

Université Paris Cité – LIPADE

Algorithmic Complexity

Space Complexity

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Basics on Space Complexity

Main Space Complexity Classes

Deterministic and Non-Deterministic Space
Space-Time Relations

Determining Space Complexity

Hardness and Completeness
Well-Known Problems

Space Used by a Turing Machine



- ▶ If \mathcal{M} is a Turing Machine and x an input word, the space used by \mathcal{M} on x is the number of different squares visited by \mathcal{M} during the computation.
- ▶ For $f : \mathbb{N} \rightarrow \mathbb{N}$, we say that a Turing Machine \mathcal{M} works with space $\mathcal{O}(f(n))$ if
 - ▶ \mathcal{M} stops for every input x
 - ▶ on every input x , \mathcal{M} uses on x a space $s(n)$ with $s(n) \in \mathcal{O}(f(n))$



- ▶ For $f : \mathbb{N} \rightarrow \mathbb{N}$, $\text{DSPACE}(f(n))$ is the set of languages which are decided by a deterministic Turing Machine \mathcal{M} working with space $\mathcal{O}(f(n))$.
- ▶ For $f : \mathbb{N} \rightarrow \mathbb{N}$, $\text{NSPACE}(f(n))$ is the set of languages which are decided by a non-deterministic Turing Machine \mathcal{M} working with space $\mathcal{O}(f(n))$.



Intuition: Non-determinism is not “so important” for space complexity. . .

Theorem [Savitch 1970]

For all function f space-constructible,

$$\text{DSPACE}(f(n)) \subseteq \text{NSPACE}(f(n)) \subseteq \text{DSPACE}(f(n)^2)$$



Proposition

For all function $f(n)$ space-constructible,

$$\text{DSPACE}(f(n)) = \text{coDSPACE}(f(n))$$

Theorem [Immerman 1988, Szelepcsényi 1988]

For all function $f(n) \geq \log(n)$ space-constructible,

$$\text{NSPACE}(f(n)) = \text{coNSPACE}(f(n))$$



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- ▶ $L = \text{DSPACE}(\log(n))$ is the class of decision problems that can be solved by a DTM using logarithmic space
- ▶ $\text{PSPACE} = \bigcup_{k \geq 0} \text{DSPACE}(n^k)$ is the class of decision problems that can be solved by a DTM using polynomial space



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$$L \subseteq \text{PSPACE}$$



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$$NL \subseteq NPSPACE$$



Proposition

$$\text{PSPACE} = \text{NPSPACE}$$



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Proof:

- ▶ For any $k > 0$,
 $\text{NSPACE}(n^k) \subseteq \text{DSPACE}((n^k)^2) = \text{DSPACE}(n^{2k}) \subseteq \text{PSPACE}$,
so $\text{NPSPACE} \subseteq \text{PSPACE}$
- ▶ For any $k > 0$, $\text{DSPACE}(n^k) \subseteq \text{NSPACE}(n^k) \subseteq \text{NPSPACE}$, so
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Proposition

For any mapping $f : \mathbb{N} \rightarrow \mathbb{N}$, $\text{NTIME}(f(n)) \subseteq \text{DSPACE}(f(n))$



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Corollary

$\text{NP} \subseteq \text{PSPACE}$



Polynomial Hierarchy vs Polynomial Space

$$\text{PH} \subseteq \text{PSPACE}$$

Intuition

For each class of the polynomial hierarchy, there is a complete problem $\forall_i\text{QBF}$ or $\exists_i\text{QBF}$. All these problems are special instances of TQBF , so they are in PSPACE .



$$L \subseteq NL \subseteq P \subseteq \overset{\text{NP}}{\text{coNP}} \subseteq \Delta_2^P \subseteq \Sigma_2^P \subseteq \Pi_2^P \subseteq \dots \subseteq PH \subseteq PSPACE \subseteq EXP \subseteq NEXP$$

We also know that some inclusions are strict:

- ▶ $NL \subset PSPACE$
- ▶ $P \subset EXP$



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 - ▶ A problem \mathcal{P} is \mathcal{C} -hard if for every problem \mathcal{P}' in \mathcal{C} , $\mathcal{P}' \leq_f^P \mathcal{P}$, i.e. there is a polynomial reduction from any problem in \mathcal{C} to \mathcal{P}



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 - ▶ A problem \mathcal{P} is C-hard if for every problem \mathcal{P}' in C, $\mathcal{P}' \leq_f^P \mathcal{P}$, i.e. there is a polynomial reduction from any problem in C to \mathcal{P}
 - ▶ A problem is C-complete if it is in C and it is C-hard



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 - ▶ A problem is C-complete if it is in C and it is C-hard
 - ▶ This definition works for PSPACE-hardness and PSPACE-completeness
 - ▶ It does not work for L and NL



Logarithmic-Space Functional Reduction

A logarithmic-space functional reduction f is a total computable function from a problem \mathcal{P}_1 to a problem \mathcal{P}_2 such that, for any instance i of \mathcal{P}_1 ,

- ▶ $f(i)$ can be computed in logarithmic-space in the size of i
- ▶ i is a positive instance of \mathcal{P}_1 iff $f(i)$ is a positive instance of \mathcal{P}_2

Notation: $\mathcal{P}_1 \leq_f^L \mathcal{P}_2$



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NL-Hardness and NL-Completeness

- ▶ A problem \mathcal{P} is NL-hard iff $\forall \mathcal{P}' \in \text{NL}, \mathcal{P}' \leq_f^L \mathcal{P}$
- ▶ A problem \mathcal{P} is NL-complete iff \mathcal{P} is NL-hard and $\mathcal{P} \in \text{NL}$



Theorem

Any problem in L is L-complete with respect to \leq_f^L !



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To define a meaningful notion of L -completeness, the hardness must be defined with another kind of functional reductions
More details in [Garey and Johnson 1979]



Reminder:

- ▶ A canonical QBF is a formula $Q_1\mathcal{X}_1, Q_2\mathcal{X}_2, \dots Q_n\mathcal{X}_n, \phi$:
 - ▶ $Q_i \in \{\forall, \exists\}$ and $Q_i \neq Q_{i+1}$
 - ▶ $\mathcal{X}_1, \dots \mathcal{X}_n$ form a partition of the Boolean variables in ϕ
 - ▶ ϕ is a propositional formula
- ▶ \exists_n QBF: is the QBF $\exists\mathcal{X}_1, \forall\mathcal{X}_2, \dots Q_n\mathcal{X}_n, \phi$ true?
- ▶ \forall_n QBF: is the QBF $\forall\mathcal{X}_1, \exists\mathcal{X}_2, \dots Q_n\mathcal{X}_n, \phi$ true?



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Complexity of TQBF

$$\text{TQBF} = \bigcup_{n \geq 1} (\exists_n \text{QBF} \cup \forall_n \text{QBF})$$

TQBF is PSPACE-complete



- ▶ Classical Tic-Tac-Toe: In a 3×3 grid, two players must draw some marks (X or O). The winner is the first who has placed three marks in a horizontal, vertical or diagonal row
- ▶ Generalized Tic-tac-toe ((m, n, k) game): In a $m \times n$ grid, a player wins when he has placed k marks in a row
- ▶ A winning strategy at time t for a player is a sequence of moves (drawing a mark in the grid) such that the player wins.



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Complexity of (m, n, k) -STRAT

$(m - n - k)$ -STRAT is the decision problem: “Is there a winning strategy for player X in the (m, n, k) game?”

$(m - n - k)$ -STRAT is PSPACE-complete



- Determining if there is a winning strategy for player X is like asking the question:

Is there a way to draw an X at step 1, such that for all possible moves of the O player at step 2, there is a way to draw an X at step 3, such that for all possible moves of the O player at step 4, there is \dots such that X player wins?



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- ▶ This can be represented as a QBF formula

Generalized Super Mario Bros.

[Demaine *et al.* 2016]

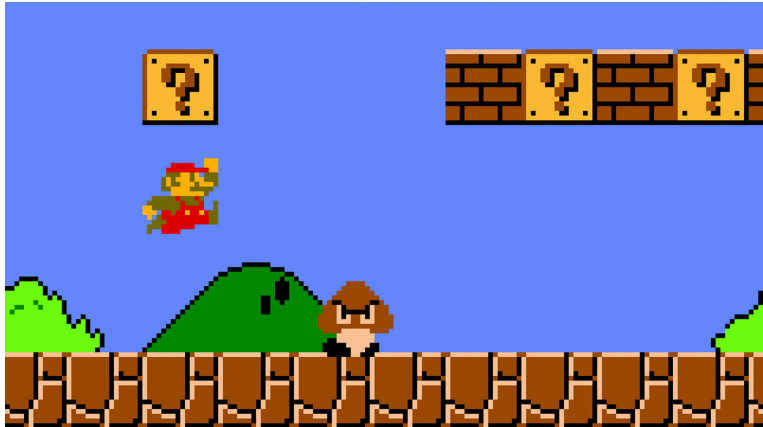


Figure – Super Mario Bros. is PSPACE-complete



Figure – Lemmings is PSPACE-complete



Reach

Given a directed graph $G = \langle N, E \rangle$ and two nodes $n_1, n_2 \in N$, is there a path from n_1 to n_2 in G ?

Theorem [Papadimitriou 1994]

Reach is NL-complete

Reach is NL-complete: Proof



We will prove that

- ▶ $\text{Reach} \in \text{NL}$
- ▶ Reach is NL-hard

Reach \in NL?



- Let us find a non-deterministic logspace algorithm that solves Reach



Algorithm 1 Reach

Input: $G = \langle N, E \rangle, n_1, n_2 \in N$

$v = n_1$

$cpt = 0$

while $cpt < |N|$ **do**

Non-deterministically pick v' some neighbour of v

$v = v'$

if $v == n_2$ **then**

return YES

end if

$cpt++$

end while

return NO

- Space is logarithmic: we only need to store v and cpt

Reach is NL-hard: Sketch of proof



- We need to prove that $\forall \mathcal{P} \in \text{NL}, \mathcal{P} \leq_f^L \text{Reach}$

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 - ▶ \mathcal{M} accepts x iff there is a path from n_1 to n_2

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 - ▶ \mathcal{M} accepts x iff there is a path from n_1 to n_2
 - ▶ See [Papadimitriou 1994] for more details



Reminder on 2SAT

2SAT is a special case of satisfiability problem with CNF formulas with only clauses of length ≤ 2

Theorem [Papadimitriou 1994]

2SAT is NL-complete

2SAT is NL-complete: Sketch of proof



We will prove that

- ▶ $2SAT \in NL$
- ▶ 2SAT is NL-hard

2SAT is NL-complete: Sketch of proof



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2SAT is NL-complete: Sketch of proof



We will prove that

- ▶ $2SAT \in NL$
- ▶ 2SAT is NL-hard
- ▶ Reminder: From previous theorem [Immerman 1988, Szelepcsényi 1988], $coNL = NL$
- ▶ We define $Unreach = \{(G = \langle N, E \rangle, n_1, n_2) \mid G \text{ is a directed graph, } n_1, n_2 \in N, \text{ and there is no path from } n_1 \text{ to } n_2 \text{ in } G\}$
- ▶ By definition, $Unreach \in coNL = NL$

2SAT is NL-complete: Sketch of proof



We will prove that

- ▶ $2SAT \in NL$
- ▶ 2SAT is NL-hard
- ▶ Reminder: From previous theorem [Immerman 1988, Szelepcsényi 1988], $coNL = NL$
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- ▶ By definition, $Unreach \in coNL = NL$
- ▶ We will use Reach and Unreach to prove that 2SAT is NL-complete

Implication graph of a 2CNF formula



- ▶ Given ϕ a 2CNF formula on variables X , we build $G_\phi = \langle N, E \rangle$ a directed graph such that
 - ▶ $N = X \cup \{\bar{x} \mid x \in X\}$
 - ▶ $(l_1, l_2) \in E$ iff $\neg l_1 \vee l_2$ is a clause in ϕ (i.e. $l_1 \Rightarrow l_2$)

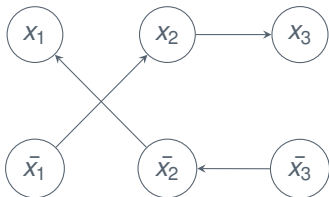
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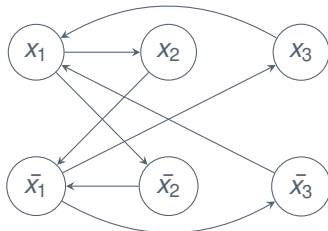
29

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$$\phi_1 = (x_1 \vee x_2) \wedge (\neg x_2 \vee x_3)$$



$$\phi_2 = (\neg x_1 \vee x_2) \wedge (\neg x_2 \vee \neg x_1) \\ \wedge (x_1 \vee x_3) \wedge (\neg x_3 \vee x_1)$$





- ▶ Lemma: The formula ϕ is unsatisfiable iff $\exists x$ such that there is a path from x to \bar{x} and a path from \bar{x} to x in G_ϕ
- ▶ The following non-deterministic algorithm solves 2UNSAT

Algorithm 2 2UNSAT

Input: ϕ

Build G_ϕ

Non-deterministically pick x

return $(G_\phi, x, \bar{x}) \in \text{Reach}$ AND $(G_\phi, \bar{x}, x) \in \text{Reach}$

- ▶ So $2\text{UNSAT} \in \text{NL}$, and $2\text{SAT} \in \text{coNL} = \text{NL}$

2SAT is NL-hard: Sketch of proof (1/2)



- ▶ We reduce Unreach to 2SAT
- ▶ Let $G = \langle N, E \rangle$ be a directed graph, and n_1, n_2 in N . We define $f(G, n_1, n_2) = \phi$ with
 - ▶ if $(x, y) \in E$, then $\neg x \vee y$ is a clause in ϕ
 - ▶ n_1 is a unit clause in ϕ
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- ▶ if $(G, n_1, n_2) \in \text{Unreach}$, we can make a partition of N : N_1, N_2 s. t. $n_1 \in N_1$ and $n_2 \in N_2$, and there is no edge from N_1 to N_2

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31

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- ▶ $\forall x \in N_1$, choose $\omega(x) = 1$, $\forall x \in N_2$, choose $\omega(x) = 0$. $\omega \models \phi$

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- ▶ $(G, n_1, n_2) \in \text{Unreach} \Rightarrow \phi \in 2\text{SAT}$

2SAT is NL-hard: Sketch of proof (2/2)



- ▶ if $(G, n_1, n_2) \notin \text{Unreach}$, then there is a path $(n_1, x_1, \dots, x_k, n_2)$ in G . The translation of edges in clauses means that $n_1 \Rightarrow x_1 \Rightarrow \dots \Rightarrow x_k \Rightarrow n_2$, so if n_1 is true, then n_2 . But ϕ contains both unitary clauses n_1 and $\neg n_2$
- ▶ So ϕ is unsatisfiable

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- ▶ So ϕ is unsatisfiable
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- ▶ We can conclude $\text{Unreach} \leq_f^L \text{2SAT}$



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