

# Personalities of Curves

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# Chapter 1

## Appendix: Syzygies and Geometry

syzygy chapter

### 1.1 Betti tables

In this Chapter we will return to the case of standard graded rings  $R$ ; that is,  $R$  is an algebra over the field  $k := R_0$ , and is generated by the finite dimensional vector space  $R_1$ . In particular,  $R$  is a homomorphic image of  $S = k[R_1]$ , and thus  $R$  is Noetherian. Throughout this section,  $S$  will denote a standard graded polynomial ring.

A module over any ring can be specified by its generators and relations. But Hilbert saw that there was something to be gained by studying the relation on the relations, and the relations on those, and so on—in short, the syzygies of the module. In the case of a graded module  $M$  over a graded ring  $R$ , we may take a minimal free resolution

$$0 \longleftarrow M \longleftarrow F_0 \xleftarrow{d_1} F_1 \xleftarrow{d_2} \dots$$

that is graded, with differential  $d$  of degree 0. The  $i$ -th syzygy module of  $M$  is by definition the cokernel of  $d_{i+1}$ . Recall that  $R(-a)$  denotes a rank one free graded module generated in degree  $a$  (so that  $R(-a)_0 = R_a$  and more generally  $R(a)_b = R_{a+b}$ ). We may identify each  $F_i$  with a direct sum of the form  $\bigoplus_j R(-a_j)^{\beta_{i,j}}$  in such a way that the homomorphisms  $d_i$  are homogeneous of degree 0. Theorem uniqueness holds in this case as well, so that

the numbers  $\beta_{i,j}$  depend only on the module  $M$ , as is also obvious from the formula  $\text{Tor}_i(M, k) = \bigoplus_j k(-a_j)^{\beta_{i,j}}$ . We sometimes refer to  $\beta_{i,j}$  informally as the *number of  $i$ -th syzygies of degree  $j$* ; more properly, it is the number of minimal generators of degree  $j$  required by the module of  $i$ -th syzygies of  $M$ .

For convenience, the graded Betti numbers are usually displayed in a compact *Betti table*, with the nonzero  $\beta_{i,j}$  appearing in the  $i$ -th column and the  $(j - i)$ -th row:<sup>1</sup>

$j \backslash i$	0	1	2	
0	$\beta_{0,0}$	$\beta_{1,1}$	$\beta_{2,2}$	$\cdots$
1	$\beta_{0,1}$	$\beta_{1,2}$	$\beta_{2,3}$	$\cdots$
2	$\beta_{0,2}$	$\beta_{1,3}$	$\beta_{2,4}$	$\cdots$
$\vdots$	$\vdots$	$\vdots$	$\cdots$	

For the sake of simplicity we usually replace some 0 entries with  $-$ . We sometimes speak of the *Betti table of a module  $M$* , by which we mean the Betti table of the minimal free resolution of  $M$ . Similarly, when we speak of the Betti table of a variety  $X \subset \mathbb{P}^n$ , we mean the Betti table of the minimal free resolution of the homogeneous coordinate ring  $S_X$  of  $X$ , as a module over the homogeneous coordinate ring of  $\mathbb{P}^n$ .

The rows of the betti table correspond to *linear strands* of the resolution—sequences of the free summands where the maps between them are represented by matrices of linear forms. The length of the linear strands plays a central role in Green’s conjecture.

## 1.2 Which tables are Betti tables of minimal resolutions?

which tables

Here are some ideas that can help with the computation of Betti tables. The fact that minimal free resolutions are constructed by successively choosing minimal sets of generators for the kernel of a previously computed map leads to this:

<sup>1</sup>The reason for the initially non-intuitive choice  $j - i$  instead of  $j$  is that, for the resolution  $\mathbb{F}$  to be minimal, it is necessary that if  $\beta_{i,j} \neq 0$ , then some  $\beta_{i-1,k} \neq 0$  for some  $k < j$ . Thus shift by  $-j$  makes the diagram more compact.) This useful convention goes back to the development of the program Macaulay by Dave Bayer and Mike Stillman in the 1980s. In those days of small displays, efficiency was even more important than now.

## 1.2. WHICH TABLES ARE BETTI TABLES OF MINIMAL RESOLUTIONS?

no implications

**Proposition 1.2.1.** Suppose that  $\beta_{i,j}$  are the graded Betti numbers of an  $S$ -module. If  $\beta_{i_0, i_0+j} = 0$  for all  $j \leq j_0$  then  $\beta_{i, i+j} = 0$  for all  $i \geq i_0$ ,  $j \leq j_0$  as illustrated in Figure 1.1.

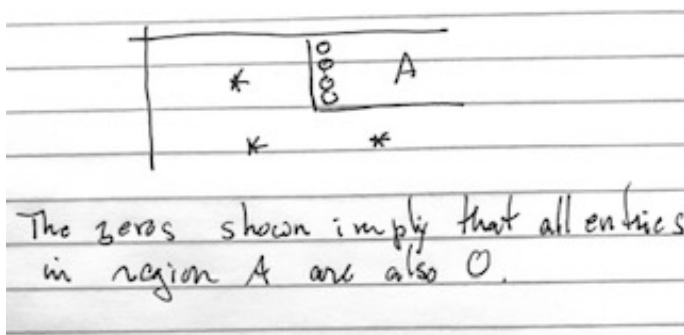


Figure 1.1: The zeros shown imply that all entries in region A are also 0. 17.2.1

Note that  $\beta_{i,j}$  and  $\beta_{i+1,j+1}$  are consecutive elements of the same row of the Betti table, so the Proposition says that a zero entry in the  $i$ -position of all the rows of the table at and above a certain spot implies that all successive entries of those rows are zero as well.

*Proof.* The table represents the summands of a minimal free resolution, and minimality means that the maps in the resolution are represented by matrices whose entries have strictly positive degree. Thus if  $F_i$  has no summand isomorphic to  $S(-j)$  for  $j \leq j_0$  it follows that there can be no summand of  $F_{i+1}$  isomorphic to  $S(-j-1)$  for the same range of  $j$ .  $\square$

Hilbert's original application of the Syzygy Theorem was to compute the Hilbert function of a module as a (finite!) alternating sum of the (easy to compute) Hilbert functions of the free modules in the resolution. If the graded Betti numbers of  $M$  are  $\{\beta_{i,j}\}$ , then clearly

$$\begin{aligned} H_M(t) &= \sum_i (-1)^i \sum_j \beta_{i,j} H_{S(-j-i)} \\ &= \sum_i (-1)^i \sum_j \beta_{i,j} \binom{n-j+t}{n} \end{aligned}$$

Reversing the order of summation, we note that  $H_M(t)$  can be computed from the Betti table as the alternating sum, with appropriate binomial coefficients, of the  $t$ -th anti-diagonal of the Betti table.

Suppose now that the Hilbert function  $H_M(t)$  of a finitely generated graded module  $M$  is known, and that we are interested in understanding the Betti table of the module's minimal resolution. To simplify the notation, set  $m_t := H_M(t)$ . Since the Hilbert function of the residue field  $k$  has values  $(1, 0, 0, \dots)$ , and the  $S$ -free resolution of  $k$  is the Koszul complex  $\mathbb{K}$ , with Betti table

$$\begin{array}{c|ccc} j \backslash i & 0 & 1 & 2 \\ \hline 0 & 1 & n+1 & \binom{n+1}{2} \dots \\ 1 & - & - & - \dots \end{array}$$

we can produce a (generally not finitely generated) module

$$M' := \bigoplus_{-N}^{\infty} k(-t)^{m_t}$$

with the same Hilbert function, and resolution a corresponding direct sum of shifted Koszul complexes. A priori this tells us nothing about the Betti table of  $M$ . However, there is a flat deformation  $\mathcal{M}$  over  $k[z]$  of the module  $M$  to the trivial module  $\bigoplus_t k(-t)^{m_t}$  obtained by pulling back  $M$  along the map

$$k[x_0, \dots, x_n] \rightarrow k[x_0, \dots, x_n] : \quad x_i \mapsto zx_i$$

and taking the flat limit at  $z = 0$ . The Betti table of the fiber of  $\mathcal{M}$  over  $z = 0$  is thus

$$B_0 = \begin{array}{c|ccc} j \backslash i & 0 & 1 & 2 \\ \hline t-1 & \dots & \dots & \dots \dots \\ t & m_t & m_t(n+1) & m_t \binom{n+1}{2} \dots \\ t+1 & m_{t+1} & m_{t+1}(n+1) & m_{t+1} \binom{n+1}{2} \dots \\ t+2 & \dots & \dots & \dots \dots \end{array}$$

Such a flat deformation corresponds to a deformation of resolutions, as well, and one may deduce:

cancellation

**Proposition 1.2.2.** *[?] With notation as above, the Betti table  $B$  of  $M$  is obtained from the array  $B_0$  by successively cancelling pairs of terms along the*

anti-diagonals; that is  $B$  is derived from  $B_0$  by repeated moves of the form

$$\begin{array}{c|cccc} j \backslash i & j-1 & j & j+1 & \\ \hline t & \dots & \dots & b & \dots \\ t+1 & \dots & c & \dots & \dots \\ & \dots & \dots & \dots & \dots \end{array} \mapsto \begin{array}{c|cccc} j \backslash i & j-1 & j & j+1 & \\ \hline t & \dots & \dots & b-1 & \dots \\ t+1 & \dots & c-1 & \dots & \dots \\ & \dots & \dots & \dots & \dots \end{array}$$

### 1.3 Examples of Betti Tables

Some examples will help absorb these ideas.

3 points in  $\mathbb{P}^2$

**Example 1.3.1.** As a first example, let  $X$  be a set of three non-collinear points in  $\mathbb{P}^2$ , say  $X = \{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}$ . Since no linear form vanishes on  $X$ , the Hilbert function of  $S_X$  begins 1, 3. Since  $S_X$  is reduced, the multiplication by a general linear form on  $S_X$  is a monomorphism, so the Hilbert function is non-decreasing and since  $\deg X = 3$  it must continue 1, 3, 3, 3,  $\dots$ . The linear form  $\ell := x_0 + x_1 + x_2$  does not vanish on any of the points, so it is a nonzerodivisor on  $S_X$ . By Proposition 1.3.4, the Betti table of  $X$  is the same as that of  $S_X/(\ell)$ , regarded as a module over  $\bar{S} := k[x_0, x_1]$ . The Hilbert function of  $S_X/(\ell)$  is the first difference

$$1 - 0, 3 - 1, 3 - 3, \dots = 1, 2, 0, \dots$$

of the Hilbert function of  $S_X$ . Thus the Betti table of  $S_X$  is the same as that of the square of the maximal ideal in  $\bar{S}$ . By Proposition 1.2.2 it must be obtained from the table

$$\begin{array}{c|cccc} j \backslash i & 0 & 1 & 2 & 3 \\ \hline 0 & 1 & 2 & 1 & \\ 1 & 2 & 4 & 2 & - \end{array}$$

by successive cancellations along anti-diagonals. Since  $I_X$  does not contain a linear form, we see from Proposition 1.2.1 that the first row must become 1, 0, 0 after cancellation, and thus the second row becomes 0, 3, 2; that is, the Betti table of  $X$  is

$$\begin{array}{c|ccc} j \backslash i & 0 & 1 & 2 \\ \hline 0 & 1 & - & - \\ 1 & - & 3 & 2 \\ 2 & - & - & - \end{array}$$



The fact that the 3 and the 2 are on the same line is reflects the fact that the 2 syzygies of the ideal  $(x, y)^2$  are linear; this is a linear strand of the resolution.

To check all this, we observe that  $I_X$  indeed contains the three quadrics  $x_0x_1, x_0x_2, x_1x_2$ . Since  $\ell_i(\ell_j\ell_k) = \ell_j(\ell_k\ell_i) = \ell_k(\ell_i\ell_j)$  we also see the two linear syzygies among these forms.

Since we already know the Betti table, we see that the resolution of  $S_X$  must be

$$0 \longleftarrow S/I \longleftarrow S \xleftarrow{(\ell_2\ell_3 \quad \ell_1\ell_3 \quad \ell_1\ell_2)} S(-1)^3 \xleftarrow{\begin{pmatrix} \ell_1 & 0 \\ -\ell_2 & \ell_2 \\ 0 & -\ell_3 \end{pmatrix}} S(-2)^2 \longleftarrow 0$$

**Exercise 1.3.2.** Prove that the given complex is a resolution using Theorem 14- canonical curves 1.3.2.

Note that the ideal  $I$  can be written as the ideal of  $2 \times 2$  minors of the matrix

$$\begin{pmatrix} \ell_1 & 0 \\ -\ell_2 & \ell_2 \\ 0 & -\ell_3 \end{pmatrix}.$$

The resolution above is thus a special case of the Eagon-Northcott complex, described in Chapter 14- canonical curves 1.3.2.

**Example 1.3.3.** Next, consider the twisted cubic curve  $C \subset \mathbb{P}^3$ , the image of  $\mathbb{P}^1$  under the linear series  $(s^3, s^2t, st^2, t^3) \subset H^0(\mathcal{O}_{\mathbb{P}^1}(3))$ . Write  $S = k[x_0, \dots, x_3]$  for the homogeneous coordinate ring of  $\mathbb{P}^3$  and  $S_C = S/I_C$  for the homogeneous coordinate ring of  $C$ .

As we saw in Chapter \*\*\*\*, the ideal of forms vanishing on the twisted cubic is generated by three quadrics  $q_1, q_2, q_3$  that are the  $2 \times 2$  minors of the

$$\phi := \begin{pmatrix} x_0 & x_1 \\ x_1 & x_2 \\ x_2 & x_3 \end{pmatrix}.$$

As discussed in Chapter 14- canonical curves 1.3.2 the  $S$ -free resolution of  $S_C$  is an Eagon-Northcott complex, in this this case

$$0 \longrightarrow S^2(-3) \xrightarrow{\phi} S^3(-2) \xrightarrow{(q_1 \quad q_2 \quad q_3)} S.$$

Thus the Betti table of the twisted cubic is the same as that of the 3 non-collinear points in  $\mathbb{P}^2$ .

To understand this from another point of view, note that since  $I_C$  is a prime ideal,  $x_3$  (or any variable) is a nonzerodivisor on  $S_C$ . Thus we may apply the following result:

on modulo a nzd

**Proposition 1.3.4.** *Let  $R$  be a local or standard graded polynomial ring, and let  $M$  be an  $R$ -module with minimal  $R$ -free resolution  $\mathbb{F}$ . If  $f \in R$  is a nonzerodivisor on both  $R$  and  $M$ , then the minimal  $\bar{R} := R/(f)$ -free resolution of  $M/fM = \bar{R} \otimes_R M$  is  $\bar{R} \otimes_R \mathbb{F}$ , and the Hilbert function of  $M/fM$  is the first difference of that of  $M$ :*

$$H_{M/fM}(t) = H_M(t) - H_M(t-1).$$

*Proof.* The homology of  $\bar{R} \otimes_R \mathbb{F}$  is  $\text{Tor}^R(\bar{R}, M)$ , which can also be computed as the homology of the tensor product of  $M$  with the  $R$ -free resolution of  $R/(f)$ . Since  $f$  is a nonzerodivisor on  $R$ , this is

$$0 \rightarrow R(-1) \xrightarrow{f} R \longrightarrow R/(f) \rightarrow 0.$$

It follows that the homology of  $\bar{R} \otimes_R \mathbb{F}$  is the same as the homology of

$$(0 \rightarrow R(-1) \xrightarrow{f} R) \otimes_R M = 0 \rightarrow M(-1) \xrightarrow{f} M.$$

Since  $f$  is a nonzerodivisor on  $M$ , we see that  $H_i(\bar{R} \otimes_R \mathbb{F}) = 0$  for  $i > 0$ , showing that  $\bar{R} \otimes_R \mathbb{F}$  is a free resolution of  $M/(f)M$ . The minimality is obvious. The formula for the Hilbert functions follows from the same exact sequences.  $\square$

reduction modulo a nzd

Proposition 1.3.4 shows that the minimal free resolution of  $C$  has the same Betti table as the minimal free resolution of  $S_C/(\ell) = S/(I_C + (\ell))$  for any linear form  $\ell$  and this corresponds to the scheme that is the intersection of  $C$  with the hyperplane  $\ell = 0$ . For sufficiently general  $\ell$  (or even for  $\ell = x_0 - x_3$ ) this consists of three non-colinear points in the plane.

However, this is not quite all that needs to be said: certainly  $I_C + (x_3)$  is an ideal defining the hyperplane section  $x_3 = 0$  of  $C$ , but to conclude from Proposition 1.3.4 that the Betti table of  $C$  is the same as that of the 3 noncolinear points, we need to know that  $I_C + (x_3)$  is saturated.

reduction modulo a nzd

By the Auslander-Buchsbaum formula, the depth of  $S_C$  is 2—that is,  $S_C$  is a Cohen-Macaulay ring. It follows that the depth of  $S_C/(x_3)$  is 1, and this shows that  $I_c + (x_3)$  is a saturated ideal completing the circle of ideas.

**Exercise 1.3.5.** Let  $C$  be the nonsingular rational quartic  $\mathbb{P}^3$ , the image of  $\mathbb{P}^1$  under the linear series  $(s^4, s^3t, st^3, t^4) \subset H^0(\mathcal{O}_{\mathbb{P}^1}(3))$ . Show that the general hyperplane section of  $C$  is the intersection of two quadrics, while the ideal of  $C$  has just one quadric generator. Conclude that the Betti table of  $C$  is not the same as the Betti table of the hyperplane section, and thus that  $S_C$  is not Cohen-Macaulay. (in fact, the Betti table of  $S_C$  is

$j \backslash i$	0	1	2	3
0	1	—	—	—
1	—	1	—	—
2	—	3	4	1

from which we see that the projective dimension of  $S_C$  is 3, so by the Auslander-Buchsbaum theorem the depth is only 1.)

**Example 1.3.6.** Consider a set  $X$  of 7 points in linearly general position  $\mathbb{P}^3$ . Castelnuovo's Theorem 1.7 shows that any 6 points in linearly general position lie on a unique twisted cubic, so the first distinction one might make among sets of 7 points is whether the 7th point lies on the twisted cubic too.

As we saw in 1.7, any set of  $2n + 1$  points in linearly general position in  $\mathbb{P}^n$  imposes  $2n + 1$  conditions on quadrics, so in any case  $I_X$  requires exactly 3 quadratic minimal generators,  $q_1, q_2, q_3$  and the Hilbert function of  $S_X$  begins 1, 4, 7, ?. Since  $S_X$  is reduced, the Hilbert function is non-decreasing, and since the eventual value must be  $\deg X = 7$ , the function must be 1, 4, 7, 7, ..., and the ideal contains  $20 - 7 = 13$  cubics. From this information we can work out the whole Betti table, as follows.

Since any set of points has Cohen-Macaulay homogeneous coordinate ring we can apply Proposition 1.7 to say that the Betti table of  $R := S_X/(x_3)$  is the same as that of  $S_X$ . Write  $R = \bar{S}/I$ , where  $\bar{S} = k[x_0, x_1, x_2]$ . The Hilbert function of  $R$  is determined by the exact sequence

$$0 \longrightarrow S_X(-1) \xrightarrow{x_3} S_X \longrightarrow R \longrightarrow 0$$

as the first difference function of that of  $S_X$ , namely

$$1 - 0, 4 - 1, 7 - 4, 7 - 7, \dots = 1, 3, 3, 0, \dots;$$

that is,  $I$  is generated (not minimally) by the 3 quadrics and a total of 10 cubics. By Propositions ~~1.3.4 and 1.2.2~~<sup>reduction needed later</sup>, the Betti table of  $X$  must be obtained by successive cancellations from the table

$j \backslash i$	0	1	2	3
0	1	3	3	1
1	3	9	9	3
2	3	9	9	3
3	—	—	—	—

Moreover, since  $X$  is not contained in a hyperplane, the zero-th row, after cancellation, must become  $0 \mid 1 \ 0 \ 0 \ 0$ , so we can begin by making this cancellation. We also know that  $I_X$  has exactly 3 quadratic generators, necessitating another cancellation, and we see that the actual Betti table of  $X$  must be obtained by cancelling from

(1)	$j \backslash i$	0	1	2	3
	0	1	0	0	0
	1	0	3	8	3
	2	0	9	9	3
	3	—	—	—	—

Now three general quadrics define a complete intersection of 8 points, and it follows that in the case of 7 general points  $q_1, q_2, q_3$  form a complete intersection with resolution the Koszul complex, having Betti table:

$j \backslash i$	0	1	2	3
0	1	—	—	—
1	—	3	—	—
2	—	—	3	—
3	—	—	—	1

In this case there are no linear relations among  $q_1, q_2, q_3$ , so the 8 and 3 in row 1 of table (1) must cancel completely, giving

$j \backslash i$	0	1	2	3
0	1	0	0	0
1	0	3	0	0
2	0	1	6	3
3	—	—	—	—

Since no further cancellation is possible, this must be the Betti table of a set  $X$  of 7 general points.

On the other hand, if the points lie on a twisted cubic, then  $q_1, q_2, q_3$  generate the ideal of the twisted cubic, and as we see from the Betti table in Example ??, these have 2 linear relations, which are themselves independent. It follows that row 1 of the Betti table of  $X$  must cancel to become  $1 \mid 0 \ 3 \ 2 \ 0$ . Thus the Betti table of  $X$  in this case is

$j \backslash i$	0	1	2	3
0	1	0	0	0
1	0	3	2	0
2	0	7	9	3
3	—	—	—	—

Thus the Betti tables detect whether the points lie on a twisted cubic curve.

## 1.4 Interpreting homological ring theory through Betti tables

Suppose that  $R = S/I$  is a factor ring of codimension  $c$  in  $S$  and that

$$\mathbb{F} : 0 \longrightarrow F_m \longrightarrow \cdots \longrightarrow F_0$$

is its minimal free resolution. Theorem ?? <sup>depth</sup> Shows that the dual of  $\mathbb{F}$ , which computes  $\text{Ext}_S(R, S)$ , is exact for the  $c$  steps beginning with  $F_0^*$ , but has homology at  $F_c^*$ :

$$\mathbb{F} : 0 \longrightarrow F_0^* \longrightarrow \cdots \longrightarrow F_c^* \longrightarrow \cdots .$$

On the other hand, the Auslander-Buchsbaum formula shows that the projective dimension of  $R$  as an  $S$ -module is  $\dim S - \text{depth } R$ . Taking into account that  $\omega_S = S(-n - 1)$ , this proves:

**Proposition 1.4.1.** *Let  $R = S/I$  be a graded factor ring of the polynomial ring  $S$ . If  $I$  has codimension  $c$ , then  $R$  is Cohen-Macaulay if and only if the projective dimension of  $R$  is  $c$ , the smallest possible value. In this case the dual of the minimal  $S$ -free resolution of  $R$  is the minimal  $S$ -free resolution of  $\omega_R := \text{Ext}^c(R, S(-n - 1))$ .*

## 1.4. INTERPRETING HOMOLOGICAL RING THEORY THROUGH BETTI TABLES13

The Betti table of  $\mathbb{F}^*$  is obtained from that of  $\mathbb{F}$  by reversing it left-to-right and top-to-bottom, adjusting the row indices appropriately; for example if  $\mathbb{F}$  is the resolution of the twisted cubic, (Example ??), then the Betti table of  $\mathbb{F}^*$ , the resolution of the module associated to the sheaf  $\omega_C(4)$ , is

$j \backslash i$	0	1	2	3
-3	2	3	0	0
-2	0	0	1	0
-1	—	—	—	—

The restriction on the tables that can occur as Betti tables of resolutions given in Proposition ?? is not symmetric under this operation (the other restrictions in Section 1.2 are). Dualizing it, we get a new restriction on the Betti table of a Cohen-Macaulay factor ring:

no restrictions

**Corollary 1.4.2.** *Suppose that  $R = S/I$  is a Cohen-Macaulay graded ring with minimal free resolution  $\mathbb{F}$  having graded Betti numbers  $\beta_{i,j}$ . If, for some index  $i_0$  we have  $\beta_{i,j} = 0$  for all  $j \geq i_0 + j_0$ , then  $\beta_{i,j} = 0$  for all  $i, j$  with  $i \leq i_0$  and  $j \geq i + j_0$ .*

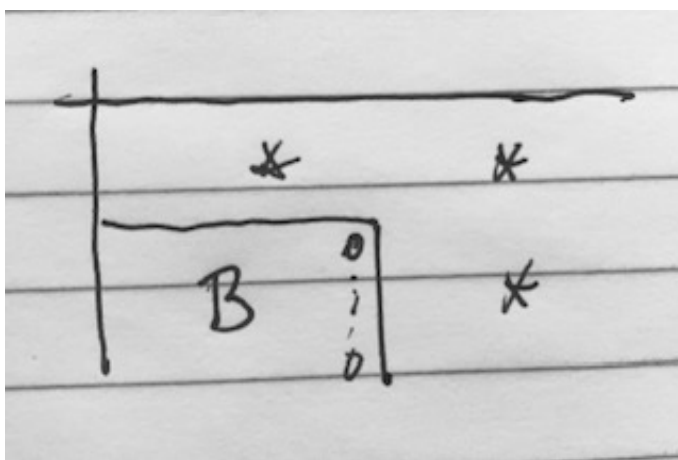


Figure 1.2: In the resolution of a Cohen-Macaulay module, the zeros shown imply that the entries in region B are zero.

17.4.1

We can also characterize the condition for  $S/I$  to be Gorenstein:

characterization

**Proposition 1.4.3.** *Suppose that  $R = S/I$  is a graded Cohen-Macaulay ring of codimension  $c$ , with minimal  $S$ -free resolution  $\mathbb{F}$ . The following conditions are equivalent:*

1.  $R$  is Gorenstein.
2.  $F_c \cong S(-d)$  for some integer  $d$ .
3. The Betti table of  $R$  is symmetric; it is equal up to shift to the Betti table of  $\mathbb{F}^*$ .

Even the shifts in this duality can be eliminated if we take not  $\mathbb{F}^*$  but  $\text{Hom}(\mathbb{F}, \omega_S)$  with  $\omega_S = S(-n-1)[-n]$ , that is, a rank one free module generated in degree  $n+1$  whose homological degree is  $n$ .

*Proof.* □

## 1.5 Regularity of modules and sheaves

The number of the last nonzero column of the Betti table of the resolution of a graded  $S$ -module  $M$  is the projective dimension of  $M$ . The number of the last row is also an important invariant called the (Castelnuovo-Mumford) *regularity* of  $M$ . More formally,

**Definition 1.5.1.** Let  $t_i(M) = \max\{j \mid \text{Tor}_i^S(k, M)_j \neq 0\}$ . The regularity of  $M$  is

$$\max_{i \geq 0} t_i(M) - i.$$

As defined above, the regularity of  $M$  is obviously an upper bound for the degrees of a minimal generating set of  $M$ , which is simply  $t_0(M)$ . One reason the regularity is important is that there is a different expression for the regularity in terms that do not seem to involve the generators directly:

**Theorem 1.5.2.** *Let  $s_i(M) = \max\{j \mid H_{\mathfrak{m}}^i(M)_j \neq 0\}$ . The regularity of  $M$  is equal to*

$$\max_{i \geq 0} t_i(M) + i.$$

Note the change of sign; see \*\*\*\* for a proof using local duality. The notion of regularity was introduced by Mumford in the study of sheaves, where it has a slightly simpler expression:

**Definition 1.5.3.** A  $\mathcal{F}$  be a sheaf on  $\mathbb{P}^n$  is *m-regular* if  $H^i(\mathcal{F}(m-i)) = 0$  for all  $i > 0$ . The *regularity* of  $\mathcal{F}$  is the minimal  $m$  for which  $\mathcal{F}$  is  $m$ -regular.

Note that the regularity of the sheaf  $\tilde{M}$  associated to a graded  $S$ -module  $M$  ignores  $H_m^0(M)$  and  $H_m^1(M)$ ; but otherwise the definitions are related by the translation from local to global cohomology and, indeed, if  $\text{depth } M \geq 2$  then the regularity of  $M$  is equal to the the regularity of the associated sheaf  $\tilde{M}$ .

Most applications of regularity involve the following result[Mumford 1966]:

**Theorem 1.5.4.** Suppose that  $\mathcal{F}$  is a sheaf on  $\mathbb{P}^n$ . If  $\mathcal{F}$  is  $m$ -regular, then  $\mathcal{F}$  is  $m'$ -regular for all  $m' \geq m$ , and  $\mathcal{F}(m)$  is generated by its global sections.

The regularity of a projective variety  $X \subset \mathbb{P}^n$  is defined to be the regularity of the ideal sheaf of  $X$ . A striking result of Gruson-Lazarsfeld-Peskine [?] relates this to more elementary notions:

**GLP Theorem 1.5.5.** Let  $C$  be a reduced, irreducible nondegenerate curve of degree  $d$  in  $\mathbb{P}^n$ . The regularity of  $C$  is  $\leq d - n + 2$ , with equality if and only if  $C$  is smooth and rational and either  $d = n$  or  $d = n + 1$  or  $C$  has a  $d - n + 3$ -secant line.

To see the relevance of the last condition, note that if  $C$  has a  $d - n + 3$ -secant line any function of degree  $d - n + 2$  that vanishes on  $C$  vanishes on the secant line as well; so the ideal of  $I_C$  of  $C$  must require generators of degree at least  $d - n + 1$ . Since the regularity is an upper bound for the degrees of the minimal generators of the ideal of  $C$ , it follows from the Theorem that  $I_C$  is generated by forms of degree  $\leq d - n + 1$ , and we see that the Theorem is sharp in this case. A curve of degree  $d$  with a  $d - n + 2$ -secant is rational, since projection from the line is an isomorphism with  $\mathbb{P}^1$ .

For a treatment of these ideas, see for example [Eisenbud 2005].

(( Revised to here 12-5-2020 ))



## 1.6 Maximal cancellation and the minimal resolution conjecture

Voisin's Theorem ?? (Green's conjecture) for canonical embeddings of general curves may be interpreted as saying that all possible cancellations actually occur in that case. Larson's Theorem ??

(( where should Larson's theorem go? ))

is statement of the same kind for general linear series of given degree and dimension on general curves of given genus.

It would thus seem reasonable to conjecture that such cancellations happen more generally. However, this fails in some cases. Perhaps the simplest example is that of the general projection into  $\mathbb{P}^6$  of an elliptic normal curve of degree 9, whose ideal has Betti table

Perhaps the simplest example is that of a general elliptic curve of degree 9 in  $\mathbb{P}^6$  has Betti table

$$\begin{array}{ccccccc} 2: & 12 & 24 & 12 & . & . & . \\ 3: & . & 2 & 16 & 20 & 8 & 1 \end{array}$$

Such examples were discovered, even for linearly normal curves, by Green and Lazarsfeld [GREEN and LAZARSFELD 1988] and Schreyer (unpublished).

In 1993 Lorenzini formulated the conjecture that maximal cancellation would hold for the Betti tables of general sets of points. One can of course derive examples of sets of points that do not have this property by taking hyperplane sections of the curves above, but these are not general sets of points. However, even for general points, the conjecture fails. The first example, discovered by Schreyer, is that of 11 general points in  $\mathbb{P}^6$ , which has Betti table

$$\begin{array}{ccccccc} 2: & 17 & 46 & 45 & 5 & . & . \\ 3: & . & . & 1 & 25 & 18 & 4 \end{array}$$

See @article MR1894365, AUTHOR = Eisenbud, David and Popescu, Sorin and Schreyer, Frank-Olaf and Walter, Charles, TITLE = Exterior algebra methods for the minimal resolution conjecture, JOURNAL = Duke Math. J., FJOURNAL = Duke Mathematical Journal, VOLUME = 112, YEAR =

2002, NUMBER = 2, PAGES = 379–395, ISSN = 0012-7094, MRCLASS = 13D02 (14M05 15A75), MRNUMBER = 1894365, MRREVIEWER = Martin Kreuzer, DOI = 10.1215/S0012-9074-02-11226-5, URL = <https://doi-org.libproxy.berkeley.edu/10.1215/S0012-9074-02-11226-5>, for what seems still to be the state of knowledge about counterexamples for general sets of points, as of this writing.

Any set of points  $X \subset \mathbb{P}^n$  defines a Cohen-Macaulay ring, so the Betti table will not change if we pass to  $A := S_X/\ell$  for a general linear form  $\ell$ , now regarded as a module over a factor ring in  $n$  variables. Since  $X$  imposes independent conditions on forms of every degree, it is easy to show that, if the lowest degree of a generator of the ideal defining  $A$  is  $d-1$ , then the ideal contains the  $d$ -th power of the ideal of all the variables. But again, this is not a general ideal of that type. It seems that there is no known counterexample to the “minimal resolution conjecture” for ideals of the form

$$J_V := (x_1, \dots, x_n)^d + V \subset k[x_1, \dots, x_n]$$

for a general linear subspace  $V$  of the forms of degree  $d+1$ ; more formally, this would say:

**Conjecture 1.6.1** (0-dimensional version of the minimal resolution conjecture). *In the minimal free resolution of an ideal  $J_V$  as above maximal cancellation holds; that is, there is no index  $i$  such that both  $\beta_{i,d+i}$  and  $\beta_{i+1,d+i}$  are both nonzero.*

## 1.7 Boij-Soederberg Theory

There are further, more subtle restrictions on the form of a Betti table. Since the Betti table of the direct sum of two modules is the sum of the Betti tables of the modules, the set of Betti tables of graded modules over a polynomial ring in  $n+1$  variables forms a submonoid of  $\mathbb{Z}^{n+2} \times \mathbb{Z}^\infty$ . This monoid is not saturated; for example,

$$B := \begin{array}{c|cccc} j \backslash i & 0 & 1 & 2 & 3 \\ \hline 0 & 1 & 2 & 0 & 0 \\ 1 & - & - & 2 & 1 \end{array}$$

is not the Betti table of a module, because the top row indicates a map  $S^2(-1) \rightarrow S$ , and such a map must have a linear kernel  $S^1(-2)$ . However,

the minimal resolution of the cokernel of a generic  $2 \times 4$  matrix has Betti table

$$2B := \begin{array}{c|cccc} j \backslash i & 0 & 1 & 2 & 3 \\ \hline 0 & 2 & 4 & - & - \\ 1 & - & - & 4 & 2 \end{array}$$

Finding generators for the monoid of Betti tables is an open problem except in a few small cases (see for example [?]). But the cone generated by the Betti tables is known: it is locally simplicial, and there is a simple formula for the extremal rays, as well as a greedy algorithm for writing any Betti table as a sum of rational multiples of the extremal rays. This is the subject of Boij-Soederberg theory. See [Eisenbud and Schreyer 2009] for more details.

## 1.8 Embeddings of high degree

(( This section is a digression; could go nearly anywhere ))

There is a certain uniformity of behavior of embedded by “complete linear series associated to line bundles that are sufficiently positive”. Often “sufficiently positive” for a line bundle  $\mathcal{L}$  is taken to mean that  $\mathcal{L} \otimes \omega_X^{-1}$  is a sufficiently high multiple of an ample line bundle. See [Lazarsfeld 2004] for more information. Here is a simple avatar of such results:

**Cheerful Fact 1.8.1.** Let  $X \subset \mathbb{P}^n$  be a variety of dimension  $d$ , and let  $X' \subset \mathbb{P}^N = \mathbb{P}(H^0(\mathcal{O}_X(r)))$  be the image of  $X$  under the  $r$ -th Veronese mapping. For all  $r \gg 0$  the ideal  $I_{X'}$  is generated in degree 2, and the Betti table of  $I_{X'}$  has nonzero entries at most in the  $d + 2$  rows  $2, 3, \dots, d + 3$ . Moreover, if  $X$  is a set of points in general position, then the Betti table of  $X$  has at most 2 nonzero rows.

**Exercise 1.8.1.** Prove the part of the the statement above proven by Mumford in [?]:

1. The ideal of the  $r$ -th Veronese image of  $\mathbb{P}^n$  in  $\mathbb{P}^{\binom{n+r}{n}-1}$  is generated by the  $2 \times 2$  minors of the matrix associated to the multiplication map

$$H^0(\mathcal{O}_{\mathbb{P}^n}(1)) \otimes H^0(\mathcal{O}_{\mathbb{P}^n}(r-1)) \rightarrow H^0(\mathcal{O}_{\mathbb{P}^n}(r))$$

(see Theorem ?? for this construction).

2. if  $r \gg 0$  then the homogeneous ideal of  $X' \subset \mathbb{P}^N$  is generated by the ideal of the Veronese image of  $\mathbb{P}^n$  together with linear forms.



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