# Inter-hemispheric EEG coherence analysis in Parkinson's disease: Assessing brain activity during emotion processing

R. Yuvaraj · M. Murugappan · Norlinah Mohamed Ibrahim · Kenneth Sundaraj · Mohd Iqbal Omar · Khairiyah Mohamad · R. Palaniappan · M. Satiyan

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**Abstract** Parkinson's disease (PD) is not only characterized by its prominent motor symptoms but also associated with disturbances in cognitive and emotional functioning. The objective of the present study was to investigate the influence of emotion processing on interhemispheric electroencephalography (EEG) coherence in PD. Multimodal emotional stimuli (happiness, sadness, fear, anger, surprise, and disgust) were presented to 20 PD patients and 30 age-, education level-, and gender-matched healthy controls (HC) while EEG was recorded. Interhemispheric coherence was computed from seven homologous EEG electrode pairs (AF3-AF4, F7-F8, F3-F4, FC5-FC6, T7-T8, P7-P8, and O1-O2) for delta, theta, alpha, beta, and gamma frequency bands. In addition, subjective ratings were obtained for a representative of emotional stimuli. Interhemispherically, PD patients showed significantly lower coherence in theta, alpha, beta, and gamma frequency bands than HC during emotion processing. No significant changes were found in the delta frequency band coherence. We also found that PD patients were more impaired in recognizing negative emotions (sadness, fear, anger, and disgust) than relatively positive emotions (happiness and surprise). Behaviorally, PD

Keywords Emotion · Inter-hemispheric coherence · Electroencephalography · Parkinson's disease

Introduction

The neuropathological hallmark of Parkinson's disease

patients did not show impairment in emotion recognition as

measured by subjective ratings. These findings suggest that

PD patients may have an impairment of inter-hemispheric

functional connectivity (i.e., a decline in cortical connec-

tivity) during emotion processing. This study may increase

the awareness of EEG emotional response studies in clin-

ical practice to uncover potential neurophysiologic

abnormalities.

(PD) is the progressive loss of dopamine neurons in the substantia nigra pars compacta and the subsequent striatal dopamine deficiency, which leads to a cascade of dysfunctions in the basal ganglia and dopaminergic pathways (Obeso et al. 2008; Péron et al. 2012). In addition to well-known motor symptoms, such as resting tremor, rigidity, bradykinesia, and postural instability (Chen et al. 2013; Savitt et al. 2006), PD is also associated with disturbances in cognitive functions (Levin et al. 1989; Pillon et al. 1996; Mohr et al. 1990), neuropsychiatric status (Celesia and Wanamaker 1972), language (Bastiaanse and Leenders 2009) and particularly, in emotion recognition (Gray and Tickle-Degnen 2010; Péron et al. 2012).

Individuals with PD show impairments in the ability to recognize emotions from facial expression (Clark et al. 2008; Dujardin et al. 2004; Lawrence et al. 2007; Sprengelmeyer et al. 2003), speech prosody, (Dara et al. 2008; Pell and Leonard 2003; Yip et al. 2003; Paulmann and Pell 2010) and show reduced startle reactivity to highly

R. Yuvaraj (⊠) · M. Murugappan · K. Sundaraj · M. I. Omar · M. Satiyan School of Mechatronic Engineering, University Malaysia Perlis (UniMAP), Campus Ulu Pauh, 02600 Arau, Perlis, Malaysia

N. M. Ibrahim  $\cdot$  K. Mohamad Neurology Unit, Department of Medicine, UKM Medical Center, Kuala Lumpur, Malaysia

R. Palaniappan Faculty of Science and Engineering, University of Wolverhampton, Telford, UK

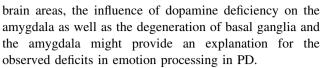
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e-mail: yuva2257@gmail.com



arousing unpleasant pictures (Bowers et al. 2006; Miller et al. 2009). There is limited event-related potential (ERP) evidence that early processing of emotional prosody (mismatch negativity; Schröder et al. 2006) and faces (early posterior negativity; Wieser et al. 2012) may be affected in PD. While there are some reports of intact emotion recognition (Madeley et al. 1995; Pell and Leonard 2005; Garrido-Vásquez et al. 2012; Adolphs et al. 1998; Caekebeke et al. 1991; Wieser et al. 2012; Dujardin et al. 2004), others have documented impairments in recognizing some of the basic emotions (anger, fear, disgust, happiness, sadness, and surprise) (Lawrence et al. 2007; Suzuki et al. 2006). Most recently, lateralization (left versus right) of emotion recognition in PD has become controversial. For example, Clark et al. (2008) reported no asymmetry effects on explicit emotion categorization while Ariatti et al. (2008) and Yip et al. (2003) reported problems in categorizing disgust prosody in patients with predominantly right-sided motor symptoms. In addition, Ventura et al. (2012) reported that predominantly left-sided patients exhibit problems in the recognition of sadness emotion. Finally, it is not yet clear whether deficits in emotion recognition are confined to one stimulus modality (i.e., facial expressions; Clark et al. 2008) or more (facial displays and prosody; Ariatti et al. 2008; Dara et al. 2008), as more generally diminished emotion recognition abilities in PD patients in different communication channels have been recently reported (facial displays, voices, and verbs; Paulmann and Pell 2010). Taken together, experimental evidence so far supports the view of impairments in emotion processing in PD. In addition, most of the above-mentioned studies were based on behavioral responses to emotional stimuli (i.e., participants were asked to match, identify, judge, or rate the emotional stimuli) and few studies have measured ERPs.

The underlying cause of these problems in processing of emotional information in PD may be the neuropathological changes in many brain areas, which are postulated to play key roles in emotion processing (Kober et al. 2008; Sergerie et al. 2008). These areas include limbic structures, such as the amygdala and the ventral striatum (centrally located within the basal ganglia's limbic loop). Moreover, Tessitore et al. (2002) and Beyer et al. (2007) demonstrated in functional magnetic resonance imaging (fMRI) and structural MRI studies that dopamine levels modulate the amygdala's response in PD patients involving processing of fearful emotional stimuli (Tessitore et al. 2002) and that PD patients show volumetric reductions in the amygdala (Beyer et al. 2007). In addition, Cancelliere and Kertesz (1990) reported that patients with cortical lesions who had additional damage to the basal ganglia showed the most pronounced deficits in emotional judgments. Taken together, the neuropathological changes in emotion-related



Coherence is a frequency-specific quantitative measure for the similarity of two different signals. Applied to electroencephalographic (EEG) measures, coherence can be interpreted as a quantitative measure of the degree of functional connectivity between distinct brain regions (French and Beaumont 1984). Previous studies have applied coherence to the examination of functional changes associated with the performance of a perceptual or cognitive task, and a coherence measure obtained from spectral EEG analysis is thought to be a useful technique in the assessment of cognitive function (Busk and Galbraith 1975; Shaw et al. 1977; Gasser et al. 1987). There have been numerous EEG studies of PD that have mainly shown the slowing of EEG background activity (Neufeld et al. 1988) as well as, changes in alpha/theta rhythms (Soikkeli et al. 1991). Several previous studies have used EEG coherence analysis to investigate functional changes in numerous disease states in different situations, but the path of change is inconsistent across those states. Certain brain regions and frequency ranges show an increase in coherence in multi-infract dementia (Leuchter et al. 1992), AIDS (Newton et al. 1994), and mild head injury (Thatcher et al. 1989), while decreases are observed in Alzheimer's disease (Leuchter et al. 1992) and depression (Roemer et al. 1992). For PD, only one study (Fonseca et al. 2013) evaluated the relationship between EEG coherence and cognition, and the results indicated an increase in beta frontal-occipital inter-hemispheric coherence in PD patients compared to healthy controls (HC). To date, there have been very few studies that have analyzed EEG coherence during emotion processing in HC participants (Hinrichs and Machleidt 1992; Schellberg et al. 1990). Hinrichs and Machleidt (1992) used coherence capability to describe inter-hemispheric coupling effects to differentiate between various kinds of emotion; therefore, coherence analysis of EEGs recorded during emotion processing could provide a useful means for assessing emotional impairments in PD patients. To the best of our knowledge, however, no studies have specifically investigated inter-hemispheric synchronization of EEG activity during emotion processing in PD patients compared to HC.

### Materials and methods

#### **Participants**

Twenty-three PD patients and 30 age-, education level-, and gender-matched HC participated in the study. Due to



excessive artifacts (movements or closing of the eyes). three participants of the PD group had to be excluded from further analysis, resulting in a sample of 20 PD patients (10 men and 10 women) and 30 HC (13 men and 17 women). The PD patients were recruited from the clinic Neurology outpatient service of the Hospital University Kebangsaan Malaysia (HUKM) medical center in Kuala Lumpur, Malaysia. All patients had been diagnosed with idiopathic PD by a neurologist and were optimally medicated during the testing session (ON state) with a d2-agonist (n = 18); carbidopa/L-dopa (n = 13), monoamine oxidase B (MAOinhibitor (n = 7),catechol-O-methyltransferase (COMT) inhibitor (n = 5), amantadine (n = 5), or anticholinergies (n = 3). The average duration of PD (postdiagnosis) in the group was 5.75 years [standard deviation (SD) = 3.52, range = 1–12 years]. The severity of motor signs in the patient group could be characterized as mild to moderate; all patients fit Hoehn and Yahr stages (H&Y) (Hoehn and Yahr 1967) I–III (Stage I = unilateral disease with mild symptoms, Stage II = bilateral involvement, Stage III = bilateral symptoms with postural and gait disturbances) with a mean Unified Parkinson's Disease Rating Scale (UPDRS) (Fahn et al. 1987) motor score of 17.05 (SD = 3.15). None of the patients had coexisting neurological (e.g., epilepsy) or psychiatric disturbances (e.g., major depression or anxiety, psychotic symptoms, etc.) that might independently influence their cognitive functioning.

The HC participants were recruited through the hospital's medical unit community and/or from patient's relatives. Exclusion criteria for HC participants included any current psychiatric or neurological disorder. Exclusion criteria for both the groups were dementia or depression as indicated by a score of 24 or lower on the Mini-Mental State Examination (MMSE) (Folstein et al. 1975; Wieser et al. 2012) or 18 or higher on the Beck Depression Inventory (BDI) (Beck et al. 1961; Schröder et al. 2006). All participants were right-handed as determined by selfreport and confirmed by Edinburgh Handedness Inventory (EHI) (Oldfield 1971). This test consisted of 10 questions asking for the preferred hand for a series of activities (e.g., writing, throwing, using scissors, etc.). All participants reported normal or corrected-to-normal vision, and intact hearing was formally established in all participants by administering a pure-tone audiometric screening of both ears to ensure acceptable normal hearing threshold (minimum 30 dB HL at 0.5, 1, 2, and 4 kHz, for the better hearing). All participants/caretakers gave informed consent before completing the study, which was approved by the Faculty of Medicine, Institutional Review Board of the University Kebangsaang Malaysia for ethical adherence. All participants were paid 50 Malaysian Ringgits for their participation.

 Table 1
 Demographic and clinical characteristics (mean (SD)) of PD

 patients and healthy controls

|                                       | PD ( <i>N</i> = 20) | HC (N = 30)  | Test's<br>value | Statistical result* |
|---------------------------------------|---------------------|--------------|-----------------|---------------------|
| Age (years)                           | 59.05 (5.64)        | 58.43 (3.01) | t = 0.502       | p = 0.618           |
| Gender                                | 10F/10M             | 17F/13M      | $x^2 = 0.214$   | p = 0.686           |
| Education (years)                     | 10.45 (4.86)        | 11.02 (3.24) | t = -0.62       | p = 0.515           |
| MMSE<br>(0-30)                        | 26.90 (1.51)        | 27.46 (1.50) | t = -1.30       | p = 0.199           |
| Hoehn and<br>Yahr Scale<br>(I/II/III) | 2.25 (0.63)         | -            | _               | -                   |
| Motor<br>UPDRS                        | 17.05 (3.15)        | -            | -               | -                   |
| Disease<br>duration<br>(years)        | 5.75 (3.52)         | -            | _               | -                   |
| BDI (0-21)                            | 5.80 (2.87)         | 5.40 (3.71)  | t = 0.406       | p = 0.686           |
| EHI (1-10)                            | 9.55 (0.76)         | 9.87 (0.73)  | t = - $0.822$   | p = 0.415           |

Mean (standard deviations) are given

N total number of participants, M male, F female, MMSE Mini-Mental State Examination, UPDRS Unified Parkinson's Disease Rating Scale, BDI Beck Depression Inventory, EHI Edinburgh Handedness Inventory

Difference is significant at the \*p < 0.05 level

Patients and controls were comparable in demographic variables, such as age (PD: mean (SD) = 59.05(5.64) years; HC: mean (SD) = 58.43(3.01) years; t(48) = 0.502, p = 0.61), gender distribution (PD: 10 men, HC: 13 men;  $x^2(1, N = 50) = 0.21$ , p = 0.68), and education level (PD: mean (SD) = 10.45(4.8) years; HC: mean (SD) = 11.02(3.24) years; t(48) = -0.62, p = 0.51). As shown in Table 1, PD patients and HC participants did not differ in mean MMSE scores, mean BDI scores as well as mean EHI scores.

# Stimulus material

Acquiring emotional data that correspond to specific emotional state is challenging because of the subjective nature of the emotions and cognitive dependence of physiological signals which require the emotional states to be elicited internally in the participant. Until now, most studies on emotion recognition in PD have used only facial, prosodic, or music stimuli (Gray and Tickle-Degnen 2010; Péron et al. 2012; Lima et al. 2013). In addition, a number of emotion induction techniques using pictures, sounds, music, or multimodal approach (combination of audio and visual) have been used to elicit target emotions (Baumgartner et al. 2006; Davidson 1992; Gross and Levenson 1995; Murugappan et al. 2009; Jerritta et al. 2013; Petrantonakis and Hadjileontiadis 2011) in healthy controls.



Among all of these stimuli modalities, researchers have found that multimodal stimuli induce emotions in the participants more naturally and more effectively compared to other modalities (Gross and Levenson 1995; Kim et al. 2004; Murugappan et al. 2009; Wang and Guan 2008). Hence, we utilized a multimodal approach to evoke six basic emotions (happiness, sadness, fear, anger, surprise, and disgust) that are universally accepted.

The emotional stimuli we used were taken from different sources such as the International Affective Picture System (IAPS) database (Lang et al. 1993), International Affective Digitized Sounds (IADS) (Bradley and Lang 2007) database and video clips (e.g., funny animals, wonder activities by humans, etc.) collected from various resources on the internet (e.g., YouTube, Facebook, and others) (Jerritta et al. 2013). The elicitation of emotions such as sadness, fear, and disgust was attained using affective pictures from IAPS and sounds from IADS databases. Various psychological and psychophysiological experiments have shown that these stimuli sets have great potential in the investigation of sadness, fear, and disgust emotion (Baumgartner et al. 2006; Brown et al. 2011). In addition, Mikles et al. (2005) and Redondo et al. (2008) provided a more complete characterization of the categorical structure of the IAPS and IADS stimulus set with the objective of identifying images and sounds that elicit one discrete emotion more than other emotions. The IAPS pictures<sup>1</sup> [disgust: valence mean (SD) = 2.43 (1.51), arousal mean (SD) = 5.90 (2.25); fear: valence mean (SD) = 3.80 (1.89), arousal mean (SD) = 5.85 (2.12); sadness: valence mean (SD) = 2.74 (1.57), arousal mean (SD) = 5.00 (2.08)] and IADS sound<sup>2</sup> [disgust: valence mean (SD) = 4.00 (1.72), arousal mean (SD) = 5.82(1.93); fear: valence mean (SD) = 4.00 (1.72), arousal mean (SD) = 5.82 (1.93); sadness: valence mean (SD) = 3.28 (1.65), arousal mean (SD) = 6.61 (1.89)] were selected and combined together according to their arousal and valence values provided in the databases. For

<sup>&</sup>lt;sup>1</sup> The following pictures were used for emotion induction: Disgust 1945, 2352.2, 3000, 3010, 3015, 3030, 3051, 3060, 3061, 3071, 3080, 3110, 3120, 3130, 3140, 3150, 3160, 3250, 3400, 7360, 7361, 7380, 8230, 9040, 9042, 9181, 9290, 9300, 9320, 9330, 9373, 9390, 9405, 9490, 9570, 9830; Fear 1019, 1022, 1030, 1040, 1050, 1051, 1052, 1070, 1080, 1090, 1110, 1111, 1113, 1120, 1200, 1201, 1220, 1230, 1240, 1280, 1274, 1300, 1301, 1302, 1321, 1390, 1930, 1931, 3280, 5970, 5971, 5972, 6370, 9584, 9594, 9592; Sadness 2205, 2271, 2276, 2490, 2520, 2590, 2700, 2800, 2900, 3220, 3230, 3300, 3301, 3350, 6570, 6838, 8010, 9000, 9041, 9050, 9120, 9190, 9210, 9220, 9331, 9410, 9415, 9470, 9520, 9530, 9561, 9611, 9910, 9911, 9920, 9921. <sup>2</sup> The following sounds were used for emotion induction: Disgust 134, 115, 251, 262, 284, 698, 702, 711, 712, 713, 714, 720, 728, 729,  $730,\,732,\,812,\,813;\,Fear\,106,\,133,\,170,\,171,\,275,\,276,\,277,\,279,\,291,\\$ 312, 378, 380, 424, 425, 500, 626, 627, 699, 817; Sadness 115, 150, 260, 261, 278, 280, 285, 286,290, 293, 295, 310, 311, 368, 403, 420, 422, 501, 600, 625.



Table 2 Summary of emotion induction stimulus material

| Emotion   | Content (source)  |
|-----------|---|
| Happiness | Funny animals, funny kids, (YouTube, Facebook, and others)  |
| Sadness   | Disasters, funeral, accidents, a dying hospitalized women (IAPS and IADS)                             |
| Fear      | Murder, snakes, tornado (IAPS and IADS)   |
| Anger     | Child abuse, acid attack, man attacking a women etc. (YouTube, Facebook, and others)                  |
| Surprise  | Different wonder activities by human (YouTube, Facebook, and others)                                  |
| Disgust   | Injured and decomposed human body, a burn victim, dirty toilets, bloody injuries etc. (IAPS and IADS) |

example, a negative/high aroused sound is matched with a negative/high aroused visual. Happiness, surprise, and anger emotions were elicited using video clips. A pilot study was conducted to identify the efficient video clips that would elicit the target emotion better on the participants. Ninety video clips corresponding to happiness, surprise, and anger were displayed to thirty volunteers with a mean age of 26.4 years (range from 24 to 45 years) to rate the emotions they experienced when watching the video clips. All of the participants were psychology teachers or students at the UKM medical center, Kuala Lumpur. Of these, the thirty clips with the highest ratings were chosen for data collection. The emotion induction stimulus materials are summarized in Table 2.

# Emotion elicitation protocol

An illustrated version of the emotion elicitation protocol is shown in Fig. 1. As seen, the protocol had two sessions of three trials each. There was a break of 10–15 min between the sessions. The participants were allowed to relax and refresh during the break, since the continuous assessment would have been too exhausting. The multimodal stimuli relating to all six emotional states (happiness, sadness, fear, anger, surprise, and disgust) were displayed in each trial in a random order. Each combination of picture and sound was presented for 6 s (Kim 2007). To maximize the participants' emotional reactivity, each clip block consisted of six combinations of the same emotional category and lasted for 36 s. In addition, each of the video clips varied from 36 to 45 s in duration, depending on the length of the clip. Neutral images, which can calm down the participant state, were displayed for 10 s at the start of each trial. This would help the participant return to the normal or neutral state from emotional excitation. A 15-s rating interval (Hamdi et al. 2012) was provided between the clips in which participants completed on a five-point self-assessment scale. Each session lasted approximately 30 min.

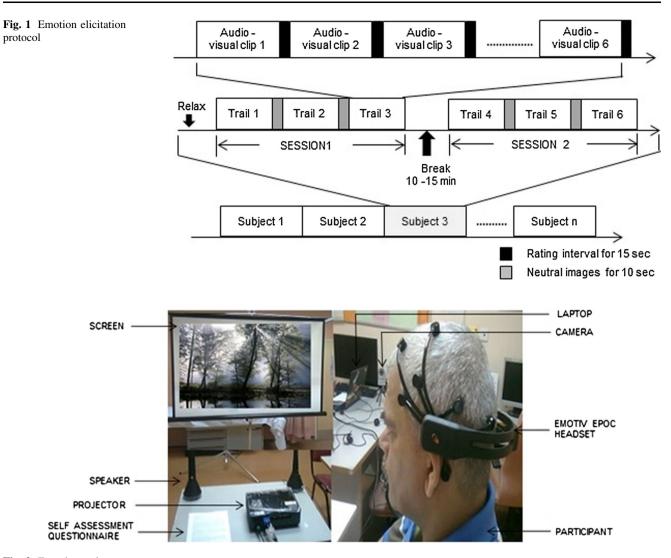


Fig. 2 Experimental setup

#### Procedure

The purpose of the study was clearly explained to the participants before starting the experiment. The participants were further requested to relax, minimize their body movement (to reduce the appearance of relevant artifacts in the EEG recordings), and concentrate on the emotional stimuli. The self-guided emotion elicitation protocol was then displayed on the screen. The experiment setup is shown in Fig. 2. At the end of each clip, participants filled out a self-assessment questionnaire to state the status of the emotions they felt during the experiment; they were also asked to report the strength of the emotions experienced using a five-point scale according to the degree (1, very low; 2, low; 3, medium; 4, high; and 5, very high). These ratings were used to understand the intensity of the emotional state they experienced. However, despite the intensity levels, all emotional data were taken

considerations. The participants were also allowed to indicate multiple emotions during the experiment. A picture of the self-assessment questionnaire is shown in Fig. 3.

## Apparatus and data analysis

EEG recordings were measured using the Emotive EPOC 14 channel EEG wireless recording headset (Emotive Systems, Inc., San Francisco, CA) (Hadjidimitriou and Hadjileontiadis 2012). The electrode scheme was arranged according to the international 10–20 system and included active electrodes at AF3, F7, F3, FC5, T7, P7, O1, O2, P8, T8, FC6, F4, F8, and AF4 positions, referenced to the common mode sense (CMS-left mastoid)/driven right leg (DRL-right mastoid) ground. The acquired data were digitized using the embedded 16-bit ADC with 128-Hz sampling frequency per channel and sent to the computer via wireless technology.



|    | Audio-   | Primary emotion experienced |     |      |       |          | - 1     | Intensity of primary emotion |     |        |      | Any other emotion ? |       |     |      |            |          |         |
|----|----------|-----------------------------|-----|------|-------|----------|---------|------------------------------|-----|--------|------|---------------------|-------|-----|------|------------|----------|---------|
| S. | visual   |                             |     | (-   | 1     |          |         |                              |     | (√)    |      |                     | -     |     |      | <b>(√)</b> |          |         |
| NO | clip No. | НАРРУ                       | SAD | FEAR | ANGER | SURPRISE | DISGUST | VERY<br>LOW                  | LOW | MEDIUM | HIGH | VERY<br>HIGH        | НАРРУ | SAD | FEAR | ANGER      | SURPRISE | DISGUST |
| 1  | Clip 1   |                             |     |      |       |          |         |                              |     |        |      |                     |       |     |      |            |          |         |
| 2  | Clip 2   |                             |     |      |       |          |         |                              |     |        |      |                     |       |     |      |            |          |         |
| 3  | Clip 3   |                             |     |      |       |          |         |                              |     |        |      |                     |       |     |      |            |          |         |
| 4  | Clip 4   |                             |     |      |       |          |         |                              |     |        |      |                     |       |     |      |            |          |         |
| 5  | Clip 5   |                             |     |      |       |          |         |                              |     |        |      |                     |       |     |      |            |          |         |
| 6  | Clip 6   |                             |     |      |       |          |         |                              |     |        |      |                     |       |     |      |            |          |         |
| 7  | Clip 7   |                             |     |      |       |          |         |                              |     |        |      |                     |       |     |      |            |          |         |
| 8  | Clip 8   |                             |     |      |       |          |         |                              |     |        |      |                     |       |     |      |            |          |         |
| 9  | Clip 9   |                             |     |      |       |          |         |                              |     |        |      |                     |       |     |      |            |          |         |
| 10 | Clip 10  |                             |     |      |       |          |         |                              |     |        |      |                     |       |     |      | 1          |          |         |
| 11 | Clip 11  |                             |     |      |       |          |         |                              |     |        |      |                     |       |     |      |            |          |         |

Fig. 3 Picture of self-assessment questionnaire

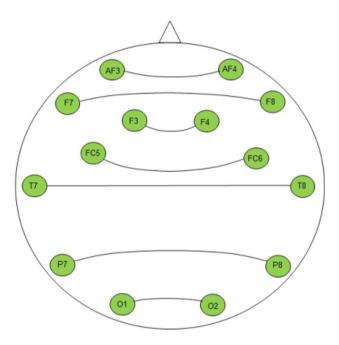


Fig. 4 Homologous inter-hemispheric electrode pairs

EEG analysis was performed offline in the MATLAB (version7.12.0.635, R2011a) environment. After data acquisition, the EEG signals were subjected to filtering. In particular, IIR Butterworth bandpass (6th order filter) was used. The focus was placed upon the five EEG frequency bands: delta (1-4 Hz), theta (4-8 Hz), alpha (8-13 Hz), beta (13-30 Hz) and gamma (30-49 Hz). A study published by Kim (2007) proposed the use of different epoch sizes depending on modality (e.g., 2-6 s for speech, and 3–15 s for biosignals). In this study, the EEG signals were segmented into 6-s epochs corresponding to the duration of each multimodal stimuli projection (768 samples). A separate thresholding method was then used to remove blinking artifacts, in which epochs that were found to have amplitudes exceeding  $\pm 80 \,\mu\text{V}$  were excluded from the study (Gotlib et al. 1998). Eighty-four artifact-free epochs from the middle data segment of each emotional state were selected to compute the cross-power spectra or coherence between homologous inter-hemispheric electrode pairs.

The coherence spectrum function,  $C_{xy}$ , for two given signals, x and y, is defined as,  $C_{xy}(f) = |P_{xy}(f)^2|/(P_{xx}(f) \cdot P_{yy}(f))$ , with f denoting frequency, and P power or cross-spectrum.  $|P_{xy}(f)|$  is the cross-spectrum between signals x and y, while  $P_{xx}(f)$  and  $P_{yy}(f)$  are the auto-spectrum of the signals x and y, respectively (Guevara and Corsi-Cabrera 1996). In this study, inter-hemispheric EEG coherence was calculated between the seven homologous electrode pairs: anterior frontal (AF3–AF4), lateral frontal (F7–F8), midfrontal (F3–F4), fronto-central (FC5–FC6), temporal (T7–T8), parietal (P7–P8), and occipital (O1–O2) (Fig. 4). The EEG coherence was computed using Welch's average periodogram method (Matlab's 'mscohere' function) with an NFFT of 1,024 (with the resolution of 0.125 Hz) and a Hanning window length of 256.

#### **Statistics**

Fisher's z transformation was used to normalize the distribution of coherence values (Hinrichs and Machleidt 1992). The differences between the two groups were assessed for each frequency band (delta, theta, alpha, beta, and gamma) of EEG using a three-way analysis of variance (ANOVA) with repeated measures, where the group (PD vs. HC) as a between-subject factor and the emotions (happiness vs. sadness vs. fear vs. anger vs. surprise vs. disgust) and the electrode pairs (AF3-AF4 vs. F7-F8 vs. F3-F4 vs. FC5-FC6 vs. T7-T8 vs. P7-P8 vs. O1-O2) as the within-subject factors. The ANOVA interaction was tested between groups and emotions, between groups and electrode pairs, between emotions and electrode pairs and between groups, emotions, and electrode pairs. Since a significant main effect of or interaction with emotions was found for frequency bands, a post hoc two-way ANOVA with repeated measures was performed (where the group was the between-subject factor and the electrode pairs were the repeated factor) on all electrode pairs to assess the



emotional differences. Violations of sphericity were adjusted by the Greenhouse–Geisser correction. To determine significance of difference for each of the electrode pair, when a significant group and electrode pair interaction was detected by ANOVA, a separate two-tailed Student's *t* test (second post hoc comparisons) was performed. For the results of the post hoc two-way ANOVA, the Bonferroni procedure was used for second post hoc comparisons. To examine the relationships between resting EEG and the emotional response EEG, a paired *t* test was performed between the coherence values for the AF3–AF4, F7–F8, F3–F4, FC5–FC6, T7–T8, P7–P8, and O1–O2 electrode pairs. Furthermore, Pearson's correlation coefficient was calculated for each electrode pair. These analyses were performed for each group separately.

The data from the behavioral study (subjective ratings as well emotion recognition rates) were analyzed by separate repeated-measure ANOVAs, with group as a between-subject factor and emotion as a within-subject factor. Statistical significance was defined as p < 0.05. For all analyses, the uncorrected degrees of freedom and the corrected p values are reported.

#### Results

#### Behavioral measures

Mean subjective ratings are shown in Table 3. Overall the ratings were higher for happiness and lower for disgust; main effect of emotion F(5,240) = 7.88, p < 0.0001. No significant difference between groups and no group × emotion interaction were observed (p > 0.9). In the emotion recognition task (Fig. 5), performance of PD patients did not differ significantly from HC participants. Overall, happiness stimuli were recognized most (% correct M = 93.42; SD = 9.00), whereas disgusted faces were recognized least [% correct mean (SD) = 69.58 (3.20)], F(5,240) = 2.99, p = 0.023.

**Table 3** Mean subjective ratings of emotional stimuli by PD patients and healthy controls

| Emotion   | PD   |      | НС   |      |  |  |  |
|-----------|------|------|------|------|--|--|--|
|           | Mean | SD   | Mean | SD   |  |  |  |
| Happiness | 4.25 | 1.12 | 4.37 | 0.96 |  |  |  |
| Sadness   | 3.55 | 1.28 | 3.77 | 0.68 |  |  |  |
| Fear      | 3.45 | 0.94 | 3.57 | 1.07 |  |  |  |
| Anger     | 3.30 | 1.17 | 3.63 | 0.96 |  |  |  |
| Surprise  | 3.85 | 0.75 | 3.90 | 1.12 |  |  |  |
| Disgust   | 2.95 | 1.10 | 3.07 | 1.23 |  |  |  |

Ratings: 1 very low, 2 low, 3 medium, 4 high, 5 very high

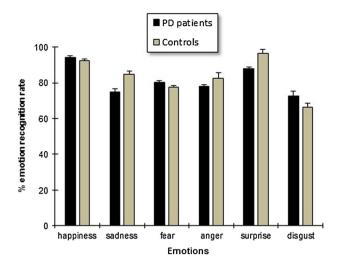


Fig. 5 Means and standard error of means (SEM) of emotion recognition accuracy (%) in PD and 10 HC groups

Relationship between resting state and emotional response EEG

Table 4 summarizes the results of the paired samples t test and Pearson's correlations between the coherence values of seven electrode pairs recorded in the resting state and six emotional states (happiness, sadness, fear, anger, surprise, and disgust) in PD patients and HC. Significant relationships (p < 0.05) were limited to theta, alpha, beta, and gamma frequency bands in all analyzed electrodes. We also observed that Pearson's correlation coefficient was higher in theta, alpha, beta, and gamma frequency bands for both groups. The delta band showed a lower correlation between the coherence values of resting EEG and emotional state EEG for both the groups.

#### Emotional EEG coherence analysis

For the gamma band, significant differences between the factor group [F(1,166) = 48.29, p < 0.0001], emotion [F(5,830) = 5.21, p = 0.0284] and electrode [F(6,996) = 950.20, p < 0.0001] were identified. We also detected a significant group x emotion interaction [F(5,830) = 4.29, p = 0.039] and emotion × electrode pair interaction [F(30,4980) = 5.11, p = 0.008] in the alpha band. To explain group × emotion interaction, a post hoc two-way ANOVA was performed for each emotion with group and electrode pair. A significant interaction between these factors with sadness [F(6,996) = 8.39, p < 0.0001],fear [F(6,996) = 19.20,p < 0.0001], anger p < 0.0001], [F(6,996) = 23.12,and disgust [F(6,996) = 28.18, p < 0.0001] emotions was found; however, the emotions, happiness and surprise, did not differ in the gamma band (p > 0.05) (Fig. 6a).



Table 4 Results of paired t test and Pearson's correlation between coherence values of resting EEG and emotional response EEG in PD patients and healthy controls

|            |             |  |             |             |             |             |              |                               |             |             |             | Ī           |
|------------|-------------|--|-------------|-------------|-------------|-------------|--------------|-------------------------------|-------------|-------------|-------------|-------------|
|            | PD patients | PD patients group: Sig. p, Pearson's R | earson's R  |             |             |             | HC group: Si | HC group: Sig. p, Pearson's R | : R         |             |             |             |
|            | Happiness   | Sadness                                | Fear        | Anger       | Surprise    | Disgust     | Happiness    | Sadness                       | Fear        | Anger       | Surprise    | Disgust     |
| Delta band |             |  |             |             |             |             |              |                               |             |             |             |             |
| AF3-AF4    | 0.087, 0.39 | 0.055, 0.24                            | 0.061, 0.32 | 0.054, 0.29 | 0.092, 0.32 | 0.071, 0.37 | 0.062, 0.39  | 0.058, 0.34                   | 0.106, 0.39 | 0.058, 0.39 | 0.291, 0.32 | 0.134, 0.37 |
| F7-F8      | 0.051, 0.13 | 0.136, 0.28                            | 0.062, 0.34 | 0.105, 0.46 | 0.335, 0.28 | 0.284, 0.46 | 0.323, 0.48  | 0.349, 0.27                   | 0.436, 0.40 | 0.223, 0.33 | 0.094, 0.46 | 0.068, 0.24 |
| F3-F4      | 0.258, 0.29 | 0.089, 0.33                            | 0.140, 0.10 | 0.390, 0.52 | 0.214, 0.40 | 0.326, 0.31 | 0.068, 0.10  | 0.059, 0.49                   | 0.082, 0.51 | 0.099, 0.43 | 0.058, 0.40 | 0.298, 0.71 |
| FC5-FC6    | 0.335, 0.42 | 0.389, 0.20                            | 0.395, 0.50 | 0.373, 0.48 | 0.389, 0.41 | 0.320, 0.39 | 0.310, 0.38  | 0.385, 0.43                   | 0.399, 0.36 | 0.300, 0.31 | 0.383, 0.34 | 0.365, 0.43 |
| T7-T8      | 0.589, 0.42 | 0.450, 0.20                            | 0.481,0.50  | 0.408, 0.46 | 0.510, 0.41 | 0.384, 0.39 | 0.429, 0.43  | 0.286, 0.26                   | 0.371, 0.42 | 0.393, 0.45 | 0.328, 0.39 | 0.429, 0.33 |
| P7-P8      | 0.720, 0.26 | 0.421, 0.44                            | 0.498, 0.62 | 0.583, 0.38 | 0.533, 0.27 | 0.505, 0.41 | 0.587, 0.44  | 0.520, 0.43                   | 0.620, 0.49 | 0.582, 0.34 | 0.689, 0.62 | 0.549, 0.51 |
| 01-02      | 0.060, 0.35 | 0.093, 0.38                            | 0.515, 0.35 | 0.284, 0.41 | 0.448, 0.44 | 0.780, 0.38 | 0.323, 0.59  | 0.409, 0.84                   | 0.436, 0.47 | 0.747, 0.42 | 0.420, 0.35 | 0.478, 0.48 |
| Theta band |             |  |             |             |             |             |              |                               |             |             |             |             |
| AF3-AF4    | 0.001,0.53  | 0.005, 0.68                            | 0.001, 0.85 | 0.001, 0.68 | 0.004, 0.84 | 0.000, 0.87 | 0.005, 0.69  | 0.003, 0.73                   | 0.019, 0.89 | 0.001, 0.78 | 0.000, 0.86 | 0.000, 0.97 |
| F7-F8      | 0.001, 0.56 | 0.000, 0.79                            | 0.002, 0.79 | 0.001, 0.74 | 0.032, 0.71 | 0.000, 0.85 | 0.048, 0.65  | 0.000, 0.78                   | 0.039, 0.78 | 0.005, 0.87 | 0.002, 0.78 | 0.000, 0.95 |
| F3-F4      | 0.001,0.54  | 0.000, 0.87                            | 0.000, 0.87 | 0.005, 0.79 | 0.004, 0.90 | 0.000, 0.82 | 0.000, 0.74  | 0.001, 0.69                   | 0.048, 0.94 | 0.000, 0.84 | 0.004, 0.75 | 0.000, 0.84 |
| FC5-FC6    | 0.024,0.65  | 0.000, 0.84                            | 0.032, 0.85 | 0.005, 0.95 | 0.005, 0.70 | 0.000, 0.79 | 0.002, 0.74  | 0.002, 0.76                   | 0.000, 0.92 | 0.000, 0.87 | 0.039, 0.72 | 0.001, 0.73 |
| T7-T8      | 0.018, 0.53 | 0.009, 0.81                            | 0.008, 0.74 | 0.009, 0.87 | 0.001, 0.84 | 0.003, 0.89 | 0.001, 0.79  | 0.000, 0.75                   | 0.041, 0.78 | 0.005, 0.92 | 0.013, 0.89 | 0.001, 0.85 |
| P7-P8      | 0.000, 0.56 | 0.042, 0.76                            | 0.029, 0.93 | 0.049, 0.89 | 0.000, 0.80 | 0.009, 0.95 | 0.000, 0.84  | 0.004, 0.75                   | 0.029, 0.95 | 0.004, 0.74 | 0.043, 0.93 | 0.001, 0.63 |
| 01-02      | 0.018,0.67  | 0.001, 0.61                            | 0.000, 0.90 | 0.004, 0.91 | 0.000, 0.82 | 0.000, 0.93 | 0.019, 0.68  | 0.039, 0.89                   | 0.037, 0.87 | 0.000, 0.69 | 0.000, 0.91 | 0.001, 0.69 |
| Alpha band |             |  |             |             |             |             |              |                               |             |             |             |             |
| AF3-AF4    | 0.049, 0.82 | 0.001, 0.74                            | 0.000, 0.97 | 0.020, 0.73 | 0.000, 0.74 | 0.000, 0.94 | 0.037, 0.74  | 0.000, 0.85                   | 0.000, 0.72 | 0.000, 0.84 | 0.000, 0.72 | 0.002, 0.89 |
| F7-F8      | 0.000, 0.84 | 0.000, 0.89                            | 0.000, 0.98 | 0.010, 0.64 | 0.020, 0.72 | 0.000, 0.96 | 0.048, 0.77  | 0.003, 0.73                   | 0.000, 0.73 | 0.005, 0.92 | 0.000, 0.78 | 0.005, 0.87 |
| F3-F4      | 0.035, 0.87 | 0.005, 0.92                            | 0.030, 0.92 | 0.030, 0.68 | 0.030, 0.69 | 0.000, 0.95 | 0.019, 0.84  | 0.020, 0.49                   | 0.005, 0.71 | 0.000, 0.74 | 0.002, 0.64 | 0.031, 0.93 |
| FC5-FC6    | 0.000, 0.89 | 0.009, 0.74                            | 0.000, 0.83 | 0.040, 0.72 | 0.004, 0.90 | 0.000, 0.92 | 0.000, 0.78  | 0.010, 0.58                   | 0.008, 0.63 | 0.004, 0.87 | 0.004, 0.81 | 0.000, 0.95 |
| T7-T8      | 0.001, 0.93 | 0.004, 0.80                            | 0.002, 0.47 | 0.000, 0.70 | 0.005, 0.93 | 0.000, 0.94 | 0.000, 0.68  | 0.043, 0.68                   | 0.009, 0.74 | 0.009, 0.69 | 0.034, 0.85 | 0.000, 0.97 |
| P7-P8      | 0.029, 0.94 | 0.000, 0.91                            | 0.005, 0.82 | 0.029, 0.60 | 0.004, 0.89 | 0.000, 0.93 | 0.001, 0.86  | 0.005, 0.59                   | 0.018, 0.69 | 0.004, 0.73 | 0.004, 0.85 | 0.000, 0.94 |
| 01-02      | 0.042, 0.92 | 0.030, 0.92                            | 0.004, 0.67 | 0.000, 0.80 | 0.001, 0.76 | 0.000, 0.98 | 0.005, 0.84  | 0.002, 0.61                   | 0.004, 0.75 | 0.000, 0.72 | 0.001, 0.84 | 0.000, 0.98 |
| Beta band  |             |  |             |             |             |             |              |                               |             |             |             |             |
| AF3-AF4    | 0.005, 0.72 | 0.000, 0.84                            | 0.000, 0.84 | 0.008, 0.84 | 0.000, 0.65 | 0.020, 0.83 | 0.004, 0.81  | 0.000, 0.76                   | 0.001, 0.85 | 0.005, 0.61 | 0.032, 0.68 | 0.007, 0.72 |
| F7-F8      | 0.003, 0.84 | 0.002, 0.84                            | 0.000, 0.76 | 0.003, 0.85 | 0.000, 0.78 | 0.001, 0.86 | 0.002, 0.85  | 0.000, 0.79                   | 0.001, 0.85 | 0.040, 0.66 | 0.004, 0.67 | 0.000, 0.78 |
| F3-F4      | 0.037, 0.77 | 0.003, 0.59                            | 0.000, 0.79 | 0.005, 0.49 | 0.000, 0.81 | 0.010, 0.87 | 0.000, 0.84  | 0.020, 0.76                   | 0.004, 0.89 | 0.028, 0.72 | 0.038, 0.66 | 0.030, 0.79 |
| FC5-FC6    | 0.036, 0.79 | 0.048, 0.60                            | 0.001, 0.83 | 0.018, 0.69 | 0.000, 0.75 | 0.000, 0.89 | 0.005, 0.83  | 0.038, 0.75                   | 0.000, 0.87 | 0.044, 0.78 | 0.018, 0.67 | 0.000, 0.76 |
| T7-T8      | 0.045, 0.63 | 0.000, 0.78                            | 0.030, 0.84 | 0.013, 0.75 | 0.000, 0.88 | 0.050, 0.90 | 0.000, 0.86  | 0.003, 0.85                   | 0.000, 0.89 | 0.015, 0.73 | 0.011, 0.71 | 0.000, 0.77 |
| P7-P8      | 0.039, 0.84 | 0.001, 0.74                            | 0.039, 0.81 | 0.019, 0.84 | 0.003, 0.78 | 0.003, 0.94 | 0.000, 0.80  | 0.004, 0.87                   | 0.009, 0.86 | 0.013, 0.68 | 0.012, 0.77 | 0.001, 0.74 |
| 01-02      | 0.038, 0.72 | 0.000, 0.73                            | 0.021, 0.79 | 0.000, 0.81 | 0.001, 0.96 | 0.000, 0.93 | 0.000, 0.87  | 0.005, 0.83                   | 0.000, 0.79 | 0.001, 0.70 | 0.014, 0.73 | 0.003, 0.71 |
| Gamma band | pı          |  |             |             |             |             |              |                               |             |             |             |             |
| AF3-AF4    | 0.000, 0.73 | 0.001, 0.79                            | 0.006, 0.77 | 0.001, 0.82 | 0.029, 0.85 | 0.018, 0.88 | 0.007, 0.70  | 0.004, 0.82                   | 0.000, 0.78 | 0.000, 0.71 | 0.030, 0.92 | 0.050, 0.79 |



0.001, 0.93 0.001, 0.98 0.001, 0.980.001, 0.940.005, 0.91 Disgust 0.037, 0.82 0.000, 0.87 0.010, 0.90 0.020, 0.93 0.049, 0.92 0.020, 0.74 0.033, 0.80 0.049, 0.78 0.037, 0.70 0.018, 0.76 0.039, 0.810.005, 0.89 0.91 0.000, 0.86 0.016, 0 0.005, ( 0.001. group: Sig. p, Pearson's 0.000, 0.87 0.000, 0.98 0.001, 0.83 0.000, 0.94 0.000, 0.84 0.000, 0.91 Sadness 0.000, 0.82 0.001, 0.840.005, 0.88 0.018, 0.90 0.004, 0.82 0.006, 0.73Happiness 0.002, 0.83 0.001, 0.850.005, 0.78 0.011, 0.790.011, 0.82 0.001, 0.80 0.010, 0.88 0.000, 0.90 0.003, 0.92 0.000, 0.95 0.010, 0.82 0.004, 0.91 Surprise 0.001, 0.690.016, 0.70 0.006, 0.59 0.005, 0.007, 0.78 0.001, 0.73 0.016, 0.870.005, 0.84 0.006, 0.84p, Pearson's 0.002. Fear 0.004, 0.960.003, 0.980.007, 0.930.001, 0.930.001, 0.770.008, 0.91 PD patients group: Sig. Sadness 0.018, 0.98 0.027, 0.91 0.000, 0.84 0.000, 0.92 Happiness 0.015, ( 0.029, FC5-FC6 01-02 P7-P8 F3-F4 17-T8

< 0.05) of the difference between resting EEG and six emotional states response EEG in PD patients and HC. Pearson's R represents the correlation between coherence values of resting EEG and six emotional states response EEG The Sig. p represents the significance (p

For the alpha band, a significant difference between the factor group [F(1,166) = 22.77, p < 0.0001], emotion [F p = 0.04721(5,830) = 2.25,and electrode [F(6.996) = 717.32, p < 0.001] was identified. In addition, we detected a significant group x emotion interaction [F(5,830) = 2.27, p = 0.045] and emotion × electrode pair interaction [F(30,4980) = 2.11, p = 0.005] in the alpha band. To explain the group × emotion interaction, a post hoc two-way ANOVA was performed for each emotion with group and electrode pairs. A significant interaction between these factors with sadness [F(6,996) = 6.53,p < 0.0001], fear [F(6.996) = 13.05, p < 0.0001], anger [F(6,996) = 14.65,p < 0.0001], and disgust [F(6,996) = 10.29, p < 0.0001] emotions was identified. The emotions, happiness and surprise, did not differ in the alpha band (p > 0.05) (Fig. 6b).

PD patients differed significantly from controls across the theta band [F(1,166) = 27.66, p < 0.0001]. Significant differences were also found between the factor emotions [F(5,830) = 2.34, p = 0.0445] and electrode [F(6,996) = 819.95, p < 0.0001]. We also detected a significant interaction between group × emotion [F(5,830) = 2.47, p = 0.0311] and emotion  $\times$  electrode pair [F(30,4980) = 2.41, p = 0.001] factor. This interaction can be explained by the separate post hoc two-way comparisons with group and electrode pair for each emotion. We found a significant interaction between these factors with the emotions, sadness [F(6,996) = 6.53, p < 0.0001], p < 0.00011. [F(6,996) = 13.05,anger [F(6,996) = 14.65,p < 0.0001], disgust [F(6,996) = 10.29, p < 0.0001], in the theta band (Fig. 6c). The coherence values for the emotions, happiness and surprise, were not significant between the groups (p > 0.6).

There was no significant group and electrode pair main effect difference detected across the beta band (p > 0.05); however, we detected a significant emotion [F(5,830) = 2.50, p = 0.0292] and group × emotion interaction effect [F(5,830) = 2.29, p = 0.0438] in this band. To explain this interaction, we performed separate two-way ANOVA for each emotion within the group and electrode pair. PD patients showed significantly lower inter-hemispheric coherences in the emotions, sadness [F(6,996) = 6.00, p < 0.0001], fear [F(6,996) = 7.89, p < 0.0001], anger [F(6,996) = 9.10]p < 0.0001], and disgust [F(6,996) = 10.53,p < 0.0001], than HC participants (Fig. 6d). No differences were detected for the emotions, happiness and surprise, across the beta band (p > 0.05).

Finally, the *delta* band of the PD and the control groups did not differ in inter-hemispheric coherences during emotion processing (p > 0.3) (Fig. 6e).

Table 5 summarizes the results of the post hoc t test analysis between the coherence values of each



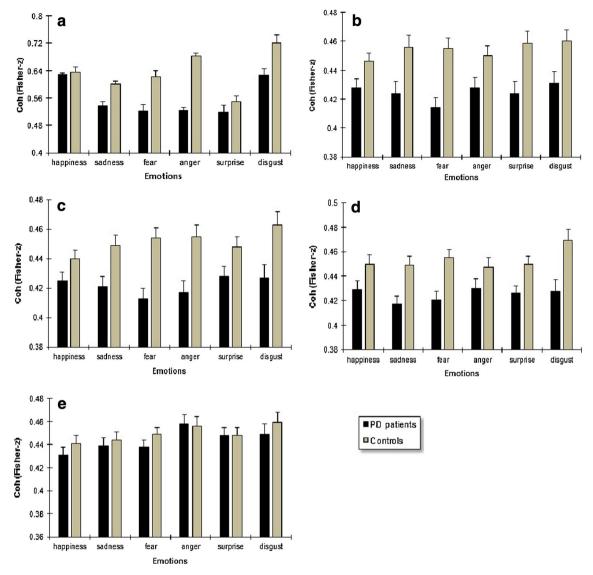


Fig. 6 Inter-hemispheric coherence values of six emotions in PD and HC groups. a Gamma 8 band coherence (30–49 Hz); b alpha band coherence (8–13 Hz); c theta band coherence (4–8 Hz); d beta band

coherence (13–30 Hz); **e** delta band coherence (4–8 Hz); values are  $10 \text{ mean} \pm \text{SEM}$  of coherence values transformed to Fisher's z scores. coh coherence

electrode pairs in PD patients and HC during emotion processing. The electrode pair significance was calculated for the frequency bands that significantly separated the PD patient groups and HC (theta, alpha, beta, and gamma). Overall PD patients showed decreased inter-hemispheric coherence values compared to HC participants for all of the significant electrode pairs during emotion processing. Figure 7 shows the inter-hemispheric coherence spectra averaged across seven electrode pairs of PD patients and HC during emotion processing. It can be clearly found that coherences in all the seven electrode pairs for PD patients were lower compared to HC, suggesting a pattern of under-connectivity in PD patients during emotion information processing.

# Discussion

EEG coherence is a frequency-specific measure of the degree of pairing between spatially separated brain electrode locations (French and Beaumont 1984). Since coherence is derived from correlation measures, it is usually interpreted as a reflection of functional and structural connectivity (Guevara and Corsi-Cabrera 1996). The current study investigated behavioral measures (subjective ratings and emotion recognition accuracy) and interhemispheric EEG coherence in response to six emotional states (happiness, sadness, fear, anger, surprise, and disgust) of PD patients compared to HC participants, and focused on establishing whether there were any EEG coherence changes associated with emotion information



**Table 5** Mean inter-hemispheric coherence (Fisher z) values for each electrode pair (SD in brackets) across six emotional states in PD patients and healthy controls

|             |              |             | Alpha band       |             |             | Beta band        |              | Gamma band  |                  |             |             |           |
|-------------|--------------|-------------|------------------|-------------|-------------|------------------|--------------|-------------|------------------|-------------|-------------|-----------|
|             | PD group     | HC group    | Sig <sup>a</sup> | PD group    | HC group    | Sig <sup>a</sup> | PD group     | HC group    | Sig <sup>a</sup> | PD group    | HC group    | Sig       |
| Anterior fr | ontal (AF3–A | F4)         |                  |             |             |                  |              |             |                  |             |             |           |
| Happiness   | 0.52 (0.10)  | 0.56 (0.12) | n.s.             | 0.52 (0.10) | 0.56 (0.13) | n.s.             | 0.53 (0.11)  | 0.58 (0.15) | n.s.             | 0.62 (0.03) | 0.67 (0.19) | ***       |
| Sadness     | 0.39 (0.09)  | 0.42 (0.01) | ***              | 0.50 (0.09) | 0.58 (0.15) | ***              | 0.50 (0.08)  | 0.56 (0.14) | ***              | 0.63 (0.18) | 0.65 (0.10) | **        |
| Fear        | 0.49 (0.08)  | 0.59 (0.14) | ***              | 0.49 (0.08) | 0.59 (0.14) | ***              | 0.50 (0.08)  | 0.59 (0.14) | ***              | 0.52 (0.05) | 0.56 (0.16) | *         |
| Anger       | 0.50 (0.11)  | 0.59 (0.13) | ***              | 0.51 (0.11) | 0.59 (0.13) | ***              | 0.51 (0.11)  | 0.58 (0.13) | ***              | 0.42 (0.12) | 0.52 (0.12) | ***       |
| Surprise    | 0.52 (0.11)  | 0.59 (0.13) | n.s.             | 0.52 (0.09) | 0.60 (0.15) | n.s.             | 0.52 (0.09)  | 0.59 (0.14) | n.s.             | 0.49 (0.03) | 0.54 (0.14) | ***       |
| Disgust     | 0.51 (0.09)  | 0.60 (0.17) | ***              | 0.53 (0.10) | 0.59 (0.15) | ***              | 0.52 (0.09)  | 0.61 (0.18) | ***              | 0.46 (0.04) | 0.54 (0.11) | ***       |
| Lateral fro | ntal (F7–F8) |             |                  |             |             |                  |              |             |                  |             |             |           |
| Happiness   | 0.37 (0.05)  | 0.37 (0.06) | n.s.             | 0.37 (0.06) | 0.38 (0.06) | n.s.             | 0.37 (0.05)  | 0.37 (0.06) | n.s.             | 0.42 (0.08) | 0.46 (0.13) | **        |
| Sadness     | 0.38 (0.06)  | 0.37 (0.06) | n.s.             | 0.38 (0.07) | 0.39 (0.08) | n.s.             | 0.37 (0.05)  | 0.38 (0.06) | n.s.             | 0.45 (0.04) | 0.48 (0.11) | ***       |
| Fear        | 0.36 (0.05)  | 0.39 (0.08) | *                | 0.37 (0.05) | 0.39 (0.08) | *                | 0.37 (0.05)  | 0.39 (0.08) | *                | 0.43 (0.15) | 0.51 (0.09) | **        |
| Anger       | 0.37 (0.07)  | 0.37 (0.07) | n.s.             | 0.38 (0.07) | 0.37 (0.06) | n.s.             | 0.38 (0.07)  | 0.37 (0.06) | n.s.             | 0.47 (0.17) | 0.50 (0.07) | **        |
| Surprise    | 0.38 (0.06)  | 0.37 (0.06) | n.s.             | 0.38 (0.06) | 0.38 (0.06) | n.s.             | 0.38 (0.06)  | 0.38 (0.06) | n.s.             | 0.42 (0.09) | 0.47 (0.13) | ***       |
| Disgust     | 0.37 (0.06)  | 0.39 (0.08) | n.s.             | 0.38 (0.07) | 0.39 (0.07) | n.s.             | 0.37 (0.06)  | 0.39 (0.08) | n.s.             | 0.48 (0.13) | 0.52 (0.09) | ***       |
| Mid-fronta  | l (F3–F4)    |             |                  |             |             |                  |              |             |                  |             |             |           |
| Happiness   | 0.53 (0.09)  | 0.56 (0.10) | n.s.             | 0.54 (0.10) | 0.57 (0.11) | n.s.             | 0.55 (0.09)  | 0.57 (0.12) | n.s.             | 0.61 (0.10) | 0.63 (0.10) | ***       |
| Sadness     | 0.53 (0.09)  | 0.58 (0.12) | ***              | 0.53 (0.10) | 0.58 (0.13) | ***              | 0.52 (0.08)  | 0.59 (0.12) | ***              | 0.64 (0.05) | 0.66 (0.10) | *         |
| Fear        | 0.53 (0.07)  | 0.58 (0.11) | ***              | 0.54 (0.07) | 0.57 (0.11) | ***              | 0.54 (0.06)  | 0.58 (0.12) | ***              | 0.62 (0.04) | 0.65 (0.11) | **        |
| Anger       | 0.53 (0.10)  | 0.61 (0.11) | ***              | 0.55 (0.11) | 0.61 (0.11) | ***              | 0.54 (0.11)  | 0.60 (0.11) | ***              | 0.58 (0.09) | 0.61 (0.08) | n.s.      |
| Surprise    | 0.54 (0.10)  | 0.59 (0.12) | n.s.             | 0.54 (0.08) | 0.60 (0.14) | ***              | 0.54 (0.09)  | 0.59 (0.12) | ***              | 0.60 (0.08) | 0.65 (0.09) | n.s.      |
| Disgust     | 0.54 (0.08)  | 0.60 (0.13) | ***              | 0.53 (0.09) | 0.60 (0.13) | ***              | 0.54 (0.08)  | 0.60 (0.13) | ***              | 0.55 (0.10) | 0.59 (0.06) | n.s.      |
|             | tral (FC5–FC | ` ′         |                  | 0.00 (0.00) | 0.00 (0.12) |                  | 0.0 ( (0.00) | 0.00 (0.12) |                  | 0.00 (0.10) | 0.00)       | 11101     |
| Happiness   | 0.35 (0.05)  | 0.33 (0.03) | *                | 0.35 (0.07) | 0.33 (0.03) | *                | 0.35 (0.05)  | 0.34 (0.04) | *                | 0.42 (0.06) | 0.45 (0.08) | ***       |
| Sadness     | 0.35 (0.05)  | 0.34 (0.04) | n.s.             | 0.35 (0.05) | 0.35 (0.06) | n.s.             | 0.35 (0.05)  | 0.34 (0.04) | n.s.             | 0.43(0.06)  | 0.44 (0.06) | *         |
| Fear        | 0.35 (0.05)  | 0.33 (0.05) | *                | 0.35 (0.05) | 0.34 (0.05) | n.s.             | 0.35 (0.06)  | 0.34 (0.05) | n.s.             | 0.34 (0.04) | 0.38 (0.04) | n.s.      |
| Anger       | 0.34 (0.06)  | 0.34 (0.05) | n.s.             | 0.36 (0.06) | 0.33 (0.04) | ***              | 0.35 (0.07)  | 0.34 (0.02) | n.s.             | 0.41 (0.08) | 0.43 (0.12) | n.s.      |
| Surprise    | 0.35 (0.05)  | 0.34 (0.05) | n.s.             | 0.35 (0.05) | 0.34 (0.05) | n.s.             | 0.35 (0.07)  | 0.34 (0.05) | n.s.             | 0.38 (0.06) | 0.43 (0.12) | *         |
| Disgust     | 0.35 (0.07)  | 0.35 (0.08) | n.s.             | 0.36 (0.07) | 0.36 (0.08) | n.s.             | 0.36 (0.07)  | 0.35 (0.09) | n.s.             | 0.37 (0.06) | 0.41 (0.13) | **        |
| Temporal (  |              | 0.55 (0.00) | 11.5.            | 0.50 (0.07) | 0.50 (0.00) | 11.5.            | 0.50 (0.07)  | 0.55 (0.07) | 11.5.            | 0.57 (0.00) | 0.41 (0.13) |           |
| Happiness   | 0.33 (0.06)  | 0.33 (0.05) | n.s.             | 0.33 (0.06) | 0.33 (0.05) | n.s.             | 0.33 (0.05)  | 0.33 (0.05) | n.s.             | 0.36 (0.08) | 0.41 (0.06) | n.s.      |
| Sadness     | 0.33 (0.04)  | 0.35 (0.08) | *                | 0.33 (0.05) | 0.35 (0.09) | n.s.             | 0.33 (0.04)  | 0.34 (0.08) | n.s.             | 0.38 (0.09) | 0.41 (0.00) | *         |
| Fear        | ` ′          | 0.34 (0.06) |                  |             | 0.34 (0.06) | n.s.             | ` ′          | 0.34 (0.03) | n.s.             | 0.35 (0.09) |             | n.s.      |
| Anger       | 0.33 (0.06)  | 0.34 (0.00) | n.s.             | 0.34 (0.07) | 0.34 (0.06) | n.s.             | 0.34 (0.07)  | 0.34 (0.07) | n.s.             | 0.36 (0.09) | 0.44 (0.07) |           |
| Surprise    | 0.33 (0.08)  | 0.33 (0.07) |                  | 0.34 (0.07) | 0.33 (0.08) |                  | 0.34 (0.07)  | 0.33 (0.00) |                  | 0.36 (0.09) | 0.42(0.03)  | n.s.      |
| •           | 0.34 (0.08)  |             | n.s.             |             | ` ′         | n.s.             | ` ′          |             | n.s.             | ` ′         | ` ′         | n.s.<br>* |
| Disgust     | ` ′          | 0.33 (0.07) | n.s.             | 0.34 (0.07) | 0.33 (0.06) | n.s.             | 0.34 (0.07)  | 0.34 (0.08) | n.s.             | 0.37 (0.11) | 0.39 (0.05) | •         |
| Parietal (P |              | 0.20 (0.00) |                  | 0.29 (0.09) | 0.40 (0.10) |                  | 0.27 (0.00)  | 0.40 (0.00) |                  | 0.20 (0.02) | 0.40 (0.05) |           |
| Happiness   | 0.38 (0.09)  | 0.39 (0.09) | n.s.             | 0.38 (0.08) | 0.40 (0.10) | n.s.             | 0.37 (0.09)  | 0.40 (0.09) | n.s.             | 0.39 (0.02) | 0.40 (0.05) | n.s.      |
| Sadness     | 0.37 (0.07)  | 0.40 (0.11) | *                | 0.37 (0.07) | 0.40 (0.11) | n.s.             | 0.36 (0.06)  | 0.39 (0.10) | *                | 0.39 (0.01) | 0.41 (0.05) | **        |
| Fear        | 0.35 (0.06)  | 0.40 (0.10) | ***              | 0.35 (0.06) | 0.40 (0.10) | ***              | 0.37 (0.07)  | 0.40 (0.09) | *                | 0.34 (0.05) | 0.42 (0.03) | n.s.      |
| Anger       | 0.35 (0.05)  | 0.38 (0.09) | **               | 0.35 (0.06) | 0.37 (0.09) | n.s.             | 0.36 (0.07)  | 0.38 (0.09) | n.s.             | 0.32 (0.04) | 0.36 (0.05) | n.s.      |
| Surprise    | 0.36 (0.06)  | 0.37 (0.08) | n.s.             | 0.36 (0.05) | 0.38 (0.09) | n.s.             | 0.36 (0.05)  | 0.38 (0.08) | *                | 0.31 (0.03) | 0.33 (0.10) | n.s.      |
| Disgust     | 0.36 (0.06)  | 0.40 (0.10) | *                | 0.37 (0.07) | 0.40 (0.09) | *                | 0.36 (0.07)  | 0.41 (0.12) | ***              | 0.39 (0.02) | 0.40 (0.02) | **        |
| Occipital ( |              |             |                  | A 4=        |             |                  |              |             |                  | 0.50.00.00  | A ==        |           |
| Happiness   | 0.47 (0.10)  | 0.51 (0.10) | ***              | 0.47 (0.10) | 0.52 (0.12) | *                | 0.47 (0.10)  | 0.52 (0.12) | *                | 0.52 (0.08) | 0.57 (0.07) | **        |
| Sadness     | 0.47 (0.10)  | 0.51 (0.15) | n.s.             | 0.47 (0.10) | 0.51 (0.16) | n.s.             | 0.46 (0.09)  | 0.50 (0.15) | *                | 0.51 (0.05) | 0.54 (0.01) | n.s.      |
| Fear        | 0.44 (0.99)  | 0.13 (0.01) | ***              | 0.44 (0.09) | 0.52 (0.13) | ***              | 0.46 (0.10)  | 0.51 (0.12) | ***              | 0.48 (0.08) | 0.50 (0.07) | *         |



Table 5 continued

|          | Theta band  |             |                  | Alpha band  |             |                  | Beta band   |             |                  | Gamma ban   | d           |                  |
|----------|-------------|-------------|------------------|-------------|-------------|------------------|-------------|-------------|------------------|-------------|-------------|------------------|
|          | PD group    | HC group    | Sig <sup>a</sup> | PD group    | HC group    | Sig <sup>a</sup> | PD group    | HC group    | Sig <sup>a</sup> | PD group    | HC group    | Sig <sup>a</sup> |
| Anger    | 0.46 (0.12) | 0.52 (0.12) | ***              | 0.48 (0.12) | 0.53 (0.13) | *                | 0.49 (0.13) | 0.51 (0.11) | n.s.             | 0.50 (0.08) | 0.54 (0.06) | ***              |
| Surprise | 0.47 (0.11) | 0.52 (0.13) | **               | 0.46 (0.09) | 0.54 (0.17) | ***              | 0.46 (0.10) | 0.51 (0.11) | ***              | 0.47 (0.09) | 0.49 (0.01) | n.s.             |
| Disgust  | 0.47 (0.12) | 0.53 (0.14) | ***              | 0.47 (0.12) | 0.53 (0.14) | ***              | 0.47 (0.11) | 0.54 (0.15) | ***              | 0.55 (0.10) | 0.59 (0.11) | **               |

Data are shown for each frequency band

*n.s.* p > 0.05, \*\*\* p < 0.0001, \*\* p < 0.001, \* p < 0.05

processing, and whether coherence analysis could be used as a biomarker of emotional impairments associated with PD patients. Seven electrode pairs (AF3–AF4, F7–F8, F3–F4, FC5–FC6, T7–T8, P7–P8, and O1–O2) were selected to represent the seven brain regions, which are the anterior frontal, lateral frontal, mid-frontal, fronto-central, temporal, parietal, and occipital regions.

In line with previous findings on intact emotion recognition in PD (Adolphs et al. 1998; Dujardin et al. 2004; Pell and Leonard 2005; Wieser et al. 2012), the present study also found that PD patients showed no impairments in emotion recognition accuracy and subjective ratings during viewing of the emotional stimuli. It is noteworthy that these findings are most likely not due to low statistical power, since PD patients were descriptively even better in recognizing the emotional stimuli for happiness, fear, and disgust compared to HC participants (see Fig. 5). More recently, it was found that PD patients under dopamine replacement therapy (DRT) were not impaired in emotion recognition, but more sensitive to cognitive load (Cohen et al. 2010), which seems to be especially true for nondemented and non-depressive samples like in our study. In accordance with the EEG data, coherence measures at theta, alpha, beta, and gamma frequency bands were significantly different between PD patients and HC during emotion processing. The results suggest that there may be neuropathological evidence indicating that PD is associated with slowing of inter-hemispheric EEG synchronization (Neufeld et al. 1988; Yeager et al. 1966), which had a significant correlation with progression of the Hoehn and Yahr Stage in PD (Morita et al. 2009). There was no significant difference between delta band coherence during emotion processing, which was mostly likely due to the fact that the patients were predominantly in the mild stage of PD. Furthermore, PD patients showed decreased coherence at delta, theta, alpha, beta, and gamma frequency bands compared to HC, suggesting that functional disconnections exist between cortical areas, which could be interpreted as a neocortical "disconnection syndrome" for PD (Cronin-Golomb 2010). In contrast, previous EEG

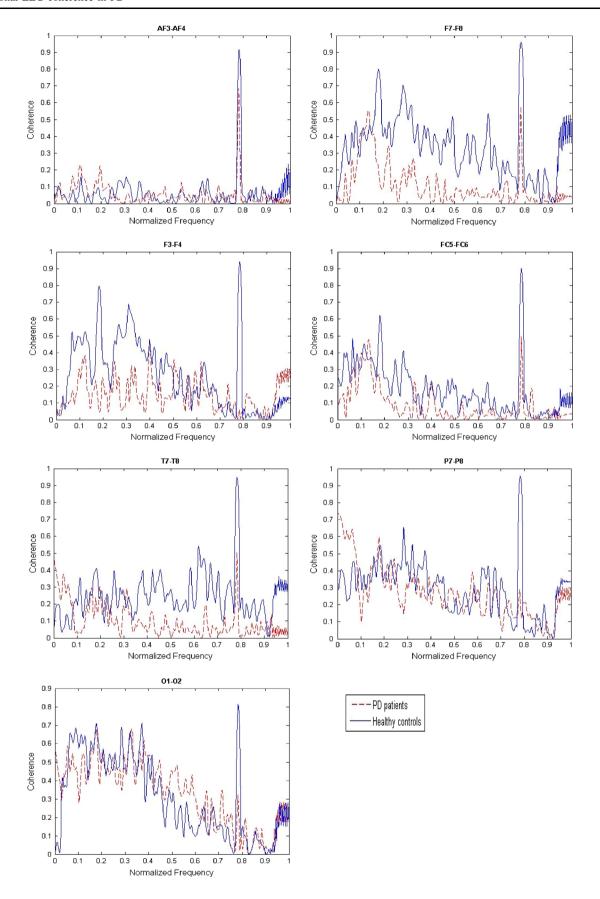
Fig. 7 Coherence spectra averaged across all the seven electrode ▶ pairs between PD patients two and healthy controls during emotion processing

studies (Wieser et al. 2006, 2012) reported that there was no impairment in emotion processing in PD patients as reflected in behavioral and neurophysiological measures. The discrepancy in the current results may have been influenced by many factors, such as stimuli sets, disease duration, medical treatment, illness severity, and motor disability (Gray and Tickle-Degnen 2010; Yuvaraj et al. 2013). It has already been shown that impairments in emotion processing in PD may vary as a function of stimulus modality-visual or auditory (Lima et al. 2013) but this neurophysiological dissociation using a multimodal approach is a new finding. The PD patients in the present study had quite a broad range of disease duration (1-12 years), a great variety of medical treatments, and the range of Hoehn and Yahr scores was relatively wide (range from 1 to 3). Because of these heterogeneous clinical profiles of our sample, our findings must be interpreted cautiously. In particular, medication status and illness severity may have influenced the subjective ratings and neurophysiologic measures.

Interestingly, our study also showed that inter-hemispheric coherence for negative emotions (sadness, fear, anger, and disgust) was significantly lower in the PD patients than the HC participants in theta, alpha, beta, and gamma frequency bands; however, no significant difference was found in positive emotions (happiness and surprise), which is also in line with the results of other studies indicating that PD patients were more impaired in recognizing negative emotions than relatively positive emotions (Gray and Tickle-Degner 2010; Péron et al. 2012; Dujardin et al. 2004; Sprengelmeyer et al. 2003; Suzuki et al. 2006). Many researchers have suggested that individuals with PD may be particularly impaired in recognizing negative emotions because of dysfunctions in specific emotionrelated brain areas. Lawrence et al. (2007) reviewed evidence suggesting a central role of ventral striatal dopamine



<sup>&</sup>lt;sup>a</sup> Sig indicates the statistical significance of the electrode pair coherence between the two groups





systems in anger recognition. The authors suggested that dysfunction in these systems explains their finding of a selective impairment in anger emotion during acute withdrawal from DRT. Suzuki et al. (2006) showed that the basal ganglia and the insula are involved in the recognition of disgust; both regions are dysfunctional in PD. In addition, other studies have shown inconsistent impairments in the recognition of disgust (Kan et al. 2004; Sprengelmeyer et al. 2003) as well as in the recognition of fear (Ariatti et al. 2008; Sprengelmeyer et al. 2003). It is widely accepted that the amygdala is involved in the recognition of fear emotion (Adolphs et al. 1996) and there is evidence that the amygdala is affected in PD patients (Bouchard et al. 2008; Tessitore et al. 2002). Finally, the left amygdala also appears to play a role in the recognition of the sadness emotion (Blair et al. 1999). Apart from the specific neural circuits' dysfunction hypothesis, the most widely believed hypothesis is dopamine depletion. There is a large body of evidence pointing to the involvement of dopamine in emotion processing (Salgado-Pineda et al. 2005). For example, the association between dopaminergic activity and emotion processing has been demonstrated in healthy male volunteers who received a dopamine D2-antagonist, which caused impaired recognition of angry faces (Lawrence et al. 2002). Using PET, it has been shown that reduced dopamine transporter availability (DAT) is related to a decrease in the activation of emotional gesture recognition (Lotze et al. 2009). However, our PD patients were taking dopaminergic medication during the study and still revealed signs of dopamine deficiency, as indicated by a mean value of 17.05 in the motor part of the UPDRS. This finding is in line with previous studies demonstrating that the difficulties that PD patients processing emotional information's are only partly improved by dopaminergic therapy (Tessitore et al. 2002). Thus, dopamine depletion could explain the difficulties in processing emotional information, although involvement of other transmitter systems such as the serotoninergic or noradrenergic cannot be excluded.

Some limitations of this study should be considered. Since the evaluated electrodes are relatively close to each other, it is important to rule out potential effects of volume conduction. In addition, a small number of PD samples affect the reliability. Taken together, further research may need to avoid the volume conduction artifact using an imaginary part of coherency (Nolte et al. 2004) with larger number of PD samples. This could build a more understanding of relationship between brain functional connectivity and emotion. In addition, the PD patients in this study were considered of both affected sides of the body (predominantly left-sided and right-sided motor symptoms). The distinction between predominantly affected sides in PD individuals should be considered in future PD

studies as these subgroup differences within PD might provide hopeful leads for intervention and rehabilitation. Moreover, all PD patients were under DRT, which might have also affected their performance in emotion processing (Tessitore et al. 2002). Future studies should be conducted with unmedicated patients to reveal the pure effect of PD. Moreover, differences between intra-hemispheric functional connectivity as a measure of investigating different brain regions for emotion processing were not explored.

In summary, this is one of the first studies to combine EEG coherence measurements with an emotion processing and subjective rating procedure to investigate possible impairments in emotion processing in PD. No impairments in behavioral measures were found, but decreased coherence reactivity to emotional stimuli was revealed by EEG measures in PD patients. These results could indicate a precursor of a stronger emotion deficit, which is already present in patients with mild PD receiving DRT. Controlled studies with PD patients on and off medication could help to clarify the influence of dopaminergic medication on emotion processing in PD.

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