



# Fine hierarchies and $m$ -reducibilities in theoretical computer science<sup>☆</sup>

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## ABSTRACT

This is a survey of results about versions of fine hierarchies and many-one reducibilities that appear in different parts of theoretical computer science. These notions and related techniques play a crucial role in understanding complexity of finite and infinite computations. We try not only to present the corresponding notions and facts from the particular fields but also to identify the unifying notions, techniques and ideas.

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## 1. Introduction

The notion of hierarchy appeared first in descriptive set theory (DST), in the work of E. Borel, H. Lebesgue, F. Hausdorff, N. Luzin, M. Suslin and many others as a classification tool for characterizing complexity of sets studied in analysis. Later, similar hierarchies were introduced and studied in computability theory by S. Kleene, A. Mostowski, J. Addison, Y. Moschovakis and many others. S. Kleene has shown that the hierarchies in DST may be treated as in a sense “limit versions” of their computability-theoretic analogs. This was the first evidence that a “hierarchy theory” might really exist.

The notions of reducibility were introduced by S. Kleene and E. Post in computability theory where they play a central role in classification of undecidable problems. One of the simplest and most important is the so called many-one reducibility ( $m$ -reducibility for short). Later, W. Wadge considered a non-effective version of the  $m$ -reducibility and has shown that this version is of primary importance for DST. In particular, he discovered in this way new interesting hierarchies.

Subsequently, different notions of hierarchies and reducibilities (especially  $m$ -reducibilities) were employed in different branches of theoretical computer science and of definability theory (e.g., polynomial-time hierarchy and polynomial-time  $m$ -reducibility in complexity theory, logical hierarchies and reducibilities in finite model theory, dot-depth hierarchy in automata theory and so on). Some of these hierarchies and reducibilities turned out to be also quite important for the corresponding fields.

Please note that our term “fine hierarchy” is used in two senses: it denotes a class of certain hierarchies specified below as well as a particular element of this class — the fine hierarchy over a given  $\omega$ -base, details are given below. Similarly, the term “ $m$ -reducibility” may denote either a class of reducibilities having a common feature or the well-known  $m$ -reducibility from computability theory.

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The events mentioned above seem to support the idea that hierarchies and reducibilities are central unifying notions in several branches of theoretical computer science and mathematics dealing with classification of objects according to their complexity (in the general sense). To my knowledge, the idea to develop a general “hierarchy theory” was first formulated by Addison [2–4]. He tried to develop a unified framework [3,5] for the hierarchies currently considered in DST, computability theory and logic. Many researchers in different fields worked in this direction, probably following the logic of a particular field rather than the Addison’s idea. But some (including the author) tried also to develop hierarchies and reducibilities systematically, working towards a creation of a real theory.

In this survey we collect results from different fields (ranging from DST to automata theory) which, in our opinion, clearly demonstrate that such a theory is really possible. We do not only consider many concrete hierarchies and  $m$ -reducibilities but try to formulate general notions and unifying facts and ideas. We do not include proofs because we mention only published results (with a couple of minor exceptions). Instead, we try to show the development of some key ideas and techniques. We did not try to compile a comprehensive bibliography of the subject, which would be a hard and time-consuming task. But we hope that tracing the bibliography in the mentioned sources the reader could obtain an adequate impression of the history of our subject.

It was impossible to include all known numerous facts about hierarchies and  $m$ -reducibilities, even in any of the particular fields. On the contrary, we tried to select the facts which seem to support the idea that the notions of hierarchy and  $m$ -reducibility are central and efficient tools for several parts of theoretical computer science. Another reason to be selective is the existence of several known books devoted to hierarchies in DST and computability theory, e.g. [66,95,107,100]. There was of course, no need to repeat the well-known facts. On the contrary, we tried to make our text as complimentary as possible. In particular, we discuss mostly fine hierarchies (roughly speaking, the hierarchies finer than hierarchies related to the quantifier-alternation hierarchy of first-order formulas) while the existing texts put emphasis on the coarse hierarchies. In contrast with some of the existing books, we put emphasis on the finite (rather than transfinite) versions of the considered hierarchies; the reason is that the finite levels are more important for understanding the complexity of natural problems. We also include some relatively recent results and results about hierarchies of  $k$ -partitions that provide a non-trivial interesting generalization of the traditional hierarchies of sets. To my knowledge, the hierarchies of  $k$ -partitions were not mentioned in the existing books so far.

The simplest and most important example of the fine hierarchy is the difference hierarchy first introduced and studied by Hausdorff [54,55] in the context of DST and afterwards by many authors in different parts of mathematics and theoretical computer science. Later, more complicated fine hierarchies were introduced independently by Wadge in DST [170,171], K. Wagner in automata theory [173] and the author in computability theory [112]. The three approaches to the fine hierarchies used different motivations and proof techniques. W. Wadge tried to understand the structure of Borel sets under the  $m$ -reducibility by continuous functions (now known as the Wadge reducibility) and employed the game-theoretic technique. K. Wagner tried to understand topological complexity of infinite behavior of finite automata by means of an effective version of the Wadge reducibility and used combinatorial arguments. The author tried to identify a natural refinement of the arithmetical hierarchy which can not be (in a sense) refined further and is sufficient for classifying the definable index sets; the corresponding hierarchy was defined in terms of suitable jump operations. Nevertheless, the three resulted hierarchies turned out to be closely interrelated. E.g., the Wagner hierarchy may be considered as an effective fragment of the Wadge hierarchy and an initial segment of the author’s fine hierarchy [125], and the fine hierarchy (adjusted to the context of DST) is in some exact sense the finite version of the Wadge hierarchy. Moreover, the fine hierarchies turned out useful in some other situations, though technically they are in general much more involved than the difference hierarchy.

The modern research in DST often requires some strong set-theoretic assumptions beyond the Zermelo-Fraenkel set theory with the axiom of choice ZFC. In contrast, most questions considered in theoretical computer science so far do not need those strong assumptions. Since we address this survey mainly to computer scientists, we avoid interesting but rather special considerations outside the scope of ZFC. Thus, we take ZFC as the foundation for results reported below. Actually, a good acquaintance with the “naive” set theory is sufficient for understanding this paper.

The rest of the paper is organized as follows. Section 2 briefly summarizes some notation, notions and facts used throughout the paper. Most of them are well-known but we also include some less known facts and propose some general notions related to hierarchies and reducibilities. In the subsequent sections some of these notions are made more concrete and better adjusted to the corresponding fields. In Section 3 we describe some general facts on the abstract hierarchies (i.e. hierarchies in sets without additional structure). The subsequent Sections 4–9 depend on the previous two but may be read relatively independently of each other (though some of them have non-trivial interesting relationships with other sections). In those sections we discuss hierarchies and  $m$ -reducibilities in several branches of mathematics and computer science: DST, logic, computability theory, complexity theory, automata on finite words and automata on infinite words. In the cases when a field is already well presented in monographs and survey papers, we try to make our text complimentary, i.e. to minimize the well-known material and maximize the number of less-known and recently published facts. We conclude in Section 10.

## 2. Notation and notions

In this section we introduce notation and terminology used throughout the paper. Some more special notation and facts from concrete fields are recalled in the corresponding subsections devoted to hierarchies and  $m$ -reducibilities considered in those fields. This section is probably not easy to read because it contains many technical definitions. Nevertheless, we kindly

ask the reader to look through it before going further, because along with the well-known notation and definitions it also contains some facts frequently used in the sequel, definitions of the abstract central notions of hierarchy and  $m$ -reducibility and informal discussions of some related ideas. Probably, it makes sense to return periodically to this section in order to refresh definitions and to analyze the development of ideas and problems discussed here.

## 2.1. Logic

Here we recall some notation from logic. For detailed treatments see any of the available books, e.g. [33,146]. We use the standard logical symbols  $\wedge, \vee, \rightarrow, \leftrightarrow, \neg, \forall, \exists$ . By *signature* we mean a set of predicate, functional and constant symbols. *Structure* of a signature  $\sigma$  is a pair  $\mathbf{A} = (A; I)$ , denoted also  $(A; \sigma)$  or just by  $A$ , consisting of a nonempty set  $A$  and an interpretation  $I$  of  $\sigma$ -symbols in  $A$ ; the interpretation of a symbol  $s \in \sigma$  is denoted by  $s^I, s^A$  or (in most cases) simply by  $s$  itself. We assume the reader to be familiar with the notions of isomorphism, homomorphism, congruence relation, term, formula, sentence, and values of a term (or a formula) in a given structure. Recall that *sentence* is a formula without free variables. *Theory* is a set of sentences closed under consequences.

Recall that a relation in a structure is *definable* if there is a first-order formula of signature of the structure true exactly on the tuples that satisfy the relation. An element is definable if the corresponding singleton set is definable. A class  $\mathcal{C}$  of  $\sigma$ -structures is *axiomatizable* if there is a sentence  $\phi$  of signature  $\sigma$  such that  $\mathcal{C}$  coincides with the class of models of  $\phi$  (note that more exact term would be “finitely axiomatizable” but we will not consider the general axiomatizability). We will also apply the term “axiomatizable” to the situation when  $\mathcal{C}$  is a class of finite  $\sigma$ -structures.

Recall that *first-order theory*  $FO(A)$  of a structure  $A$  of signature  $\sigma$  is the set of first-order sentences of signature  $\sigma$  which are true in  $A$ . The theory  $FO(A)$  is *decidable* if the set of Gödel numbers of the sentences in  $FO(A)$  is computable. A theory of signature  $\sigma$  is *hereditary undecidable* if any of its subtheories of the same signature  $\sigma$  is undecidable. Of course, any hereditary undecidable theory is undecidable.

For any theory  $T$  of signature  $\sigma$  we denote by  $B_\sigma(T)$  the Lindenbaum algebra of  $\sigma$ -sentences modulo  $T$ , i.e. the quotient-set of the set of sentences by the equivalence of sentences in the theory  $T$ ; this is a Boolean algebra with the Boolean operations induced by  $\wedge, \vee$  and  $\neg$ , the zero element being the equivalence class of an invalid sentence, and the unit element being the equivalence class of a valid sentence. For  $T = \emptyset$  we write  $B_\sigma$  instead of  $B_\sigma(\emptyset)$  and call the structure the Lindenbaum algebra of  $\sigma$ -sentences. Similarly one can define the Lindenbaum algebra of formulas with a fixed tuple of free variables.

Any first-order formula is equivalent to a formula in prenex form where all quantifiers stand at the beginning. This fact gives rise to the quantifier-alternation hierarchy of formulas (or sentences) of a given signature. For any  $n > 0$ , the level  $\Sigma_n^0$  of this hierarchy consists of the formulas equivalent to a  $\Sigma_n^0$ -formula, i.e. to a formula in prenex form that starts with an existential quantifier and has at most  $n - 1$  quantifier alternations. As usual, the dual class of formulas is denoted  $\Pi_n^0$ .

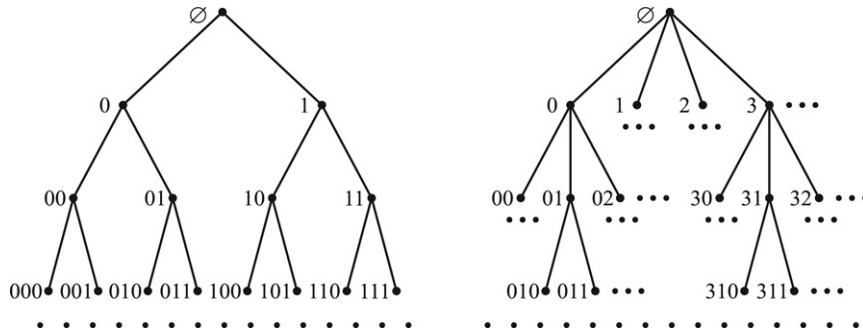
Along with the first-order logic (where only the first-order variables denoting the elements of structures are used), in computer science people are interested also in many other logics. For instance, in *second order logic* one can use also the (second-order) predicate variables ranging through the predicates of corresponding arity and quantify over them. *Monadic second order logic* is the fragment of the second order logic in which (along with the first-order variables) only the second-order predicate variables for the unary predicates are allowed. *Infinitary logic*  $L_{\omega_1, \omega}$  is the extension of the first-order logic by the possibility to use countably infinite conjunctions and disjunctions. Also logics with new kinds of quantifiers (e.g., the modulo-counting quantifiers) and different versions of temporal and modal logics are quite important for theoretical computer science.

## 2.2. Sets, spaces and $k$ -partitions

We will consider hierarchies and reducibilities in many sets and (topological) spaces, but most attention is paid to only few of them, namely the natural numbers, words over a given finite alphabet, the Baire and Cantor spaces and the Baire and Cantor domains. In this subsection we briefly recall notation and definitions related to these sets and spaces.

We use the standard set-theoretical symbols  $\emptyset, \cup, \cap, -, \setminus, \times, \subseteq$ , and  $\subset$  (strict inclusion). The cardinality of a set  $A$  is denoted by  $|A|$ . We denote (sets and) spaces by  $M, X, Y, \dots$ , elements of spaces (points) by  $x, y, \dots$  (for concrete examples of spaces also special notation may be used), subsets of spaces (pointsets) by  $A, B, \dots$  and classes of subsets of spaces (pointclasses) by  $\mathcal{A}, \mathcal{B}, \dots$ . By  $P(X)$  we denote the powerset of  $X$ , i.e. the class of subsets of  $X$ . By  $\bar{A}$  we denote the complement of a set  $A \subseteq X$ , i.e.  $\bar{A} = X \setminus A$  and by  $co\text{-}\mathcal{A} = \{\bar{A} \mid A \in \mathcal{A}\}$  — the dual of a pointclass  $\mathcal{A}$ . A pointclass  $\mathcal{A}$  is *self-dual* if  $\mathcal{A} = co\text{-}\mathcal{A}$ . By  $A \Delta B$  we denote the symmetric difference  $(A \setminus B) \cup (B \setminus A)$  of  $A$  and  $B$ . The Boolean closure of a pointclass  $\mathcal{A}$  (i.e., the closure under finite unions and intersections and complement) is denoted  $BC(\mathcal{A})$ .

Functions are sets of ordered pairs satisfying the usual “uniqueness” condition. The domain and range of a function  $f$  are denoted  $dom(f)$  and  $rng(f)$ , respectively. A function  $f$  with  $dom(f) = A$  and  $rng(f) \subseteq B$  ( $rng(f) = B$ ) is called a function from  $A$  to (respectively, onto)  $B$ , in symbols  $f : A \rightarrow B$ ; in case  $dom(f) \subseteq A$  we say that  $f$  is a partial function from  $A$  to  $B$ , in symbols  $f : A \rightharpoonup B$ . The composition of functions  $f$  and  $g$  is denoted by  $f \circ g$  or just by  $fg$ . The set of functions from  $A$  to  $B$  is denoted by  $B^A$ . Functions  $f \in B^A$  are identified with *families*  $\{f(a)\}_{a \in A}$ . For a well-ordered set  $A$ , such indexed families are called *sequences* or, if we want to be more precise, *A-sequences*. The usual notation  $f(a)$  for the value of a function is sometimes simplified to  $fa, f_a$  or  $f^a$ . If  $f : A \times B \rightarrow C$  then  $\lambda y f(x, y)$  denotes the function relating to any  $y \in B$

Fig. 1.  $2^*$  and  $\omega^*$ .

the corresponding value  $f(x, y)$ , for a fixed  $x \in A$ ; this function is also denoted by  $y \mapsto f(x, y)$ . Similarly we can use the notation  $\lambda x f(x, y)$ .

Let  $\omega^*$  be the set of finite sequences (strings) of natural numbers. The empty string is denoted by  $\emptyset$ , the concatenation of strings  $\sigma, \tau$  by  $\sigma \frown \tau$  or just by  $\sigma\tau$ , the length of  $\sigma$  by  $|\sigma|$ . By  $\omega^+$  we denote the set of finite non-empty strings in  $\omega$ . By  $\sigma \sqsubseteq \tau$  we denote that the string  $\sigma$  is an initial segment of the string  $\tau$  (please be careful in distinguishing  $\sqsubseteq$  and  $\subseteq$ ). Let  $\omega^\omega$  be the set of all infinite sequences of natural numbers (i.e., of all functions  $\xi : \omega \rightarrow \omega$ ). For  $\sigma \in \omega^*$  and  $\xi \in \omega^\omega$ , we write  $\sigma \sqsubseteq \xi$  to denote that  $\sigma$  is an initial segment of the sequence  $\xi$ . Define a topology on  $\omega^\omega$  by taking arbitrary unions of sets of the form  $\{\xi \in \omega^\omega \mid \sigma \sqsubseteq \xi\}, \sigma \in \omega^*$ , as the open sets. The space  $\omega^\omega$  with this topology known as the *Baire space* is of primary importance for DST.

For any  $n, 1 < n < \omega$ , let  $n^*$  be the set of finite strings of elements of  $\{0, \dots, n-1\}$ ,  $n^* \subseteq \omega^*$ . E.g.,  $2^*$  is the set of finite strings of 0's and 1's. For  $\sigma \in n^*$  and  $\xi \in n^\omega$ , the relation  $\sigma \sqsubseteq \xi$  and the space  $n^\omega$  are defined in the same way as in the previous paragraph. It is well known that for each  $n, 2 \leq n < \omega$ , the space  $n^\omega$  is homeomorphic to the space  $2^\omega$  called the *Cantor space*. The Cantor space is a closed subspace of the Baire space. They are not homeomorphic because Cantor space is compact while Baire space is not. In computer science people often consider the sets  $A^*, A^+, A^\omega$  of finite (respectively, finite non-empty and infinite) words over a finite alphabet  $A$ . Mathematically, these sets are of course the same as  $n^*, n^+, n^\omega$  respectively, where  $n = |A|$ .

The Cantor and Baire spaces are shown in Fig. 1 (they are the sets of paths through the corresponding full binary and  $\omega$ -ary trees).

The *Baire domain* is the set  $\omega^{\leq \omega} = \omega^* \cup \omega^\omega$  of finite and infinite strings of natural numbers, with the unions of sets of the form  $\{\xi \in \omega^{\leq \omega} \mid \sigma \sqsubseteq \xi\}, \sigma \in \omega^*$ , as open sets. For any  $2 \leq n < \omega$ , the *Cantor domain* is the set  $n^{\leq \omega} = n^* \cup n^\omega$  of finite and infinite words over the alphabet  $n$  considered as the subspace of the Baire domain. Note that the Cantor domains  $n^{\leq \omega}$  and  $m^{\leq \omega}$  are not homeomorphic for distinct  $n$  and  $m$ .

For a given set or space  $X$ , we are interested in classification of subsets of  $X$  according to their “complexity”. We understand the last term in a broader sense than in the computation complexity theory. It might be the complexity of computing a set, the complexity of its definition in some language or the topological complexity. But any possible interpretation of this word gives rise to structures (hierarchies and reducibilities on  $P(X)$ ) which have many common features. These features are the main interest for the theory presented in this paper.

More generally, we consider hierarchies and  $m$ -reducibilities for the  $k$ -partitions of  $X$ . We identify a natural number  $k \in \omega$  with the set  $\{0, \dots, k-1\}$ . By  $k$ -partitions of  $X$  we mean maps  $\nu \in k^X$ ; such maps are in a natural bijective correspondence with the tuples  $(A_0, \dots, A_{k-1})$  of pairwise disjoint sets satisfying  $A_0 \cup \dots \cup A_{k-1} = X$ . Note that the 2-partitions of  $X$  essentially coincide with subsets of  $X$ . For any class  $\mathcal{C} \subseteq P(X)$ , let  $\mathcal{C}_k$  denote the set of  $\mathcal{C}$ -partitions (more exactly,  $\mathcal{C}$ -measurable  $k$ -partitions), i.e. partitions  $\nu \in k^X$  such that  $\nu^{-1}(i) \in \mathcal{C}$  for each  $i < k$ .

The case of  $k$ -partitions of  $X$  for  $k > 2$  is not often considered in the literature probably because many people feel that it has nothing special compared with the case of subsets of  $X$ , while many others think that it is just a trivial particular case of functions defined on  $X$ . The author belongs to those believing that the non-trivial  $k$ -partitions deserve special attention. The study of arbitrary functions with domain  $X$  misses some important combinatorial features of the finite partitions while sticking to the case of subsets of  $X$  oversimplifies things because for  $k > 2$  many properties of  $k$ -partitions become much more complicated than for the case of sets. E.g.,  $k$ -partitions in computability theory and complexity theory are closely related to such interesting notions as the multiple reducibility or separability of tuples of sets. For these reasons we pay considerable attention below to hierarchies and reducibilities on  $k$ -partitions. We will see that in this context also the case of  $k$ -partitions is much more complicated (but still manageable) than the case of sets.

### 2.3. Ordinals

We assume the reader to be acquainted with the notions of ordinal and cardinal (see e.g. [74]). Ordinals are important for the hierarchy theory because levels of many hierarchies are often (almost) well ordered by inclusion. This opens the possibility to estimate complexity of sets by ordinals.

Ordinals are denoted by  $\alpha, \beta, \gamma, \dots$ . The successor  $\alpha + 1$  of an ordinal  $\alpha$  is defined by  $\alpha + 1 = \alpha \cup \{\alpha\}$ . Every ordinal  $\alpha$  is the set of all smaller ordinals, i.e.  $\alpha = \{\beta \mid \beta < \alpha\}$ . E.g.,  $0 = \emptyset$ ,  $1 = \{0\}$ ,  $2 = \{0, 1\}$ ,  $\dots$ ,  $k+1 = \{0, 1, \dots, k\}$ ,  $\omega = \{0, 1, 2, \dots\}$ . Ordinals may be considered as the order types of well orders (i.e., linear orders without infinite descending chains): any well order  $(P; <)$  is isomorphic to  $(\alpha; <)$  (i.e., to  $(\alpha; \in)$ ) for a unique ordinal  $\alpha$ .

Ordinals generalize natural numbers, and for them one can also use inductive definitions and proofs (for ordinals  $\alpha > \omega$  such proofs are sometimes called proofs by transfinite induction). Inductive definitions and proofs over ordinals  $> \omega$  are quite similar to those over the naturals, only this time along with the successor ordinals  $\alpha + 1$  one has to deal also with the limit ordinals (i.e. non-zero ordinals that are not successors).

We use some well-known facts about the ordinal arithmetic. As usual,  $\alpha + \beta$ ,  $\alpha \cdot \beta$  and  $\alpha^\beta$  denote the ordinal addition, multiplication and exponentiation of  $\alpha$  and  $\beta$ , respectively. The context will help to distinguish the ordinal exponentiation from the set exponentiation denoted in the same way but having a quite different meaning.

The ordinal addition is defined by induction as follows:  $\alpha + \beta = \alpha \cup \sup\{(\alpha + \gamma) + 1 \mid \gamma < \beta\}$ . It is known and easy to check by induction that  $\alpha + 0 = \alpha$ ,  $\alpha + (\beta + 1) = (\alpha + \beta) + 1$  and  $\alpha + \lambda = \sup\{(\alpha + \gamma) \mid \gamma < \lambda\}$  for a limit ordinal  $\lambda$ . Moreover,  $\alpha + \beta$  is the order type of the order  $(C; <)$  defined by

$$C = (\{0\} \times \alpha) \cup (\{1\} \times \beta), \quad (a, \gamma) < (b, \delta) \Leftrightarrow a < b \vee (a = b \wedge \gamma < \delta).$$

Note that  $\omega + 1 \neq 1 + \omega$ ,  $0 + \alpha = \alpha$ ,  $(\alpha + \beta) + \gamma = \alpha + (\beta + \gamma)$ ,  $\alpha < \beta \rightarrow \gamma + \alpha < \gamma + \beta$ ,  $\alpha \leq \beta \rightarrow \alpha + \gamma \leq \beta + \gamma$ , for  $\alpha \geq \beta$  there is a unique  $\gamma$  (denoted by  $\gamma = \alpha - \beta$ ) with  $\alpha = \beta + \gamma$ .

The ordinal multiplication is defined by induction  $\alpha \cdot \beta = \sup\{\alpha \cdot \gamma + \alpha \mid \gamma < \beta\}$ . It is well known that  $\alpha \cdot 0 = 0$ ,  $\alpha(\beta + 1) = \alpha\beta + \alpha$  and  $\alpha\lambda = \sup\{\alpha\gamma \mid \gamma < \lambda\}$  for a limit ordinal  $\lambda$ . Moreover,  $\alpha\beta$  is the order type of  $(\alpha \times \beta; <)$  where  $(\gamma, \delta) < (\varepsilon, \zeta) \Leftrightarrow \delta < \zeta \vee (\delta = \zeta \wedge \gamma < \varepsilon)$ . Note that  $\omega \cdot 2 \neq 2 \cdot \omega$ ,  $0 \cdot \alpha = 0$ ,  $\alpha \cdot 1 = 1 \cdot \alpha = \alpha$ ,  $(\alpha\beta)\gamma = \alpha(\beta\gamma)$ ,  $\alpha(\beta + \gamma) = \alpha\beta + \alpha\gamma$ ,  $\alpha < \beta \wedge 0 < \gamma \rightarrow \gamma\alpha < \gamma\beta$ ,  $\alpha \leq \beta \rightarrow \alpha\gamma \leq \beta\gamma$ , for all  $\alpha$  and  $\beta > 0$  there are unique  $\gamma, \rho$  with  $\alpha = \beta\gamma + \rho$ .

The ordinal exponentiation is defined by induction:  $\alpha^0 = 1$  and  $\alpha^\beta = \sup\{\alpha^\gamma \cdot \alpha \mid \gamma < \beta\}$  for  $\beta > 0$ . It is well-known that  $\alpha^{\beta+1} = \alpha^\beta \cdot \alpha$  and  $\alpha^\lambda = \sup\{\alpha^\gamma \mid \gamma < \lambda\}$  for a limit ordinal  $\lambda$ . It is easy to check that  $0^{1+\beta} = 0$ ,  $1^\beta = 1$ ,  $\alpha < \beta \wedge 1 < \gamma \rightarrow \gamma^\alpha < \gamma^\beta$ ,  $\alpha^{\beta+\gamma} = \alpha^\beta \cdot \alpha^\gamma$ ,  $(\alpha^\beta)^\gamma = \alpha^{\beta\gamma}$ .

We will often mention the ordinals  $\omega, \omega^2, \omega^3, \dots$  and  $\omega^\omega$ . The last ordinal is the order type of finite sequences  $(k_1, \dots, k_n)$  of natural numbers  $k_1 \geq \dots \geq k_n$ , ordered lexicographically. Any non-zero ordinal  $\alpha < \omega^\omega$  is uniquely representable in the form  $\alpha = \omega^{k_1} + \dots + \omega^{k_n}$  with  $\omega > k_1 \geq \dots \geq k_n$ .

We will also use the bigger ordinal  $\varepsilon_0 = \sup\{\omega, \omega^\omega, \omega^{\omega^\omega}, \dots\}$ . It is well-known that any non-zero ordinal  $\alpha < \varepsilon_0$  is uniquely representable in the form  $\alpha = \omega^{\gamma_0} + \dots + \omega^{\gamma_k}$  for a finite sequence  $\gamma_0 \geq \dots \geq \gamma_k$  of ordinals  $< \alpha$ . The ordinal  $\varepsilon_0$  is the smallest solution of the ordinal equation  $\omega^x = x$ .

All concrete ordinals considered above are computable, i.e. they are order types of computable well orders on computable subsets of  $\omega$ . The first non-computable ordinal  $\xi = \omega_1^{CK}$  is also important for the hierarchy theory, it is known as the Church–Kleene ordinal. This ordinal gives rise to some other interesting ordinals, e.g.  $\xi^\omega$ . Any non-zero ordinal  $\alpha < \xi^\omega$  is uniquely representable in the form  $\alpha = \xi^{n_0}\alpha_0 + \dots + \xi^{n_k}\alpha_k$  where  $k < \omega$ ,  $\omega > n_0 > \dots > n_k$  and  $0 < \alpha_i < \xi$ .

All concrete ordinals mentioned above are countable. The first non-countable ordinal  $\omega_1$  is also of primary importance for the hierarchy theory. From this ordinal one can construct many other interesting ordinals, in particular  $\omega_1^\omega, \omega_1^{\omega_1}, \omega_1^{(\omega_1^1)}, \dots$ . Similarly to the previous paragraph, any non-zero ordinal  $\alpha < \omega_1^\omega$  is uniquely representable in the form  $\alpha = \omega_1^{n_0}\alpha_0 + \dots + \omega_1^{n_k}\alpha_k$  where  $k < \omega$ ,  $\omega > n_0 > \dots > n_k$  and  $0 < \alpha_i < \omega_1$ .

Even much bigger ordinals (like the Wadge ordinal) are of interest for the hierarchy theory. Since those bigger ordinals are defined in a more complicated way, we will give the corresponding exact references to the interested reader later, when we will discuss the corresponding hierarchies.

## 2.4. Well posets

Here we briefly discuss the so called well posets which are an important generalization of ordinals. We will see below that the levels of hierarchies of  $k$ -partitions are often well posets under inclusion.

We use some standard notation and terminology on partially ordered sets (posets) which may be found e.g. in [30]. Recall that *preorder* is a structure  $(P; \leq)$  satisfying the axioms of reflexivity  $\forall x (x \leq x)$  and transitivity  $\forall x \forall y \forall z (x \leq y \wedge y \leq z \rightarrow x \leq z)$ . *Partial order* is a preorder satisfying the antisymmetry axiom  $\forall x \forall y (x \leq y \wedge y \leq x \rightarrow x = y)$ . *Linear order* (or *chain*) is a partial order satisfying the connectivity axiom  $\forall x \forall y (x \leq y \vee y \leq x)$ .

Any partial order  $\leq$  on  $P$  induces the relation of strict order  $<$  on  $P$  defined by  $a < b \Leftrightarrow a \leq b \wedge a \neq b$  and called the strict order related to  $\leq$ . The relation  $\leq$  can be restored from  $<$  so we may safely apply the terminology on partial orders also to the strict orders. A poset  $(P; \leq)$  will be often shorter denoted just by  $P$ . Any subset of a poset  $P$  may be considered as a poset with the induced partial order. In particular, this applies to the “upper cones”  $\check{x} = \{y \in P \mid x \leq y\}$  defined by any  $x \in P$ .

It is well known that any preorder  $(P; \leq)$  induces the partial order  $(P^*; \leq^*)$  called the *factorization* or the *quotient* of  $P$ . The set  $P^*$  is the quotient set of  $P$  under the equivalence relation defined by  $a \equiv b \Leftrightarrow a \leq b \wedge b \leq a$ ; the set  $P$  consists of all equivalence classes  $[a] = \{x \mid x \equiv a\}$ ,  $a \in P$ . The partial order  $\leq^*$  is defined by  $[a] \leq^* [b] \Leftrightarrow a \leq b$ . We will not be very



pedantic when applying notions about posets also to preorders; in such cases we mean the corresponding quotient-poset of the preorder.

A partial order  $(P; \leq)$  is called *well-founded* if it has no infinite descending chains. In this case there are a unique ordinal  $rk(P)$  and a unique rank function  $rk_P$  from  $P$  onto  $rk(P)$  satisfying  $a < b \rightarrow rk(a) < rk(b)$ . It is defined by induction  $rk_P(x) = \sup\{rk_P(y) + 1 \mid y < x\}$ . The ordinal  $rk(P)$  is called the rank of  $P$ , and the ordinal  $rk_P(x)$  is called the rank of the element  $x \in P$  in  $P$ .

A *well preorder* is a preorder  $P$  that has neither infinite descending chains nor infinite antichains. With any well preorder  $P$  we associate its rank and also its *width*  $w(P)$  defined as follows: if  $P$  has antichains with any finite number of elements, then  $w(P) = \omega$ , otherwise  $w(P)$  is the greatest natural number  $n$  for which  $P$  has an antichain with  $n$  elements. The theory of well preorders is a well developed field with several deep results and applications, see e.g. [79]. It is also of great interest to the hierarchy theory.

## 2.5. Discrete weak semilattices

Here we summarize some auxiliary algebraic notions and facts from [109,110,135,142] useful in the sequel, especially for understanding the hierarchies of  $k$ -partitions. Recall that a *semilattice* is a structure  $(P; \leq, \cup)$  consisting of a preorder  $(P; \leq)$  and a binary operation  $\cup$  of supremum in  $(P; \leq)$  (thus, we consider only upper semilattices). By a  $\sigma$ -*semilattice* we mean a semilattice in which every countable set of elements has a supremum. With a slight abuse of notation, we apply the operation  $\cup$  also to finite non-empty subsets of  $P$ . This causes no problem because the supremum of any non-empty finite set is unique up to the equivalence relation  $\equiv$  induced by  $\leq$ .

We start with a definition from [138] which is a slight modification of the corresponding notions introduced in [109,110].

**Definition 2.1.** Let  $I$  be a non-empty set. By  $I$ -discrete weak semilattice (dws, for short) we mean a structure  $(P; \leq, \{P_i\}_{i \in I})$  with  $P_i \subseteq P$  such that:

- (i)  $(P; \leq)$  is a preorder;
- (ii) for all  $n < \omega$ ,  $x_0, \dots, x_n \in P$  and  $i \in I$  there exists  $u_i = u_i(x_0, \dots, x_n) \in P_i$  which is a supremum for  $x_0, \dots, x_n$  in  $P_i$ , i.e.  $\forall j \leq n (x_j \leq u_i)$  and for any  $y \in P_i$  with  $\forall j \leq n (x_j \leq y)$  it holds  $u_i \leq y$ ;
- (iii) for all  $n < \omega$ ,  $x_0, \dots, x_n \in P$ ,  $i \neq i' \in I$  and  $y \in P_{i'}$ , if  $y \leq u_i(x_0, \dots, x_n)$  then  $y \leq x_j$  for some  $j \leq n$ .

Though the notion of dws might seem rather exotic, it is closely related to hierarchies and  $m$ -reducibilities. The relation is roughly as follows: if the elements  $x_0, \dots, x_n$  represent complete sets (or  $k$ -partitions) in some levels of a hierarchy then the elements  $u_i = u_i(x_0, \dots, x_n)$ ,  $i \in I$ , may represent sets (or  $k$ -partitions) complete in the levels of the hierarchy which are minimal among the levels strictly above the levels of  $x_0, \dots, x_n$ .

By  $\sigma$ -dws we mean a dws  $(P; \leq, \{P_i\}_{i \in I})$  that has the same properties also for all  $\omega$ -sequences  $x_0, x_1, \dots$  in  $P$ .

Throughout the paper, we are interested mostly in the case when  $I = k$  for some integer  $k \geq 2$ ; in this case we write the dws also in the form  $(P; \leq, P_0, \dots, P_{k-1})$ . Note that the operations  $u_i$  above may be considered as  $n$ -ary operations of  $P$  for each  $n > 0$  (in  $\sigma$ -dws's even as  $\omega$ -ary operations). These operations are associative and commutative. The following properties of dws's are immediate (see [109,110]).

**Proposition 2.2.** Let  $(P; \leq, P_0, \dots, P_{k-1})$  be a dws and  $y, x_0, \dots, x_n \in P_0 \cup \dots \cup P_{k-1}$ .

- (i) If  $x_j \leq y$  for all  $j \leq n$  then  $u_i(x_0, \dots, x_n) \leq y$  for some  $i < k$ .
- (ii) If  $y \leq u_i(x_0, \dots, x_n)$  for all  $i < k$  then  $y \leq x_j$  for some  $j \leq n$ .
- (iii) If  $\{x_0, \dots, x_n\}$  has no greatest element then it has no supremum in  $P_0 \cup \dots \cup P_{k-1}$ .

Note that if  $(P; \leq, P_0, \dots, P_{k-1})$  is a  $\sigma$ -dws then the last proposition holds also for the  $\omega$ -sequences  $x_0, x_1, \dots \in P_0 \cup \dots \cup P_{k-1}$ . Note also that for any dws the unary operations  $u_i$  are closure operators on  $(P; \leq)$ , i.e. they satisfy

$$\forall x (x \leq u_i(x)), \quad \forall x \forall y (x \leq y \rightarrow u_i(x) \leq u_i(y)) \quad \text{and} \quad \forall x (u_i(u_i(x)) \leq u_i(x)).$$

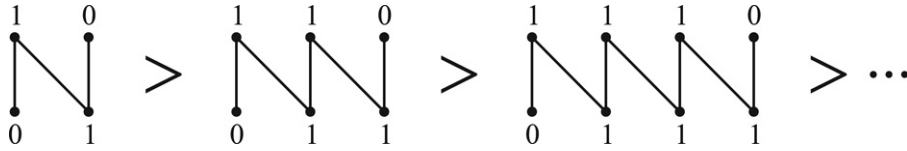
They have also the following discreteness property:  $\forall x \forall y (u_i(x) \leq u_j(y) \rightarrow u_i(x) \leq y)$ , for all  $i \neq j$ . This shows a close relation of dws's to the so called semilattices with discrete closures (*dc-semilattices* for short) introduced in [110].

**Definition 2.3.** By *dc-semilattice* we mean a structure  $(S; \leq, \cup, p_0, \dots, p_{k-1})$  satisfying the following axioms:

- (1)  $(S; \cup)$  is an upper semilattice, i.e. it satisfies  $(x \cup y) \cup z = x \cup (y \cup z)$ ,  $x \cup y = y \cup x$  and  $x \cup x = x$ , for all  $x, y, z \in S$ .
- (2)  $\leq$  is the partial order on  $S$  induced by  $\cup$ , i.e.  $x \leq y$  iff  $x \cup y = y$ , for all  $x, y \in S$ .
- (3) Every  $p_i$ ,  $i < k$ , is a closure operation on  $(S; \leq)$ , i.e. it satisfies  $x \leq p_i(x)$ ,  $x \leq y \rightarrow p_i(x) \leq p_i(y)$  and  $p_i(p_i(x)) \leq p_i(x)$ , for all  $x, y \in S$ .
- (4) The operations  $p_i$  have the following discreteness property: for all distinct  $i, j < k$ ,  $p_i(x) \leq p_j(y) \rightarrow p_i(x) \leq y$ , for all  $x, y \in S$ .
- (5) Every  $p_i(x)$  is join-irreducible, i.e.  $p_i(x) \leq y \cup z \rightarrow (p_i(x) \leq y \vee p_i(x) \leq z)$ , for all  $x, y, z \in S$ .

By *dc $\sigma$ -semilattice* we mean a *dc-semilattice*  $(S; \leq, \cup, p_0, \dots, p_{k-1})$  such that  $(S; \cup)$  is a  $\sigma$ -semilattice and the axiom (5) of *dc-semilattices* holds also for supremums of countable subsets of  $S$ , i.e.  $p_i(x) \leq \bigcup_{j < \omega} y_j$  implies that  $p_i(x) \leq y_j$  for some  $j < \omega$ ; we express this by saying that  $p_i(x)$  is  $\sigma$ -join-irreducible.

The next easy assertion shows that dws's that are semilattices essentially coincide with *dc-semilattices*.

Fig. 2. Infinite descending chain in  $(\mathcal{P}_2; \leq)$ .

**Proposition 2.4.** (i) Let  $(P; \leq, P_0, \dots, P_{k-1})$  be a dws and  $(P; \leq, \cup)$  is a semilattice. Then the structure  $(P; \leq, \cup, u_0, \dots, u_{k-1})$  with the unary operations  $u_i$  on  $P$  is a dc-semilattice.

(ii) If  $(P; \leq, \cup, p_0, \dots, p_{k-1})$  is a dc-semilattice then  $(P; \leq, P_0, \dots, P_{k-1})$ , where  $P_i = \{p_i(x) \mid x \in P\}$  is a dws.

(iii) The maps  $(P; \leq, \cup, P_0, \dots, P_{k-1}) \mapsto (P; \leq, \cup, u_0, \dots, u_{k-1})$  and back are inverses of each other, up to isomorphism of the quotient-structures.

(iv) Similar relationship exists between  $dc\sigma$ -semilattices and  $\sigma$ -dws's.

In [110] we considered also some variations of dws's and dc-semilattices. By 2-dws we mean a structure  $(P; \leq, \{P_i^j\}_{i,j \in I})$  with the properties similar to those of dws's with the only exception: this time the property (iii) states that for all  $n < \omega$ ,  $x_0, \dots, x_n \in P$ ,  $i \neq i', j \neq j'$  and  $y \in P_i^{j'}$ , if  $y \leq u_i^j(x_0, \dots, x_n)$  then  $y \leq x_l$  for some  $l \leq n$ . By 2-dc-semilattice we mean a structure  $(P; \leq, \cup, \{r_i^j\}_{i,j \in I})$  satisfying the same properties as dc-semilattices with a similar modification of the discreteness property: for all  $x, y \in P$ , if  $i \neq i', j \neq j'$  and  $r_i^j(x) \leq r_{i'}^{j'}(y)$  then  $r_i^j(x) \leq y$ . Analogs of Propositions 2.2–2.4 are easily seen to hold also for 2-dws's and 2-dc-semilattices. We state also the following evident relationship between the introduced notions.

**Proposition 2.5.** (i) If  $(P; \leq, \{P_i^j\}_{i,j \in I})$  is a 2-dws then  $(P; \leq, \{P_i^1\}_{i \in I})$  is a dws.

(ii) If  $(P; \leq, \cup, \{r_i^j\}_{i,j \in I})$  is a 2-dc-semilattice then  $(P; \leq, \cup, \{r_i^1\}_{i \in I})$  is a dc-semilattice.

(iii) Similar relationship exists between 2- $\sigma$  dc-semilattices and 2- $\sigma$ -dws's.

In [138] it was shown that most non-trivial dws's and 2-dws's have undecidable first-order theories. In particular, the following fact holds true.

**Proposition 2.6.** Let  $k \geq 2$  and let  $(P; \leq, P_0, \dots, P_{k-1})$  be a dws such that, for some  $i < k$ ,  $(P_i; \leq)$  has antichains with any finite number of elements. Then the first-order theory  $FO(P; \leq)$  is hereditary undecidable. In particular, if  $k \geq 3$  and  $P$  is a dws or a 2-dws that is not linearly ordered then  $FO(P)$  is hereditary undecidable.

## 2.6. The $h$ -preorder

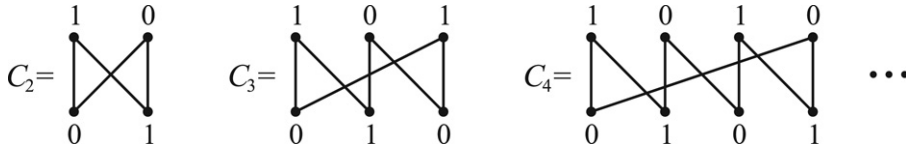
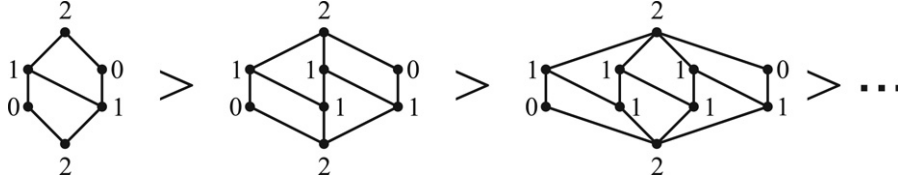
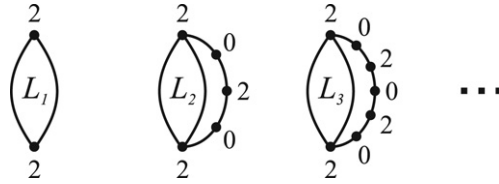
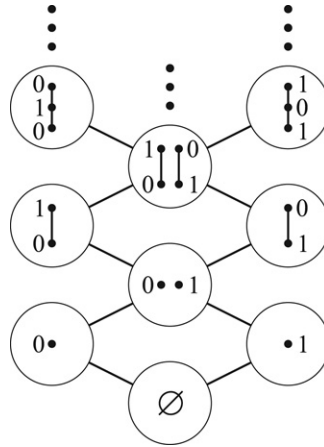
Here we recall some definitions and facts about the so called  $h$ -preorders studied in [60,61,75,86,133,142,80,81] in relation with the difference hierarchies and the Wadge reducibility of  $k$ -partitions, and make some additional remarks. The  $h$ -preorders provide minimal models for some theories discussed in the previous section. Most posets considered here are assumed to be (at most) countable and without infinite chains. The absence of infinite chains in a poset  $(P; \leq)$  is of course equivalent to well-foundedness of both  $(P; \leq)$  and  $(P; \geq)$ .

By *forest* we mean a poset without infinite chains in which every upper cone  $\check{x}$  is a chain. *Tree* is a forest having the biggest element (called *the root* of the tree). Let  $(T; \leq)$  be a tree without infinite chains; in particular, it is well-founded. As for each well-founded partial order, there is a canonical rank function  $rk_T$  from  $T$  to ordinals. The rank  $rk(T)$  of  $(T; \leq)$  is by definition the ordinal  $rk_T(r)$ , where  $r$  is the root of  $(T; \leq)$ . It is well-known that the rank of any countable tree without infinite chains is a countable ordinal, and any countable ordinal is the rank of such a tree.

A  $k$ -poset is a triple  $(P; \leq, c)$  consisting of a poset  $(P; \leq)$  and a labeling  $c : P \rightarrow k$ . Rank of a  $k$ -tree (or a  $k$ -poset)  $(T; \leq, c)$  is by definition the rank of  $(T; \leq)$ . *Morphism*  $f : (P; \leq, c) \rightarrow (P'; \leq', c')$  between  $k$ -posets is a monotone function  $f : (P; \leq) \rightarrow (P'; \leq')$  respecting the labelings, i.e. satisfying  $c = c' \circ f$ . Let  $\tilde{\mathcal{P}}_k, \tilde{\mathcal{F}}_k, \tilde{\mathcal{T}}_k$  and  $\tilde{\mathcal{T}}_k^i$  denote the classes of all countable  $k$ -posets, countable  $k$ -forests, countable  $k$ -trees and countable  $i$ -rooted  $k$ -trees without infinite chains, respectively. The  $h$ -preorder  $\leq$  on  $\tilde{\mathcal{P}}_k$  is defined as follows:  $(P; \leq, c) \leq (P'; \leq', c')$ , if there is a morphism from  $(P; \leq, c)$  to  $(P'; \leq', c')$ . Let  $\mathcal{P}_k, \mathcal{F}_k, \mathcal{T}_k$  and  $\mathcal{T}_k^i$  be the subsets of the corresponding tilde-sets formed by finite posets only. Furthermore, let  $\mathcal{L}_k$  and  $\mathcal{C}_k$  be the sets of finite  $k$ -lattices and  $k$ -chains, respectively. Note that the empty poset  $\emptyset$  is assumed to be in  $\mathcal{F}_k$  but not in  $\tilde{\mathcal{T}}_k$ ; it is the smallest element of  $(\tilde{\mathcal{P}}_k; \leq)$ .

As observed in [75,133,138], the structure  $(\mathcal{P}_k; \leq)$  for each  $k \geq 2$  and its substructure  $(\mathcal{L}_k; \leq)$  for each  $k \geq 3$ , contain infinite antichains and infinite descending chains (see Figs. 2–5 where  $L_1, L_2, \dots$  is the sequence of  $k$ -lattices from Fig. 2). Since the  $h$ -preorder is closely related to the order of levels of the hierarchies of  $k$ -partitions (and they are expected to be well partial ordered), we think that such examples show that the structures  $(\mathcal{P}_k; \leq)$  and  $(\mathcal{L}_k; \leq)$  are probably too rich in general for our purposes here.

In contrast, the following result from [142] shows that the structure of  $k$ -forests has much better properties. For this reason we stick to the structure  $(\tilde{\mathcal{F}}_k; \leq)$  below.

Fig. 3. A sequence containing the infinite antichain  $\{C_p \mid p \text{ prime}\}$  in  $(\mathcal{P}_2; \leq)$ .Fig. 4. Infinite descending chain in  $(\mathcal{L}_k; \leq)$ .Fig. 5. Infinite antichain in  $(\mathcal{L}_k; \leq)$ .Fig. 6. An initial segment of  $G\tilde{\mathcal{F}}_2$ .

- Proposition 2.7.** (i) For any  $k \geq 2$ ,  $(\tilde{\mathcal{F}}_k; \leq)$  is a well preorder of rank  $\omega_1$ .  
(ii) For any  $k \geq 2$ ,  $(\mathcal{F}_k; \leq)$  is an initial segment of  $(\tilde{\mathcal{F}}_k; \leq)$  that consists exactly of the elements of finite rank.  
(iii)  $w(\tilde{\mathcal{F}}_2) = 2$  and  $w(\tilde{\mathcal{F}}_k) = \omega$  for  $k > 2$ .  
(iv) For any  $k \geq 2$ , the quotient structure of  $(\tilde{\mathcal{F}}_k; \leq)$  is a distributive lattice and a  $\sigma$ -semilattice.

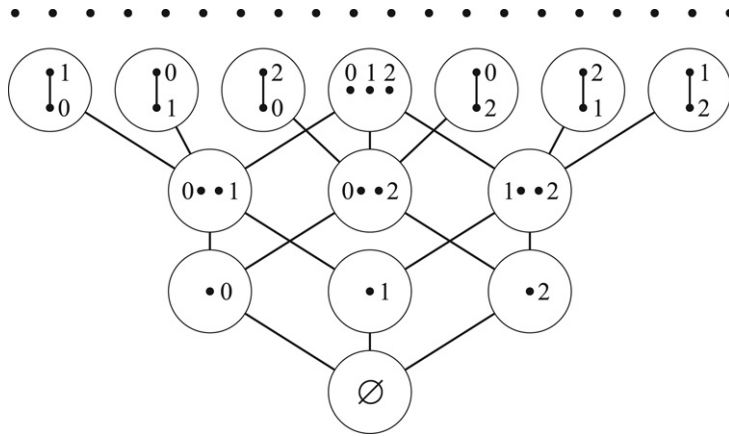
Pictures 6 and 7 show initial segments of the structures  $G\tilde{\mathcal{F}}_2$  and  $G\tilde{\mathcal{F}}_3$  (the circles depict  $h$ -equivalence classes).

Let  $P \sqcup Q$  be the join of  $k$ -posets  $P, Q$  and  $\bigsqcup_i P_i = P_0 \sqcup P_1 \sqcup \dots$  the join of an infinite sequence  $P_0, P_1, \dots$  of  $k$ -posets. For a  $k$ -forest  $F$  and  $i < k$ , let  $p_i(F)$  be the  $k$ -tree obtained from  $F$  by adjoining a new biggest element and assigning the label  $i$  to this element. It is clear that the introduced operations respect the  $h$ -equivalence and that any finite (countable)  $k$ -forest is equivalent to a finite (respectively, countable) term of signature  $\{\sqcup, p_0, \dots, p_{k-1}, 0, \dots, k-1\}$  without free variables (the constant symbol  $i$  in the signature is interpreted as the singleton tree carrying the label  $i$ ). For  $k$ -trees  $T_0, T_1, \dots$  and  $i < k$ , define the  $k$ -tree  $U_i(T_0, T_1, \dots) = p_i(T_0 \sqcup T_1 \sqcup \dots)$ . The following facts were observed in [133,141,142].

- Proposition 2.8.** (i) The quotient structure of  $(\tilde{\mathcal{F}}_k; \sqcup, p_0, \dots, p_{k-1})$  (of  $(\mathcal{F}_k; \sqcup, p_0, \dots, p_{k-1})$ ) is a  $dc\sigma$ -semilattice (respectively, a  $dc$ -semilattice).  
(ii) The quotient structure of  $(\tilde{\mathcal{T}}_k; \leq, \tilde{\mathcal{T}}_k^0, \dots, \tilde{\mathcal{T}}_k^{k-1})$  (of  $(\mathcal{T}_k; \leq, \mathcal{T}_k^0, \dots, \mathcal{T}_k^{k-1})$ ) is a  $\sigma$ -dws (respectively, a dws).

The following result from [141,142] shows that the structures from Proposition 2.8 have natural minimality properties.



Fig. 7. An initial segment of  $G\tilde{\mathcal{F}}_3$ .

**Proposition 2.9.** (i) Let  $(S; \leq, \cup, p_0, \dots, p_{k-1})$  be a  $dc\sigma$ -semilattice and  $a$  be an element of  $S$  such that  $a < p_i(a)$  for all  $i < k$ . Then the sub- $dc\sigma$ -semilattice  $(a)$  of  $S$  generated by  $a$  is isomorphic to the quotient structure of  $(\tilde{\mathcal{F}}_k; \leq, \sqcup, p_0, \dots, p_{k-1})$ . A similar assertion holds true for  $dc$ -semilattices and  $\mathcal{F}_k$ .

(ii) Let  $(S; \leq, u_0, \dots, u_{k-1})$  be a  $\sigma$ -dws and  $\{a_0, \dots, a_{k-1}\}$  an antichain in  $(S; \leq)$ . Then the sub- $\sigma$ -dws  $(a_0, \dots, a_{k-1})$  of  $S$  generated by  $\{a_0, \dots, a_{k-1}\}$  is isomorphic to the quotient structure of  $(\tilde{\mathcal{T}}_k; \leq, U_0, \dots, U_{k-1})$ . A similar assertion holds for dws's and  $\mathcal{T}_k$ .

In [80,81] some facts about definability, automorphisms and undecidability in the introduced structures were established, e.g.:

**Proposition 2.10.** For any  $k \geq 3$ , each element of the quotient structure of  $(\mathcal{F}_k; \leq, 0, \dots, k-1)$  is first-order definable. The same is true for the quotient structure of  $(\mathcal{T}_k; \leq, 0, \dots, k-1)$ .

As was shown in the journal version of [81] written jointly with A. Zhukov, similar definability result holds also for  $(\tilde{\mathcal{F}}_k; \leq, 0, \dots, k-1)$  and  $(\tilde{\mathcal{T}}_k; \leq, 0, \dots, k-1)$  but in this case we have to replace the first-order definability by the  $L_{\omega_1, \omega}$ -definability.

Let  $\mathbf{S}_k$  be the symmetric group on  $k$  elements, i.e. the group of permutations of elements  $0, \dots, k-1$ . Let  $\text{Aut}(A)$  denote the automorphism group of a structure  $A$ . By  $\simeq$  we denote the isomorphism relation. The next result is a straightforward generalization of the corresponding fact in [81].

**Proposition 2.11.** (i) For any  $k \geq 2$  we have  $\text{Aut}(\mathcal{F}_k; \leq) \simeq \text{Aut}(\mathcal{T}_k; \leq)$  and  $\text{Aut}(\tilde{\mathcal{F}}_k; \leq) \simeq \text{Aut}(\tilde{\mathcal{T}}_k; \leq)$ .

(ii)  $\text{Aut}(\mathcal{T}_2; \leq) \simeq \mathbf{S}_2^\omega$  and  $\text{Aut}(\tilde{\mathcal{T}}_2; \leq) \simeq \mathbf{S}_2^{\omega_1}$ .

(iii) For any  $k \geq 3$ ,  $\text{Aut}(\mathcal{F}_k; \leq) \simeq \mathbf{S}_k \simeq \text{Aut}(\tilde{\mathcal{F}}_k; \leq)$ .

(iv) For all  $k \geq 2$  and  $i < k$ ,  $\text{Aut}(\mathcal{T}_k^i; \leq) \simeq \mathbf{S}_{k-1} \simeq \text{Aut}(\tilde{\mathcal{T}}_k^i; \leq)$ .

From Proposition 2.6 it follows that first-order theories of  $(\mathcal{F}_k; \leq)$  for each  $k \geq 3$  and of  $(\mathcal{P}_k; \leq)$  for each  $k \geq 2$  are hereditary undecidable (for the first structure this was shown in [80], for the second this was shown recently by A. Zhukov and myself (see [144] which is the journal version of [138])). For the first structure, the following strengthening was established in [82].

**Proposition 2.12.** (i) For all  $k > 2$  and  $i < k$ , the first-order theories of the quotient structures of  $(\mathcal{F}_k; \leq)$  and  $(\mathcal{T}_k; \leq)$  are computably isomorphic to the first-order arithmetic  $\text{FO}(\omega, +, \cdot)$ .

(ii) For all  $k > 2$  and  $i < k$ ,  $\text{FO}(\omega; +, \cdot)$  is  $m$ -reducible to  $\text{FO}(\tilde{\mathcal{F}}_k; \leq)$  and  $\text{FO}(\tilde{\mathcal{T}}_k; \leq)$ .

Additional information on the  $h$ -preorder and its applications may be found in [87,88].

## 2.7. Hierarchies of sets

The word “hierarchy” is used in mathematics in many different senses. In the broadest sense, hierarchies are identified with classifications of some objects (usually sets) by means of relations like the inclusion relation; the resulting mathematical structure may be an arbitrary partial order. A more restricted use of the word (meaning a classification of some objects by means of ordinals) appeared in DST and was used afterwards in several other fields, in particular in computability theory. In this paper we use the word “hierarchy” only in this restricted sense.

Some of the notions introduced below are versions of the corresponding notions first proposed by Addison [5] in his “axiomatic approach to hierarchy theory”, while some others were proposed by the author [119]. In fact, we formulate here only the “abstract” version of the corresponding notions which apply to all concrete hierarchies considered below. The hierarchies in concrete fields (e.g., in computability theory or DST) may have additional features, in such cases the notions can be made more precise in the corresponding sections. We start with the notion of hierarchy.

**Definition 2.13.** (i) Let  $X$  be a set and  $\eta$  be an ordinal. By abstract  $\eta$ -hierarchy of sets in  $X$  we mean a sequence  $\{H_\alpha\}_{\alpha < \eta}$  of subsets of  $P(X)$  such that  $H_\alpha \subseteq H_\beta \cap \text{co-}H_\beta$  for all  $\alpha < \beta < \eta$ .

(ii) The classes  $H_\alpha \setminus \text{co-}H_\alpha$  and  $\text{co-}H_\alpha \setminus H_\alpha$  are called non-self-dual constituents of  $\{H_\alpha\}$ , while the classes  $(H_\alpha \cap \text{co-}H_\alpha) \setminus (\bigcup_{\beta < \alpha} H_\beta \cap \text{co-}H_\beta)$  are called self-dual constituents of  $\{H_\alpha\}$ .

(iii) A hierarchy  $\{H_\alpha\}$  does not collapse if  $H_\alpha \not\subseteq \text{co-}H_\alpha$  for all  $\alpha < \eta$ .

(iv) A hierarchy  $\{H_\alpha\}$  is non-trivial if  $H_\alpha \not\subseteq \text{co-}H_\alpha$  for some  $\alpha < \eta$ .

Note that the definition does not require that any hierarchy does not collapse. In [5] the term “prehierarchy” is used to denote hierarchies in the sense of our definition. We decided not to use it here in order to avoid conflicts with some well-established terminology (i.e. on the polynomial-time hierarchy). Another reason is that when we consider abstract hierarchies in the next section it is easier to develop the general theory for the case of possibly collapsing hierarchies (because we usually do not know from the beginning if a given hierarchy collapses or not). Consequently, *length* of an  $\eta$ -hierarchy  $\{H_\alpha\}_{\alpha < \eta}$  (i.e., the rank of  $(\{H_\alpha \mid \alpha < \eta\}; \subseteq)$ ) is an ordinal  $\gamma$  satisfying  $\gamma \leq \eta$ , not necessarily  $\gamma = \eta$ .

Sometimes (e.g. in Section 5) we use a slightly more general version of the abstract hierarchy, when the levels  $H_\alpha$  are subsets of a Boolean algebra  $B$ . From the Stone representation theorem it follows that this seemingly more general notion is in fact equivalent to the definition above.

For many concrete hierarchies people denote the  $\alpha$ -th level by  $\Sigma_\alpha$ , possibly with an upper index or using a different font (e.g., the boldface  $\Sigma_\alpha$  is the standard notation in DST). We will also follow this tradition when dealing with the concrete hierarchies. Recall that in the “ $\Sigma$ -notation” the dual class for  $\Sigma_\alpha$  is always denoted by  $\Pi_\alpha$  while  $\Delta_\alpha$  denotes the corresponding “ambiguous” class  $\Sigma_\alpha \cap \Pi_\alpha$ .

Now we introduce an important for this paper notion of refinement, and actually it is useful to have two versions of this notion. The next definition also contains some other relevant notions.

**Definition 2.14.** Let  $\{H_\alpha\}$  and  $\{G_\beta\}$  be hierarchies in  $X$ .

(i)  $\{H_\alpha\}$  is a refinement of  $\{G_\beta\}$  in a given level  $\beta$  if  $\bigcup_{\gamma < \beta} (G_\gamma \cup \text{co-}G_\gamma) \subseteq \bigcup_\alpha H_\alpha \subseteq (G_\beta \cap \text{co-}G_\beta)$ . Such a refinement is called exhaustive if  $\bigcup_\alpha H_\alpha = G_\beta \cap \text{co-}G_\beta$ .

(ii)  $\{H_\alpha\}$  is a (global) refinement of  $\{G_\beta\}$  if for any  $\beta$  there is an  $\alpha$  with  $H_\alpha = G_\beta$ , and  $\bigcup_\alpha H_\alpha = \bigcup_\beta G_\beta$ .

(iii) A hierarchy is called discrete in a given level if it has no non-trivial refinements in this level. A hierarchy is (globally) discrete if it is discrete in each level.

(iv)  $\{H_\alpha\}$  is extension of  $\{G_\beta\}$  if the sequence  $\{G_\beta\}$  is an initial segment of the sequence  $\{H_\alpha\}$ .

The readers acquainted with DST will immediately remember several natural examples for the introduced notions: the transfinite Borel hierarchy is an extension of the finite Borel hierarchy, the Borel hierarchy is an exhaustive refinement of the projective hierarchy in the first level (the Suslin theorem), the difference hierarchy over any non-zero level of the Borel hierarchy is an exhaustive refinement of the Borel hierarchy in the next level (the Hausdorff–Kuratowski theorem). The history of hierarchy theory shows that similar facts are not exceptions. Often, if a natural hierarchy is not discrete in a given level, it has a natural exhaustive refinement in this level; hence, if such a refinement is not yet known it makes sense to search for it. In the opposite direction, natural hierarchies are often exhaustive refinements of a natural coarser hierarchy in some level; hence, if such a coarsification is not yet known it makes sense to search for it. In the sequel, we will see many examples illustrating the described situation.

The following notion was introduced in [5].

**Definition 2.15.** (i) A hierarchy  $\{H_\alpha\}$  is called perfect in a level  $\beta$  if  $\bigcup_{\gamma < \beta} (H_\gamma \cup \text{co-}H_\gamma) = H_\beta \cap \text{co-}H_\beta$ . A hierarchy is (globally) perfect if it is perfect in all levels.

Obviously, if a hierarchy is perfect in some level (globally perfect) then it is discrete in that level (respectively, globally discrete).

## 2.8. Hierarchies of $k$ -partitions

As we will see later, the structure of hierarchies of  $k$ -partitions for  $k > 2$  is usually more complicated than the structure of the hierarchies of sets. In this subsection we give a definition of hierarchy of  $k$ -partitions that covers all hierarchies we discuss below. We will not try to define analogs of the other notions from the previous subsection because it is currently not clear to the author which analogs are the “right” ones: the investigation of the hierarchies of  $k$ -partitions is still in its beginning.

Levels of the hierarchies of sets are almost well-ordered by inclusion. A complication for the hierarchies of  $k$ -partition is caused by the fact that the structure of their levels is often more complicated. To capture some essential properties of this structure we introduce the notion of  $k$ -symmetric poset: this is a triple  $(P; \leq, \varphi)$  consisting of a poset  $(P; \leq)$  and an isomorphic embedding  $\varphi : \mathbf{S}_k \rightarrow \text{Aut}(P)$  of the symmetric group  $\mathbf{S}_k$  into the automorphism group of  $(P; \leq)$ . Simplifying notation, we sometimes denote  $(P; \leq, \varphi)$  just by  $P$ . The idea comes from the fact that the group  $\mathbf{S}_k$  acts on the set  $k^X$  of  $k$ -partitions of  $X$  according to the rule  $h \mapsto \lambda \nu. h \circ \nu$  and hierarchies with the structure of levels  $P$  should somehow respect this fact.

An example of a  $k$ -symmetric poset is  $(\bar{k} \cdot \alpha; \leq, \varphi)$  where  $\alpha$  is an ordinal,  $(\bar{k} \cdot \alpha; <)$  is obtained by replacing any point of  $\alpha$  by the antichain with  $k$  elements and  $\varphi(h)$  permutes the elements of each copy of the antichain according to  $h$ . We use the bar in  $\bar{k}$  in order to distinguish the antichain of  $k$  elements from the ordinal  $k$  which is a chain of  $k$  elements. Another example is  $(\mathcal{T}_k; \leq, \varphi)$  where  $(\mathcal{T}_k; \leq)$  is the  $h$ -preorder of  $k$ -trees from Section 2.6 and  $\varphi(h)$  sends any  $k$ -tree  $(T, \leq, t)$  to the  $k$ -tree  $(T, \leq, h \circ t)$ .

**Definition 2.16.** Let  $(P; \leq, \varphi)$  be a  $k$ -symmetric poset. A  $P$ -hierarchy of  $k$ -partitions of  $X$  is a family  $\{H_p\}_{p \in P}$  of subsets of  $k^X$  such that  $H_{\varphi_h(p)} = \{h \circ v \mid v \in H_p\}$  for all  $h \in \mathbf{S}_k$  and  $p \in P$ . We say that such a hierarchy does not collapse if  $p \leq q$  is equivalent to  $H_p \subseteq H_q$ .

Note that we will consider  $P$ -hierarchies of partitions mostly for the case when  $(P; \leq)$  is a well poset; then of course the structure  $(\{H_p \mid p \in P\}; \subseteq)$  of levels of a  $P$ -hierarchy under inclusion is also a well poset. For  $k = 2$  and  $P = \bar{2} \cdot \eta$  the notion of  $P$ -hierarchy essentially coincides with the notion of  $\eta$ -hierarchy of sets. For  $k > 2$  and  $P = \bar{k} \cdot \eta$  the structure of levels of the  $P$ -hierarchy of  $k$ -partitions is in a sense the simplest generalization of the  $\eta$ -hierarchy of sets. For  $P = (\mathcal{T}_k; \leq, \varphi)$  the structure of levels of the  $P$ -hierarchy of  $k$ -partitions is much more complicated. Note that  $(\bar{k} \cdot \omega; \leq)$  is isomorphic to a cofinal substructure of  $(\mathcal{T}_k; \leq)$ . An isomorphism is obtained by iterating the operation

$$(x_0, \dots, x_{k-1}) \mapsto (p_0(x_0, \dots, x_{k-1}), \dots, p_{k-1}(x_0, \dots, x_{k-1}))$$

starting from  $(0, \dots, k-1)$  (see Section 2.6).

## 2.9. $m$ -reducibilities

In theoretical computer science, people are interested in different reducibilities, i.e. naturally defined preorders  $A \leq_r B$  on subsets of a given set  $X$ . The intuitive idea behind this notion is that  $A \leq_r B$  is intended to mean that the “complexity” of a set  $A$  is less than or equal to that of  $B$ . The idea may be made precise in many different ways giving rise to a plenty of reducibilities. Among those, most simple and useful turned out to be the so called many-one reducibilities (called  $m$ -reducibilities for short). In this section, we define an abstract notion of  $m$ -reducibility. In the sequel, we will discuss several concrete versions of  $m$ -reducibilities interesting for some fields of theoretical computer science.

Let  $F$  be a set of functions on  $X$  closed under composition and containing the identity function  $id_X$  (intuitively, functions in  $F$  are considered as “feasible” in some sense). We say that  $A$  is  $F$ - $m$ -reducible to  $B$  (in symbols,  $A \leq_m^F B$ ) if  $A = f^{-1}(B)$  for some  $f \in F$ . Obviously,  $\leq_m^F$  is a preorder on  $P(X)$ . Following the well-established jargon, we call elements of the corresponding quotient-poset  $F$ - $m$ -degrees. The degrees are assumed to be entities that “measure” the complexity of the corresponding sets. This idea would work perfectly if the degree structure were simple (say, well-ordered or partially well-ordered); then we could measure the complexity of sets by ordinals. Unfortunately, in most natural cases the degree structures are extremely complicated and the idea in this simple version does not work.

Sometimes the situation becomes better if we restrict attention to a pointclass  $\mathcal{C} \subseteq P(X)$  (of course, this pointclass should be in some respect interesting): it may turn out that the structure of  $F$ - $m$ -degrees of sets in  $\mathcal{C}$  is well-ordered (or almost well-ordered, or partially well-ordered...).

Another approach to measuring complexity uses the well-known notion of complete set. We say that a pointclass  $\mathcal{C} \subseteq P(X)$  is *closed under  $F$ - $m$ -reducibility* if  $B \in \mathcal{C}$  and  $A \leq_m^F B$  imply  $A \in \mathcal{C}$ . A set  $C$  is  *$F$ - $m$ -hard for  $\mathcal{C}$*  (in symbols,  $\mathcal{C} \leq_m^F C$ ) if  $A \leq_m^F C$  for all  $A \in \mathcal{C}$ . A set  $C$  is  *$F$ - $m$ -complete for  $\mathcal{C}$*  (in symbols,  $C \equiv_m^F \mathcal{C}$ ) if  $C \in \mathcal{C}$  and  $C$  is  $F$ - $m$ -hard for  $\mathcal{C}$ . Note that  $\mathcal{C} = \{A \mid A \leq_m^F C\}$  (i.e.,  $\mathcal{C}$  is a principal ideal of  $(P(X); \leq_m^F)$  generated by  $C$ ) iff  $\mathcal{C}$  is closed under  $F$ - $m$ -reducibility and  $C \equiv_m^F \mathcal{C}$ . These notions work especially well if a reducibility under consideration is related to a hierarchy in the sense of the following definition.

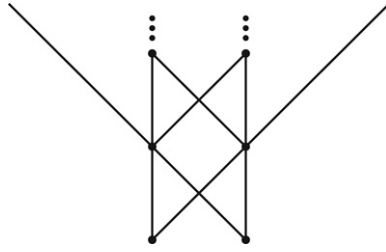
**Definition 2.17.** Let  $\{H_\alpha\}_{\alpha < \eta}$  be a hierarchy of sets in  $X$  and  $\leq_m^F$  be an  $m$ -reducibility on  $P(X)$ .

(i) We say that the reducibility fits the hierarchy (or, symmetrically, the hierarchy fits the reducibility) if any level  $H_\alpha$  is a principal ideal of  $(P(X); \leq_m^F)$ . Note that the dual levels will then also have this property.

(ii) We say that the reducibility perfectly fits the hierarchy if it fits the hierarchy, any non-self-dual constituent of the hierarchy is an  $F$ - $m$ -degree, and any non-empty self-dual constituent of the hierarchy is an  $F$ - $m$ -degree.

(iii) The hierarchy is called  $F$ - $m$ -discrete in a level  $\alpha$  if it has no non-trivial refinement in that level which fits the  $F$ - $m$ -reducibility.

The relationship between hierarchies and reducibilities is illustrated by Fig. 8 (assume that the hierarchy fits the reducibility and the points denote the complete sets for the levels). The complete sets for levels of the hierarchy pick up from the (usually complicated) degree structure elements which are hopefully useful for estimation the complexity of sets in  $\mathcal{C} = \bigcup_\alpha H_\alpha$ . There are numerous examples when sets which are interesting in some sense turn out to be complete in a level of a natural hierarchy under a natural reducibility; we then have some intuitive understanding of the complexity of the set. Moreover, if a natural set  $A$  can not be “measured” in this sense by a hierarchy  $\{H_\alpha\}$  (e.g., if  $C_n, \bar{C}_n <_m^F A <_m^F C_{n+1}, \bar{C}_{n+1}$  for some  $n < \omega$ ) then  $A$  may turn out to be  $F$ - $m$ -complete in  $H_{n+1} \cap co-H_{n+1}$ , or it is possible to find a natural refinement of  $\{H_\alpha\}$  in the level  $n+1$  and estimate  $A$  by this refinement. Thus, if we consider hierarchies as “scales” for measuring the complexity of sets, the situation becomes analogous to the well-known situation with using number systems to measure geometrical

Fig. 8. A hierarchy and an  $m$ -reducibility.

of physical entities: the impossibility to measure an important entity by a given number system, taken optimistically, may mean that some richer number system has to be searched for. This analogy turns out very fruitful and sometimes leads to a complete (in a natural sense) hierarchical classification of a given pointclass  $\mathcal{C}$ . Such a full classification is, in the above analogy, similar to the set of reals (or to the algebraic reals). We will see some examples below.

**Remarks.** 1. Let  $\{H_\alpha\}$  fits  $F$ - $m$ -reducibility,  $(P(X); \leq_m^F)$  is an upper semilattice with an operation  $\oplus$  of supremum, and  $C_\alpha \oplus \bar{C}_\alpha \equiv_m^F H_{\alpha+1} \cap co-H_{\alpha+1}$ . Then it is easy to see that  $\{H_\alpha\}$  is  $F$ - $m$ -discrete in the level  $\alpha + 1$ . This situation often appears in the concrete examples below (moreover, the similar fact usually holds also for the limit levels).

2. Let  $\leq_m^F$  perfectly fit a hierarchy  $\{H_\alpha\}$  and let  $\mathcal{C} = \bigcup_\alpha H_\alpha$ . In the concrete examples below we will usually have one of the following alternatives: 1) all self-dual constituents of  $\{H_\alpha\}$  are empty; (2) all self-dual constituents of  $\{H_\alpha\}$  are non-empty. In case (1),  $\{H_\alpha\}$  is perfect in all non-zero levels and the quotient-structure of  $(\mathcal{C}; \leq_m^F)$  has the order type  $2 \times \eta$ . The case (2) will hold when the structure of  $F$ - $m$ -degrees is an upper semilattice. Then it is easy to see that  $\{H_\alpha\}$  is  $F$ - $m$ -discrete in all successor levels (in the concrete examples sometimes even in all non-zero levels). In both cases, we have a complete understanding of the quotient-structure of  $(\mathcal{C}; \leq_m^F)$ .

Notions of this subsection are extended to  $k$ -partitions in a straightforward way. For  $\mu, \nu \in k^X$ ,  $\mu \leq_m^F \nu$  means that  $\mu = \nu \circ f$  for some  $f \in F$ . Again,  $\leq_m^F$  is a preorder on  $k^X$ . Observe that if  $\nu$  is  $F$ - $m$ -complete in  $\mathcal{C} \subseteq k^X$  and  $h \in \mathbf{S}_k$  then  $h \circ \nu$  is  $F$ - $m$ -complete in  $\mathcal{C}^h = \{h \circ \mu \mid \mu \in \mathcal{C}\}$ . For a  $k$ -symmetric poset  $(P; \leq, \varphi)$ , we say that  $F$ - $m$ -reducibility fits a  $P$ -hierarchy of  $k$ -partitions if any level of the hierarchy is a principal ideal of  $(k^X; \leq_m^F)$ .

## 2.10. Bases and fine hierarchies

Here we discuss a technical notion of base. It is important for this paper because our fine hierarchies are constructed from a given base.

By *base in  $X$*  we mean a class  $\mathcal{L} \subseteq P(X)$  closed under finite unions and intersections (in other words,  $\mathcal{L}$  is closed under binary unions and intersections and  $\emptyset, X \in \mathcal{L}$ ). For an ordinal  $\alpha$ , by  $\alpha$ -*base in  $X$*  we mean an  $\alpha$ -hierarchy  $\mathcal{L} = \{\mathcal{L}_\beta\}_{\beta < \alpha}$  in  $X$  such that each level  $\mathcal{L}_\beta$  is a base. In particular, for any  $n < \omega$  the  $(n + 1)$ -bases are sequences of bases of the form  $(\mathcal{L}_0, \dots, \mathcal{L}_n)$ , with the corresponding inclusions. The bases  $\mathcal{L}$  are naturally identified with the 1-bases. Note that any  $(n + 1)$ -base  $(\mathcal{L}_0, \dots, \mathcal{L}_n)$  may be extended to the  $\omega$ -base  $\{\mathcal{L}_k\}_{k < \omega}$  (or even to a longer base) by setting  $\mathcal{L}_k = BC(\mathcal{L}_n)$  for all  $k > n$ . In the sequel we deal mostly with bases, 2-bases,  $\omega$ -bases and  $\omega_1$ -bases.

Next we define some special types of bases which are interesting for subsequent discussion. But first we recall definitions of some so called “structural properties” which are important for the hierarchy theory. In DST, several structural properties are known, e.g. separation, reduction, uniformization and norm properties [72]. Moreover, each property is known in several variations. We will often mention the following simplest versions of the first two properties. The separation and reduction properties are illustrated by Fig. 9.

**Definition 2.18.** Let  $X$  be a set and  $\mathcal{C} \subseteq P(X)$ .

(i) The class  $\mathcal{C}$  has the *separation property* if for every two disjoint sets  $A, B \in \mathcal{C}$  there is a set  $C \in \mathcal{C} \cap co(\mathcal{C})$  with  $A \subseteq C \subseteq \bar{B}$ . We say that  $C$  separates  $A$  from  $B$  (note that it is equivalent to say that  $\bar{C}$  separates  $B$  from  $A$ ).

(ii) The class  $\mathcal{C}$  has the *reduction property* i.e. for all  $C_0, C_1 \in \mathcal{C}$  there are disjoint  $C'_0, C'_1 \in \mathcal{C}$  such that  $C'_i \subseteq C_i$  for both  $i < 2$  and  $C_0 \cup C_1 = C'_0 \cup C'_1$ . The pair  $(C'_0, C'_1)$  is called a *reduct* for the pair  $(C_0, C_1)$ .

(iii) The class  $\mathcal{C}$  has the  $\sigma$ -*reduction property* if for each countable sequence  $C_0, C_1, \dots$  in  $\mathcal{C}$  there is a countable sequence  $C'_0, C'_1, \dots$  in  $\mathcal{C}$  (called a *reduct* of  $C_0, C_1, \dots$ ) such that  $C'_i \subseteq C_i$ ,  $C'_i \cap C'_j = \emptyset$  for all  $i \neq j$  and  $\bigcup_{i < \omega} C'_i = \bigcup_{i < \omega} C_i$ .

It is well-known and easy to see that if  $\mathcal{C}$  has the reduction property then the dual class  $co\text{-}\mathcal{C}$  has the separation property, but not vice versa. It is also well known that if  $\mathcal{C}$  has the  $\sigma$ -reduction property then  $\mathcal{C}$  has the reduction property but not vice versa. Nevertheless, if  $\mathcal{C}$  has the reduction property then for any finite sequence  $(C_0, \dots, C_n)$  in  $\mathcal{C}$  there is a reduct  $C'_0, \dots, C'_n \in \mathcal{C}$  for  $(C_0, \dots, C_n)$  which is defined similarly to the countable reduct above.

The following types of  $\omega$ -bases will be frequently mentioned in the sequel.

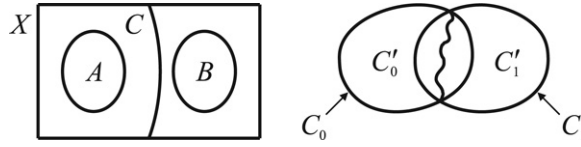


Fig. 9. Separation and reduction properties.

**Definition 2.19.** Let  $\mathcal{L}$  be an  $\omega$ -base.

- (i)  $\mathcal{L}$  is reducible if any  $\mathcal{L}_n$  has the reduction property.
- (ii)  $\mathcal{L}$  is interpolable if for each  $n < \omega$  any two disjoint sets in  $\text{co-}\mathcal{L}_{n+1}$  are separable by a Boolean combination of elements of  $\mathcal{L}_n$  (equivalently, if for any  $n < \omega$  the class  $\text{co-}\mathcal{L}_{n+1}$  has the separation property and  $BC(\mathcal{L}_n) = \mathcal{L}_{n+1} \cap \text{co-}\mathcal{L}_{n+1}$ ).

We conclude this subsection by defining notions of the fine and coarse hierarchies.

**Definition 2.20.** Let  $\mathcal{L}$  be an  $\omega$ -base and  $\mathcal{H}$  a hierarchy.

- (i) We call  $\mathcal{H}$  a fine hierarchy (w.r.t.  $\mathcal{L}$ ) if it is a refinement of  $\mathcal{L}$  in some level or a global refinement.
- (ii) We call  $\mathcal{H}$  a coarse hierarchy (w.r.t.  $\mathcal{L}$ ) if  $\mathcal{L}$  is a refinement of  $\mathcal{H}$  in some level.

Analog of the last two definitions may be formulated also for the important case of  $\omega_1$ -bases. We will skip these analogs because, in this paper we concentrate on the technically easier  $\omega$ -bases.

In subsequent sections we will concentrate mainly on the fine hierarchies w.r.t. a given  $\omega$ -base  $\mathcal{L}$  of interest for a branch of theoretical computer science. Usually we start with some easy refinements of  $\mathcal{L}$ , then proceed to more complicated ones and arrive finally to a maximal (in some sense) refinement: the fine hierarchy over  $\mathcal{L}$  which, in general, cannot be further refined. In some “lucky” cases this refinement process leads to a complete classification of sets under consideration according to their “complexity”.

### 3. Abstract hierarchies

In this section we present some general notions and facts on the fine hierarchies in the abstract setting, i.e. over arbitrary bases in arbitrary sets. This is useful because applicable to all “concrete” hierarchies discussed in the subsequent sections. In a sense, the notions and results of this section describe a “common part” of the notions and results in the subsequent sections.

#### 3.1. Difference hierarchy

Here we discuss the difference hierarchies (DH) which form the simplest and most important class of the fine hierarchies. DH's were first introduced and studied by Hausdorff [54,55] in an abstract setting and in the topological context. In the 1960-s, the DH's were studied by Addison [5] in the context of logic and by Putnam [106] Ershov [38] in the context of computability theory. Later, DH's were considered by many authors working in different fields of mathematics and computer science.

Let  $X$  be a set and  $\mathcal{L}$  a base in  $X$ . For any  $k < \omega$ , let  $\mathcal{L}(k)$  be the class of sets of the form  $\bigcup_i (L_{2i} \setminus L_{2i+1})$ , where  $L_0 \supseteq L_1 \supseteq \dots$  is a descending sequence of sets from  $\mathcal{L}$  and  $L_i = \emptyset$  for  $i \geq k$ . The sequence  $\{\mathcal{L}(k)\}_{k < \omega}$  is known as the *difference hierarchy over  $\mathcal{L}$* . We start with formulating the well-known basic properties of the DH.

**Proposition 3.1.** *DH over  $\mathcal{L}$  is a hierarchy, i.e.  $\mathcal{L}(k) \cup \text{co-}\mathcal{L}(k) \subseteq \mathcal{L}(k+1)$  for each  $k < \omega$ . The class  $\bigcup_k \mathcal{L}(k)$  coincides with the Boolean closure  $BC(\mathcal{L})$  of  $\mathcal{L}$ .*

It is easy to check the following sufficient condition for the perfectness of the DH:

**Proposition 3.2.** *Let  $\mathcal{L}$  be a base in  $X$  such that  $X$  is join-irreducible in  $(\mathcal{L}; \cup)$ . Then the DH over  $\mathcal{L}$  is perfect and hence has no non-trivial refinements.*

Now we formulate probably the most interesting characterization of the DH using Boolean terms, i.e. terms of the signature  $\{\cup, \cap, \neg, 0, 1\}$ . It is not obvious who exactly should be credited personally for this characterization because several people obtained close results independently (for additional information on this see [122]). Let  $T$  be the set of finite Boolean terms with variables  $v_k$  ( $k < \omega$ ). Relate to any  $t \in T$  the set  $t(\mathcal{L})$  of all values of  $t$  when its variables range over  $\mathcal{L}$ . We call the sets  $t(\mathcal{L})$  *levels of the Boolean hierarchy over  $\mathcal{L}$* .

**Theorem 3.3.** *The collections of levels of the difference and Boolean hierarchies over arbitrary base  $\mathcal{L}$  coincide i.e.  $\{t(\mathcal{L}) \mid t \in T\} = \{\mathcal{L}(n), \text{co-}\mathcal{L}(n) \mid n < \omega\}$ .*



The last result explains why many equivalent characterizations of the DH are possible. We mention the following three well-known characterizations:

$\mathcal{L}(n) = t_n(\mathcal{L})$ , where  $t_1 = v_0$ ,  $t_2 = v_0 \setminus v_1$ ,  $t_3 = (v_0 \setminus v_1) \cup v_2$ ,  $t_4 = (v_0 \setminus v_1) \cup (v_2 \setminus v_3)$ , and so on (this characterization is obtained in [38]);

$\mathcal{L}(n) = s_n(\mathcal{L})$ , where  $s_1 = v_0$  and  $s_{n+1} = v_n \setminus s_n$  (this characterization is obtained in [83]);

$\mathcal{L}(n) = \mathcal{L} + \dots + \mathcal{L}$  ( $n$  summands) where  $\mathcal{A} + \mathcal{B} = \{A \Delta B \mid A \in \mathcal{A}, B \in \mathcal{B}\}$  for any pointclasses  $\mathcal{A}$  and  $\mathcal{B}$  (this characterization is obtained in [83]).

Of course, the DH over  $\mathcal{L}$  may collapse (e.g., if  $\mathcal{L}$  is a Boolean algebra then  $\mathcal{L} = BC(\mathcal{L})$  and the DH over  $\mathcal{L}$  collapses to the first level). But many concrete DH's do not collapse, and next we discuss a notion, variants of which are often used in proving the non-collapse property, as well as other non-trivial facts on the DH. Namely, the DH's are closely related to the so called alternating chains. Since similar objects are useful also in dealing with other fine hierarchies, we illustrate them for a rather general situation.

Relate to any poset  $P = (P; \leq)$  the base  $\mathcal{L}$  in  $P$  consisting of all upper sets of  $P$ , including the empty set. Recall that a set  $L \subseteq P$  is called *upper set* if  $x \in L$  and  $x \leq y$  imply  $y \in L$ . By *alternating chain* of length  $k$  for a set  $K \subseteq P$  we mean a sequence  $(x_0, \dots, x_k)$  of elements of  $P$  such that  $x_0 \leq \dots \leq x_k$  and  $x_i \in K$  iff  $x_{i+1} \notin K$ , for each  $i < k$ . Such a chain is *1-alternating chain* if  $x_0 \in K$ , otherwise it is called a 0-alternating chain. The next easy fact is from [145].

**Proposition 3.4.** *Let  $P = (P; \leq)$  be a partial order and  $\mathcal{L}$  the base of upper sets in  $P$ . For all  $K \subseteq P$  and  $k < \omega$ ,  $K \in \mathcal{L}(k)$  iff  $K$  has no 1-alternating chains of length  $k$ .*

Using this characterization it is easy to construct a base such that the corresponding DH does not collapse. Note that only in rare cases the last proposition applies directly, often some “local” version should be found. E.g., already the proof of Theorem 3.3 relies on some alternating chains related to the Boolean terms (see e.g. [122]).

In the particular case when  $(P; \leq)$  is a well poset it is also possible [145] to give a similar characterization of the class  $BC(\mathcal{L})$  which is sometimes also of use. By  $\omega$ -alternating chain for a set  $K \subseteq P$  we mean an  $\omega$ -sequence  $x_0, x_1, \dots$  of elements of  $P$  such that  $x_0 \leq x_1 \leq \dots$  and  $x_i \in K$  iff  $x_{i+1} \notin K$ , for each  $i < \omega$ .

**Proposition 3.5.** *Let  $P = (P; \leq)$  be a well poset and  $\mathcal{L}$  the base of upper sets in  $P$ . For all  $K \subseteq P$ ,  $K \in BC(\mathcal{L})$  iff  $K$  does not have  $\omega$ -alternating chains.*

Now we formulate two facts related to the structural properties introduced in Section 2.10. First, if the base  $\mathcal{L}$  has the reduction property then each level  $\mathcal{L}(n)$ ,  $n < \omega$  of the DH over  $\mathcal{L}$  also has the reduction property. This fact is probably new here, but it is checked in the same way as the known analogous facts in DST [92] and computability theory [119] which we state in the corresponding sections below. The second fact from [122, 145] characterizes the ambiguous levels of the DH over bases with the separation property. It is useful in establishing the discreteness properties of the DH's.

**Proposition 3.6.** *Let  $\mathcal{L}$  be a base with the separation property and  $k < \omega$ . Then  $\mathcal{L}(k+1) \cap co\text{-}\mathcal{L}(k+1)$  coincides with the class of sets of the form  $(U \cap L) \cup (\bar{U} \cap K)$ , where  $U \in \mathcal{L} \cap co\text{-}\mathcal{L}$ ,  $L \in \mathcal{L}(k)$  and  $K \in co\text{-}\mathcal{L}(k)$ .*

We conclude this subsection by a short discussion of the transfinite versions of the DH's. First we recall the well-known definition of the Hausdorff difference operation. An ordinal  $\alpha$  is called *even* (*odd*) if  $\alpha = \lambda + n$  where  $\lambda$  is not a successor,  $n < \omega$  and  $n$  is even (resp., odd). For an ordinal  $\alpha$ , let  $r(\alpha) = 0$  if  $\alpha$  is even and  $r(\alpha) = 1$ , otherwise.

**Definition 3.7.** (i) For any ordinal  $\alpha$ , define the operation  $D_\alpha$  sending sequences of sets  $\{A_\beta\}_{\beta < \alpha}$  to sets by

$$D_\alpha(\{A_\beta\}_{\beta < \alpha}) = \bigcup \{A_\beta \setminus \bigcup_{\gamma < \beta} A_\gamma \mid \beta < \alpha, r(\beta) \neq r(\alpha)\}.$$

(ii) For all ordinals  $\alpha$  and classes of sets  $\mathcal{C}$ , let  $D_\alpha(\mathcal{C})$  be the class of all sets  $D_\alpha(\{A_\beta\}_{\beta < \alpha})$ , where  $A_\beta \in \mathcal{C}$  for all  $\beta < \alpha$ .

Notice that if the class  $\mathcal{C}$  above is closed under countable unions then the class  $D_\alpha(\mathcal{C})$  coincides with the class of all sets  $D_\alpha(\{A_\beta\}_{\beta < \alpha})$ , where  $A_\beta \in \mathcal{C}$  for all  $\beta < \alpha$  and  $A_\beta \subseteq A_\gamma$  for  $\beta < \gamma < \alpha$ .

Now, let  $\mathcal{L}$  be a base in  $X$  closed under countable unions. Relate to any  $\alpha < \omega_1$  the class  $\mathcal{L}(\alpha) = \{D_\alpha(\{A_\beta\}_{\beta < \alpha}) \mid \forall \beta < \alpha (A_\beta \in \mathcal{L})\}$  called the  $\alpha$ -th level of the DH over  $\mathcal{L}$ . It is easy to check that  $\{\mathcal{L}(\alpha)\}$  is indeed an  $\omega_1$ -hierarchy. We will discuss some concrete versions of the transfinite DH in DST and computability theory in the corresponding sections below.

### 3.2. Long difference hierarchy

Here we mention a simple natural global refinement of an arbitrary  $\omega$ -base  $\mathcal{L}$  (recall that we are interested in natural refinements of  $\mathcal{L}$ ). For each  $n < \omega$ , we can of course form the DH  $\{\mathcal{L}_n(m)\}_m$  over  $\mathcal{L}_n$ ; this is a refinement of  $\mathcal{L}$  in the  $(n+1)$ -st level. We can also define a global refinement of  $\mathcal{L}$ , namely the sequence  $\{\mathcal{L}_n(m)\}_{n,m < \omega}$  which we call the long DH over  $\mathcal{L}$  (actually, in order to obtain a hierarchy in the sense of definition in Section 2.7, we have to renumerate the levels by ordinals  $\alpha < \omega^2$  in the obvious way). This hierarchy has the length at most  $\omega^2$  because, in case it does not collapse, we have:  $\mathcal{L}_n(m) \subseteq \mathcal{L}_{n_1}(m_1)$  iff  $n < n_1$  or  $n = n_1 \wedge m \leq m_1$ , for all  $n, m, n_1, m_1 < \omega$ ,  $m, m_1 > 0$ . We will see below that some concrete versions of the long DH are useful.

Note that if  $\mathcal{L}$  is an  $\omega_1$ -base all levels of which are  $\sigma$ -semilattices then one can in the obvious way define the transfinite long DH over  $\mathcal{L}$ . The length of this hierarchy is at most  $\omega_1^2$ .

Next we illustrate the method of alternating chains in the case of the long DH. This is mainly for methodical reasons, in order to make preparations for similar technically more complicated notions for the finer hierarchies to be discussed later. By  $\omega$ -preorder we mean a structure  $P = (P; \leq_0, \leq_1, \dots)$  where  $\leq_n$  are preorders on  $P$  such that  $x \leq_{n+1} y \rightarrow x \equiv_n y$ , for each  $n < \omega$ . With any  $\omega$ -preorder  $P$  we associate the  $\omega$ -base  $\mathcal{L}$  on  $P$  where for each  $n < \omega$  the level  $\mathcal{L}_n$  consists of the upper sets in  $(P; \leq_n)$ . In Section 9 we will meet also a bounded version of the introduced notion. Namely, by 2-preorder we mean a structure  $P = (P; \leq_0, \leq_1)$  with two preorders on  $P$  such that  $x \leq_1 y \rightarrow x \equiv_0 y$ . Proposition 3.5 is obviously extended to the following:

**Proposition 3.8.** *In notation of the last paragraph, for all  $n, m < \omega$  the class  $\mathcal{L}_n(m)$  coincides with the class of subsets of  $P$  that have no 1-alternating chains in  $(P; \leq_n)$  of length  $m$ .*

Using the last assertion it is easy to construct an  $\omega$ -base such that the corresponding long DH does not collapse.

In many concrete examples of the long DH below the DH over  $\mathcal{L}_0$  will be discrete (or  $m$ -discrete for a suitable  $m$ -reducibility). In contrast, the DH's over  $\mathcal{L}_n$  for  $n > 0$  usually have natural refinements. We discuss some of them in the next subsections.

### 3.3. Symmetric difference hierarchy

Here we discuss a fine hierarchy that is a bit less obvious to discover than the long DH. It was introduced in [120,122,126] under the name “plus-hierarchy” and renamed in [174] to the “symmetric-difference hierarchy”; we use the last name in this paper.

Let  $\mathcal{L}$  be an  $\omega$ -base. By a result in Section 3.1, for all  $n, m < \omega, m > 0$ , we have  $\mathcal{L}_n(m) = \mathcal{L}_n + \dots + \mathcal{L}_n$  ( $m$  summands) or, more compactly,  $\mathcal{L}_n(m) = m \cdot \mathcal{L}_n$ . It is natural to ask what classes do we get if we add also the levels  $\mathcal{L}_n$  for different  $n$ . Let  $\text{Alg}$  denote the collection of classes obtained in this way. Let  $\text{Seq}$  be the set of finite non-empty strings  $\sigma = (n_0, \dots, n_k)$  of natural numbers satisfying  $n_0 \geq \dots \geq n_k$ , and let  $<$  be the lexicographic order on  $\text{Seq}$ . For  $\sigma = (n_0, \dots, n_k) \in \text{Seq}$ , let  $P_\sigma = \mathcal{L}_{n_0} + \dots + \mathcal{L}_{n_k}$ ; we call the classes  $P_\sigma$  levels of the symmetric-difference hierarchy over  $\mathcal{L}$ . In other words, the non-zero levels of the symmetric-difference hierarchy are classes of the form  $\mathcal{L}_{n_0}(k_0) + \dots + \mathcal{L}_{n_l}(k_l)$ , for some  $n_0 > \dots > n_l$  and  $k_0, \dots, k_l > 0$ . Let us state some easy properties of the defined objects from [126].

**Proposition 3.9.** (i) *The structure  $(\text{Seq}; <)$  is well-ordered with the corresponding ordinal  $\omega^\omega$ .*

(ii)  $\{P_\sigma \mid \sigma \in \text{Seq}\} = \text{Alg}$ .

(iii) *The symmetric-difference hierarchy is a refinement of the long difference hierarchy.*

(iv) *For all  $\sigma, \tau \in \text{Seq}$ , if  $\sigma < \tau$  then  $P_\sigma \cup \text{co-}P_\sigma \subseteq P_\tau$ , i.e. the symmetric-difference hierarchy is a hierarchy of length  $\leq \omega^\omega$ .*

Note that in case when the symmetric-difference hierarchy does not collapse we have  $\sigma < \tau$  iff  $P_\sigma \subseteq P_\tau$ , for all  $\sigma, \tau \in \text{Seq}$ .

We conclude this section by adapting the alternating chains to the context of symmetric-difference hierarchy. This is mainly also for methodical reasons, as a particular case of a more general notion in the next section. Let  $P = (P; \leq_0, \leq_1, \dots)$  be an  $\omega$ -preorder and  $\mathcal{L}$  the corresponding  $\omega$ -base of the upper sets (see the previous section).

**Definition 3.10.** Define chains of type  $((n_0, k_0), \dots, (n_l, k_l))$ , for all  $l < \omega, n_0 > \dots > n_l$  and  $k_0, \dots, k_l > 0$ , by induction on  $l$  as follows:

(i) Chain of type  $(n_0, k_0)$  is a sequence  $(x_0, \dots, x_{k_0})$  in  $P$  satisfying  $x_0 \leq_{n_0} \dots \leq_{n_0} x_{k_0}$ . Atoms of such a chain are by definition the components  $x_0, \dots, x_{k_0}$ .

(ii) For  $l > 0$ , chain of type  $((n_0, k_0), \dots, (n_l, k_l))$  is by definition a sequence  $(X_0, \dots, X_{k_l})$  of chains of type  $((n_0, k_0), \dots, (n_{l-1}, k_{l-1}))$  satisfying  $X_0 \leq_{n_l} \dots \leq_{n_l} X_{k_l}$  where  $X_i \leq_{n_l} X_j$  means that for some (equivalently, for all) atoms  $x$  of  $X_i$  and  $y$  of  $X_j$  it holds  $x \leq_{n_l} y$ . Atoms of  $(X_0, \dots, X_{k_l})$  are the atoms of the components.

Now we generalize the notion of 1-alternating chain from Section 3.1.

**Definition 3.11.** Let  $A \subseteq P, l < \omega, n_0 > \dots > n_l$  and  $k_0, \dots, k_l > 0$ . We define 1-alternating chains of type  $((n_0, k_0), \dots, (n_l, k_l))$  for  $A$  by induction on  $l$  as follows:

(i) 1-Alternating chain of type  $(n_0, k_0)$  for  $A$  is a chain  $(x_0, \dots, x_{k_0})$  in  $P$  such that  $x_{2i} \in A$  and  $x_{2i+1} \notin A$ .

(ii) For  $l > 0$ , 1-alternating chain of type  $((n_0, k_0), \dots, (n_l, k_l))$  for  $A$  is a chain  $(X_0, \dots, X_{k_l})$  of type  $((n_0, k_0), \dots, (n_l, k_l))$  such that  $X_{2i}$  are 1-alternating chains for  $A$  and  $X_{2i+1}$  are 1-alternating chains for  $\bar{A}$ .

**Remark.** 1. Chains of type  $((1, k_0), (0, k_1))$  essentially coincide with the corresponding superchains introduced in [173], see also Section 9.5.

The next result extends Propositions 3.4 and 3.8 to the context of the symmetric-difference hierarchy. This result itself was not presented in the literature so far but it is a particular case of the corresponding known fact about the fine hierarchy [125] (see the next subsection).

**Proposition 3.12.** *Let  $P = (P; \leq_0, \leq_1, \dots)$  be an  $\omega$ -preorder,  $\mathcal{L}$  the corresponding  $\omega$ -base of upper sets in  $P, l < \omega, n_0 > \dots > n_l$  and  $k_0, \dots, k_l > 0$ . Then the level  $\mathcal{L}_{n_0}(k_0) + \dots + \mathcal{L}_{n_l}(k_l)$  of the symmetric-difference hierarchy over  $\mathcal{L}$  coincides with the class of subsets of  $P$  that have no 1-alternating chains of type  $((n_0, k_0), \dots, (n_l, k_l))$ .*

### 3.4. Fine hierarchy

Are there other natural refinements of a given  $\omega$ -base  $\mathcal{L}$  in  $X$ ? The answer is positive, and in principle we could continue the sequence long DH, symmetric-difference hierarchy, ... indefinitely. Since any next element of this sequence would have more and more involved definitions, we choose another possibility. Namely, in this subsection we introduce a refinement which is in many cases the richest one, i.e. it refines all other reasonable (in a sense) refinements. We call this richest refinement *the fine hierarchy* over  $\mathcal{L}$ . It was first discovered by the author in the context of computability theory [112] in terms of some jump operations (for more details see Section 6.4). After acquaintance with some set-theoretic operations (like *sep* and *bisep*) identified by Wadge [171,91], the author [115,122] characterized the fine hierarchy in terms of (some versions) of these operations and developed the abstract version of the fine hierarchy which we consider next. This has shown that the fine hierarchy is in a sense the abstract finite version of the Wadge hierarchy (this point will be explained below).

We need the following (rather exotic) operation *Bisep* [115,122] on pointclasses defined as follows: *Bisep*( $\mathcal{A}, \mathcal{B}_0, \mathcal{B}_1, \mathcal{B}_2$ ) is the class of sets

$$(A_0 \cap B_0) \cup (A_1 \cap B_1) \cup (\bar{A}_0 \cap \bar{A}_1 \cap B_2)$$

where  $A_i \in \mathcal{A}$  for  $i \leq 1$ ,  $B_j \in \mathcal{B}_j$  for  $j \leq 2$ , and  $A_0 \cap A_1 \cap B_0 = A_0 \cap A_1 \cap B_1$ . The operation *Bisep* is a version of the following operation of W. Wadge [171,91]: *bisep*( $\mathcal{A}, \mathcal{B}_0, \mathcal{B}_1, \mathcal{B}_2$ ) equals

$$\{(A_0 \cap B_0) \cup (A_1 \cap B_1) \cup (\bar{A}_0 \cap \bar{A}_1 \cap B_2) \mid A_i \in \mathcal{A}, B_j \in \mathcal{B}_j, A_0 \cap A_1 = \emptyset\}.$$

Definition of the fine hierarchy below uses the ordinal  $\varepsilon_0 = \sup\{\omega, \omega^\omega, \omega^{\omega^\omega}, \dots\}$  from Section 2.3.

**Definition 3.13.** Let  $\mathcal{L}$  be an  $\omega$ -base in  $X$ . By the fine hierarchy over  $\mathcal{L}$  we mean the sequence  $\{\delta_\alpha\}_{\alpha < \varepsilon_0}$ , where  $\delta_\alpha = \delta_\alpha^0$  and the classes  $\delta_\alpha^n$  ( $n < \omega$ ) are defined by induction on  $\alpha$  as follows:

$$\begin{aligned} \delta_0^n &= \{\emptyset\}; \\ \delta_{\omega^\gamma}^n &= \delta_\gamma^{n+1} \text{ for } \gamma > 0; \\ \delta_{\beta+1}^n &= \text{Bisep}(\mathcal{L}_n, \delta_\beta^n, \text{co-}\delta_\beta^n, \delta_0^n) \text{ for all } \beta < \varepsilon_0, \text{ and} \\ \delta_{\beta+\omega^\gamma}^n &= \text{Bisep}(\mathcal{L}_n, \delta_\beta^n, \text{co-}\delta_\beta^n, \delta_{\omega^\gamma}^n) \text{ for } \gamma > 0 \text{ and } \beta \text{ of the form } \beta = \omega^\gamma \cdot \beta_1 > 0. \end{aligned}$$

To see that this definition is correct recall that any non-zero ordinal  $\alpha < \varepsilon_0$  is uniquely representable in the form  $\alpha = \omega^{\gamma_0} + \dots + \omega^{\gamma_k}$  for a finite non-empty sequence  $\gamma_0 \geq \dots \geq \gamma_k$  of ordinals  $< \alpha$ . Applying Definition 3.13 we subsequently get  $\delta_{\omega^{\gamma_0}}^n, \delta_{\omega^{\gamma_0}+\omega^{\gamma_1}}^n, \dots, \delta_\alpha^n$ . The classes  $\delta_\gamma^n$  for  $n > 0$  play only a technical role, they are all among the levels  $\delta_\alpha$  of the fine hierarchy.

Note that for the fine hierarchy over a 2-base  $(\mathcal{L}_0, \mathcal{L}_1)$  (see Section 2.10) we have  $\delta_\alpha = BC(\mathcal{L}_1)$  for all  $\alpha \geq \omega^\omega$ , hence only the levels  $\delta_\alpha, \alpha < \omega^\omega$ , are interesting (we will meet this case in Section 9).

Let us formulate some properties of the fine hierarchy over arbitrary  $\omega$ -base.

**Proposition 3.14.** (i) We have  $\delta_\alpha \cup \text{co-}\delta_\alpha \subseteq \delta_\beta$  for all  $\alpha < \beta < \varepsilon_0$ .

(ii) The fine hierarchy is a refinement of the symmetric-difference hierarchy. In particular,  $\mathcal{L}_n = \delta_{f(n)}$  for all  $n < \omega$  where  $f: \omega \rightarrow \varepsilon_0$  is the monotone function defined by induction  $f(0) = 1$  and  $f(n+1) = \omega^{f(n)}$ .

(iii) Let  $\mathcal{L}$  and  $\mathcal{L}'$  be  $\omega$ -bases in  $X$  and  $X'$  respectively and  $g: P(X) \rightarrow P(X')$  be a homomorphism of Boolean algebras satisfying  $g(\mathcal{L}_n) \subseteq \mathcal{L}'_n$  for all  $n < \omega$ . Then  $g(\delta_\alpha) \subseteq \delta'_\alpha$  for all  $\alpha < \varepsilon_0$ .

(i) If any level of  $\mathcal{L}$  is closed under an  $F$ - $m$ -reducibility on  $P(X)$  then so are also all levels of the fine hierarchy over  $\mathcal{L}$ .

**Remark.** It is easy to find the explicit formula relating the symmetric-difference and fine hierarchies, (see the assertion (ii) above). The formula is very clear for the long DH, namely  $\mathcal{L}_n(m) = \delta_{g(n,m)}$  for all  $n < \omega$  and  $m > 0$ , where  $g: \omega \times \varepsilon_0 \rightarrow \varepsilon_0$  is defined by  $g(0, \alpha) = \alpha$  and  $g(n+1, \alpha) = \omega^{g(n,\alpha)}$ . For the symmetric-difference hierarchy we have (in the notation of the previous section)  $\mathcal{L}_{n_0}(k_0) + \dots + \mathcal{L}_{n_l}(k_l) = \delta_{h((n_0,k_0), \dots, (n_l,k_l))}$  where  $h$  is defined by induction on  $l$  as follows:  $h((n_0, k_0)) = g(n_0, k_0)$  and, for  $l > 0$ ,

$$h((n_0, k_0), \dots, (n_l, k_l)) = g(n_l, h((n_0 - n_l, k_0), \dots, (n_{l-1} - n_l, k_{l-1}))) \cdot (k_l + 1).$$

Since the exact formula is a bit complicated, a more rough formula from [122] might be helpful:

$$\{\mathcal{L}_{n_0} + \dots + \mathcal{L}_{n_k} \mid k < \omega, n_k \leq \dots \leq n_0 < \omega\} = \{\delta_\alpha \mid \alpha \in A\}$$

where  $A$  is the subalgebra of algebra  $(\varepsilon_0; \lambda x. \omega^x, \cdot)$  generated by the set  $\{1, 2, \dots\}$ .

The last proposition and remark lead to some equivalent characterizations of the fine hierarchy. E.g., the levels  $\delta_\alpha, \alpha < \omega^\omega$ , of the fine hierarchy over a 2-base  $(\mathcal{L}_0, \mathcal{L}_1)$  might be equivalently defined as follows:

$$\begin{aligned} \mathcal{A}_n &= D_n(\mathcal{L}_0) \text{ for } n < \omega; \\ \mathcal{A}_\omega^n &= D_n(\mathcal{L}_1) \text{ for } 0 < n < \omega; \\ \mathcal{A}_{\beta+\omega^n} &= \text{Bisep}(\mathcal{L}_0, \mathcal{A}_\beta, \text{co-}\mathcal{A}_\beta, \mathcal{A}_{\omega^n}) \text{ for } 0 < n < \omega \text{ and } \beta \text{ of the form } \beta = \omega^n \cdot \beta_1 \text{ for some } \beta_1, 0 < \beta_1 < \omega^\omega; \\ \mathcal{A}_{\beta+1} &= \text{Bisep}(\mathcal{L}_0, \mathcal{A}_\beta, \text{co-}\mathcal{A}_\beta, \mathcal{A}_0) \text{ for } \omega \leq \beta < \omega^\omega. \end{aligned}$$

Properties of the fine hierarchy strongly depend on the properties of the corresponding  $\omega$ -base. First we consider the interpolable  $\omega$ -bases (see Section 2.10). It turns out that in this case the fine hierarchy is often the finest possible.

**Theorem 3.15.** *Let  $\mathcal{L}$  be an interpolable  $\omega$ -base in  $X$ . Then the fine hierarchy over  $\mathcal{L}$  is perfect in all limit levels, i.e.  $\delta_\alpha \cap \text{co-}\delta_\alpha = \bigcup_{\beta < \alpha} \delta_\beta$  for all limit ordinals  $\alpha < \varepsilon_0$ . If, in addition,  $X$  is join-irreducible in  $(\mathcal{L}_0; \cup)$  then the fine hierarchy is perfect and, consequently, has no non-trivial refinements.*

**Remark.** The sequence  $\{\delta_\alpha\}$  may be also obtained as union of all levels of a series of refinements starting with the  $\omega$ -base  $\mathcal{L}$ , the first refinement (in each non-zero level) being the DH (over the previous level). By Proposition 3.2, the DH over  $\mathcal{L}_0$  is perfect. The next refinement is the symmetric-difference hierarchy, and so on. Under the assumptions of the last theorem, we will obtain longer and longer perfect subsequences of  $\{\delta_\alpha\}$ . In this way we finally obtain in a sense a full hierarchical classification of the class  $\bigcup_n \mathcal{L}_n$  (see also Section 6.4).

Next let us consider the reducible  $\omega$ -bases. In this case it is possible to simplify definition of the fine hierarchy and to characterize it by Boolean terms.

**Proposition 3.16.** *Let  $\mathcal{L}$  be a reducible  $\omega$ -base in  $X$ .*

(i) *Levels of the fine hierarchy over  $\mathcal{L}$  coincide with the corresponding classes obtained by using the simpler operation bisepe in place of Bisepe.*

(ii) *All dual levels  $\text{co-}\delta_\alpha$ ,  $\alpha < \varepsilon_0$ , of the fine hierarchy over  $\mathcal{L}$  have the separation property. In general, not all levels  $\delta_\alpha$  have the reduction property.*

The fine hierarchy as defined above seems rather artificial. It turns out that the fine hierarchies over reducible  $\omega$ -bases have a very nice characterization similar to the characterization of the DH by Boolean terms. Let  $T^*$  be the set of terms of signature  $\{\cup, \cap, \neg, 0, 1\}$  with variables  $v_k^n(k, n < \omega)$ ; we call them *typed Boolean terms*. Relate to any  $t \in T^*$  the set  $t(\mathcal{L})$  of values of  $t$  when the variables  $v_k^n(k < \omega)$  of type  $n$  range through  $\mathcal{L}_n$ , for each  $n < \omega$ . The next result is obtained in [122, 124].

**Theorem 3.17.** *Let  $\mathcal{L}$  be a reducible  $\omega$ -base in  $X$ . Then  $\{\delta_\alpha, \text{co-}\delta_\alpha \mid \alpha < \varepsilon_0\} = \{t(\mathcal{L}) \mid t \in T^*\}$  and there are algorithms that compute from any ordinal  $\alpha < \varepsilon_0$  the corresponding Boolean term  $t \in T^*$  and vice versa.*

Now let us return to the general case and give a characterization of the fine hierarchy in terms of trees obtained in [115, 122, 124] that resembles definition of the DH of  $k$ -partitions.

For any string  $\tau \in \omega^*$  and any  $\omega$ -base  $\mathcal{L}$ , by  $\tau$ -tree in  $\mathcal{L}$  we mean a family  $\{A_\sigma\}_{\sigma \in 2^*}$  of sets such that  $A_\sigma = \emptyset$  for  $|\sigma| > |\tau|$ ,  $A_{\sigma k} \in \mathcal{L}_{\tau(|\sigma|)}$  for  $|\sigma| < |\tau|$  and  $k < 2$ , and  $A_\sigma \supseteq A_{\sigma k}$ . A tree is *reduced*, if  $A_{\sigma 0} \cap A_{\sigma 1} = \emptyset$  for all  $\sigma$ . We say that a set  $A$  is *defined* by a tree  $\{A_\sigma\}$  as above, if  $A \subseteq A_0 \cup A_1$ ,  $A \cap A_{\sigma 0} \subseteq A_{\sigma 00} \cup A_{\sigma 01}$  and  $\bar{A} \cap A_{\sigma 1} \subseteq A_{\sigma 10} \cup A_{\sigma 11}$ . This notion does not depend on  $A_\emptyset$ ; applying it we usually think that  $A_\emptyset = X$  (if not, just replace  $A_\emptyset$  by  $X$ ).

Define strings  $\tau_\alpha^n(n < \omega)$  by induction on  $\alpha$  as follows:  $\tau_0^n = \emptyset$ ,  $\tau_{\alpha+1}^n = n\tau_\alpha^n$ ,  $\tau_{\omega^\gamma}^n = \tau_\gamma^{n+1}$  for  $\gamma > 0$ , and  $\tau_{\delta+\omega^\gamma}^n = \tau_{\omega^\gamma}^n n\tau_\delta^n$  for  $\delta = \omega^\gamma \cdot \delta' > 0$ ,  $\gamma > 0$ . Let  $\tau_\alpha = \tau_\alpha^0$ . Then we have the following characterization of the fine hierarchy:

**Theorem 3.18.** *Let  $\mathcal{L}$  be an  $\omega$ -base and  $\alpha < \varepsilon_0$ . Then  $\delta_\alpha$  coincides with the class of sets defined by  $\tau_\alpha$ -trees in  $\mathcal{L}$ . If, in addition,  $\mathcal{L}$  is reducible then  $\delta_\alpha$  coincides with the class of sets defined by the reduced  $\tau_\alpha$ -trees in  $\mathcal{L}$ .*

We conclude this subsection by extending Propositions 3.4 and 3.12 to the context of the fine hierarchy. The alternating chains are now extended to the alternating trees as follows. Let  $P = (P; \leq_0, \leq_1, \dots)$  be an  $\omega$ -preorder,  $A \subseteq X$  and  $\tau \in \omega^*$ . By  $\tau$ -alternating tree for  $A$  we mean a family  $\{p_\sigma \mid \sigma \in 2^*, |\sigma| \leq |\tau|\}$  of elements of  $P$  such that  $p_\emptyset \notin A$  and  $p_{\sigma 0} \notin A$ ,  $p_{\sigma 1} \in A$ ,  $p_\sigma \leq_{\tau(|\sigma|)} p_{\sigma k}$  for  $|\sigma| < |\tau|$  and  $k < 2$ . Let  $\tau_\alpha$  be the string defined above. The next result from [125] characterizes the fine hierarchy in terms of the alternating trees. The typed chains for the symmetric-difference hierarchy are easily seen to be equivalent to the alternating trees for the corresponding levels of the fine hierarchy. It may be shown that among levels of the fine hierarchy only the levels of the symmetric-difference hierarchy can be characterized by the alternating chains; for all other chains do not suffice. The proof uses the previous theorem.

**Theorem 3.19.** *Let  $P = (P; \leq_0, \leq_1, \dots)$  be an  $\omega$ -preorder and  $\mathcal{L}$  the corresponding  $\omega$ -base of upper sets in  $P$ . Then the level  $\delta_\alpha$  of the fine hierarchy over  $\mathcal{L}$  coincides with the class of subsets of  $P$  that do not have  $\tau_\alpha$ -alternating trees.*

Again, several fine hierarchies over concrete  $\omega$ -bases have characterizations of this kind. In particular, proof of Theorem 3.17 uses similar invariants related to the typed Boolean terms.

### 3.5. Difference hierarchies of $k$ -partitions

Here we extend the DH of sets to the DH of  $k$ -partitions introduced and studied for the case of finite  $k$ -posets in [75, 86, 133] and for the countable case in [142]. Note that in the source papers the hierarchy is called Boolean hierarchy of  $k$ -partitions.

Let  $P = (P; \leq)$  be a countable poset without infinite chains,  $X$  a set and  $\mathcal{L}$  a  $\sigma$ -base in  $X$ . Functions of the form  $S : P \rightarrow \mathcal{L}$  are called  $P$ -families and are denoted also by  $\{S_p\}_{p \in P}$ . A  $P$ -family is *monotone* if it is a monotone function from  $(P; \leq)$  into  $(\mathcal{L}; \subseteq)$ . A  $P$ -family  $S$  is *admissible* if  $\bigcup_p S_p = X$  and  $S_p \cap S_q = \bigcup\{S_r \mid r \leq p, q\}$  for all  $p, q \in P$ . Note that any admissible  $P$ -family is monotone. Note also that if  $P$  is a forest then  $P$ -family  $S$  is admissible iff it is monotone,  $\bigcup_p S_p = X$  and  $S_p \cap S_q = \emptyset$

for all  $p, q$  incomparable in  $P$ . For any  $P$ -family  $S$ , define the map  $\tilde{S} : P \rightarrow P(X)$  by  $\tilde{S}_p = S_p \setminus \bigcup_{q < p} S_q$ . It is easy to see that if  $S$  is admissible then  $\{\tilde{S}_p\}_{p \in P}$  is a partition of  $X$ .

For a countable  $k$ -poset  $(P, c)$  without infinite chains, let  $\mathcal{L}(P, c) = \{c \circ \tilde{S} \mid S \in H(P, \mathcal{L})\}$  where  $H(P, \mathcal{L})$  is the set of admissible  $P$ -families and  $\tilde{S}$  is identified with the function from  $X$  to  $P$  sending  $x \in X$  to the unique  $p \in P$  with  $x \in \tilde{S}_p$ . Note that  $\mathcal{L}(P, c) \subseteq k^X$ , i.e.  $\mathcal{L}(P, c)$  is a class of  $k$ -partitions of  $X$ . *Difference hierarchy of  $k$ -partitions over  $\mathcal{L}$*  is by definition the family  $\{\mathcal{L}(P)\}_{P \in \tilde{\mathcal{P}}_k}$ ; by  $BH_k(\mathcal{L})$  we denote the collection  $\{\mathcal{L}(P) \mid P \in \tilde{\mathcal{P}}_k\}$  of levels of this hierarchy. We consider also a smaller collection of classes of  $k$ -partitions  $FBH_k(\mathcal{L}) = \{\mathcal{L}(P) \mid P \in \tilde{\mathcal{F}}_k\}$  defined by the  $k$ -forests. If we take  $\tilde{\mathcal{P}}_k$  and  $\tilde{\mathcal{F}}_k$  in place of  $\tilde{\mathcal{P}}_k$  and  $\tilde{\mathcal{F}}_k$  we obtain the finite versions of the DH's. In the same way one can define the DH's  $LBH_k(\mathcal{L})$  over  $k$ -lattices and  $CBH_k(\mathcal{L})$  over  $k$ -chains (see Section 2.6).

In [75,142] it was observed that the DH of  $k$ -partitions is closely related to the  $h$ -preorder, namely for all countable  $k$ -posets  $P$  and  $Q$  without infinite chains  $P \leq Q$  implies  $\mathcal{L}(P) \subseteq \mathcal{L}(Q)$ . For the reasons explained in Section 2.6 we are mostly interested in the families  $\{\mathcal{L}(P) \mid P \in \tilde{\mathcal{F}}_k\}$  and  $\{\mathcal{L}(P) \mid P \in \tilde{\mathcal{F}}_k\}$  as definitions of the (finite and transfinite, respectively) DH's. In subsequent sections we will see that we really obtain interesting hierarchies in this way.

We conclude this subsection by a result from [133,142] showing that both DH's of  $k$ -partitions over  $k$ -forests coincide with those for  $k$ -posets, provided the base is reducible.

**Theorem 3.20.** (i) Over any reducible base  $\mathcal{L}$ ,  $\{\mathcal{L}(P) \mid P \in \tilde{\mathcal{P}}_k\} = \{\mathcal{L}(F) \mid F \in \tilde{\mathcal{F}}_k\}$ , and hence the structure  $(\{\mathcal{L}(P) \mid P \in \tilde{\mathcal{P}}_k\}; \subseteq)$  is a well poset of rank  $\leq \omega$ .

(ii) Over any  $\sigma$ -reducible base  $\mathcal{L}$  closed under countable unions,  $\{\mathcal{L}(P) \mid P \in \tilde{\mathcal{P}}_k\} = \{\mathcal{L}(F) \mid F \in \tilde{\mathcal{F}}_k\}$ , and hence  $(\{\mathcal{L}(P) \mid P \in \tilde{\mathcal{P}}_k\}; \subseteq)$  is a well poset of rank  $\leq \omega_1$ .

(iii) For  $k = 2$ , the hierarchies in (i) and (ii) essentially coincide with the DH's of sets over  $\mathcal{L}$ .

It is easy to see that the hierarchies from the last theorem are  $\tilde{\mathcal{F}}_k$ - and  $\tilde{\mathcal{F}}_k$ -hierarchies in the sense of Section 2.8. In several concrete examples below we will see that these hierarchies do not collapse.

### 3.6. Future work

The general program about the abstract hierarchies is to extend to them as many as possible results known about the concrete hierarchies. E.g., we have mentioned only the easy inclusion result about the transfinite DH; it seems that, under some reasonable additional assumptions on the base, it should be possible to prove some less trivial properties of the abstract transfinite DH generalizing those from the next section.

We know that the fine hierarchy and the typed Boolean “hierarchy”  $\{t(\mathcal{L}) \mid t \in T^*\}$  coincide over any reducible base. For the non-reducible bases it was shown in [122] that the collections of levels of these hierarchies can be incomparable under inclusion and that there can exist three pairwise incomparable levels of the typed Boolean “hierarchy”. At the same time, we have currently no answer to the following natural question: is the collection of levels of the typed Boolean “hierarchy” over arbitrary  $\omega$ -base well-founded or even well partial ordered?

It seems interesting to develop an abstract transfinite version of the fine hierarchy (i.e., the transfinite fine hierarchy over an arbitrary  $\omega_1$ -base with suitable properties). One could hope to develop a kind of the abstract Wadge hierarchy in this way.

We know that the DH of  $k$ -partitions over  $k$ -forests has good properties over reducible bases. Over arbitrary base, this hierarchy can be too restrictive. It seems interesting to know which properties of the non-reducible bases imply good properties (like well-foundedness) of the corresponding DH's of  $k$ -partitions over (reasonable classes of)  $k$ -posets.

## 4. Descriptive set theory

In this section, we discuss some fine hierarchies in DST. The basic facts on hierarchies of classical DST were obtained in the beginning of the 20th century by E. Borel, H. Lebesgue, F. Hausdorff, N. Luzin, M. Suslin and many others. In this paper, we concentrate on the Hausdorff DH and later results of W. Wadge, D. Martin and others related to the Wadge reducibility. The standard reference on the classical DST is [72].

Along with the classical DST we discuss also some results of the so called domain DST, a topic that tries to develop a DST for the domain-like structures which are of interest for computer science. In contrast with the well-developed classical DST, domain DST is still in its beginning. Some impression about this theory may be obtained from [137]. Standard references in domain theory are [6,53].

DST is important for theoretical computer science because several “more effective” hierarchies of computer science are often defined and studied by analogy with the corresponding objects from DST. DST is fundamental for such fields as theory of infinite behavior of computing devices (and hence it is relevant to the formal specification, verification and synthesis of reactive systems) and computability and complexity in analysis [176], topology and domain theory.



#### 4.1. Preliminaries

In this subsection we briefly recall some topological notions studied in the sequel. Classical DST concentrates on the so called Polish spaces, but some important facts (e.g. about the structure of Wadge degrees) hold true only for spaces closely related to the Baire and Cantor spaces. Recall that *metric space* is a pair  $(X, d)$  with  $X$  a set and  $d$  a function (called metric) from  $X \times X$  to the nonnegative reals such that:  $d(x, y) = 0$  iff  $x = y$ ,  $d(x, y) = d(y, x)$  and  $d(x, y) \leq d(x, z) + d(z, y)$ . A metric space is *complete* if any Cauchy sequence in  $X$  converges to a point in  $X$ .

*Topological space* (or simply *space*) is a pair  $(X, \mathcal{T})$  with  $X$  a set and  $\mathcal{T}$  a collection of subsets of  $X$  closed under arbitrary unions and finite intersections. Such a collection is called *topology* on  $X$  and its elements *open sets*. A subset of  $X$  is *closed* (*clopen*) if its complement is open (respectively, if it is both open and closed). As is well known, any metric on a set  $X$  induces a topology on this set. When a metric (a topology) on  $X$  is clear from the context we do not mention it explicitly and refer to  $X$  as a metric (respectively, a topological) space.

A space  $X$  is *metrizable* if there is a metric  $d$  on  $X$  such that every open set is a union of sets of the form  $\{y \in X \mid d(x, y) < r\}$ , where  $x \in X$  and  $r$  is a positive real. A space  $X$  is *Polish* if it is countably based and metrizable with a metric  $d$  such that  $(X, d)$  is a complete metric space. The most important (for DST) examples of Polish spaces are the Baire and Cantor spaces and many spaces of interest in analysis, including of course the space of reals. A function  $f : X \rightarrow Y$  between spaces is *continuous* if the preimage  $f^{-1}(A)$  of every open set  $A$  in  $Y$  is an open set in  $X$ . A function  $f : X \rightarrow Y$  is called *homeomorphism* if it is bijective, continuous and the inverse function  $f^{-1} : Y \rightarrow X$  is continuous. A *subspace* of a space  $(X, \mathcal{T})$  is a subset  $A \subseteq X$  equipped with the topology  $\{A \cap B \mid B \in \mathcal{T}\}$ . Spaces  $X$  and  $Y$  are *homeomorphic* if there is a homeomorphism of  $X$  onto  $Y$ . There are many interesting constructions on spaces of which we mention only the cartesian product  $X \times Y$  and the space  $Y^X$  of continuous functions from  $X$  to  $Y$  with the topology of pointwise convergence. For definitions see any standard text in topology, say [84].

It is well-known and easy to see that the function  $\langle \xi, \eta \rangle = \xi \oplus \eta$ , defined by  $(\xi \oplus \eta)(2n) = \xi(n)$  and  $(\xi \oplus \eta)(2n+1) = \eta(n)$ , is a homeomorphism between  $\omega^\omega \times \omega^\omega$  and  $\omega^\omega$ ; this function plays in DST the role of the Cantor pairing function in computability theory. For  $A, B \subseteq \omega^\omega$ , let  $A \oplus B = \{0 \cdot \xi, i \cdot \eta \mid 0 < i, \xi \in A, \eta \in B\}$ . For a sequence  $A_0, A_1, \dots$  of subsets of  $\omega^\omega$ , let  $\bigoplus_{i < \omega} A_i$  denote the set  $\{i \cdot \xi \mid i < \omega, \xi \in A_i\}$ . These join operations are extended to the  $k$ -partitions of  $\omega^\omega$  in a straightforward way, namely  $(\mu \oplus \nu)(0 \cdot \xi) = \nu(\xi)$  and  $(\mu \oplus \nu)(i \cdot \xi) = \mu(\xi)$  for all  $0 < i < \omega$  and  $\xi \in \omega^\omega$ . For a sequence  $\nu_0, \nu_1, \dots$  of  $k$ -partitions of  $\omega^\omega$ , define a  $k$ -partition  $\nu = \bigoplus_{i < \omega} \nu_i$  by  $\nu(i \cdot \xi) = \nu_i(\xi)$ , for all  $i < \omega$  and  $\xi \in \omega^\omega$ . Note that the definition of the binary join operation  $\mu \oplus \nu$  applies also to the Cantor space but the  $\omega$ -ary one does not.

Now we recall some notions of the domain theory. Let  $X$  be a  $T_0$ -space, i.e. for any distinct points  $x, y \in X$  there is an open set containing exactly one of them. For  $x, y \in X$ , let  $x \leq y$  denote that  $x \in U$  implies  $y \in U$ , for all open sets  $U$ . The relation  $\leq$  is a partial order known as *specialization order*. Let  $F(X)$  be the set of *finitary elements* of  $X$  (known also as *compact elements*), i.e. the elements  $p \in X$  such that the upper cone  $\{x \mid p \leq x\}$  is open. Such open cones are called  *$f$ -sets*. The space  $X$  is called  *$\varphi$ -space* if every open set is a union of  $f$ -sets. A  $\varphi$ -space  $X$  is called  *$\varphi_0$ -space* if  $(X; \leq)$  contains a least element (usually denoted  $\perp$ ). Note that any non-discrete  $\varphi$ -space is not Hausdorff. The term “ $\varphi$ -space” was coined in [42].

A  $\varphi$ -space  $X$  is *complete* if any non-empty directed set  $S$  without greatest element has a supremum  $\sup S \in X$ , and  $\sup S$  is a limit point of  $S$  (notice that  $\sup S \notin F(X)$  and for each finitary element  $p \leq \sup S$  there is an  $s \in S$  with  $p \leq s$ ). As is well known, every  $\varphi$ -space is canonically embeddable in a complete  $\varphi$ -space which is called the *completion* of  $X$  (see e.g. [42, 6, 53]). An  $\omega$ -algebraic domain is a complete countably based  $\varphi_0$ -space.

Next we define two classes of  $\omega$ -algebraic domains introduced and studied in [135]. By *reflective domain* we mean an  $\omega$ -algebraic domain  $X$  such that for some continuous functions  $q_0, e_0, q_1, e_1 : X \rightarrow X$  there hold  $q_0 e_0 = q_1 e_1 = id_X$ , and  $e_0(X), e_1(X)$  are disjoint open sets. Examples of reflective domains are the Baire and Cantor domains, the domain  $\omega^\omega_\perp$  of partial functions  $g : \omega \rightarrow \omega$  (the finitary elements are the finite functions and the inclusion is the specialization order), and many other natural (in particular, functional) domains [135].

In [135] also another class of domains was considered. By *2-reflective domain* we mean an  $\omega$ -algebraic domain  $X$  with a top element  $\top$  such that there exist continuous functions  $q_0, e_0, q_1, e_1 : X \rightarrow X$  and open sets  $B_0, C_0, B_1, C_1$  with the following properties:  $q_0 e_0 = q_1 e_1 = id_X$ ;  $B_0 \supseteq C_0$  and  $B_1 \supseteq C_1$ ;  $e_0(X) = B_0 \setminus C_0$  and  $e_1(X) = B_1 \setminus C_1$ ;  $B_0 \cap B_1 = C_0 \cap C_1$ . An example of 2-reflective domains is the domain  $P\omega$  of subsets of  $\omega$  (the finitary elements are the finite sets and the specialization order is the inclusion relation).

We conclude this subsection by formulating the Martin determinacy theorem which is one of the main facts of DST, playing an important role in proving facts about the Wadge reducibility in the Baire and Cantor spaces (we provide some details only for the Baire space). It concerns a class of infinite games with full information introduced by D. Gale and F.M. Stewart.

Relate to any set  $A \subseteq \omega^\omega$  the game  $G(A)$  for two players denoted by 0 and 1, as follows. A *play* of such a game is a sequence of numbers  $\{a_i\}$  constructed in the following way: the player 0 chooses  $a_0$ , then the player 1 chooses  $a_1$ , then 0 chooses  $a_2$  and so on ad infinitum; at each move every player knows the previous moves of his/her opponent. If the resulting function  $\lambda n. a_n$  belongs to  $A$  then player 1 wins the play, otherwise 0 wins the play. Notice that any sequence  $\sigma \in \omega^*$  may be thought of as a possible *position* in the game  $G(A)$ . If  $|\sigma|$  is even (odd) then the player 0 (1) must make his/her move  $a \in \omega$  to get the next position  $\sigma \frown a$ . Sometimes it is more convenient to describe the game  $G(A)$  in slightly different terms: we say that 0 *plays a function*  $\xi = \lambda n. a_{2n}$ , while 1 plays a function  $\eta = \lambda n. a_{2n+1}$ ; then the resulting play of the game will be the function  $\xi \oplus \eta$ .

Now let us define the notion of strategy for a player in a game. A strategy for player 0 (1) assumes that (s)he makes his/her move at a position  $\sigma$  depending on this position. Hence, *strategy* is essentially a function from strings to numbers which “prompts” the next move to a player. One may also equivalently think that the strategy depends not on the whole position  $\sigma$  but only on the previous moves of the opponent. More formally, by a *strategy* for player 0 (1) we mean a function  $f : \omega^* \rightarrow \omega$  (respectively, a function  $f : \omega^+ \rightarrow \omega$ ). Hence, if the player 0 (1) follows a strategy  $f$  and his/her opponent 1 (0) plays a function  $\{a_n\}$  then the resulting play is  $f(\emptyset), a_0, f(a_0), a_1, f(a_0, a_1), \dots$  (respectively,  $a_0, f(a_0), a_1, f(a_0, a_1), \dots$ ). A strategy for a player is *winning* if (s)he wins any play when following the strategy.

A set  $A \subseteq \omega^\omega$  is *determined* if one of the players has a winning strategy in the game  $G(A)$ . To understand which subsets of the Baire space are determined turned out to be an interesting, important and hard problem. One of the most useful results in this direction is the Martin determinacy theorem stating that any Borel subset of the Baire (or Cantor) space is determined. This theorem is a main tool for proving results about the Wadge reducibility on the Borel sets.

#### 4.2. Bases and reducibilities

Let us recall definition of the Borel hierarchy in arbitrary space  $X$ . This hierarchy will provide main bases for this section.

**Definition 4.1.** Define a sequence  $\{\Sigma_\alpha^0\}_{\alpha < \omega_1}$  of pointclasses in an arbitrary space  $X$  by induction on  $\alpha$  as follows:  $\Sigma_0^0 = \{\emptyset\}$ ,  $\Sigma_1^0$  is the class of open sets,  $\Sigma_2^0$  is the class of countable unions of finite Boolean combinations of open sets, and  $\Sigma_\alpha^0$  ( $\alpha > 2$ ) is the class of countable unions of sets in  $\bigcup_{\beta < \alpha} \Pi_\beta^0$ , where  $\Pi_\beta^0 = \{A \mid \bar{A} \in \Sigma_\beta^0\}$ .

The sequence  $\{\Sigma_\alpha^0\}_{\alpha < \omega_1}$  is called *Borel hierarchy* in  $X$ , the classes  $\Sigma_\alpha^0$ ,  $\Pi_\alpha^0$  and  $\Delta_\alpha^0 = \Sigma_\alpha^0 \cap \Pi_\alpha^0$  are called *levels* of the Borel hierarchy. If we want to stress the space  $X$  in which the levels are considered we can use a more complicated notation like  $\Sigma_\alpha^0(X)$ . The class  $\mathbf{B} = \mathbf{B}(X)$  of *Borel sets* in  $X$  is the union of all levels of the Borel hierarchy, it coincides with the  $\sigma$ -algebra generated by the open sets. It is well-known and easy to see that the Borel hierarchy is a hierarchy in the sense of our general definition, i.e.  $\Sigma_\alpha^0 \subseteq \Delta_\beta^0$  for all  $\alpha, \beta$  with  $\alpha < \beta < \omega_1$ . This hierarchy (more exactly, the sequence  $\{\Sigma_{1+\alpha}^0\}_{\alpha < \omega_1}$ ) and its initial segment  $\{\Sigma_{n+1}^0\}_{n < \omega}$  known as the finite Borel hierarchy, are the main  $\omega_1$ -base and  $\omega$ -base for this section.

A classical result of DST [72] states that the Borel hierarchy does not collapse in any non-countable Polish space. In [137] it was shown that it also does not collapse in all reflective and 2-reflective domains. An important theorem of M. Suslin (see [72]) states (in our terminology) that, in any Polish space, the Borel hierarchy is an exhaustive refinement of the so called projective hierarchy  $\{\Sigma_n^1\}$  in the first level, i.e.  $\bigcup_{\alpha < \omega_1} \Sigma_\alpha^0 = \mathbf{B} = \Delta_1^1$ . The projective hierarchy is one of the most important objects of classical DST. We will not consider (and even define!) it here because many of its properties depend on the set-theoretic assumptions and seem (at least so far) to be not very useful in computer science. Only the first level of the projective hierarchy and some sets in its “neighborhood” appear from time to time in the literature on theoretical computer science.

It is well-known [72] that any class  $\Sigma_\alpha^0$ ,  $\alpha > 1$ , has the  $\sigma$ -reduction property (in any space). For the class  $\Sigma_1^0$  of open sets the situation is more subtle: it has the  $\sigma$ -reduction property for some natural spaces (e.g., for the Baire and Cantor spaces [72] and for the Baire and Cantor domains [141]) while it does not have the reduction property for some other natural spaces (e.g., for the space of reals).

As the notion of reducibility for this section, we take the Wadge reducibility  $\leq_W$ , i.e. the  $m$ -reducibility by continuous function (on any space  $X$ ). Thus, continuous functions are taken as “feasible” in DST. Obviously, any level of the Borel hierarchy is closed under the Wadge reducibility. The same applies to all hierarchies we will consider in this section. The structure of Wadge degrees of subsets (and even of  $k$ -partitions) of the Baire space is an upper semilattice w.r.t. the operation  $\oplus$  from Section 6.1. The fact that the definition of binary join operation  $\mu \oplus \nu$  applies also to the Cantor space but the  $\omega$ -ary one does not implies some minor distinctions in the structures of Wadge degrees in the Baire and Cantor spaces.

For the Baire and Cantor spaces, it is well-known [72] that the Wadge reducibility fits the Borel hierarchy. Moreover, any level of the Borel hierarchy is a classical pointclass. We define the last notion for the Baire space, for the Cantor space it may be defined similarly. *Classical pointclass* in  $\omega^\omega$  is a pointclass  $\mathcal{C}$  closed under  $\leq_W$  and such that there is a surjection  $\nu : \omega^\omega \rightarrow \mathcal{C}$  and a continuous function  $f$  on  $\omega^\omega$  with the following properties: the so called universal set  $U_\nu = \{\langle \xi, \eta \rangle \mid \eta \in \nu_\xi\}$  of  $\nu$  is in  $\mathcal{C}$  and  $\nu_\xi(\langle \eta, \zeta \rangle) = \nu_{f(\xi, \eta)}(\zeta)$  for all  $\xi, \eta, \zeta \in \omega^\omega$ . The last condition is an analog of the *smn*-theorem in computability theory. It is easy to show that such a surjection  $\nu$  (called *acceptable coding of  $\mathcal{C}$* ) is unique, up to the Wadge equivalence. Moreover, it is easy to show by diagonalization that any classical pointclass is non-self-dual. The notion of a classical pointclass extends to classes of  $k$ -partitions in a straightforward way.

When considering hierarchies in the Baire and Cantor spaces, we will assume that they fit the Wadge reducibility; moreover, all hierarchies we consider will have the stronger property that all their non-self-dual levels are classical pointclasses. Thus, for the Baire and Cantor spaces it seems reasonable to include the last property in the definition of hierarchy.

#### 4.3. Difference hierarchies

Recall from Section 3.1 that, for all  $\alpha, \beta < \omega_1$ ,  $\beta > 0$ , the  $\alpha$ -th level of the DH over  $\Sigma_\beta^0$  in a given space  $X$  is denoted by  $\Sigma_\beta^0(\alpha)$ . The difference hierarchy over  $\Sigma_1^0$  is sometimes simply called the difference hierarchy in  $X$  and denoted by  $\{\Sigma_\alpha^{-1}\}_{\alpha < \omega_1}$ .

The notation with the upper index  $-1$  was coined by Yu.L. Ershov, the index has probably to stress that the DH is a refinement of the Borel hierarchy. As usual,  $\Pi_\alpha^{-1}$  denotes the dual class for  $\Sigma_\alpha^{-1}$ , and  $\Delta_\alpha^{-1} = \Sigma_\alpha^{-1} \cap \Pi_\alpha^{-1}$ .

It is well-known and easy to see that the DH over any level  $\Sigma_\beta^0$ ,  $\beta > 0$ , in any space is a hierarchy that refines the Borel hierarchy in the level  $\beta + 1$ . It does not collapse in all non-countable Polish spaces [72], and also in all reflective and 2-reflective domains [137]. In the Baire and Cantor spaces, the DH over any  $\Sigma_\beta^0$  fits the Wadge reducibility, and the DH over open sets has Wadge complete sets in any non-zero  $\Delta$ -level (hence, it is  $W$ -discrete, see Section 2.9). The next result in [134] shows the even stronger discreteness property of the DH in domains.

**Theorem 4.2.** *Let  $X$  be an  $\omega$ -algebraic domain. Then the DH over open sets in  $X$  is perfect, i.e.  $\bigcup\{\Sigma_\beta^{-1} \cup \Pi_\beta^{-1} \mid \beta < \alpha\} = \Delta_\alpha^{-1}$  for all  $\alpha < \omega_1$ . Hence, it is discrete.*

Note that for  $\beta > 1$  the DH over  $\Sigma_\beta^0$  is usually not discrete. In [92] it was shown that any level  $\Sigma_\beta^0(\alpha)$  of the DH in the Baire or Cantor space has the reduction property.

One of the most important facts about the DH is the following Hausdorff–Kuratowski theorem (see [72]):

**Theorem 4.3.** *In any Polish space, the DH over any  $\Sigma_\beta^0$ ,  $\beta > 0$ , is an exhausting refinement of the Borel hierarchy in the next level, i.e.  $\bigcup_{\alpha < \omega_1} \Sigma_\beta^0(\alpha) = \Delta_{\beta+1}^0$  for all  $\beta$ ,  $0 < \beta < \omega_1$ .*

In the domain DST, the analog of the last theorem is currently known [134] (see also a particular case in [161]) only for the DH over open sets: in any  $\omega$ -algebraic domain,  $\bigcup_{\alpha < \omega_1} \Sigma_\alpha^{-1} = \Delta_2^0$ . In [134] the following characterization of the last DH in terms of an analog of alternating chains (see Section 3.1) was obtained. The characterization is useful, in particular, for proving the results about the DH over open sets in domains mentioned above. We call a subset  $A$  of an  $\omega$ -algebraic domain  $X$  *approximable* if for any  $x \in A$  there is a finitary element  $p \leq x$  with  $\{y \in X \mid p \leq y \leq x\} \subseteq A$ . By *alternating tree* for  $A$  we mean a monotone function  $f : (T; \sqsubseteq) \rightarrow (F(X); \leq)$  from a tree  $T \subseteq \omega^*$  without infinite chains to the finitary elements such that  $f(\sigma) \in A$  iff  $f(\sigma \hat{\ } n) \notin A$ , for each  $\sigma \hat{\ } n \in T$ . The *rank* of  $f$  is the rank of the tree  $T$ . An alternating tree  $f$  is called 1-alternating if  $f(\emptyset) \in A$ .

**Theorem 4.4.** *Let  $X$  be an  $\omega$ -algebraic domain,  $\alpha < \omega_1$ ,  $T$  a tree of rank  $\alpha$  and  $A \subseteq X$ . Then the following assertions are equivalent:*

- (i)  $A \in \Sigma_\alpha^{-1}$ ;
- (ii) both sets  $A, \bar{A}$  are approximable and there is no 1-alternating tree  $f : T \rightarrow F(X)$  for  $A$ ;
- (iii) both sets  $A, \bar{A}$  are approximable and there is no 1-alternating tree for  $A$  of rank  $\alpha$ .

We conclude this subsection by a discussion of relationship of the Wadge reducibility to the DH in the Baire space established in Section C of Chapter I of [171]. It shows that the first  $\omega_1$  non-selfdual sets in the preorder  $(\mathbf{B}(\omega^\omega); \leq_W)$  (in the next subsection we will see that the last structure is almost well-ordered) correspond precisely to the levels of the difference hierarchy in the following sense.

**Theorem 4.5.** (i) *For each  $\alpha < \omega_1$  there exists a set  $A_\alpha \subseteq \omega^\omega$  Wadge complete in  $\Sigma_\alpha^{-1}$ , i.e.  $\Sigma_\alpha^{-1} = \{B \mid B \leq_W A_\alpha\}$ . Moreover, the set  $A_\alpha \oplus \bar{A}_\alpha$  is Wadge complete in  $\Delta_{\alpha+1}^{-1}$  and, for a limit ordinal  $\alpha$ , the set  $\bigoplus_{\beta < \alpha} A_\beta$  is Wadge complete in  $\Delta_\alpha^{-1}$ .*

(ii) *For any  $B \subseteq \omega^\omega$ ,  $B \in \Delta_2^0$  iff  $B$  has a countable rank in  $(\mathbf{B}(\omega^\omega); \leq_W)$  iff  $B$  is Wadge complete in one of levels  $\Sigma_\alpha^{-1}$ ,  $\Pi_\alpha^{-1}$ ,  $\Delta_\alpha^{-1}$ ,  $\alpha < \omega_1$ .*

The last result shows that the DH over open sets in the Baire (and also in the Cantor) space perfectly fits the Wadge reducibility (see Section 2.9), and that the initial segment of the preorder  $(\mathbf{B}; \leq_W)$  formed by the elements of countable rank provides an alternative characterization of the DH in the Baire space.

The next result from [135] shows that the structure of Wadge  $\Delta_2^0$ -degrees in the Baire domain  $\omega^{\leq \omega}$  looks very similar, one has only to delete all the self-dual degrees. In particular, the DH over open sets in the Baire and Cantor domains also perfectly fits the Wadge reducibility.

**Theorem 4.6.** *In the Baire and Cantor domains, the difference hierarchy perfectly fits the Wadge reducibility. In particular, the order type of the quotient structure  $(\Delta_2^0(\omega^{\leq \omega}); \leq_W)$  is  $2 \times \omega_1$  (see Section 2.9).*

#### 4.4. Wadge hierarchy and fine hierarchy

Here we discuss the Wadge reducibility in the Baire space (with minor differences, similar results hold also for the Cantor space).

In [170,171] Wadge (with a heavy use of the Martin determinacy theorem) proved the following deep result:

**Theorem 4.7.** *The structure  $(\mathbf{B}; \leq_W)$  of the Borel sets in the Baire space is almost well-ordered (i.e., it is well-founded and for all  $A, B \in \mathbf{B}$  we have  $A \leq_W B$  or  $\bar{B} \leq_W A$ ).*

He has also computed the corresponding (large) ordinal  $\nu$ . In [169,152] the following deep relation of the Wadge reducibility to the separation property from Section 2.10 was established:

**Theorem 4.8.** For any Borel set  $A$  which is non-self-dual (i.e.,  $A \not\leq_W \bar{A}$ ) exactly one of the principal ideals  $\{X \mid X \leq_W A\}$ ,  $\{X \mid X \leq_W \bar{A}\}$  has the separation property. The result does not generalize to the reduction property.

The last two theorems give rise to the *Wadge hierarchy* which is, by definition, the sequence  $\{\Sigma_\alpha\}_{\alpha < \nu}$  of all non-self-dual principal ideals of  $(\mathbf{B}; \leq_W)$  that do not have the separation property and satisfy for all  $\alpha < \beta < \nu$  the strict inclusion  $\Sigma_\alpha \subset \Delta_\beta$ . As usual, we set  $\Pi_\alpha = \{\bar{A} \mid A \in \Sigma_\alpha\}$  and  $\Delta_\alpha = \Sigma_\alpha \cap \Pi_\alpha$ . Note that the constituents of the Wadge hierarchy are exactly the equivalence classes induced by  $\leq_W$  on Borel subsets of the Baire space. Thus, the Wadge hierarchy perfectly fits the Wadge reducibility and is  $W$ -discrete (see Section 2.9). Furthermore, any non-self-dual level of the Wadge hierarchy is a classical pointclass [169].

By the results at the end of the previous section,  $\Sigma_\alpha = \Sigma_\alpha^{-1}$  for each  $\alpha < \omega$ , i.e. the DH over open sets is an initial segment of the Wadge hierarchy. In order to see how much finer is the Wadge hierarchy compared with the Borel hierarchy, we mention the equalities from [171] relating both hierarchies:  $\Sigma_1 = \Sigma_1^0$ ,  $\Sigma_{\omega_1} = \Sigma_2^0$ ,  $\Sigma_{\omega_1^{\omega_1}} = \Sigma_3^0$  and so on. Thus, sets of the

finite Borel rank coincide with the sets of Wadge rank less than  $\lambda = \sup\{\omega_1, \omega_1^{\omega_1}, \omega_1^{\omega_1^{\omega_1}}, \dots\}$ . Note that  $\lambda$  is the smallest solution of the ordinal equation  $\omega_1^\lambda = \kappa$ . Hence, we warn the reader not to mistake  $\Sigma_\alpha$  with  $\Sigma_\alpha^0$ . To give the reader a first impression about the Wadge ordinal we note that the rank of the preorder  $(\Delta_\omega^0; \leq_W)$  is the  $\omega_1$ -st solution of the ordinal equation  $\omega_1^\lambda = \kappa$  [171].

As we know from Section 3, there is a close relationship of the DH to finite Boolean terms and of the fine hierarchy to finite typed Boolean terms. Is there a similar relationship of the hierarchies considered in this section to, say, infinite Boolean terms? In [171] (see also [91]) it is shown that in this way we obtain exactly the Wadge hierarchy (the theorem below is actually a slight reformulation of this result, see [122] for details).

Define  $\omega_1$ -terms by induction as follows: constants 0, 1 and variables  $v_k$  ( $k < \omega$ ) are  $\omega_1$ -terms; if  $t_i$  ( $i < \omega$ ) are  $\omega_1$ -terms, then so are the expressions  $\bar{t}_0, t_0 \cup t_1, t_0 \cap t_1, \bigcup_{i < \omega} t_i$  and  $\bigcap_{i < \omega} t_i$ . The notion of *typed*  $\omega_1$ -term is defined in the same way but as variables we take now the typed variables  $v_k^\alpha$  ( $k < \omega$ ), for each type  $\alpha < \omega_1$ . Denote by  $T_1$  (by  $T_1^*$ ) the set of all (typed)  $\omega_1$ -terms. For  $t \in T_1$ , let  $t(\Sigma_1^0)$  be the set of all values of  $t$  when the variables range over  $\Sigma_1^0$ . For  $t \in T_1^*$  and the  $\omega_1$ -base  $L = \{\Sigma_{1+\alpha}^0\}_{\alpha < \omega_1}$ , let  $t(L)$  be the set of all values of  $t$  when the variables of type  $\alpha$  range over  $\Sigma_{1+\alpha}^0$ , for each  $\alpha < \omega_1$ .

**Theorem 4.9** ([171]). For any  $\mathcal{C} \subseteq P(\omega^\omega)$ , the following conditions are equivalent:

- (i)  $\mathcal{C} = \{B \mid B \leq_W A\}$  for some non-self-dual Borel set  $A$ ;
- (ii)  $\mathcal{C} = t(\Sigma_1^0)$  for some  $t \in T_1$ ;
- (iii)  $\mathcal{C} = t(L)$  for some  $t \in T_1^*$ .

Let now  $\{\mathbf{S}_\alpha\}_{\alpha < \varepsilon_0}$  be the fine hierarchy over the reducible  $\omega$ -base  $\{\Sigma_{n+1}^0\}_{n < \omega}$  (see Section 3.4). Comparing Theorems 3.17 and 4.9 and taking into account Proposition 3.16 we see that this fine hierarchy may be considered as the finite version of the Wadge hierarchy. From Theorem 4.9 it follows that  $\{\mathbf{S}_\alpha\}_{\alpha < \varepsilon_0}$  is a subsequence of the Wadge hierarchy. It is not hard to show that  $\mathbf{S}_\alpha = \Sigma_{f(\alpha)}$  for each  $\alpha < \varepsilon_0$  where  $f : \varepsilon_0 \rightarrow \nu$  is a monotone function defined by induction as follows:  $f(0) = 0$  and

$$f(\omega^{\alpha_1} \cdot k_1 + \omega^{\alpha_2} \cdot k_2 + \dots) = \omega_1^{f(\alpha_1)} \cdot k_1 + \omega_1^{f(\alpha_2)} \cdot k_2 + \dots,$$

for any non-empty sequence  $\alpha_1 > \alpha_2 > \dots$  of ordinals  $< \varepsilon_0$ , and for all  $k_i < \omega$  (recall that any non-zero ordinal  $\alpha < \varepsilon_0$  is uniquely representable in the form  $\alpha = \omega^{\alpha_1} \cdot k_1 + \omega^{\alpha_2} \cdot k_2 + \dots$ ).

In [134,137] the reader could find some additional information on applications of the hierarchies discussed in this section in theoretical computer science, and also on relationships between hierarchies in the domain DST to those in the classical DST, via the  $\omega_1$ -terms.

#### 4.5. Hierarchies of $k$ -partitions

Here we consider some hierarchies of the  $\Delta_2^0$ -measurable  $k$ -partitions in the Baire space and domain (with small modifications similar facts hold also for the Cantor space and domain). Sometimes we mention also other spaces.

It is well-known that the difference hierarchy is closely related to limiting “computations” [72]. In [35,113,114] this relationship was used to extend the Ershov hierarchy of subsets of  $\omega$  to the case of functions on  $\omega$ , which applies in particular to the  $k$ -partitions of  $\omega$  (see Section 6.3). In the manuscript [119] (available to several computability theorists) this was extended to functions from the Baire space to  $\omega$ , hence also to the  $k$ -partitions of the Baire space (see also [131,57]). Here we follow a more general approach of [57].

In the next proposition, it is technically convenient to consider not only (total)  $k$ -partitions, as we were doing before, but also partial  $k$ -partitions  $f : X \rightarrow k$  or, more generally, partial functions  $f : X \rightarrow Y$ ; we always assume that the domains of such partial functions are open sets.

**Definition 4.10.** Let  $X, Y$  be spaces and  $\alpha$  an ordinal. A partial function  $f : X \rightarrow Y$  is called  $\alpha$ -continuous, if there is a sequence  $\{f_\beta\}_{\beta < \alpha}$  of continuous partial functions from  $X$  to  $Y$  such that  $\text{dom}(f) = \bigcup_{\beta < \alpha} \text{dom}(f_\beta)$  and  $f(x) = f_\beta(x)$  for each  $x \in \text{dom}(f)$ , where  $\beta$  is the least ordinal satisfying  $x \in \text{dom}(f_\beta)$ .

For a partial function  $f : X \rightarrow Y$  and an element  $y \in Y$ , let  $f^y : X \rightarrow Y$  denote the  $y$ -totalization of  $f$  defined by  $f^y(x) = f(x)$  for  $x \in \text{dom}(f)$  and  $f^y(x) = y$  otherwise. For  $k \geq 2$ ,  $i < k$  and  $\alpha < \omega_1$ , let  $\mathbf{C}_{k,\alpha}^i$  be the set of  $i$ -totalizations of  $\alpha$ -continuous partial functions from  $X$  to  $k$ , and  $\mathbf{C}_{k,\alpha}$  be the set of  $\alpha$ -continuous total functions from  $X$  to  $k$ .

Similar to the corresponding facts in [114,119,131], it is easy to show that for any space  $X$  and any ordinal  $\alpha$  we have  $\Sigma_\alpha^{-1} = \mathbf{C}_{2,\alpha}^0$ ,  $\Pi_\alpha^{-1} = \mathbf{C}_{2,\alpha}^1$  and  $\Delta_\alpha^{-1} = \mathbf{C}_{2,\alpha}$ . This shows that the sequence  $\{\mathbf{C}_{k,\alpha}^i\}_\alpha$ , which we call here the *limit-hierarchy of  $k$ -partitions*, generalizes the difference hierarchy. The next assertion from [61,62,143] shows that main properties of the DH in Section 4.3 are lifted to the limit-hierarchy in a natural way. In particular, it is a  $\bar{k} \times \omega_1$ -hierarchy in the sense of Section 2.8.

**Proposition 4.11.** *Let  $X$  be a Polish space,  $k \geq 2$  and  $i < k$ .*

- (i) *If  $\alpha < \beta < \omega_1$  then  $\mathbf{C}_{k,\alpha} \subseteq \mathbf{C}_{k,\alpha}^i \subseteq \mathbf{C}_{k,\beta}$ .*
- (ii)  $\bigcup_{\alpha < \omega_1} \mathbf{C}_{k,\alpha} = (\Delta_2^0)_k$ .
- (iii) *If  $X$  is non-countable then  $\mathbf{C}_{k,\alpha}^i \not\subseteq \mathbf{C}_{k,\alpha}^j$  for all  $\alpha < \omega_1$  and  $j < k$ ,  $j \neq i$ .*

In [70,61,62,57,58] the authors consider a very relevant *level-hierarchy* that turns out to be related to the analysis of discontinuity problems in computational geometry.

Now let us consider the DH of  $k$ -partition over the  $\sigma$ -reducible base of open sets in the Baire space  $FBH_k(\mathcal{L}) = \{\mathcal{L}(P) \mid P \in \tilde{\mathcal{F}}_k\}$  defined by the  $k$ -forests (see Section 2.8). For  $i < k$  and  $\alpha < \omega_1$ , define a  $k$ -tree  $T_\alpha^i$  by induction on  $\alpha$  as follows:  $T_0^i = i$ ,  $T_{\alpha+1}^i = p_i(T_\alpha^0 \sqcup \dots \sqcup T_\alpha^{k-1})$ , and  $T_\alpha^i = p_i(\bigcup_{\beta < \alpha} T_\beta^0)$  for a limit ordinal  $\alpha$ . It is easy to show that for any Polish space  $X$   $i < k$  and  $\alpha < \omega_1$  we have  $\Sigma_1^0(T_\alpha^i) = \mathbf{C}_{k,\alpha}^i$  and  $\bigcup\{\Sigma_1^0(F) \mid F \in \tilde{\mathcal{F}}_k\} = (\Delta_2^0)_k$ . Thus, the DH is a refinement of the limit-hierarchy.

In [133,143] the following extension of Proposition 4.5(i) was established.

**Theorem 4.12.** *Let  $F \in \tilde{\mathcal{F}}_k$ ,  $F \neq \emptyset$ , and  $\Sigma_1^0 = \Sigma_1^0(\omega^\omega)$ . Then the class  $\Sigma_1^0(F)$  has a Wadge complete  $k$ -partition. Moreover, the DH of  $k$ -partitions in the Baire space does not collapse.*

A similar fact for the reflective domains (but for the  $\tilde{\mathcal{F}}_k$ -hierarchy of  $k$ -partitions in place of the  $\tilde{\mathcal{F}}_k$ -hierarchy above!) was established in [141]:

**Theorem 4.13.** *In any reflective domain  $X$ , the DH of  $k$ -partitions does not collapse, i.e., for all  $S, T \in \tilde{\mathcal{F}}_k$ ,  $\Sigma_1^0(S) \subseteq \Sigma_1^0(T)$  iff  $S \leq T$ . Moreover, any level of the DH has a Wadge complete  $k$ -partition.*

In particular, the last result applies to the Baire and Cantor domains.

#### 4.6. Wadge degrees of $k$ -partitions

Here we consider the Wadge reducibility of  $k$ -partitions for the Baire and Cantor spaces and domains. To our knowledge, the first result about the Wadge reducibility of  $k$ -partitions of the Baire and Cantor spaces is Theorem 3.2 in [37]. The following assertion is a particular case of that deep result.

**Theorem 4.14.** *Let  $X \in \{\omega^\omega, 2^\omega\}$ . Then the structure  $(\mathbf{B}(X)_k; \leq_W)$  of Borel-measurable  $k$ -partitions is a well preorder.*

This assertion gives important information about  $(\mathbf{B}(X)_k; \leq_W)$  but it says nothing about the structure of the well preorder. Let us introduce some algebraic structure on this preorder. For a  $k$ -partition  $\nu$  of  $\omega^\omega$  and  $i < k$ , define a  $k$ -partition  $p_i(\nu)$  of  $\omega^\omega$  as follows:  $[p_i(\nu)](\xi) = i$ , if  $\xi \notin 0^*1\omega^\omega$ , and  $[p_i(\nu)](\xi) = \nu(\eta)$ , if  $\xi = 0^n1\eta$  (here we use the self-evident notation in the style of regular expressions in automata theory). Note that the definition of  $p_i$  applies also to the Cantor space. The next fact was established in [133,137].

**Theorem 4.15.** (i) *The quotient-structures of the structures  $(k^{\omega^\omega}; \leq_W, \oplus, p_0, \dots, p_{k-1})$  and  $((\Delta_2^0)_k; \leq_W, \oplus, p_0, \dots, p_{k-1})$  in the Baire space are dc $\sigma$ -semilattices, and in the Cantor space they are dc-semilattices.*

(ii) *The quotient structure of  $((BC(\Sigma_1^0))_k; \leq_W, \oplus, p_0, \dots, p_{k-1})$  in the Baire and Cantor spaces is a dc-semilattice.*

Similar facts (but for a bit different algebraic notions from Section 2.5) were established in [135] for some classes of domains:

**Theorem 4.16.** (i) *Let  $X$  be a reflective domain and  $\mathcal{P}_i = \{\nu \in k^X \mid \nu(\perp) = i\}$  for any  $i < k$ . Then  $(k^X; \leq_W, \mathcal{P}_0, \dots, \mathcal{P}_{k-1})$  is a  $\sigma$ -dws.*

(ii) *Let  $X$  be a 2-reflective domain. Then the structure  $(k^X; \leq_W, \{\mathcal{P}_i^j\}_{i,j < k})$  where  $\mathcal{P}_i^j = \{\nu \in k^X \mid \nu(\perp) = i \wedge f(\top) = j\}$  for all  $i, j < k$ , is a 2-dws.*

As an immediate corollary of Theorems 4.16, 2.5 and Proposition 2.6 we obtain

**Theorem 4.17.** *Let  $X$  be a reflective or a 2-reflective domain,  $k \geq 3$  and  $\mathcal{C}$  be one of the classes  $P(X)$ ,  $\mathbf{B}$ ,  $BC(\Sigma_1^0)$ ,  $\Sigma_n^0$ ,  $\Pi_n^0$ ,  $BC(\Sigma_n^0)$ ,  $\Delta_{n+1}^0$  in  $X$ , where  $n > 1$ . Then  $FO(\mathcal{C}_k; \leq_W)$  is hereditary undecidable.*



Now we turn to characterizing some ideals of the Wadge preorder in the Baire space and domain (with small modifications similar facts hold also for the Cantor space and domain). P. Hertling (see Satz 6.2 b) in [60] and Theorem 2.2.4 in [61]) characterized the structure of the Wadge degrees of partial  $BC(\Sigma_1^0)$ -measurable  $k$ -partitions defined in the obvious way. The Wadge reducibility of such partitions is just the reducibility by continuous partial functions. From the proof in [60,61] and from [133] we obtain the following:

**Theorem 4.18.** *Let  $X \in \{\omega^\omega, 2^\omega\}$ . Then the quotient structure of  $((BC(\Sigma_1^0))_k; \leq_W, \oplus, p_0, \dots, p_{k-1})$  is isomorphic to that of  $(\mathcal{F}_k \setminus \{\emptyset\}; \leq, \sqcup, p_0, \dots, p_{k-1})$ .*

In [143] this was generalized to the case of  $\Delta_2^0$ -measurable  $k$ -partitions. We formulate the corresponding fact only for the Baire space:

**Theorem 4.19.** *The quotient structure of the dc $\sigma$ -semilattice  $((\Delta_2^0(\omega^\omega))_k; \leq_W, \oplus, p_0, \dots, p_{k-1})$  is isomorphic to the quotient structure of  $(\tilde{\mathcal{F}}_k \setminus \{\emptyset\}; \leq, \sqcup, p_0, \dots, p_{k-1})$ .*

The next result from [60,141] characterizes the  $\Delta_2^0$ -measurable  $k$ -partitions  $\nu$  in terms of their ranks  $rk(\nu)$  in the well preorder  $((\mathbf{B}(\omega^\omega))_k; \leq_W)$ .

**Theorem 4.20.** *Let  $\nu$  be a Borel measurable  $k$ -partition of the Baire space.*

- (i)  $\nu$  is  $\Delta_2^0$ -measurable iff  $rk(\nu) < \omega_1$ .
- (ii)  $\nu$  is  $BC(\Sigma_1^0)$ -measurable iff  $rk(\nu) < \omega$ .

Theorems 4.18–4.20, 4.12 and 4.13 provide the full extension of the theory of DH over the open sets in the Baire and Cantor spaces to the DH of  $k$ -partitions.

The DH of  $k$ -partitions in the Baire and Cantor domains is also characterized completely by the following result from [141].

**Theorem 4.21.** *Let  $X$  be the Baire or a Cantor domain. Then the quotient structure of  $((\Delta_2^0(X))_k; \leq_W)$  is isomorphic to the quotient structure of  $(\tilde{\mathcal{F}}_k; \leq)$  while the quotient structure of  $((BC(\Sigma_1^0(X)))_k; \leq_W)$  is isomorphic to that of  $(\mathcal{F}_k; \leq)$ .*

The next result follows from Theorems 4.19, 4.18, 4.21 and Proposition 2.11.

**Corollary 4.22.** *For the Baire and Cantor spaces and domains there hold:*

- (i)  $Aut(BC(\Sigma_1^0); \leq_W) \simeq \mathbf{S}_2^\omega$  and  $Aut(\Delta_2^0; \leq_W) \simeq \mathbf{S}_2^{\omega_1}$ .
- (ii) For any  $k \geq 3$ ,  $Aut((BC(\Sigma_1^0))_k; \leq) \simeq \mathbf{S}_k \simeq Aut((\Delta_2^0)_k; \leq)$ .

The next result follows from Theorems 4.19, 4.18, 4.21 and Proposition 2.12.

**Corollary 4.23.** *For the Baire and Cantor spaces and domains there hold:*

- (i) For any  $k \geq 3$ , the theory  $FO((BC(\Sigma_1^0))_k; \leq_W)$  is undecidable and, moreover, it is computably isomorphic to the first-order arithmetic  $FO(\omega; +, \cdot)$ .
- (ii) For any  $k \geq 3$ ,  $FO((\Delta_2^0)_k; \leq_W)$  is undecidable and, moreover, the theory  $FO(\omega; +, \cdot)$  is  $m$ -reducible to  $FO((\Delta_2^0)_k; \leq_W)$ .

#### 4.7. Future work

By Section 4.3, the status of the Hausdorff–Kuratowski theorem for domains (even for the Baire and Cantor domains) is unclear, i.e. it is not known whether the DH over  $\Sigma_\alpha^0$  for  $\alpha > 1$  exhausts  $\Delta_{\alpha+1}^0$ .

In the last two subsections we completely characterized the structure of  $\Delta_2^0$ -measurable  $k$ -partitions in the Baire and Cantor spaces and domains. Outside  $\Delta_2^0$ -measurable  $k$ -partitions nothing seems to be known for  $k > 2$ . At the same time, in the case of sets  $k = 2$  the structure of the Wadge degrees in the Baire space is characterized completely [171]. It seems interesting to extend all results from [171] to arbitrary  $k$ . The main obstacle here is that all the main facts in [171] are proved using the Martin determinacy theorem, and it is not clear (at least to the author) how to use the game-theoretic approach for  $k > 2$ . Hence, it may turn out that we have to develop a completely different technique (e.g., one could try to generalize methods used in [60,61,141,143] for the  $\Delta_2^0$ -measurable  $k$ -partitions).

Another interesting direction is to prove as many as possible results of this section in more abstract form (ideally, for the general context of Section 3). For some results (e.g. for the result from [92] about the reduction property of the DH's) this does not seem impossible.

## 5. Logic

In this section we briefly discuss fine hierarchies in the context of first-order logic. This field is important for the hierarchy theory because many concrete versions of the fine hierarchies are variants (obtained e.g. by restricting the class of structures under consideration) of the quantifier-alternations hierarchy of formulas and its refinements.

Investigation of the first-order logical hierarchies was initiated by Addison [2–5] as a part of his program to develop a general “hierarchy theory” unifying the study of hierarchies in DST, computability theory and logic. An essential early contribution to this field is [78]. Later contributions are [171,90,117].

We would like to stress that we confine our consideration here to the hierarchies of sentences of the first-order logic. There are also many relevant papers dealing with similar hierarchies in the infinitary logic (most notably, in  $L_{\omega_1, \omega}$ , see e.g. [72,168]); this work is closely related to classical DST and model theory of infinitary logic but apparently not to theoretical computer science. In contrast, the hierarchies in first order logic are closely related e.g. to automata theory and complexity theory, as we will see later.

### 5.1. Bases

We assume the reader to be familiar with the basic notions of first-order logic which may be found in any of the numerous textbooks on the subject. For simplicity, we restrict our attention to finite relational signatures  $\sigma$  (i.e., finite signatures without functional and constant symbols). With any first-order theory  $T$  of signature  $\sigma$  we associate the  $\omega$ -base  $L^\sigma(T) = \{\Sigma_{k+1}^0(T)\}_{k < \omega}$  in the Lindenbaum algebra  $B_\sigma(T)$  of  $\sigma$ -sentences modulo  $T$  (see Section 2.1), where  $\Sigma_{k+1}^0(T)$  is the set of (equivalence classes of) sentences of  $\sigma$  equivalent in the theory  $T$  to a  $\Sigma_{k+1}^0$ -sentence. (Recall that we can, using the Stone representation theorem, apply the notions from Section 2 also to subsets of Boolean algebras.) For the empty theory  $T$  we denote the  $\omega$ -base  $L^\sigma(T)$  just by  $L^\sigma$ . Note that the restriction to sentences is not essential: we could similarly consider the case of formulas with a fixed finite list of free variables.

Properties of the  $\omega$ -base  $L^\sigma(T)$  depend of course on the theory  $T$ , e.g. it may collapse. As is well-known, the  $\omega$ -base  $L^\sigma$  does not collapse iff  $\sigma$  contains at least one non-unary predicate symbol. From a version of the Craig interpolation theorem we obtain the following result (see [78,146,117]).

**Theorem 5.1.** *Let  $T$  be axiomatizable by  $\Pi_2^0$ -sentences. Then the  $\omega$ -base  $L^\sigma(T)$  is interpolable. If, in addition,  $T$  has a model embeddable in any model of  $T$ , then the largest element is join-irreducible in  $(L_0^\sigma(T); \cup)$ .*

In [78] it was shown that for most signatures none of the levels  $L_n^\sigma$ ,  $\text{co-}L_n^\sigma$ ,  $n < \omega$ , of the  $\omega$ -base  $L^\sigma$  has the reduction property.

### 5.2. Difference hierarchies

Here we discuss the DH's  $\{\Sigma_n^0(m)\}_m$  over levels of the  $\omega$ -base  $L^\sigma(T)$ . From the general facts in Section 3.1 we obtain the usual inclusion relations between levels of the DH's. The main fact of this section is a characterization [118] of the DH's in terms of alternating chains, in the spirit of Proposition 3.5.

For a  $\sigma$ -structure  $\mathbf{A}$ , let  $\sigma_{\mathbf{A}}$  denote the signature consisting of all  $\sigma$ -symbols and new constant symbols  $c_a$ , for each  $a \in A$ . If  $\mathbf{A}$  is a substructure of  $\mathbf{B}$  ( $\mathbf{A} \subseteq \mathbf{B}$ ), then let  $\mathbf{B}_{\mathbf{A}}$  denote the  $\sigma_{\mathbf{A}}$ -structure that coincides with  $\mathbf{B}$  on  $\sigma$  and interprets  $c_a$  as  $a$ . For any  $n < \omega$ , let  $\mathbf{A} \subseteq_n \mathbf{B}$  denote that any  $\Delta_{n+1}^0$ -sentence (i.e., a sentence equivalent simultaneously to a  $\Sigma_{n+1}^0$ -sentence and to a  $\Pi_{n+1}^0$ -sentence) of signature  $\sigma_{\mathbf{A}}$  has the same value in  $\mathbf{A}_{\mathbf{A}}$  and  $\mathbf{B}_{\mathbf{A}}$ .

**Theorem 5.2.** *Let  $T$  be a theory and  $n, m > 0$ . Then  $\Sigma_n^0(m)$  coincides with the set of sentences  $\phi$  of  $\sigma$  such that there is no sequence  $\mathbf{A}_0 \subseteq_n \dots \subseteq_n \mathbf{A}_m$  of  $T$ -models with  $\phi \models \mathbf{A}_{2i}$  and  $\phi \not\models \mathbf{A}_{2i+1}$ .*

This generalizes the following fact on the DH over  $\Sigma_1^0(T)$  from in [5]:  $\Sigma_1^0(m)$  coincides with the set of sentences  $\phi$  such that there is no sequence  $\mathbf{A}_0 \subseteq \dots \subseteq \mathbf{A}_m$  of  $T$ -models with  $\phi \models \mathbf{A}_{2i}$  and  $\phi \not\models \mathbf{A}_{2i+1}$ .

Using the theorem above and the technique of Ehrenfeuch–Fraïssé games it may be shown that the long DH over  $L^\sigma$  does not collapse, provided that  $\sigma$  contains a non-unary predicate symbol.

### 5.3. Fine hierarchy

Here we briefly discuss the fine hierarchy  $\{S_\alpha(T)\}_{\alpha < \varepsilon_0}$  over the  $\omega$ -base  $L^\sigma(T)$  which we call the fine hierarchy of sentences modulo  $T$ . For  $T = \emptyset$  we denote the levels of fine hierarchy just by  $S_\alpha$ .

From Theorem 5.1 and results in Section 3.4 we immediately obtain

**Theorem 5.3** ([118]). *Let  $T$  be axiomatizable by  $\Pi_2^0$ -sentences. Then the fine hierarchy of sentences modulo  $T$  is perfect in all limit levels. If, in addition,  $T$  has a model embeddable in any model of  $T$ , then the fine hierarchy of sentences over  $T$  is perfect.*

The next result from [118] gives a model-theoretic characterization of the classes  $S_\alpha(T)$  in the spirit of the alternating trees in Section 3.4. Let  $\tau \in \omega^*$  and  $\phi$  be a sentence of signature  $\sigma$ . By a  $\tau$ -alternating tree for  $\phi$  in  $T$  we mean a family  $\{\mathbf{A}_\rho \mid \rho \in 2^*, |\rho| \leq |\tau|\}$  of  $T$ -models such that  $\mathbf{A}_\rho \subseteq_{\tau(|\rho|)} \mathbf{A}_{\rho k}$  for all  $|\rho| < |\tau|$  and  $k < 2$ ,  $\phi$  is true in  $\mathbf{A}_\emptyset$ ,  $\mathbf{A}_{\rho 0}$ , and  $\phi$  is false in  $\mathbf{A}_{\rho 1}$  for  $|\rho| < |\tau|$ . Let  $\tau_\alpha$  be the string defined before Theorem 3.19.

**Theorem 5.4.** *For any theory  $T$  and any  $\alpha < \varepsilon_0$ , the set  $S_\alpha(T)$  coincides with the set of sentences of signature  $\sigma$  that do not have  $\tau_\alpha$ -alternating trees in  $T$ .*

#### 5.4. Relation to classical DST

There is a well-known relation of logic to topology [72] given by the map sending a first order sentence  $\phi$  of a finite relational signature  $\sigma$  to the class  $\mathcal{M}_\phi$  of all countable models of  $\phi$ . Via a natural coding, the class of all countable  $\sigma$ -structures is identified with the Cantor space. Thus, we obtain a map  $\phi \mapsto \mathcal{M}_\phi$  from the Lindenbaum algebra  $B_\sigma$  of  $\sigma$ -sentences to  $P(2^\omega)$ . In [171], it was conjectured that the rank of the preorder  $(\{\mathcal{M}_\phi \mid \phi \in B_\sigma\}; \leq_W)$  is  $\varepsilon_0$ , under suitable assumptions about  $\sigma$ . Here we sketch the positive answer announced in [127].

It is easy to see that, for any theory  $T$  of signature  $\sigma$  and any  $n > 0$ ,  $\phi \in \Sigma_n^0(T)$  implies  $\mathcal{M}_\phi \in \Sigma_n^0$ . By Proposition 3.14(iii),  $\phi \in S_\alpha(T)$  implies  $\mathcal{M}_\phi \in \mathbf{S}_\alpha$  where  $\{\mathbf{S}_\alpha\}_{\alpha < \varepsilon_0}$  is the fine hierarchy over the  $\omega$ -base  $\{\Sigma_{n+1}^0\}$  in  $2^\omega$  from Section 4.4. From results of the previous subsection and from [90] it follows that in fact  $\phi \in S_\alpha$  is equivalent to  $\mathcal{M}_\phi \in \mathbf{S}_\alpha$ , for each  $\alpha < \varepsilon_0$ . Thus, by Theorem 5.3, the rank of  $(\{\mathcal{M}_\phi \mid \phi \in B_\sigma\}; \leq_W)$  is at most  $\varepsilon_0$ . Using Ehrenfeucht–Fraïssé games, it is possible to find a finitely axiomatizable theory  $T$  such that the fine hierarchy  $\{S_\alpha(T)\}_{\alpha < \varepsilon_0}$  does not collapse. By standard coding technique it is then not hard to show that if  $\sigma$  contains a non-unary predicate then the fine hierarchy of  $\sigma$ -sentences has rank at least  $\varepsilon_0$ . Thus, for such signatures the rank of  $(\{\mathcal{M}_\phi \mid \phi \in B_\sigma\}; \leq_W)$  is  $\varepsilon_0$  and the conjecture is true.

#### 5.5. Future work

There are several natural open questions related to this section. E.g., it would be interesting to have examples of natural theories  $T$  such that the  $\omega$ -base  $L^\sigma(T)$  does not collapse and for any  $n$  at least one of the classes  $L^\sigma(T)$ ,  $co\text{-}L^\sigma(T)$  has the reduction property. We do not currently know a notion of  $m$ -reducibility that fits the hierarchies considered in this section.

We would like also to see some results on the typed Boolean “hierarchy” and on the hierarchies of  $k$ -partitions in the context of logic.

### 6. Computability theory

In this section we discuss fine hierarchies and reducibilities in computability theory. These hierarchies and reducibilities are important because they provide efficient tools for classifying degrees of undecidability of many interesting decision problems in logic and theoretical computer science. This branch is interesting also for historical reasons because the different notions of reducibility appeared first in this context. Finally, computability theory provides a bridge between the topological approach of DST and combinatorial approaches used e.g. in complexity theory and automata theory. The first contributors to this field were S. Kleene, A. Mostowski and E. Post in the 1940-s who initiated the investigation of hierarchies and reducibilities in computability theory. In particular, a close relationship of hierarchies in computability theory to those in DST was observed; this was the first evidence that such a subject as hierarchy theory might really exist. The difference hierarchy in the context of computability theory was developed by Yu.L. Ershov in the 1960-s (some related facts were obtained by H. Putnam). The fine hierarchy was discovered by the author in [112] also in the context of computability theory and later, after acquaintance with the Wadge hierarchy, was developed in the abstract setting [115,122,124].

#### 6.1. Preliminaries

We assume that the reader is familiar with the main notions of computability theory and simply recall some notation and not broadly known definitions. For more details the reader may use any of the many available books on the subject, e.g. [107,66,95,148,100].

If not specified otherwise, all functions are assumed in this section to be functions on  $\omega$ , and all sets to be subsets of  $\omega$ . Thus, for an  $n$ -ary partial function  $\phi$ , we have  $\text{dom}(\phi) \subseteq \omega^n$  and  $\text{rng}(\phi) \subseteq \omega$ . Instead of  $(x_1, \dots, x_n) \in \text{dom}(\phi)$  ( $(x_1, \dots, x_n) \notin \text{dom}(\phi)$ ) we sometimes write  $\phi(x_1, \dots, x_n) \downarrow$  (respectively,  $\phi(x_1, \dots, x_n) \uparrow$ ). We assume the reader to be familiar with the computable partial (c.p.) functions, computable (total) functions and computably enumerable (c.e.) sets. For any  $n > 1$ , there is a computable bijection  $\lambda x_1, \dots, x_n. (x_1, \dots, x_n)$  (the Cantor coding function) between  $\omega^n$  and  $\omega$ . This fact reduces many considerations to the case of unary functions and predicates.

In computability theory and theoretical computer science people use similar computable codings for many types of constructive objects. E.g., there is a computable bijection between  $\omega^*$  (or  $2^*$ ) and  $\omega$ . Another coding is used to define the main object of computability theory — the acceptable numbering of all c.p. functions. Namely, let  $\{P_n\}_{n < \omega}$  be a computable numbering of all programs in a suitable formal language (say programs for Turing machines or Pascal-programs working

only with the natural numbers). Furthermore, let  $\varphi_n$  be the (unary) c.p. function and  $\pi_n = \text{dom } \varphi_n$  the c.e. set computed by  $P_n$ . In this way we obtain the numbering  $\varphi = \lambda n. \varphi_n$  of c.p. functions and the numbering  $\pi = \lambda n. \pi_n$  of c.e. sets. Following Mal'cev [93], we call these numberings the Kleene and Post numberings, respectively. Another well-known relevant example is the Gödel numbering of the first-order sentences (formulas, terms) of a given finite signature.

We assume the reader to be acquainted with the computations relative to a given set  $A \subseteq \omega$  or a function  $\xi \in \omega^\omega$  (which in this situation are often called *oracles*). E.g., such computations may be formally defined using Turing machines with oracles. Enumerating all programs for such machines we obtain numberings  $\varphi^A$  ( $\varphi^\xi$ ) of all partial functions computable in  $A$  (in  $\xi$ ). We call these numberings relativizations of the Kleene numbering. Similarly one can define the relativized Post numberings  $\pi_n^\xi = \text{dom } \varphi_n^\xi$ . These objects may be used to transfer the computability theory to the Baire and Cantor spaces. E.g., a partial function  $\Phi$  from  $\omega^\omega$  to  $\omega$  is called computable if there is an  $n$  such that  $\Phi = \lambda \xi. \varphi_n^\xi(0)$ . A partial function  $\Phi$  from  $\omega^\omega$  to  $\omega^\omega$  is called computable if there is an  $n$  such that  $\Phi(\xi) = \lambda x. \varphi_n^\xi(x)$  for all  $\xi$ . E.g., the bijections  $(\xi, x) \mapsto \langle \xi, x \rangle$  between  $\omega^\omega \times \omega$  and  $\omega^\omega$  and  $(\xi, \eta) \mapsto \langle \xi, \eta \rangle$  between  $\omega^\omega \times \omega^\omega$  and  $\omega^\omega$  defined by  $\langle \xi, x \rangle = x \smallfrown \xi$  and by  $\langle \xi, \eta \rangle(2x) = \xi(x)$  and  $\langle \xi, \eta \rangle(2x+1) = \eta(x)$ , as well as their inverses, are computable. Any computable function is continuous (as topology on  $\omega$  we take the discrete topology). In this way, we obtain a reasonable computability theory in the Baire and Cantor spaces and some of their relatives [107,66,95].

Since different numberings like those mentioned above are met everywhere in theoretical computer science, we recall a couple of relevant general notions (for more details see e.g. [93,39–41,129]). By *numbering* we mean any function  $v$  with  $\text{dom}(v) = \omega$ , and by *numbering of a set  $S$*  – any numbering  $v$  with  $\text{rng}(v) = S$ . By  *$v$ -index set* of a set  $M \subseteq \text{rng}(v)$  we mean the preimage  $v^{-1}(M)$ . Many natural decision problems are represented as index sets of some numberings. E.g., the decision problems related to c.p. functions, c.e. sets and first-order sentences correspond to the index sets of the Kleene, Post and Gödel numberings, respectively. We say that a numbering  $\mu$  is *reducible* to a numbering  $v$  (in symbols  $\mu \leq v$ ), if  $\mu = v \circ f$  for some computable function  $f$ , and that  $\mu$  is *equivalent* to  $v$  ( $\mu \equiv v$ ), if  $\mu \leq v$  and  $v \leq \mu$ . Relate to any numberings  $\mu, v$  and to any sequence of numberings  $\{v_k\}_{k < \omega}$  the numberings  $\mu \oplus v$ , and  $\bigoplus_k v_k$ , called respectively *join of  $\mu$  and  $v$*  and *infinite join of  $v_k$  ( $k < \omega$ )* defined as follows:

$$(\mu \oplus v)(2n) = \mu n, (\mu \oplus v)(2n+1) = v n, \left( \bigoplus_k v_k \right) \langle x, y \rangle = v_x(y).$$

We call a numbering  $v : \omega \rightarrow S^\omega$  *acceptable* if  $\text{rng}(v)$  is closed downwards under  $\leq$ ,  $(\bigoplus_k v_k) \in \text{rng}(v)$  and for some computable function  $s$  it holds  $v_k \langle n, x \rangle = v_{s(k,n)}(x)$  (the last property is an abstract version of a particular case of the *smn*-theorem). It is well-known and easy to see that any two acceptable numberings with the same range are equivalent, and that Kleene and Post numberings are acceptable. Notice the close similarity of acceptable numberings to the acceptable codings  $v : \omega^\omega \rightarrow P(\omega^\omega)$  from Section 4.1. Using the bijection  $\omega^\omega \times \omega$  and  $\omega^\omega$  defined above one can define in a similar fashion acceptable numberings of the form  $v : \omega \rightarrow S^{\omega^\omega}$ , and, in particular, of the form  $v : \omega \rightarrow P(\omega^\omega)$ , i.e. of subsets of the Baire space.

Another very relevant notion is that of complete numbering. A numbering  $v$  is *complete* (w.r.t. a fixed  $a \in \text{rng}(v)$ ), if for any c.p. function  $\psi$  there is a computable function  $t$  (called a  *$v$ -totalizer* of  $\psi$  w.r.t.  $a$ ) such that  $vt(x) = v\psi(x)$  for  $\psi(x) \downarrow$  and  $vt(x) = a$  for  $\psi(x) \uparrow$ . E.g., the Kleene numbering is complete w.r.t. the empty function and the Post numbering is complete w.r.t. the empty set. For more on the complete numberings and their relatives see [93,41,129]. For any set  $S$  and any  $a \in S$ , define a unary operation  $p_a$  on  $S^\omega$  as follows:  $[p_a(v)]n = a$  for  $v(n) \uparrow$  and  $[p_a(v)]n = vv(n)$  for  $v(n) \downarrow$ , where  $v$  is the universal p.c. function  $v \langle n, x \rangle = \varphi_n(x)$ . These *completion operations* were introduced in [110] as a variant of similar operations from [41]. They are very relevant to fine hierarchies as we will see below and as the following result from [110] demonstrates.

**Theorem 6.1.** *The structure  $(S^\omega; \leq, \oplus, \{p_a\}_{a \in S})$  is a dc-semilattice.*

We conclude this subsection by recalling the Kleene notation system for computable ordinals. By *computable linear order* we mean a linear order  $(P; \leq)$  where  $P$  is a computable subset of  $\omega$  and the relation  $\leq$  is computable (the case  $P = \emptyset$  is also possible). An ordinal is called computable if it is isomorphic to a computable well order. Clearly, if  $\alpha$  is a computable ordinal and  $\beta < \alpha$  then  $\beta$  is also computable. The first non-computable ordinal denoted  $\omega_1^{\text{CK}}$  is known as the Church–Kleene ordinal.

Let  $\leq$  be the least (with respect to inclusion) preorder on a subset of  $\omega$  such that the following conditions hold:  $1 \leq x$ ,  $x < 2^y \Leftrightarrow x \leq y$  for all  $y \neq 0$  and  $x < 3 \cdot 5^e \Leftrightarrow \exists n(\varphi_e(n) \downarrow \wedge x < \varphi_e(n))$ .

The predicate  $\leq$  is c.e., and  $x \leq y$  implies  $x, y \in \{2^b, 3 \cdot 5^e \mid b, e < \omega\}$ . Let  $M$  be the set of all  $a$  such that  $S_a = \{x \mid x \leq a\}$  is linearly ordered by  $\leq$  and  $\forall n(\varphi_e(n) \leq a)$  for all  $3 \cdot 5^e \leq a$ . Let  $\leq_o$  denote the restriction of the relation  $\leq$  to the set  $O = \{a \in M \mid (S_a; \leq) \text{ is well founded}\}$  and let  $|a|_o$  denote the order type of the well-order  $(\{x \leq x <_o a\}; \leq_o)$ . It is clear that the partial order  $(O; \leq_o)$  is well founded,  $|1|_o = 0$ ,  $|2^b|_o = |b|_o + 1$  and  $|3 \cdot 5^e|_o = \sup\{\varphi_e(n)_o \mid n < \omega\}$  (see Fig. 10). The structure  $(O; \leq_o)$  is called the *Kleene notation system* for computable ordinals. It is well-known that an ordinal is computable iff it has a notation in the Kleene system. In other words,  $a \mapsto |a|_o$  is a surjection from  $O$  onto  $\omega_1^{\text{CK}}$ .

By  $(O^\xi; \leq_{O^\xi})$  we denote the relativization of the Kleene system to any given oracle  $\xi$ . This relativized Kleene system give notation to all ordinals computable in  $\xi$ .

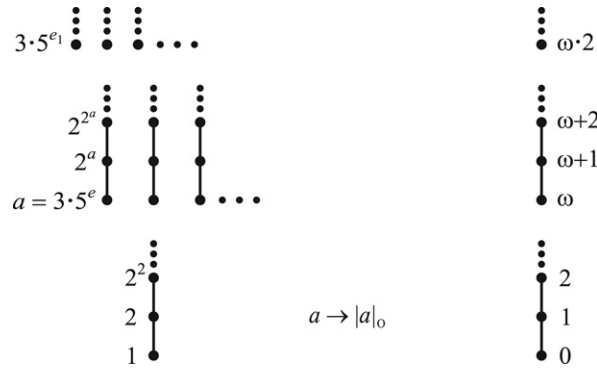


Fig. 10. The Kleene system.

## 6.2. Bases and reducibilities

Let us define an effective version of the Borel hierarchy developed in [111,113,114,137] (several other related treatments of the effective Borel hierarchy are known, see e.g. in [107,95,66,150]). Following the tradition of DST, we denote levels of the effective hierarchies in the same manner as levels of the corresponding classical hierarchies, using the lightface letters  $\Sigma$ ,  $\Pi$ ,  $\Delta$  instead of the boldface  $\Sigma$ ,  $\Pi$ ,  $\Delta$  used in the classical DST.

Let  $\beta : \omega \rightarrow P(M)$  be a numbering of subsets of an arbitrary set  $M$  such that  $(rng(\beta); \cup, \cap, \neg, \emptyset, M)$  is a Boolean algebra and the operations  $\cup, \cap, \neg$  are presented by computable functions on the  $\beta$ -indices. *Finite effective Borel hierarchy* over  $\beta$  is the sequence  $\{\Sigma_n^0\}_{n < \omega}$  defined as follows:  $\Sigma_0^0 = \{\emptyset\}$ ;  $\Sigma_1^0$  is the class of sets  $\bigcup \{\beta_k \mid k \in \pi_x\}$ ,  $x \in \omega$ , equipped with the numbering induced by the Post numbering,  $\Sigma_n^0$  ( $n > 1$ ) is the class of sets  $\bigcup \{\gamma_k \mid k \in \pi_x\}$ ,  $x \in \omega$ , equipped again with the numbering induced by  $\pi$ , where  $\gamma$  is the numbering of  $\Pi_{n-1}^0$  induced by the numbering of  $\Sigma_{n-1}^0$  (which exists by induction).

It is easy to check that  $\{\Sigma_n^0\}_{n < \omega}$  is a reducible  $\omega$ -base. In the case when  $\beta$  is the natural numbering of the finite and co-finite subsets of  $\omega$  we obtain an  $\omega$ -base in  $\omega$  that coincides with the well-known *arithmetical hierarchy*. As the name suggests, this hierarchy coincides with the quantifier-alternation hierarchy in the structure  $(\omega; +, \cdot, 0, 1)$ . In the case when  $\beta$  is the natural numbering of the clopen sets in  $\omega^\omega$  (or  $2^\omega$ ) we obtain the  $\omega$ -base known as the *arithmetical hierarchy* in the Baire (respectively, Cantor) space. Similar  $\omega$ -bases may be constructed in many spaces with suitable effectivity conditions (e.g. in the effective reflective or 2-reflective domains defined in a natural way), see [137]. The hierarchies just introduced are the main  $\omega$ -bases for this section. One could also construct relativized (to any oracle  $\xi$ ) versions of those bases: just take the relativized Post numbering  $\pi^\xi$  in place of  $\pi$ . All the mentioned  $\omega$ -bases in  $\omega$ , in the Baire and Cantor spaces [107], and also in any effective reflective or 2-reflective domain [137] do not collapse.

The transfinite extension of the hierarchy  $\{\Sigma_n^0\}_{n < \omega}$  is also constructed in the natural way [107,111]. Of course, we cannot hope to get natural  $\omega_1$ -hierarchies this way, because the standard definition from DST would destroy the main idea of effectivity of the constructed hierarchies. In fact, we have to take an effective version of the DST-construction, and this leads to using the Kleene notation system  $(O; <_O)$  for constructive ordinals in place of  $\omega_1$  in DST. Levels of the transfinite version (denoted  $\Sigma_a^0$  for all  $a \in O$ ) are defined in the same way as for the finite levels, using effective induction along the well-founded set  $(O; <_O)$  [107]. In order to avoid some tedious technical details we omit the formal definition here. The simplest properties of the effective Borel hierarchy  $\{\Sigma_a^0\}_{a \in O}$  are proved in a straightforward way [111].

**Proposition 6.2.** *If  $1 \leq |a|_O < \omega$  then  $\Sigma_{(a)}^0 = \Sigma_{|a|_O}^0$  and if  $a <_O b$  then  $\Sigma_{(a)}^0 \subseteq \Sigma_{(b)}^0$ .*

The hierarchy  $\{\Sigma_a^0\}_{a \in O}$  in  $\omega$  and  $\omega^\omega$  is commonly known as the *hyperarithmetical hierarchy*. It does not collapse and is an exhausting refinement of the *analytical hierarchy* (which is denoted  $\{\Sigma_n^1\}_{n < \omega}$ ) in the first level, i.e.  $\bigcup_{a \in O} \Sigma_{(a)}^0 = \Delta_1^1$  (see e.g. [107,95]); the analytical hierarchy is the effective version of the projective hierarchy. This remarkable equality due to S. Kleene is the effective version of the Suslin theorem. We do not define the projective and analytical hierarchies in this paper though they are certainly the most prominent examples of the coarse hierarchies.

**Remark.** Note that the hyperarithmetical hierarchy is not formally a hierarchy in the sense of Section 2.7 because its levels are “numbered” by ordinal notations and not by ordinals. This is not essential for the hyperarithmetical hierarchy because it is known to be extensional, i.e.  $\Sigma_{(a)}^0 = \Sigma_{(b)}^0$  for all  $a, b \in O$ ,  $|a|_O = |b|_O$ . But the transfinite fine hierarchies discussed below are non-extensional, hence our definition of hierarchy, strictly speaking, does not apply for them. It is not hard to adjust the definition to include also such hierarchies along well founded partial orders like  $(O; <_O)$  (see below).

Computability theory aims to classify sets and functions according to their “algorithmic complexity”. One of main tools for this classification is the concept of reducibility. Intuitively, a set  $A \subseteq \omega$  is reducible to a set  $B \subseteq \omega$  if one can “reduce” questions “ $a \in A$ ?” to questions “ $b \in B$ ?”. There are many explications of this idea, the most useful of which are the notions of  $m$ - and  $T$ -reducibilities. The  $m$ -reducibility denoted  $\leq_m$  is just the many-one reducibility by computable functions. A set  $A$  is called Turing-reducible ( $T$ -reducible) to  $B$  (in symbols,  $A \leq_T B$ ) if  $A$  is computable in  $B$ , i.e.  $A = \varphi_n^B$  for some  $n$ .



The  $m$ -reducibility  $\leq_m^\xi$  by functions computable in a given oracle  $\xi$  is sometimes also of interest to our topic. Note that the reducibility of numberings from the preceding subsection subsumes  $m$ -reducibility of sets, as well as of  $k$ -partitions for each  $k > 1$ .

It is well-known that the arithmetical and hyperarithmetical hierarchies in  $\omega$ ,  $\omega^\omega$  and  $2^\omega$  fit the  $m$ -reducibility. In fact, they have the stronger property that all their non-self-dual levels are *effective pointclasses*, that is they have acceptable numberings (cf. with the notion of classical pointclass in the previous section). Since, by Section 6.1, the acceptable numberings are unique up to equivalence, we can denote them by the same letters as the pointclasses. It is well-known that any effective pointclass is non-self-dual. Actually, all levels of the fine hierarchies in  $\omega$ ,  $\omega^\omega$  we consider below in this section will be effective pointclasses (acceptable numberings are constructed easily from definitions of the hierarchies), and hence they “automatically” do not collapse.

We summarize the remarks above in the following definitions that adjust the notion of abstract hierarchy to the context of computability theory. *Effective  $\omega$ -hierarchy* is a sequence  $\{\Sigma_n\}_{n < \omega}$  of effective pointclasses equipped with acceptable numberings satisfying  $\Sigma_n \oplus \Pi_n \leq \Sigma_{n+1}$ , uniformly in  $n < \omega$ . By *effective  $O$ -hierarchy* we mean a uniform sequence  $\{\Sigma_{(a)}\}_{a \in O}$  of effective pointclasses satisfying  $\Sigma_{(a)} \oplus \Pi_{(a)} \leq \Sigma_{(b)}$  uniformly in  $a <_O b$ . The notions from Section 2.7 (like refinement, discreteness and perfectness) are also adjusted for the case of effective hierarchies in a straightforward way.

We conclude this subsection by a well-known characterization of the arithmetical hierarchy in  $\omega$  in terms of the Turing jump operation  $A \mapsto A'$  on  $P(\omega)$  defined by  $A' = \{n \mid \varphi_n^A(n) \downarrow\}$ . For any  $n < \omega$ , define the  $n$ -th jump  $A^{(n)}$  of  $A$  by  $A^{(0)} = A$  and  $A^{(n+1)} = (A^{(n)})'$ . Then  $\emptyset^{(n)}$  is  $m$ -complete in  $\Sigma_n^0$  for each  $n < \omega$ . Moreover, this construction is extended to the iteration of Turing jump along the Kleene system in a straightforward way such that  $\emptyset^{(a)}$  is  $m$ -complete in  $\Sigma_{(a)}^0$  for each  $a \in O$  (see [107]).

### 6.3. Difference hierarchies

The difference  $\omega$ -hierarchy  $\{\Sigma_n^0(m)\}_{m < \omega}$  over any non-zero level of the (hyper)arithmetic hierarchy is defined in accordance with the general definition in Section 3.1. For the transfinite version  $\{\Sigma_n^0((a))\}_{a \in O}$  we use the effective version of definition in Section 4.3, namely  $\Sigma_n^0(a)$  is the class of sets

$$D_{(a)}(\{A_b\}) = \bigcup \left\{ A_b \setminus \left( \bigcup_{c <_O b} A_c \right) \mid b <_O a, r(b) \neq r(a) \right\}$$

where  $\{A_b\}_{b <_O a}$  is a c.e. sequence of sets in  $\Sigma_n^0$  and  $r : \omega \rightarrow \{0, 1\}$  is a computable function such that  $r(a) = 0$  iff  $|a|_O$  is even, for all  $a \in O$ . Since the exact definition in the transfinite case is technically rather involved, we omitted some details here. The effective DH over  $\Sigma_1^0$  was introduced and comprehensively studied by Ershov [38]. It is sometimes denoted  $\{\Sigma_{(a)}^{-1}\}_{a \in O}$  and called the Ershov hierarchy.

It is easy to show that the DH's introduced above are hierarchies in the sense of the previous section and they do not collapse (at least, in  $\omega$ ,  $\omega^\omega$  and  $2^\omega$ ) and refine the (hyper-)arithmetical hierarchy in the next levels. As observed in [137], these effective DH's do not collapse also in all effective reflective and 2-reflective domains. As also in the context of DST, it is easy to show that the effective DH over  $\Sigma_n^0$  is  $m$ -discrete for  $n = 1$  but not  $m$ -discrete for  $n > 1$ .

In [38] it is shown that the Ershov hierarchy is an exhaustive refinement of the arithmetical hierarchy in the second level. Moreover,  $\bigcup \{\Sigma_{(a)}^{-1} \mid a \in O, |a|_O = \omega^2\} = \Delta_2^0$  and  $\omega^2$  is the smallest ordinal with this property. In [114] this was extended to the effective DH's over  $\Sigma_{(a)}^0$  for each  $a \in O$ ,  $|a|_O > 1$  but, when  $|a|_O$  increases, one needs bigger and bigger ordinals in place of  $\omega^2$ . In [119,131] we have shown that the transfinite effective DH over  $\Sigma_1^0$  in  $\omega^\omega$  (and in  $2^\omega$ ) also exhausts  $\Delta_2^0$  but this time we have the strict inclusion  $\bigcup \{\Sigma_1^0((a)) \mid a \in O, |a|_O = \alpha\} \subset \Delta_2^0$  for each  $\alpha < \omega_1^{CK}$ . In [59] the same fact was obtained for some other spaces. In [119] it is shown that any  $\Sigma$ -level of the Ershov hierarchy in  $\omega$  has the reduction property.

By the preceding subsection, the complete sets in the  $\Sigma$ -levels of the arithmetical hierarchy in  $\omega$  may be obtained by iterating the Turing jump starting from the empty set. In [38] a similar fact was proved for the Ershov hierarchy. Since we want to discuss some similar facts below, let us formulate a general notion. By *jump operator* we mean a unary operation  $J$  on  $2^\omega$  such that  $A \oplus \bar{A} \leq_m J(A)$  and  $J(A)$  is a complete numbering w.r.t. 0 uniformly in  $A$ . From properties of the complete numberings it follows that actually we have  $A \oplus \bar{A} <_m J(A)$ . It is clear that for any set  $A$  complete w.r.t. 0 the sequence  $\{J^{(a)}(A)\}$  (more exactly the sequence of the principal ideals  $\{B \mid B \leq_m J^{(a)}(A)\}$  corresponding to the iterations of  $J$  along  $O$  starting from the set  $A$ ) behaves as an  $O$ -hierarchy; we denote this hierarchy by  $(J, A)$ . As noticed above, if  $J$  is the Turing jump then  $(J, \emptyset)$  is the hyperarithmetical hierarchy. As observed in [38], the operation  $J_m(A) = \nu^{-1}(A \oplus \bar{A})$ , where  $\nu(n, x) = \varphi_n(x)$  is the universal c.p. function, is a jump operator called  *$m$ -jump*. By [38],  $(J_m, \emptyset)$  coincides with the Ershov hierarchy. Similarly, if we take in place of  $J_m$  the  $m$ -jump relativized to  $\emptyset^{(a)}$ , for each  $a \in O$ , we obtain the effective DH over  $\Sigma_{(2^a)}^0$  [114].

The effective DH's in  $\omega$  have numerous applications to classification of interesting decision problems (formalized as index sets). We conclude this subsection by formulating a couple of such results about the long DH  $\{\Sigma_n^0(m)\}_{n, m < \omega}$ , many more may be found e.g. in [38,89,114–117,119,124,129,132,25]. Let  $\{\mathbf{B}_n\}_{n < \omega}$  be the natural numbering of c.e. Boolean algebras (known also as positive Boolean algebras, see e.g. [117,132,124] for details). The next fact was obtained in [117].

**Theorem 6.3.** For any sentence  $\phi$  of signature  $\tau = \{\cup, \cap, \neg, 0, 1\}$ , the index set  $\{n \mid \mathbf{B}_n \models \phi\}$  is  $m$ -complete in one of levels of the long difference hierarchy, and the level is effectively computable from the sentence  $\phi$ .

Now consider index sets of some classes of c.e. sets in the Post numbering. For a class  $\mathcal{C}$  of Boolean algebras, let  $h^*(\mathcal{C}) = \{n \mid L^*(\pi_n) \in \mathcal{C}\}$  where  $L^*(\pi_n)$  is the quotient of  $L(\pi_n) = \{A \in \Sigma_1^0 \mid \pi_n \subseteq A\}$  modulo finite sets. For any sentence  $\phi$  of signature  $\tau = \{\cup, \cap, \neg, 0, 1\}$ , let  $\mathcal{M}_\phi$  denote the class of Boolean algebras satisfying  $\phi$ . The next fact is from [116]. In [89,115–117] one could find many other related results.

**Theorem 6.4.** For any sentence  $\phi$  of signature  $\tau = \{\cup, \cap, \neg, 0, 1\}$ , the index set  $h^*(\mathcal{M}_\phi)$  is  $m$ -complete in one of levels of the long difference hierarchy, and the level is effectively computable from  $\phi$ .

Finally, we formulate a result from [124] about the Lindenbaum algebra.

**Theorem 6.5.** Let  $\sigma$  be a finite relational signature that has at least one non-unary symbol and let  $(B_\sigma; \gamma)$  be the Lindenbaum algebra of  $\sigma$ -sentences with the Gödel numbering  $\gamma$ . Then for any unary formula  $\phi(x)$  of signature  $\tau = \{\cup, \cap, \neg, 0, 1\}$  the index set  $\{n \mid B_\sigma \models \phi(\gamma_n)\}$  is  $m$ -complete in one of the levels of the long difference hierarchy, and the level is effectively computable from  $\phi(x)$ .

#### 6.4. Fine hierarchy

Now we discuss the fine hierarchy  $\{\Sigma_\alpha\}_{\alpha < \varepsilon_0}$  over the  $\omega$ -base  $L = \{\Sigma_{n+1}^0\}_{n < \omega}$  in  $\omega$  (see Section 3.4). Since the base is reducible, the fine hierarchy coincides with the corresponding typed Boolean hierarchy, i.e.  $\{\Sigma_\alpha, \Pi_\alpha \mid \alpha < \varepsilon_0\} = \{t(L) \mid t \in T^*\}$  where  $T^*$  is the set of typed Boolean terms.

By the preceding subsection, the long DH may be characterized in terms of suitable jump operations. Is there a similar characterization for the fine hierarchy? The answer is positive, and actually the fine hierarchy was discovered in [112] in this way. Since the jump-characterization is non-trivial and yields additional information on the fine hierarchy, we provide some details. Which jump operations to use? Of course, at least the  $m$ -jumps  $J_m^n$  relativized to  $\emptyset^{(n)}$ , for all  $n < \omega$ . By the preceding subsection,  $(J_m^n, \emptyset)$  is the difference hierarchy over  $\Sigma_{n+1}^0$ . A wider class of  $\omega$ -hierarchies is constructed by considering sets generated from the empty set by all the operations  $J_m^n$  ( $n < \omega$ ), see [112]. It is easy to check that in this way we obtain a non-collapsing hierarchy with order type  $\omega^\omega$ . This already shows that these jump operations do not suffice. By the way, one could guess that in this way we obtain the symmetric DH over  $L$ . But this is also not the case; in fact we obtain some of the exotic levels defined with the operation *bisep*.

In order to find a sufficient class of jump operations, we define an operation  $r : S^\omega \times S^\omega \times k^\omega \rightarrow S^\omega$  (where  $S$  is a set and  $2 \leq k \leq \omega$ ) that generalizes the jump operations above, the Turing jump and many others. We set  $r(\mu, \nu, f) = \bigoplus_n p_{\nu(n)}^f(\mu)$ . Then  $r(\mu, \lambda x.a, f) \equiv p_a^f(\mu)$  for all  $a \in S$ , hence  $r$  generalizes the operations of completion from Section 6.1. Note that for  $S = k = 2$  the operation  $r$  is a ternary operation on sets satisfying  $r(\omega, \emptyset, A) \equiv A'$ , hence  $r$  generalizes also the Turing jump. It induces also several other jump operators. Namely, for any sets  $B$  and  $C$ , if  $B$  is complete w.r.t. 0 then  $A \mapsto r(A \oplus \bar{A}, B, C)$  is a jump operator. This follows from definition and the property that if  $\nu$  is  $f$ -complete w.r.t.  $a$  then so is also the numbering  $r(\mu, \nu, f)$ . The last property together with other properties of  $r$  generalizing the properties of the completion operations were established in [112]. These properties play a central role in the algebraic proof of the result below that classifies elements of the subalgebra generated by the operations  $r$ ,  $\neg$  and  $\oplus$  from  $\emptyset$  within  $2^\omega$ . As a corollary, we obtain the jump-characterization of the fine hierarchy.

**Definition 6.6.** For all  $n < \omega$  and  $\alpha < \varepsilon_0$ , define the sets  $C_\alpha^n$  by induction on  $\alpha$  as follows:

$$\begin{aligned} C_0^n &= \emptyset; C_{\omega^\gamma}^n = C_{\omega^\gamma}^{n+1} \text{ for } \gamma > 0; \\ C_{\alpha+1}^n &= r(C_\alpha^n \oplus \bar{C}_\alpha^n, C_0^n, \emptyset^{(n)}); \\ C_{\alpha+\omega^\gamma}^n &= r(C_\alpha^n \oplus \bar{C}_\alpha^n, C_{\omega^\gamma}^n, \emptyset^{(n)}) \text{ for } \alpha = \omega^\gamma \cdot \beta > 0 \text{ and } \gamma > 0. \end{aligned}$$

Properties of complete numberings play a central role in the proof of the next result from [112,115].

**Theorem 6.7.** (i) Modulo  $m$ -equivalence, the class of sets generated within  $2^\omega$  by the operations  $r$ ,  $\neg$  and  $\oplus$  from  $\emptyset$  coincides with the class of sets  $C_\alpha^0, \bar{C}_\alpha^0, C_\alpha^0 \oplus \bar{C}_\alpha^0$  ( $\alpha < \varepsilon_0$ ).

(ii) For all  $\alpha < \beta < \varepsilon_0$ ,  $C_\alpha^0 \oplus \bar{C}_\alpha^0 <_m C_\beta^0$ .

(iii) For any  $\alpha < \varepsilon_0$ ,  $C_\alpha^0 \equiv_m \Sigma_\alpha$ ,  $C_\alpha^0 \not\equiv_m \bar{C}_\alpha^0$  and  $C_\alpha^0 \oplus \bar{C}_\alpha^0 \equiv_m \Delta_{\alpha+1}$ . In particular, the fine hierarchy does not collapse and is  $m$ -discrete in all successor levels.

In [112] we also showed that from the sequence  $\{\Sigma_\alpha\}$  one can extract a complete (in a sense) hierarchical classification of arithmetical sets. Relate to any limit ordinal  $\lambda \leq \varepsilon_0$  the natural increasing sequence of ordinals  $\{\eta(\lambda, k)\}_{k < \omega}$  with supremum  $\lambda$  (e.g.  $\eta(\varepsilon_0, 0) = 0$  and  $\eta(\varepsilon_0, k+1) = \omega^{\eta(\varepsilon_0, k)}$ ). Let  $\mathcal{H}$  be the class of  $\omega$ -hierarchies  $H_\lambda = \{\Sigma_{\eta(\lambda, k)}\}_{k < \omega}$  for all limit ordinals  $\lambda \leq \varepsilon_0$ . Using a suitable jump operation one can extend any of these  $\omega$ -hierarchies to the Kleene ordinal notation system similarly to the case when the arithmetical hierarchy is extended to the hyperarithmetical hierarchy). Then we have the following reformulation of the main result from [112].

**Theorem 6.8.** *The class  $\mathcal{H}$  has the following properties:*

- (i) *the arithmetical hierarchy belongs to  $\mathcal{H}$ ;*
- (ii) *if an  $\mathcal{H}$ -hierarchy  $H_\lambda$  is not  $m$ -discrete in level  $l + 1$ , then  $\mu = \eta(\lambda, l + 1)$  is a limit ordinal, the hierarchy  $H_\mu$  refines  $H_\lambda$  in level  $l + 1$  and the transfinite extension of  $H_\mu$  exhausts  $\Delta_{\eta(\lambda, l+1)}$ ;*
- (iii) *any sequence  $K_0, K_1, \dots$  of  $\mathcal{H}$ -hierarchies, each of which refines the preceding one in some level, is finite;*
- (iv) *the class of all finite  $\Sigma$ -levels of the  $\mathcal{H}$ -hierarchies is exactly  $\{\Sigma_\alpha \mid \alpha < \varepsilon_0\}$ .*

The properties (i)–(iii) show that the class  $\mathcal{H}$  has natural (from the point of view of hierarchy theory) closure properties; one could say that  $\mathcal{H}$  is closed under the Suslin–Kleene theorem. So it gives in a sense a complete hierarchical classification of the arithmetical sets. The property (iv) means that the fine hierarchy consists exactly of the finite levels of the  $\mathcal{H}$ -hierarchies.

Note that in [112] we described also a transfinite version of Theorem 6.8, i.e. a hierarchical classification of the hyperarithmetical sets. This is very natural for hierarchy theory, but we nevertheless think that the finite version is more interesting for the following reasons: a) classes of the transfinite fine hierarchies are not almost linearly ordered (for the case of the difference hierarchy this was mentioned above); (b) dealing with the transfinite version is technically very complicated; (c) “natural” sets are usually  $m$ -complete in a finite level of an  $\mathcal{H}$ -hierarchy; d) the transfinite version is less “absolute” than the finite one, e.g. it is not generalizable to the abstract case which we considered in Section 3.4.

The next result from [124] demonstrates a close relationship of the fine hierarchy to multiple  $m$ -reducibility of tuples of sets. Let  $F$  be a finite subset of  $V = \{v_k^n \mid n, k < \omega\}$  and  $T_F$  be the set of typed Boolean terms with variables in  $F$ . Relate to any  $R \subseteq F$  the term  $e_R = (\bigcap_{v \in R} v) \cap (\bigcap_{v \in F \setminus R} \bar{v})$  from  $T_F$ . By  $F$ -assignment we mean a map  $A$  from  $F$  into  $\mathcal{P}(\omega)$  such that  $A_k^n = A(v_k^n) \in \Sigma_{n+1}^0$  for  $v_k^n \in F$  (the assignment may be written as a family  $\{A_k^n \mid v_k^n \in F\}$ ). For  $t \in T_F$  let  $t[A]$  denote the value of  $t$  on  $A$  (when  $v_k^n$  is interpreted as  $A_k^n$ ).

Now let  $\mathbf{F} = (F; \mathcal{F})$  be a pair with  $F$  as above and  $\mathcal{F}$  a nonempty finite subset of  $\mathcal{P}(F)$ . By  $\mathbf{F}$ -assignment we mean an  $F$ -assignment  $A$  such that  $e_R[A] = \emptyset$  for all  $R \in \mathcal{F}$  (intuitively,  $\mathcal{F}$  specifies the  $F$ -assignments satisfying all Boolean identities from  $\mathcal{P}(F) \setminus \mathcal{F}$ ). Let  $t[L, \mathbf{F}]$  be the set of values of  $t$  on all  $\mathbf{F}$ -assignments. These sets are closely related to the levels of the fine hierarchy.

Relate to any  $F$ -assignment  $A$  the pair  $\mathbf{F}_A = (F; \mathcal{F}_A)$ , where  $\mathcal{F}_A = \{R \subseteq F \mid e_R[A] \neq \emptyset\}$ ;  $A$  is clearly an  $\mathbf{F}_A$ -assignment. An  $F$ -assignment  $B$  is  $m$ -reducible to  $A$  (in symbols  $B \leq_m A$ ), if there is a computable function  $f$  such that  $B_k^n = f^{-1}(A_k^n)$  for all  $v_k^n \in F$ . Note that if  $B \leq_m A$  then  $B$  is an  $\mathbf{F}_A$ -assignment. We call  $A$  a *complete  $F$ -assignment* if any  $\mathbf{F}_A$ -assignment is  $m$ -reducible to  $A$ . This notion generalizes several similar notions in computability theory, e.g. the notion of  $m$ -complete (or effectively inseparable) pair of disjoint c.e. sets.

**Theorem 6.9.** *Any Boolean combination of members of a complete  $F$ -assignment is  $m$ -complete in one of levels  $\Sigma_\alpha, \Pi_\alpha, \Delta_{\alpha+1}$  ( $\alpha < \varepsilon_0$ ) of the fine hierarchy, and all the possibilities are realized.*

We conclude this subsection by an application of the fine hierarchy and the previous theorem to classification of index sets. Some other examples may be found in [117, 124, 132]. For a numbered structure  $\mathbf{A} = (A; \nu)$ , where  $\nu$  is a numbering of  $A$ , and a predicate  $P(v_1, \dots, v_n)$  on  $A$ , let  $\nu^{-1}(P) = \{\langle x_1, \dots, x_k \rangle \mid P(\nu x_1, \dots, \nu x_k)\}$  be the  $\nu$ -index set of  $P$ . A *definable index set* of  $\mathbf{A}$  is the index set  $\nu^{-1}(P)$  of a predicate  $P$  first-order definable in  $\mathbf{A}$ . To prove the following result from [124] one again needs a version of the alternating trees for the definable predicates. This time such invariants may be defined from the Tarski–Ershov elementary classification of Boolean algebras.

**Theorem 6.10.** *Let  $\sigma$  be a finite relational signature that has at least one non-unary symbol and let  $(B_\sigma; \gamma)$  be the Lindenbaum algebra of sentences of  $\sigma$  with the Gödel numbering  $\gamma$ . Then for any formula  $\phi(v_1, \dots, v_k)$  of signature  $\tau = \{\cup, \cap, \neg, 0, 1\}$  the index set  $\{\langle x_1, \dots, x_k \rangle \mid B_\sigma \models \phi(\gamma(x_1), \dots, \gamma(x_k))\}$  is  $m$ -complete in one of levels  $\Sigma_\alpha, \Pi_\alpha, \Delta_{\lambda+1}$  ( $\alpha, \lambda < \varepsilon_0, \lambda$  is a limit ordinal) of the fine hierarchy, and all the possibilities are realized. There is an algorithm that computes from a given formula  $\phi$  the corresponding level of the fine hierarchy.*

## 6.5. Hierarchies of $k$ -partitions

We have seen that iterating of some jump operators leads to interesting hierarchies of sets. In a similar way one can obtain interesting hierarchies of functions from  $k^\omega$ , where  $k$  is a fixed ordinal satisfying  $2 \leq k \leq \omega$  (in particular, for the  $k$ -partitions of  $\omega$ ).

First we look for an analog of the difference hierarchy. We generalize the characterization of the effective DH in terms of  $m$ -jump, using this time the completion operations  $p_i$ ,  $i < k$ , on  $k^\omega$  from Section 6.1.

**Definition 6.11** ([113]). (i) For all  $a \in O$  and  $i < k$ , define the functions  $f_{(a)}^i$  and  $f_{(a)}$  from  $k^\omega$  as follows:  $f_{(a)} = \bigoplus_{i < k} f_{(a)}^i$ ,  $f_{(1)}^i = \lambda x. i$ ,  $f_{(2^b)}^i = p_i(f_{(b)})$ , and  $f_{(3^{(e)}}^i = p_i(\bigoplus_{n < \omega} f_{(\varphi_e(n))})$ .

(ii) Set  $C_{(a)} = \{g \mid g \leq f_{(a)}\}$ ,  $C_{(a)}^i = \{g \mid g \leq f_{(a)}^i\}$  and  $D_{(a)} = \bigcap_{i < k} C_{(a)}^i$ .

From Theorem 6.1 one easily obtains that  $C_{(a)}^i \subset D_{(b)} \subset C_{(b)}^j$  and  $C_{(a)} = C_{(2^a)}^i \cap C_{(2^a)}^l = D_{(2^a)}$  for all  $i, j, l < k, i \neq l$  and  $a <_O b$ . So the introduced classes are ordered as levels of a  $\bar{k} \times O$ -hierarchy of  $k$ -partitions which is the effective version of the  $\bar{k} \times \alpha$ -hierarchies in Section 2.8. It turns out that the introduced classes are closely related to limiting computations

considered in [35,113]. Relate to any c.p. function  $\psi$  and to any  $a \in O$  a partial function  $\mu = \mu_{a,\psi}$  from  $\omega$  to the set  $B = \{b \mid b <_O a\}$  as follows:  $\mu(x)$  is the least element (if any) of  $\{b \in B \mid \psi(x, b) \downarrow; \leq_O\}$ . Note that  $\mu(x) \downarrow$  implies  $\psi(x, \mu(x)) \downarrow$ , and that fixing effective stepwise enumerations of  $\psi$  and  $(B; \leq_O)$  one gets limiting computations of  $\mu(x)$  and  $\psi(x, \mu(x))$ .

**Definition 6.12.** (i) A function  $f \in k^\omega$  is called  $i$ - $a$ -computable (for  $i < k$  and  $a \in O$ ), if there is a c.p. function  $\psi : \omega \rightarrow k$  such that  $f(x) = i$  for  $\mu(x) \uparrow$  and  $f(x) = \psi(x, \mu(x))$  for  $\mu(x) \downarrow$ .

(ii) A function  $f \in k^\omega$  is called  $a$ -computable, if  $f(x) = \psi(x, \mu(x))$  for some c.p. function  $\psi : \omega \rightarrow k$ .

**Theorem 6.13** ([113]). (For all  $i < k$  and  $a \in O$ , the class of  $i$ - $a$ -computable functions (of  $a$ -computable functions) coincides with  $C_{(a)}^i$  (respectively, with  $C_{(a)}$ .) Moreover,  $\bigcup_{a \in O} D_{(a)} = \{f \in k^\omega \mid f \leq_T \emptyset'\}$ .

The last result shows that the hierarchy of  $k$ -partition introduced above is an effective version of the limit-hierarchy from Section 4.5. For  $k = 2$  it gives a characterization of the DH in terms of limiting computations which for the finite levels is equivalent to the description from [38]. In [35,113] the reader can find much additional information about the hierarchies of functions.

In [133] we considered the DH of  $k$ -partitions over the base  $\Sigma_1^0$  of c.e. sets in  $\omega$ . Since this base is reducible,  $BH_k(\Sigma_1^0) = FBH_k(\Sigma_1^0)$  by Theorem 3.20, i.e. it suffices to consider the DH of  $k$ -partitions over  $k$ -forests. This hierarchy is intimately related to the completion operations  $p_i$ ,  $i < k$ , from Section 6.1. Namely, by Theorem 6.1, the structure  $(k^\omega; \leq, \oplus, p_0, \dots, p_{k-1})$  is a dc-semilattice. By Proposition 2.9, there is an embedding  $g$  of the quotient-structure of  $(\mathcal{F}_k; \leq, \sqcup, p_0, \dots, p_{k-1})$  into that of  $(k^\omega; \leq, \oplus, p_0, \dots, p_{k-1})$  such that  $g(i) = \lambda n.i$  for all  $i < k$ . By [133], the  $k$ -partition  $g(F)$  is  $m$ -complete in  $\Sigma_1^0(F)$  for each  $F \in \mathcal{F}_k$  and hence the DH of  $k$ -partitions over  $\Sigma_1^0$  does not collapse. By Section 2.6, we again have results on the undecidability of the first-order theory of the structure of levels of the DH of  $k$ -partitions over  $\Sigma_1^0$  and on the automorphism group of this structure. In [133] similar facts were also established for the DH of  $k$ -partitions over any level of the arithmetic hierarchy in  $\omega$  and over the base  $\Sigma_1^0$  of effective open sets in the Baire and Cantor spaces.

In the previous sections we discussed mainly the DH's of  $k$ -partitions and some of its subhierarchies. In computability theory, there is also a good candidate for the fine hierarchy of  $k$ -partitions over the  $\omega$ -base  $\{\Sigma_{n+1}^0\}$  in  $\omega$ . It is the subalgebra of  $(k^\omega; r, \oplus)$  generated from  $\lambda x.0, \dots, \lambda x.k-1$ , where  $r$  is the ternary operation on  $k^\omega$  obtained from the operation  $r$  in the previous subsection for  $S = k$ . This subalgebra was not considered in detail in [112] but seems very interesting because, by the preceding subsection, for  $k = 2$  we obtain exactly the fine hierarchy over  $\{\Sigma_{n+1}^0\}$ .

## 6.6. Undecidability in complete numberings and index sets

In [138,144] we have shown that Proposition 2.6 implies many undecidability results for the structures of complete numberings and index sets and partitions. We give a couple of examples here.

For any  $\mathcal{C} \subseteq P(\omega)$ , let  $\mathcal{C}_k$  be the corresponding subset of  $k^\omega$ . Let  $\mathcal{C}_k^1$  ( $\mathcal{C}_k^2$ ) be the set of complete (respectively, 2-complete) numberings from  $\mathcal{C}_k$ . E.g.,  $P(\omega)_k = k^\omega$ ,  $P(\omega)_k^1$  is the set of complete numberings in  $k^\omega$ , and  $(\Delta_2^0)_k^2$  is the set of 2-complete  $\Delta_2^0$ -partitions in  $k^\omega$ . The next result from [138,144] shows that for many of the classes  $\mathcal{C}_k^1$  and  $\mathcal{C}_k^2$  the corresponding structures have undecidable theories.

**Theorem 6.14.** Let  $k \geq 2$  and let  $\mathcal{C}$  be one of the classes  $P(\omega)$ ,  $\Delta_1^1$ ,  $\Sigma_{n+1}^0$ ,  $\Pi_{n+1}^0$ ,  $BC(\Sigma_n^0)$ ,  $\Delta_{n+1}^0$ , where  $n > 0$ . Then  $FO(\mathcal{C}_k; \leq)$ ,  $FO(\mathcal{C}_k^1; \leq)$  and  $FO(\mathcal{C}_k^2; \leq)$  are hereditary undecidable.

Let  $\nu$  be a numbering of  $S$ . A  $\nu$ -index set of a set  $A \subseteq S$  is the preimage  $\nu^{-1}(A)$ . Let  $I_\nu$  be the class of all  $\nu$ -index sets. Investigation of  $m$ -degrees of index sets of important numberings (especially of the Kleene and Post numberings) is a popular topic in computability theory (see e.g. [56,109,110,85,94]). Similar questions are also interesting for the more general case of  $\nu$ -index  $k$ -partitions which are  $k$ -partitions of the form  $c \circ \nu$  where  $c : S \rightarrow k$  (in [110] they are called generalized index sets). In this section we discuss  $\nu$ -index  $k$ -partitions for the following classes of numberings introduced in [41,110].

For any numbering  $\nu$  and for each  $\mathcal{C} \subseteq P(\omega)$ , let  $\mathcal{C}_k^\nu$  be the set of  $\nu$ -index  $k$ -partitions in  $\mathcal{C}_k$ . E.g.,  $P(\omega)_2^\nu = I_\nu$  is the class of all  $\nu$ -index sets while  $(\Delta_3^0)_3^\nu$  is the class of  $\nu$ -index 3-partitions in  $(\Delta_3^0)_3$ .

A complete (2-complete) numbering  $\nu$  is called universal if every complete (respectively, 2-complete) numbering  $\mu$  is a quotient of  $\nu$ , i.e.  $\mu \equiv f \circ \nu$  for some  $f : \text{rng}(\nu) \rightarrow \text{rng}(\mu)$ . As shown in [41,110], many numberings of interest to computability theory, e.g. numberings of the computable partial functionals, are universal complete or 2-complete. In particular, the Kleene numbering is universal complete while the Post numbering is universal 2-complete. Therefore, the following theorem applies to all those numberings.

**Theorem 6.15.** Let  $\nu$  be a universal complete or 2-complete numbering,  $k \geq 2$  and let  $\mathcal{C}$  be one of the classes  $P(\omega)$ ,  $\Delta_1^1$ ,  $\Sigma_{n+1}^0$ ,  $\Pi_{n+1}^0$ ,  $BC(\Sigma_n^0)$ ,  $\Delta_{n+1}^0$ , where  $n > 0$ . Then  $FO(\mathcal{C}_k^\nu; \leq)$  is hereditary undecidable.

Sometimes the exact complexity estimations for the theories are possible, e.g.:

**Theorem 6.16.** Let  $\nu$  be a universal complete numbering and  $k \geq 2$ . Then  $FO(P(\omega)_k^\nu; \leq_m)$  is computably isomorphic to the second-order arithmetic.

### 6.7. Relation to DST

Here we discuss relationships between DST and computability theory. We have seen that some hierarchies in computability theory are effective versions of the corresponding hierarchies in DST. In fact, the relationship is much closer [95]: the theory of effective hierarchies in the Baire and Cantor spaces refines the theory of classical hierarchies. As is usual in computability theory, every natural effective  $\omega$ -hierarchy  $\{\Sigma_n\}_{n < \omega}$  in  $\omega^\omega$  has the relativized versions  $\{\Sigma_n^\xi\}$  such that for any  $n$  the family  $\{\Sigma_n^\xi\}_{\xi \in \omega^\omega}$  is a uniform sequence of effective pointclasses. It is then easy to see that the classes  $\Sigma_n = \bigcup_\xi \Sigma_n^\xi$  form a classical  $\omega$ -hierarchy.

Similarly, natural  $O$ -hierarchies  $\{\Sigma_{(a)}\}$  usually have relativizations  $\{\Sigma_{(a)}^\xi\}_{a \in O}$  such that for any  $a \in O$  the sequence  $\{\Sigma_{(a)}^\xi\}_{\xi \in \omega^\omega}$  is a uniform sequence of effective pointclasses. The corresponding classical pointclasses usually have the following extensionality property:  $\Sigma_{(a)} = \Sigma_{(b)}$  for  $a, b \in O$ ,  $|a|_O = |b|_O$ . This induces a classical  $\omega_1^{CK}$ -hierarchy. In order to get an  $\omega_1$ -hierarchy as the limit of relativized  $O$ -hierarchies, we have to consider the Kleene systems  $(O^\eta; \leq_{O^\eta})$  relativized to any  $\eta \in \omega^\omega$ . Then we get the classes  $\{\Sigma_{(a)}^{\xi \oplus \eta}\}$  for all  $\xi \in \omega^\omega$ ,  $a \in O^\eta$ . For fixed  $\eta$  and  $a$ ,  $\{\Sigma_{(a)}^{\xi \oplus \eta}\}_\xi$  is again a uniform family of effective pointclasses. The corresponding classical pointclasses satisfy the extensionality property  $\Sigma_{(a)} = \Sigma_{(b)}$  for  $a, b \in O^\eta$ ,  $|a|_{O^\eta} = |b|_{O^\eta}$ . This induces the  $\omega_1$ -hierarchy  $\{\Sigma_\alpha\}_{\alpha < \omega_1}$  because any countable ordinal  $\alpha$  is of course computable in some  $\eta \in \omega^\omega$ , hence,  $\alpha = |a|_{O^\eta}$  for some  $a \in O^\eta$ .

In order to systematize the connections between effective and classical notions we give a short dictionary of the corresponding terms. The translation is obtained by relativization and taking union on all oracles. In this way, any effective hierarchy discussed in this section leads to its classical counterpart in Section 4.

#### COMPUTABILITY THEORY

computable function  
 $m$ -reducibility  
 computable set  
 effective open set  
 computable ordinal  
 $(O; \leq_O)$   
 effective pointclass  
 effective  $\omega$ -hierarchy  
 $O$ -hierarchy

#### DESCRIPTIVE SET THEORY

continuous function  
 Wadge reducibility  
 clopen set  
 open set  
 countable ordinal  
 $(\omega_1; \leq)$   
 classical pointclass  
 classical  $\omega$ -hierarchy  
 $\omega_1$ -hierarchy.

The interplay between DST and computability theory has also other facets. E.g., one could ask for a characterization of the Wadge degrees (or, as a first step, of the Borels ranks) of, say, the arithmetical, hyperarithmetical or (lightface)  $\Sigma_1^1$ -sets. An important result in this direction is Theorem 2.4 in [91] stating the Borel rank of the hyperarithmetical sets is  $\omega_1^{CK}$ . In contrast, a result in [73] states that the Borel rank of the  $\Sigma_1^1$ -sets is bigger than  $\omega_1^{CK}$ . We will see in Section 9 that results of this type are useful for understanding the topological complexity of infinite behavior of computing devices.

Computability theory is interesting not only for the Baire and Cantor spaces and domains but also for more traditional spaces like the real numbers or finite-dimensional Euclidean spaces. Hierarchies and reducibilities play a noticeable role in such considerations related e.g. to computable analysis [176] and numeric mathematics.

### 6.8. Future work

By Section 6.3, the effective DH over  $\Sigma_1^0$  in the Baire, Cantor and Euclidean spaces exhausts the class  $\Delta_2^0$ . The status of this result for a broad class of effective metric spaces and domains is unclear, as well as the status of the effective Hausdorff–Kuratowski theorem (even for the Baire and Cantor spaces and domains).

As we have seen in Section 6.5, there is a good candidate for the fine hierarchy of  $k$ -partitions over the arithmetical hierarchy; we would like to see a systematic study of this hierarchy, in particular a better understanding of the structure of its levels.

In Section 6.4 we gave an example demonstrating the usefulness of the fine hierarchy for classification of the definable index sets in the Lindenbaum algebra. We would like to see a systematic work also for other natural numbered structures.

Almost nothing is known about classifications of index  $k$ -partitions of natural numberings in the hierarchies of  $k$ -partitions introduced in Section 6.5. We expect many interesting results in this direction.

## 7. Complexity theory

In this section we discuss some fine hierarchies in complexity theory. Complexity-theoretic hierarchies are of primary importance for computer science because a huge amount of practically interesting decision problems turn out to be complete



in levels of suitable hierarchies under suitable reducibilities. In fact, complexity theory deals with many more interesting hierarchies and reducibilities than those we mention below (for nice introductions see e.g. [7,8]). To get the real impression of this wild world, visit the complexity zoo on the web [1]. We simply choose a couple of hierarchies and reducibilities relevant to the other parts of this paper.

A remarkable feature of complexity theory is that many basic questions about hierarchies we are going to discuss are still open (the most prominent of those is of course the  $P = ?NP$  question). As a result, many facts become dependent on some famous conjectures of complexity theory. Thus, the situation in this field resembles the situation in the axiomatic set theory.

The first step in the development of the topic of this section was made by A. Meyer and L. Stockmeyer in the 1970-s when they defined the polynomial time hierarchy [97,154] (for the sake of brevity we call it also the polynomial hierarchy). In the 1980s the Boolean (i.e., difference) hierarchy over NP was introduced, apparently independently, by several groups of people [177,76,23]. In the 1990-s some other refinements of the polynomial hierarchy were proposed [120,126,174].

### 7.1. Preliminaries

We assume the reader to be familiar with the basic notions and facts of complexity theory like polynomial time computable functions and sets, deterministic and non-deterministic polynomially bounded Turing machines and their relativizations, the notion of complexity class and concrete important complexity classes like NL, P, NP and PSPACE. All this may be found in any book on complexity theory like [11,12,101].

Recall that the main “space” of complexity theory is the set  $A^*$  of finite words over a finite alphabet, and actually the set  $2^*$  of binary words is sufficient. Sets of words are called languages. By  $\langle a, b \rangle$  we denote a polynomially computable coding of pairs of words.

For a class  $\mathcal{K}$  of languages accepted in a certain way by machines of a certain type and a language  $M$ , the *relativized class*  $\mathcal{K}^M$  is the class of languages accepted in the same way by machines of the same type which in addition have access to  $M$  as an oracle. For a class  $\mathcal{M}$  of languages, set  $\mathcal{K}^{\mathcal{M}} = \bigcup_{M \in \mathcal{M}} \mathcal{K}^M$ . Levels of the polynomial hierarchy are defined by  $\Sigma_0^p = \Pi_0^p = \Delta_0^p = P$ ,  $\Delta_{k+1}^p = P^{\Sigma_k^p}$ ,  $\Sigma_{k+1}^p = NP^{\Sigma_k^p}$  and  $\Pi_{k+1}^p = co-\Sigma_{k+1}^p$  for  $k \geq 0$ . Furthermore, set  $PH = \bigcup_k \Sigma_k^p$ .

Please note that the standard notation for the delta-levels of the polynomial hierarchy introduced above does not match the standard notation for the delta-levels in the hierarchy theory: in the context of complexity theory the classes  $\Delta_k^p$  and  $\Sigma_k^p \cap \Pi_k^p$  need not to coincide, though it holds  $\Delta_k^p \subseteq \Sigma_k^p \cap \Pi_k^p$ .

### 7.2. Bases and reducibilities

As is well-known, the sequence  $L = \{\Sigma_{n+1}^p\}_{n < \omega}$  of levels of the polynomial hierarchy is an  $\omega$ -base. It has several natural characterizations, including a characterization as a logical quantifier-alternation hierarchy with some bounds on the quantified variables.

Unfortunately, the non-collapse of the polynomial hierarchy is a long standing open problem. Moreover, this problem is extremely hard because the non-collapse of the polynomial hierarchy implies  $P \neq NP$  (to see this observe that  $\Sigma_1^p = NP$ ). This is a big obstacle for the development of the theory analogous to those in the previous sections.

Nevertheless, it is possible to obtain some non-trivial conditional results. For this, we will follow the usual ways of complexity theory. One possibility is to use the commonly believed conjecture that the polynomial hierarchy  $\{\Sigma_{n+1}^p\}_{n < \omega}$  does not collapse, i.e.  $\Sigma_{n+1}^p \neq \Pi_{n+1}^p$  for all  $n < \omega$ . In this way, we are interested in the following question: if the polynomial hierarchy does not collapse then what “natural” refinements of this hierarchy do not collapse? Another possibility is to prove absolute results for the polynomial hierarchy  $\{\Sigma_n^{p,M}\}$  relativized to a given oracle  $M$ . As is well-known, there are oracles modulo which the polynomial hierarchy does not collapse or it collapses to any given level. It is easy to show that  $L^M = \{\Sigma_{n+1}^{p,M}\}_{n < \omega}$  is an  $\omega$ -base for each oracle  $M$ .

As we have seen in the previous sections, the reducibility of  $\omega$ -bases under consideration simplifies many considerations about the refinements of these  $\omega$ -base. Unfortunately, recently we obtained the following negative result [50]: if the PH does not collapse then for each  $n \geq 1$  none of the classes  $\Sigma_n^p$ ,  $\Pi_n^p$  has the reduction property. In the relativized worlds, the situation may be better: there is an oracle relative to which  $P = NP \cap coNP \neq NP$  and NP has the reduction property; this solves an open question in [13].

As the main reducibility for this section we choose the polynomial time  $m$ -reducibility  $\leq_m^p$ , i.e. the  $m$ -reducibility by functions computable in deterministic polynomial time. In fact, in complexity theory many other interesting notions of reducibility are considered, and some of them (e.g., the  $m$ -reducibility by functions computable in deterministic logarithmic space) could work equally well. It is well-known that the polynomial  $m$ -reducibility fits the polynomial hierarchy. Levels of all hierarchies discussed below will be closed under the polynomial  $m$ -reducibility, and some of them will even fit the polynomial  $m$ -reducibility.

### 7.3. Difference hierarchies

The DH  $\{NP(n)\}_{n < \omega}$  over NP was introduced as a tool for classification of some decision problems not classifiable in the polynomial hierarchy (provided it does not collapse). E.g., let TSP denote the traveling salesperson problem: given the distance matrix between  $n$  cities, find the shortest tour (for visiting all the cities). As is well-known, the complexity of TSP is in a sense close to  $\Sigma_2^P$ . The problem  $TSP(d)$  of deciding, given a distance matrix and an integer  $d$ , is there a tour of length  $\leq d$ , is NP-complete. And the problem  $ETSP(d)$  of deciding, given a distance matrix and an integer  $d$ , is there a tour of length exactly  $d$ , is NP(2)-complete (see [101] for details).

Since  $L = \{\Sigma_{n+1}^P\}_{n < \omega}$  is an  $\omega$ -base, the DH over any  $\Sigma_{n+1}^P$  is a hierarchy in the sense of Section 2.7. It is well-known and easy to see that all these DH's fit the polynomial  $m$ -reducibility. The next major fact about the DH's was obtained by Kadin [71].

**Theorem 7.1.** *If the polynomial hierarchy does not collapse then the DH over NP does not collapse.*

Since the proof of the last result is relativizable, we obtain

**Corollary 7.2.** (i) *If the polynomial hierarchy does not collapse then the long difference hierarchy over  $L$  does not collapse.*

(ii) *There is an oracle  $M$  such that the long difference hierarchy over the  $\omega$ -base  $L^M$  does not collapse.*

The DH over NP is closely related to some other complexity-theoretic hierarchies of current interest that are defined by deterministic polynomial-bounded computations with NP-oracle and different restrictions on the access to the oracle. To get an impression on this see e.g. [174,63–65].

### 7.4. Symmetric difference hierarchy

In complexity theory we again meet the situation similar to that we have seen in computability theory: there are simple examples of decision problems that can not be completely characterized in terms of the long DH over  $L = \{\Sigma_{n+1}^P\}_{n < \omega}$ . Consider as an example the set

$$D = \{(a, b) \mid (a \notin A \wedge b \in B) \vee (a \in A \wedge b \notin B)\}$$

where the sets  $A$  and  $B$  are polynomially  $m$ -complete in  $\Sigma_1^P$  and  $\Sigma_2^P$ , respectively. Note that if  $A$  and  $B$  represent “natural” decision problems then the problem represented by  $D$  is also rather “natural”. The set  $D$  is not classifiable up to the polynomial  $m$ -equivalence in the difference hierarchy over  $\Sigma_2^P$  because both  $D$  and its complement are differences of  $\Sigma_2^P$ -sets, they are  $\Sigma_2^P$ -hard and polynomially  $m$ -incomparable (provided the hierarchy does not collapse). But it is easy to show [120, 126] that  $D$  is polynomially  $m$ -complete in the level  $\Sigma_2^P + \Sigma_1^P$  of the symmetric-difference hierarchy over  $L$ . Such examples show that the symmetric-difference hierarchy over  $L$  might be useful.

The next fact from [120,126] extends the Kadin non-collapse theorem to the symmetric-difference hierarchy.

**Theorem 7.3.** *If the polynomial hierarchy does not collapse then the symmetric-difference hierarchy over  $L$  does not collapse.*

Again the proof of the last result is relativizable and we immediately obtain that there is an oracle modulo which the symmetric-difference hierarchy over  $L$  does not collapse. Some extensions of the symmetric-difference hierarchy and their relation to other complexity classes were considered in [174].

### 7.5. Fine hierarchy

When one tries to classify not only isolated sets (representing decision problems) but sets from a class with some closure properties (say, closed under propositional connectives on the corresponding unary predicates) one meets many natural sets similar to the set  $D$  in the previous subsection which are not classifiable even in the symmetric-difference hierarchy. Of course, one could try to refine the symmetric-difference hierarchy further, similarly to the previous sections.

Consider first the typed Boolean “hierarchy”  $\{t(L)\}_{t \in T^*}$  over the  $\omega$ -base  $L = \{\Sigma_{n+1}^P\}_n$  where  $T^*$  is the set of typed Boolean terms. The collection of levels of this “hierarchy” extends that of the symmetric-difference hierarchy (see Section 3.4). It is easy to show [120,126] that in this way we obtain reasonable complexity classes:

**Proposition 7.4.** *All levels  $t(L)$  of the typed Boolean “hierarchy” over  $L$  are closed under the polynomial  $m$ -reducibility and contain polynomially  $m$ -complete sets.*

Levels of the typed Boolean “hierarchy” are closely related to the classification of some easily defined sets (like the set  $D$  above). There are, nevertheless, some troubles with the typed Boolean “hierarchy”. First, the non-collapse property of the symmetric-difference hierarchy was not extended to the typed Boolean “hierarchy” so far because the proof of Theorem 7.3 in [120,126] depends heavily on the properties of the symmetric-difference operation and does not generalize automatically to other Boolean operations. Currently we do not know whether any level  $t(L)$  is distinct from its dual. Second, the structure of levels of the typed Boolean “hierarchy” under inclusion might turn out complicated. In particular, we do not know whether the typed Boolean “hierarchy” is a hierarchy in the sense of this paper.

Let now  $\{S_\alpha\}_{\alpha < \varepsilon_0}$  be the fine hierarchy over  $L$  defined as in Section 3.4. Then we obviously have

**Proposition 7.5.** (i) *The fine hierarchy over  $L$  is a hierarchy in the sense of Section 3.4, and it is a global refinement of the symmetric-difference hierarchy.*

(ii) *All levels of the fine hierarchy over  $L$  are closed under the polynomial  $m$ -reducibility.*

Unfortunately, there are also troubles with the fine hierarchy. First, again we did not succeed in proving the non-collapse property (under the assumption of the non-collapse property of the polynomial hierarchy) for the levels of the fine hierarchy distinct from the levels of the symmetric-difference hierarchy. Second, without having the polynomially  $m$ -complete sets in levels of the fine hierarchy its usefulness is doubtful. Currently we only know that there exists an oracle modulo which all levels  $S_{\omega+k+1}$ , ( $k < 1$ ), contain polynomially  $m$ -complete sets.

Of course, some of the mentioned difficulties are related to the fact that the non-self-dual levels of the polynomial hierarchy does not have the reduction property unless PH collapses [50]. As we have already seen in some sections above, without this property the properties of the fine hierarchy and the typed Boolean “hierarchy” become more complicated.

## 7.6. Hierarchy of $k$ -partitions

Here we mention a couple of results on the difference hierarchy  $BH_k(NP)$  of  $k$ -partitions over NP introduced and studied in [75,86]. It is easy to show, similar to the corresponding fact about the typed Boolean “hierarchy”, that any level of the chain  $DH CBH_k(NP)$  has a polynomially  $m$ -complete  $k$ -partition, and that any level of the poset  $DH BH_k(NP)$  is closed under the polynomial  $m$ -reducibility. The next fact extends the Kadin theorem to the chain classes.

**Theorem 7.6** ([75,86]). *If the polynomial hierarchy does not collapse then the chain  $DH$  of  $k$ -partitions over NP does not collapse, i.e., for all finite  $k$ -chains  $P$  and  $Q$ ,  $P \leq Q$  iff  $NP_k(P) \subseteq NP_k(Q)$ .*

Concerning the relativized versions of  $BH_k(NP)$ , the following interesting separation result holds.

**Theorem 7.7** ([75,86]). *For all finite  $k$ -posets  $P$  and  $Q$ , if  $P \not\leq Q$  then there exists an oracle  $M$  such that  $NP_k^M(P) \not\subseteq NP_k^M(Q)$ .*

## 7.7. Future work

As we have seen, some basic questions about the fine hierarchies in complexity theory remain open, even under the assumption of the non-collapse of the polynomial hierarchy. We summarize some open questions below (under the assumption that the PH does not collapse):

1. Is the poset  $(\{t(L) \mid t \in T^*\}; \subseteq)$  well-founded? What is its width? Is any class  $t(L)$  distinct from its dual?
2. Does any level of the fine hierarchy over  $\{\Sigma_{n+1}^P\}$  contain a polynomially  $m$ -complete set? Are all levels distinct from their duals?
3. Characterize the levels of the Boolean hierarchy of  $k$ -partitions over NP that have polynomially  $m$ -complete elements.

## 8. Automata on finite words

In this section we discuss some hierarchies and reducibilities arising in automata theory. Automata theory is an important part of computer science with many deep applications. In fact, many results of this extensive field became already a part of the information technology being realized in most of the existing hardware and software systems. At the same time, automata theory remains an area of active research, with many long-standing open problems. The theory is naturally divided in two parts devoted to the study of finite and infinite behavior of computing devices. In this section we consider the finite behavior of finite automata which is captured by the notion of regular language, i.e. the set of words recognized by a deterministic finite automaton (dfa). A positive feature of this field is that many important decision problems concerning dfa's are decidable (in contrast, say, with computability or complexity theory). Accordingly, much effort is devoted to finding the optimal decision algorithms and to the complexity issues.

There are several well-established approaches to automata theory, among the most influential being the algebraic approach [102] of Eilenberg, Schützenberger and many others (exploiting the deep connections of finite automata to finite semigroups) and the logical approach of R. Büchi, R. McNaughton, S. Peipert [19,96] and many others (exploiting the deep connections of finite automata to axiomatizability by logical sentences). Though both approaches would be possible for describing most of the further material, we use mainly the logical approach because it is better related to other sections of this paper.

Hierarchies in automata theory (in particular, the Brzozowski's dot-depth hierarchy) were introduced in the 1970-s in terms of the Kleene regular expressions. In [164] a characterization of the dot-depth hierarchy as a logical quantifier-alternation hierarchy was obtained. Later similar facts were established for other natural hierarchies. This makes these hierarchies similar to the hierarchies of sentences in Section 2.1, only this time one considers sentences modulo equivalence in finite models of a theory. More recently, people began to consider also fine hierarchies of regular languages [147,149,51,128,136,145] and reducibilities inducing nontrivial degree structures on the regular sets [10,167,158,48,136,145].

### 8.1. Preliminaries

We assume the reader to be familiar with the standard notions and facts of automata theory which may be found e.g. in [162,105]. If not stated otherwise,  $A$  denotes some finite alphabet with at least two letters. Let  $A^*$  and  $A^+$  be the sets of finite (respectively, of finite non-empty) words over  $A$ . Sets of words are called languages. In this section we mainly use the logical approach to the theory of regular languages. This is the reason why we mostly deal with subsets of  $A^+$  (they correspond to the non-empty structures, the empty structure is excluded because dealing with it in logic is not usual). With suitable changes analogs of the results below hold also for the subsets of  $A^*$ .

By *automaton* (over  $A$ ) we mean a triple  $\mathcal{M} = (Q, A, f)$  consisting of a finite non-empty set  $Q$  of states, the input alphabet  $A$  and a transition function  $f : Q \times A \rightarrow Q$ . The transition function is naturally extended to the function  $f : Q \times A^* \rightarrow Q$  defined by induction  $f(q, \varepsilon) = q$  and  $f(q, u \cdot x) = f(f(q, u), x)$ , where  $\varepsilon$  is the empty word,  $u \in A^*$  and  $x \in A$ . A *word acceptor* is a triple  $(\mathcal{M}, i, F)$  consisting of an automaton  $\mathcal{M}$ , an initial state  $i$  of  $\mathcal{M}$  and a set of final states  $F \subseteq Q$ . Such an acceptor recognizes the language  $L(\mathcal{M}, i, F) = \{u \in A^* \mid f(i, u) \in F\}$ . Languages recognized by such acceptors are called *regular*.

Relate to any alphabet  $A = \{a, \dots\}$  the signatures  $\varrho = \{\leq, Q_a, \dots\}$  and  $\sigma = \{\leq, Q_a, \dots, \perp, \top, p, s\}$ , where  $\leq$  is a binary relation symbol,  $Q_a$  (for any  $a \in A$ ) is a unary relation symbol,  $\perp$  and  $\top$  are constant symbols, and  $p, s$  are unary function symbols. A word  $u = u_0 \dots u_n \in A^+$  may be considered as a structure  $\mathbf{u} = (\{0, \dots, n\}; <, Q_a, \dots)$  of signature  $\sigma$ , where  $<$  has its usual meaning,  $Q_a(a \in A)$  are unary predicates on  $\{0, \dots, n\}$  defined by  $Q_a(i) \leftrightarrow u_i = a$ , the symbols  $\perp$  and  $\top$  denote the least and the greatest elements, while  $p$  and  $s$  are respectively the predecessor and successor functions on  $\{0, \dots, n\}$  (with  $p(0) = 0$  and  $s(n) = n$ ).

For a sentence  $\phi$  of  $\sigma$ , set  $L_\phi = \{u \in A^+ \mid \mathbf{u} \models \phi\}$ . Sentences  $\phi, \psi$  are treated as equivalent when  $L_\phi = L_\psi$ . A language is *FO $_\sigma$ -axiomatizable* if it is of the form  $L_\phi$  for some first-order sentence  $\phi$  of signature  $\sigma$ . Similar notions apply to other signatures in place of  $\sigma$ . It is well-known (see e.g. [96,163,155,103,105]) that the class of *FO $_\sigma$ -definable* languages (as well as the class of *FO $_\varrho$ -definable* languages) coincides with the important class of *regular aperiodic languages* which are also known as *star-free languages*.

We will discuss also some enrichments of the signature  $\sigma$ . Namely, for any positive integer  $d$  let  $\tau_d$  be the signature  $\sigma \cup \{P_d^0, \dots, P_d^{d-1}\}$ , where  $P_d^r$  is the unary predicate true on the positions of a word which are equivalent to  $r$  modulo  $d$ . By *FO $_{\tau_d}$ -definable* language we mean any language of the form  $L_\phi$ , where  $\phi$  is a first-order sentence of signature  $\tau_d$ . Note that signature  $\tau_1$  is essentially the same as  $\sigma$  because  $P_1^0$  is the valid predicate. In contrast, for  $d > 1$  *FO $_{\tau_d}$ -definable* languages need not be aperiodic. E.g., the sentence  $P_2^1(\top)$  defines the language  $L$  consisting of all words of even length which is known to be non-aperiodic. We are also interested in the signature  $\tau = \bigcup_d \tau_d$ .

It is known [155,136,145] that the class *FO $_\tau$*  (*FO $_{\tau_d}$* ) coincides with the class of so called regular quasi-aperiodic (respectively,  $d$ -quasi-aperiodic) languages. In [36,145] it was observed that  $\text{FO}_\tau = \bigcup_d \text{FO}_{\tau_d}$ . Note that the set of quasi-aperiodic regular languages is a proper subset of the set of regular languages. An example of regular non-quasi-aperiodic language is the language over  $\{a, b\}$  consisting of all words with an even number of entries of  $a$ .

It is easy to see that, for any fixed  $d > 0$ , the non-empty words correspond bijectively to the (isomorphism types of) finite models of the theory  $\text{CLO}^{\tau_d}$  ( $\text{CLO}$  stand for “colored linear order”) of signature  $\tau_d$  with the following axioms:

- $<$  is a linear order,
- any element satisfies exactly one of the predicates  $Q_a$  ( $a \in A$ ),
- $\forall x(\perp \leq x \leq \top)$ ,
- $\forall x(p(x) \leq x \wedge \neg \exists y(p(x) < y < x))$ ,
- $\forall x(x \leq s(x) \wedge \neg \exists y(x < y < s(x)))$ ,
- $\forall x(x > \perp \rightarrow p(x) < x)$ ,
- $\forall x(x < \top \rightarrow x < s(x))$ ,
- $P_d^0(\perp)$ ,
- any element satisfies exactly one of the predicates  $P_d^0, \dots, P_d^{d-1}$ ,
- $\forall x < \top (P_d^r(x) \rightarrow P_d^{r+1}(s(x)))$  for  $0 \leq r < d-1$ ,
- $\forall x < \top (P_d^{r-1}(x) \rightarrow P_d^0(s(x)))$ .

### 8.2. Bases and reducibilities

We denote by  $\Sigma_n^\sigma$  the class of languages that can be axiomatized by a  $\Sigma_n^0$ -sentence of  $\sigma$ . The classes  $\Sigma_n^\varrho$  are defined analogously with respect to  $\varrho$ . We also use the standard  $\Pi$ - and  $\Delta$ -notation from the hierarchy theory. There is a levelwise correspondence of these classes to the well-known concatenation hierarchies of automata theory. Namely, the classes  $\Sigma_n^\varrho$  and  $\text{BC}(\Sigma_n^\varrho)$  coincide with the classes of the Straubing–Thérien hierarchy [104], while classes  $\Sigma_n^\sigma$  and  $\text{BC}(\Sigma_n^\sigma)$  coincide with the classes of the dot-depth hierarchy [164].

The notation above applies also to the signatures  $\tau_d$  and  $\tau$  from the previous subsection. In [145] it was observed that  $\Sigma_n^\tau = \bigcup_d \Sigma_n^{\tau_d}$  for each  $n > 0$ , where  $\Sigma_n$  with an upper index denotes the class of regular languages axiomatized by  $\Sigma_n$ -sentences of the corresponding signature in the upper index. For any signature  $\theta$  as above, we call the corresponding

quantifier-alternation hierarchy  $\theta$ -hierarchy. As follows from the well-known facts from logic, the  $\varrho$ -,  $\sigma$ -,  $\tau_d$ - and  $\tau$ -hierarchies are  $\omega$ -bases. It is known [164,136] that all these hierarchies do not collapse. These  $\omega$ -bases are the starting point for the subsequent discussion of the fine hierarchies of regular languages.

Unfortunately, the introduced  $\omega$ -bases are probably not reducible. In [149] it was shown that none of the classes  $\Sigma_n^e, \Pi_n^e, \Sigma_n^\sigma, \Pi_n^\sigma$  has the reduction property, for each  $n > 0$ . In [149,158,136] it was shown that the classes  $\Sigma_1^e, \Sigma_2^e, \Sigma_1^\sigma, \Sigma_1^{\tau_d}$  (for each  $d > 0$ ) and  $\Sigma_1^\tau$  have the separation property.

In [158] the reducibility by quantifier-free formulas of signature  $\sigma$  was introduced and studied. Here we define a generalization of this notion from [136,145] to the signature  $\tau_d$  for any fixed  $d > 0$ . A  $qf \tau_d$ -interpretation  $I$  over alphabets  $A = \{a, \dots\}$  and  $B = \{b, \dots\}$  is given by a tuple

$$(\phi_U(\bar{x}), \phi_{<}(\bar{x}, \bar{y}), \phi_{\perp}(\bar{x}), \phi_{\top}(\bar{x}), \phi_S(\bar{x}, \bar{y}), \phi_b(\bar{x}), \dots, \phi_d^r(\bar{x}))$$

where  $\bar{x} = (x_1, \dots, x_n)$  and  $\bar{y} = (y_1, \dots, y_n)$  are sequences of different variables of the same length  $n > 0$  ( $n$  is fixed in advance) and  $\phi_U(\bar{x}), \dots, \phi_d^r(\bar{x})$  are quantifier-free formulas of  $\tau_d^A$  with the following properties. Let  $u = u_0 \dots u_l$  be any word over  $A$  of length  $|u| = l + 1$ . Then the set  $T = \{\bar{x} \in \{0, \dots, l\}^n \mid \mathbf{u} \models \phi_U(\bar{x})\}$  should be non-empty and the formulas  $\phi_{<}(\bar{x}, \bar{y}), \phi_{\perp}(\bar{x}), \phi_{\top}(\bar{x}), \phi_S(\bar{x}, \bar{y}), \phi_b(\bar{x}), \dots, \phi_d^r(\bar{x})$  interpreted in  $\mathbf{u}$  should define a model of  $CLO^{\tau_d}_B$  with the universe  $T$  (the formulas  $\phi_{\perp}(\bar{x}), \phi_{\top}(\bar{x})$  should be true exactly on the first and the last element, respectively). Since the finite models of  $CLO^{\tau_d}_B$  are in a bijective correspondence with elements of  $B^+$ , any  $qf \tau_d$ -interpretation  $I$  induces a function  $u \mapsto u^I$  from  $A^+$  into  $B^+$ .

**Examples.** 1. Let  $\phi_U(\bar{x})$  be a valid formula, let  $\phi_{<}(\bar{x}, \bar{y})$  define the lexicographic ordering between  $\bar{x}$  and  $\bar{y}$ , let  $\phi_{\perp}(\bar{x}), \phi_{\top}(\bar{x}), \phi_S(\bar{x}, \bar{y})$  be defined in the obvious way according to their intended interpretations, and let  $\phi_b(\bar{x}), \dots, \phi_d^r(\bar{x})$  be chosen in a way to get a model of  $CLO^{\tau_d}_B$  on this ordering. Then  $u^I$  is of length  $|u|^n$ , and letters of the word  $u^I$  are easily computed from the interpretation. Note that  $u \mapsto u^I$  is a *plt*-function.

2. Let  $\phi_U(x)$  be a valid formula of one variable  $x$ , let  $\phi_{<}(x, y)$  be  $x > y$ , let  $\phi_a(x)$  be  $Q_a(x)$  for any  $a \in A$ , let  $\phi_{\perp}(\bar{x}), \phi_{\top}(\bar{x})$  be  $x = \top, x = \perp$  respectively, and let  $\phi_S(x, y)$  be  $S(y, x)$ . Let  $\phi_d^r(\bar{x})$  be defined in the obvious way to satisfy the axioms of  $CLO^{\tau_d}$ . Then we obtain a  $qf \tau_d$ -interpretation  $I$  over  $A$  and  $A$  such that  $u^I$  is the reverse of the word  $u \in A^+$ . Note that  $u \mapsto u^I$  is a *plt*-function.

3. Let  $u \mapsto pu$  be the function on  $A^+$  which adds a fixed prefix  $p \in A^*$  to a word  $u$ . Is there a  $qf \tau_d$ -interpretation  $I$  over  $A$  and  $A$  such that  $u^I = pu$  for any  $u$ ? For  $p = \varepsilon$  the answer is of course positive, otherwise it is negative (since any  $qf \tau_d$ -interpretation sends words of length 1 to words of length 1). But it is easy to see that there is a  $qf \tau_d$ -interpretation  $I$  such that  $u^I = pu$  for any  $u$  of length  $> 1$ . The same of course applies to the operation of adding a suffix to a word.

4. For any semigroup morphism  $h : A^+ \rightarrow B^+$  with the property  $\forall a, b \in A (|h(a)| \equiv |h(b)| \pmod{d})$  there is a  $qf \tau_d$ -interpretation  $I$  over  $A$  and  $B$  such that  $u^I = h(u)$  for almost all  $u \in A^+$  (i.e. for all but finitely many words).

**Definition 8.1.** A function  $f : A^+ \rightarrow B^+$  is called  $qf \tau_d$ -function if there is a  $qf \tau_d$ -interpretation  $I$  over  $A$  and  $B$  such that  $u^I = f(u)$  for almost all  $u \in A^+$ .  $qf \tau_d$ -Reducibility is the  $m$ -reducibility by  $qf \tau_d$ -functions.

In [158,136,145] it was shown that these reducibilities have quite natural properties, in particular for any  $d > 0$  the  $qf \tau_d$ -reducibility fits the  $\tau_d$ -hierarchy. The first impression is that these reducibilities are related by inclusion for the different moduli  $d$  but actually for all distinct  $d, e > 0$ , the  $qf \tau_d$ -reducibility is incomparable with the  $qf \tau_e$ -reducibility [145]. It is also possible to find reducibilities that fit the  $\varrho$ - and  $\tau$ -hierarchies but we will not discuss them in this paper. Please note the essential difference from (some of) the preceding sections where we had one notion of  $m$ -reducibility that fits all hierarchies we have discussed. In contrast, in this section we have different reducibilities for the different bases (and for the fine hierarchies over these bases).

### 8.3. Difference hierarchies

Here we briefly discuss the DH's  $\{\Sigma_n^\theta(m)\}_m$  over any level of the  $\theta$ -hierarchy, for the signatures  $\theta$  introduced above. All these DH's do not collapse [147,149,136,145] which is proved by introducing suitable alternating-chain invariants for all levels in terms of some Ehrenfeucht–Fraïssé games. By [158,136,145], the DH's for the signatures  $\tau_d$  fit the  $qf \tau_d$ -reducibility. Moreover, the DH over  $\Sigma_1^{\tau_d}$  is  $qf \tau_d$ -discrete by [145] and Proposition 3.6. As usual, the discreteness does not hold anymore for the DH's over  $\Sigma_n^{\tau_d}$  for  $n \geq 2$ .

Next we would like to discuss the problem of decidability of levels of the DH's (given an acceptor  $\mathcal{M}$ , decide whether  $L(\mathcal{M})$  belongs to a given level). Currently, decidability is known only for levels of the DH over the first levels of the quantifier-alternation hierarchies and a couple of close classes.

An especially easy proof may be provided for the DH over  $\Sigma_1^e$ . In this case it is possible to find a natural well poset related to this hierarchy exactly as in Section 3.1. Namely, define a partial order on  $A^+$  as follows:  $u \subseteq v$ , if  $\mathbf{u}$  is embeddable into  $\mathbf{v}$ . By a well-known result of G. Higman,  $(A^+; \subseteq)$  is a well poset. Moreover, it is easy to see that  $\Sigma_1^e$  coincides with the class of upper sets in this well poset. By Proposition 3.4,  $\Sigma_1^e(n)$  coincides with the class of sets that have no 1-alternating chains of length  $n$  in  $(A^+; \subseteq)$ . This (along with several other related facts) was observed in [153,51,128]. This result implies decidability of any level  $\Sigma_1^e(n)$  as follows (our proof here is shorter than those in [51,128]). Since the computable sets coincide with the level  $\Delta_1^0$  of the arithmetical hierarchy, it suffices to show that the relation " $L(\mathcal{M}) \in \Sigma_1^e(n)$ " is in both  $\Sigma_1^0$  and  $\Pi_1^0$ . By definition,  $L(\mathcal{M})$



is in  $\Sigma_1^{\mathcal{Q}}(n)$  iff there is a sentence  $\phi$  of signature  $\mathcal{Q}$  such that  $\phi$  is a certain Boolean combination of  $n$  existential sentences and  $L(\mathcal{M}) = L_{\phi}$ . Since the last relation is computable, the relation “ $L(\mathcal{M}) \in \Sigma_1^{\mathcal{Q}}(n)$ ” is in  $\Sigma_1^0$ . Furthermore,  $L(\mathcal{M}) \notin \Sigma_1^{\mathcal{Q}}(n)$  iff there is an 1-alternating chain for  $L(\mathcal{M})$  of length  $n$ . The last characterization shows that the relation “ $L(\mathcal{M}) \notin \Sigma_1^{\mathcal{Q}}(n)$ ” is in  $\Sigma_1^0$ . Thus, the relation “ $L(\mathcal{M}) \in \Sigma_1^{\mathcal{Q}}(n)$ ” is computable. This illustrates the usefulness of the method of alternating chains. The method implies several other decidability results of this type, though sometimes more sophisticated characterizations are needed.

We illustrate one such more sophisticated characterization by sketching a result in [51] about the classes  $\Sigma_1^{\sigma}(n)$ . In this case it seems impossible to find a well partial order on words with the properties like those in the previous paragraph. Instead, it is possible to relate effectively to any acceptor  $\mathcal{M}$  a computable well poset  $(P; \leq_{\mathcal{M}})$  (of the so called structured words) and a computable surjection  $f : P \rightarrow A^+$  such that  $L(\mathcal{M}) \in \Sigma_1^{\sigma}(n)$  iff  $f^{-1}(L(\mathcal{M}))$  has no 1-alternating chains of length  $n$  in  $(P; \leq_{\mathcal{M}})$ . By a version of the argument above, the relation “ $L(\mathcal{M}) \in \Sigma_1^{\sigma}(n)$ ” is computable. A similar argument applies to the DH over  $\Sigma_1^{\tau_d}$  for each  $d \geq 1$  [136,145].

As usual, after proving decidability of a natural problem one is interested in its complexity. There are many papers in which exact complexity estimations of the problems similar to those discussed here are found. We do not describe them here systematically because it is not directly related to the topic of this paper. We give only the following examples: for any  $n > 0$ , the relation “ $L(\mathcal{M}) \in \Sigma_1^{\mathcal{Q}}(n)$ ” is NL-complete w.r.t. deterministic logarithmic space  $m$ -reductions [157] while the relation “ $L(\mathcal{M}) \in FO_{\mathcal{Q}}$ ” is PSPACE-complete [24]. Recently, similar results were obtained for signature  $\tau_d$  in place of  $\mathcal{Q}$  [52]. Interestingly, the proof of NL-upper bound requires a new characterization of  $\Sigma_1^{\tau_d}(n)$  in terms of alternating chains which is crucial for the formulation of deciding algorithm working in nondeterministic logarithmic space.

#### 8.4. Fine hierarchy

Here we mention a couple of known facts about other fine hierarchies of regular languages. E.g., it may be shown that the symmetric-difference hierarchy over the  $\omega$ -base  $\{\Sigma_{n+1}^{\sigma}\}$  does not collapse (see the next subsection for details). By [158,136], most levels of the typed Boolean “hierarchies” over the  $\omega$ -bases  $\{\Sigma_{n+1}^{\tau_d}\}$  have a  $qf\tau_d$ -complete sets. Since these bases (and other bases discussed above) are not reducible, the order of the levels of the typed Boolean “hierarchies” under inclusion may be non-trivial. And indeed, in [149] it was shown there are three pairwise incomparable levels of the typed Boolean “hierarchy” over  $\{\Sigma_{n+1}^{\sigma}\}$ .

#### 8.5. Relation to complexity theory

The subject of this section is obviously related to Section 5. In a sense the both sections consider the same hierarchies, only here we deal only with the finite models of sentences while in Section 5 with arbitrary models. Less obvious is the close relation of this section to complexity theory which we briefly discuss here. For a comprehensive survey see [175].

Consider a polynomial-time nondeterministic Turing machine  $M$  working on an input word  $x$  over some alphabet  $B$  and printing a letter from another alphabet  $A$  after finishing any computation path. The printed letters from  $A$  are on the leaves of the binary tree defined by the nondeterministic choices of  $M$  on input  $x$ . An order of the tuples in the program of  $M$  determines a left-to-right order of all the leaves. In this way,  $M$  may be considered as a deterministic transducer that computes a total function  $M : B^* \rightarrow A^+$ . Now, relate to any language  $L \subseteq A^+$  (called in this situation a leaf language) the language  $M^{-1}(L) \subseteq B^*$ . Denote by  $\text{Leaf}_b(L)$  the set of languages  $M^{-1}(L)$ , for all machines  $M$  specified above which have balanced (in some natural sense, see [175] for details) computation trees, and denote by  $\text{Leaf}_u(L)$  the set of languages  $M^{-1}(L)$ , for all machines  $M$  specified above (which may have unbalanced computation trees). Obviously, we have  $\text{Leaf}_b(L) \subseteq \text{Leaf}_u(L)$  for every language  $L$ , and there exist languages  $L$  where  $\text{Leaf}_b(L) = \text{Leaf}_u(L)$  is unlikely. For a class of languages  $\mathcal{C}$ , set  $\text{Leaf}(\mathcal{C}) = \bigcup \{\text{Leaf}(L) \mid L \in \mathcal{C}\}$ . When we write a formula containing  $\text{Leaf}$  without a subscript we mean that the formula holds for both  $\text{Leaf}_b$  and  $\text{Leaf}_u$ .

It turns out that many important complexity classes have natural and useful characterizations in terms of leaf languages (see e.g. [10,167,67,17,68,69]). In particular, a close relationship between some classes of regular leaf languages and complexity classes within PSPACE was established in [67], e.g. we have  $\text{Leaf}(\mathcal{R}) = \text{PSPACE}$  and  $\text{Leaf}(\mathcal{A}) = \text{PH}$  where  $\mathcal{R}$  and  $\mathcal{A}$  are the classes of regular and regular aperiodic languages, respectively. In [20], a close relationship between some hierarchies discussed here and the polynomial hierarchy was established:  $\text{Leaf}(\Sigma_n^{\mathcal{Q}}) = \text{Leaf}(\Sigma_n^{\sigma}) = \Sigma_n^p$ , for each  $n > 0$  (this result extends also to the  $\Delta$ -levels [16]). The last result was extended in [130] (for the unbalanced mode) to all levels of the typed Boolean “hierarchies” over the  $\omega$ -bases  $\{\Sigma_{n+1}^{\mathcal{Q}}\}$  and  $\{\Sigma_{n+1}^p\}$ . In particular, this applies to all levels of the symmetric-difference hierarchies over these  $\omega$ -bases. Moreover, these results holds uniformly on the oracle (if we take the non-deterministic oracle machines in the above description of the leaf-language definability).

What is the aim of proving results of this kind? In my opinion, the existence of nontrivial connections between automata-theoretic and complexity-theoretic hierarchies is interesting in its own right and is somewhat unexpected. Moreover, sometimes results of this type may be even of use. E.g., assume for a moment that the  $\mathcal{Q}$ -hierarchy collapses. By a result above, the polynomial hierarchy would then collapse too. This is of course unlikely, hence the  $\mathcal{Q}$ -hierarchy should not collapse. This may be turned to the exact proof if we take into account that the polynomial hierarchy does not collapse

modulo a suitable oracle, and the results above are relativizable. Thus, the non-collapse of the  $\varrho$ -hierarchy follows from a known fact of complexity theory. Similarly, [Theorem 7.3](#) and a result above implies that the symmetric-difference hierarchy over  $\{\Sigma_{n+1}^{\varrho}\}$  does not collapse.

We conclude this subsection by mentioning a very relevant reducibility introduced in [\[10\]](#) and independently in [\[167\]](#).

**Definition 8.2.** (i) A language  $L \subseteq A^*$  is polylogtime reducible to  $K \subseteq B^*$ , for short  $L \leq_{\text{plt}} K$ , if there exist functions  $f : A^* \times \mathbb{N} \rightarrow B$  and  $g : A^* \rightarrow \mathbb{N}$ , computable in polylogarithmic time (on a deterministic Turing machine which treats the input word as an oracle) such that  $x \in L \leftrightarrow f(x, 1)f(x, 2) \dots f(x, g(x)) \in K$  for every  $x \in A^*$ .

(ii) By plt-function we mean any function of the form  $x \mapsto f(x, 1)f(x, 2) \dots f(x, g(x))$  where  $f$  and  $g$  are computable in polylogarithmic time.

**Examples.** 1. The function  $u \mapsto pu$  on  $A^+$  which adds a fixed prefix  $p \in A^*$  to a word  $u$  is a plt-function. The same of course applies to the operation of adding a suffix to a word.

2. Let  $h : A^+ \rightarrow B^+$  be a semigroup morphism. Such functions are defined by their values  $h : A \rightarrow B^+$  on the letters of  $A$  (i.e., words of length 1) because we have  $h(a_0 \dots a_l) = h(a_0) \dots h(a_l)$ , where  $a_i \in A$ . It is easy to see that any such function  $h$  with the property  $\forall a, b \in A (|h(a)| = |h(b)|)$  is a plt-function.

The following result from [\[10,167\]](#) relates plt-reducibility to the balanced version of leaf language definability.

**Theorem 8.3.** For all languages  $L$  and  $K$ ,  $L \leq_{\text{plt}} K$  iff  $\text{Leaf}_b(L)^{\varrho} \subseteq \text{Leaf}_b(K)^{\varrho}$  for all oracles  $\varrho$ .

Though plt-reducibility does not fit any hierarchy discussed in this section, it is very relevant to the  $qf \tau_d$ -reducibilities, in particular any level of the  $\tau_d$ -hierarchy (for each  $d > 0$ ) has a plt-complete set [\[136\]](#). The classes of regular aperiodic and quasi-aperiodic languages may be characterized in the complexity-theoretic terms [\[175,136\]](#) as follows: a regular language  $L$  is aperiodic iff  $\text{Leaf}_u(L)^{\varrho} \subseteq PH^{\varrho}$  for all oracles  $\varrho$ ; a regular language  $L$  is quasi-aperiodic iff  $\text{Leaf}_b(L)^{\varrho} \subseteq PH^{\varrho}$  for all oracles  $\varrho$ .

In [\[175\]](#) a notion of reducibility (called ptt-reducibility) was introduced which is related to the unbalanced leaf language definability in exactly the same way as in [Theorem 8.3](#). In [\[14,136,145\]](#) exact characterizations of NP in terms of regular leaf languages were obtained. Some non-trivial initial segments of the  $qf \tau_d$ -degrees were characterized in [\[158,136,145\]](#). For more results in this direction see [\[158,136,175,48,49,145\]](#).

## 8.6. Future work

The most challenging open problem related to this section is the problem of decidability of levels of the hierarchies discussed above. In fact, already the decidability questions for  $BC(\Sigma_2^{\varrho})$  and  $\Sigma_2^{\varrho}(2)$  are open.

There are many interesting open questions about the reducibilities considered above. Sometimes we formulate them in the form of conjectures.

**Conjecture 1.** The relations  $\leq_{\text{plt}}$  and  $\leq_{qf\tau_d}$  are decidable on the regular languages. This means (for  $\leq_{qf\tau_d}$ ) that there exists an algorithm which decides, given dfa's recognizing the languages  $L$  and  $M$ , whether  $L \leq_{qf\tau_d} M$ .

This conjecture seems very hard because (together with the completeness results above) implies the decidability of all levels of the  $\sigma$ -hierarchy which is a long-standing open question of automata theory. One could weaken the Conjecture 1 in different ways to get less hard problems, e.g.

**Conjecture 2.** The relations  $\leq_{\text{plt}}$  and  $\leq_{qf\tau_d}$  are decidable on  $BC(\Sigma_1^{\sigma})$ .

The last conjecture seems rather plausible (though not easy to prove!) because in [\[51,128\]](#) the decidability of several natural problems related to  $BC(\Sigma_1^{\sigma})$  was established.

Many natural questions on the introduced degree structures also remain open, e.g. is there an infinite antichain or an infinite descending chain within  $(BC(\Sigma_1^{\sigma}); \leq_{qf\tau_d})$ ? Investigation of the initial segments of these degree structures seems also interesting.

We would like to see more work on the fine hierarchies and the hierarchies of  $k$ -partitions over the bases and  $\omega$ -bases discussed in this section.

## 9. Automata on infinite words

Investigation of the infinite behavior of computing devices is of great interest for computer science because many hardware and software concurrent systems (like processors or operating systems) may not terminate. In many cases, the infinite behavior of a device is captured by the notion of  $\omega$ -language recognized by the device. The most basic notion of this field is that of regular  $\omega$ -languages, i.e.  $\omega$ -languages recognized by finite automata. Regular  $\omega$ -languages play an important role in the theory and technology of specification and verification of finite state systems. They are also important for the synthesis problem asking for an efficient construction of a system satisfying a given specification [\[22\]](#).

Regular  $\omega$ -languages were introduced by J.R. Büchi in the 1960-s and studied by many people including B.A. Trakhtenbrot, R. McNaughton and M.O. Rabin. The subject quickly developed into a rich topic with several deep applications. Much

information and references on the subject may be found e.g. in [162,165,166,151,173,105]. We assume acquaintance with some basic concepts, notation and results in this field, all of them may be found in the cited sources.

One branch of the discussed topic deals with the classifications of regular  $\omega$ -languages by means of topology, hierarchies and reducibilities. A series of papers culminated with the paper [173] giving, in a sense, the finest possible classification. In [121,123,125] the Wagner hierarchy of regular  $\omega$ -languages was related to the Wadge hierarchy and to the author's fine hierarchy. This provided new proofs of results in [173] and yielded some new results on the Wagner hierarchy. See also an alternative algebraic approach [26,27,31,21]. Later some results from [173,125] were extended to other computing devices [32,131,98,46,29,140].

### 9.1. Preliminaries

For a finite alphabet  $A$ , let  $A^*$  and  $A^\omega$  denote respectively the sets of all words and of all  $\omega$ -words (i.e. sequences  $\alpha : \omega \rightarrow A$ ) over  $A$ . We use some almost standard notation concerning words and  $\omega$ -words, so we are not too casual in reminding it here. For  $w \in A^*$  and  $\alpha \in A^* \cup A^\omega$ ,  $w \subseteq \alpha$  means that  $w$  is the substring of  $\alpha$ ,  $w \cdot \alpha = w\alpha$  denote the concatenation,  $l = |w|$  is the length of  $w = w(0) \cdots w(l-1)$ . For  $w \in A^*$ ,  $W \subseteq A^*$  and  $L \subseteq A^* \cup A^\omega$ , let  $w \cdot L = \{w\alpha \mid \alpha \in L\}$  and  $W \cdot L = \{w\alpha \mid w \in W, \alpha \in L\}$ . For  $k, l < \omega$  and  $\alpha \in A^* \cup A^\omega$ , let  $\alpha[k, l] = \alpha(k) \cdots \alpha(l-1)$  and  $\alpha[k] = \alpha[0, k]$ . Our notation does not distinguish a word of length 1 and the corresponding letter.

By *initial automaton* (over  $A$ ) we mean a tuple  $(Q, A, f, i)$  consisting of a dfa  $(Q, A, f)$  and an initial state  $i \in Q$ . The transition function  $f$  is naturally extended to the function  $f : Q \times A^* \rightarrow Q$  defined by induction  $f(q, \varepsilon) = q$  and  $f(q, u \cdot x) = f(f(q, u), x)$ , where  $u \in A^*$  and  $x \in A$ . Similarly, we may define the function  $f : Q \times A^\omega \rightarrow Q^\omega$  by  $f(q, \xi)(n) = f(q, \xi[n])$ . Relate to any initial automaton  $\mathcal{M}$  the set of cycles  $C_{\mathcal{M}} = \{f_{\mathcal{M}}(\xi) \mid \xi \in A^\omega\}$  where  $f_{\mathcal{M}}(\xi)$  is the set of states which occur infinitely often in the sequence  $f(i, \xi) \in Q^\omega$ . Note that in this section we consider mainly dfa's.

A *Muller acceptor* has the form  $(\mathcal{M}, \mathcal{F})$  where  $\mathcal{M}$  is an initial automaton and  $\mathcal{F} \subseteq C_{\mathcal{M}}$ ; it recognizes the set  $L(\mathcal{M}, \mathcal{F}) = \{\xi \in X^\omega \mid f_{\mathcal{M}}(\xi) \in \mathcal{F}\}$ . It is well known that Muller acceptors recognize exactly the *regular  $\omega$ -languages* called also just regular sets. The class  $\mathcal{R}$  of all regular  $\omega$ -languages is a proper subclass of  $BC(\Sigma_2^0)$  that in turn is a proper subclass of  $\Delta_3^0$ .

Next we define aperiodic regular  $\omega$ -languages. This important class of sets has several characterizations, in particular as: languages of  $\omega$ -words axiomatized by first-order sentences of a natural signature, as in the previous section for the finite words; languages of  $\omega$ -words satisfying a formula of linear time temporal logic; languages recognized by aperiodic acceptors [96,163,165,166]. We take the last characterization as the definition here: an automaton  $\mathcal{M} = (Q, X, f)$  is *aperiodic* if for all  $q \in Q$ ,  $u \in A^+$  and  $n > 0$  the equality  $f(q, u^n) = q$  implies  $f(q, u) = q$ . It is clearly equivalent to say that for all  $q \in Q$  and  $u \in A^+$  there is  $m < \omega$  with  $f(q, u^{m+1}) = f(q, u^m)$ . An acceptor (or a transducer) is aperiodic if so is the corresponding automaton. A regular  $\omega$ -language is *aperiodic* if it is recognized by an aperiodic Muller acceptor. The class of regular aperiodic  $\omega$ -languages is denoted  $\mathcal{A}$ .

Next we define functions computed by dfa's. A *synchronous transducer* (over alphabets  $A, B$ ) is a tuple  $\mathcal{T} = (Q, A, B, f, g, i)$ , also written as  $\mathcal{T} = (\mathcal{M}, B, g, i)$ , consisting of an automaton  $\mathcal{M}$ , an initial state  $i$  and an output function  $g : Q \times A \rightarrow B$ . The output function is extended to the function  $g : Q \times A^* \rightarrow B^*$  defined by induction

$$g(q, \varepsilon) = \varepsilon, \quad g(q, u \cdot x) = g(q, u) \cdot g(f(q, u), x),$$

and to the function  $g : Q \times A^\omega \rightarrow B^\omega$  defined by

$$g(q, \xi) = g(q, \xi(0)) \cdot g(f(q, \xi(0)), \xi(1)) \cdot g(f(q, \xi[0, 2)), \xi(2)) \cdots$$

In other notation,  $g(q, \xi) = \lim_n g(q, \xi[n])$ . The transducer  $\mathcal{T}$  computes the function  $g_{\mathcal{T}} : A^\omega \rightarrow B^\omega$  defined by  $g_{\mathcal{T}}(\xi) = g(i, \xi)$ . If the output function is of the form  $g : Q \rightarrow B$  (i.e., does not really depend on the second argument), then  $\mathcal{T}$  is called *delayed synchronous transducer*.

*Asynchronous transducer* (over alphabets  $X, Y$ ) is defined as a synchronous transducer with only one exception: this time the output function  $g$  maps  $Q \times A$  into  $B^*$ . As a result, the value  $g(q, \xi)$  defined as above is in  $B^{\leq \omega}$ , and the function  $g_{\mathcal{T}}$  maps  $A^\omega$  into  $B^{\leq \omega}$ . Nevertheless, we usually consider the case when  $g_{\mathcal{T}}$  maps  $A^\omega$  into  $B^\omega$ ; this condition is easily characterized in terms of  $\mathcal{T}$ .

Functions computed by synchronous (asynchronous, aperiodic synchronous, aperiodic asynchronous) transducers are called *DS-functions* (respectively, *DA-functions*, *AS-functions* and *AA-functions*). Following [173], for the case of uniformity we call continuous functions *CA-functions* and continuous synchronous functions (defined in the obvious way) — *CS-functions*. The delayed versions of the synchronous notions are defined similarly. As is well known, all introduced classes of functions are closed under composition, any *AA-function* is a *DA-function*, any *DA-function* is a *CA-function*, and similarly for the synchronous versions.

We proceed with some relevant information on the Gale-Stewart games. Let  $A, B$  be some alphabets. Relate to any set  $L \subseteq (A \times B)^\omega$  the Gale-Stewart game  $G(L)$  played by two opponents 0 and 1 as follows. Player 0 chooses a letter  $x_0 \in A$ , then player 1 chooses a letter  $y_0 \in B$ , then 0 chooses  $x_1 \in A$ , then 1 chooses  $y_1 \in B$  and so on. Each player knows all the previous moves. After  $\omega$  moves, 0 has constructed a word  $\xi = x_0 x_1 \cdots \in A^\omega$  while 1 has constructed a word  $\eta = y_0 y_1 \cdots \in B^\omega$ . Player 1 wins this particular play if  $\xi \times \eta = \lambda n. (\xi(n), \eta(n)) \in L$ , otherwise 0 wins.

A *strategy for player 1* (0) in the game  $G(L)$  is a function  $h : A^+ \rightarrow B$  (respectively,  $h : B^* \rightarrow A$ ) that prompts the 1's move (respectively, the 0's move) for any finite string of the opponent's previous moves. It is clear that strategies for 1 (for 0) are

in a bijective correspondence with CS-functions  $h : A^\omega \rightarrow B^\omega$  (respectively, with delayed CS-functions  $h : B^\omega \rightarrow A^\omega$ ); we identify strategies with the corresponding CS-functions.

A strategy  $h$  for player 1 (0) in the game  $G(L)$  is *winning* if the player wins each play when following the strategy, i.e. if  $\xi \times h(\xi) \in L$  for all  $\xi \in A^\omega$  (respectively,  $h(\eta) \times \eta \in \bar{L}$  for all  $\eta \in B^\omega$ ). A set  $L \subseteq (A \times B)^\omega$  is *determined* if one of the players has a winning strategy in  $G(L)$ . It is interesting and useful to know which sets are determined and, in case of determinacy, how complicated it is to compute the winner and how complicated is his winning strategy.

By the Martin determinacy theorem (see Section 4.1), any Borel set  $L \subseteq (A \times B)^\omega$  is determined. Note that, since any regular set is Borel, this implies the determinacy of regular sets. One of the best results of automata theory is the Büchi-Landweber regular determinacy theorem [15] stating that for any regular set  $A$  the winner in  $G(A)$  may be computed effectively, (s)he has a winning strategy which is a *DS*-function, and the strategy is also computed effectively. In [140] we have established the following aperiodic version of the Büchi-Landweber theorem: For any regular aperiodic set  $L \subseteq (A \times B)^\omega$ , the winner of the game  $G(L)$  may be computed effectively, (s)he has a winning strategy which is an *AS*-function, and the strategy is also computed effectively. In [108] some other “restricted versions” of the Büchi-Landweber theorem were established. Such results are closely related to the synthesis problem mentioned above.

We conclude this subsection by citing some facts from [34,151] about the infinite behavior of some computing devices more complicated than dfa's, like push-down automata or Turing machines. Any such device  $\mathcal{M}$  equipped with the Muller accepting condition recognizes the  $\omega$ -language  $L(\mathcal{M})$  (note that the Muller condition makes sense for such devices because the set of inner states is always finite). As observed in [34], if  $\mathcal{M}$  is deterministic then  $L(\mathcal{M}) \in BC(\Sigma_2^0)$ , i.e.  $L(\mathcal{M})$  is topologically not very complicated. By [151], the class of languages recognized by deterministic Turing machines coincides with  $BC(\Sigma_2^0)$  (the lightface version!). For non-deterministic devices  $\mathcal{M}$ , the topological complexity might be much higher. The reason is that there are up to continuum many runs of  $\mathcal{M}$  on an input  $\omega$ -word  $\xi$ , and  $\xi$  is (by definition) accepted if there is a run on  $\xi$  such that the set of inner states of  $\mathcal{M}$  visited infinitely often in this run belongs to the class  $\mathcal{F}$  of sets of states specified by the Muller condition. By [151], the class of languages recognized by non-deterministic Turing machines coincides with the level  $\Sigma_1^1$  of the analytical hierarchy. Thus, levels of the effective hierarchies from Section 6 are relevant to characterizing the infinite behavior of computing devices.

## 9.2. Bases and reducibilities

For this section the following 2-bases are the most interesting: the 2-base  $(\mathcal{L}_0, \mathcal{L}_1)$  where  $\mathcal{L}_n = \mathcal{R} \cap \Sigma_{n+1}^0$  is the class of regular  $\Sigma_{n+1}^0$ -sets,  $n < 2$ , and the 2-base  $(\mathcal{K}_0, \mathcal{K}_1)$  where  $\mathcal{K}_n = \mathcal{A} \cap \Sigma_{n+1}^0$  is the class of regular aperiodic  $\Sigma_{n+1}^0$ -sets,  $n < 2$ . It is easy to see that  $\mathcal{L}_n = \mathcal{R} \cap \Sigma_{n+1}^0$  and  $\mathcal{K}_n = \mathcal{A} \cap \Sigma_{n+1}^0$ , i.e. the classical and effective Borel hierarchies work equally well in this section. Note that it does not make big sense to consider the classes  $\mathcal{L}_n$  and  $\mathcal{K}_n$  for  $n \geq 2$  because, as is well-known,  $\mathcal{R} = BC(\mathcal{L}_1)$  and  $\mathcal{A} = BC(\mathcal{K}_1)$ .

By [156],  $BC(\mathcal{L}_0) = \mathcal{L}_1 \cap co\text{-}\mathcal{L}_1$  and, by [140],  $BC(\mathcal{K}_0) = \mathcal{K}_1 \cap co\text{-}\mathcal{K}_1$ . By [125,140], all classes  $\mathcal{L}_0, \mathcal{L}_1, \mathcal{K}_0, \mathcal{K}_1$  have the reduction property. Hence, the 2-bases  $(\mathcal{L}_0, \mathcal{L}_1)$  and  $(\mathcal{K}_0, \mathcal{K}_1)$  are reducible and interpolable, in the sense of Section 2.10.

We will consider four reducibilities on  $\omega$ -languages. Namely, let  $\leq_{CA}, \leq_m, \leq_{DA}$  and  $\leq_{AA}$  be the  $m$ -reducibilities by the *CA*-, computable, *DA*- and *AA*-functions, respectively. Obviously, *CA*-reducibility is just the Wadge reducibility but we use here the other notation in order to stress the analogy with the two other reducibilities. The Wadge reducibility is natural from the topological point of view but it does not correspond to the constructive nature of dfa's. The class  $\mathcal{R}$  is of course not closed under the Wadge reducibility, and hence the 2-bases do not fit the Wadge reducibility. By [173],  $\mathcal{R}$  is closed under *DA*-reducibility and the 2-base  $(\mathcal{L}_0, \mathcal{L}_1)$  fits the *DA*-reducibility. Similarly, the *CA*-,  $m$ - and *DA*-reducibilities do not correspond well enough to the regular aperiodic sets, i.e. the class  $\mathcal{A}$  is not closed under these reducibilities. By [140],  $\mathcal{A}$  is closed under *AA*-reducibility and the 2-base  $(\mathcal{K}_0, \mathcal{K}_1)$  fits *AA*-reducibility.

It is easy to see that the corresponding degree structures (under all four reducibilities) are upper semilattices. The operation of least upper bound is induced by the operation  $\oplus$  from Section 4.1. The same applies to the case of  $k$ -partitions of the Cantor space.

Note that in [173,125,140] also the synchronous versions of the above-mentioned reducibilities were considered. Although they are quite interesting and closely related to the Gale-Stewart games we do not discuss them below, in order to keep the text more coherent.

## 9.3. Difference hierarchies

Here we discuss the difference hierarchies over the bases  $\mathcal{L}_0, \mathcal{L}_1, \mathcal{K}_0, \mathcal{K}_1$ . For a Muller acceptor  $(\mathcal{M}, \mathcal{F})$ , define [173] a preorder  $\leq_0$  and a partial order  $\leq_1$  on the set of cycles  $C_{\mathcal{M}}$  as follows:  $U \leq_1 V$ , if  $U \supseteq V$ , and  $U \leq_0 V$ , if for any  $q \in U$  there exists a  $w \in X^*$  with  $f(q, w) \in V$ . Note that  $(C_{\mathcal{M}}; \leq_0, \leq_1)$  is a 2-preorder in the sense of Section 3.4.

**Theorem 9.1** ([173]). *Let  $n < \omega$  and let  $(\mathcal{M}, \mathcal{F})$  be a Muller acceptor recognizing the language  $L$ .*

- (i)  $L \in \Sigma_1^0(n)$  iff  $\mathcal{F}$  has no 1-alternating chains of length  $n$  in  $(C_{\mathcal{M}}; \leq_0)$ .
- (ii)  $L \in \Sigma_2^0(n)$  iff  $\mathcal{F}$  has no 1-alternating chains of length  $n$  in  $(C_{\mathcal{M}}; \leq_1)$ .

An immediate corollary of the last result is the decidability of all levels of the DH's over  $\mathcal{L}_0$  and  $\mathcal{L}_1$ . The next result characterizes the Boolean closures of  $\mathcal{L}_0$  and  $\mathcal{K}_0$ .

**Theorem 9.2.** (i) [156] Any regular  $\Delta_2^0$ -set is a Boolean combination of open regular sets, i.e.  $\mathcal{L}_1 \cap \text{co-}\mathcal{L}_1 = BC(\mathcal{L}_0) = \mathcal{R} \cap \Delta_2^0$ .  
(ii) [140] Any regular aperiodic  $\Delta_2^0$ -set is a Boolean combination of open regular aperiodic sets, i.e.  $\mathcal{K}_1 \cap \text{co-}\mathcal{K}_1 = BC(\mathcal{K}_0) = \mathcal{A} \cap \Delta_2^0$ .

#### 9.4. Symmetric difference hierarchy

In [172,173] the following invariants for the Muller acceptors were introduced.

**Definition 9.3.** (i) A chain for a Muller acceptor  $(\mathcal{M}, \mathcal{F})$  is a sequence  $U_0 \subseteq \dots \subseteq U_m$  of elements of  $C_{\mathcal{M}}$  such that  $U_i \in \mathcal{F}$  iff  $U_{i+1} \notin \mathcal{F}$ . The number  $m + 1$  is the length of this chain.

(ii) A chain as above is  $a$ – chain ( $a$ + chain), if  $U_0 \notin \mathcal{M}$  (resp.  $U_0 \in \mathcal{M}$ ).

The next notion is an evident variation of the corresponding notion from [172,173].

**Definition 9.4.** (i) A superchain of type  $(m, n)$  for a Muller automaton  $(\mathcal{M}, \mathcal{F})$  is a sequence  $(C_0, \dots, C_n)$  of chains of length  $m + 1$  for  $(\mathcal{F}, \mathcal{M})$  such that  $C_i$  is  $a$ – chain iff  $C_{i+1}$  is  $a$ + chain.

(ii) A superchain as above is  $a$ – superchain ( $a$ + superchain), if  $C_0$  is  $a$ – chain (resp.  $a$ + chain).

For any  $m, n < \omega$ , let  $\mathcal{C}(m, n)$  be the class of sets  $L(\mathcal{M}, \mathcal{F})$  where  $\mathcal{F}$  have no  $+$ -superchain of type  $(m, n)$ . In [172,173] it was shown that the family of classes  $\mathcal{C}(m, n)$  (called the coarse structure) is well ordered with the order type  $\omega^2$ . In [125] it is shown that the coarse structure essentially coincides with the symmetric-difference hierarchy over the 2-base  $(\mathcal{L}_0, \mathcal{L}_1)$ . To understand the reason, compare the superchains with the alternating chains of type  $((1, m), (0, n))$  in Section 3.3 and take into account Theorem 9.1.

#### 9.5. Fine hierarchy

Here we discuss the fine hierarchies  $\{\mathcal{R}_\alpha\}_{\alpha < \omega^\omega}$  and  $\{\mathcal{A}_\alpha\}_{\alpha < \omega^\omega}$  over the 2-bases  $(\mathcal{L}_0, \mathcal{L}_1)$  and  $(\mathcal{K}_0, \mathcal{K}_1)$ , respectively. Recall from Section 3.4 that definition (say, for the 2-base  $(\mathcal{K}_0, \mathcal{K}_1)$ ) may look as follows:

**Definition 9.5.** The fine hierarchy over  $(\mathcal{K}_0, \mathcal{K}_1)$  is the sequence  $\{\mathcal{A}_\alpha\}_{\alpha < \omega^\omega}$  defined by induction as follows:

$\mathcal{A}_n = D_n(\mathcal{K}_0)$  for  $n < \omega$ ;

$\mathcal{A}_{\omega^n} = D_n(\mathcal{K}_1)$  for  $0 < n < \omega$ ;

$\mathcal{A}_{\beta+\omega^n} = \text{bisept}(\mathcal{K}_0, \mathcal{A}_\beta, \text{co-}\mathcal{A}_\beta, \mathcal{A}_{\omega^n})$  for  $0 < n < \omega$  and  $\beta = \omega^n \cdot \beta_1$  for some  $\beta_1, 0 < \beta_1 < \omega^\omega$ ;

$\mathcal{A}_{\beta+1} = \text{bisept}(\mathcal{K}_0, \mathcal{A}_\beta, \text{co-}\mathcal{A}_\beta, \mathcal{A}_0)$  for  $\omega \leq \beta < \omega^\omega$ .

Since the 2-bases  $(\mathcal{L}_0, \mathcal{L}_1)$  and  $(\mathcal{K}_0, \mathcal{K}_1)$  are reducible, both fine hierarchies coincide with the corresponding typed Boolean hierarchies by Theorem 3.17. Since the 2-bases are interpolable, both fine hierarchies are perfect in all limit level. Moreover, it turns out that the fine hierarchy over  $(\mathcal{L}_0, \mathcal{L}_1)$  (over  $(\mathcal{K}_0, \mathcal{K}_1)$ ) perfectly fits the DA-reducibility (respectively, AA-reducibility). We formulate the last fact explicitly.

**Theorem 9.6** ([173,125]). There exist regular  $\omega$ -languages  $A_\alpha, \alpha < \omega^\omega$  such that:

(i) For any  $\alpha < \omega^\omega$ ,  $A_\alpha \not\leq_{DA} \bar{A}_\alpha$ .

(ii) For all  $\alpha < \beta < \omega^\omega$ ,  $A_\alpha \oplus \bar{A}_\alpha <_{DA} A_\beta$ .

(iii) Any regular  $\omega$ -language is DA-equivalent to one of the sets  $A_\alpha, \bar{A}_\alpha, A_\alpha \oplus \bar{A}_\alpha$  ( $\alpha < \omega^\omega$ ).

(iv) For any  $\alpha < \omega^\omega$ ,  $A_\alpha$  is DA-complete in  $\mathcal{R}_\alpha$  and  $A_\alpha \oplus \bar{A}_\alpha$  is DA-complete in  $\mathcal{R}_\alpha \cap \text{co-}\mathcal{R}_\alpha$ .

In [140] it was shown that the sets  $A_\alpha$  in the last theorem may be chosen aperiodic, and they also satisfy the same properties with  $\mathcal{A}_\alpha$  in place of  $\mathcal{R}_\alpha$  and AA-reducibility in place of DA-reducibility. In particular, the structures  $(\mathcal{R}; \leq_{DA})$  and  $(\mathcal{A}; \leq_{AA})$  are almost well-ordered with the corresponding ordinal  $\omega^\omega$ . By Section 2.9, both fine hierarchies have no non-trivial refinements that fit the corresponding reducibilities.

We have also the following corollary of the determinacy results stated in Section 9.1. It shows deep interconnections between the the above-introduced reducibilities and hierarchies with the fine hierarchy  $\{\mathcal{S}_\alpha\}$  over  $\{\Sigma_{n+1}^0\}_{n < \omega}$  from Section 4.4 and the fine hierarchy  $\{\Sigma_\alpha\}$  over  $\{\Sigma_{n+1}^0\}_{n < \omega}$  from Section 6.4. Let  $\leq_m$  denote the  $m$ -reducibility by computable functions on the Cantor space.

**Theorem 9.7.** (i) [173] The relations  $\leq_{CA}, \leq_m$  and  $\leq_{DA}$  coincide on  $\mathcal{R}$ .

(ii) [140] The relations  $\leq_{CA}, \leq_m, \leq_{DA}$  and  $\leq_{AA}$  coincide on  $\mathcal{A}$ .

(iii) [125] For any  $\alpha < \omega^\omega$ ,  $\mathcal{R}_\alpha = \mathcal{R} \cap \Sigma_\alpha = \mathcal{R} \cap \Sigma_\alpha$ .

(iv) [140] For any  $\alpha < \omega^\omega$ ,  $\mathcal{A}_\alpha = \mathcal{A} \cap \Sigma_\alpha = \mathcal{A} \cap \Sigma_\alpha$ .

We conclude this subsection by providing invariants for the fine hierarchy of regular  $\omega$ -languages in the spirit of Section 3.4. Above we related with any Muller acceptor  $(\mathcal{M}, \mathcal{F})$  the corresponding 2-preorder  $(C_{\mathcal{M}}; \leq_0, \leq_1)$ . Let  $\tau_\alpha$  be the string defined in Section 3.4.



**Theorem 9.8** ([173,125]). For any Muller acceptor  $(\mathcal{M}, \mathcal{F})$  and any  $\alpha < \omega^\omega$ ,  $L(\mathcal{M}, \mathcal{F}) \in \mathcal{R}_\alpha$  iff there is no  $\tau_\alpha$ -alternating tree for  $\mathcal{F}$  in  $(C_\mathcal{M}; \leq_0, \leq_1)$ .

**Corollary 9.9.** The relations “ $L(\mathcal{M}, \mathcal{F}) \in \mathcal{R}_\alpha$ ” and “ $L(\mathcal{M}_1, \mathcal{F}_1) \leq_{DA} L(\mathcal{M}, \mathcal{F})$ ” are decidable.

In [77,178] it was shown that for any  $\alpha < \omega^\omega$  the relation “ $L(\mathcal{M}, \mathcal{F}) \in \mathcal{R}_\alpha$ ” is decidable in polynomial time. In [159] exact complexity estimations for deciding the topological properties like  $\mathcal{R}_\alpha$  for most popular types of  $\omega$ -automata [166] were established. The problems are typically NL-complete (PSPACE-complete) for the deterministic Muller, Mostowski and Büchi automata (respectively, for the nondeterministic Rabin, Muller, Mostowski and Büchi automata).

In [28] it was shown that the class  $\mathcal{A}$  is decidable in PSPACE for the nondeterministic Büchi automata. In [160] it is shown that for each nonzero  $\alpha < \omega^\omega$  the class  $\mathcal{A}_\alpha$  is PSPACE-complete w.r.t. any (deterministic or nondeterministic) type of  $\omega$ -automata.

### 9.6. Fine hierarchy of $k$ -partitions

Here we mention some results from [139] about the  $\omega$ -regular  $k$ -partitions, i.e.  $k$ -partitions of  $A^\omega$  all components of which are regular sets. The notion of Muller acceptor is generalized to the notion of Muller  $k$ -acceptor (a device that recognizes  $k$ -partitions of  $A^\omega$ ) in a straightforward way. Namely, it is a pair  $(\mathcal{M}, c)$  where  $\mathcal{M}$  is an initial automaton and  $c : C_\mathcal{M} \rightarrow k$  is a  $k$ -partition of  $C_\mathcal{M}$ . Such a  $k$ -acceptor recognizes the  $k$ -partition  $L(\mathcal{M}, c) = c \circ f_\mathcal{M}$  where  $f_\mathcal{M} : A^\omega \rightarrow C_\mathcal{M}$  is the map defined above. It is not hard to show that a  $k$ -partition  $L : A^\omega \rightarrow k$  is regular iff it is recognized by a Muller  $k$ -acceptor.

The next result extends to the case of  $k$ -partitions the Staiger-Wagner theorem from [156] (Proposition 9.2(i)): every regular  $\Delta_2^0$ -set is a Boolean combination of open sets. We will generalize the following equivalent reformulation of this result: if a regular set  $L$  is not a Boolean combination of open sets then  $\Sigma_2^0 \leq_{CA} L$  or  $\Pi_2^0 \leq_{CA} L$ . For all distinct  $i, j < k$ , let  $A_{i,j} : X^\omega \rightarrow k$  be the unique  $k$ -partition satisfying  $A^{-1}(i) = B$ ,  $A^{-1}(j) = \bar{B}$  and  $A^{-1}(l) = \emptyset$  for all  $l \in k \setminus \{i, j\}$  where  $B$  is a CA-complete set for  $\Sigma_2^0$ . Observe that the  $k$ -partitions  $A_{i,j}$  ( $i, j < k$ ,  $i \neq j$ ) are pairwise CA-incomparable.

Let  $(\mathcal{A}, c)$  be a Muller  $k$ -acceptor,  $\leq_0$  the preorder on  $C_\mathcal{A}$  from Section 9.3 and  $\equiv_0$  the corresponding equivalence relation. We say that  $\equiv_0$  respects the labeling  $c$  if  $D \equiv_0 E$  implies  $c(D) = c(E)$  for all  $D, E \in C_\mathcal{A}$ .

**Theorem 9.10.** For any Muller  $k$ -acceptor  $(\mathcal{A}, c)$  the following conditions are equivalent:

- (i)  $L(\mathcal{A}, c) \notin (B(\Sigma_1^0))_k$ ;
- (ii) The relation  $\equiv_0$  does not respect the labeling  $c$ ;
- (iii)  $A_{l,j} \leq_{CA} L(\mathcal{A}, c)$  for some distinct  $l, j < k$ .

From the equivalence of (i) and (ii) above we immediately obtain that the relation “ $L(\mathcal{A}, c) \in BC(\Sigma_1^0)_k$ ” is decidable.

Now let  $\{\mathcal{L}_0(F)\}_{F \in \mathcal{F}_k}$  be the DH of  $k$ -partitions over  $\mathcal{L}_0$  and  $\{\Sigma_1^0(F)\}_{F \in \mathcal{F}_k}$  be the DH of  $k$ -partitions over  $\Sigma_1^0$  (see Section 3.5).

**Theorem 9.11.** (i) The relation “ $L(\mathcal{A}, c) \in \Sigma_1^0(F)$ ” is decidable.

- (ii) For any  $k \geq 2$ , the quotient-structures of  $(BC(\mathcal{L}_0)_k; \leq_{CA})$  and  $(\mathcal{F}_k; \leq)$  are isomorphic.
- (iii) For any  $k \geq 2$ , the relations  $\leq_{CA}$  and  $\leq_{DA}$  coincide on  $BC(\Sigma_1^0)_k \cap \mathcal{R}_k$ .

From the last result and Proposition 2.6 we obtain the usual corollaries on the undecidability and automorphisms of the structures of  $k$ -partitions.

Note that the above-mentioned results extend the main facts about an initial segment of the Wagner hierarchy to the case of  $k$ -partitions. Recently the author extended the main facts about all levels of the Wagner hierarchy to the  $k$ -partitions. This extension should appear elsewhere.

### 9.7. Other types of automata

Here we briefly discuss some recent results on the topological classification of  $\omega$ -languages recognized by devices more complex than the finite automata. First let us notice that there is a well-known small difference between the Wadge hierarchies in the Baire and in the Cantor space with respect to the question for which ordinals  $\alpha < \nu$  (here  $\nu$  is the Wadge ordinal, see Section 4.4) the class  $\Delta_\alpha$  of the Wadge hierarchy has a Wadge complete set (such sets correspond to the self-dual Wadge degrees). For the Cantor space, these are exactly the successor ordinals  $\alpha < \nu$  while for the Baire space – the successor ordinals and the limit ordinals of countable cofinality [171,169]. This follows easily from the well-known fact that the Cantor space is compact while the Baire space is not.

By a result above, the order type of the Wadge degrees of regular  $\omega$ -languages is  $\omega^\omega$ . In [32] the Wadge degrees of regular  $\omega$ -languages were characterized (this characterization follows also from the relations between the Wagner, fine and Wadge hierarchies). Namely, these are exactly the Wadge degrees of ranks  $\omega_1^n k_n + \dots + \omega_1^1 k_1 + k_0$  where  $n < \omega$  and  $k_i < \omega$  for all  $i \leq n$ . By results above, the same characterization holds for the Wadge degrees of regular aperiodic  $\omega$ -languages.

In [32] the Wadge degrees of  $\omega$ -languages recognized by deterministic push-down automata were determined; these are exactly the Wadge degrees of ranks  $\omega_1^n \alpha_n + \dots + \omega_1^1 \alpha_1 + \alpha_0$  where  $n < \omega$  and  $\alpha_i < \omega^\omega$  for all  $i \leq n$ . Thus, the corresponding ordinal is  $(\omega^\omega)^\omega$ .

In [131] the Wadge degrees of  $\omega$ -languages recognized by deterministic Turing machines were determined; these are exactly the Wadge degrees of ranks  $\omega_1^n \alpha_n + \dots + \omega_1^1 \alpha_1 + \alpha_0$  where  $n < \omega$  and  $\alpha_i < \omega_1^{CK}$  for all  $i \leq n$ . Thus, the corresponding ordinal is  $(\omega_1^{CK})^\omega$  where  $\omega_1^{CK}$  is the first non-computable ordinal. The proof makes an essential use of a result of L. Staiger mentioned in Section 9.1 and a result of A. Louveau mentioned in Section 6.1.

For the non-deterministic devices, an interesting fact was recently obtained in [46]: the Wadge degrees of  $\omega$ -languages recognized by the non-deterministic push-down automata coincide with those recognized by the non-deterministic Turing machines. By a result of L. Staiger mentioned in Section 9.1, these are exactly the Wadge degrees of  $\Sigma_1^1$ -sets. By a result in [73] mentioned in Section 6.1, the corresponding ordinal is strictly bigger than the Wadge rank of the  $\Delta_1^1$ -sets. Additional information on these Wadge degrees may be found in [43,45,47].

### 9.8. Future work

Above in this section we completely characterized the *topological* fine hierarchy of regular aperiodic  $\omega$ -languages. Along with the topological classification, there are some alternative classifications of regular aperiodic  $\omega$ -languages. E.g., one could consider the *logical* fine hierarchy of regular aperiodic  $\omega$ -languages which is the fine hierarchy over the base  $\{L_n\}$  where  $L_n$  is the class of  $\omega$ -languages axiomatized by the first-order  $\Sigma_{n+1}^0$ -sentences of signature  $\varrho$ , similarly to the case of finite words in Section 8.1. It seems interesting to understand better the relationships between these hierarchies.

There are some model-theoretic open questions related to results of this section. E.g., consider the structures  $(B(\Sigma_2^0); \Sigma_2^0, \Sigma_1^0, \cup, \cap, \neg), (\mathcal{R}; \mathcal{L}_1, \mathcal{L}_0, \cup, \cap, \neg)$  and  $(\mathcal{A}; \mathcal{K}_1, \mathcal{K}_0, \cup, \cap, \neg)$  where  $\Sigma_2^0, \Sigma_1^0, \mathcal{L}_1, \dots$  are treated as unary predicates on the corresponding universes. The results of this section show some striking similarities between the three structures which suggest that all the structures may turn out to be elementary equivalent. It may even turn out that any of the two last structures is an elementary substructure of the previous one. Currently, the author does not know whether this is really the case.

Another natural open question is to understand better the structure  $(\mathcal{R}; \leq_{AA})$ . This structure may turn out to be more complicated than the structures discussed in Section 9.5. In particular, we do not know whether it is almost well ordered.

In the previous section we discussed only the Wadge degrees of  $\omega$ -languages recognized by computing devices, though for the case of dfa we had also found reducibilities that fit the corresponding hierarchies. We guess that for all classes discussed in the previous subsection, except the deterministic context-free sets, such reducibilities do not exist. For the hierarchy of deterministic context-free sets this question is open, as well as the question of decidability of levels. For a non-trivial particular case decidability was shown in [44]. The same questions are currently open for the class of visibly push-down languages from [9].

Along with  $\omega$ -words, in theoretical computer science people are interested in recognizability of more complicated structures, e.g. ordinal words of length  $> \omega$ , biinfinite words, finite words together with infinite words, or infinite trees (see [18,105]). We would like to see a systematic work about the complexity of the corresponding languages. Recently, some progress was made for the hard and important case of (infinite) tree languages. Namely the Wadge degrees of infinite tree languages recognized by deterministic finite automata were determined in [98], and the lower bound  $\varepsilon_0$  for the Wadge rank of the so called weak alternating tree languages was established in [29,99].

## 10. Conclusion

We hope that this survey may convince the reader that the fine hierarchies and  $m$ -reducibilities provide useful and flexible classification tools for several parts of theoretical computer science. Moreover, we tried to demonstrate that this topic is interesting in its own right and is evolving to become a real theory.

At the same time, there are many open questions and directions of future research. Some of them were mentioned above. In my opinion, there are some fields of theoretical computer science in which the methods surveyed in this paper could be especially useful, in particular the descriptive complexity theory and the circuit complexity theory.

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