

CE4003 Computer Vision

Text Image Segmentation for Optimal Optical Character Recognition

Report by:

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1 Introduction

1.1 Objective

In this project, we conduct Optical Character Recognition (OCR) to detect and recognise texts in images through Computer Vision (CV). This involves text image binarization, connected component labelling and character recognition using classifiers.

We first implement the Otsu global thresholding algorithm for text image binarization, where we convert colour and grayscale images into binary images with multiple foreground regions. We then feed the binarized images to the OCR software to analyse the OCR accuracy, with the aim of evaluating the Otsu global thresholding algorithm.

For the second task of the project, we formulate algorithms and explore if they can address the limitations of the Otsu global thresholding algorithm.

Lastly, we also implemented the optional task, whereby we experiment on enhancing recognition algorithms for more robust and accurate character recognition.

Refer to *CE4003_Project.ipynb* for the main code implementation, and *otsu.py* and *wer.py* for the supporting user-defined functions. Outputs are stored in the folder *output*.

1.2 Tesseract

The OCR algorithm that will be used in this project is an open-source OCR software, Tesseract [1]. It is one of the most popular OCR engines with support for more than 100 languages.

1.3 OCR Accuracy Metric

Two common metrics used to evaluate OCR outputs are Character Error Rate (CER) and Word Error Rate (WER). We utilise error rates to measure the extent to which the OCR output text and the ground truth text differ from each other. We consider 3 different types of errors:

- 1. Substitution error: Misspelled characters/words
- 2. Deletion error: Lost or missing characters/words
- 3. Insertion error: Incorrect inclusion of characters/words

CER and WER makes use of Levenshtein distance to measure the extent of errors. It is a distance metric that computes the difference between two string sequences, and the minimum number of single character/word corrections required due to any of the three errors mentioned above. As the OCR output text varies more from the ground truth text, the number of corrections required increases and the Levenshtein distance becomes larger.

In this project, we will be using WER as our OCR accuracy metric as it is more suitable for our text images that are in paragraphs. WER is calculated by the formula $WER = \frac{S+D+I}{N}$, where S, D, I, and N corresponds to the number of substitutions, deletions, insertions, and the number of words in the ground truth text.

We define a Python function wer(test, answer) in wer.py to calculate the WER based on the formula above. Since we are using uint8, the WER calculation will only work for iterables up to 254 elements. test is the OCR output text string that is to be tested, while answer is the ground truth text string.

2 Basic analysis of images

2.1 sample01.png

Firstly, we read and show the image sample01.png.

Parking: You may park anywhere on the campus where there are no signs prohibiting parking. Keep in mind the carpool hours and park accordingly so you do not get blocked in the afternoon

Under School Age Children: While we love the younger children, it can be disruptive and inappropriate to have them on campus during school hours. There may be special times that they may be invited or can accompany a parent volunteer, but otherwise we ask that you adhere to our policy for the benefit of the students and staff.

Figure 1: sample01.png

We then obtain the OCR output text (Figure 2) by using pytesseract.image_to_string().

Parking: You may park anywhere on the cé king. Keep in mind the carpool hours and park afternoon

Under School Age Children:While we love inappropriate to have them on campus @ J that they may be invited or can accompany: you adhere to our _ policy for the benefit of

Figure 2: OCR output text of sample01.png

We also evaluate the OCR accuracy using WER. The ground truth text is manually typed out for comparison purposes and is stored in *answer01.txt* and *answer02.txt* respectively for *sample01.png* and *sample02.png*. We obtain an OCR WER accuracy of 0.484.

This is approximately half, and we can also observe this from the OCR output text in Figure 2 where only the left portion of the image is detected. This is likely to be because the right portion of the image is too dark to be detected without going through any processing.

We plot the histogram of the original sample01.png (Figure 3) for analysis purposes.

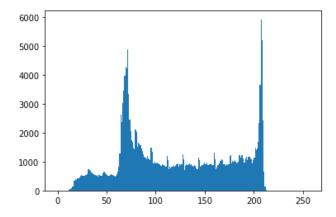


Figure 3: sample1_hist.png

The peaks at the two ends of the histogram support the point that there is a significant amount of difference in colour within the same image.

2.2 sample02.png

We repeat the same steps, from basic analysis to our self-designed algorithms, for *sample02.png*. We will only be showcasing the experiment results for *sample02.png*, and not provide thorough explanations for our steps since they are the same as *sample01.png*'s.

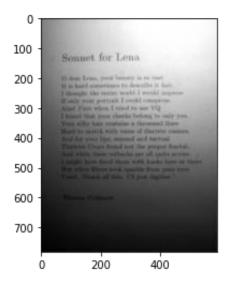


Figure 4: sample02.png

Sonnet for Lena

Figure 5: OCR output text for sample02.png

We obtain an OCR WER accuracy of 0.0259. This is much lower than the accuracy obtained for *sample01.png*, because *sample02.png* has even more drastic changes in colours, and some of the words at the bottom of the page are tedious to read even with our human eye.

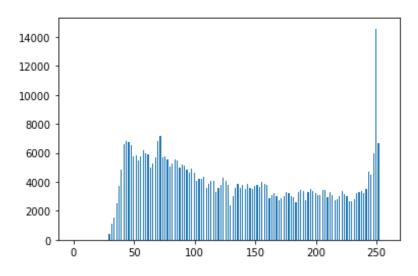


Figure 6: sample2_hist.png

The point mentioned above is supported by the histogram. The histogram for *sample02.png* occupies a wider range of bins as compared to *sample01.png*, and even has a distinct peak of up to 14,000, whereas the histogram for *sample01.png* only has values of up to 6,000.

3 Otsu global thresholding algorithm

In Otsu thresholding, the threshold value is automatically determined from the image histogram, instead of us having to decide on the threshold value. We define a Python file *otsu.py* that implements 3 different Otsu thresholding algorithms, starting off with the standard Otsu global thresholding algorithm *global_threshold(image)*.

It performs the following steps:

- 1. Generates the image histogram
- 2. Iterates over all bins of the histogram to obtain the respective probabilities
- 3. Calculates mean and variance of the classes and the corresponding interclass variance
- 4. Finds and returns the best threshold by comparing the interclass variances

After performing *global_threshold(image)*, we binarize the image and convert it to np.uint8 for ease of use with Tesseract.

3.1 sample01.png

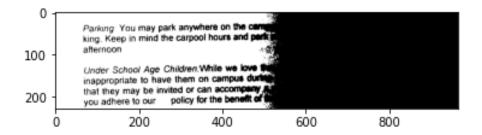


Figure 7: sample1_binarized.png

Parking You may park anywhere on the ct king. Keep in mind the carpool hours and peri afternoon

Under School Age Children:While we love inappropriate to have them on campus @ . that they may be invited or can accompany J you adhere to our _policy for the benefit of

Figure 8: OCR output text of sample1 binarized.png

After implementing the Otsu global thresholding algorithm, we obtain an OCR WER accuracy of 0.473, which is similar to the accuracy obtained without the global thresholding. With reference to Figure 4 and 5, we can observe that the difference in colour between the left and right portion of the original *sample01.png* is too drastic. This led to our results from the global thresholding being similar to, or even slightly lower than, the one without thresholding. This is because likewise, only the left portion of the image can be detected.

3.2 sample02.png

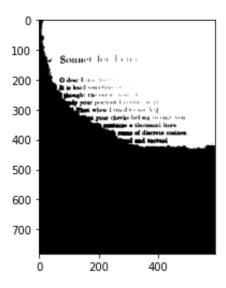


Figure 9: sample2_binarized.png

Sonnet for lens

Figure 10: OCR output text for sample2_binarized.png

We obtain an OCR WER accuracy of 0.0172. Like *sample01.png*, we obtain an accuracy that is slightly lower than the one without thresholding, due to the extreme difference in colour. The difference in accuracy for *sample02.png* and *sample2_binarized.png* is even wider as the colour differs more in *sample02.png*, as observed in our basic analysis.

From this experiment, we can deduce that the Otsu global thresholding algorithm is limited when the text images have drastically varying colours and applying the same threshold to the whole text image would not produce effective results.

4 Self-designed algorithms

After identifying the limitations of the Otsu global thresholding algorithm, we design more algorithms with the aim of addressing these limitations. We perform adaptive thresholding by implementing 2 additional variations of the Otsu global thresholding algorithm – segment thresholding and sliding window thresholding.

4.1 Segment thresholding

Segment thresholding is implemented by *segment_threshold(image, vert_seg, hori_seg)* in *otsu.*py, where *vert_*seg represents the number of vertical segments and *hori_*seg represents the number of horizontal segments. It performs the following steps:

- 1. Calculates segment sizes based on vert_seg and hori_seg
- 2. Runs for loops to iterate through all segments individually
- 3. Applies the Otsu global thresholding algorithm (global_threshold(image)) to individual segments of the image

4.1.1 sample01.png

From our human eye observation of the original image *sample01.png*, we make a guess to implement 2 vertical segments and 3 horizontal segments.

Likewise, after we perform thresholding, we binarize the image and convert it for ease of use with Tesseract.

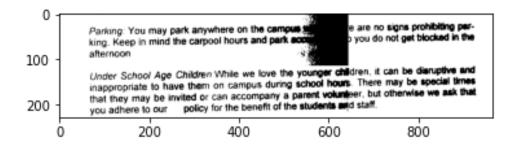


Figure 11: sample1_segment1.png

We observe that there is a black vertical line in the middle of the binarized image, which hints that we might need more horizontal segments. We then adjust the number of segments to be 2 vertical segments and 8 horizontal segments to see if it yields better results.

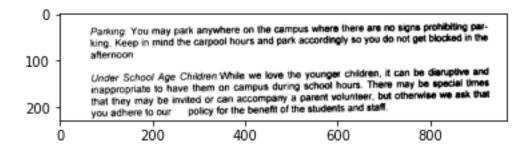


Figure 12: sample1_segment2.png

Parking: You may park anywhere on the campus where there are no signs prohibiting par-

king. Keep in mind the carpool hours and park accordingly so you do not get blocked in the afternoon

Under School Age Children:While we love the younger children, it can be disruptive and

inappropriate to have them on campus during school hours. There may be special times

that they may be invited or can accompany a parent volunteer, bu t otherwise we ask that

you adhere to our - policy for the benefit of the students and staff.

Figure 13: OCR output text of sample1_segment2.png

We obtain an OCR WER accuracy of 0.978 but based on our manual comparison with the original image, the actual WER accuracy is 1.0, except for the '—_policy' portion, which may be caused by the borders of the image or other unknown external reasons. That '—_policy' portion might have caused the OCR WER accuracy to not be 1.0 and 0.989 instead.

We also investigate if splitting the image into more segments will lead to better accuracies by running the threshold with 2 vertical segments and 12 horizontal segments instead.

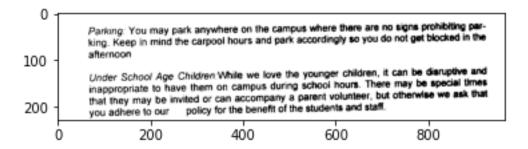


Figure 14: sample1_segment2.png

Parking: You may park anywhere on the campus where there are no signs prohibiting par-

king. Keep in mind the carpool hours and park accordingly so you do not get blocked in the afternoon

Under School Age Children:While we love the younger children, it can be disruptive and

inappropriate to have them on campus during school hours. There may be special times

that they may be invited or can accompany a parent volunteer, bu t otherwise we ask that

you adhere to our $-_$ policy for the benefit of the students and staff.

Figure 15: OCR output text of sample1_segment2.png

The OCR WER accuracy obtained is the same – 0.978. This suggests that there will be no more increase in accuracy even if we continue to adjust the number of segments. Hence, we conclude the optimal number to be 2 vertical segments and 8 horizontal segments for *sample01.png*.

4.1.2 sample02.png

From surface observation of the original image *sample02.png*, we make a guess to implement 10 vertical segments and 8 horizontal segments.

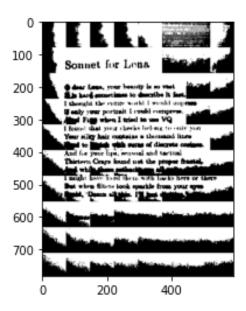


Figure 16: sample2_segment1.png

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Figure 17: OCR output text of sample2_segment1.png

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We obtain an OCR WER accuracy of 0.233. We observe that there are black vertical and horizontal lines in the binarized image, which hints that we might need more segments. Hence, we adjust the number of segments to see if it yields better results.

We now run segment thresholding with 40 vertical segments and 30 horizontal segments.

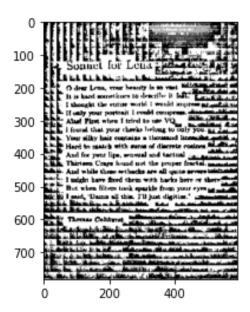


Figure 18: sample2_segment2.png

TLE 2: Sonne fur Leua ae

- " dear Lena, your beauty Inn vent Ml
- * Tt ia hard soinetimes to clescribe it fat
- Pebought Uke entire world 1 would impromeh abate

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1 found that your cheeks Lelong to only 'you. te
Your silky bait copteina @ thotussud
Hard to match with wurde of discrete coaines.
And for yur lips, seoal and tectual
f Thirteen Crays found not the proper ape
- And while thre acthacks are all vyclle pevers uate
eek Cf might have fived them with hacks bere or there ier
3. But when Filter took sparkle from your ee
i saicl, 'Damo all thie. I'l) give." nites aia

Figure 19: OCR output text of sample2_segment2.png

We obtain an OCR WER accuracy of 0.379.

We also investigate if splitting the image into more segments will lead to better accuracies by running the threshold with 45 vertical segments and 35 horizontal segments instead.

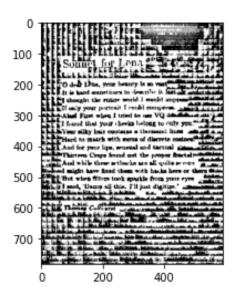


Figure 20: sample2_segment3.png

- rs] was thee penn or bent Into
- J ia ard ainelinuca to cdeacribe it 7
- 1 thought the entire world | erould impreni aman

HW guly your portrait [rouki compress, PP were

pA bead Firet when J tried ta we ¥Q tld thy

- 'gl found that your cheeks belong to Oty you:
- i 'Your silky hair contaias a truissn lines i

TeeHand to match sins ee vAnd for your lips, seomal and tectual

SThirters Crays found not the preper rectal meiiadiilideiehs And. while three eethacke ert all quite eecveny eee

d might have fixed them with backs here or therg Mitzi

Figure 21: OCR output text of sample2_segment3.png

We obtain an OCR WER accuracy of 0.25 A further increase in number of segments does not increase the accuracy. Therefore, we deem 40 vertical segments and 30 horizontal segments as optimal for *sample02.png*.

4.2 Sliding window thresholding

Sliding window thresholding is implemented by *sliding_window_threshold(image, wind_height, wind_width, vert_step, hori_step)* in *otsu.*py, where *wind_height* and wind_*width* represent the height and width of the sliding window, and *vert_step* and *hori_step* represents the number of vertical and horizontal steps to take. It performs the following steps:

- 1. Runs for loops to iterate through all sliding windows individually
- 2. Applies the Otsu global thresholding algorithm (global_threshold(image)) to individual windows of the image
- 3. Keeps count of the number of times the Otsu global thresholding algorithm is applied on each portion of the image
- 4. Generates and returns the threshold by getting average

There is a need to keep count of the number of times the algorithm is repeated on various portions as the sliding windows overlap, and hence we utilise the count to generate the average at the end.

4.2.1 sample01.png

We use the information from segment thresholding to determine the optimal height and width of our sliding window. Based on our calculations, the optimal window height and width is 115 and 121 respectively. We start off with 8 as the number of vertical and horizontal steps.

We perform sliding window thresholding and once again, binarize and convert the image.

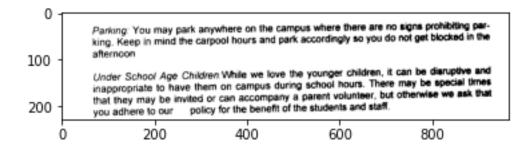


Figure 22: sample1_window1.png

Parking: You may park anywhere on the campus where there are no signs prohibiting par-

king. Keep in mind the carpool hours and park accordingly so you do not get blocked in the afternoon

Under School Age Children:While we love the younger children, it can be disruptive and

inappropriate to have them on campus during school hours. There may be special times

that they may be invited or can accompany a parent volunteer, bu t otherwise we ask that

you adhere to our $-_$ -policy for the benefit of the students and staff.

Figure 23: OCR output text of sample1 window1.png

We obtain an OCR WER accuracy of 0.989.

We further explore on how the number of vertical and horizontal steps will affect the OCR accuracy.

With 24 as the number of vertical and horizontal steps, we obtain the following results:

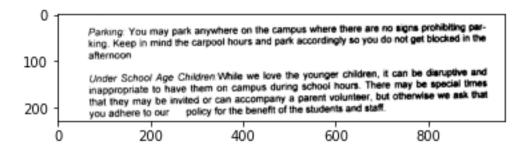


Figure 24: sample1_window2.png

Parking: You may park anywhere on the campus where there are no signs prohibiting par-

king. Keep in mind the carpool hours and park accordingly so you do not get blocked in the afternoon

Under School Age Children: While we love the younger children, it can be disruptive and

inappropriate to have them on campus during school hours. There may be special times

that they may be invited or can accompany a parent volunteer, bu $\ensuremath{\mathsf{t}}$ otherwise we ask that

you adhere to our _policy for the benefit of the students and st aff.

Figure 25: OCR output text of sample1_window2.png

We obtain the same OCR WER accuracy of 0.989. This suggests that further increasing the number of vertical and horizontal steps will not yield better accuracies. Hence, we deem 115, 121, 8, and 8 to be the optimal parameters corresponding to *sample01*.png's *wind_height, wind_width, vert_step*, and *hori_step* respectively.

4.2.2 sample02.png

Previously, we deemed 40 vertical segments and 30 horizontal segments as optimal. Likewise, we use this information from segment thresholding to determine the optimal height and width of our sliding window. Based on our calculations, the optimal window height and width are 20. We start off with 4 as the number of vertical and horizontal steps.

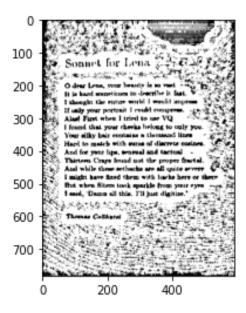


Figure 26: sample2_window1.png

ge SSE
your heanty js oo vert
Ht ia bard animetioes to cleecribe it fast.
Pitbought Ube eritire worl | would impress"
Tf only your portrait | could compress,
| Alaa! Fizet when J tried to use VQ
[found that, your chesis belong te only you.
'Your silky bait contadinn « Unvisnod lines
Hard to match with sutaa of discrete cosines.

And for your lips, sensual and tactual ${\scriptstyle \sim}$ Thirteen Craya found not the proper fractal.

! Ad while then setbacks ane all quite severe Fig t might bave fixed them with hacke bere or there But when Alter: tonk sparkle from your cyra Yasid, 'Damo oll this, I'll Just digitize." 2%

ee

Figure 27: OCR output text of sample2_window1.png

We obtain an OCR WER accuracy of 0.466. We further explore on how the number of vertical and horizontal steps will affect the OCR accuracy.

With 12 as the number of vertical and horizontal steps, we obtain the following results:

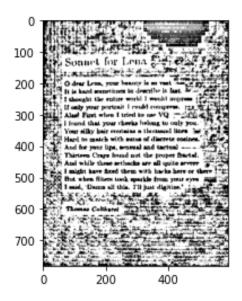


Figure 28: sample2 window2.png

a O dear Lens, your heauty ja eo ae; Se

4 ft is bart conn hines to cleacribe it fast.

a Vr ehonght uhe entire world 1 weuld impr if Lf only your portrait | could cinpres,

4 Alas! First when [tried to use YQ 2:

1 found that, your cheeks belong te only you.

Your silky bait coutaion a Uhoisand lines "4

Thirteen Crays fownd not the proper fractal, S And while thew setbacks are all quite aevere 9° a 1 might bave fixed them with backe here or therr But when Alter took sparkle from your eyes sais) Sasid, 'Dasa all:

Figure 29: OCR output text of sample2_window2.png

We obtain a lower OCR WER accuracy of 0.371.

Since *sample02*.png originally has a much longer height than width, we then experiment if setting the number of vertical and horizontal steps to be 12 and 8 respectively will affect the results. The results are as follows:



Figure 30: sample2_window3.png

fi ia hard sometimes to ceeczibe it fast,
Pebought Ube entire world | would impream |
If only your portrait Traub! compress, ree
Alaa! First when 1 tried to use VQ > 2273
[found that your cheeks belong to only y 'you.
Your silky bait coutadon a those! lines"
© Hard to match with mums of discrete cosines.

And for your lips, sensual and tactuad - --Thirteen Crays fownd not the proper fractal,
And while these setbacks are all quite aercre "9°
'oc. | might bave fixed them with hacks here or there
But when Aitem took mparkle from your even
. . 1 just digi i

Figure 31: OCR output text of sample2_window3.png

This set of parameters produced the highest OCR WER accuracy of 0.491.

As a result, we deem that 20, 20, 12, and 8 to be the optimal parameters for *sample02.png*'s *wind_height*, *wind_width*, *vert_step*, and *hori_step* respectively.

5 Enhancing recognition algorithms

5.1 Objective

Text images are often affected by varying kinds of image degradation. In this section, we will experiment on enhancing recognition algorithms for more resilient and precise character recognition.

Tesseract performs image processing operations internally, using the Leptonica library), before performing the actual OCR [2]. Conventionally, Tesseract does this well but in certain cases a lack of image processing will lead to a notable decrease in accuracy.

Hence, in this section we conduct various image processing techniques with the aim of achieving higher OCR accuracy. Most of the techniques are processed on *sample02.png* as *sample01.png* achieved a high accuracy of 0.989 after going through segment thresholding.

5.2 Noise Removal

Noise is the random variation of brightness or colour in an image that increases the difficulty of reading the image text. Certain kinds of noise are not removable by Tesseract; hence we reduce the noise in the text images before inputting it to the Otsu threshold algorithms.

5.2.1 Median filter

We run a median filter on sample02.pnq by using scipy.ndimage.median filter().

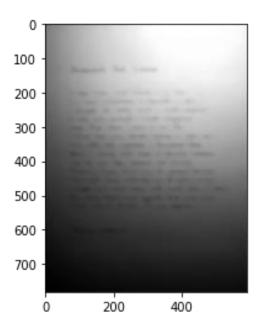


Figure 32: sample2_median.png

We can observe that the details are smoothened out and are not detectable anymore. Hence, we obtain a blank OCR output text and attain an OCR WER accuracy of 0. Median filters are not suitable for such text images as they reduce the visibility of the details, which is what we require to detect texts.

5.2.2 Gaussian filter

We run a Gaussian filter on sample02.png by using scipy.ndimage.gaussian_filter().

We first use a sigma of 0.5.

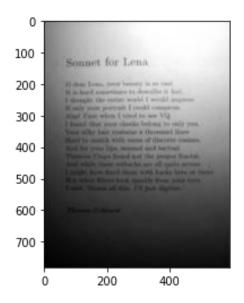


Figure 33: sample2_gaussian.png

Sonnet for Le:

O dear Lena

VQ

% to only you

Figure 34: OCR output text of sample2_gaussian.png

We obtain an OCR WER accuracy of 0.0603 with a sigma of 0.5. We then test out various sigma values to observe how it will affect our images and accuracies.

After testing various sigma values, we eventually decide on a Gaussian filter with a sigma value of 0.05. We utilise sliding window thresholding with parameters 20, 20, 12, and 8 as window height, window width, vertical step, and horizontal step respectively as this set of parameters produced the highest accuracy previously.



Figure 35: sample2_gaussian_window.png

fi ia hard sometimes to ceeczibe it fast,
Pebought Ube entire world | would impream |
If only your portrait Traub! compress, ree
Alaa! First when 1 tried to use VQ > 2273
[found that your cheeks belong to only y 'you.
Your silky bait coutadon a those! lines"
© Hard to match with mums of discrete cosines.

And for your lips, sensual and tactuad - --Thirteen Crays fownd not the proper fractal,
And while these setbacks are all quite aercre "9°
'oc. | might bave fixed them with hacks here or there
But when Aitem took mparkle from your even
. . 1 just digi i

Figure 36: OCR output text of sample2_gaussian_window.png

We obtained an OCR WER accuracy of 0.491, which is the same as previously.

This suggests that median and Gaussian filters are not suitable for such images.

5.3 Dilation and Erosion

Bold or thin characters affect the recognition of details and sometimes lead to a drop in recognition accuracy. In this case, we allow edges of the characters to dilate and grow. We perform dilation using OpenCV. We first define our kernel by calling *np.ones((2,2), np.uint8)*.

We conduct dilation on the original sampleO2.png by using cv2.dilate() with our defined kernel.

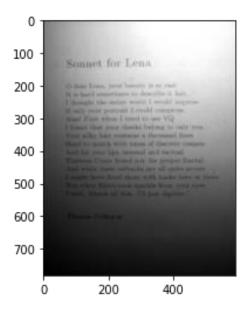


Figure 37: sample2_dilation.png

Sonnet

1 dear Lena

Wi is bard sone 0 the ws fy your portrait [cor when | tried to use

Figure 38: OCR output text of sample2_dilation.png

We obtain an OCR WER accuracy of 0.0862, which is higher than the original OCR WER accuracy of 0.0259 for *sample02.pnq*.

5.4 Rotation

OCR accuracy of text images might also be affected by how skewed the image is. When the text image is too skewed, it significantly decreases the quality of Tesseract's line segmentation. For this portion, we will be performing rotation on sample01.png instead of sample02.png as sample02.png is not skewed whereas we can tell that sample01.png is slightly skewed. We do so by using OpenCV, calling *imutils.rotate()* with an angle of -0.8, which is determined by trial and error.

We then perform segment thresholding on the rotated image with the previously determined optimal parameters of 2 vertical segments and 8 horizontal segments.

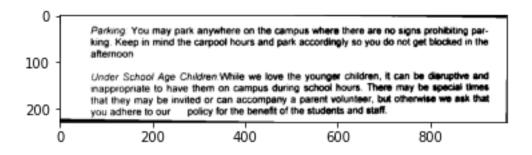


Figure 39: sample1_rotated.png

Parking: You may park anywhere on the campus where there are no signs prohibiting par-

king. Keep in mind the carpool hours and park accordingly so you do not get blocked in the afternoon

Under School Age Children: While we love the younger children, it can be disruptive and

inappropriate to have them on campus during school hours. There may be special times

that they may be invited or can accompany a parent volunteer, bu t otherwise we ask that

you adhere to our _ policy for the benefit of the students and s taff.

Figure 40: OCR output text of sample1_rotated.png

We can observe from Figure 40 that the space between 'our' and 'policy' in the second last line, which was previously identified as a problem, is now resolved in the rotated image. The OCR output text now accurately identifies the space as '_' and achieves an OCR WER accuracy of 1.0.

6 Conclusion

In conclusion, there are various approaches to improve the OCR accuracy. Pre-algorithm approaches include working on image enhancement and processing our images before feeding them into the algorithms. We can also implement enhancements to our algorithms, such as using adaptive thresholding. Different practices render varying outcomes, and it boils down to finding the most suitable approach for our given image.

7 References

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8 Source Code

8.1 otsu.py

```
def segment threshold(image, vert seg, hori seg):
   height, width = image.shape
np.zeros like(image)
hori step):
            window = image[vert offset: wind height + vert offset,
```

8.2 wer.py

```
import numpy
                    dtype=numpy.uint8)
```

8.3 CE4003_Project.ipynb

CE4003_Project.ipynb is unable to be appended, as it is a Jupyter notebook, and hence will be zipped in the file along with the other source codes, text files, and output images.