## Colimits and Localization

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For some reason, calculating colimits, say in the category of sets, seems to be more difficult that calculating limits: forming colimits require taking the "quotient" by an equivalence relation which can be difficult to make explicit. The calculations are much easier when the following conditions are fulfilled.

**Definition** A category I is said to be *filtering* if it satisfies the following conditions:

- 1. It is not empty.
- 2. For any two objects i and j, there exists arrows a and b such that s(a) = i, s(b) = j, and t(a) = t(b):
- 3. For any two arrows a and b with the same source and target, there exists an arrow c such that ca = cb.

For example, the category **N** of natural numbers is filtering. Its opposite, is also filtering but it in a trivial way, in that it has final object. (Observe that, in general, if I has a final object o, then for every i there is a unique arrow  $a_i: i \to 0$ , and if C is an I-system,  $\operatorname{colim}(C)$  is just  $C_0$ , and  $\{C_{a_i}: i \in I\}$  is the universal family.)

Colimits over filtering categories are sometimes called *direct limits*. The following result gives an explicit description of colimits over filtering categories in the category of sets.

**Theorem** Let I be a filterting category and let S. be an I-system of sets. Let  $S_*$  denote the disjoint union of all the sets  $S_i$  and let  $E \subseteq S_* \times S_*$  be the set of pairs  $(s_i, s_j) \in S_* \times S_*$  such that there exist arrows a and b in I such that Source(a) = i, Source(b) = j, Target(a) = Target(b), and  $S_a(s_i) = S_b(s_j)$ . Then E is an equivalence relation, the quotient  $S_*/E$  is a

colimit of S, and the evident family of maps  $q_i: S_i \to S_*/E$  is a universal compatible family

Corollary If I is filtering, forming the colimit over I commutes with the forget functor from the category of abelian groups to the category of sets.

**Example.** Let M be a monoid and let S be an M-set. The transporter category of S is the category whose objects are the elements of S, and for  $s, s' \in S$ , the arrows from s to s' are the elements m of M such that ms = s'. Then multiplication in M defines a composition law to make this collection of objects and arrows into a category. Let us check that if M is commutative and if S is M, acting on itself, then the transporter category is filtering. It is not empty because M contains a unit element. Suppose that s and t are elements of S. Then st = ts, and t maps s to ts = st and s maps t to st = ts, so (2) is satisfied. Suppose next that s and s are arrows from s to st = ts, so (3) is also satisfied. It is however not true that the transporter category of every st = ts is filtering. A not so obvious theorem asserts that the transporter category of an st = ts is filtering if and only if st = ts is a direct limit (filtered colimit) of free st = ts.

**Localization** Now let  $\mathcal{C}$  be a category, and suppose that all filtered colimits exist in  $\mathcal{C}$ . Let E be an object of  $\mathcal{C}$  and let S be a commutative monoid acting by endomorphisms of E. For  $s \in S$  we denote the corresponding endomorphism of E by  $\mu_E(s)$ . For example,  $\mathcal{C}$  might be the category of modules over a ring R, S might be a submonoid of the multiplicative monoid of R and E an R-module. Let I be the transporter category of S, viewed as an S-set acting on itself, and define an I-diagram E. in  $\mathcal{C}$  by sending every  $i \in I$  to E and every arrow a to  $\mu_E(a)$ :  $E_i = E \to E_{ai} = E$ . Let  $\{q_i : E_i \to L\}$  be the colimit, i.e., the universal family of maps satisfying the compatibility condition

$$q_i = q_{ti} \circ \mu_E(t) \tag{1}$$

for all  $i \in I$  and all  $t \in S$ . The commutativity of S implies that, for every  $s \in S$ ,  $\mu_E(s)$  defines a map  $E_i \to E_i$  which is compatible with all the maps  $q_i$ . By the universal property of L, we find a unique map  $\mu_L(s): L \to L$  such that  $\mu_L(s) \circ q_i = q_i \circ \mu_{E_i}(s)$  for every i.

**Theorem** The object L above has the following properties.

1. For every  $s \in S$ , the arrow  $\mu_L(s): L \to L$  is an isomorphism.

- 2. The map  $q_0: E \to L$  is compatible with the actions of S.
- 3. If  $\alpha: E \to F$  is another arrow in  $\mathcal{C}$ , with S acting as isomorphisms on F, then there is a unique arrow  $\theta: L \to F$  such that  $\theta \circ q_0 = \alpha$ .

Proof: To construct an inverse to  $\mu_L(s)$ , we use the following tricky argument. For each  $i \in I$ , recall that  $E_i = E = E_{is}$ , and so  $q_{is}$  can also be viewed as a map  $\tilde{q}_i \colon E_i \to L$ . Then  $\{\tilde{q}_i \colon E_i \to L\}$  is another family of compatible maps, which then induces a map  $\tilde{s} \colon L \to L$ , uniquely determined by the fact that  $\tilde{s} \circ q_i = \tilde{q}_i$  for all i. We claim that  $\tilde{s} \circ \mu_L(s) = \mu_L(s) \circ \tilde{s} = \mathrm{id}_L$ . To check this, it is enough to see that the equalities hold after composing both sides with  $q_i$ . We compute:

$$\mu_L(s) \circ \tilde{s} \circ q_i = \mu_L(s) \circ \tilde{q}_i$$

$$= \mu_L(s) \circ q_{is}$$

$$= q_{is} \circ \mu_E(s)$$

$$= q_i,$$

using equation (1) and the commutativity of S. Similarly;

$$\begin{array}{rcl} \tilde{s} \circ \mu_L(s) \circ q_i & = & \tilde{s} \circ q_i \circ \mu_E(s) \\ & = & q_{is} \circ \mu_E(s) \\ & = & q_i, \end{array}$$

Statement (2) is built in the construction. For (3), suppose that  $\alpha$  is given. Construct the *I*-diagram F. in the same way that we did for E. By hypothesis, all the arrows  $F_i \to F_{is}$  are isomorphisms. Note that the identity element 1 of S defines an initial object 0 of I: for every  $i \in I$ , there is a unique arrow  $a_i$ :  $0 \to i$  (namely i). Let  $q'_i := F_{a_i}^{-1} : F_i \to F_0$ . Then this family is compatible, and it follows that the composition  $E_i \to F_i \to F_0 = F$  also forms a compatible family. This family induces a morphism  $L \to F$ , and we leave the rest of the verifications to reader.

Let us return to the more down-to-earth case of R-modules. We should compare the categorical construction given here with the usual construction of a localization of an R-module E by a multiplicative subset S of R. Typically this is done by taking the quotient of the product  $E \times S$  by the equivalence relation given by :

$$(e,s) \sim (e',s')$$
 iff there exist  $s'' \in S : s''s'e = s''se'$  (2)

(We think of the equivalence class of (e, s) as a ratio e/s.) Now the above construction says to take the colimit over the *I*-diagram E. Let us apply the construction in the theorem, which says to form the disjoint union of the sets  $E_i$  and then divide by a certain equivalence relation. Since the objects of I are the same as the elements of S and since  $E_i = E$  for every i, this disjoint union is exact the same as  $E \times S$ . What is the equivalence relation? It says

$$(e,s) \sim (e',s')$$
 iff there exist  $t,t' \in S$ :  $ts = t's'$  and  $te = t'e'$  (3)

It is perhaps not obvious that the equivalence relations (2) and (3) are the same. Suppose that (3) holds. Then

$$ts'e = s'te = s't'e' = t's'e' = tse'$$

Thus if we take s'' := t, we see that (2) holds. Suppose that (2) holds. Then take t' := s''s and t := s''s'. Then

$$ts = s''s's = s''ss' = t's'$$
 and  $te = s''s'e = s''se' = t'e'$