

Flow Control Protocols - Study Notes

Overview

Flow Control Protocols are used in the **Data Link Layer** of Computer Networks. The three main protocols are:

1. **Stop & Wait**
 2. **Go Back N**
 3. **Selective Repeat**
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1. Stop & Wait Protocol

Key Characteristics

- **Transmission Method:** Transmits **one frame at a time**
- **Waiting Mechanism:** Waits for acknowledgment before sending next frame
- **Frame Limit:** Only 1 frame is transmitted at a time

Window Sizes

- **Sender Window Size:** 1
- **Receiver Window Size:** 1
- **Reason:** Since only 1 packet can be sent at a time, window size > 1 makes no sense

Efficiency

Formula: $\text{Efficiency} = 1/(1+2x)$

- Where $x = \text{Propagation delay} / \text{Transmission time}$

Alternative Formula: $\text{Efficiency} = \text{Transmission Time} / (\text{Transmission Time} + \text{Round Trip Time})$

- **Useful Time:** Transmission time for 1 frame
- **Total Time:** Transmission time + Round trip time
- **Round Trip Time:** $2 \times \text{Propagation delay}$

Performance Characteristics

- **Efficiency: Very Poor** (lowest among all three protocols)
- **Reason:** Waiting for long periods reduces bandwidth utilization

- **Key Point:** If asked "which has least efficiency?" - Answer is always **Stop & Wait**

Retransmission

- **When it occurs:**
 - Frame gets lost on the way
 - Acknowledgment gets lost
 - Packet gets corrupted
- **Number of retransmissions:** Only **1 packet** (the same packet that was lost)
- **Process:** Wait for timeout timer, then retransmit

Implementation

- **Complexity:** Very **Easy**
- **Reason:** No searching or sorting algorithms required

Sequence Numbers Required

Formula: $\text{Sender Window Size} + \text{Receiver Window Size}$

- For Stop & Wait: $1 + 1 = \mathbf{2 \text{ sequence numbers}}$

Example Scenario

Think of PayTM transactions - until acknowledgment comes, you feel the transaction is incomplete. Similarly, sender waits for acknowledgment before proceeding.

2. Go Back N Protocol

Key Characteristics

- **Protocol Type:** Sliding Window Protocol
- **N:** Window size
- **Transmission Method:** Sends **entire window** (multiple packets) at once

Window Sizes

- **Sender Window Size:** $2^k - 1$ (where k = number of bits to represent window size)
- **Receiver Window Size:** **1**

Understanding k (Number of Bits)

Important: If "window size is represented by 3 bits" \neq window size is 3

Calculation:

- If $k = 3$ bits
- Available sequence numbers = $2^3 = 8$ (from 000 to 111, i.e., 0 to 7)
- **Sender window size** = $2^3 - 1 = 7$
- **Total sequence numbers** = 8

Efficiency

Formula: $\text{Efficiency} = (\text{Sender Window Size}) \times 1/(1+2x)$

- Where $x = \text{Propagation delay} / \text{Transmission time}$
- **Example:** If sender window size = 7, then efficiency = $7 \times 1/(1+2x)$

Acknowledgment System

- **Type: Cumulative Acknowledgment**
- **Rule:** Acknowledgment is always for **next expected frame**

Example:

- Sender sends frames: 1, 2, 3
- Receiver receives all three
- Acknowledgment sent: Frame 4 (next expected)

Advantage: Reduces network traffic (one acknowledgment for multiple packets vs. individual acknowledgments)

Out-of-Order Packets

- **Can accept out-of-order packets: NO**
- **Reason:** Receiver window size = 1
- **Rule:** Packets accepted only **in order**

Retransmission

- **Amount: Highest** among all three protocols
- **Reason:** If any frame is lost, entire window must be retransmitted

Scenario Example:

1. Sender sends: 1, 2, 3, 4

2. Frame 1 gets lost
3. Receiver wants frame 1 first
4. Frames 2, 3, 4 reach but are **rejected** (receiver only accepts in order)
5. **Entire window** (1, 2, 3, 4) must be retransmitted

Maximum retransmission: $2^k - 1$ packets (entire window size)

Real-World Example

Like sending 100 packets from Chandigarh to Delhi:

- **Better:** Send all 100 at once, get 1 acknowledgment
 - **Worse:** Send 1 packet → get acknowledgment → send next → repeat 100 times
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3. Selective Repeat Protocol

Key Characteristics

- **Transmission Method:** Sends **multiple frames** at once
- **Nature:** Mixed version of Stop & Wait and Go Back N

Window Sizes

- **Sender Window Size:** $2^{(k-1)}$
- **Receiver Window Size:** $2^{(k-1)}$
- **Key Point:** Both window sizes are **equal**

Calculation Example:

- If $k = 3$
- Available sequence numbers = $2^3 = 8$
- Sender window size = $2^{(3-1)} = 4$
- Receiver window size = $2^{(3-1)} = 4$

Out-of-Order Packets

- **Can accept out-of-order packets:** **YES**
- **Reason:** Receiver has space for multiple packets (window size > 1)

Scenario Example:

1. Sender sends: 1, 2, 3, 4

2. Frame 1 gets lost, Frame 2 arrives first
3. Receiver accepts Frame 2 and stores it
4. When Frame 1 arrives later, it's placed in correct position
5. **No rejection** of correctly received frames

Retransmission

- **Amount: Very Low** (equal to Stop & Wait)
- **Reason:** Only **lost packets** are retransmitted, not entire window
- **Number:** Typically **1 packet** (only the lost one)

Efficiency

Formula: $\text{Efficiency} = (2^{(k-1)}) \times 1/(1+2x)$

- Where $x = \text{Propagation delay} / \text{Transmission time}$
- **Performance:** Good efficiency with low retransmissions

Acknowledgment Types

Three types supported:

1. **Cumulative Acknowledgment**
 - Example: Frames 1, 2, 3, 4 received → Send ACK 5
2. **Independent Acknowledgment**
 - Example: Send separate ACK for each frame (ACK1, ACK2, ACK3)
3. **Negative Acknowledgment (NAK)**
 - **When used:** Frame received but contains errors
 - **Advantage:** Sender doesn't wait for timeout timer
 - **Time saving:** Immediate retransmission instead of waiting for timeout

NAK Example:

- Frame reaches receiver with bit errors
- Instead of waiting for timeout → Send NAK immediately
- Sender retransmits without delay

Implementation

- **Complexity: Most Difficult**

- **Reason:** Uses both **searching** and **sorting** algorithms
- **Requirements:**
 - Search for lost packets
 - Sort received packets in correct order

Protocol Comparison Summary

Aspect	Stop & Wait	Go Back N	Selective Repeat
Frames sent at once	1	Multiple (2^k-1)	Multiple ($2^{(k-1)}$)
Sender Window	1	2^k-1	$2^{(k-1)}$
Receiver Window	1	1	$2^{(k-1)}$
Efficiency	Poorest	Better	Best
Out-of-order acceptance	N/A	No	Yes
Retransmission	Low (1 packet)	Highest (entire window)	Lowest (only lost packets)
Implementation	Easiest	Moderate	Most Complex
Acknowledgment	Simple	Cumulative	Cumulative + Independent + NAK

Important Formulas to Remember

1. **Available Sequence Numbers:** 2^k (where k = number of bits)
2. **Stop & Wait Efficiency:** $1/(1+2x)$
3. **Go Back N Sender Window:** $2^k - 1$
4. **Selective Repeat Window:** $2^{(k-1)}$ (both sender and receiver)
5. **Sequence Numbers Needed (Stop & Wait):** Sender window + Receiver window
6. **General Efficiency:** $(\text{Window Size}) \times 1/(1+2x)$
7. **x = Propagation delay / Transmission time**

Key Exam Points

- **Least Efficiency:** Always Stop & Wait
- **Highest Retransmission:** Go Back N
- **Out-of-order acceptance:** Only Selective Repeat
- **Window size from bits:** Always do 2^k , not just k
- **Acknowledgment rule:** Always for next expected frame

- **Implementation complexity:** Stop & Wait < Go Back N < Selective Repeat