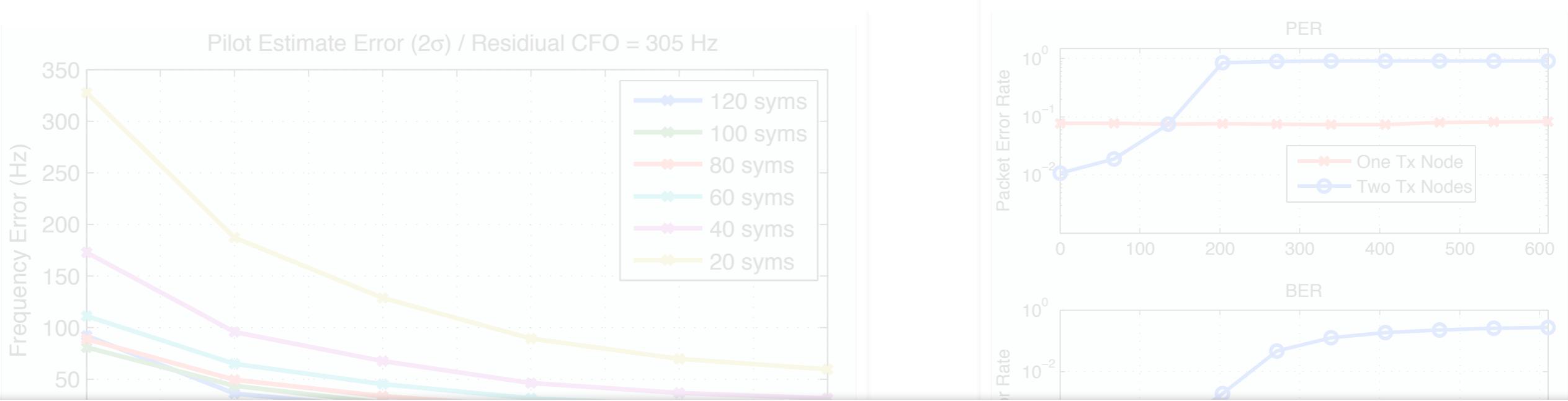


Fading Gives Rx Power Spread

Relay CFO Errors

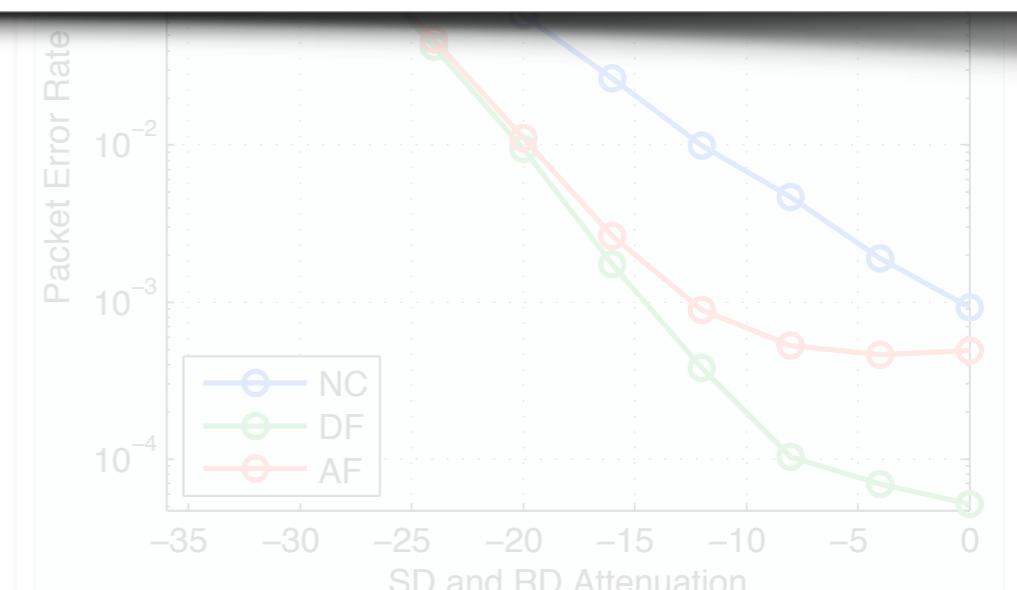
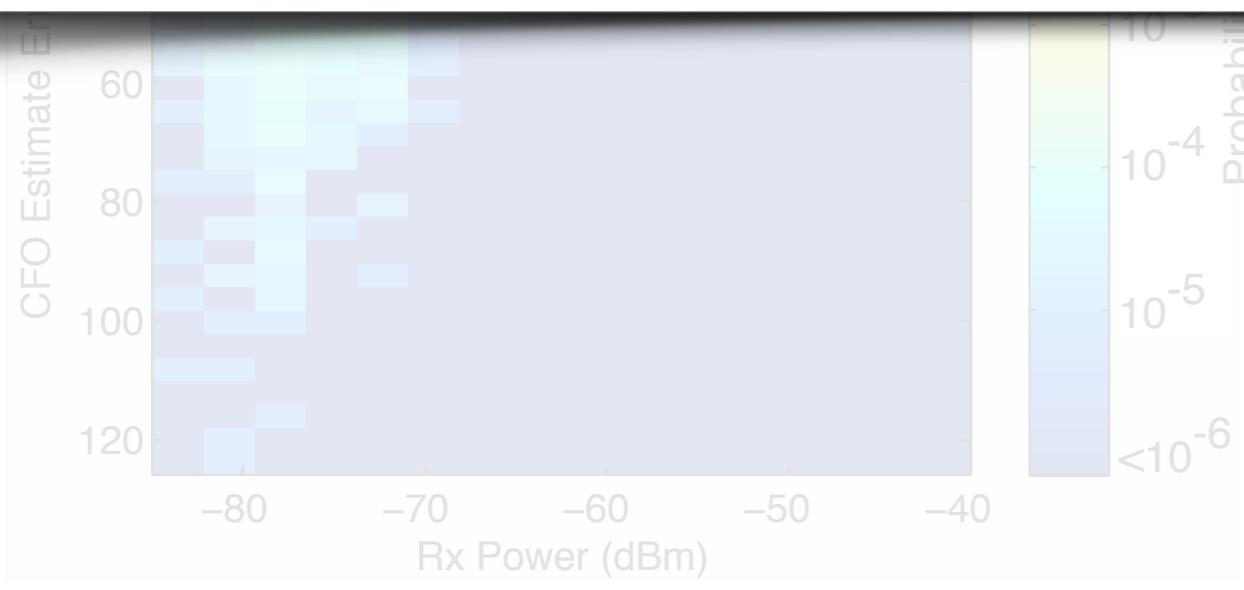


Relay CFO Errors

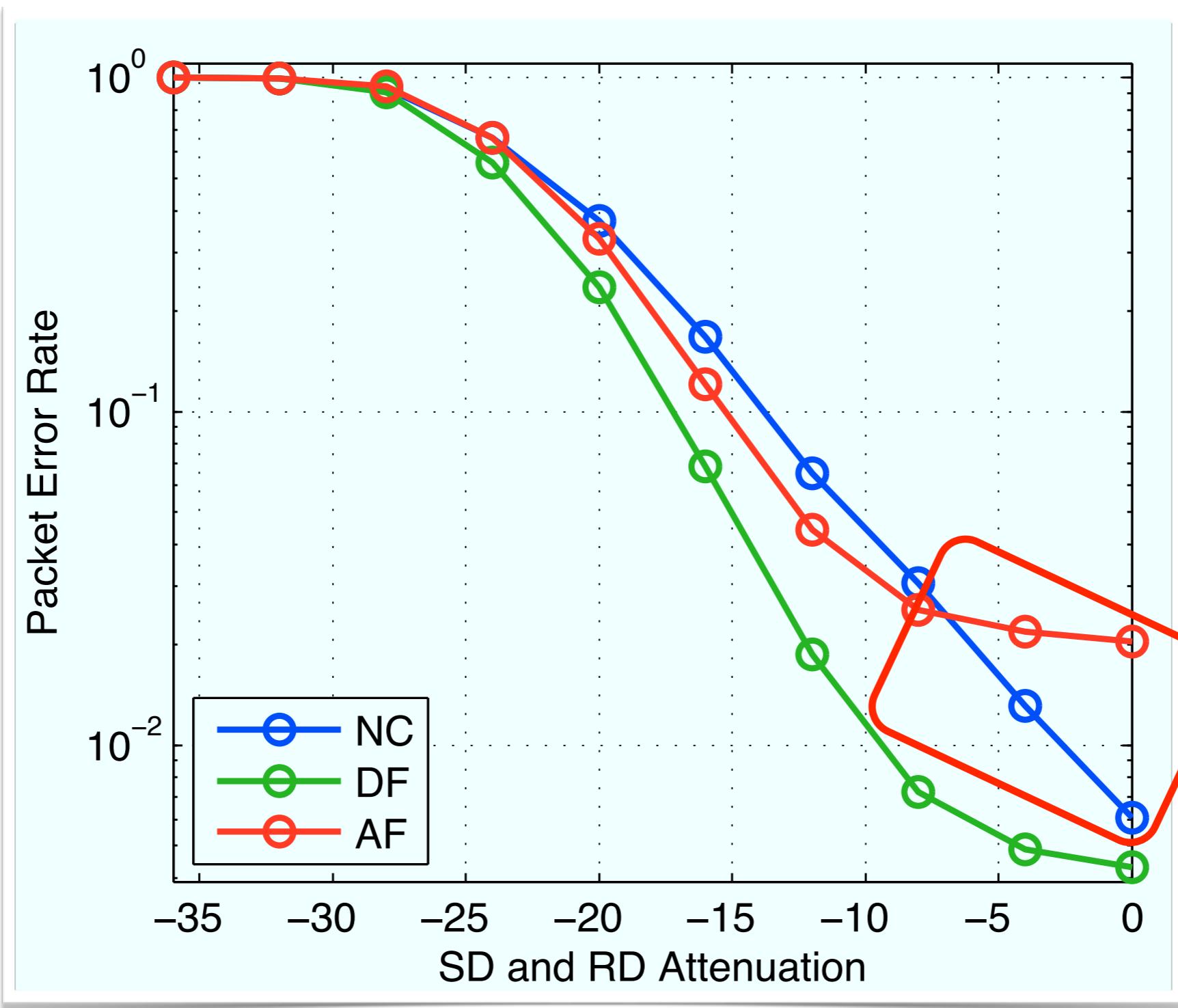


Key Observations

1. Bad DF CFO estimates can cause errors.
2. These errors dominate only at high average SNR.

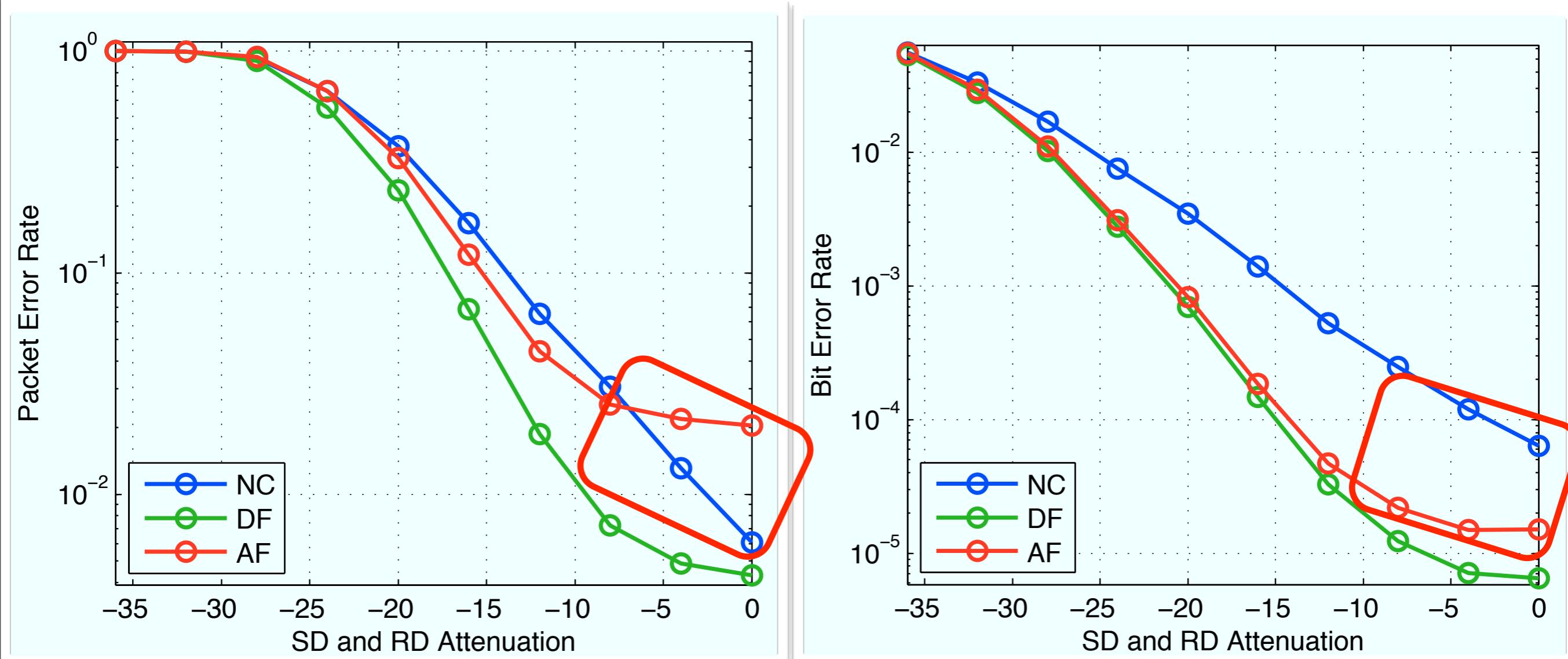


Bit Error Densities



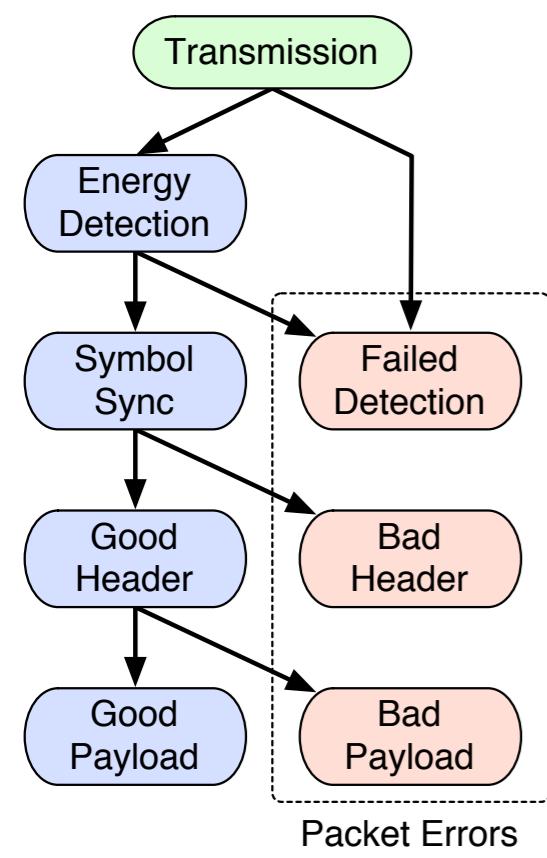
1412 bytes / 16-QAM
Co-located S/R
Flat fading

Bit Error Densities

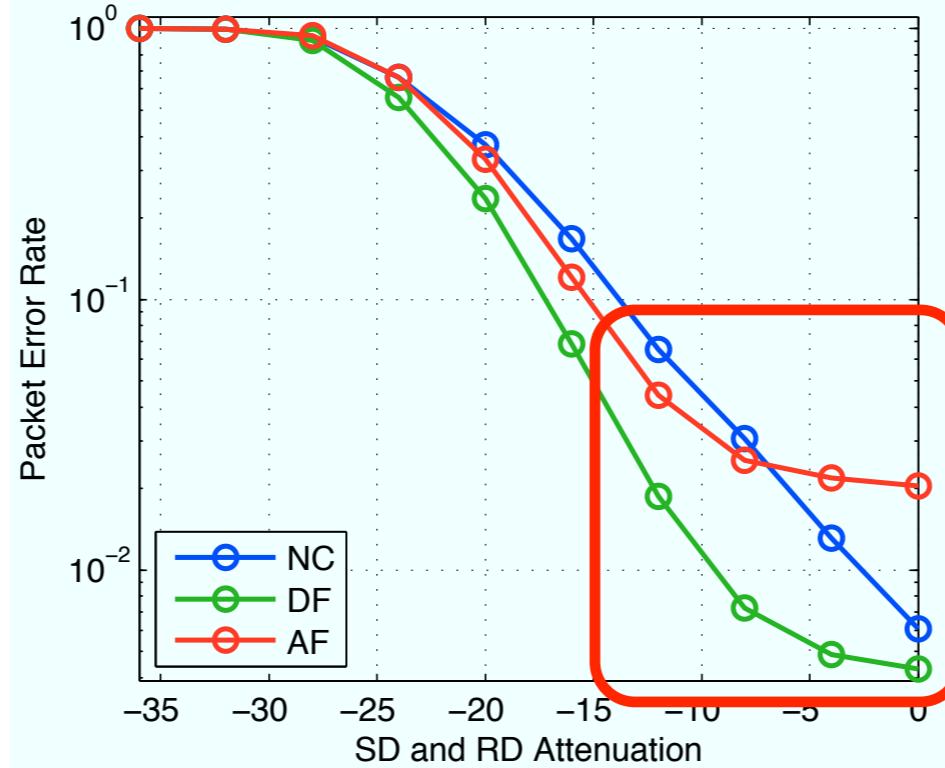


- PER / BER discrepancy for AF
- Noise amplification?

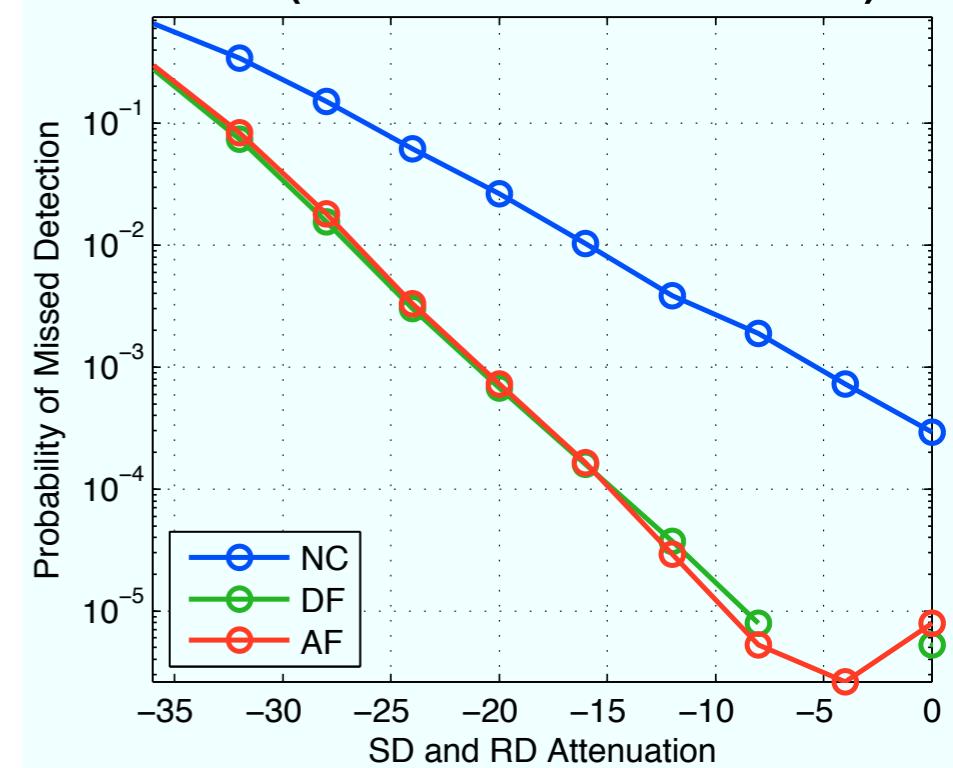
1412 bytes / 16-QAM
Co-located S/R
Flat fading



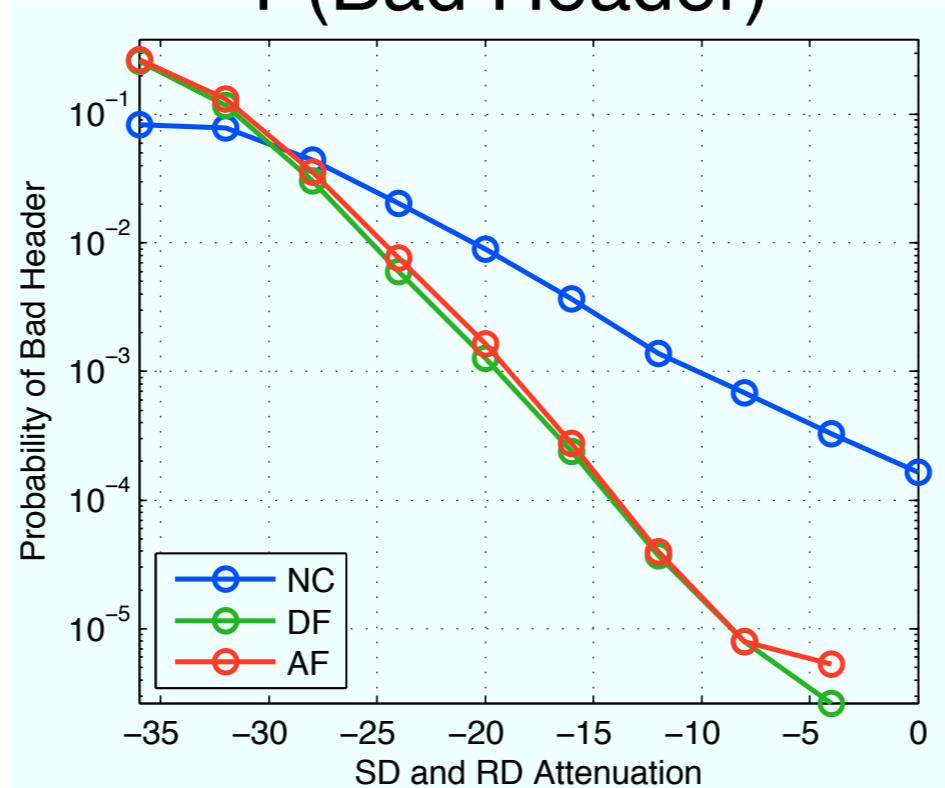
P(Packet Error)



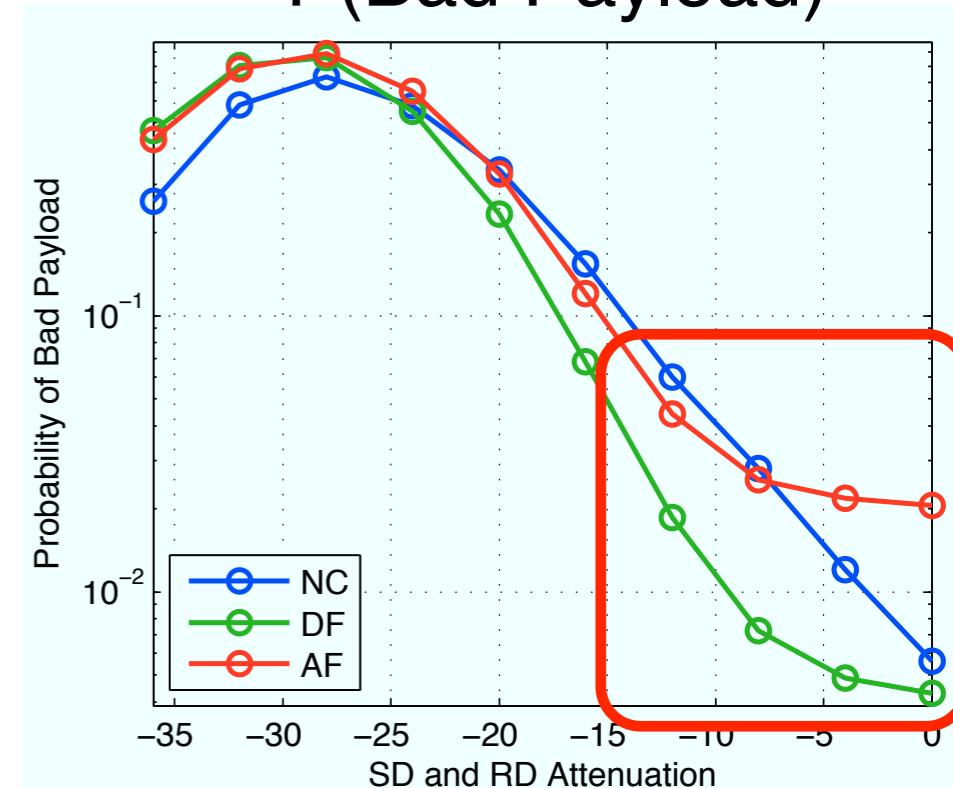
P(Failed Detection)



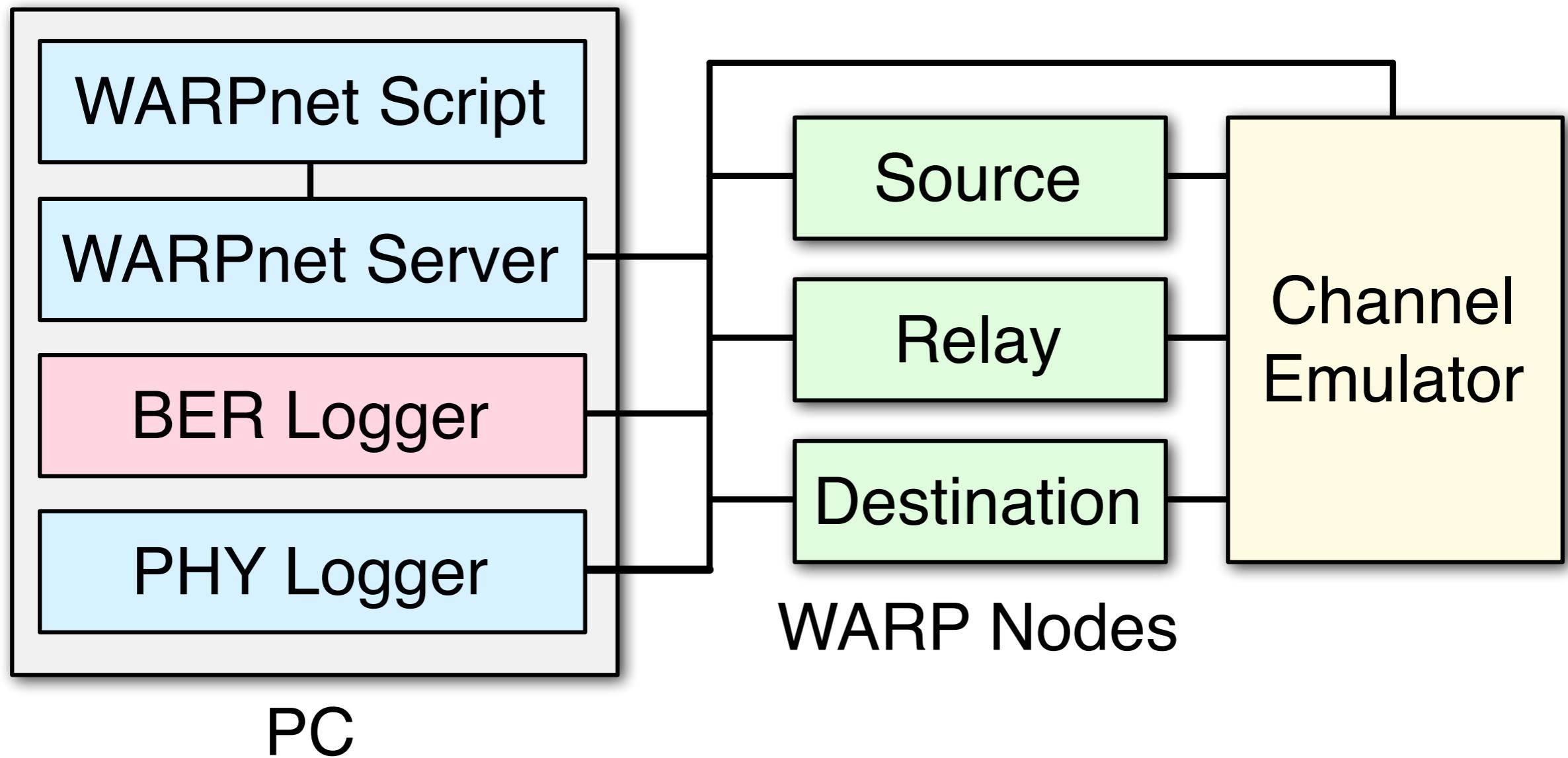
P(Bad Header)



P(Bad Payload)

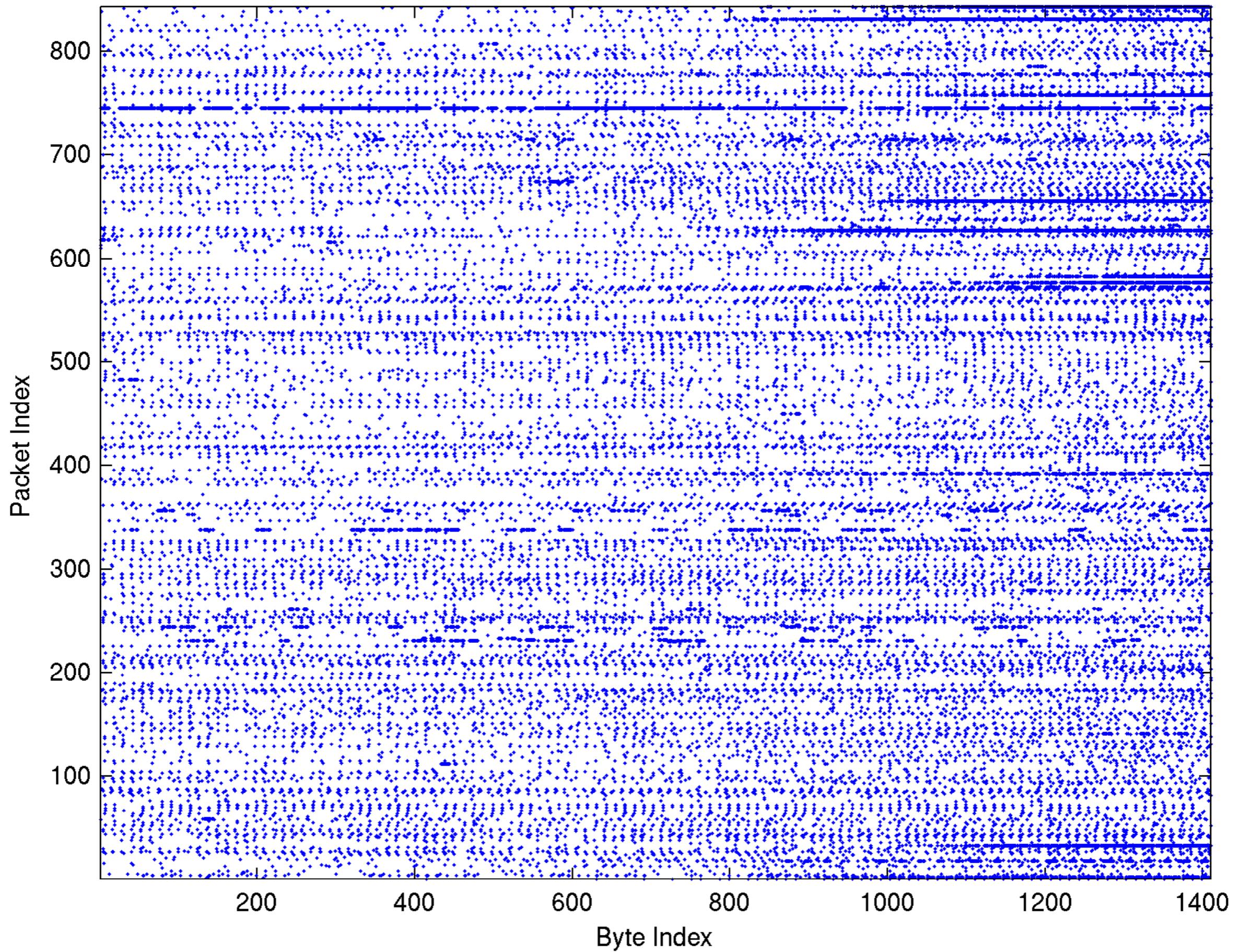


WARPnet Framework

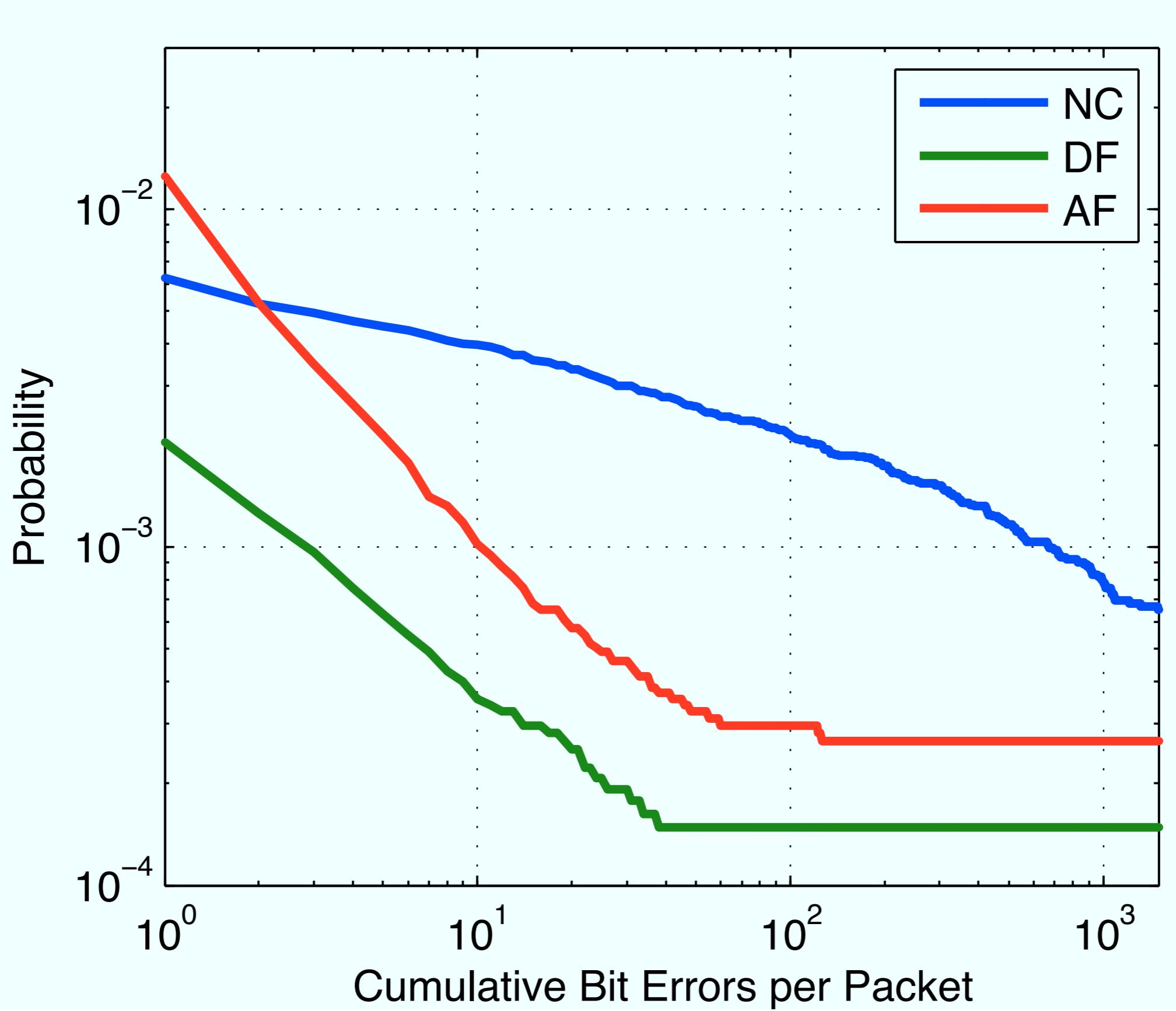


- BER Logger co-processor acts like node
- Logs per-packet bit error indices and MAC header

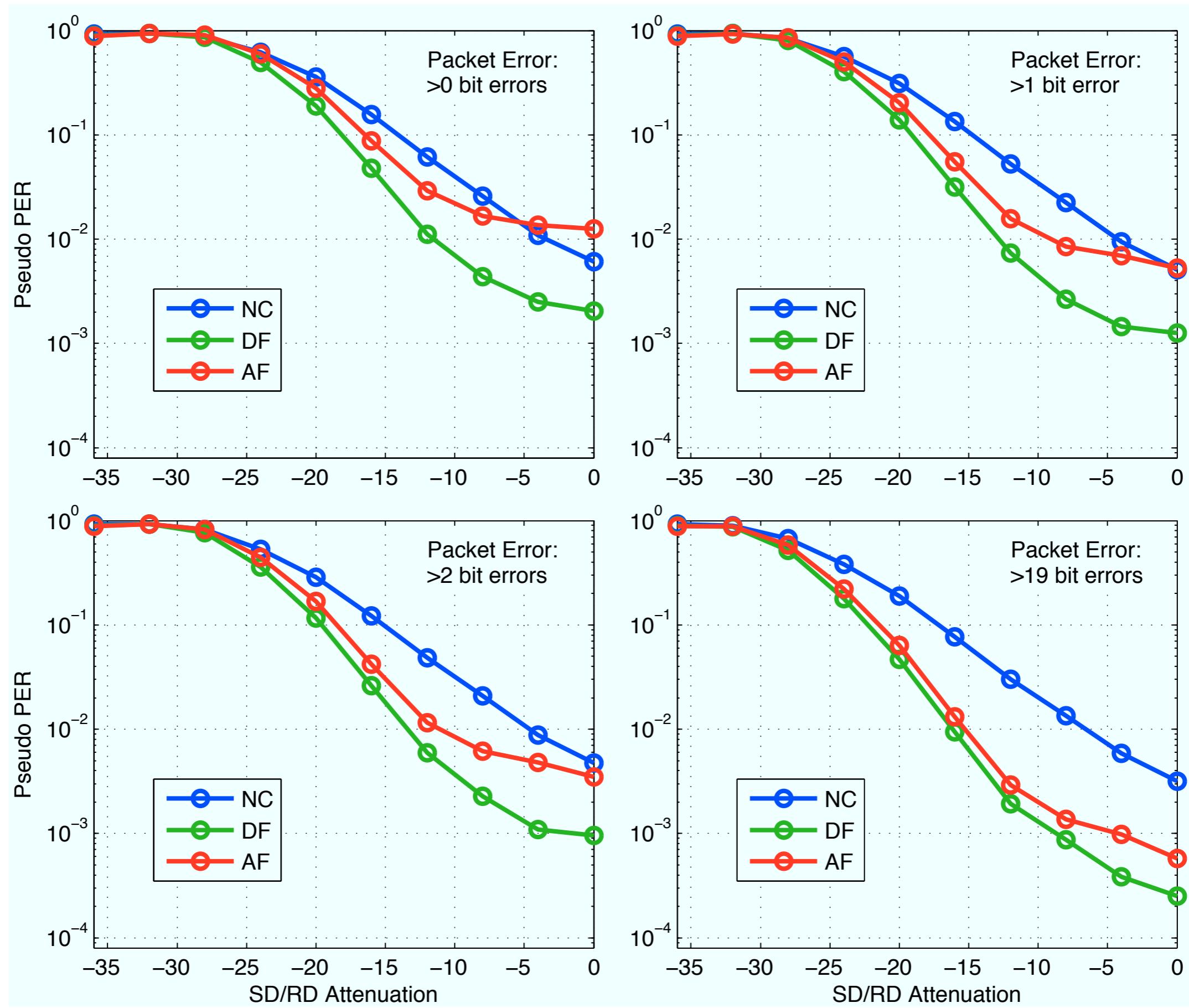
Bit Error Densities



Bit Error Densities



Bit Error Densities

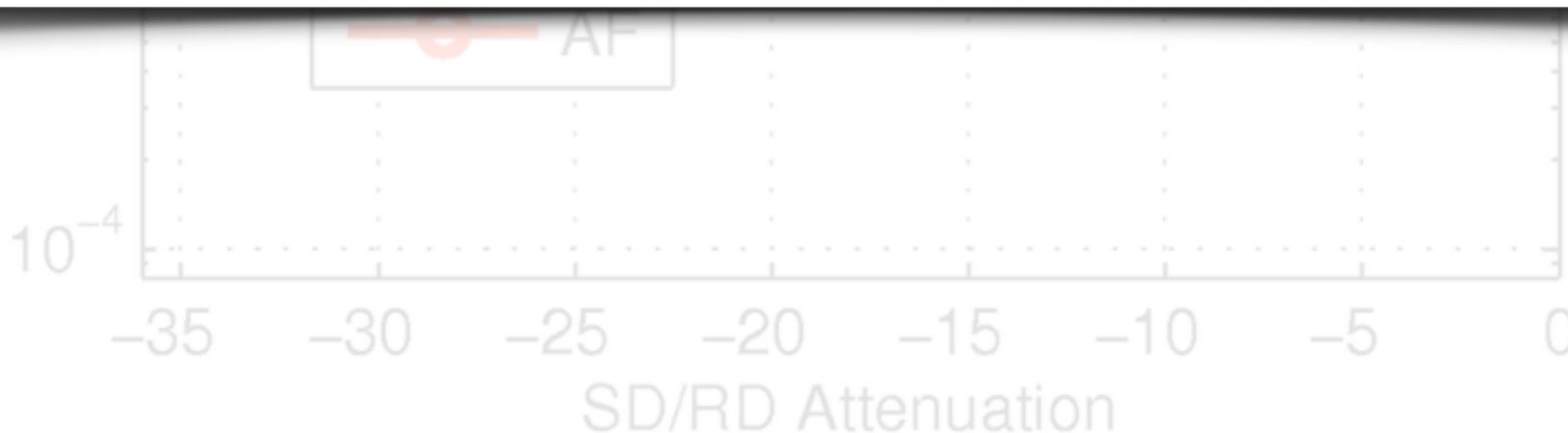


Bit Error Densities



Key Observations

1. AF relays contribute noisy power.
2. Cooperation reduces “badness” of payload errors.



Results Summary

- Cooperative transceiver works
- Cooperation helps, sometimes a lot
- Real-world performance limitations
 - Our methodologies can isolate/explain them

Future Work

- Transceiver extensions
- Cooperation in a network

PHY Extensions

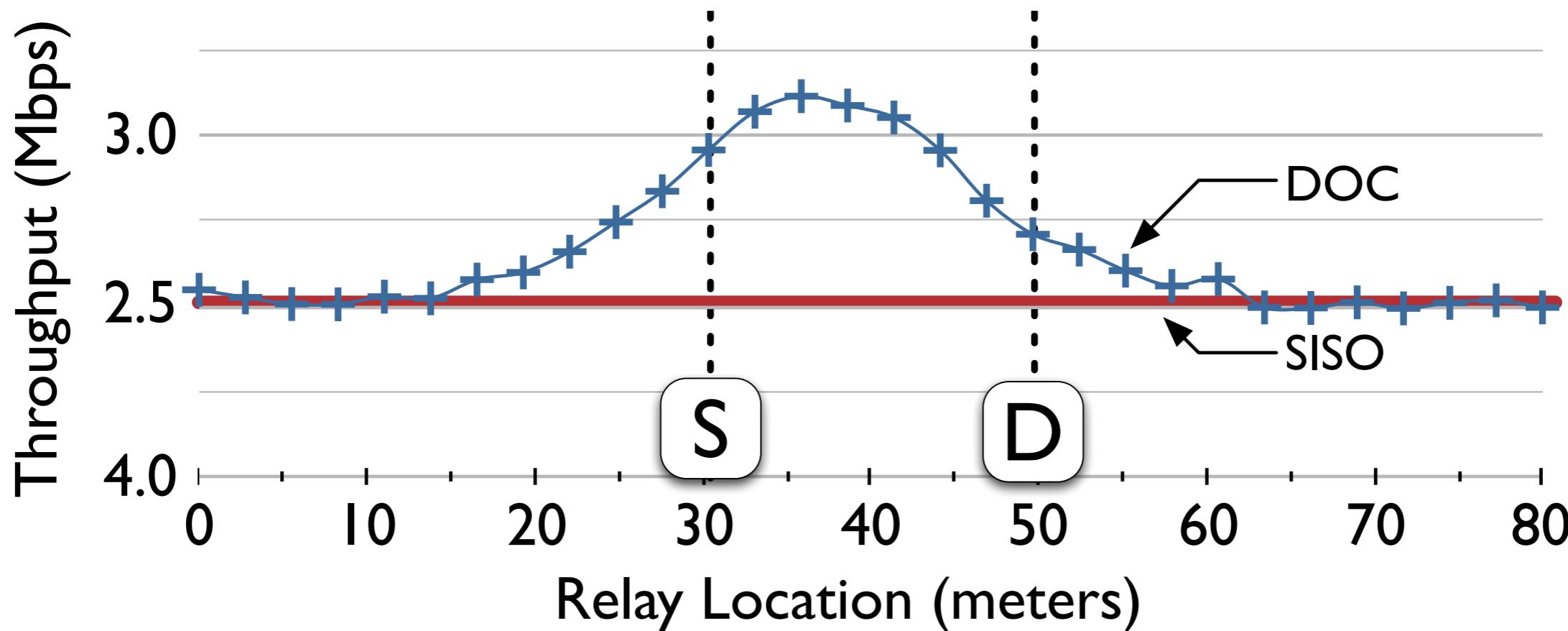
- Temporal combining
 - Extra frequency domain processing & storage
- Error correcting coding
 - Pseudo-PER framework will be useful
- Full duplex relaying
 - Recent narrowband results are promising
 - Extension to wideband/OFDM will be challenging

Cooperation in a Network

- Physical layer characterization shows big gains
- Integration with higher layers is open question
 - How to select relays?
 - Preemptive or reactive cooperation?
 - How to balance local help vs. global hurt?
- Our PHY is network-ready
 - Early results are promising

Distributed On-Demand Cooperation

- Collaboration with Chris Hunter
- Full PHY + MAC implementation
 - PHY cooperation for MAC re-transmissions
- Promising early results



Conclusions

- Cooperation is possible in real systems
- Cooperation helps (sometimes a lot)
- Synchronization is hardest part
- Our designs are ready to enable future work

Questions?