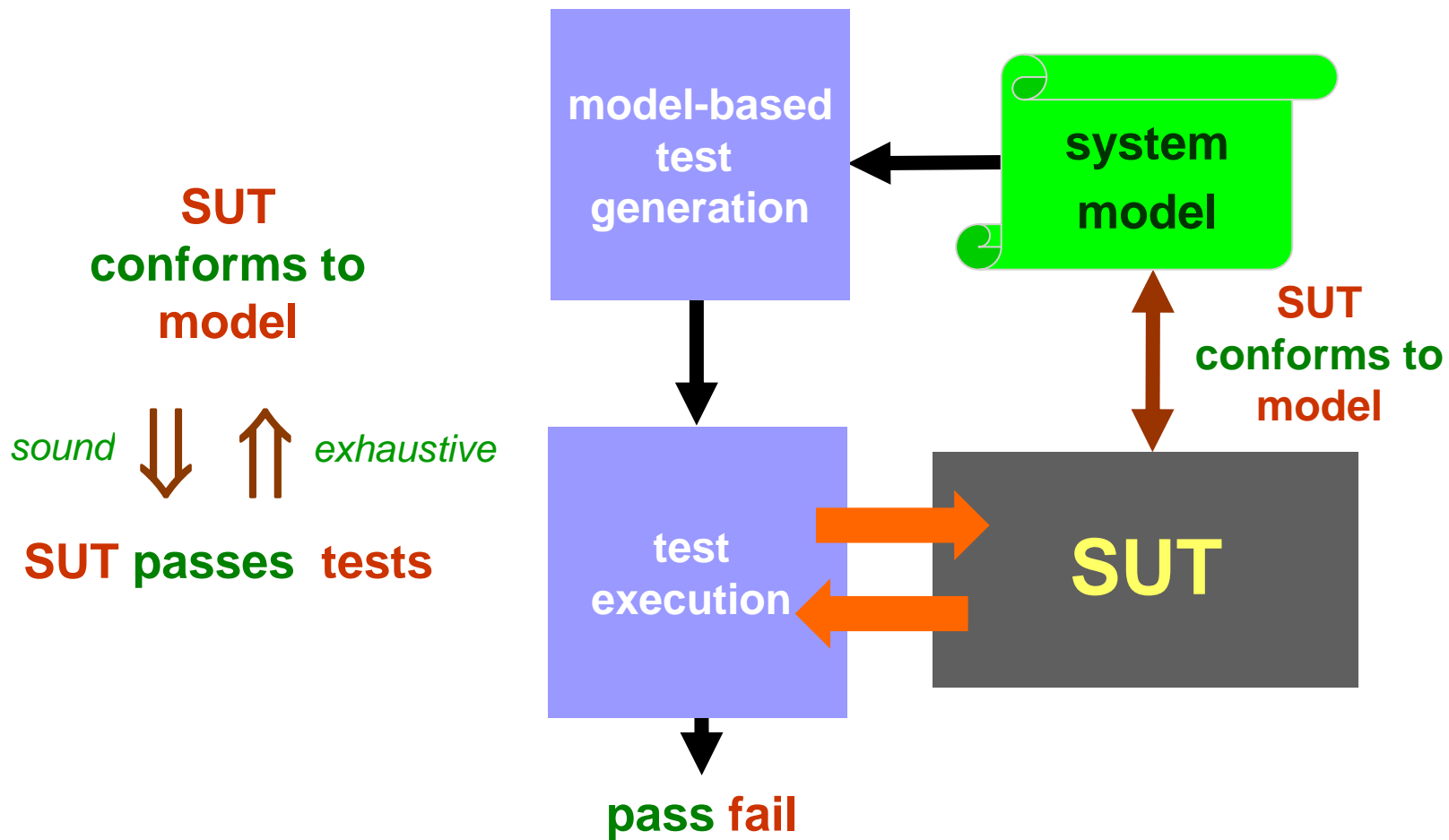


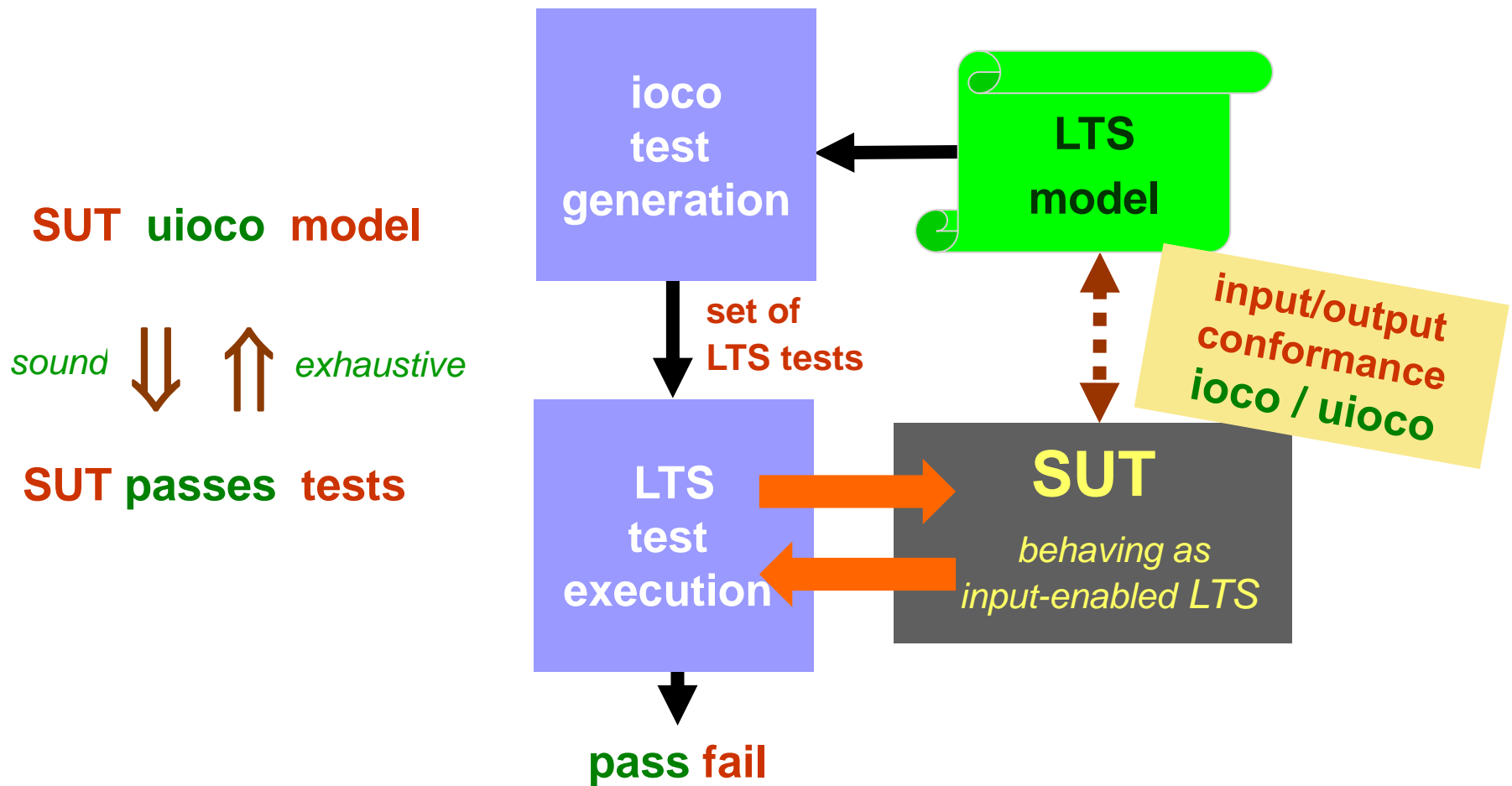
# A Theory of Model-Based Testing with Labelled Transition Systems

## *The **uioco** Theory*

# MBT : Model-Based Testing

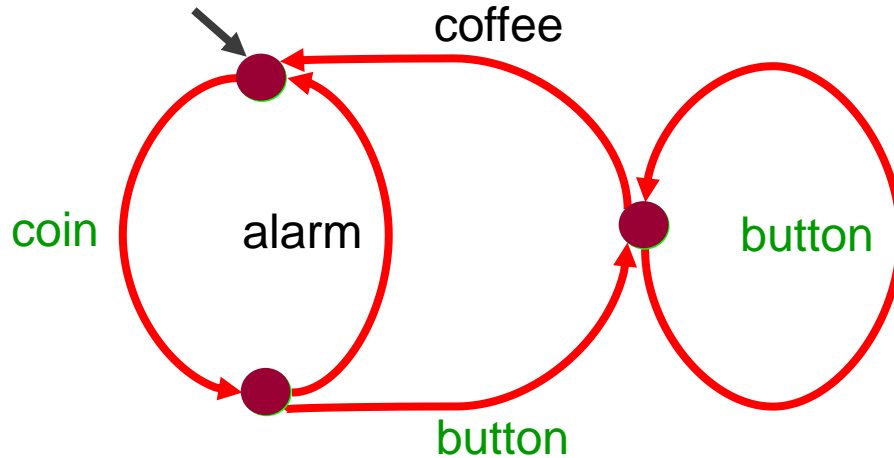


# MBT : Labelled Transitions Systems

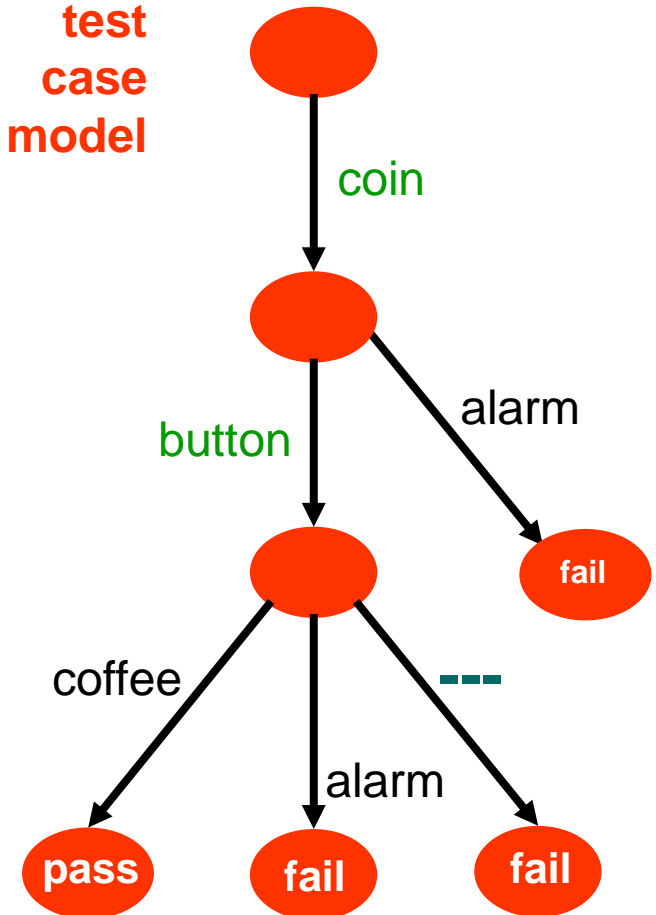


# Models: Generation of Test Cases

specification  
model

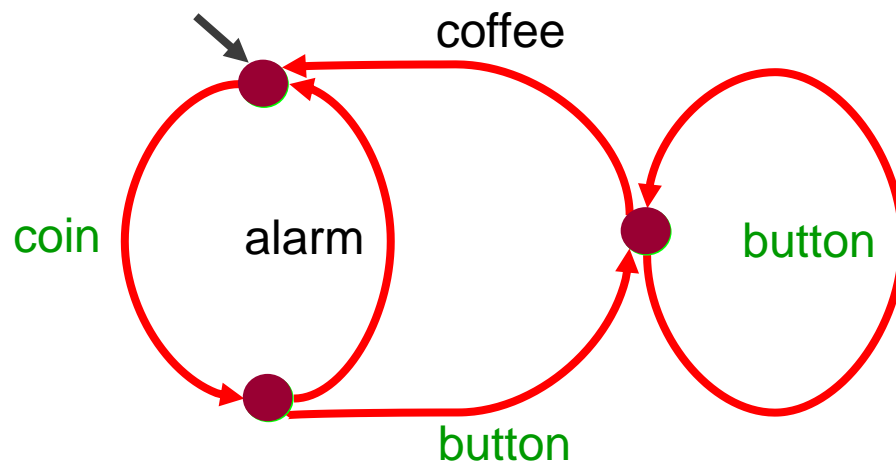


test  
case  
model

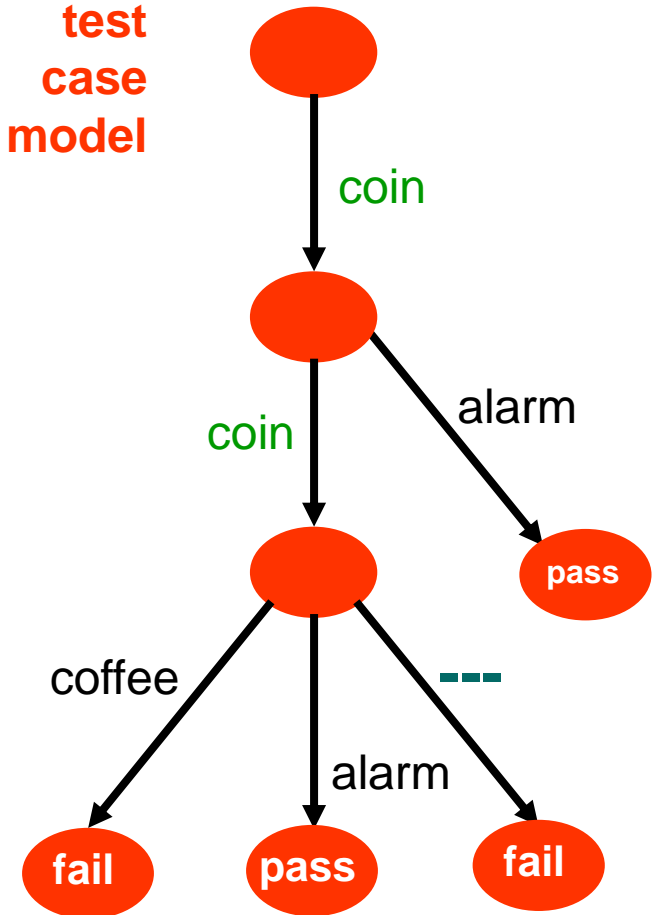


# Models: Generation of Test Cases

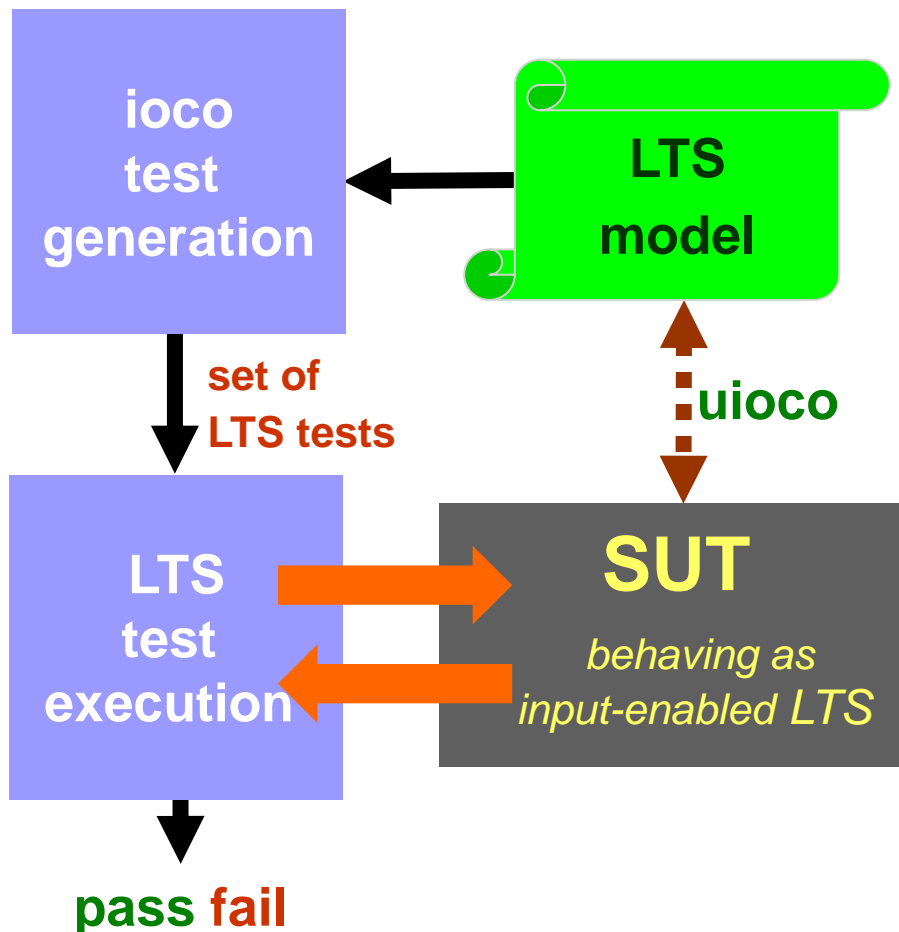
specification  
model



test  
case  
model



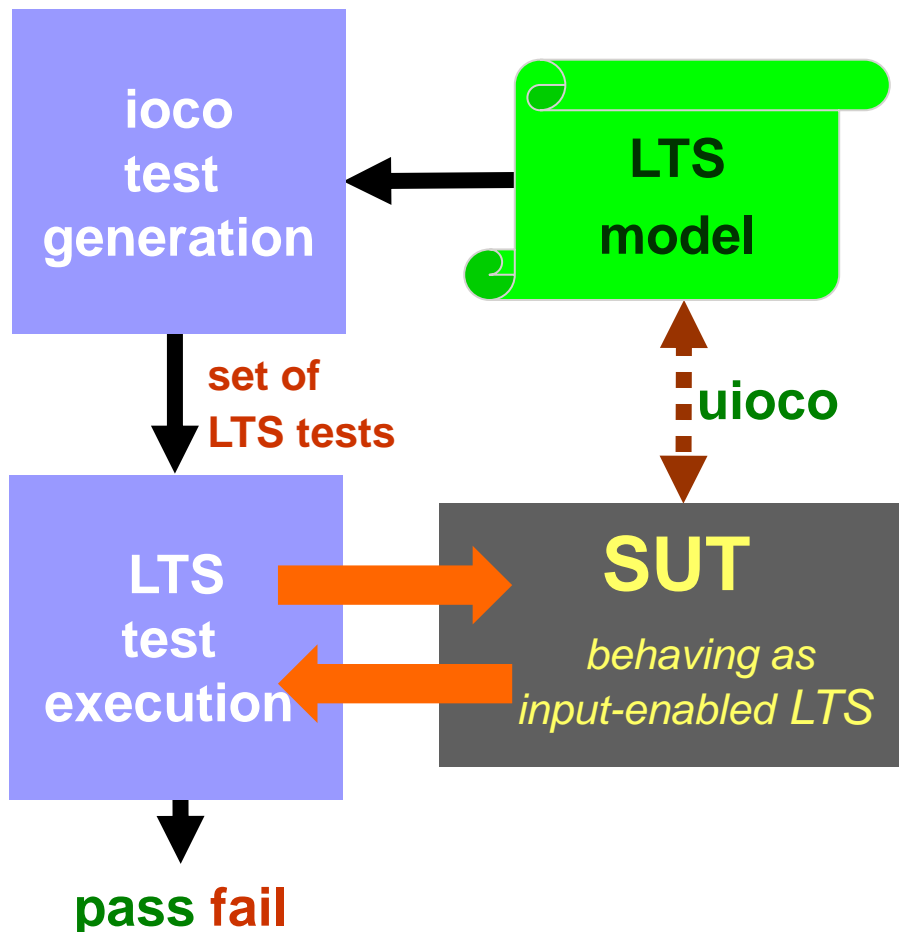
# MBT : Labelled Transitions Systems



## MBT with LTS topics:

- 👉 specification model
- 👉 implementation (SUT)
- 👉 implementation model
- 👉 conformance **uioco**
- 👉 test cases
- 👉 test generation
- 👉 test execution
- 👉 test result analysis
- 👉 sound & exhaustive

# MBT : Labelled Transitions Systems



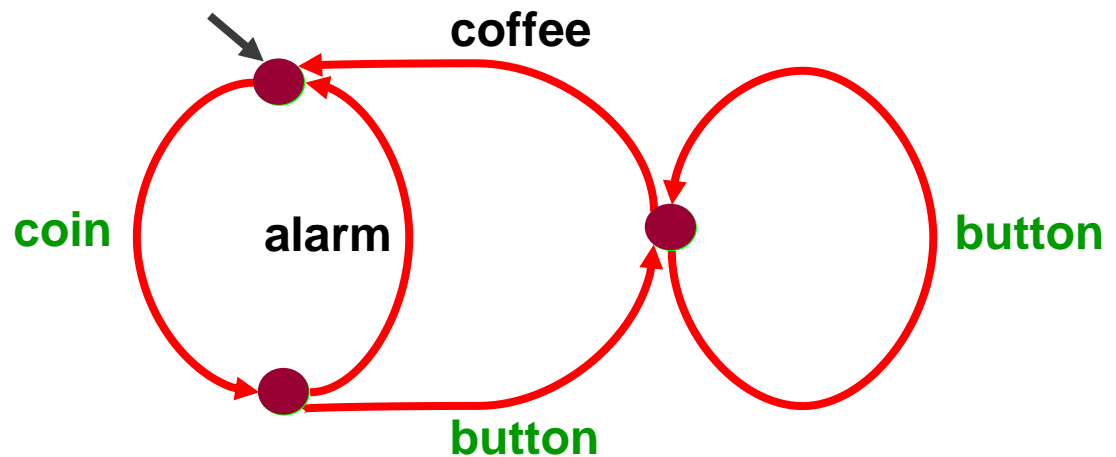
## MBT with LTS topics:

- 👉 **specification model**
- 👉 implementation (SUT)
- 👉 implementation model
- 👉 conformance **uioco**
- 👉 test cases
- 👉 test generation
- 👉 test execution
- 👉 test result analysis
- 👉 sound & exhaustive

# Models: Labelled Transition Systems

Labelled Transition System:  $\langle S, L, T, s_0 \rangle$

set of states  $S$       set of labels  $L$       transitions  $T \subseteq S \times (L \cup \{\tau\}) \times S$       initial state  $s_0 \in S$





# Models: LTS with Inputs and Outputs

**coin, button**

from user to machine  
initiative with user  
machine cannot refuse

**? inputs**  $L_I$

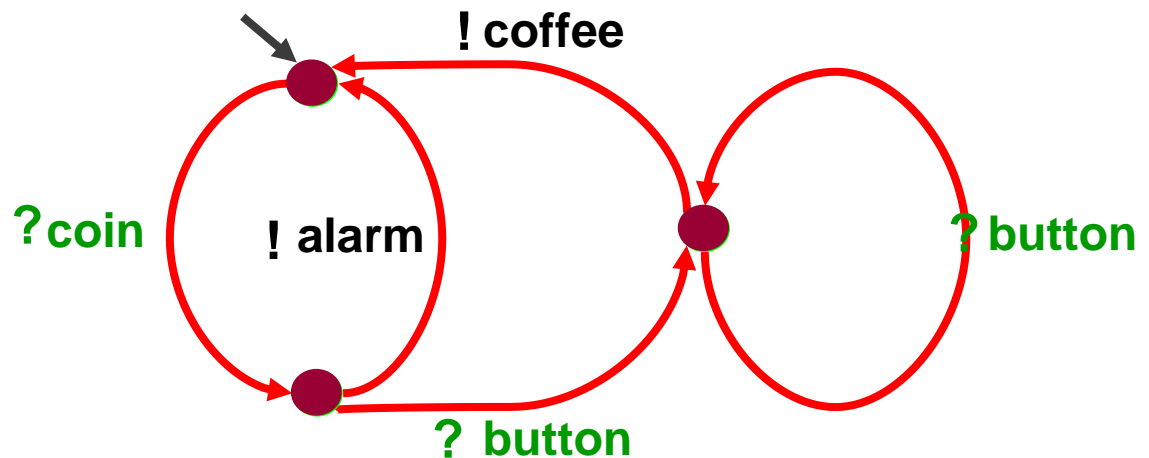
**coffee, alarm**

from machine to user  
initiative with machine  
user cannot refuse

**! outputs**  $L_U$

$$L_I \cap L_U = \emptyset$$

$$L_I \cup L_U = L$$



# Models: LTS with Inputs and Outputs

Labelled Transition System:  $\langle S, L_I, L_U, T, s_0 \rangle$

states

input  
labels

output  
labels

initial state  
 $s_0 \in S$

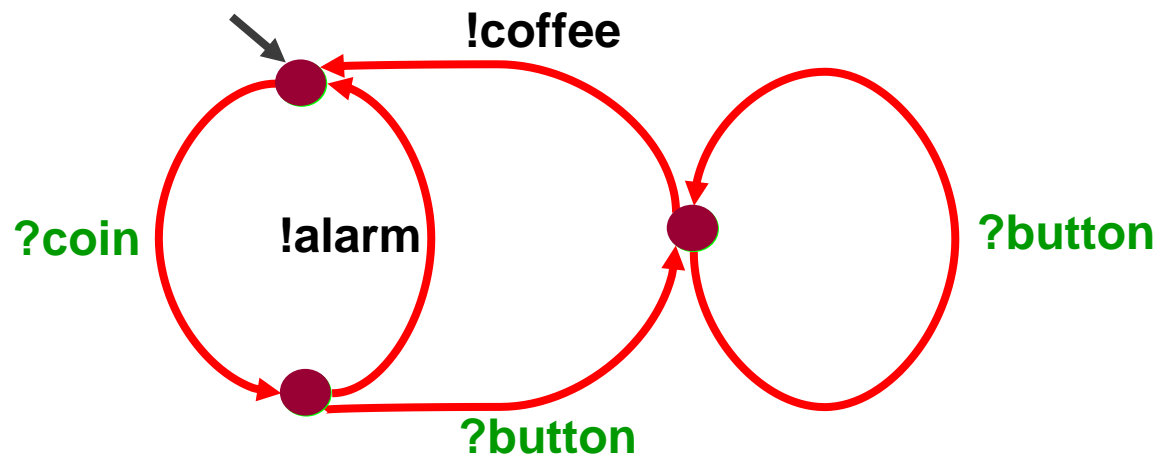
transitions  
 $T \subseteq S \times (L \cup \{\tau\}) \times S$

? = input

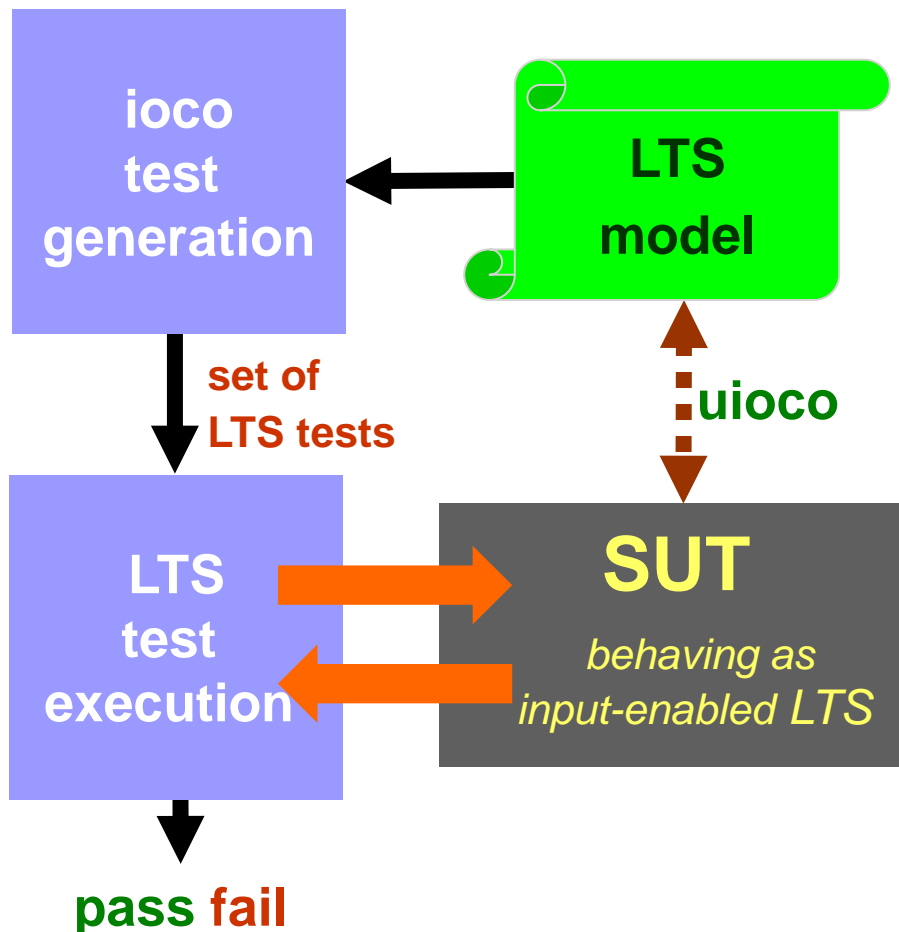
! = output

$L = L_I \cup L_U$

$L_I \cap L_U = \emptyset$



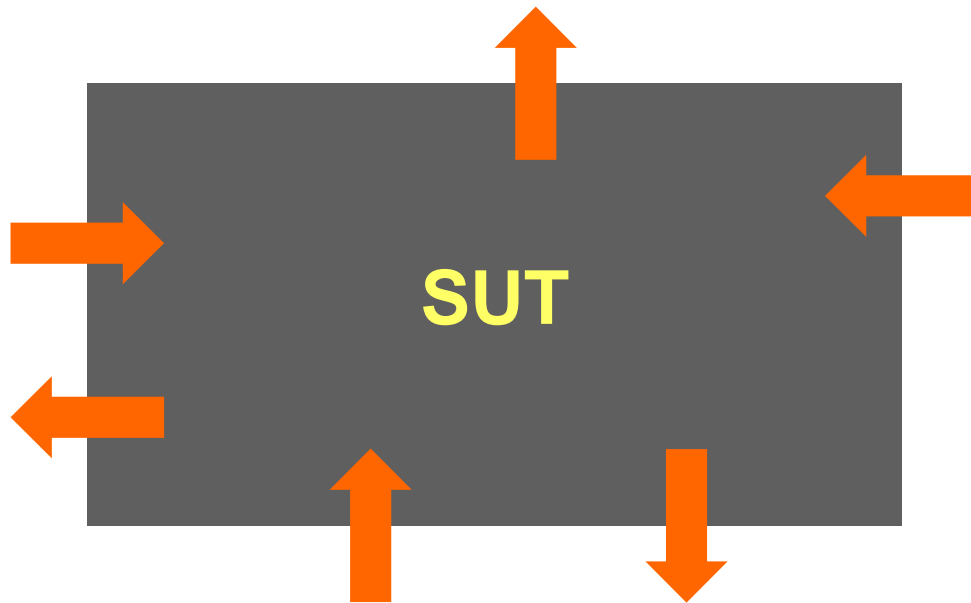
# MBT : Labelled Transitions Systems



## MBT with LTS topics:

- 👉 specification model
- 👉 implementation (SUT)
- 👉 implementation model
- 👉 conformance **uioco**
- 👉 test cases
- 👉 test generation
- 👉 test execution
- 👉 test result analysis
- 👉 sound & exhaustive

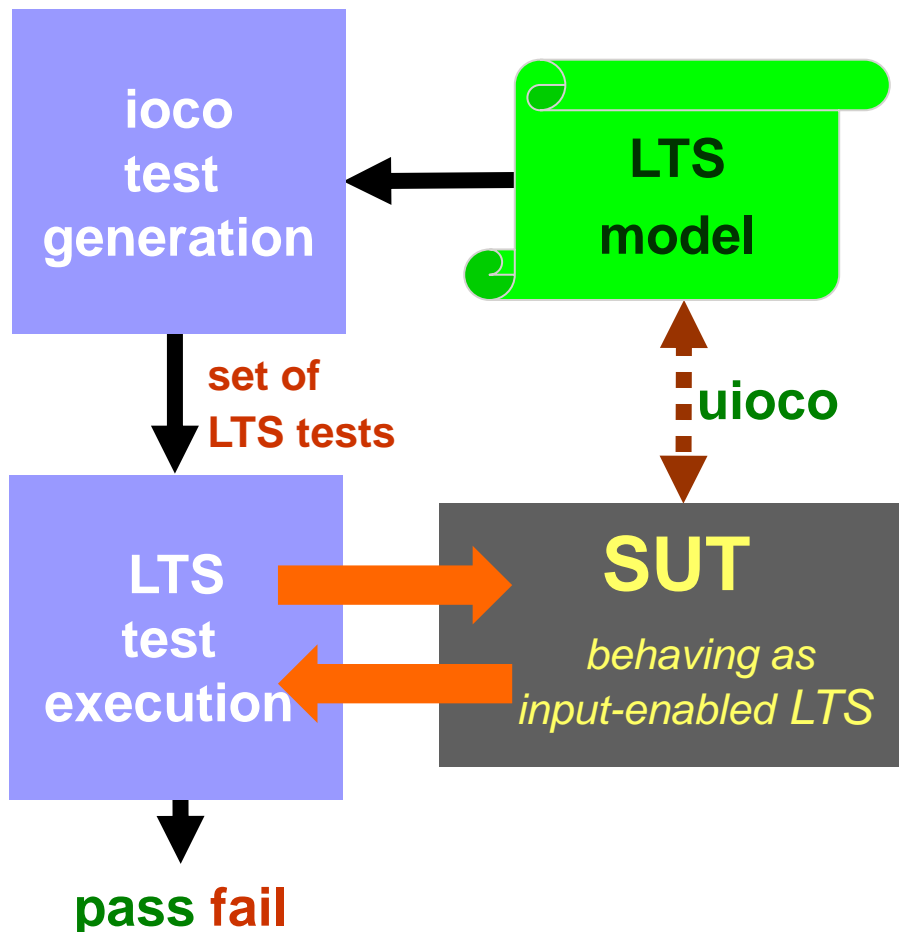
# MBT : System Modelling



## SUT

- 👉 black box
- 👉 inputs on interfaces
- 👉 outputs on interfaces

# MBT : Labelled Transitions Systems



## MBT with LTS topics:

- 👉 specification model
- 👉 implementation (SUT)
- 👉 **implementation model**
- 👉 conformance **uioco**
- 👉 test cases
- 👉 test generation
- 👉 test execution
- 👉 test result analysis
- 👉 sound & exhaustive

# Models: Input-Output Transition Systems

In many systems, inputs are always enabled:

*input-enabled transition systems*

= *Input-Output Transition Systems*

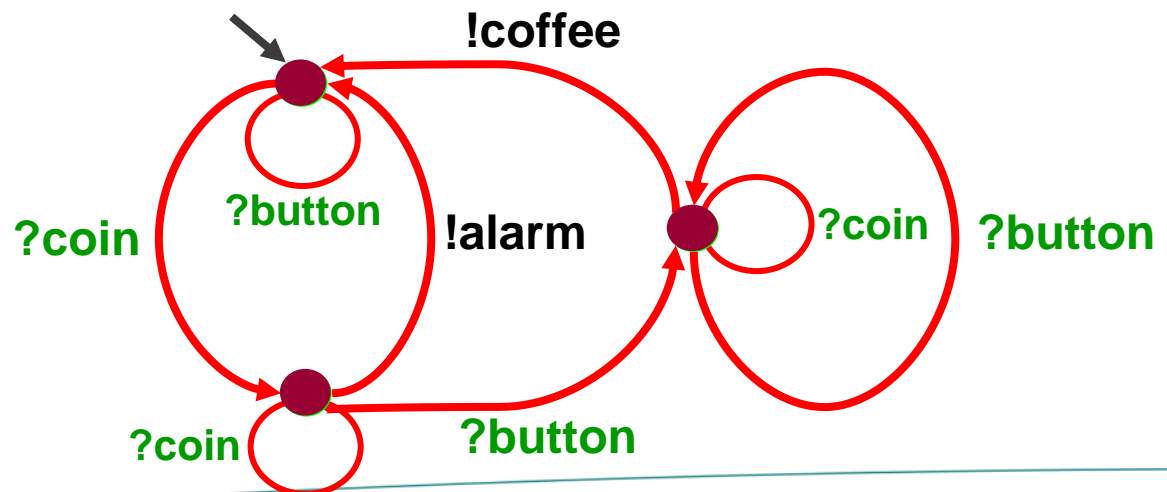
$$IOTS(L_I, L_U) \subseteq LTS(L_I \cup L_U)$$

**input enabled:**

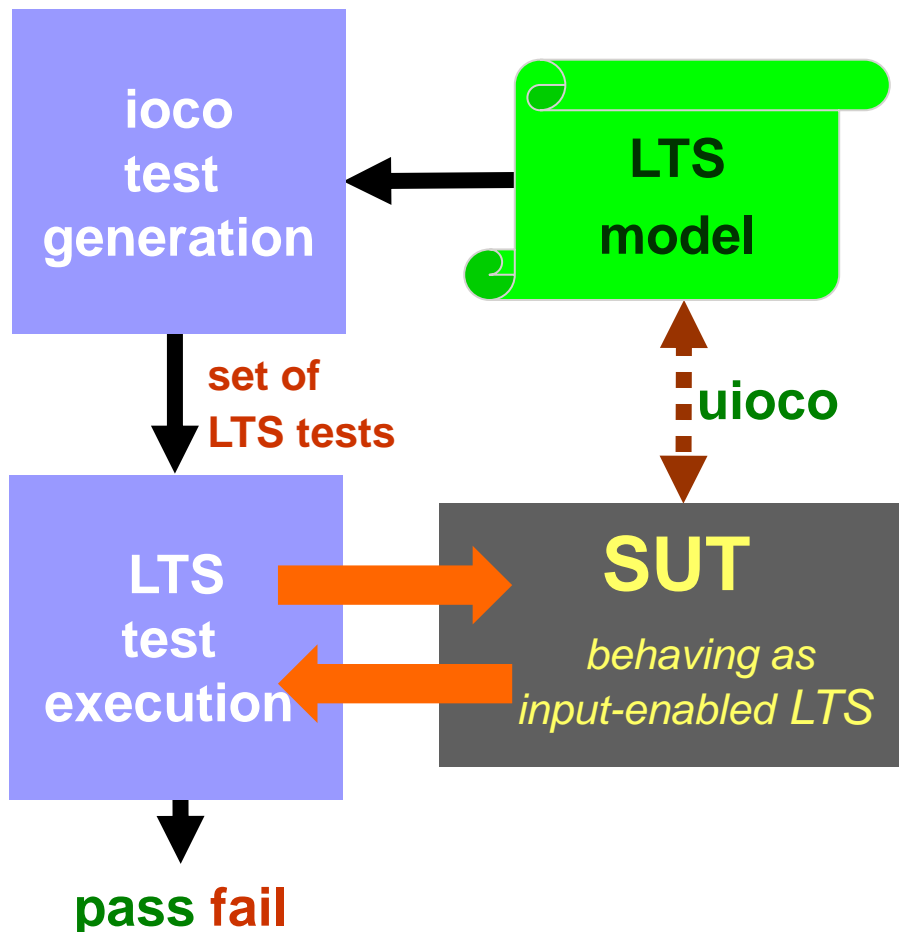
for all states  $s$ ,

for all inputs  $?a \in L_I$ :

$$s \xRightarrow{?a}$$



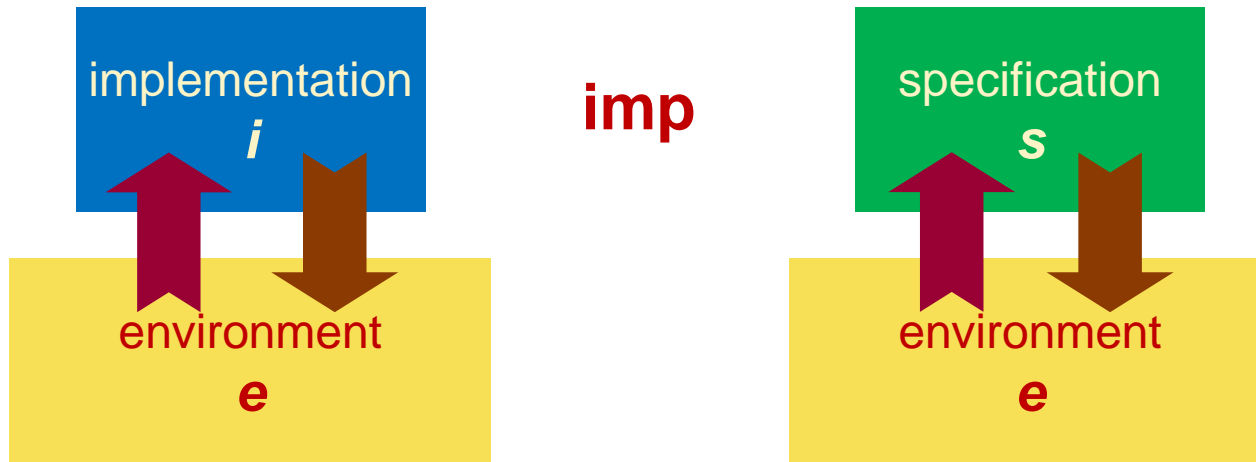
# MBT : Labelled Transitions Systems



## MBT with LTS topics:

- 👉 specification model
- 👉 implementation (SUT)
- 👉 implementation model
- 👉 conformance **uioco**
- 👉 test cases
- 👉 test generation
- 👉 test execution
- 👉 test result analysis
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# Implementation Relations for Input-Output Transition Systems



$$i \in \text{IOTS}(L_I, L_U)$$

$$s \in \text{LTS}(L_I, L_U)$$

$$\text{imp} \subseteq \text{IOTS}(L_I, L_U) \times \text{LTS}(L_I, L_U)$$

$$i \text{ imp } s$$

$i$  is a conforming implementation of  $s$



# Input/Output Conformance : *uioco*

$$i \text{ uioco } s \quad =_{\text{def}} \quad \forall \sigma \in \text{Utraces}(s) : \text{out}(i \text{ after } \sigma) \subseteq \text{out}(s \text{ after } \sigma)$$

$s$  is a Labelled Transition System

$i$  is (assumed to be) an input-enabled LTS

Alternative: **ioco**:

(see *Lecture Notes*)

$$i \text{ ioco } s \quad =_{\text{def}} \quad \forall \sigma \in \text{Straces}(s) : \text{out}(i \text{ after } \sigma) \subseteq \text{out}(s \text{ after } \sigma)$$

# Input/Output Conformance : *uioco*

$$i \text{ uioco } s \quad =_{\text{def}} \quad \forall \sigma \in \text{Utraces}(s) : \text{out}(i \text{ after } \sigma) \subseteq \text{out}(s \text{ after } \sigma)$$

$s$  is a Labelled Transition System

$i$  is (assumed to be) an input-enabled LTS

$$p \xrightarrow{\delta} p \quad \Leftrightarrow \quad \forall !x \in L_U \cup \{\tau\} . p \not\xrightarrow{!x} \quad \Leftrightarrow \quad p \text{ refuses } L_U$$

$$\text{Straces}(s) = \{ \sigma \in (L \cup \{\delta\})^* \mid s \xRightarrow{\sigma} \}$$

$$\text{Utraces}(s) = \{ \sigma \in \text{Straces}(s) \mid$$

$$\forall \sigma_1 ?b \sigma_2 = \sigma : \text{not}(s \text{ after } \sigma_1 \text{ refuses } \{?b\}) \}$$

$$\text{out}(\mathbf{P}) = \{ !x \in L_U \mid \exists p \in \mathbf{P} : p \xrightarrow{!x} \} \cup \{ \delta \mid \exists p \in \mathbf{P} : p \xrightarrow{\delta} p \}$$

# Input/Output Conformance : *uioco*

$$i \text{ uioco } s \quad =_{\text{def}} \quad \forall \sigma \in \text{Utraces}(s) : \text{out}(i \text{ after } \sigma) \subseteq \text{out}(s \text{ after } \sigma)$$

$s$  is a Labelled Transition System

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Intuition:

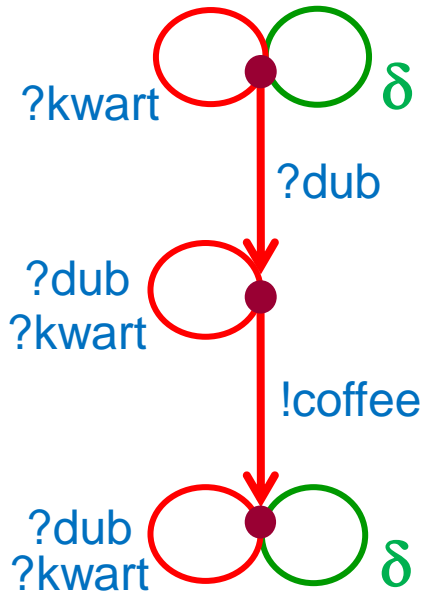
$i$  **uioco**-conforms to  $s$ , iff

- if  $i$  produces output  $x$  after  $U$ -trace  $\sigma$ ,  
then  $s$  can produce  $x$  after  $\sigma$
- if  $i$  cannot produce any output after  $U$ -trace  $\sigma$ ,  
then  $s$  cannot produce any output after  $\sigma$  (called *quiescence*  $\delta$ )

# Implementation Relation $uioco$

$$i \text{ } uioco \text{ } s \quad =_{\text{def}} \quad \forall \sigma \in Utraces(s) : out(i \text{ after } \sigma) \subseteq out(s \text{ after } \sigma)$$

$i$



$$out(i \text{ after } \varepsilon) = \{\delta\}$$

$$out(i \text{ after } ?dub) = \{!coffee\}$$

$$out(i \text{ after } ?dub ?dub) = \{!coffee\}$$

$$out(i \text{ after } ?dub !coffee) = \{\delta\}$$

$$out(i \text{ after } ?k wart) = \{\delta\}$$

$$out(i \text{ after } !coffee) = \emptyset$$

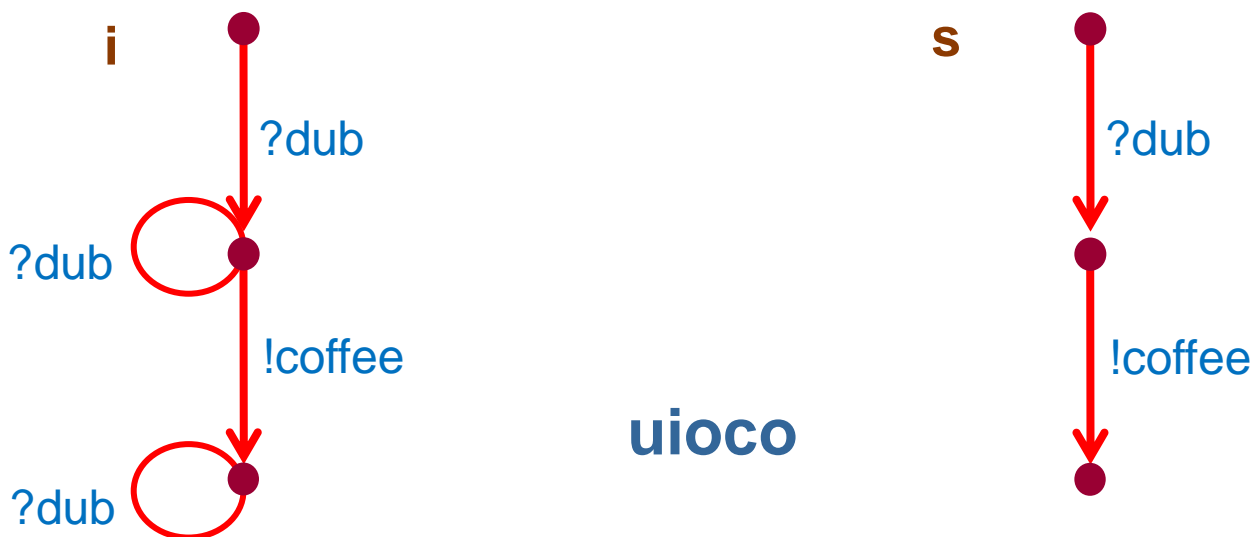
$$out(i \text{ after } ?dub !tea) = \emptyset$$

$$out(i \text{ after } \delta) = \{\delta\}$$

$$out(i \text{ after } \delta \delta ?dub) = \{!coffee\}$$

# Implementation Relation $uioco$

$$i \text{ } uioco \text{ } s \quad =_{\text{def}} \quad \forall \sigma \in Utraces(s) : out(i \text{ after } \sigma) \subseteq out(s \text{ after } \sigma)$$



$$out(i \text{ after } \epsilon) = \{ \delta \}$$

$$out(i \text{ after } ?dub) = \{ !coffee \}$$

$$out(i \text{ after } ?dub !coffee) = \{ \delta \}$$

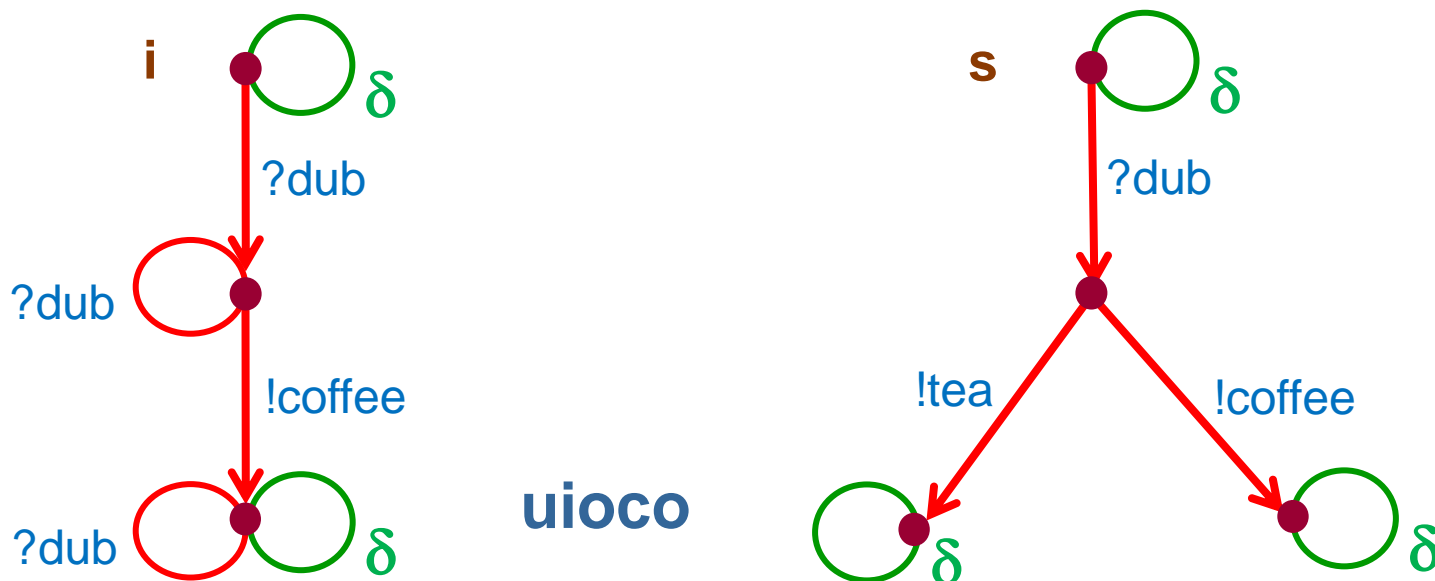
$$out(s \text{ after } \epsilon) = \{ \delta \}$$

$$out(s \text{ after } ?dub) = \{ !coffee \}$$

$$out(s \text{ after } ?dub !coffee) = \{ \delta \}$$

# Implementation Relation $uioco$

$$i \text{ } uioco \text{ } s \quad =_{\text{def}} \quad \forall \sigma \in Utraces(s) : out(i \text{ after } \sigma) \subseteq out(s \text{ after } \sigma)$$



$$out(i \text{ after } ?dub) = \{ !coffee \} \quad \subseteq \quad out(s \text{ after } ?dub) = \{ !coffee, !tea \}$$

# Implementation Relation $uioco$

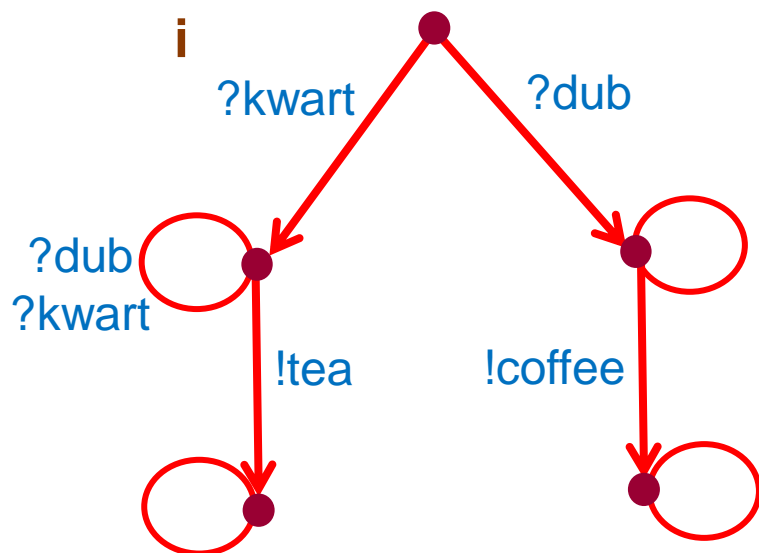
$$i \text{ } uioco \text{ } s \quad =_{\text{def}} \quad \forall \sigma \in Utraces(s) : out(i \text{ after } \sigma) \subseteq out(s \text{ after } \sigma)$$



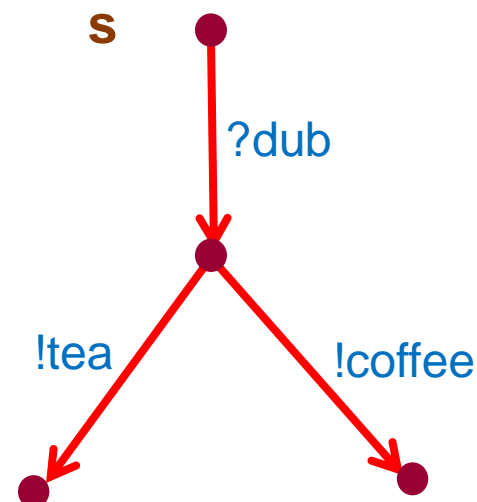
$$out(i \text{ after } ?dub) = \{ !coffee, !tea \} \not\subseteq out(s \text{ after } ?dub) = \{ !coffee \}$$

# Implementation Relation $uioco$

$$i \text{ } uioco \text{ } s \quad =_{\text{def}} \quad \forall \sigma \in Utraces(s) : out(i \text{ after } \sigma) \subseteq out(s \text{ after } \sigma)$$



$uioco$



$$out(i \text{ after } ?dub) = \{ !coffee \}$$

$$out(i \text{ after } ?kware) = \{ !tea \}$$

$$out(s \text{ after } ?dub) = \{ !coffee, !tea \}$$

$$out(s \text{ after } ?kware) = \emptyset$$

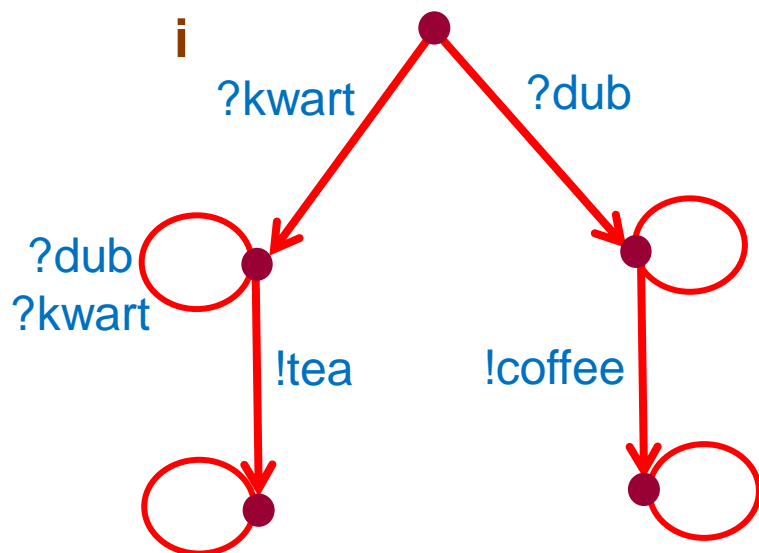
$\not\subseteq$

but  $?kware \notin Utraces(s)$

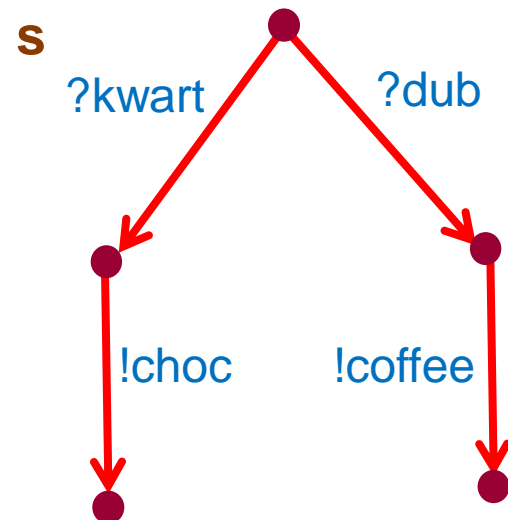


# Implementation Relation $uioco$

$i \text{ } uioco \text{ } s \quad =_{\text{def}} \quad \forall \sigma \in Utraces(s) : out(i \text{ after } \sigma) \subseteq out(s \text{ after } \sigma)$



~~$uioco$~~



$out(i \text{ after } ?dub) = \{ !coffee \}$

$out(i \text{ after } ?kward) = \{ !tea \}$

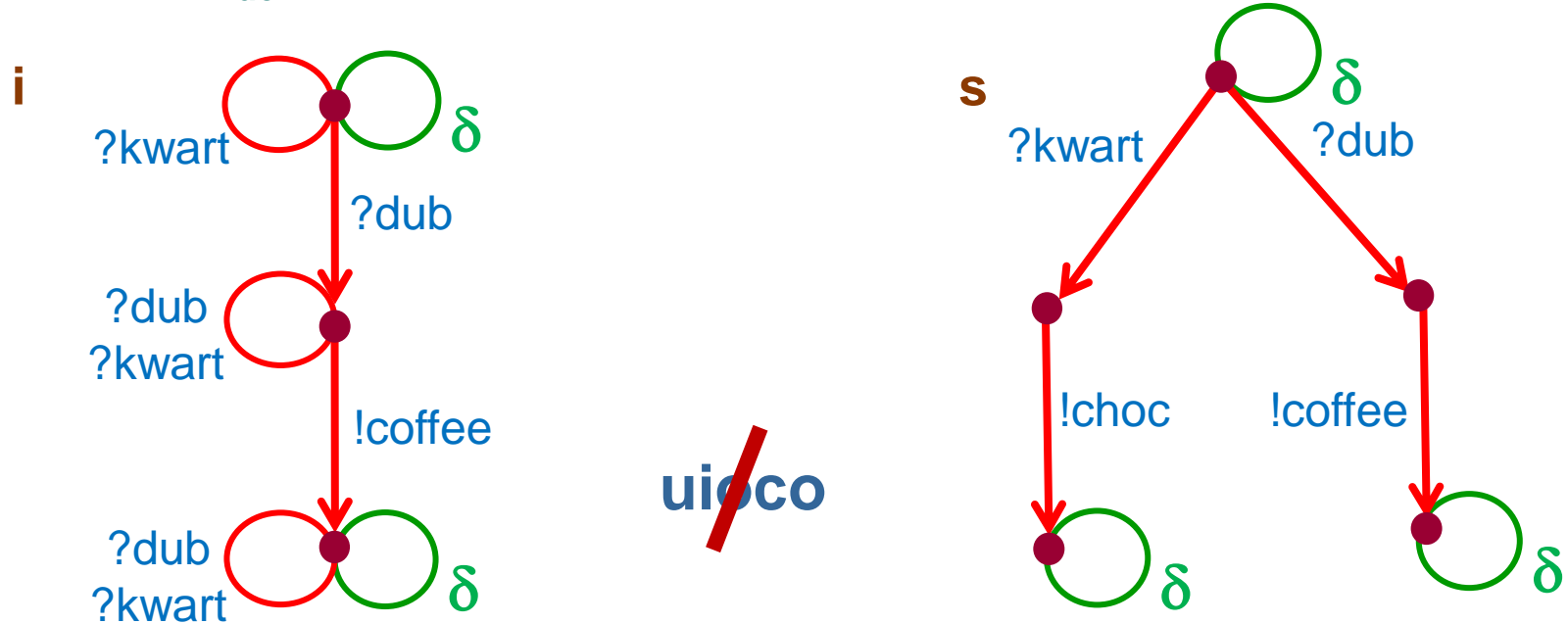
$\not\subseteq$

$out(s \text{ after } ?dub) = \{ !coffee \}$

$out(s \text{ after } ?kward) = \{ !choc \}$

# Implementation Relation $uioco$

$i \text{ } uioco \text{ } s \stackrel{\text{def}}{=} \forall \sigma \in Utraces(s) : out(i \text{ after } \sigma) \subseteq out(s \text{ after } \sigma)$



$out(i \text{ after } ?dub) = \{ !coffee \}$

$out(i \text{ after } ?k wart) = \{ \delta \}$

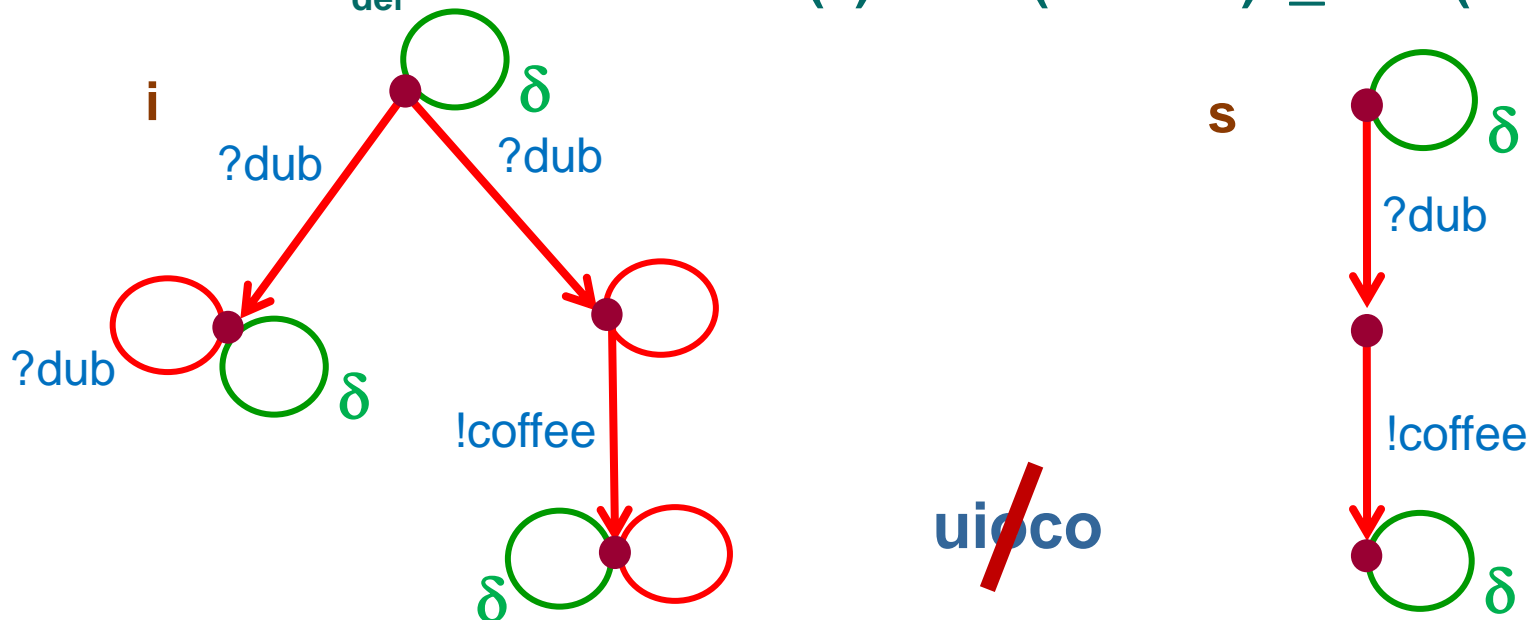
$\not\subseteq$

$out(s \text{ after } ?dub) = \{ !coffee \}$

$out(s \text{ after } ?k wart) = \{ !choc \}$

# Implementation Relation $uioco$

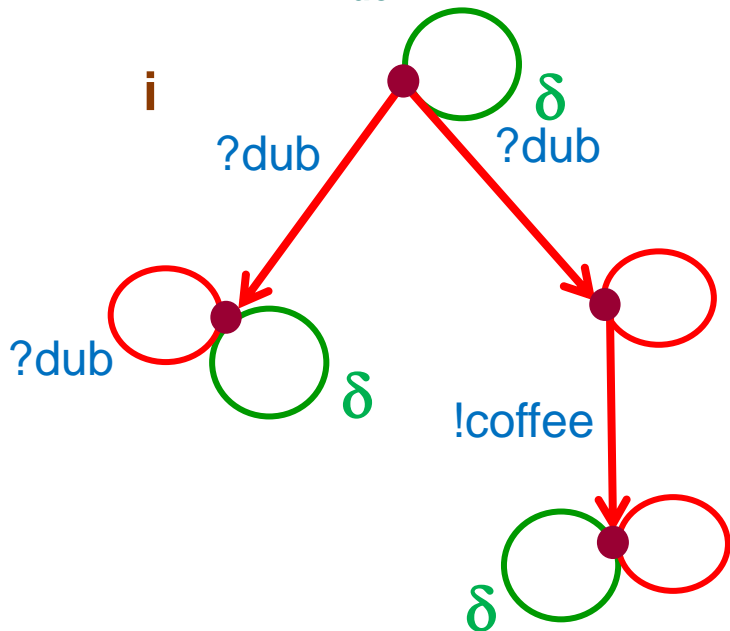
$i \text{ } uioco \text{ } s \stackrel{\text{def}}{=} \forall \sigma \in Utraces(s) : out(i \text{ after } \sigma) \subseteq out(s \text{ after } \sigma)$



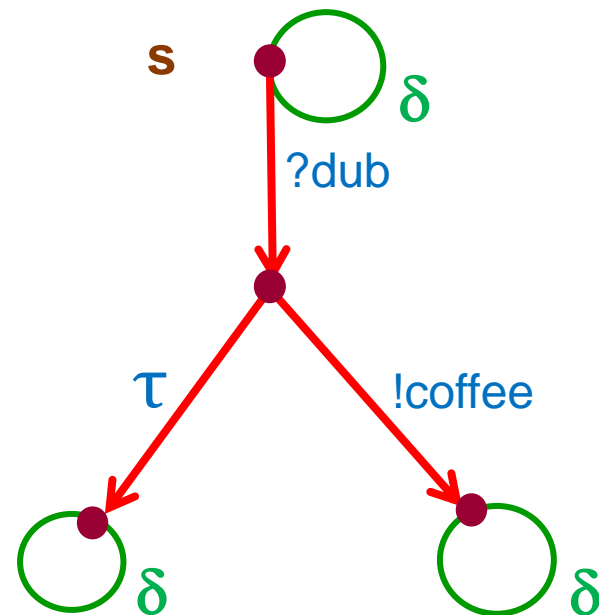
$out(i \text{ after } ?dub) = \{!coffee, \delta\} \not\subseteq out(s \text{ after } ?dub) = \{!coffee\}$

# Implementation Relation $uioco$

$$i \text{ } uioco \text{ } s \stackrel{\text{def}}{=} \forall \sigma \in Utraces(s) : out(i \text{ after } \sigma) \subseteq out(s \text{ after } \sigma)$$



$uioco$

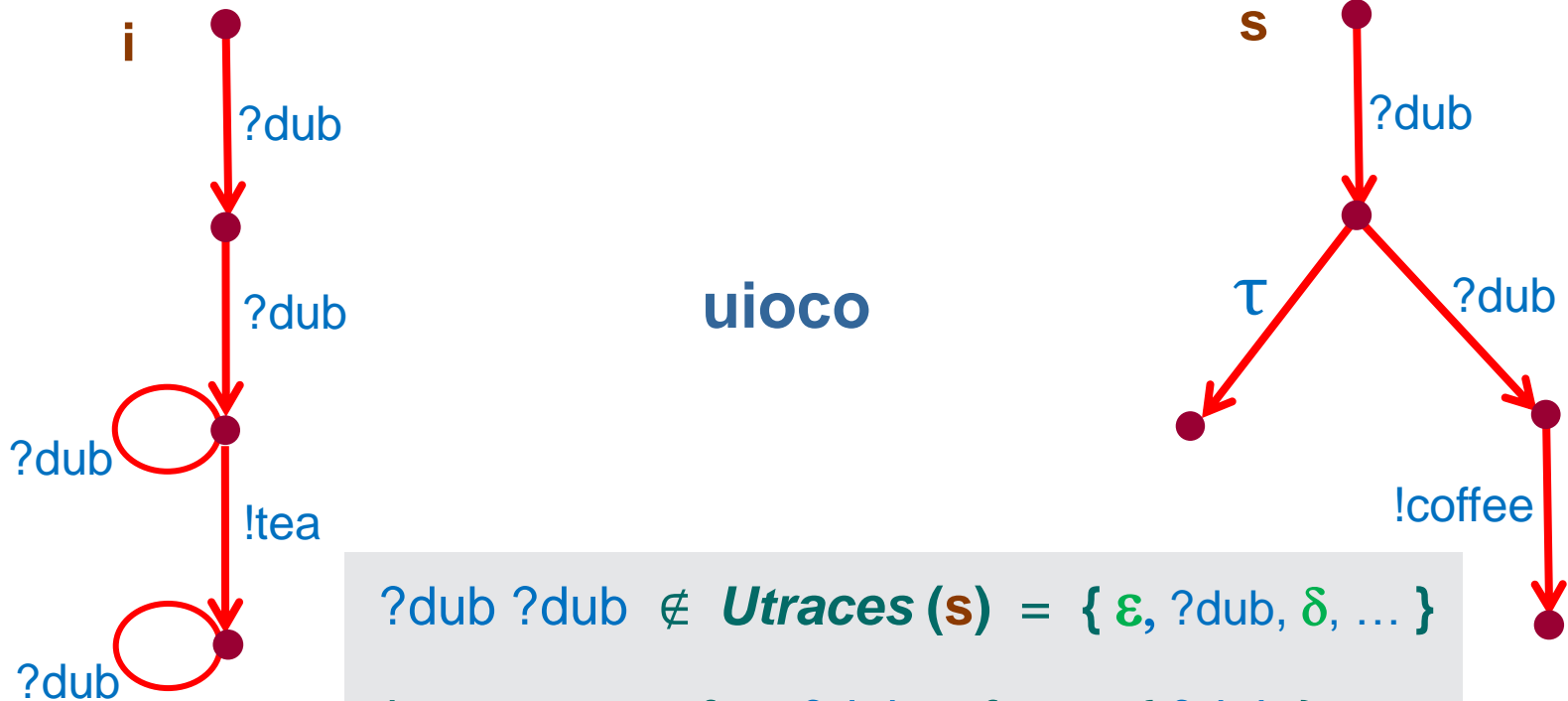


$$out(i \text{ after } ?dub) = \{ !coffee, \delta \}$$

$$out(s \text{ after } ?dub) = \{ !coffee, \delta \}$$

# Implementation Relation $\text{uioco}$

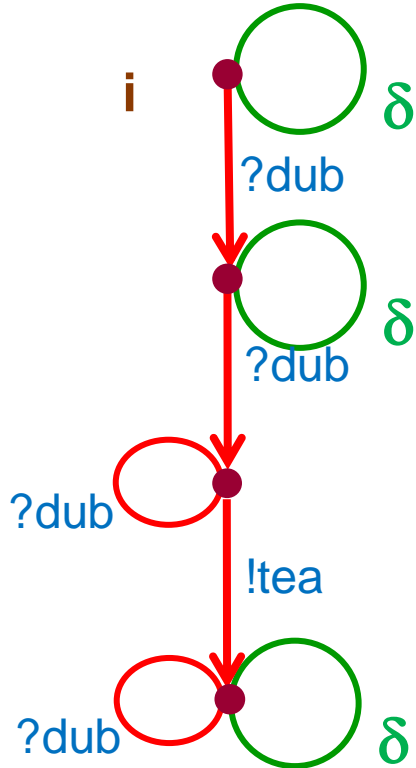
$$i \text{ uioco } s \stackrel{\text{def}}{=} \forall \sigma \in \text{Utraces}(s) : \text{out}(i \text{ after } \sigma) \subseteq \text{out}(s \text{ after } \sigma)$$



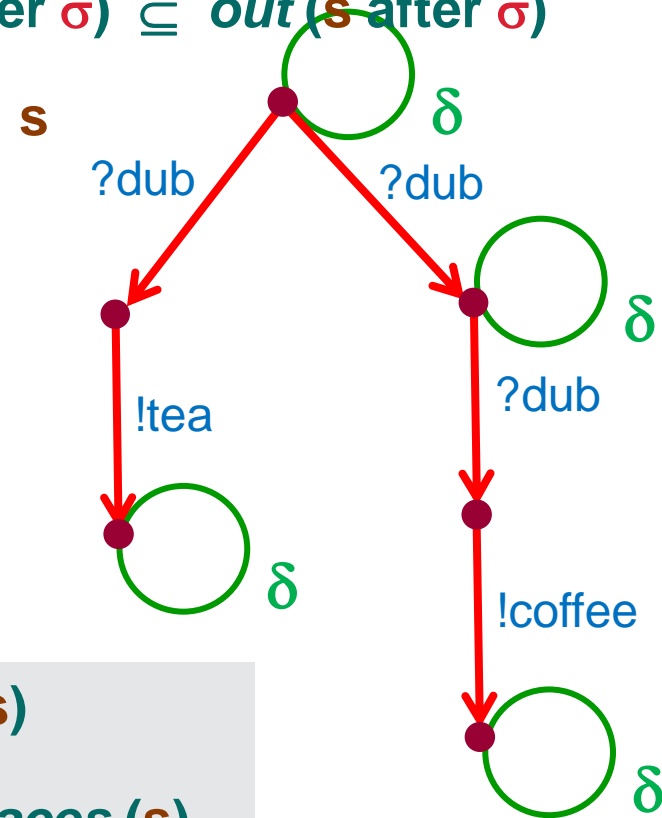
$$\text{out}(i \text{ after } ?dub \ ?dub) = \{ !tea \} \not\subseteq \text{out}(s \text{ after } ?dub \ ?dub) = \{ !coffee \}$$

# Implementation Relation $uioco$

$i \text{ } uioco \text{ } s \stackrel{\text{def}}{=} \forall \sigma \in Utraces(s) : out(i \text{ after } \sigma) \subseteq out(s \text{ after } \sigma)$



~~$uioco$~~



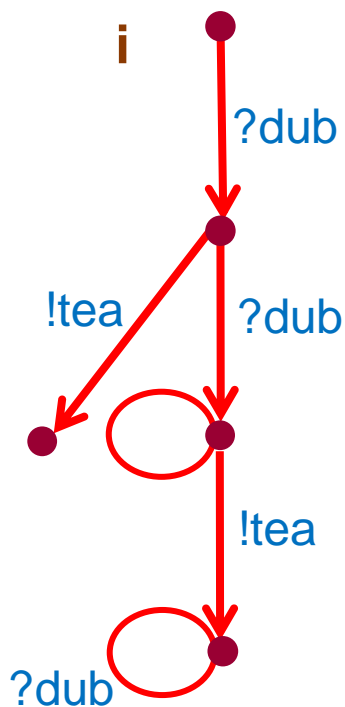
$?dub \text{ } ?dub \notin Utraces(s)$

but  $?dub \delta ?dub \in Utraces(s)$

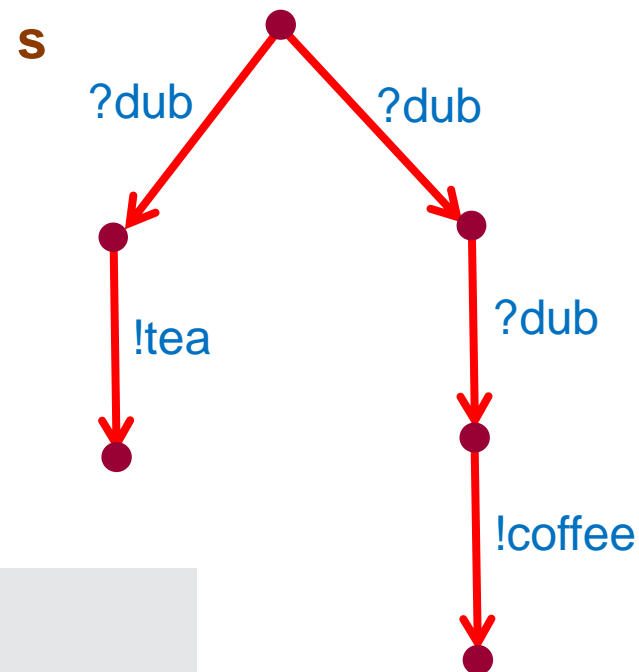
$out(i \text{ after } ?dub \delta ?dub) = \{ !tea \} \not\subseteq out(s \text{ after } ?dub \delta ?dub) = \{ !coffee \}$

# Implementation Relation $uioco$

$$i \text{ } uioco \text{ } s \quad =_{\text{def}} \quad \forall \sigma \in Utraces(s) : out(i \text{ after } \sigma) \subseteq out(s \text{ after } \sigma)$$



$uioco$



$?dub \ ?dub \notin Utraces(s)$

but  $?dub \ \delta \ ?dub \in Utraces(s)$

$$out(i \text{ after } ?dub \ \delta \ ?dub) = \emptyset \quad \subseteq \quad out(s \text{ after } ?dub \ \delta \ ?dub) = \{!coffee\}$$

# Input/Output Conformance : *uioco*

$$i \text{ uioco } s \quad =_{\text{def}} \quad \forall \sigma \in \text{Utraces}(s) : \text{out}(i \text{ after } \sigma) \subseteq \text{out}(s \text{ after } \sigma)$$

$s$  is a Labelled Transition System

$i$  is (assumed to be) an input-enabled LTS

$$p \xrightarrow{\delta} p \iff \forall !x \in L_U \cup \{\tau\} . p \not\xrightarrow{!x} \iff p \text{ refuses } L_U$$

$$\text{Straces}(s) = \{ \sigma \in (L \cup \{\delta\})^* \mid s \xRightarrow{\sigma} \}$$

$$\text{Utraces}(s) = \{ \sigma \in \text{Straces}(s) \mid$$

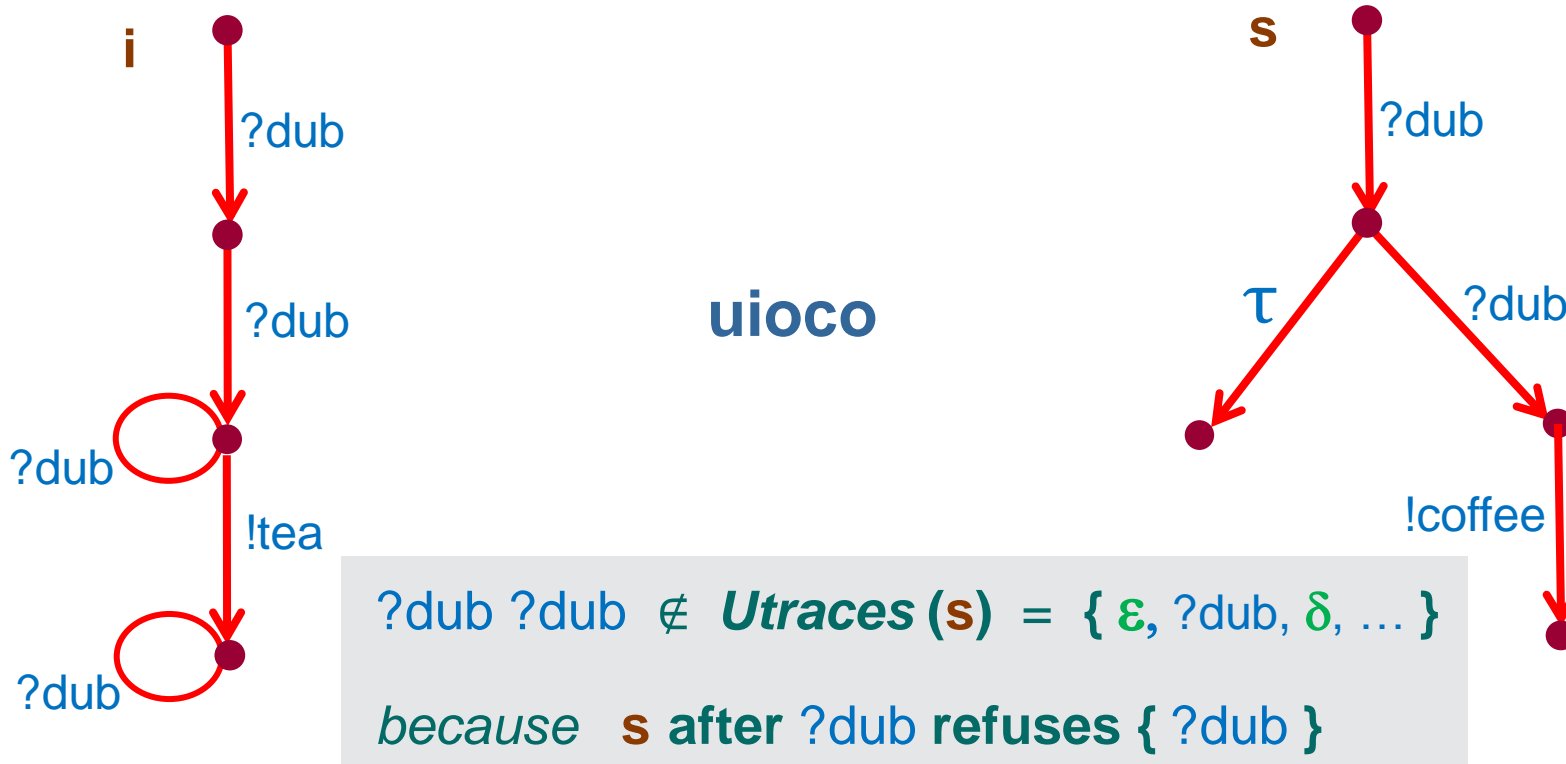
$$\forall \sigma_1 ?b \sigma_2 = \sigma : \text{not}(s \text{ after } \sigma_1 \text{ refuses } \{?b\}) \}$$

$$\text{out}(\mathbf{P}) = \{ !x \in L_U \mid \exists p \in \mathbf{P} : p \xrightarrow{!x} \} \cup \{ \delta \mid \exists p \in \mathbf{P} : p \xrightarrow{\delta} p \}$$



# Implementation Relation $uioco$

$$i \text{ } uioco \text{ } s \quad =_{\text{def}} \quad \forall \sigma \in Utraces(s) : out(i \text{ after } \sigma) \subseteq out(s \text{ after } \sigma)$$



$$out(i \text{ after } ?dub \ ?dub) = \{ !tea \} \not\subseteq out(s \text{ after } ?dub \ ?dub) = \{ !coffee \}$$

# Alternative Implementation Relation ioco

$$i \text{ ioco } s \stackrel{\text{def}}{=} \forall \sigma \in \text{Straces}(s) : \text{out}(i \text{ after } \sigma) \subseteq \text{out}(s \text{ after } \sigma)$$



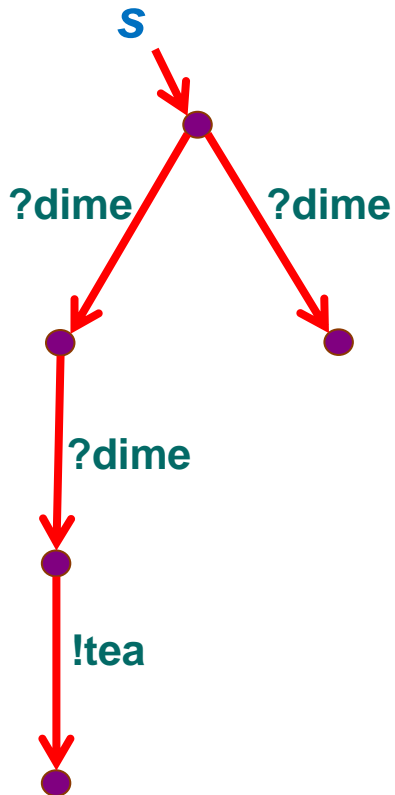
$$\text{Straces}(s) = \{ \sigma \in (L \cup \{\delta\})^* \mid s \xrightarrow{\sigma} \}$$

$$\text{out}(i \text{ after } ?\text{dub } ?\text{dub}) = \{ !\text{tea} \} \not\subseteq \text{out}(s \text{ after } ?\text{dub } ?\text{dub}) = \{ !\text{coffee} \}$$

# Input/Output Conformance : $(u)ioco$

$$i \text{ uioco } s \quad =_{\text{def}} \quad \forall \sigma \in \text{Utraces}(s) : \text{out}(i \text{ after } \sigma) \subseteq \text{out}(s \text{ after } \sigma)$$

$$i \text{ ioco } s \quad =_{\text{def}} \quad \forall \sigma \in \text{Straces}(s) : \text{out}(i \text{ after } \sigma) \subseteq \text{out}(s \text{ after } \sigma)$$



$$\text{Straces}(s) = \{ \sigma \in (L \cup \{\delta\})^* \mid s \xRightarrow{\sigma} \}$$

$$\text{Utraces}(s) = \{ \sigma \in \text{Straces}(s) \mid$$

$$\forall \sigma_1 ?b \sigma_2 = \sigma : \text{not}(s \text{ after } \sigma_1 \text{ refuses } \{?b\}) \}$$

$$?dime ?dime \in \text{Straces}(s)$$

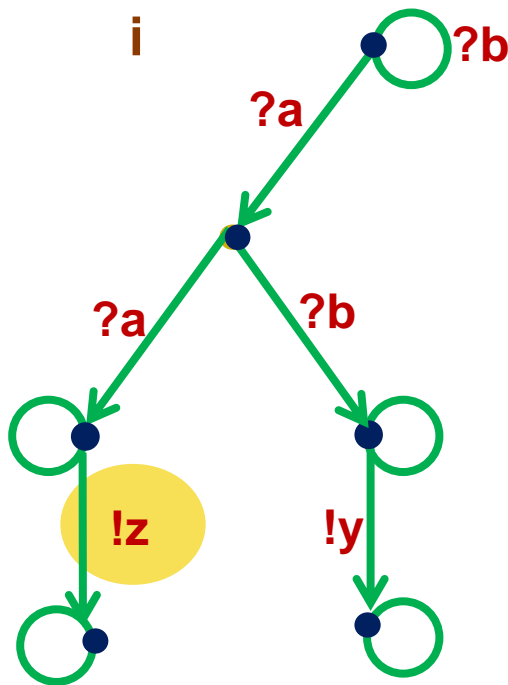
$$?dime ?dime \notin \text{Utraces}(s)$$

$$ioco \subset uioco$$

# Input/Output Conformance : $(u)ioco$

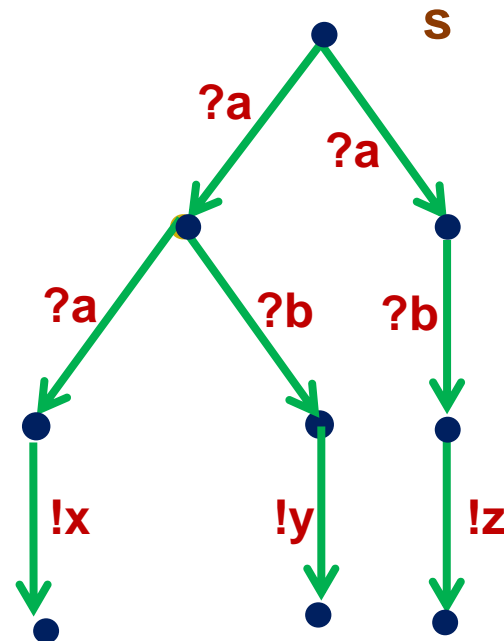
$i \text{ uioco } s \quad =_{\text{def}} \quad \forall \sigma \in \text{Utraces}(s) : \text{out}(i \text{ after } \sigma) \subseteq \text{out}(s \text{ after } \sigma)$

$i \text{ ioco } s \quad =_{\text{def}} \quad \forall \sigma \in \text{Straces}(s) : \text{out}(i \text{ after } \sigma) \subseteq \text{out}(s \text{ after } \sigma)$



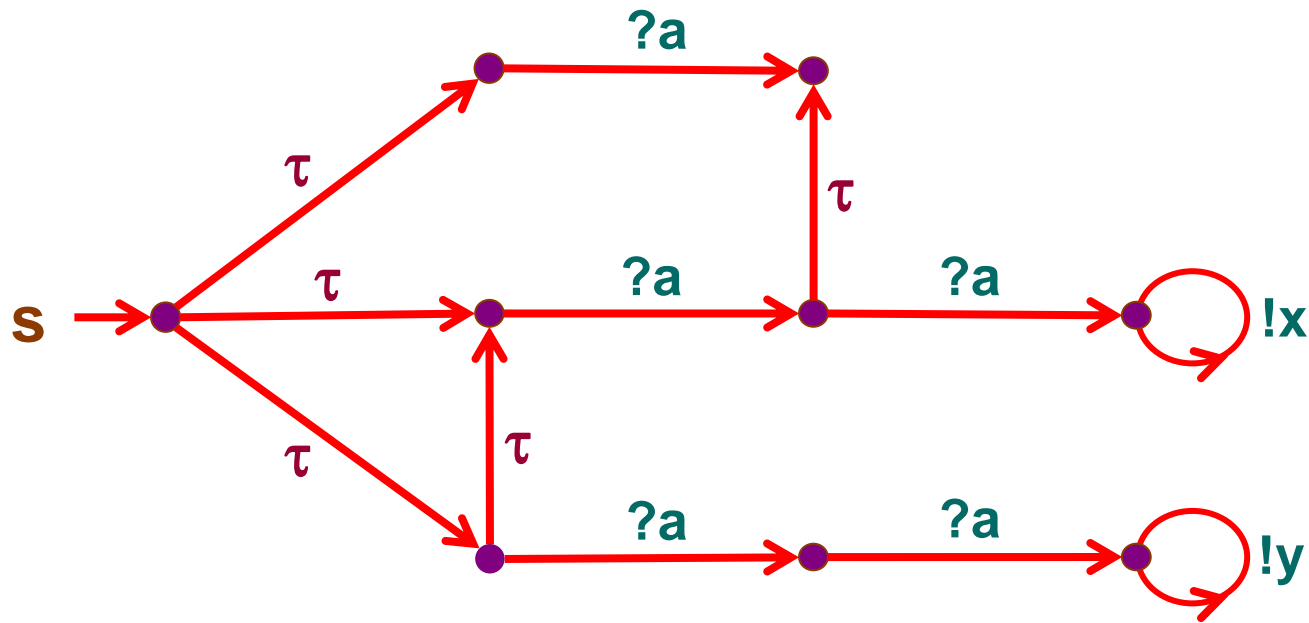
~~$i \text{ ioco } s$~~

$i \text{ uioco } s$



Difference **ioco**-**uioco** is in *non-deterministic under-specification*

# A non-*ioco*-implementable specification

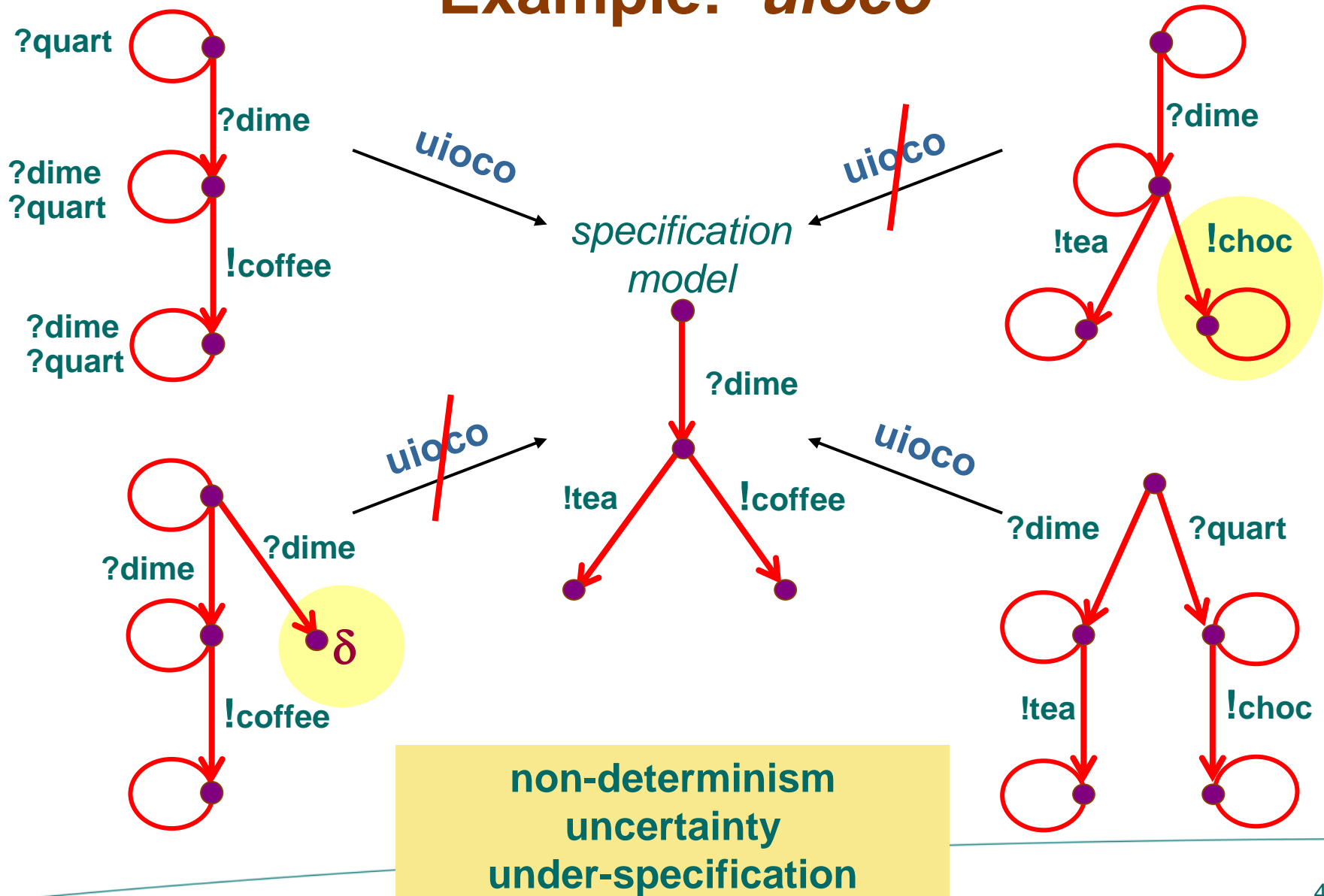


There is **no** implementation  $i$ ; what would be **out** ( $i$  after  $\delta ?a \delta ?a$ ) ?

let  $out(i \text{ after } \delta ?a \delta ?a) = X$  then

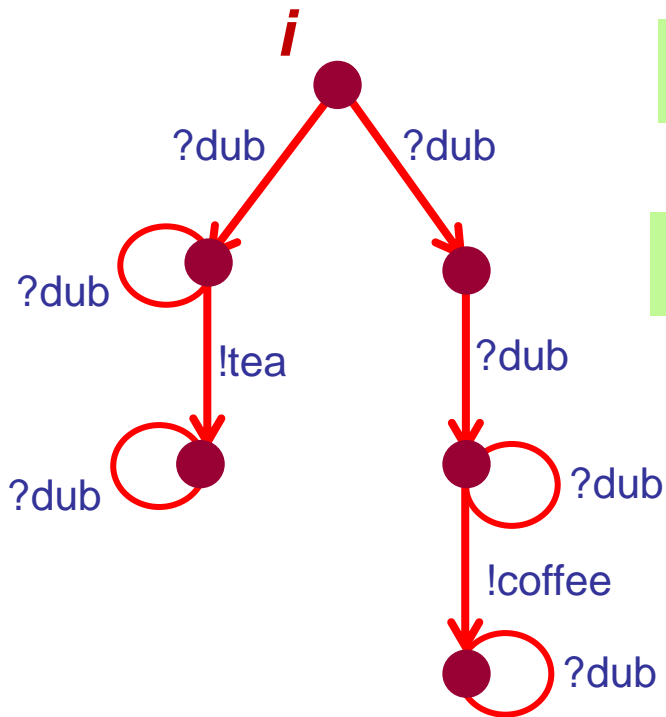
- $X \neq \emptyset$
- $X \subseteq out(i \text{ after } \delta ?a ?a) \subseteq out(s \text{ after } \delta ?a ?a) = \{ !x \}$
- $X \subseteq out(i \text{ after } ?a \delta ?a) \subseteq out(s \text{ after } ?a \delta ?a) = \{ !y \}$

# Example: *uioco*



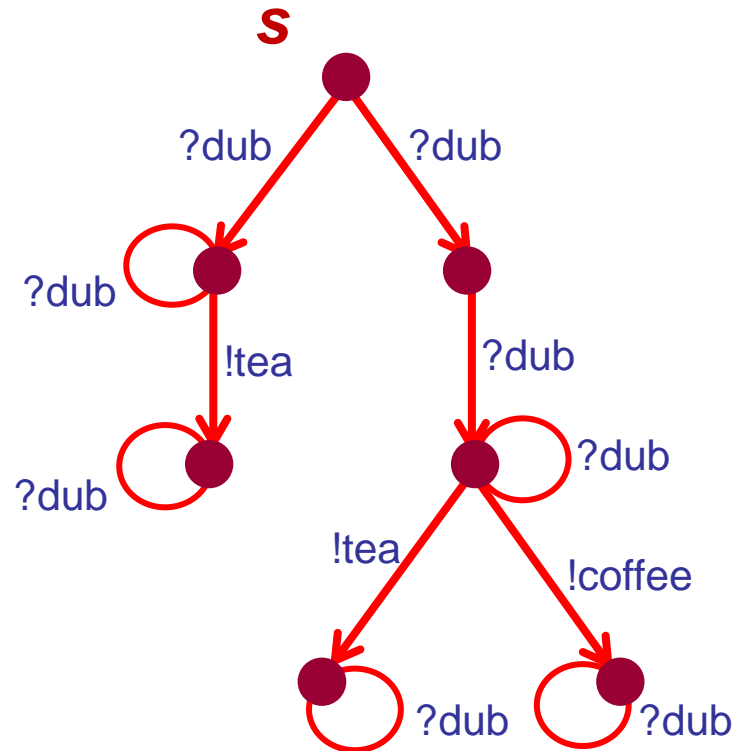
# Example: *ioco*

$i \text{ u/ioco } s \stackrel{\text{def}}{=} \forall \sigma \in U/\text{Straces}(s) : \text{out}(i \text{ after } \sigma) \subseteq \text{out}(s \text{ after } \sigma)$



$i \text{ (u)ioco } s$

$s \text{ (u)ioco } i$

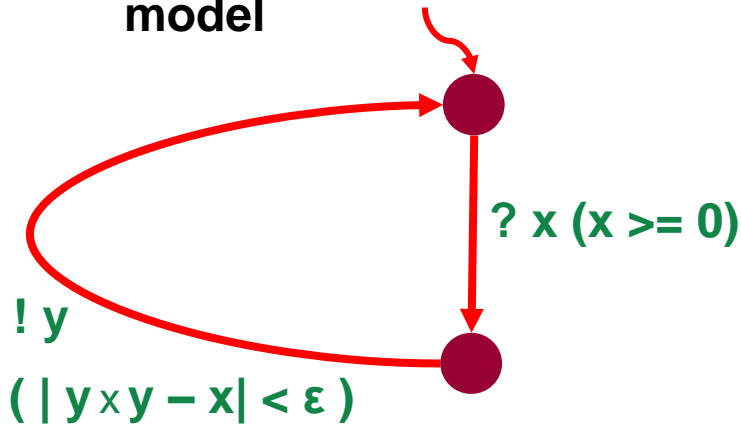


$\text{out}(i \text{ after } ?\text{dub}.?\text{dub}) = \text{out}(s \text{ after } ?\text{dub}.?\text{dub}) = \{ !\text{tea}, !\text{coffee} \}$

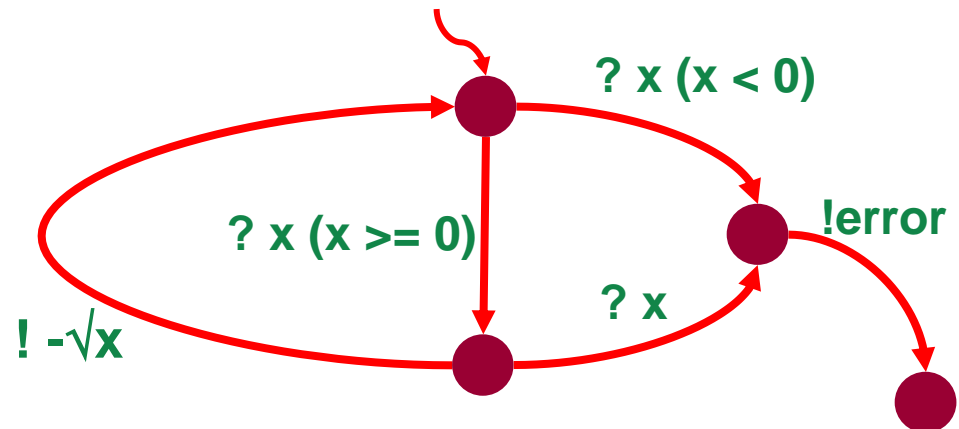
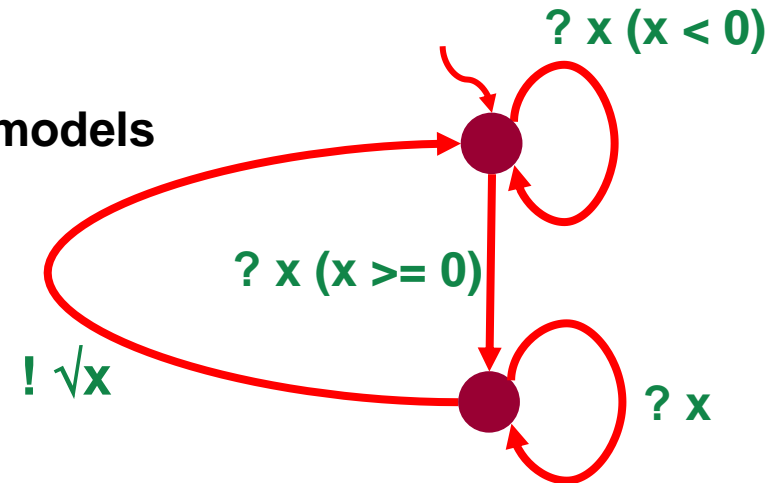
$\text{out}(i \text{ after } ?\text{dub}.\delta.?\text{dub}) = \{ !\text{coffee} \} \neq \text{out}(s \text{ after } ?\text{dub}.\delta.?\text{dub}) = \{ !\text{tea}, !\text{coffee} \}$

# MBT : Nondeterminism, Underspecification

specification  
model



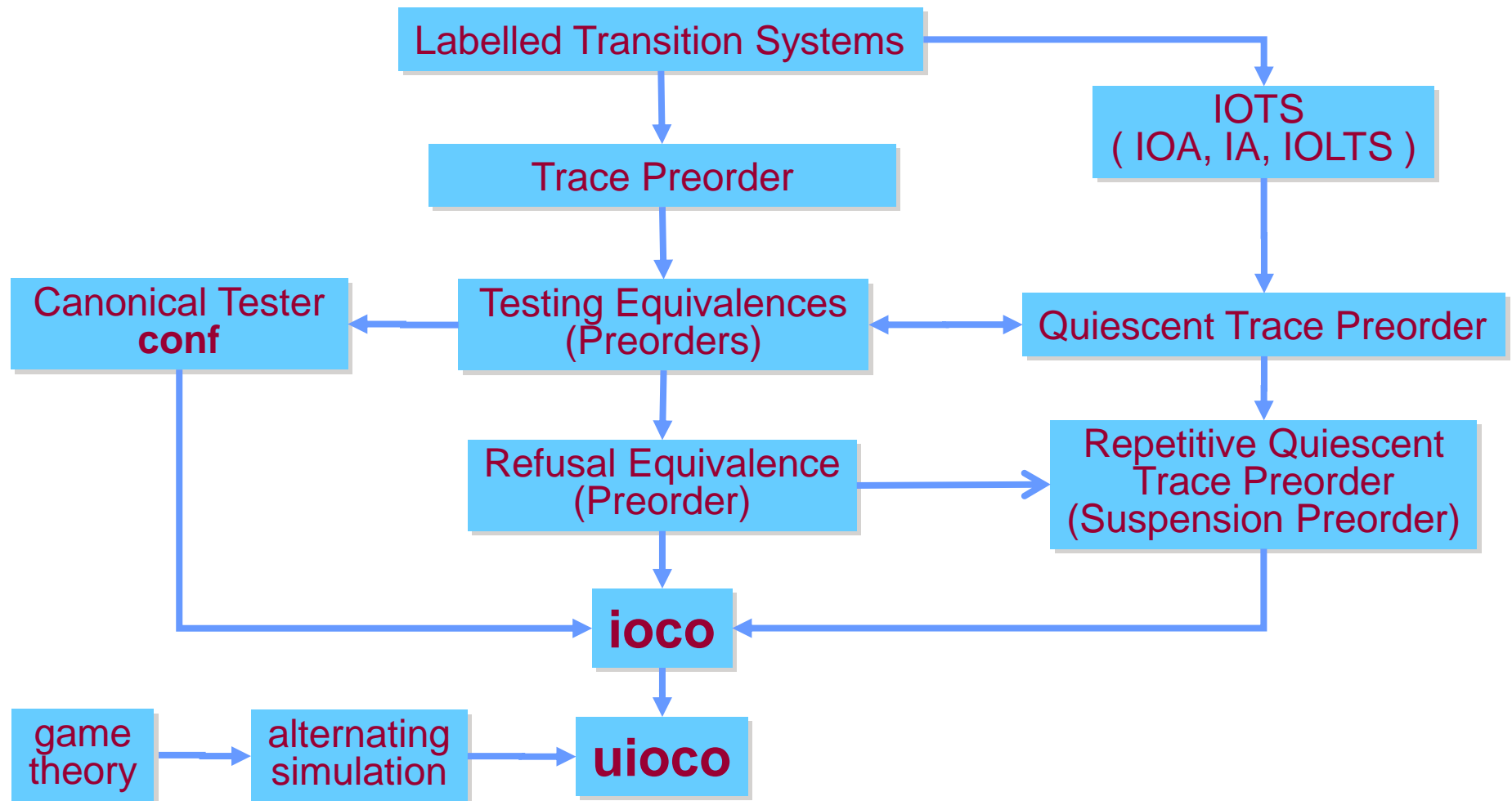
SUT models



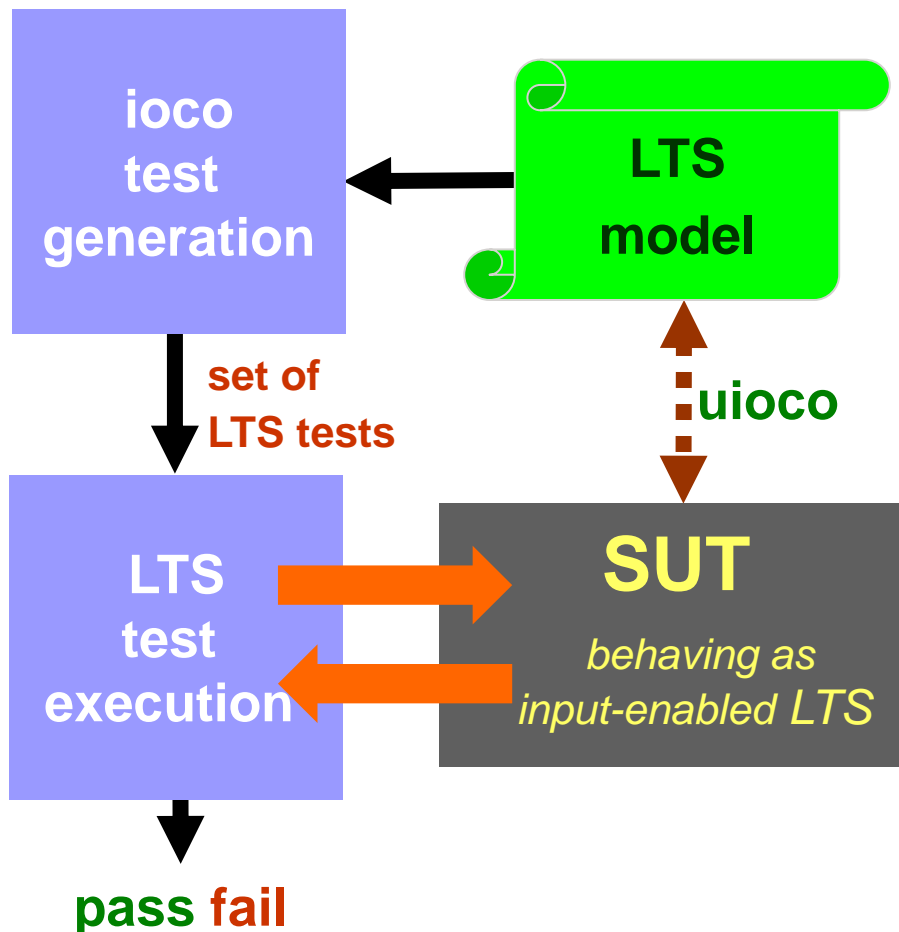
- non-determinism
- under-specification
- specification of properties rather than construction



# Genealogy of u/ioco



# MBT : Labelled Transitions Systems



## MBT with LTS topics:

- 👉 specification model
- 👉 implementation (SUT)
- 👉 implementation model
- 👉 conformance **uioco**
- 👉 test cases
- 👉 test generation
- 👉 test execution
- 👉 test result analysis
- 👉 sound & exhaustive