

# A Voltage and Frequency Droop Control Method for Parallel Inverters

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**Abstract**—In this paper, a new control method for the parallel operation of inverters operating in an island grid or connected to an infinite bus is described. Frequency and voltage control, including mitigation of voltage harmonics, are achieved without the need for any common control circuitry or communication between inverters. Each inverter supplies a current that is the result of the voltage difference between a reference ac voltage source and the grid voltage across a virtual complex impedance. The reference ac voltage source is synchronized with the grid, with a phase shift, depending on the difference between rated and actual grid frequency. A detailed analysis shows that this approach has a superior behavior compared to existing methods, regarding the mitigation of voltage harmonics, short-circuit behavior and the effectiveness of the frequency and voltage control, as it takes the  $R$  to  $X$  line impedance ratio into account. Experiments show the behavior of the method for an inverter feeding a highly nonlinear load and during the connection of two parallel inverters in operation.

**Index Terms**—Autonomous power systems, converter control, dispersed generation, finite output-impedance ac voltage source emulation, frequency and voltage droops, harmonics, parallel connection, power quality, microgrids, stand-alone systems, uninterruptible power supplies (UPS), virtual impedance, voltage source inverter, mixed voltage-current control.

## I. INTRODUCTION

**F**REQUENCY and voltage control in island grids or uninterruptible power supplies (UPS) systems, supplied by several inverters in parallel, can be obtained using various control methods, either with or without communication. Control methods solely based on local measurements exhibit a superior redundancy, as they do not rely on communication for reliable operation. A peculiar aspect of these methods is that they only have a proportional controller for frequency and voltage, lacking any form of integral control. Control of a distributed system without communication can only be achieved at the price of permitting a small error. Therefore, these techniques are generally denoted as droop control methods [1]–[13]. The small droop is generally considered acceptable as long as the error remains within predefined limits. However, if desired, the local droop control could be

extended by a global integral control through low bandwidth communication [1], combining both redundancy and integral control. In this paper, only the local droop control, without communication, is elaborated. The global integral control may be regarded as a possible, though not necessary, extension.

This paper starts with a review of the droop control method. Although this method performs well for inductive lines, the performance in case of resistive lines is poor. In this paper, it is shown how the classical droop method can easily be adapted to account for the grid impedance, providing good performance under all conditions. A major benefit of this technique is especially prevalent in low-voltage cable grids, generally having a mainly resistive line impedance. In these grids, the proposed technique is appreciably more efficient in controlling frequency and voltage in proportion to the needed active and reactive power flows, as it takes the  $X$  over  $R$  ratio of the line impedance into account. Second, it is explained why the approach of controlling active and reactive current instead of active and reactive power exhibits some advantages, especially in case of a short circuit. Controlling any non-zero active or reactive power during short circuit (grid voltage equals zero) results in infinitely high currents, which is avoided when controlling the active and reactive current directly. Finally, a time-domain approach to obtain voltage and frequency droop control is described. A complex finite-output impedance voltage source is imitated, by controlling the current, flowing through a virtual impedance as a result of the voltage difference between a virtual ac source and the grid. In contrast to the classical droop control, the presented approach inherently controls not only the fundamental voltage and frequency, but also the harmonic components of the voltage, and is therefore suited to supply highly nonlinear loads. A detailed analysis shows that, with exception of the additional harmonic control, the performance of this new approach is equivalent to the abovementioned droop control through controlling active and reactive current.

## II. THEORETICAL BACKGROUND

### A. Droop Control Through Active and Reactive Power

The power flowing into a line at point A, as represented in Fig. 1, is described [14]

$$\begin{aligned} P + jQ &= \underline{S} = \underline{U}_1 \underline{I}^* = \underline{U}_1 \left( \frac{\underline{U}_1 - \underline{U}_2}{\underline{Z}} \right)^* \\ &= \underline{U}_1 \left( \frac{\underline{U}_1 - \underline{U}_2 e^{j\delta}}{\underline{Z} e^{-j\theta}} \right) \\ &= \frac{\underline{U}_1^2}{\underline{Z}} e^{j\theta} - \frac{\underline{U}_1 \underline{U}_2}{\underline{Z}} e^{j(\theta+\delta)}. \end{aligned} \quad (1)$$

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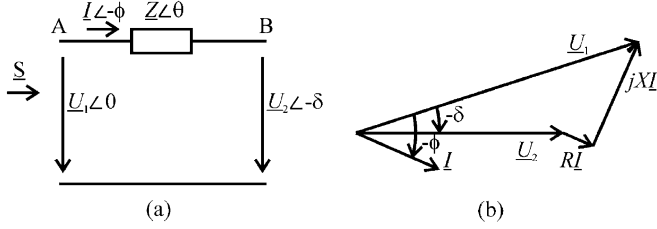


Fig. 1. (a) Power flow through a line and (b) phasor diagram.

Thus, the active and reactive power flowing into the line are

$$P = \frac{U_1^2}{Z} \cos \theta - \frac{U_1 U_2}{Z} \cos(\theta + \delta) \quad (2)$$

$$Q = \frac{U_1^2}{Z} \sin \theta - \frac{U_1 U_2}{Z} \sin(\theta + \delta). \quad (3)$$

With  $Z e^{j\theta} = R + jX$ , (2) and (3) are rewritten as

$$P = \frac{U_1}{R^2 + X^2} [R(U_1 - U_2 \cos \delta) + XU_2 \sin \delta] \quad (4)$$

$$Q = \frac{U_1}{R^2 + X^2} [-RU_2 \sin \delta + X(U_1 - U_2 \cos \delta)] \quad (5)$$

$$U_2 \sin \delta = \frac{XP - RQ}{U_1} \quad (6)$$

$$U_1 - U_2 \cos \delta = \frac{RP + XQ}{U_1}. \quad (7)$$

For overhead lines  $X \gg R$ , which means that  $R$  may be neglected. If also the power angle  $\delta$  is small, then  $\sin \delta = \delta$  and  $\cos \delta = 1$ . Equations (6) and (7) then become

$$\delta \cong \frac{XP}{U_1 U_2} \quad (8)$$

$$U_1 - U_2 \cong \frac{XQ}{U_1}. \quad (9)$$

For  $X \gg R$ , a small power angle  $\delta$  and voltage difference  $U_1 - U_2$ , (8) and (9) show that the power angle depends predominantly on  $P$ , whereas the voltage difference depends predominantly on  $Q$ . In other words, the angle  $\delta$  can be controlled by regulating  $P$ , whereas the inverter voltage  $U_1$  is controllable through  $Q$ . Control of the frequency dynamically controls the power angle and, thus, the real power flow. Thus, by adjusting  $P$  and  $Q$  independently, frequency and amplitude of the grid voltage are determined. These conclusions form the basis for the well-known frequency and voltage droop regulation through respectively active and reactive power

$$f - f_0 = -k_p(P - P_0) \quad (10)$$

$$U_1 - U_0 = -k_q(Q - Q_0) \quad (11)$$

$f_0$  and  $U_0$  are rated frequency and grid voltage respectively, and  $P_0$  and  $Q_0$  are the (momentary) set points for active and reactive

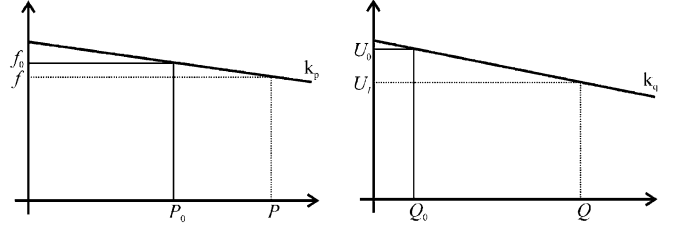


Fig. 2. frequency and voltage droop control characteristics.

power of the inverter. The frequency and voltage droop control characteristics are shown graphically in Fig. 2.

As a first improvement to the control scheme,  $R$  is no longer neglected. This is key in low voltage cable grids, generally having a mainly resistive line impedance. Ultimately,  $X$  could be neglected instead of  $R$ . In this case, adjusting active power  $P$  influences the voltage amplitude, while adjusting reactive power  $Q$  influences the frequency. Relationships have changed radically, in such a way that the droop regulation described by (10) and (11) is no longer effective.

In the general case, both  $X$  and  $R$  are to be considered. Then, the use of an orthogonal linear rotational transformation matrix  $T$  from active and reactive power  $P$  and  $Q$  to the “modified” active and reactive power  $P'$  and  $Q'$  is proposed

$$\begin{aligned} \begin{bmatrix} P' \\ Q' \end{bmatrix} &= T \begin{bmatrix} P \\ Q \end{bmatrix} = \begin{bmatrix} \sin \theta & -\cos \theta \\ \cos \theta & \sin \theta \end{bmatrix} \begin{bmatrix} P \\ Q \end{bmatrix} \\ &= \begin{bmatrix} \frac{X}{Z} & -\frac{R}{Z} \\ \frac{R}{Z} & \frac{X}{Z} \end{bmatrix} \begin{bmatrix} P \\ Q \end{bmatrix}. \end{aligned} \quad (12)$$

Applying this transformation on (4) and (5) results in

$$\sin \delta = \frac{ZP'}{U_1 U_2} \quad (13)$$

$$U_1 - U_2 \cos \delta = \frac{ZQ'}{U_1}. \quad (14)$$

For a small power angle  $\delta$  and voltage difference  $U_1 - U_2$ , (13) and (14) show that the power angle depends only on  $P'$ , whereas the voltage difference depends only on  $Q'$ . In other words, the angle  $\delta$  can be controlled by regulating  $P'$ , whereas the inverter voltage  $U_1$  is controllable through  $Q'$ . As the grid frequency is influenced through the angle  $\delta$ , the definition of  $P'$  and  $Q'$  permits to independently influence the grid frequency and amplitude. This is illustrated graphically in Fig. 3. The effect of  $P'$ ,  $Q'$ ,  $P$ , and  $Q$  on voltage and frequency is illustrated for different ratios of  $R/X$ . To derive  $P'$  and  $Q'$ , it suffices to know the ratio  $R/X$ . Knowledge of the absolute values of the line impedance is not needed ( $\varphi = \pi - \theta = \text{atan}(R/X)$ ).

From Fig. 3, it can be seen that for mainly inductive lines  $P' \cong P$  and  $Q' \cong Q$ , whereas for mainly resistive lines  $P' \cong -Q$  and  $Q' \cong P$ . Hence, the frequency and voltage droop regulation becomes

$$\begin{aligned} f - f_0 &= -k_p(P' - P'_0) \\ &= -k_p \frac{X}{Z}(P - P_0) + k_p \frac{R}{Z}(Q - Q_0) \end{aligned} \quad (15)$$

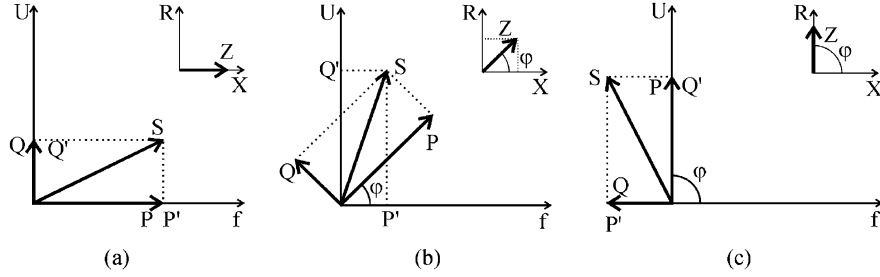


Fig. 3. Influence of active and reactive power on voltage and frequency for different line impedance ratios: (a)  $R/X = 0$ , (b)  $R/X = 1$ , (c)  $R/X = \infty$ .

$$\begin{aligned} U_1 - U_0 &= -k_q(Q' - Q_0) \\ &= -k_q \frac{R}{Z}(P - P_0) - k_q \frac{X}{Z}(Q - Q_0). \end{aligned} \quad (16)$$

### B. Droop Control Through Active and Reactive Current

Active and reactive current  $I_a$  and  $I_r$  through  $\underline{Z}$  are

$$I_a = \frac{P}{U_1} = \frac{R(U_1 - U_2 \cos \delta) + XU_2 \sin \delta}{R^2 + X^2} \quad (17)$$

$$I_r = \frac{Q}{U_1} = \frac{-RU_2 \sin \delta + X(U_1 - U_2 \cos \delta)}{R^2 + X^2}. \quad (18)$$

Then, analogous to the modified active and reactive power, modified active and reactive current are defined as

$$\begin{bmatrix} I'_a \\ I'_r \end{bmatrix} = \mathbf{T} \begin{bmatrix} I_a \\ I_r \end{bmatrix}. \quad (19)$$

And thus

$$I'_a = \frac{P'}{U_1} = \frac{U_2 \sin \delta}{Z} \quad (20)$$

$$I'_r = \frac{Q'}{U_1} = \frac{U_1 - U_2 \cos \delta}{Z}. \quad (21)$$

As a variant to the previously described droop method, not the (modified) active and reactive power, but the (modified) active and reactive current  $I'_a$  and  $I'_r$  are controlled. The frequency and voltage droop control characteristics then become

$$\begin{aligned} f - f_0 &= -k_a(I'_a - I'_{a,0}) \\ &= -k_a \frac{X}{Z}(I_a - I_{a,0}) + k_a \frac{R}{Z}(I_r - I_{r,0}) \end{aligned} \quad (22)$$

$$\begin{aligned} U_1 - U_0 &= -k_r(I'_r - I'_{r,0}) \\ &= -k_r \frac{R}{Z}(I_a - I_{a,0}) - k_r \frac{X}{Z}(I_r - I_{r,0}). \end{aligned} \quad (23)$$

This is shown graphically in Fig. 4.

This approach has benefits when  $U_1$  differs significantly from its rated value (e.g., when a short-circuit occurs at  $U_1$ , yielding  $U_1 = 0$ ). Implementing (15) and (16) would result in infinitely high currents during the fault, being avoided when (22) and (23) are implemented.

### C. Droop Control Through Emulation of a Finite-Output Impedance Voltage Source

An important aspect that must not be overlooked is the supply of harmonic currents, required by non-linear loads. In some island grids, highly non-linear loads incorporating rectifiers, such

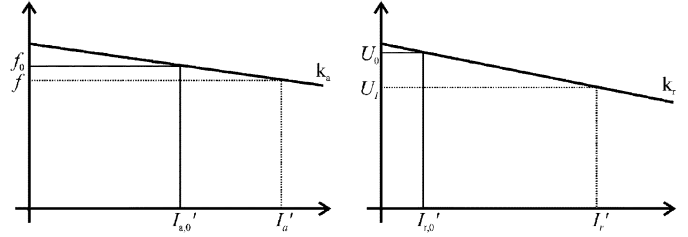


Fig. 4. Frequency and voltage droop characteristics as a function of modified active and reactive power.

as ICT equipment and compact fluorescent lamps, may even present the major share of the load. If no adequate strategy is incorporated to supply the harmonics needed for such loads, the voltage quality is severely affected. Unfortunately, the previous approaches do not take possible harmonics into account. Even though the concept of active and reactive power or current is very useful when considering only linear loads, it falls short when considering nonlinear ones. The supply of harmonics to nonlinear loads could be incorporated in two different strategies, either by using a frequency domain approach, thus extending the active and reactive power concept to higher harmonics [9], or by emulating a virtual impedance in the time domain [10]–[13]. Because of its simplicity, the time-domain approach is preferred. In the following of this paper, the active and reactive power concept is abandoned and a purely time-domain approach, obtaining voltage and frequency droop in an alternative way, is developed and implemented.

Basically, the approach consists of imitating a virtual-system model, shown in Fig. 5(a), consisting of an ac Thévenin equivalent parallel to an ac current source. The Thévenin equivalent consists of an ac voltage source with instantaneous voltage  $u_{src}$  in series with a finite-output resistance  $R_d$  and inductance  $L_d$ . The ac current source has instantaneous current  $i_{src}$ .  $u_{grid}$  and  $i_{grid}$  represent the instantaneous grid voltage and current and correspond to the instantaneous grid voltage and current at the output of the LCL filter of the real system, shown in Fig. 5(b). In the real-system model  $L_1, R_1, L_2, R_2$  and  $C$  represent the parameters of the LCL output filter.  $u_{inv}$  is the inverter output voltage,  $i_{inv}$  the inverter output current and  $u_{cap}$  the voltage across the capacitor. For simplicity, these voltages and currents are averaged over the switching period.

The virtual impedance  $\underline{Z}_d = R_d + j\omega L_d$  is equivalent to the series impedance of a synchronous generator. However, whereas the series impedance of a synchronous generator is

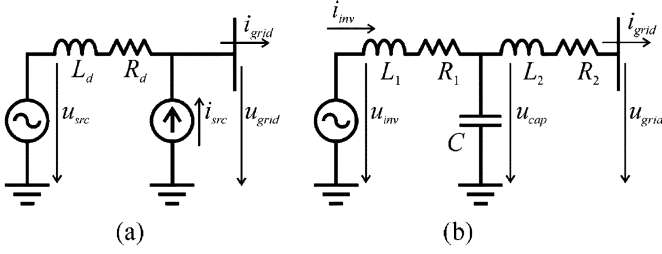


Fig. 5. (a) virtual-system model and (b) real-system model.

mainly reactive, here the virtual impedance may be chosen arbitrarily. In contrast to a real impedance, this virtual impedance presents no real power losses, and it is thus possible to imitate the behavior of a resistance, without compromising efficiency.

1) *Frequency Droop*: Both the ac voltage and current source of the virtual-system model are chosen to be purely sinusoidal and may be represented by the phasors  $\underline{U}_{src}$  and  $\underline{I}_{src}$ . Both ac sources are synchronized with the fundamental grid frequency. Frequency droop is obtained as a result of a phase angle difference  $\psi$  between  $\underline{U}_{src}$  and the fundamental grid voltage component, proportional to the difference between actual (measured) and rated grid frequency

$$\psi = -k_\psi(f - f_0). \quad (24)$$

When the measured grid frequency is lower than the rated grid frequency, the phase angle is positive and  $\underline{U}_{src}$  leads the fundamental grid voltage component. When the measured frequency is higher, the phase angle is negative and  $\underline{U}_{src}$  lags the fundamental grid voltage component.

2) *Voltage Droop*: The amplitude  $U_{src}$  of the ac voltage source is chosen to be constant and equal to the rated grid voltage amplitude  $U_{nom}$ . Voltage droop occurs as a result of the difference between instantaneous values of (virtual)  $u_{src}$  and (measured)  $u_{grid}$ , across the series connection of the (virtual) resistance  $R_d$  and inductance  $L_d$ , causing the current  $i_{grid} - i_{src}$  to flow

$$i_{grid} - i_{src} = \frac{1}{R_d + L_d s} (u_{src} - u_{grid}). \quad (25)$$

As this equation involves only instantaneous values, it is a time-domain approach and harmonics are inherently taken into account.

3) *ac Current Source as Offset*: The ac current source in Fig. 5(a) is added to incorporate an offset active and/or reactive current  $\underline{I}_{src}$  generated by the inverter. The combination of the current source with the finite-output impedance voltage source may represent a typical distributed generation (DG) unit (i.e., the current source), with additional frequency and/or voltage regulation capabilities (i.e., the finite-output impedance voltage source). In that case,  $i_{src}$  is purely active and relates to the desired active power output of the DG unit. Using this approach, the ancillary service provided by the droop control is seen as an elegant extension of the primary function of a DG unit, i.e., supplying active power [15].

4) *Choice of  $R_d$ ,  $L_d$  and  $k_\psi$* : Three parameters remain to be chosen, being  $R_d$ ,  $L_d$ , and  $k_\psi$ . For a better understanding of

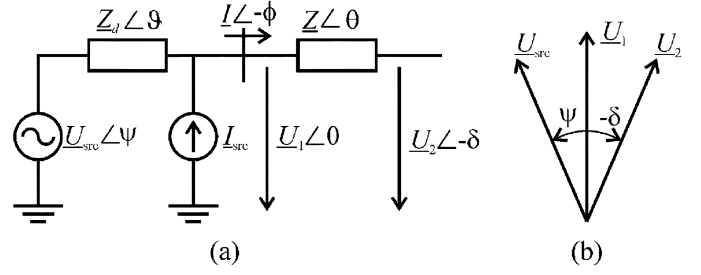


Fig. 6. Virtual-system model in connection with the grid through a line (a) circuit and (b) phasor diagram.

their influence, the equivalence is investigated with the previously mentioned droop control method through active and reactive current (Section B). To this purpose, a non-distorted grid is assumed, enabling an analysis using phasors. In Fig. 6, the phasor representation of the virtual-system model is shown. It consists of the ac voltage source  $\underline{U}_{src}$  with output impedance  $\underline{Z}_d$ , in parallel with the current source  $\underline{I}_{src}$ . The virtual-system model is connected with the grid  $\underline{U}_2$  through the line impedance  $\underline{Z}$ .  $\underline{U}_1$  is the voltage at the point of coupling between  $\underline{Z}_d$  and  $\underline{Z}$ .

By analyzing the equivalence of the time-domain approach with the droop control method through active and reactive current, (22) and (23), an appropriate choice of  $\underline{Z}_d$  and  $k_\psi$  can be made as a function of  $k_a$  and  $k_r$ . Modified active and reactive current  $I'_a$  and  $I'_r$  through the point of coupling are

$$\begin{aligned} I_a - jI_r &= \underline{I} = \frac{\underline{U}_{src} - \underline{U}_1}{\underline{Z}_d} + \underline{I}_{src} \\ &= \frac{U_{src} e^{j\psi} - U_1}{Z_d e^{j\vartheta}} + \underline{I}_{src}. \end{aligned} \quad (26)$$

$$\text{As } Z_d e^{j\vartheta} = R_d + jX_d$$

$$\begin{aligned} I_a - I_{a,src} &= \frac{R_d(U_{src} \cos \psi - U_1) + X_d U_{src} \sin \psi}{Z_d^2} \end{aligned} \quad (27)$$

$$\begin{aligned} I_r - I_{r,src} &= \frac{-R_d U_{src} \sin \psi + X_d (U_{src} \cos \psi - U_1)}{Z_d^2}. \end{aligned} \quad (28)$$

If  $\vartheta = \theta$ , i.e.,  $R_d/X_d = R/X$ , transformation by  $\mathbf{T}$  yields

$$I'_a - I'_{a,src} = \frac{U_{src} \sin \psi}{Z_d} \quad (29)$$

$$I'_r - I'_{r,src} = \frac{U_{src} \cos \psi - U_1}{Z_d}. \quad (30)$$

Based on (22), (23), (24), (29), and (30), and assuming that  $\sin \psi \cong \psi$  and  $\cos \psi \cong 1$ , the following equations for  $Z_d$  and  $k_\psi$  as a function of  $k_a$  and  $k_r$  hold

$$Z_d = k_r \quad (31)$$

$$k_\psi = \frac{k_a}{U_{src} k_a}. \quad (32)$$

A good strategy in determining  $\underline{Z}_d$  and  $k_\psi$ , is therefore to obtain the desired  $k_a$  and  $k_r$  from Fig. 4, then to calculate  $Z_d$  and  $k_\psi$  from (31) and (32). The ratio  $R_d/X_d$  should be chosen

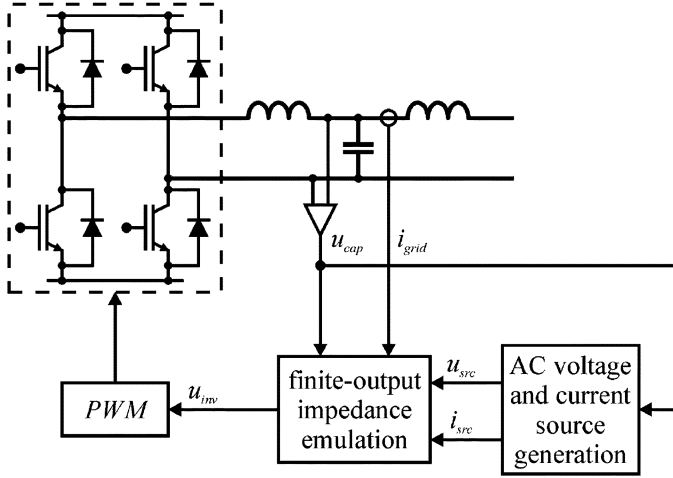


Fig. 7. Overall scheme for the proposed voltage and frequency droop control method.

equal to the  $R$  over  $X$  ratio of the line impedance, as then the control of voltage amplitude and frequency are decoupled (see Fig. 3), ensuring the most “efficient” control of both amplitude and frequency relative to the supplied current.

From this analysis, it can be concluded that the approach of imitating a finite-output impedance voltage source, is equivalent to the droop control through controlling modified active and reactive current, except for the additional benefit of intrinsically controlling as well the voltage harmonics through a droop characteristic. Compared to the classical droop control method through controlling active and reactive power, the approach exhibits, in addition to the voltage harmonics mitigation, benefits regarding short-circuit behavior and achieves (in the case of a non-negligible line resistance) a more “efficient” control of frequency and voltage.

### III. IMPLEMENTATION

The purpose of the control scheme is to emulate the behavior described in Section II.C by controlling current and voltage output of a single-phase PWM voltage source inverter with LCL output filter. In Fig. 5 both the virtual-system model of the finite-output impedance voltage source and the real-system model of the LCL output filter are shown. The output current  $i_{\text{grid}}$  and voltage  $u_{\text{grid}}$  are measured, whereas the grid parameters on the right hand of the bar are unknown. This unknown grid may be an infinite bus, a microgrid, or a stand-alone load, meaning that the grid impedance may range from almost zero (infinite bus) up to infinity (no-load stand-alone). The control scheme should be able to control  $u_{\text{grid}}$  and  $i_{\text{grid}}$ , emulating the virtual-system model, irrespective of the grid impedance. For practical reasons, in the subsequent development, capacitance voltage  $u_{\text{cap}}$  and  $i_{\text{grid}}$  instead of grid voltage  $u_{\text{grid}}$  and  $i_{\text{grid}}$  of the real-system model are controlled to be equal to  $u_{\text{grid}}$  and  $i_{\text{grid}}$  of the virtual-system model.

The overall control scheme, implementing the proposed voltage and frequency droop control method, is shown in Fig. 7. The control scheme is decomposed in two main parts. The rightmost generates the virtual-system voltage source  $u_{\text{src}}$

and current source  $i_{\text{src}}$  by tracking the fundamental amplitude and frequency of the voltage  $u_{\text{cap}}$  and applying the phase shift  $\psi$  according to (24). The second part emulates the finite-output impedance, by calculating the inverter voltage  $u_{\text{inv}}$  that should be applied by PWM to the real system, based on the virtual-system voltage source  $u_{\text{src}}$  and current source  $i_{\text{src}}$  and measured values  $u_{\text{cap}}$  and  $i_{\text{grid}}$ . The implementation of both parts is specified in the next subsections.

#### A. Voltage and Current Source Generation

**Error! Reference source not found.** presents the full scheme to generate the reference voltage  $u_{\text{src}}$  and current  $i_{\text{src}}$ , using only the measured value of  $u_{\text{cap}}$ . This scheme comprises two subparts, the first achieving fundamental voltage amplitude and frequency tracking, the second calculating the phase shift and amplitude of voltage  $u_{\text{src}}$  and current  $i_{\text{src}}$ .

1) *Fundamental Voltage Amplitude and Frequency Tracking:* Based on a single-phase grid voltage measurement  $u_{\text{cap}}$ , the fundamental voltage amplitude  $U_{\text{grid}}$  and frequency  $f_{\text{grid}}$  are estimated. To this purpose, the fundamental voltage is extracted by use of a Kalman estimator. The approach is based on a technique, described in literature, where it is used for voltage harmonics tracking [16]. The Kalman estimator observes, besides the fundamental component, also the 3rd, 5th, and 7th harmonic components. Observing these harmonics improves the tracking performance under distorted voltage conditions considerably. The fundamental output component is used further, represented by two values  $u_{\alpha}$  and  $u_{\beta}$ , the former being in phase with  $u_{\text{cap}}$ , the latter representing the quadrature component. These components are named  $u_{\alpha}$  and  $u_{\beta}$ , as they are equivalent to the components from Clark’s transformation in a three-phase system. Subsequently, Park’s transformation is applied, resulting in  $u_d$  and  $u_q$ . The rotation angle  $\theta$  for Park’s transformation is obtained from a phase locked loop (PLL), regulating  $u_q$  to zero by use of a proportional-integral (PI) regulator [17]. As a result,  $u_d$  is equal to the amplitude  $U_{\text{grid}}$  of the fundamental voltage, whereas the frequency  $f_{\text{grid}}$  is a result from inside the PLL (Fig. 8). In Fig. 9, the fundamental voltage and frequency tracking of a distorted voltage waveform is illustrated by a simulation. The fundamental voltage is tracked in about 5 periods, whereas the initial errors in  $u_d$  and  $u_q$  due to an initial phase shift of  $\pi/2$  decay in about 8 periods.

2) *Phase Shift and Amplitude Calculation:* Based on the estimated fundamental voltage amplitude  $U_{\text{grid}}$  and frequency  $f_{\text{grid}}$ , the amplitude  $U_{\text{src}}$  and the power angle  $\psi$  of the virtual-system voltage source  $u_{\text{src}}$  are

$$\psi = -k_{\psi}(f - f_0) \quad (33)$$

$$U_{\text{src}} = U_0. \quad (34)$$

Equations (33) and (34) are adjusted to account (approximately) for the limited current rating  $I_{\text{max}}$  of the inverter by ensuring that (not shown in Fig. 8)

$$U_{\text{grid}} - \|Z_d\|I_{\text{max}} \leq U_{\text{src}} \leq U_{\text{grid}} + \|Z_d\|I_{\text{max}} \quad (35)$$

$$\|\psi\| \leq \min\left(\frac{\pi}{2}, \frac{\|Z_d\|I_{\text{max}}}{U_0}\right). \quad (36)$$

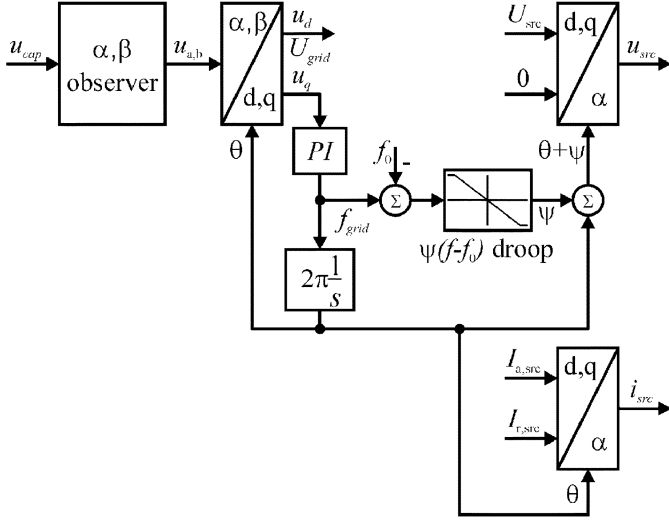
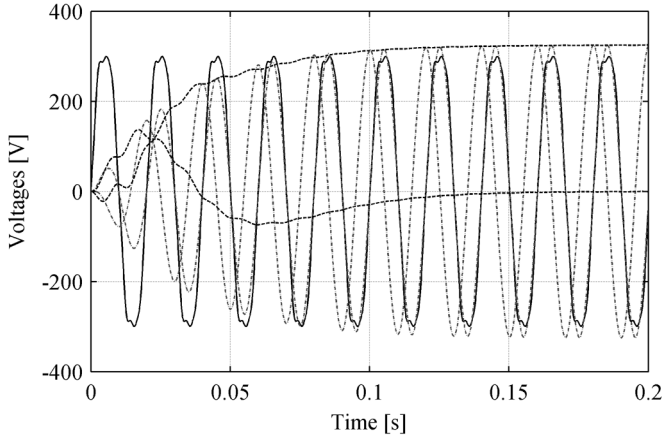


Fig. 8. Voltage and current source generation scheme.

Fig. 9. Fundamental voltage and amplitude tracking:  $u_{cap}$  (black solid),  $u_\alpha$  and  $u_\beta$  (gray dash-dotted),  $u_d$  and  $u_q$  (black dashed).

Applying inverse Park's transformation on  $[U_{src}0]$  with the rotation angle  $\theta + \psi$  returns the sinusoidal voltage  $u_{src}$ .

### B. Finite-Output Impedance Emulation

The emulation of the finite-output impedance is obtained by the use of a mixed voltage-current controller. Instead of having a primary current control loop for  $i_{grid}$  inside a secondary voltage control loop for  $u_{cap}$  (or the other way round), both current and voltage are simultaneously controlled, emulating the finite-output impedance. This approach is necessary as the grid impedance is not known, and may vary from 0 to  $\infty$ . Stability problems would arise in a primary current control loop for very large grid impedances, whereas the same is true in a primary voltage control loop for very small grid impedances (see, e.g., [2]). Different control methods can be used hereto, such as classical (e.g., root locus), modern [e.g., linear quadratic gaussian (LQG)], robust (e.g.,  $H_\infty$ ) or non-linear (e.g., adaptive) control methods. Here, the LQG optimal control approach is followed, using a Kalman estimator and a linear quadratic regulator.

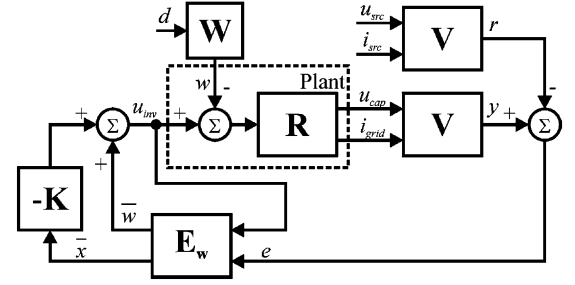


Fig. 10. LQG control scheme for the finite-output impedance emulation.

The control scheme is shown in Fig. 10 and incorporates disturbance estimation as well as reference following [18]. The real-system plant model  $R$  is described in state-space domain by

$$s \begin{bmatrix} i_{inv} \\ u_{cap} \\ i_{grid} \end{bmatrix} = \begin{bmatrix} -\frac{R_1}{L_1} & -\frac{1}{L_1} & 0 \\ 0 & 0 & -\frac{1}{C} \\ 0 & \frac{1}{L_2} & -\frac{R_2}{L_2} \end{bmatrix} \begin{bmatrix} i_{inv} \\ u_{cap} \\ i_{grid} \end{bmatrix} + \begin{bmatrix} \frac{1}{L_1} \\ 0 \\ 0 \end{bmatrix} [u_{inv} + w]$$

$$\begin{bmatrix} u_{cap} \\ i_{grid} \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} i_{inv} \\ u_{cap} \\ i_{grid} \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \end{bmatrix} [u_{inv} + w]. \quad (37)$$

Whereas in [19] the grid voltage  $u_{grid}$  is an explicit input of the system, in (37)  $u_{grid}$  is incorporated in the input-equivalent disturbance  $w$ , caused by the combination of the grid voltage  $u_{grid}$  and the reference signal  $u_{src}$  and  $i_{src}$ . In fact,  $w$  is a virtual signal applied at the control input, producing the same steady state error  $e$  (Fig. 10) as the combination of the actual disturbance  $u_{grid}$  and the reference signals  $u_{src}$  and  $i_{src}$ . Both disturbances are persistent, as they are both composed of sinusoidal components. These persistent disturbances are modelled and nullified.  $W$  represents the input-equivalent disturbance model, with  $d$  an arbitrary impulse input. Besides the fundamental component, also the 3rd, 5th, 7th, 9th, 11th, and 13th harmonic components are modelled.

The virtual-system model is incorporated through the transfer function  $V$ :

$$e = \frac{Z_d}{Z_d + Z_b} \frac{u_{cap} - u_{src}}{L_d s + R_d} + \frac{Z_d}{Z_d + Z_b} (i_{grid} - i_{src}). \quad (38)$$

The output  $e$  represents the error on the desired behavior (25). The error is normalized through  $Z_d/(Z_d + Z_b)$ .  $Z_b$  is an arbitrary base impedance, e.g., chosen equal to the LCL filter impedance  $Z_1 = R_1 + \omega L_1$ . Although not strictly necessary, this normalization enables the use of virtual output impedances ranging from 0 to infinity. Zero virtual output impedance results in a voltage controller, whereas infinite output impedance corresponds to a current controller. Any non-zero finite output impedance results in a mixed current-voltage controller. In this sense, the approach is to be considered as a generalization of the current controller [19], to a mixed current-voltage controller.

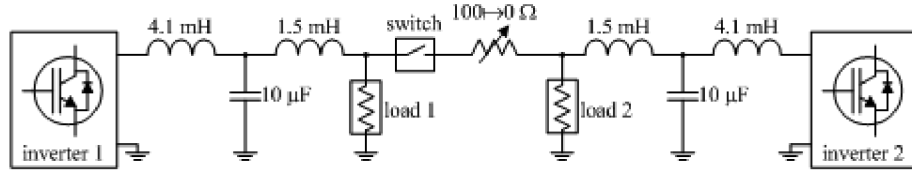


Fig. 11. Scheme of the experimental setup comprising two parallel-connected inverters, the LCL filters, loads and switch.

The Kalman estimator  $\mathbf{E}_w$  estimates the states as well as the input-equivalent disturbance, nullified at the plant input  $u_{inv}$ . The estimator is obtained by calculating the Kalman filter for the real-system plant model  $\mathbf{R}$ , augmented with  $\mathbf{V}$  and  $\mathbf{W}$ , using the SATLAB function `kalman.m`.

The control law  $\mathbf{K}$  is obtained by calculating the LQG optimal state-feedback gain of the real system model  $\mathbf{R}$ , augmented only by  $\mathbf{V}$  ( $\mathbf{W}$  is not controllable), using the MATLAB function `dlqr.m`. The inverter voltage  $u_{inv}$  is obtained by augmenting the output of the control law with the estimate of the input-equivalent disturbance.

#### IV. EXPERIMENTAL RESULTS

The control algorithm is converted from MATLAB/Simulink to “C”-code using Real-Time Workshop. The code is then executed on a Texas Instruments C6711 digital signal processor (DSP). An FPGA daughter card on top of the C6711 DSK board generates the PWM signals for the IGBT PWM voltage-source inverter and provides an interface to the voltage and current measurements [20], [21]. The total processor load is 81% with the algorithm running at 9.2 kHz, being equal to and synchronized with the switching frequency of the inverter.

Using the set-up (Fig. 11), experiments have shown the performance of the technique for resistive, inductive and capacitive loads and compact fluorescent lamps, the latter drawing a highly non-linear current. Also good results are obtained, during short-circuits and connection to the main grid. A second identical inverter set-up is added to test the performance during parallel operation of two inverters.

Both inverters have a power rating of about 2.5 kW. In the experimental set-up, the LCL PWM filter values are:  $L_1 = 4.1$  mH,  $L_2 = 1.5$  mH,  $C = 10$  μF. The parasitic (series) resistances associated with both inductances of the LCL filter are  $R_1 = 0.95$  Ω and  $R_2 = 1$  Ω, respectively. Note that though the parasitic resistances of the LCL circuit are relatively large, the system is still underdamped. It is the merit of the LQR controller that this underdamped circuit is accurately controlled as it inherently provides active damping to the system. This is even more valuable at higher power levels, where parasitic resistances are much smaller to prevent the occurrence of excessive power losses.

As a first example, demonstrating the steady-state behavior of the virtual impedance droop method, the supply of a highly nonlinear load is considered for two different ohmic droop impedances. A single inverter is operated in island, with the load consisting of eight compact fluorescent lamps of different ratings. In Fig. 12, the virtual droop impedance is chosen equal to 1 Ω purely ohmic, whereas in Fig. 13, the virtual impedance is

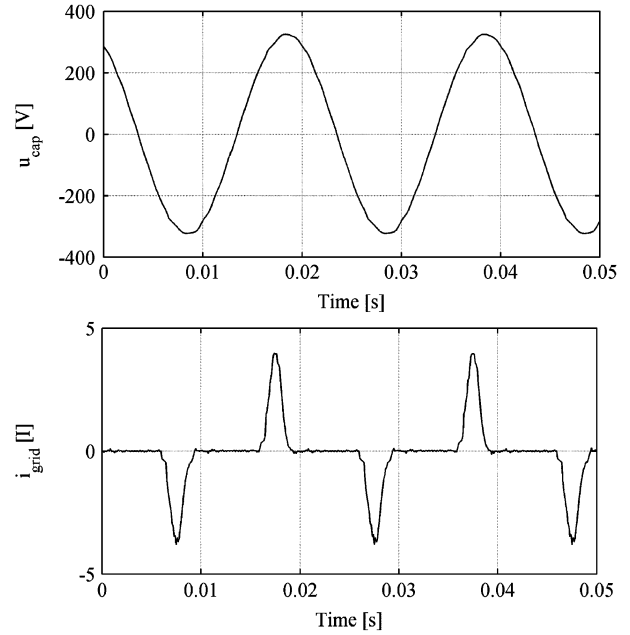


Fig. 12. Voltage and current waveforms feeding a highly nonlinear load with  $Z_d = 1$  Ω ohmic.

10 Ω, also purely ohmic. The resulting voltage total harmonic distortion is 0.5% and 3.6%, respectively.

In a second example, two stand-alone grids are constructed, each consisting of one inverter supplying a resistive load (Fig. 11). The experiment shows the parallel connection of both grids. The connection is obtained by first placing a 100 Ω resistance between both stand-alone grids, and gradually reducing this resistance in one second to 0 Ω. Fig. 14 shows the grid voltages and currents of both inverters during connection. The close-up graphs show the voltages and currents as they were registered shortly before and after connection. It can be seen that the voltages synchronize smoothly, while the currents stabilize after a modest transient to almost perfect load sharing. Here, the droop impedance is chosen to be equal to 5 Ω, with a ratio  $R_d/X_d = 4$ , and  $k_{\psi} = 1$  rad/HZ.

Fig. 15 shows the difference between voltage and current outputs of both inverters during the experiment. It clearly shows the time of connection at about 0.23 s through the 100 Ω impedance which is gradually reduced until it reaches zero at about 1.0 s, at which time both voltages are equal. In the meantime, the current difference increased and only from 1.0 s on it decreases gradually to disappear almost completely at about 2.0 s. If both inverters were instantaneously connected with each other, convergence would have been slightly faster, though accompanied

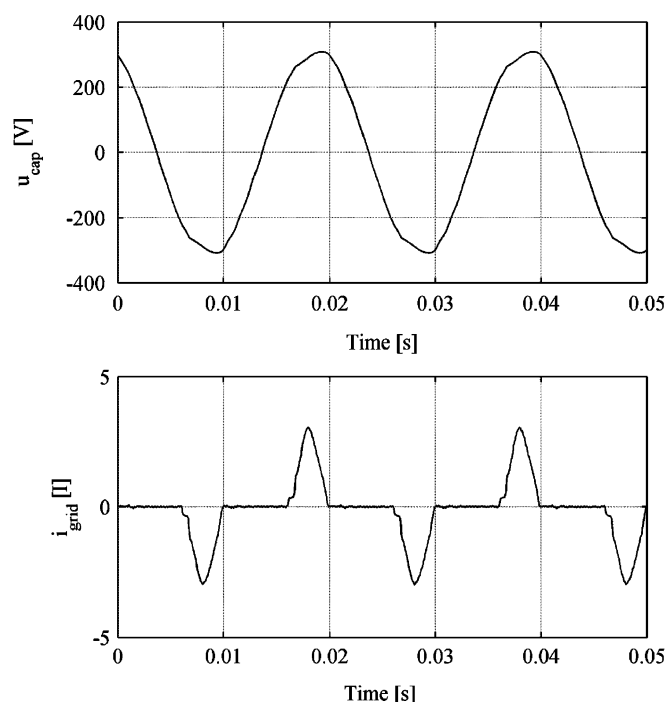


Fig. 13. Voltage and current waveforms feeding a highly nonlinear load with  $Z_d = 10 \Omega$  ohmic.

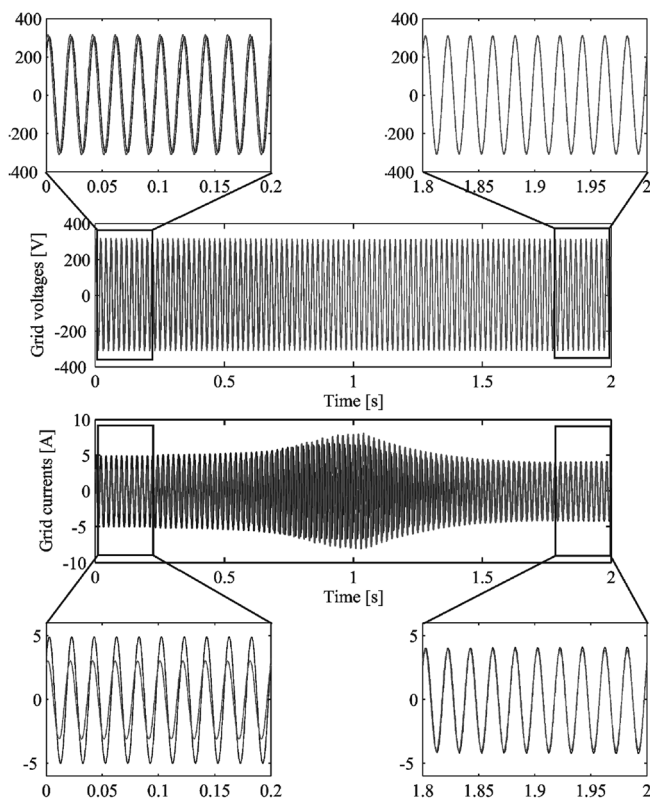


Fig. 14. Experimental grid currents and voltages before, during and after the connection of two non-synchronized stand-alone grids.

with higher transient currents. Therefore, instantaneous connection is only practical when both inverters are synchronized before connection.

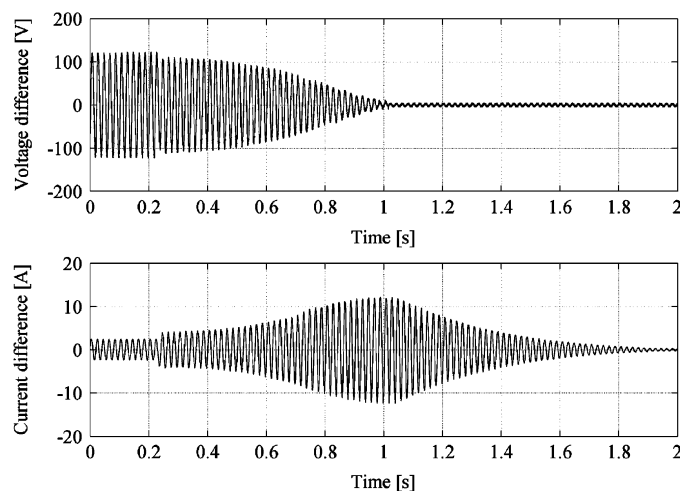


Fig. 15. Difference between voltage and current outputs of both inverters before, during and after connection.

## V. CONCLUSION

A time-domain method for controlling voltage and frequency using parallel inverters connected to the mains or in an island grid is developed. By imitating a voltage source with a complex finite-output impedance, voltage droop control is obtained. Frequency droop control results from synchronizing the power source with the grid, with a phase angle difference that depends on the difference between rated and actual grid frequency. Compared to existing techniques, the described method exhibits superior behavior, considering the mitigation of voltage harmonics, the behavior during short-circuit and, in the case of a non-negligible line resistance, the "efficient" control of frequency and voltage. Two experiments are included to show the described behavior.

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