Software Engineering for Business Applications Lecture Notes

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1 IT Support for Business Applications

1.1 Classification of Business Applications

- Definition "Business Application":
 - in narrower sense: totality of all programs, i.e. application software, and associated data for a concrete business use case
 - in broader sense: additionally hardware, system software and necessary communication facilities required for the use of application software
- Two roles of Business Applications:
 - **supporting**, **improving** or **automating** existing operational processes in bookeeping, accounting, etc. (size, speed, correctness...)
 - enabling new products and services (e.g. online shopping and banking)
- Classification of Business Applications by Business Purpose:



Examples of

- administrative systems: financial accounting, payroll accounting, administration of stocks
- disposition systems: calculation and cost accounting, material procurement, field service control
- management information systems (MIS): use of internal company data, use of external data, combination of multiple data sources in a flexible form
- planning systems: planning of individual functional areas, integrated planning of several functional areas, corporate planning

• Cross-Cutting Applications:

- independent of company hierarchy and fuctional domains
- used either directly via user interface or programmatically via administration and disposition systems
- Examples: office suites, groupware, workflow management systems

- Enterprise Resource Planning (ERP): ERP system is an integrated business application (suite, collection of programs), which supports all essential functions of administration, disposition and management with a common interface and a shared and integrated data management.
 - consists of platform and function-oriented application components that exchange info and events
 - is realized as (customizable) standard software
 - Examples: external accounting, controlling, procurement
 - Today's ERP systems support an **extended value chain**¹.

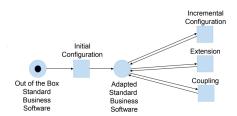
1.2 Standard and Custom Software

- Standard Software vs. Custom Software:
 - Standard software (e.g. SAP)
 - * developed for specific market
 - * distributed by a software house
 - * can be used by **several companies**
 - * implements "standard business processes" at its core
 - * maintained by manufacturer, adapted to changes
 - * must or can be **customized** to company (e.g. authorizations and roles, currencies)
 - Custom software
 - * specifically developed for one company
 - * tailored to specific business processes/requirements
 - * result of a project for a known client
 - * individually maintained and adapted to changes

 $^{^{1}}$ Value chain is a business model that describes the full range of activities needed to create a product or service.

• Adaptation Techniques for Standard Business Software:

 Adaptation of operational standard software can be divided into Configuration, Extension and Coupling (= Customizing).



- Configuration describes functionalities and techniques
 - * that are obligatory on first deployment
 - * that allow to define predefined settings
 - * that lead to an individual variation of standard software
- Extension describes functionalities and techniques
 - * that are optional for productive use
 - * that allow to map requirements not foreseen by manufacturer
 - * implemented by manufacturer to expand the range of services
- Coupling refers to functionalities and techniques
 - * to connect external systems of other manufacturers
 - * to connect external systems of the same type
 - \ast that are predefined in the form of data file formats, APIs, or communication protocols
- Example: mapping the structure of a company to SAP applications via organizational units (can be assigned to single or multiple apps)

• Configuration: Challenges

- A standard software must
 - * provide all relevant configuration options
 - \ast support a wide range of different corporate structures and processes
 - * check dependencies between these many variants
 - * provide appropriate documentation about the effects of individual configurations

Consequences:

- * need for experts who are familiar with configuration options of each release and componant
- * scarcity of such experts

- * expensive training
- * expensive consultancy services

• Examples for Extensions:

- automation of multi-step business workflows
- integration of company-specific calculations/rules/checks
- connecting customers

• Coupling Options:

- different coupling options depending on the scenario
- programming language used for coupling
- available mechanisms to couple
- Multi Tenancy: Software multitenancy is a software architecture in which a single instance of software runs on a server and serves multiple tenants (e.g. companies).
 - several companies can be represented in one system
 - distinction between tenant-dependent and -independent data
 - supporting tenant-dependent authorization (e.g. A may only perform transactions in client 002)
 - individual adaptations of tenants (e.g. currency, couplings)

Multilingualism:

- Multilingualism of a business information system makes it possible to
 - * store and display texts in different languages in the system
 - * assigning graphics and symbols specific to different languages
- Multilingualism requires
 - * that one system can process all relevant character sets at once
 - * storage and recognition of words, numbers etc.
 - * that a system can assign users to languages or user can choose their own
 - * that texts (graphics, symbols) can be assigned to a language
- Localization (l10n): Adaptation of a software product to meet the language, culture, and other requirements of each locale (e.g. adaptation of graphics, currencies, date and time)
- Internationalization (i18n): Process of preparing a software-based product for localization (to support global markets)

1.3 Characteristics of Business Applications

• Multiple Stakeholders and changing requirements:

- Requirements Elicitation and Requirements Management

- * many stakeholders, different views and concerns
- * Waterfall: upfront requirements document and/or technical specification => Req. Documentation
- * Issue: changing requirements once IT support is implemented
- * Agile: incremental and iterative => Agile Req. Engineering
- * typically, very large number of requirements
- * need for formalization and early consistency checking => Conceptual Modeling
- * need for cost and time prediction => Software Estimation

- Programming Challenges

- * design, implement and test changes in an existing complex system => Change Mgmt.
- * deliver incremental changes without invalidating existing data => Release Mgmt.
- * parallel development at manufacturer and at customer site => Version Mgmt.
- * automated and quality-controlled assembly of application software => Build Mgmt.

• Persistent Data and Concurrent Data Modification:

- Data consistency is a must:
 - * many users perform **transactions** simultaneously on central databases
 - * data must not be lost even in case of system failures

- Programming challenges:

- * database is managed by an independent application, on a different server / hardware
- * object orientation is not supported by common data bases
- * database concepts must be transferred to the application logic (transactions, rights, primary keys)

• Distributed Actors and Data Repositories:

- Many users access central data concurrently:

- * users need data in different locations at different times
- $* \ \, {\rm Client\text{-}Server \ architecture} = > {\rm Layered \ Architectures}$
- * web clients => REST protocol

- Programming challenges:

- * software components must be able to found in network => Naming services
- \ast communication always via a network => Serialization^2 & failed execution
- * authentication and authorization => Security
- * concurrent accesses => Transactions

• Integeration of Data and Application from (Semi-)Autonomous Sources:

- Separation of applications and data repositories:

- * multiple apps work on independent or shared data resources
- * multiple apps communicate with each other => RPC, Message Passing
- * business processes involve multiple apps => Workflow Mgmt. Systems
- * application landscapes with lots of interacting applications => Enterprise Architecture Mgmt.

- Programming challenges:

- * integration of multiple languages and databases
- * loose coupling through interfaces to avoid code change propagationi
- * error recovery to avoid runtime failure propagation

• Scalability:

- Growing number of users and data volume

- * business apps are used by thousands of employees world-wide around the clock
- * customers and business partners interact directly with business apps and expect real-time sub-second response times
- * volatile load (e.g. online shop in christmas season vs. summer season)

- Programming challenges:

- * delayed execution of resource-intesive operations => Batch processing 3
- \ast dynamically increasing/decreasing number of users => Instance pools
- * single server cannot handle the load => Load balancing, Caching

 $^{^2}$ Serialization is the process of translating a data structure into a format that can be stored or transmitted and reconstructed later.

³Batch processing is when a computer processes a number of tasks that it has collected in a group. It is designed to be a completely automated process, without human intervention.

2 Requirements Engineering

- Software requirements express the needs and constraints placed on a software product.
- Requirements engineering is concerned with elicitation, analysis, specification and validation of software requirements as well as the management of requirements.
- Requirements Management deals with the administration and maintenance of requirements documents, in particular:
 - change requirements (change management)
 - trace and link requirements (requirements tracing)
 - verify requirements

2.1 Traditional Requirements Engineering

- Objectives of Requirements Management:
 - Efficient preparation of high quality requirements and system specifications,
 - * coordinated with all stakeholders (different objectives and interests)
 - * coordinated with all specifications and constraints
 - * evaluated according to profitability and feasibility
 - **Specification documents** are basis for:
 - * contract negotiation and contractual agreements
 - * coordination between the stakeholders (customers, developers)
 - * design, realization, integration
 - * software acceptance (test specification)
 - * future developments, projects
- Requirement Classification: Distinction between <u>functional and non-functional requirements</u> and constraints:
 - **Functional requirements** describe <u>interactions</u> between the system and its environment independent of their realization.
 - Non-functional requirements describe general properties of the system.
 - Restrictions (Constraints) determine the <u>solution space</u> for the realization.
- Stakeholder Management: It includes

- processes required to identify people that could impact or be impacted by the project
- to analyze stakeholder expectations and their impact on the project
- to develop appropriate management strategies for effectively engaging stakeholders in project decisions and execution

• Requirement Specification:

- technical result document of requirement identification phase
- **contains** stakeholder identification, functional and non-functional requirements, constraints, evaluation plan and metrics
- list of all deliverables and services to be fulfilled by contractor within contract as defined by customer
- what is to expect from the solution (product)
- formulation of requirements should be as general as possible and as restrictive as necessary
- enables the contractor to develop optimal solutions
- Requirements Validation: Validation, Consistency check (no conflicts), Completeness check, Reality check, Verifiability

• Functional Specification:

- defines the purpose of the system
- solution proposal created by contractor based on the requirement specification provided by client
- **contains** target determination, product usage, environment (e.g. hardware), functions, UI, global test cases
- system description or solution specification, which describes how the solutions is to be realized (concrete solution approaches)
- the what from requirement specification is detailed

2.2 Agile Requirements Engineering

- Requirements Engineering and Agile Software Development:
 - Agile software development focuses more on continuous collabration (workshops, interviews etc.) with stakeholders instead of relying on specification documents (example: SCRUM)
 - Traditional requirements engineering
 - * focuses on customer collabration mainly at an <u>early phase of the</u> project (longer change cycles)
 - * emphasizes a heavy-weight process with extensive, **static specification documents**

- Agile requirements engineering

- * fosters communication with the customer during the whole development process to continuously update requirements
- * focuses less on extensive documentation, but specification documents **might be necessary** because of legal or contracting reasons etc.
- * includes activities and artifacts that are similar to classical requirements engineering activities

• Typical Requirement Artifacts in Agile Software Development:

-user story, story card, use case, scenario, UML diagram, prototype

• User Stories:

- explanation of a software feature written from the perspective of the end user
- most frequently used artifact in agile software development
- mnemonic for writing good user stories: INVEST⁴

• Typical Requirements Engineering Challenges:

- different interest groups can raise conflicting requirements
- the people who **pay** for the system are rarely the ones who **use** it
- the organization and the technical environment may change after the system rollout
- requirements that change during implementation (Change Requests)
 can lead to additional costs -> project duration/milestones can be affected significantly

3 Conceptual Modeling with UML

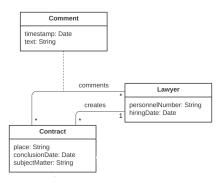
Conceptual Class Diagram vs. Implementation-Oriented Diagram:

	Conceptual	Implementation-Oriented	
Visibility (private, public)	No	Yes	
Attributes with data types	Yes	Yes	
Methods	No	Yes	
Generalization / Inheritance	Sparingly	If useful / meaningful	
Abstract classes	No	If useful / meaningful	
Association classes	Yes	No (resolved)	

• Associations between Classes:

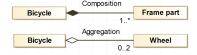
 $^{^4}$ independent, negotiable, valuable, estimable, small, testable

- Multiplicity:



A Lawyer can <u>create</u> multiple Contracts, whereas every Contract has a single Lawyer. -> <u>creates</u> (action) on the side of Lawyer (actor)

- **Aggregation:** implies a relationship where the child can exist independently of the parent (part of the parent)
- Composition: implies a relationship where the child <u>cannot exist</u> independent of the parent
- Example:



4 Software Estimation

4.1 Fundamentals of Estimation Methods

• Software Estimation:

- In principle, software estimation relies on forecasting effort, from which cost and duration are derived.
- Regardless of the project and software methodology applied, every initiative requires the definition of a budget and a specific time frame necessary to deliver a final outcome.
- These two are obtained during the early stages of the project lifecycle through the process of estimation.

- Estimation aims to provide an approximation of the amount of recources required to complete project activities and produce a product or service in accordance to specified functional and non-functional characteristics.
- Software estimation conducted in early phases of the project lifecycle:
 - * necessary for contract negotiations
 - $\ast\,$ predict expected efforts (and derived costs) for a software project before implementation
 - * best possible estimation given the available info

- Agile estimation:

- * estimation of individual requirements during project
- * incremental allocation of developers in the most efficient manner
- * cost estimates are made several times during development project with varying degrees of detail

• Software Estimation: Cone of Uncertainty

- At the beginning of the project, not much is known about the product/project -> estimates underly high uncertainty
- As the project progresses, more information is available -> decrease in uncertainty

• Software Estimation: Costs

- Cost categories:
 - * Development costs: costs to produce a software product
 - * **Personnel costs:** major share of development costs for personnel
 - · usually low costs for office materials etc. in relation to the personnel costs
 - · proportionate allocation of CASE⁵ environment costs (including hardware and software) for product development

4.2 Traditional Software Estimation

- Sneed's Devil's Square:
 - Quantity
 - Quality
 - Development duration
 - Cost

 $^{^5{\}rm Computer}$ power-assisted software package Engineering

are mutually dependent.

• Quantity:

- size of program code (example basis of assesment: LOC⁶)
- functional and data scope
- possible additional weighting with complexity

• Quality:

- higher quality requirements => greater effort
- no **THE quality**, but different quality characteristics

• Productivity:

- influenced by many different factors
- number of communication links grows quadratically with the team size

• Development time:

- need more members to shorten development time
- more members => more communication effort
- higher communication => decrease in productivity

• Methods for Effort Estimation:

Estimation Strategies:

- * **Top-Down:** estimation of the total project effort using mathematical algorithms based on the functional requirements
- * **Bottom-Up:** expenses for each expense item are calculated separately and added to calculate the total project effort

Comparison methods:

 $\ast\,$ estimation based on effort analysis of already accomplished similar developments

- Algorithmic methods:

- * effort calculated with algorithmic methods
- * based on statistical models or actual expenditure of already completed projects

- Key figure methods:

- * total cost of the software product determined by estimating the cost of individual units or project phases
- None of the listed basic methods alone is sufficient.

⁶Lines of Code

 Depending on the point in time and knowledge of effort-relative data, one or the other method should be used.

• Concrete Procedures for Effort Estimation:

- Goal: Combine advantages of several effort estimation methods to deliver accurate results. (example: Function Point Method)
- Function Point Method: It is a combined relation and weighting method.
 - 1. Categorization of each product requirement (input, query, output, database, reference data)i
 - **Input:** by the user
 - Output: displaying query results, calculated data
 - Query: performed on the database of the system, read and write
 - Reference data: used to validate input, generate the output or construct the query (read-only)
 - 2. Classification of each product requirement
 - simple
 - medium
 - complex
 - 3. Entry into calculation form
 - 4. **Evaluation** of influencing factors
 - the **influence factors** refer to the application as a whole and not to individual functions or function points
 - 5. Calculation of the evaluated Function Points (FP)
 - Determination of the personnel expenses based on a FP-PM curve or table
 - significant productivity decreases in large projects (FP-PM 7 : increase in FP => increase in PM) -> non-linear growth
 - 7. **Update** of empirical data as an estimation basis for follow-up project
 - After completion of a development estimated with the Function Point Method, the new value pair (FP, Actual PM) is used to update the existing curve.

• Function Point Method: Requirements:

- evaluation once the project requirements are known
- evaluation by employees with sufficient knowledge of requirements
- product considered from the perspective of client

⁷**FP:** function points, **PM:** person month (= MM: man month)

- company-specific training, guidelines are needed to minimize the effect of subjective individual estimates during the classification and evaluation of influencing factors
- actual efforts must be measured for post-calculation

• Function Point Method: Advantages

- product requirements, not LOC as starting point
- adaptability to different application areas (change of categories)
- adaptability to new techniques (change of influencing factors, influence evaluation)
- adaptability to company-specific environments (if, ie and class factors per class)
- refinement of the estimate according to the development process
- first estimate is possible at a very early stage (planning phase)
- good estimation accuracy

• Function Point Method: Disadvantages

- only total effort can be estimated -> conversion to individual phases must be made using a percentage-based method
- personnel-intensive, not easy to automate
- too strongly function-oriented
- influence factors do not clearly separate project and product characteristics

4.3 Agile Estimation Methods

• Estimation in the SCRUM Framework:

- 1. Estimation of Story Points⁸ for each item in the Product Backlog
 - an **ordered list** of everything that is known to be needed in the product
 - Product Backlog Refinement: act of adding detail, estimates, and order to items in the Product Backlog
 - User Story is the unit with which software features are estimated and developed.
- 2. Time Estimation (in days) for each item in the Sprint Backlog

• Estimation with the help of Planning Poker:

⁸Story points are units of measure for expressing an estimate of the overall effort required to fully implement a product backlog item or any other piece of work.

- reason to use planning poker is to avoid the influence of the other participants (group thinking)
- estimates are **story points** from different members (developers)
- estimates are revealed simultaneously to assure the indepence between group members
- estimates are used during release and sprint planning meetings to create release and sprint plans

5 Technical Foundation of Business Information System

5.1 Architecture of Business Information Systems

• Architecture Patterns:

- An architecture pattern describes a particular recurring design problem that arises in specific design contexts and presents a wellproven generic scheme for its solution.
- The solution scheme is specified by describing its constituent components, their responsibilities and relationships, and the ways in which they collaborate.
- Examples: Layered Architecture, Tiered Architecture

• Layered Architectures:

- layers define a logical partitioning of software components to reduce overall system complexity
- Two types of layered architectures:

Strict Layered Architecture	Open Layered Architecture		
Components of a layer may access only components of the layer directly below it.	Components of a layer may access all components of layers below it.		
Advantage: Easier maintenance	Advantage: (Possibly) higher efficiency		

- a component of a layer may not access a layer above it
- high cohesion between conponents within a layer, low coupling between different layers
- a layer can have an explicit **interface** that distinguishes public and private components of a layer

• Tiers in Architectures:

 Tiers define a physical partitioning of logical software components into different process spaces of a distributed system.

- a tier identifies an independent **process space** within a distributed application
- these process spaces can be executed on a single/different computers in a network
- each tier has a particular **responsibility** in the system and addresses a coherent set of **concerns** and **requirements** that may change over time
- tiers are a concept relevant for structuring software components during execution
- n-tier architecture defines how many tiers there are within a distributed application
- Typical concerns and requirements in an **information system**:
 - * **Presentation Tier:** how to interact with the users?
 - * Business Logic Tier: how to capture and structure business logic and ensure the integrity of data?
 - * Resource Tier: how to persistently store and efficiently manage data?

• Client-Server Architecture:

- two components: client and server
- client requests service via network protocol from server, server sends response
- one server can serve multiple clients
- a server can be a client of another server

• Two-Tier Architecture:

- client tier and server tier
- assignment of tasks:
 - * Presentation -> Client tier
 - * Business logic -> Client tier or server tier, both
 - * Resource management -> Server tier
- Advantages:
 - * easy to implement
 - * high performance

• Three-Tier Architecture:

- Assignment of tasks:
 - * Presentation -> Client tier
 - * Business logic -> Middle tier

- * Resource management -> Server tier
- Standard model for simple web applications:
 - * Client tier -> HTML/CSS/JavaScript (loaded dynamically by a browser)
 - * Middle tier -> web application server
 - * Server tier -> off-the-shelf database management system

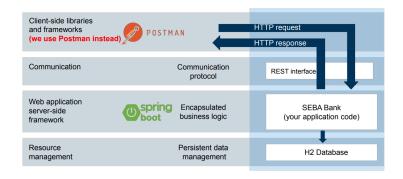
• Four- and N-Tier Architectures:

- extension of three-tier architectures: business logic is distributed to several layers
- Motivation:
 - * further complexity reduction of individual tiers
 - * improved protection and isolation
 - \ast use of multiple and concurrent application processes

• Technologies and Tiers Used in the Exercises:



• Target Architecture (SEBA Bank, SEBA Mobility Services):



• Web Server:

- processes incoming requests over various network protocols (HTTP)

 provides its clients with static or dynamically generated content (HTML, CSS, files, images)

Additional tasks:

- * resource management (sockets, static files)
- * access control
- * cookie⁹ management
- * script execution
- * caching

• Application Server:

- web servers that execute application code to respond to HTTP requests with HTTP responses
- enterprise software platforms offer their own application servers: Jakarta EE, SAP Web Application Server

Additional tasks:

- * authentication
- * authorization
- * session management
- * encapsulation of databases
- * transaction processing
- * asynchronous communication

• Database Server:

Database server (software)

- * software to implement data management, query optimization, concurrency control, access control
- * can belong to different categories: Relational DB etc.
- * provides administration tools

- Database server (hardware)

- * database servers usually run on a separate high-performance machines (disk IO, main memory, number of processes and threads)
- * taking in the role of the server

- Used in the exercises:

- * H2 database (relational)
- * no separate database server / tier (embedded, in-memory)
- * not suitable for production

 $^{^9\}mathrm{A}$ **cookie** is a small piece of information that a website stores on your computer, and uses it at the time of your iteration on that website.

- Data Exchange Formats: XML and JSON:
 - A **Web API** consists of a defined set of HTTP request messages.
 - for each request -> Web API specifies the structure of response messages
 - messages expressed in JSON or XML => human-readable data interchange

5.2 Libraries and Frameworks

- Library: reusable software component that consists of several classes
 - functions of the library are called by the code of the users via its
 Application Programming Interface (API)
 - API: the order in which the provided functions are called is determined by the user
 - <u>Examples:</u> Log4J (logging), JDBC (database access), dom4j (XML parsing)
- Framework: partially finished software system (completed code), which consists of a variety of coordinated software components from which an adapted software system can be created with relatively little effort
 - Frameworks offer
 - * a basic architecture for a software system
 - * a high degree of reusability
 - * a given set of functions that user can / have to extend
 - * whereby the general processing logic
 - Frameworks are tailored for specific purposes
 - * GUIs: Java Swing
 - * Web development: Spring Web
 - * Unit testing: JUnit
 - Framework Examples: JUnit, Spring, Jakarta EE
- Inversion of Control (IoC): IoC distinguishes a framework from a library
 - Since developer is in charge of application flow, he decides when to call the library.
 - However, when developer uses a framework, framework decides when to call the library.
 - This shift in control of calling the library from the application code to the framework is an inversion of control.

• Advantages and Disadvantages of Frameworks:

Advantages Paster development Fewer errors through established mechanisms Promotion of technical standardization Higher initial training effort for the developers Programming language and environment strictly specified High effort for framework development (by software vendor or open-source community) Frameworks from different vendors and communities are often difficult to combine

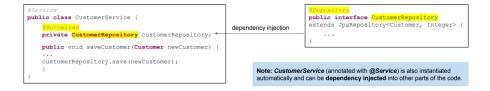
- Jakarta EE (Framework): a set of specifications for different purposes -> an implementation is needed to use them
- Spring (Framework): a framework (configuration model) for building web applications
 - Features:
 - * IoC container with dependency injection
 - * data access
 - * testing
- Spring Boot: a project within the Spring Framework that provides a simplified way to configure based on conventions and run Spring applications
 - Motivation: minimize amount of manual configuration (convention over configuration)
 - Features:
 - * creation of stand-alone Spring apps
 - * embedded web servers
 - * use of annotations

5.3 Java Annotations

- Motivation: Aspects and Cross-Cutting Concerns:
 - Software components, libraries and frameworks define **reusable code**
 - functionality separated from user code through API calls
 - user programs remain unchanged
 - desirable to extract repetitive code elements that address a certain aspect of overall system functionality from user programs
 - Examples of such aspects which address cross-cutting concerns¹⁰ of whole app:

 $^{^{10}\}mathbf{Cross\text{-}cutting}$ concern relies on or affects many other aspects within that program.

- * component configuration and binding
- * monitoring and logging
- * access control
- * data conversion for data exchange
- * exception and transaction management
- combining these aspects freely which makes it impossible to isolate them from the user code
- Annotation: a tag that represents **metadata** i.e. attached with class, interface, methods or fields to indicate some additional information which can be used by java compiler and JVM.
- Dependency Injection (DI): a design pattern in which an object (client) receives other objects (services or dependencies) that it depends on.
 - code that passes the service to the client is called injector -> injector tells client what service to use (rather than allowing client to choose)
 - intent behind DI is to achieve separation of concerns of construction and use of objects
- Dependency Injection in Spring (Boot): The injector code is part of the framework and triggered by annotations.



CustomerService is the client, whereas CustomerRepository is the service. @Autowired is the injector.

- Use of Annotations in Jakarta EE:
 - Application servers or persistence frameworks like **Hibernate** provide aspects that implement the specified functionality.
 - Examples: @Entity => for classes to be persisted into database
- Use of Annotations in Spring (Boot):
 - @Component: generic stereotype for any Spring-managed components

Special cases of @Component:

- @Controller: for classes at presentation layer

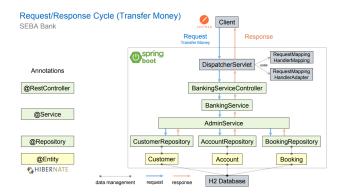
- @Service: for classes at service layer
- @Repository: for classes at persistence layer

Other Annotations:

- @SpringBootApplication: for Spring Boot apps
- @Bean: for objects which are created by Spring framework when the app starts (DI)
- @Autowired: for DI (automatic instantiation of specific classes (beans))
- Reflection: process in which a program accesses info that belongs to the structure of the program itself
 - allows programs to examine, introspect and modify their own structure and behaviour at compile-time or run-time
 - Example: calling a method of an object by its name

```
public class ReflectionTest {
   public String test() {
      Account a = new Account();
      return Account.class.getMethod("getBalance").invoke(a);
   }
}
```

• Request/Response Cycle: Representation of a Software Application => From Persistence Layer (Buttom) to Presentation Layer (Top)



6 Persistent Data Management

6.1 Motivation

- Management of Persistent Data in Business Applications:
 - Persistent Data: data that is infrequently accessed, not likely to be modified and stored beyond the lifetime of the user session (non-volatile) (e.g. master data, transactional data, historical data)
- Impedance Mismatch: a set of conceptual and technical difficulties that are often encountered because objects or class definitions must be mapped to database tables defined by a relational schema -> RDBMS¹¹ with object-oriented programming
 - 1. specialized data structures for specific access patterns
 - 2. relational data storage for storage of bulk data
- Databse Management Systems (DBMS): entirety of programs for accessing database, checking consistency and modifying the data is called a database management system

- Persistence related functionalities:

- * persistent data retention
- * modification of stored data
- * parallel data modifications
- * handling of mass data
- * ensuring compliance with integrity conditions
- * recovery in case of error

- Variants:

- * Relational Database
- * NoSQL Database
- Transactions (ACID):
 - a **transaction** is a single unit of work, often made up of multiple operations
 - transactions adhere to the **ACID** paradigm
 - ACID:
 - * **Atomicity:** entire transaction takes place at once or does not happen at all
 - * Consistency: database must be consistent before and after the transaction

¹¹relational database management system

- * **Isolation:** multiple transactions occur independently without interference
- * **Durability:** changes of a successful transaction occurs even if the system fails

6.2 Programmatic Access to Relational Databases

- Basics of Relational Databases:
 - a **relational database** is a set of named tables
 - number of rows -> cardinality of relation, number of columns -> arity of relation
 - every relation has a **primary key** which can be a single attribute or can consist of several attributes
 - primary key of a table in another table -> foreign key
- H2 Database: a relational database written in Java
 - Main Features:
 - * very fast, open-source, JDBC¹² API
 - * embedded mode and server mode
 - * in-memory database -> volatile, wiped out after the execution of app
 - * browser-based console app (supports SQL)

• Access to Persistent Relational Databases:

- Goal: business logic source code accesses persistently stored data
- Common Scenario:
 - * business logic developed in object-oriented programming language
 - * relational database is used as persistent data storage

- Requirements:

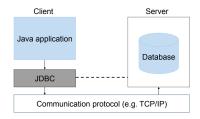
- * ACID for transactions
- * business logic independent of data access

- Different Implementation Strategies:

- * **Direct SQL calls:** using an applicable technology from the programming language
- * Software for Object-Relational Mapping: automates aspects of access to the persistent data store

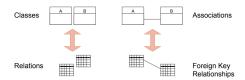
¹²java database connectivity

• Java Database Connectivity (JDBC): Overview



- Advantages and Disadvantages of JDBC:
 - Advantages: direct use of JDBC in applications is appropriate, if
 - * stored procedures should be called
 - * special queries have to be executed
 - * proprietary database functionality is to be accessed
 - Disadvantages:
 - * during development often error-prune, handling is too complex for developers
 - * requires commitment to a certain persistence strategy
 - JDBC calls should never be integrated directly into business logic code!
- Jakarta Persistence API (JPA): Jakarta Persistence defines a standard API for persistent management of relational data in Java environments.
 - Main Features:
 - * Object-Relational Mapping (ORM)
 - * management of database access
 - \ast JPA itself is only a specification -> part of Jakarta EE
- Hibernate (Framework):
 - Main Features:
 - * Object-Relational Mapping framework for Java and RDBMS
 - * open-source
 - * full support for JPA
 - * provides an SQL-like query language: **Hibernate Query Language**
 - * serves as abstraction above JDBC
 - * easy to integrate into projects

• Object-Relational Mapping (ORM): maps the state of objects to data in relational database to provide transparent persistent data storage and access



• Software for Object-Relational Mapping:

- more complex structured transparently decomposed into flat structures of the RDBMS
- developer sees only structures of object-oriented programming language -> transparent conversion from object-oriented operations to relational operations
- features already provided by RDBMS do not need to be realized by the object-oriented application again -> ensuring data integrity

• Object Serialization and Deserialization:

- Serialization describes process of converting an object and all its
 iteratively reachable objects into a byte or character stream such
 that the object can be reconstructed through descerialization.
- stream contains representations of attribute values, types, associations (links) between objects
- can be used to store or send complex data structures
- not all data types can be serialized (e.g. threads, files) -> exception thrown
- in Java through the interface **Serializable**

6.3 Persistent Entities

• Basics of Persistent Entities:

- Persistent Entities provide object-oriented access to the persistent info in the database (e.g. customer class/table).
- An **entity** is a persistent domain object annotated with **@Entity**:
 - * Entity classes are mapped to tables in relational database.
 - * Each row represents an instance of that class.
- multiple clients can use entity instances that represent the same data
- each entity instance has a **unique primary key**

- entities exit as long as database exists (secure against server failures) or until they are deleted
- Specification/Development of an Entity:
 - Entities obey JPA Specification:
 - * class must be annotated with @Entity
 - * class must have a **default constructor** without arguments
 - * state of an entity available to clients through **entity's methods**, getters/setters
 - * class and methods must not be final
 - Entities are annotated for ORM:
 - * for entity: @Entity
 - * for primary key: @Id
 - * for generation of primary keys: @GenerateValue(strategy = GenerationType.IDENTITY)
 - * for (re)naming a column (attribute) of table: @Column(name = "name")
 - * for (re)naming a table: @Table(name = "name")
 - * for enums: @Enumerated(EnumType.STRING)
 - * for handling circular references: @JsonIdentityInfo(generator = ObjectIdGenerators.PropertyGenerator.class, property = "id")
- **Developer View of a Persistent Entity:** developer requires methods of two different types:
 - 1. methods to **create**, **read**, **update** and **delete** (CRUD) <u>instances of</u> entities:
 - EntityManager in Jakarta
 - **Hibernate** provides its own EntityManager called Session
 - 2. methods to **read** and **update** attribute values of an entity instance
- EntityManager:
 - allows access to the data store by implementing programming interfaces and lifecycle rules defined by JPA
 - associated with a persistence context, within which entity instances and their lifecycles are managed
- Spring Data: Since managing EntityManager manually is cumbersome, error-prone and leads to boilerplate code, Spring provides Spring Data

• Spring Data and Spring Data JPA:

- Spring Data:

- * focuses on repository abstraction
- * provides a familiar and consistent Spring-based programming model for data access
- * reduces the amount of boiler plate code required to implement data access layers
- * supports Criteria API

- Spring Data Jpa:

- * part of larger Spring Data family, makes it easy to implement JPA-based repos
- * not a JPA implementation but an abstraction layer to use

• Spring Data Repositories:

- Annotation: @Repository
- Spring will detect the annotation during component scanning and provide an instance at runtime
- CrudRepository: provides CRUD functions for a given entity class
- PagingAndSortingRepository: extends CrudRepository, pagination, sorting records
- JpaRepository: extends PagingAndSortingRepository, flushing/batch deleting records

• Crud Repository:

- a repository must extend *JpaRepository* < *EntityClass*, *TypeOfId* >
- CrudRepository provides the methods:
 - * save(), delete(), findAll(), findById(), count()...
 - * Example:

```
AccountRepository.java

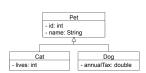
import org.springframework.data.jpa.repository.JpaRepository;
import org.springframework.stereotype.Repository;
import tum.seba.bank.entity.Account;
entity class

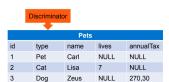
data type
private data type
private String iban;
private String iban;
private double balance;

// there is no code missing here
// this is sufficient to use all inherited methods (save(), find(), etc.)
// developers can define their own (more advanced) queries here (see later)
```

• Spring Data Repositories-Advantages and Disadvantages:

- Advantages:
 - * less boilerplate code
 - * easy configuration
 - * simple queries out-of-the-box
- Disadvantages:
 - * code is coupled to library and its specific abstractions
 - * complete set of persistence methds are exposed -> loss of control
- Relational Mapping of Inheritance Hierarchies:
 - Single Table Strategy: $@Inheritance(strategy = Inheritance-Type.SINGLE_TABLE)$
 - * all classes of inheritance hierarchy mapped to **one** (same) table
 - * <u>all attributes</u> mapped to **colums**
 - * Advantages:
 - · easy **primary key** handling
 - · good polymorphic query performance
 - * Disadvantages:
 - \cdot many **NULL** values
 - \cdot $\,$ NOT NULL constraints on subclass entity attributes are not possible

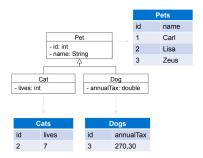




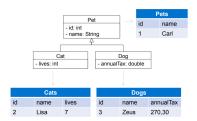
- Joined Table Strategy: @Inheritance(strategy = Inheritance Type.JOINED)
 - * each class of inheritance hierarchy mapped to different tables
 - * $\underline{\text{only common attributes}}$ in $\underline{\text{parent's table}}$, $\underline{\text{subclass-specific attributes, id}}$ in $\underline{\text{child's table}}$
 - * Advantages:
 - · no NULL values
 - · easy **primary key** handling

* Disadvantages:

· search for instances of Pet with **JOIN**

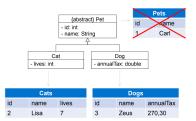


- Table per Class Strategy: @Inheritance(strategy = InheritanceType.TABLE_PER_CLASS)
 - * each class of inheritance hierarchy mapped to different tables
 - * respective attributes in **one table**, instances of child **not in** parent's table (nor the opposite)
 - * Advantages:
 - · no NULL values
 - · search for instances of Pet without JOIN
 - * Disadvantages:
 - · complex **primary key** handling



- Mapped Superclass Strategy: @MappedSuperclass
 - * if parent class is abstract, Mapped Suoerclass Strategy can be used => no instances of parent
 - * <u>each subclass</u> mapped to **different tables**
 - * respective attributes in one table
 - * Advantages:
 - · no NULL values
 - · easy **primary key** handling
 - · easy way to share mapping info between entities
 - * Disadvantages:

- · polymorphic queries not possible
- superclass (parent) cannot contain associations with other entities



- ORM for Associations Between Objects:
 - Associations in JPA:
 - * @OneToOne
 - * @OneToMany / @ManyToOne
 - * @ManyToMany
 - Parameters for update/delete propagation: e.g. @OneToOne(cascade
 CascadeType.REMOVE)
 - Different loading strategies:
 - * referenced objects loaded immediately: fetch = FetchType.EAGER
 - * referenced objects loaded later on demand: fetch = FetchType.LAZY
 - Example in Java: Pet Class has the primary key of Owner Class (mappedBy) as a foreign key in its table.

```
@Entity
public class Pet implements Serializable {
    @ManyToOne
    private Owner owner;

    public Owner getOwner() { return this.owner; }

    public void setOwner(Owner owner) { this.owner = owner; }

    /* _ */
}

@Entity
public class Owner implements Serializable {
    @OneToMany(mappedBy="owner", cascade=CascadeType.REMOVE, fetch=FetchType.EAGER)
    private Collection<Pet> pets;

    public Collection<Pet> getPets() { return this.pets; }

    public void setPets(Collection<Pet> pets) { this.pets = pets; }

    /* _ */
```

6.4 Query Languages

• Java Persistence Query Language (JPQL):

JPQL is not type-safe!

Example JPQL:

```
@Repository
public interface AccountRepository extends JpaRepository<Account, Integer> {
    @Query("SELECT a FROM Account a WHERE a.iban = ?1")
    Account findAccountByIBAN(String iban);
}
```

Advantages JPQL is very similar to SQL Query can simply be formulated as String

isadvantages

Compiler cannot check Strings → error-prone
 Long queries quickly difficult to understand

- Criteria API:
 - Motivation:
 - * Neither attributes nor classes are type-safe in JPQL.
 - * Also, compiler cannot check the JPQL keywords (SELECT, FROM).
 - Example:

- * Methods for predicate definition:
 - · cb.gt(): greater than
 - · cb.equal(): equal
 - · cb.between(): between
 - · cb.and(Predicate a, Predicate b): WHERE a AND b
- Type Safety:
 - * Type safety on attributes not yet ensured: Reference to attribute with its name as String -> error prune

• Querydsl: a framework that enables construction of statically typed SQL-like queries

- Example:

```
List<Person> persons = queryFactory.selectFrom(person)
.where(
    person.firstName.eq("John"),
    person.lastName.eq("Doe"))
.fetch();
```

Advantages:

- * more human-readable than Criteria API
- * open-source
- * statically typed = type-safe queries

6.5 Alternatives for Persistent and Bulk Data Management

- NoSQL Databases: a new generation of database systems which considers following points:
 - associated data model **not relational**
 - systems designed for distributed and horizontal scalability
 - schemaless or weaker schema restrictions
 - due to distributed architecture, easy data replication
 - simple API
 - eventually consistent, but not ACID
- When to use NoSQL instead of Relational Databases:
 - performance problems in relational databases:
 - * indexing of large amount of documents
 - Relational databases are efficient if they are optimized for frequent but small transactions or for large batch transactions with rare write accesses.
 - Relational databases are unable to handle high data requirements and frequent data changes at the same time.
 - NoSQL databases can handle many write and read requests (e.g. Facebook, Amazon).

7 Architecture of Distributed Information Systems

7.1 Characteristics of Distributed Systems

• Foundations:

- Distributed system: a system that is comprised of several physically disjoint compute resources interconnected by a network
- Distributed Application: an application consisting of several processes that run distributed in several process spaces

- Characteristics of a distributed system:

- * resource sharing
- * openness (communication protocols and interfaces)
- * concurrency
- * scalability
- * failure tolerance
- * distribution transparency

• A Centralized Information System Architecture:

- Example: Central mainframe
 - * multi-user operation
 - * optimized for large amounts of data
 - * high reliability
 - * minimal logic on the terminal, only display of data

• A Local Area Network of Distributed Clients and Servers:

- distributed system within a company, department
 - * multi-user operation
 - * distributed services and information
- different functionalities supported by different servers
- automation of business processes
- requirement of integration effort and leads to productivity paradox:
 - * more IT investments do not lead to higher productivity
 - * limited realization of compound effects

• Resource Sharing:

 client-server model -> based on service-oriented achitecture for resource sharing

- server processes provide resource managers, they provide shared resources (e.g. data, code, hardware, processes)
- client processes issue requests to use these remote resources
- client initiates the communication

• Opennes and Concurrency:

- Openness

- * extensibility of the system at the
 - · hardware level: new peripherals, memory, etc.
 - · **software level:** new resource services, communication protocols
- st specifications for **interfaces** of the system are disclosed and documented

- Concurrency:

- * multiple users issue independent and concurrent requests via client processes
- * server processes run concurrently
- * multiple processes may exist for each resource type to improve scalability

• Scalability and Failure Tolerance:

Scalability

- \ast adapting the system for larger data volumes, workloads, faster throughput
- * increase in complexity
- * ideally without changing application architecture
- * Goal: constant performance with increasing load

- Failure tolerance

- * ability of distributed system to provide functionalities even if a number of defective subsystems (servers/clients) exist
- * implementation via redundant subsystems, a certain number of which can be defective
- * guarantee of high availability

• Transparency:

 distributed system apppears as a single computer system -> structure hidden from programmer

- Forms of transparency

* Access transparency: local and remote objects are treated equally

- * Location transparency: objects can be accessed without knowing their location
- * Concurrency transparency: multiple processes can interact concurrently with shared objects without interference
- * Replication transparency: transparent use of multiple instances of an object
- * **Migration transparency:** location change of an object is transparent

• Misconceptions About Distributed Systems:

- network is reliable, secure, homogeneous
- latency¹³ and transport cost is zero
- Distributed Systems vs. Centralized Information Systems:

Advantages

- Profitability through resource sharing
- Performance gain through parallelization
- Increased computing power
- Flexible load balancing
- · Reliability through redundancy
- Integration of existing functionality

Disadvantages

- Increased complexity of the system
- Additional security vulnerabilities
- Hardware and software landscape becomes more heterogeneous
- Performance loss due to low network bandwidth
- Significantly increased testing and debugging efforts

7.2 Synchronous and Asynchronous Communication

- Communication in Distributed Systems:
 - It is a fundamental architectural decision whether the communication of distributed components is implemented synchronously or asynchronously since it leads to different forms of process coupling
 - Asynchronous communication: sender process does not wait for receiver process
 - Synchronous communication: sender waits for receiver process to accept/perform a request
- Synchronous Communication via Remote Procedure Calls:

Remote Procedure Call (RPC)

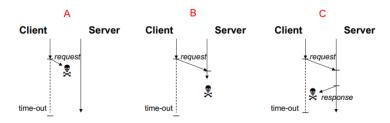
clients call remote methods

¹³Network latency is the delay in network communication. It shows the time that data takes to transfer across the network.

- Marshalling: transformation of structured argument values or results into linear messages (memory representation -> data format suitable for storage or transmisson)
- Unmarshalling: transformation of linear messages into structured argument values or results
- Proxy and skeleton are provided by a middleware and encode and decode the messages

• General Challenge of Distributed Systems:

- client and server can crash independently
- messages can be lost
- difficulty to reach a consensus on the distributed world
- <u>Example</u>: client does not receive answer to a **synchronous** request in a remote procedure call -> request times out, but what happened (A, B or C)?



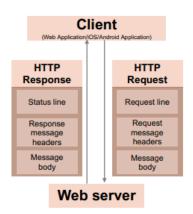
• Alternatives for Handling Time-Outs in a RPC:

- The programmer has to decide how to deal with a time-out based on the semantics of the executed operation and the specific business context.
- Maybe semantics: The server procedure is executed once or not at all. In case of an error, the client cannot know whether the procedure. -> in case of time-out, client does not repeat its request
- At-least-once semantics: The server procedure is executed at least once.
 in case of time-out, client repeats its request
- At-most-once semantics: The server procedure is executed at most once.
 in case of time-out, client repeats its request and marks it as a repeat
- It is practically impossible to achieve the semantics of a local procedure call:
- Exactly-once: The procedure is executed exactly once.

- Hypertext Transfer Protocol (HTTP): is an application-layer protocol for transmitting hypermedia documents, such as HTML
 - designed for synchronous communication between web browsers and web servers
 - follows **client-server model**, with client opening a **connection**
 - stateless => every HTTP request is independent and server does not keep any record of previous client requests
- Client-Server Communication with HTTP:
 - client sends request to server
 - server receives request, carries out the operation defined in request and sends a **response** to client
 - to represent structured data in the request and response as hypertext, XML or JSON are used
- Create, Read, Update, Delete (CRUD), HTTP Request Methods: HTTP defines a fixed set of request methods to indicate the desired action for a given resource.

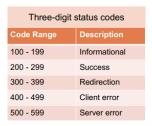
HTTP Method	Description
/POST	Create a new entity
/GET	Read a list of entities or a single entity
/PUT	<u>U</u> pdate an existing entity
/DELETE	<u>D</u> elete an existing entity

• HTTP Messages-Request and Responses:



• HTTP Status Code:

 status code is explained with a textual phrase that is sent along as part of the status line



- Web API: provides services to be consumed by application code
 - consists of a defined set of HTTP request messages
 - for each request, web API specifies the structure of response
 - response is typically expressed in JSON or XML

• Developer Support for Testing Web APIs:

- HTTP requests are normally sent from application code (e.g. Java).
 For debugging and development, following tools can be used:
 - * **Postman** is a collabration platform for API development. It has an API Client to send REST requests directly within the app.

• Messaging and Message-Oriented-Middleware:

- Message-Oriented Communication
 - * asynchronous distributed communication through the exchange of transient or persistent messages between software components
 - * introduction of a queue as an "intermediate component" between sender and receiver allows an **indirect** communication
 - * Example: E-Mail Services (unstructured messages)

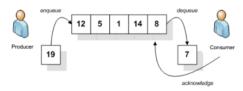
- Message-Oriented Middleware

- * provides generic services for **reliable** message-oriented communication through persistent and transient queues
- st allows to implement **reliable** message delivery (exactly once semantics), but also lower levels of reliability
- * Examples: JBoss Messaging

• Messaging Models:

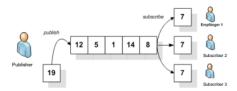
- Point-to-Point Communication

- * **producer** (sender: creates message/request) and **consumer** (receiver)
- * producer knows the destination of message and places it in the queue
- * asynchronous communication between producer and consumer
- $\ast\,$ each message received by the consumer is confirmed by him



- Publish-Subscribe

- * **publisher** (sender) and **subscriber** (receiver) are not known to each other
- * subscriber subscribes to receive messages on a topic
- $\ast\,$ publisher knows the destination of message and places it into a topic
- * multiple subscriber receive identical messages
- * asynchronous messaging



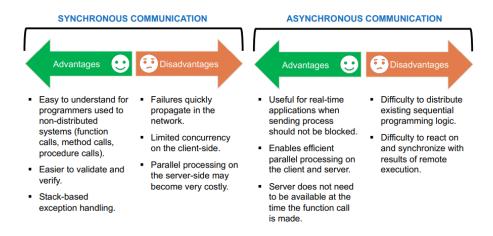
• Indirect Asynchronous Messaging:

- Advantages of indirect asynchronous messaging

- * setup of sender and receiver component **independent** of each other -> allows easy exchange of components
- * sender need **no info** about receiver, vice versa
- * sending and subsequent processing by sender

This leads to a **loose coupling** of software components.

• Asynchronous vs. Synchronous Communication:



7.3 Implementing REST API

- Representational State Transfer (REST): a software architectural style for distributed hypermedia systems like the world wide web
 - provides a set of architectural constraints that, when applied as a whole, emphasizes:
 - * scalability of component interactions
 - * generality of interfaces
 - * independent deployment of components
 - * intermediary components to reduce interaction latency, enforce security and encapsulate legacy systems
- An Extract API for the SEBA Banking App:

HTTP Verb (CRUD)	URL	Authentication	Request Body (JSON)	Response (JSON)
GET (READ)	/api/customers	Yes	[empty]	array of serialized customer objects
GET (READ)	/api/customers/{id}	Yes	[empty]	serialized customer object
POST (CREATE)	/api/customers	Yes	serialized customer object	serialized customer object
PUT (UPDATE)	/api/customers/{id}	Yes	serialized customer object	serialized customer object
DELETE	/api/customers/{id}	Yes	[empty]	[empty]
POST (CREATE)	/api/transfers	Yes	serialized transfer object	serialized transfer object

• Spring RESTful Service Controllers:

 RESTful controllers populate and return domain objects serialized as JSON via HTTP whereas Spring MVC controllers return views.

• Annotations and Types for REST API:

- @RestController indicates that the data returned by each method will be written straight into the response body -> for classes
- @RequestMapping maps HTTP requests to handler methods of REST controllers. -> for classes
- @GetMapping maps GET request onto specific methods of the controller class -> for methods
- @PostMapping, @PutMapping, @DeleteMapping -> for methods
- ResponseEntity<?> represents an HTTP response -> for return values of methods
- @Path Variable indicates that a method parameter should be bound to a URI template variable 14
- @RequestBody is used to access HTTP request body, body content
 is converted to the method argument ((un)marshalling of Java objects
 to/from JSON, XML) -> for parameters of methods
- @Valid triggers the validation of the annotated method parameter and puts the result into BindingResult => Request Validation, if incorrect request body, HTTP (error) status code as reponse (e.g. Status 400: Bad Request) -> for parameters of methods
- @ControllerAdvice allows developers to handle exceptions across the whole application -> for classes
- ResponseEntityExceptionHandler handles common exceptions out-of-the-box (e.g. TypeMismatchException) => Exception Handling -> for extending classes
- @ExceptionHandler configures the advice to only respond if a EntityNotFoundException is thrown -> for methods

8 Security of REST-based Business Applications

8.1 Introduction and Fundamentals

• Security in Business Applications:

 $^{^{14}{}m A}$ **URI path template** has one or more variables, with each variable name surrounded by curly braces.