#### Induction in the version space

Séance « IVS »

de l'UE « apprentissage automatique »

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#### Reference

 Chapter 4 of « Apprentissage Artificiel » Cornuéjols & Miclet

 Comments with my personal view to teach the key ideas of induction in version space.

The outline follows the outline of the chapter.

#### Outline

- Overview
- Example and notations
- Basic concepts: hypothesis, generality
- Structure of the hypothesis space
- Construction of the version space
- Candidate elimination algorithm
- Conclusion

#### Overview

- Supervised induction of concepts with examples and cons-examples
- Look for any hypothesis in H that is coherent with data
- H is too big to be managed explicitly
- H is managed implicitly with two sets, S and G, by the « candidate elimination » algorithm.

#### Example (1/4)

- 4 birds: 2 ducks (+) and 2 penguins (-)
- 4 Attributes
  - Beak shape: « flat » or « thick »: {true, false}
  - Size (number)
  - Scale (number)
  - Neck color in {red, orange, grey, black}
- Concept:
  - (true, [30,50], ?, warm)

## Example (2/4)

	flat	size	scale	color	class
e1	True	30	49	red	+
e2	False	70	32	grey	-
e3	True	40	46	orange	+
e4	False	60	33	orange	-

#### Example (3/4)

- Which concepts may represent (e1,+)?
  - (true, [30,50], ?, warm)
  - (true, [30,30], [49,49], red)
  - (?, ?, ?, ?)
- Which concepts may represent (e1,+), (e2,-)?
  - v2 = (true, [30,30], [49,49], red)
  - v1 = (?, [- $\infty$ ,69], ?, ?), v'1 = (?, ?, ?, warm)
  - v3 = (?, [- $\infty$ ,31], ?, ?),
  - v4 = (true, [0,35], [46,+ $\infty$ ], red)

#### Example (4/4)

Generality ≤

$$v2 \le v4 \le v1$$

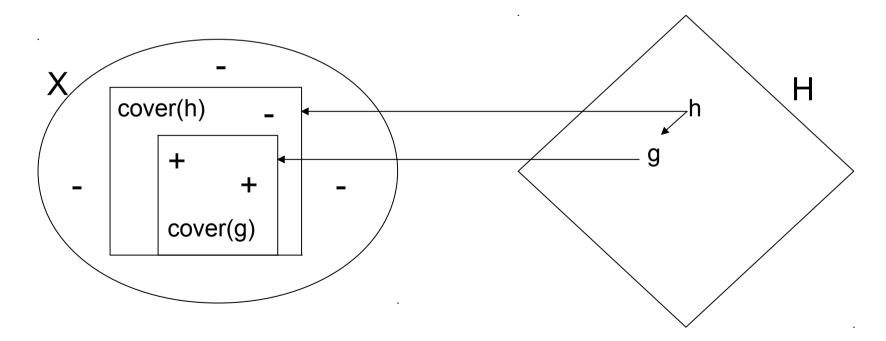
- Concepts are expressed in a language
- Concepts are linkt by the generality relationship
- The number of concepts coherent with the examples can be huge
- Examples are presented one after each other

#### Concept representation

- $S = \{(x_i, u_i), i=1,...,m\}$  with  $x_i$  in X,  $u_i=+-$ 
  - S+ =  $\{(x_i, u_i), i=1,...,m \mid u_i = + \}$
  - S- =  $\{(x_i, u_i), i=1,...,m \mid u_i = -\}$
- Binary: true or false (flat or thick)
- Numeric: (the scale or the size)
- Nominal: enumeration (the color can be red, orange, grey or black) with or without a tree
  - warm = {red, orange}, cold = {grey, black}
  - color = {warm, cold}

#### Generality relationship

- Coverage of an hypothesis: cover(h) = {x in X | h(x)=true}
- h more general than g: cover(g) included in cover(h)
- g ≤ h



### Hypothesis properties (1/2)

- Loss:
  - L(a,b) = 0 if a=b, 1 otherwise
- Coherent hypothesis:
  - Empirical risk  $R_{emp}(h,S) = \sum_{i=1,m} L(u_i,h(x_i)) = 0$
- Complete hypothesis:
  - S+ included in cover(h)
- Correct hypothesis:
  - S-  $\cap$  cover(h) = Ø

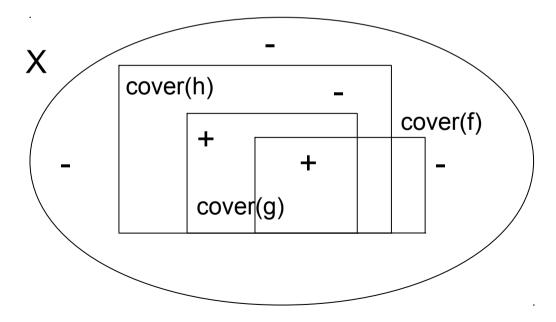
### Hypothesis properties (2/2)

#### Example:

h: complete but not correct

f : correct but not complete

- g: coherent



#### Most Specific Generalized

- Inclusion in X yields the generality relationship in H.
- h1, h2 given:
  - (h2 ≥ h1) or (h1 ≥ h2) is not always true
- G(h1, h2) = { g | g ≥ h1 and g ≥ h2 } is non empty
- msg(h1, h2):

```
g in msg(h1, h2) and g'<g
```

- ==> g' not in msg(h1,h2)
- msg = Most Specific Generalized

#### Most General Specialized

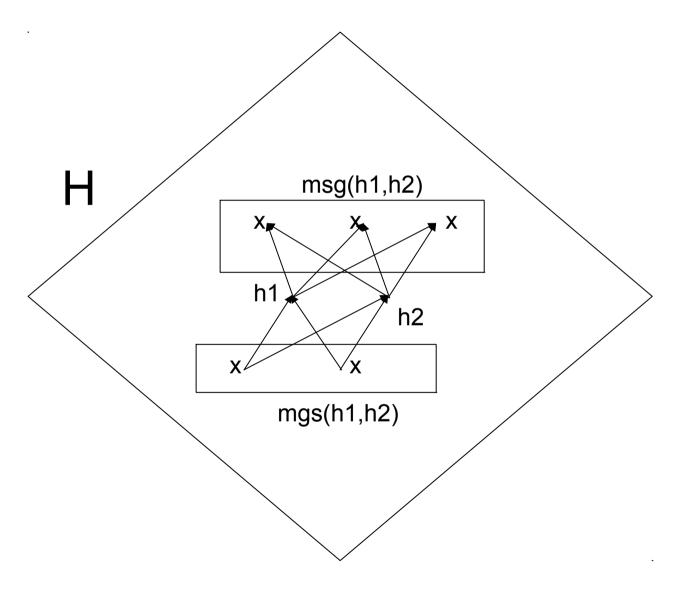
Same stuff can be said for specialization...

- S(h1, h2) = { s | s ≤ h1 and s ≤ h2 } is non empty
- mgs(h1, h2):

```
s in mgs(h1, h2) and s<s'
```

- ==> s' not in mgs(h1,h2)
- mgs = Most General Specialized

#### Structuration of H



## Generalization and specialization operators

- Generalizing an hypothesis with a:
  - Closing interval operator
  - Hierarchy Tree Ascent op.
  - Conjunction abandun op.
  - Alternative addition op.
- Specializing an hypothesis with the reverse operators
- Operators specified by the domain considered

## G<sub>set</sub> and S<sub>set</sub>

H convex for ≤ :

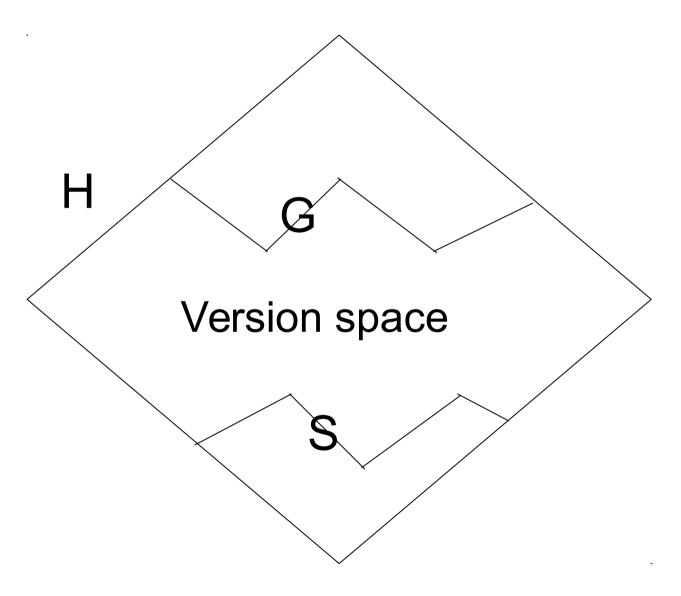
If h1 and h3 in H and h1≤h2≤h3 then h2 in H

- H defined by generalization / specialisation operators is convex.
- H bounded: there is a maximal element g and a minimal element s for generalisation
- If H is convex and bounded then:
- S = {h in H | h coherent and (if h'<h then h' not in S)}</li>
- G = {h in H | h coherent and if h'>h then h' not in G)}

#### Version space

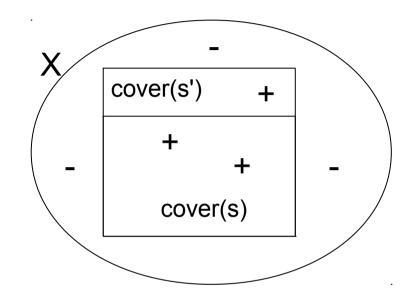
- Set of coherent hypothesis = « Version Space »
- Version space represented with G and S.
- At any time, the set of coherent hypothesis has an inferior bound S and a superior bound G
- A learning algorithm may use this property.
- No coherent hypothesis more general than a g in G or more specific than a s in S.

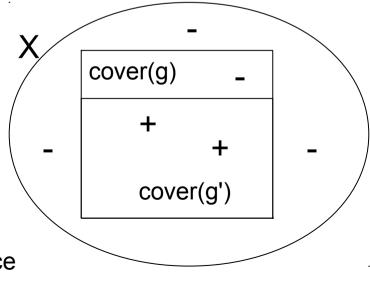
### Version space



#### Candidate elimination algorithm

- minimalGeneralization(s, x, G)
  - When u(x)=+ and x not in cover(s)
  - Find all s' such that
    - s < s' and x in cover(s')
    - If s" < s' then x not in cover(s")</li>
- minimalSpecialization(g, x, S)
  - When u(x)=- and x in cover(g)
  - Find all g' such that
    - g' < g and x not in cover(g')
    - If g" > g' then x in cover(g")
       Induction in the version space





# Candidate elimination algorithm (1/3)

```
G = { (?, ?, ..., ?) }
S = { Ø }
For any example x in X do
   If x is positive then
   next slide...
```

If x is negative then following slide...

# Candidate elimination algorithm (2/3)

#### x positive:

```
Subtract from G any h not covering x
For any s in S not covering x do
   subtract s from S
   add to S the minGeneralisation h of s such that
      x in cover(h)
      h' in G and h ≤ h'
Remove from S any h' s. t. (h' < h and h in S)</pre>
```

# Candidate elimination algorithm (3/3)

#### x negative:

```
Subtract from S any h covering x
For any g in G covering x do
   subtract g from G
   add to G the minSpecialisation h of g such that
     x not in cover(h)
     h' in S and h' ≤ h
Remove from G any h' s. t. (h' > h and h in G)
```

#### Back to the example

	flat	size	color	class
e1	True	30	red	+
e2	False	70	grey	-
e3	True	40	orange	+
e4	False	60	orange	-

#### bird example (1/5)

Initialization:

$$G=\{(?,?,?)\}, S=\{\emptyset\}$$

e1 = (true, 30, red) positive is presented...

$$G=\{(?,?,?)\}$$
,  $S=\{s1\}$   
s1=(true, [30,30], red)

### bird example (2/5)

• e2 = (false, 70, grey) negative is presented...

g1=(true, ?, ?)  
g2=(?, [-
$$\infty$$
,69], ?)  
g3=(?, [71,+ $\infty$ ], ?) (because e1 does not match g3)  
g4=(?, ?, warm)

#### bird example (3/5)

e3 = (true, 40, orange) positive is presented...

$$G=\{g1, g2, g4\}$$

$$s2 = (true, [30, 40], warm)$$

$$S = \{s2\}$$

#### bird example (4/5)

• e4 = (false, 60, orange) negative is presented...

$$S = \{s2\}$$
 (unchanged)

Q1 (temporarily left unchanged because g1 excludes e4)

g2 is replaced by g5 g6, g7, g8

g4 is replaced by g9, g10, g11, g12

$$g5 = (true, [-\infty,69], ?)$$
  $g9 = (true, ?, warm)$ 

$$g6 = (?, [-\infty, 59], ?)$$
  $g10 = (?, [-\infty, 59], warm)$ 

$$g7 = (?, [61,69], ?)$$
  $g11 = (?, [61,+\infty], warm)$ 

$$g8 = (?, [-\infty,69], cold)$$
  $g12 = (?, ?, red)$ 

(g7 g8 g11 g12 are removed because they do not generalize s2)

(g6 is removed because g6>=g10 and g1 is removed because g1>=g5)

$$G = \{ g5, g9, g10 \}$$

### bird example (5/5)

Exploitation time with S = {s2} and G = { g5, g9, g10}

Any h such that  $s2 \le h \le g$  (with g in G) is coherent.

$$h2 = (true, ]-, 1000], warm) <= g9$$

$$h3 = (?, ]-, 50], warm) <= g10$$

H1, h2, h3 are coherent

E5 = (true, 60, red) is a new bird...

... classified as '+' by h1 and h2 and '-' by h3

The method does not say how to choose the final hypothesis

#### Summary

- Induction in the version space
- Bird example
- Structure of the hypothesis space
- Construction of the version space
- Candidate elimination algorithm
- Bird example processed