# BLG 453E

Week 14

Basic Geometric 2D shape analysis

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#### Parametrized Curves

- Our goal is to characterize certain subsets of  $\mathbb{R}^3$  (to be called curves) that are:
  - in a certain sense, one-dimensional
  - the methods of differential calculus can be applied.
- A natural way of defining such subsets is through differentiable functions.
  - It has, at all points, derivatives of all orders
  - Autonomically continuous
- **Definition:** A parametrized differentiable curve is a differentiable map

of an open interval I = (a, b) of the real line R into  $R^3$ .

$$\alpha = I \rightarrow R^3$$

- $\alpha$  is a correspondence which maps each  $t \in I$  into a point  $\alpha(t) = (x(t), y(t), z(t)) \in R^3$  in such a way that the functions x(t), y(t), z(t) are differentiable.
  - t: Curve parameter

## Tangent Vector

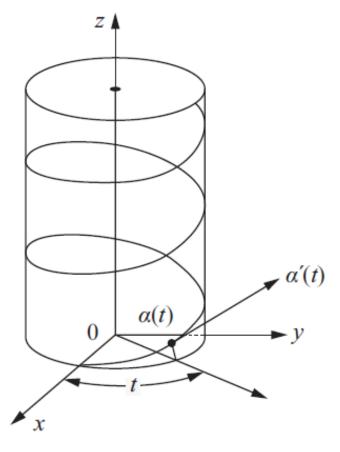
• Considering the first derivatives of x(t), y(t), z(t) the tangent vector is defined as

$$\alpha'(t) = (x'(t), y'(t), z'(t))$$

- Also called velocity vector.
- The set  $\alpha(t) \subset \mathbb{R}^3$  is called the trace of  $\alpha$ .

$$\alpha(t) = (a\cos t, a\sin t, bt), t \in R$$

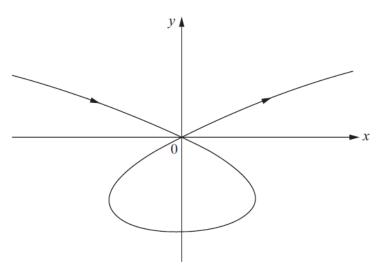
**Trace:** A helix of pitch  $2\pi b$  on the cylinder  $x^2 + y^2 = a^2$ .



#### Parametrized Curves

• Ex. 1: The map  $\alpha: R \to R^2$  given by  $\alpha(t) = (t^3 - 4t, t^2 - 4), t \in R$  is a parametrized differentiable curve.

• Could not be one-to-one.



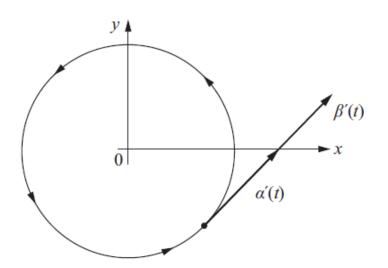
• **Ex. 2:** The map  $\alpha: R \to R^2$  given by  $\alpha(t) = (t, |t|), t \in R$  is is not a parametrized differentiable curve, since |t| is not differentiable at t = 0

## Trace & Velocity

• The two distinct parametrized curves

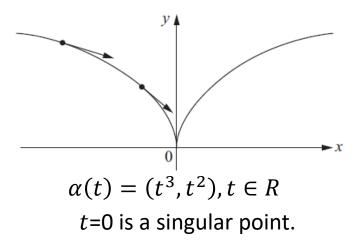
$$\alpha(t) = (\cos t, \sin t)$$
  
$$b(t) = (\cos 2t, \sin 2t)$$

where  $t \in (0 - \epsilon, 2\pi + \epsilon)$ ,  $\epsilon > 0$  have the same trace:  $x^2 + y^2 = 1$ . However, the velocity vector of the second curve is the double of the first one.

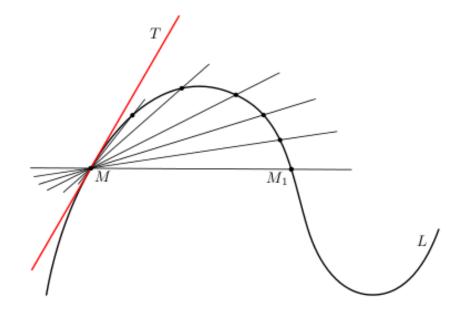


## Regular Curves

- Tangent Line:
  - For each  $t \in I$  where  $\alpha' \neq 0$ , there is a well-defined straight line, which contains the point  $\alpha(t)$  and the vector  $\alpha'(t)$ .
- We call any point t where  $\alpha'(t) = 0$  is a singular point.



• A parametrized differentiable curve  $\alpha: I \to R^3$  is said to be regular if  $\alpha'(t) \neq 0$  for all  $t \in I$ .



## Arc Lengths

• Given  $t_0 \in I$ , the arc length of a regular parametrized curve  $\alpha: I \to R^3$  from the point  $t_0$  is

$$s(t) = \int_{t_0}^t |\alpha'(t)| \, dt$$

where

$$|\alpha'(t)| = \sqrt{x'(t)^2 + y'(t)^2 + z'(t)^2}$$

is the length of vector  $\alpha'(t)$ .

• Since  $\alpha'(t) \neq 0$ , the arc length s is a differentiable function of t and  $\frac{ds}{dt} = |\alpha'(t)|$ .

## Parametrization by arc length

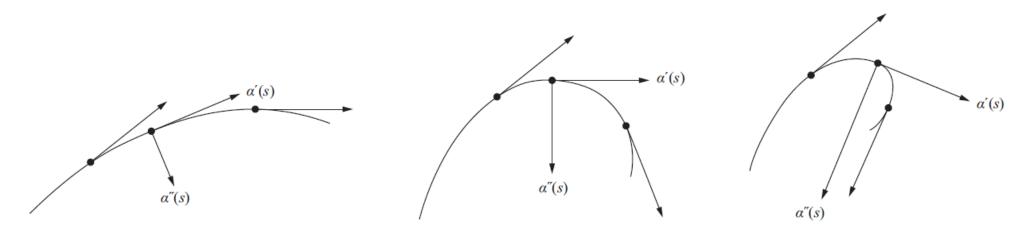
- To work with velocity vectors with constant magnitude, the parameter t could be chosen to represent the arc length s.
- In this case,  $\frac{ds}{dt} = |\alpha'(t)| = 1$ .
- Then

$$s = \int_{t_0}^t dt = t - t_0$$

- t is the arc length of  $\alpha$  measured from some point.
- It is not necessary to mention the origin of the arc length s, since most concepts are defined only in terms of the derivatives of  $\alpha(s)$ .

#### Curvature

- The curve  $\alpha: I = (a, b) \to R^3$  could also be parametrized by arc length s.
- Using tangent vectors of unit length  $\alpha'(s)$ , the norm  $|\alpha''(s)|$  of the second derivative measures the rate of change of the angle which neighboring tangents make with the tangent at s.
  - how rapidly the curve pulls away from the tangent line at s, in a neighborhood of s
- **Curvature:** For a curve parametrized by arc length  $s \in I$ , the number  $|\alpha''(s)| = k(s)$  is called the curvature of  $\alpha$  at s.



#### Curvature

- If  $\alpha$  is a straight line,  $\alpha(s) = us + v$ , where u and v are constant vectors (|u| = 1), then k = 0.
- By a change of orientation, the tangent vector changes its direction; that is, if  $\alpha(s) = \beta(-s)$ , then

$$\frac{d\beta}{d(-s)}(-s) = -\frac{d\alpha}{ds}(s)$$

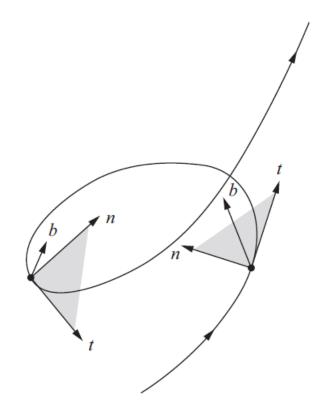
 $\alpha''(s)$  and the curvature remain invariant under a change of orientation.

• At points where  $k(s) \neq 0$ , we can define:

$$\alpha''(s) = k(s)n(s) = t'(s)$$

$$b(s) = t(s) \times n(s)$$

$$b'(s) = t'(s) \times n(s) + t(s) \times n'(s) = t(s) \times n'(s)$$



t(s): unit tangent vector

**n(s):** unit normal vector

**b(s):** binormal vector, normal of the

osculating plane

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#### Torsion

$$b'(s) = t'(s) \times n(s) + t(s) \times n'(s) = t(s) \times n'(s)$$

• Since b'(s) is parallel to n(s), we may write

$$b'(s) = \tau(s)n(s)$$

for some function  $\tau(s)$ .

- **Definition:** Let  $\alpha: I \to R^3$  be a curve parametrized by arc length s such that  $\alpha''(s) \neq 0, s \in I$ ,  $\tau(s)$  defined by  $b'(s) = \tau(s)n(s)$  is called the torsion of  $\alpha$  at point s.
- If  $\alpha$  is contained in a plane then the plane of the curve agrees with the osculating plane; hence  $\tau(s)=0$ .

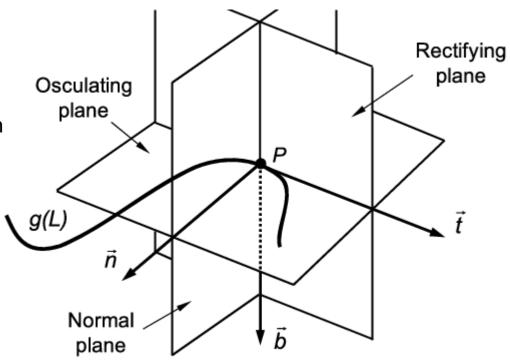
## Frenet Equations

- To each value of the parameter s, we have associated three orthogonal unit vectors t(s), n(s), b(s).
- The trihedron thus formed is referred to as the Frenet trihedron at s.
- Derivaties of these vectors will give us geometrical information g(L) around the neighborhood.

$$t'(s) = k(s)n(s)$$

$$b'(s) = \tau(s)n(s)$$

$$n'(s) = b'(s) \times t(s) + t(s) \times t'(s) = -\tau(s)b(s) - k(s)t(s)$$

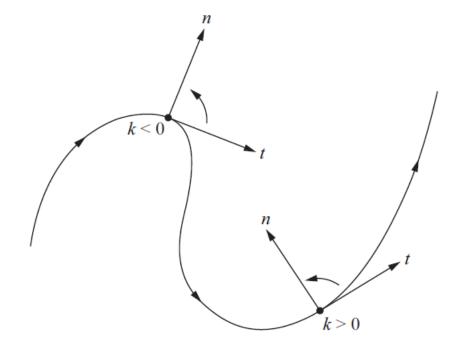


Pombo, J., & Ambrósio, J. (2012). An alternative method to include track irregularities in railway vehicle dynamic analyses. *Nonlinear Dynamics*, *68*, 161-176.

#### Curvature Orientation

$$t'(s) = k(s)n(s)$$

- **k(s)>0:** The curve bends towards the side of n, and the curvature vector aligns with n
- k(s)<0: The curve bends away from the side of n, and the curvature vector points opposite to n.
- The sign of k(s) determines whether n points "inside" or "outside" the curve's bend.

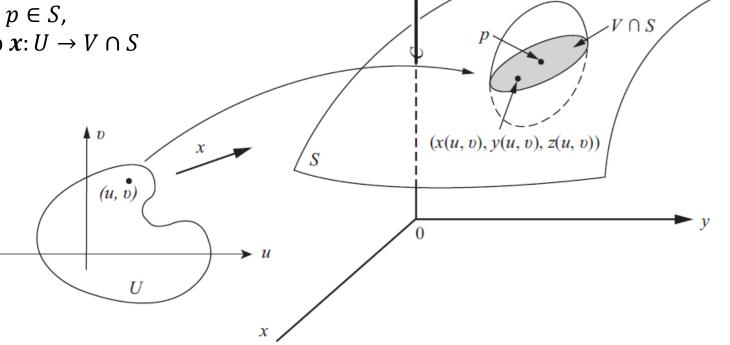


## Regular Surfaces

• A regular surface in  $\mathbb{R}^3$  is obtained by taking pieces of a plane, deforming them, and arranging them in such a way that the resulting figure has no sharp points, edges, or self-intersections.

A subset  $S \subset \mathbb{R}^3$  is a regular surface if, for each  $p \in S$ , there exists a neighborhood V in  $\mathbb{R}^3$  and a map  $x: U \to V \cap S$  of an open set  $U \subset \mathbb{R}^2$  onto  $V \cap S \subset \mathbb{R}^3$ .

- The mapping x is called a parametrization of local coordinates in the neighborhood of p.
- The neighborhood  $V \cap S$  is called the coordinate neighborhood.



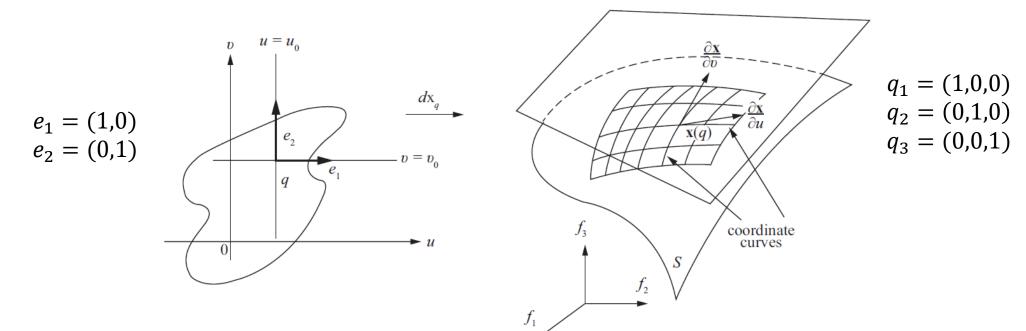
## Properties of Regular Surfaces

1. x is differentiable. This means that if we write

$$\mathbf{x}(u,v) = (\mathbf{x}(u,v), \mathbf{y}(u,v), \mathbf{z}(u,v)), (u,v) \in U,$$

the functions x(u, v), y(u, v), z(u, v) have continuous partial derivatives of all orders in U.

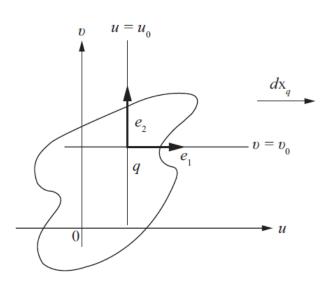
- **2.** x is a homeomorphism. Both x and its inverse  $x^{-1}$ :  $V \cap S \to U$  are continuous.
- **3.** For each  $q \in U$ , the differential  $dx_q: R^2 \to R^3$  is one-to-one.

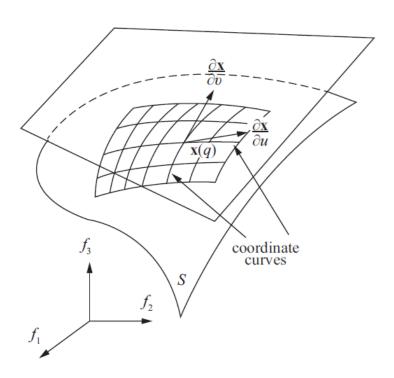


# $dx_q$

- Let  $q = (u_0, v_0)$ .
- For a constant  $v_0$ , by aligning the values of u, we can create the coordinate curve ( $e_1$  in  $\mathbb{R}^2$ )

$$u \rightarrow (x(u, v_0), y(u, v_0), z(u, v_0))$$





which lies on S and has a tangent vector at x(q).

$$dx_q(e_1) = \left(\frac{\partial x}{\partial u}, \frac{\partial y}{\partial u}, \frac{\partial z}{\partial u}\right) = \frac{\partial x}{\partial u}$$

• Similarly, for  $e_2$ :

$$v \rightarrow (x(u_0, v), y(u_0, v), z(u_0, v))$$

$$dx_q(e_2) = \left(\frac{\partial x}{\partial v}, \frac{\partial y}{\partial v}, \frac{\partial z}{\partial v}\right) = \frac{\partial x}{\partial v}$$

• Thus, the matrix of the linear map  $dx_q$  is:

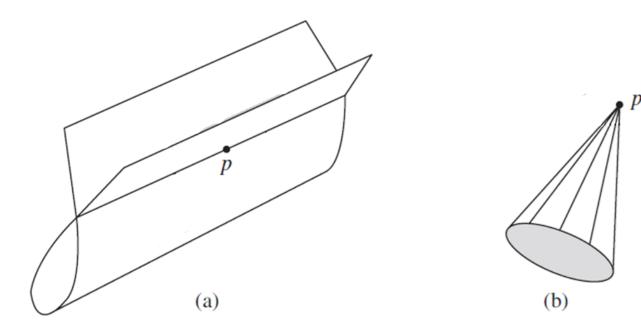
$$dx_{q} = \begin{bmatrix} \frac{\partial x}{\partial u} & \frac{\partial x}{\partial v} \\ \frac{\partial y}{\partial u} & \frac{\partial y}{\partial v} \\ \frac{\partial z}{\partial u} & \frac{\partial z}{\partial v} \end{bmatrix}$$

#### **Cond 3.**

The two column vectors of this matrix to be linearly independent.

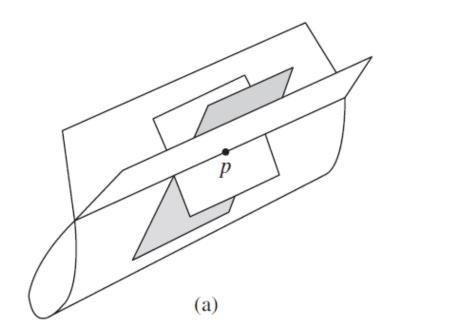
#### Q:

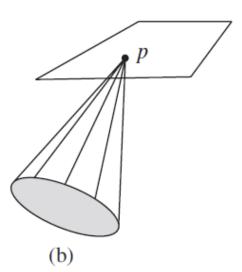
• Could we define regular surfaces for the given points?



#### Q:

• Could we define regular surfaces for the given points?





## Unit Sphere

Let us show that the unit sphere

$$S^2 = \{(x, y, z) \in \mathbb{R}^3; x^2 + y^2 + z^2 = 1\}$$

is a regular surface.

A subset  $S \subset \mathbb{R}^3$  is a regular surface if, for each  $p \in S$ , there exists a neighborhood V in  $\mathbb{R}^3$  and a map  $x: U \to V \cap S$  of an open set  $U \subset \mathbb{R}^2$  onto  $V \cap S \subset \mathbb{R}^3$ .

First, check for x:

$$x_1(x,y) = (x, y, \sqrt{1 - (x^2 + y^2)}), \quad (x,y) \in U$$
  
 $U = \{(x,y) \in R^2; x^2 + y^2 < 1\}$ 

Since  $x^2 + y^2 < 1$ , the function  $\sqrt{1 - (x^2 + y^2)}$  has continuous partial derivatives of all orders. Thus Condition 1 holds.

Condition 2 holds, since  $x_1$  is one-to-one and  $x_1^{-1}$  could be reobtained by projection.

Condition 3 holds, since 
$$\frac{\partial x_1}{\partial x} = \left(1,0,\frac{-x}{\sqrt{1-(x^2+y^2)}}\right)$$
 and  $\frac{\partial x_1}{\partial y} = \left(0,1,\frac{-y}{\sqrt{1-(x^2+y^2)}}\right)$  are linearly independent.

## Unit Sphere

• We shall now cover the whole sphere with similar parametrizations as:

$$x_2(x,y) = (x, y, -\sqrt{1 - (x^2 + y^2)})$$

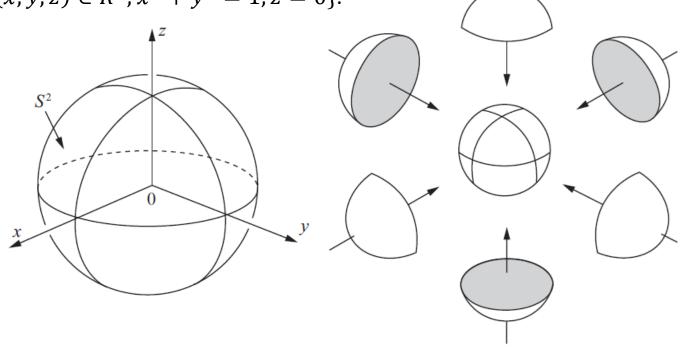
- $x_1(U) \cup x_2(U)$  covers  $S^2$  minus the equator  $\{(x, y, z) \in R^3; x^2 + y^2 = 1, z = 0\}$ .
- Using the xz and zy planes, we can define other parametrizations:

$$x_3(x,z) = (x, \sqrt{1 - (x^2 + z^2)}, z)$$

$$x_4(x,z) = (x, -\sqrt{1 - (x^2 + z^2)}, z)$$

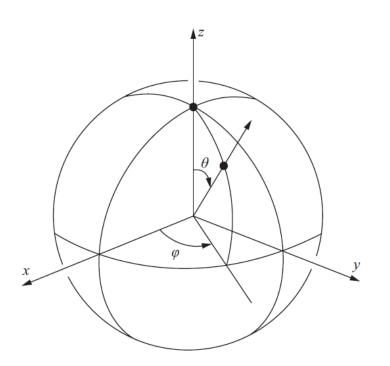
$$x_5(y,z) = (\sqrt{1 - (y^2 + z^2)}, y, z)$$

$$x_6(y,z) = (-\sqrt{1 - (y^2 + z^2)}, y, z)$$



## Geographical Coordinates

• It is convenient to relate parametrizations to the geographical coordinates on  $S^2$ .



$$V = \{(\theta, \phi); 0 < \theta < \pi, 0 < \phi < 2\pi\}$$
  
  $x: V \to R^3$ 

$$x(\theta,\phi) = (\sin\theta\cos\phi, \sin\theta\sin\phi, \cos\theta)$$

colatitude longitude

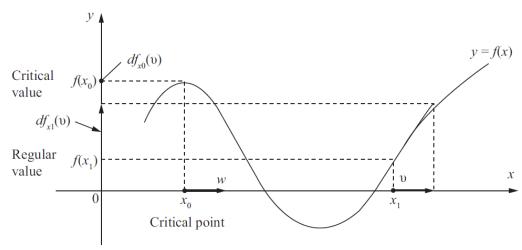
### Differential Functions in $\mathbb{R}^n$

• If  $f: U \to R$  is a differentiable function in an open set U of  $R^2$ , then the graph of f:

$$(x, y, f(x, y))$$
 for  $(x, y) \in U$ 

is a regular surface.

• Given a differentiable map  $F: U \subset \mathbb{R}^n \to \mathbb{R}^m$ , we say that  $p \in U$  is a critical point of F if the differential  $dF_p: \mathbb{R}^n \to \mathbb{R}^m$  is not a surjective mapping.



• If  $f: U \subset \mathbb{R}^3 \to R$  is a differentiable function and  $a \in f(U)$  is a regular (non-critical) value of f, then  $f^{-1}(a)$  is a regular surface in  $\mathbb{R}^3$ .

#### Ex:

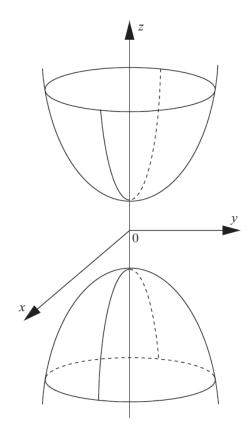
• The ellipsoid  $\frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} = 1$  is a regular surface.

$$f(x, y, z) = \frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} - 1$$

is a differentiable function and 0 is a regular value of f. 
$$f_x = \frac{2x}{a^2}, \ f_y = \frac{2y}{b^2}, f_z = \frac{2z}{c^2}$$

• The hyperboloid of two sheets  $-x^2 - y^2 + z^2 = 1$  is a regular surface.  $f(x, y, z) = -x^2 - y^2 + z^2 - 1$ 

Note that the surface S is not connected.



#### Ex:

• The torus T is a regular surface generated by rotating a circle  $S^1$  of radius r about a straight line belonging to the plane of the circle and at a distance a > r away from the center of the circle.

$$f(x, y, z) = z^2 + \left(\sqrt{x^2 + y^2} - a\right)^2$$

