Computer Systems - CheatSheet

IN BA4 - Katerina Argyraki Notes by Ali EL AZDI

A Computer Systems Cheatsheet has been authorized for the upcoming exam, and I'm sharing a copy of mine for anyone interested. It provides a concise summary of the key concepts and techniques covered in the course. For any updates or suggestions, feel free to reach out to me on Telegram at elazdi_al or via EPFL email at ali.elazdi@epfl.ch.

Program. Passive entity. A sequence of instructions stored in a file, not currently executing.

- Storage: Stored on persistent storage (e.g., disk). Loadable into main memory by the OS.
- Access: Static file. Contains instructions, data, and metadata used during execution.

Process. Active execution of a program. Managed by the OS. Each process has its own isolated Low Address

virtual address space. Storage: Occupies main memory during exemy-prog mem img

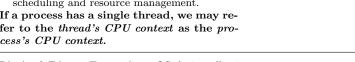
mov rcx,10
push rcx
mov rax,[sum]
add rax,rcx
dec rcx
mov [sum],rax

Data

Heap

- Memory Image: Text (code), data, heap, and one stack per thread.
- Process ID (PID), assigned and tracked by the OS, unique system-wide.
- Status: OS tracks current status (e.g., running, waiting, terminated).
- Virtualization: Each process has the illusion of exclusive access to memory.
- OS-allocated Resources: File descriptors, sockets, I/O handles, etc.
- Pointer to Page Table. The Kernel tracks the process-specific page table pointer.
- Access: Operates in isolated virtual memory. No direct access to other processes. Interacts with hardware via system calls. OS handles scheduling and resource management.

cess's CPU context.



Limited Direct Execution. OS design allowing user programs to execute instructions directly on the CPU, with restrictions.

- Goal: Maximize performance while maintaining control and protection.
- User Code Execution: CPU runs user programs natively (not emulated) in user mode.
- OS Control: OS retains control over hardware via privileged instructions and mode switching

User Mode vs Kernel Mode. Two CPU execution modes stored as a state bit in a protected CPU register (0 = user mode, 1 = kernel mode) controlling access to hardware and instructions.

- User Mode: Restricted. User code cannot execute privileged instructions or directly access hardware/memory management.
- **Kernel Mode:** Mode in which is ran a central part of the operating system, the Kernel.
- Switching: A transition from user mode to kernel mode is triggered by:
 - System Calls (e.g., file I/O, memory allocation)
- Hardware Interrupts (keyboard, timer,...)
- Software Traps (divide-by-zero, invalid memory access),
- If an exception happens in kernel mode, an internal routine is called but no mode switch occurs.

After handling the event, the OS switches the CPU back to user mode to resume application execution.

Privileged Instructions. CPU instructions that can only execute in kernel mode. If attempted in user mode, the CPU raises a trap to the OS. Prevent user programs from interfering with other processes or the OS.

To do something that requires high privilege, a thread makes a syscall, invoking the kernel.

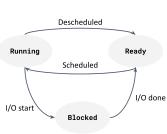
Exception/trap - synchronous signal. The CPU itself raises an excep-

Interrupt - asynchronous signal. Some external entity raises an interrupt. If an external entity needs attention, it raises an **interrupt**, invokes the kernel.

Even if nothing external needs attention, the timer interrupt regularly invokes the kernel to run the OS scheduler.

Thread. The smallest unit of CPU execution within a process. Multiple threads can exist within one process.

- Memory: Shares the parent process's virtual address space:
 - Shared: Code (text), heap, global/static data, open files.
 - Private: Each thread has its own stack (for local variables and function calls).
 - Execution context (per thread), values of all the CPU registers at the last moment the thread was running:
 - Instruction Pointer (IP) / Program Counter (PC): Physical register pointing to the next instruction. Private per thread.
 - Stack Pointer (SP): Points to the top of the thread's private stack.
 - General-purpose Registers: Include temporary registers (e.g., RAX, RBX), status, and flags. All private per thread.
 - Thread ID (TID). The Thread ID is unique within a process, but not necessarily system-wide, assigned and tracked by the OS.
 - Status: OS tracks each thread's status (Running, Ready, Blocked).
 - Virtualization: Each thread has the illusion it exclusively occupies the CPU.
 - Context switching: OS saves/restores full register set (IP, SP, general-purpose The CPU switches from one thread to another. Thread switches are faster than process switches. It saves to memory the CPU context of the current thread; it restores from memory the CPU context of the new thread.
 - Thread management: A main thread may create and manage others. In some models, a dedicated manager thread ex-



Managed by OS: The OS kernel schedules threads individually and tracks

OS Components.

- Kernel (core of the OS): Loaded at boot, runs in kernel mode, and manages hardware, memory, processes, and system calls. It is the only part of the OS that runs in kernel mode.

Three important routines inside of the kernel:

- Interrupt Handler or Interrupt Service Routine (ISR): Handles interrupts from hardware devices.
- Exception / Trap Handler: Handles exceptions and traps from user
- System Call Handler: Handles system calls from user programs.

The three routines use two tables (Trap/Interrupt Table and System Call Table) to resolve the event type to a specific memory address of the handler routine managing the event.

- Loader (part of the OS): Prepares executables to run:
- Loads program code/data into memory.
- Maps required libraries (e.g., libc).
- Sets up the process stack and heap.
- Places command-line arguments and environment variables on the stack.
- Sets the %rsp (stack pointer) and %rdi (argc), %rsi (argv) registers so the program can access arguments.
- Because the kernel manages processes and initiates execution, it is responsible for placing arguments in registers so the loader (running in user mode) can access them.
- Jumps to the program's entry point to begin execution in **user mode**.
- User-level Programs: Applications like shells, editors, browsers, etc. These are part of the OS but run entirely in user mode, relying on system calls to request kernel services.
- System Libraries: Shared libraries (e.g., libc) used by user programs. Loaded and linked by the loader, but executed in user mode. Provide wrappers around system calls.

fork() — Clone the current process

- 1. The operating system creates a new process (child) by duplicating the calling process.
- The child initially shares all memory pages with the parent:
 - Pages are marked read-only and shared (Copy-On-Write).
 - If either process attempts to write to a page, it is copied Replaces the child's memory privately for that process.
- 3. The child also receives:
 - Duplicated file descriptors (pointing to the same open files). •
- Identical program counter and stack pointer. 4. Returns:
- In the parent process: the PID of the child.
- In the child process: 0.
- 5. Both processes resume execution at the instruction following If failed: exec() returns -1, fork().

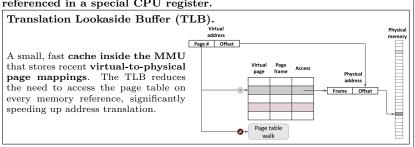
- exec() Mutate the child into exit() a new program
- immediately process after fork().
- space with a new program im-
- Stack, heap, code, and data segments are replaced.
- If successful: the new program starts execution from main().
- child continues old code.

 Terminate a process wait() — Wait for a child process cleanly $to\ terminate$

- cated to the process. Sends termination status to
- the parent.
- If main function of a program returns, exit() is implicitly . If no child processes exist, it called.
- Typically called by the child Frees system resources allo- Blocks the calling process until one of its child processes termi-
 - Returns the PID of the terminated child.
 - waits indefinitely.

Memory Management Unit (MMU) - Address Translation.

Hardware component managed by the operating system that translates virtual addresses into physical addresses using per-process page tables. Each process has its own page table, and the currently active one is referenced in a special CPU register.



Virtual Address Translation:

- The CPU executes an instruction (e.g., load, store, or fetch) that references a memory location using a virtual address.
- The MMU extracts the virtual page number (VPN) and page offset from the virtual address.
- The MMU first checks the TLB for a cached translation of the VPN.
- 4. If the translation is found in the TLB (TLB hit):
 - (a) The corresponding **physical frame number** is retrieved directly.
- 5. If the translation is not found (TLB miss → page table walk):
 - (a) The MMU uses the active page table to look up the VPN and obtain the PFN.
 - (b) The new mapping is inserted into the TLB for future accesses.
- 6. If the page table entry is invalid or present = 0 (page fault):
 - (a) The CPU triggers a **trap into the OS kernel**.
 - (b) The page fault handler in the OS's memory management subsystem is invoked.
 - (c) The handler checks whether the page is:
 - i. Never allocated before \rightarrow allocate a new physical page and zero-initialize it.
 - ii. Swapped out to disk \rightarrow
 - A. Check the **swap cache** for the page.
 - B. If found in the swap cache, use the cached page directly.
 - C. If not in the cache, read the page from the swap space on disk into a free physical frame, and insert it into the swap cache.
 - (d) The newly loaded or allocated page is mapped in the page table, and present is set to 1.
 - (e) The TLB entry for the VPN is updated if needed.
 - (f) The faulting instruction is retried.
 - The final physical address is then used to access RAM.

Swapping

Transfer of memory pages between physical RAM and disk-based swap space to free up RAM.

- Swap Space Disk region reserved for evicted pages; holds non-resident memory to extend usable RAM.
- Swap Cache In-memory buffer of recently swapped-out pages; enables fast lookup and avoids redundant disk $\rm I/O$ during swap-in.

-Swap-Outs:

- The OS detects that the number of free physical pages has fallen below a predefined threshold.
- 2. A background kernel thread is triggered to reclaim memory.
- The kernel scans memory to identify candidate pages for eviction, typically using an aging or Least Recently Used algorithm.
- 4. A candidate page is selected if:
 - (a) It is not currently in use (i.e., not recently accessed),
 - (b) It is not locked, pinned, or shared with kernel-critical structures.
- If the selected page is dirty (i.e., has been modified), its contents are written to the swap space on disk.
- The page is inserted into the swap cache so it can be quickly retrieved if needed again.
- The page table entry is updated: present = 0, and the swap location is recorded.
- 8. The physical frame is freed and returned to the pool of available memory.

CPU Cache.

The **CPU** cache is a small, fast memory located on or near the processor that stores copies of frequently accessed data from main memory (RAM). Its purpose is to reduce memory access latency and improve overall performance by exploiting temporal and spatial locality.

Cache Levels:

- L1 Cache (Level 1): The smallest and fastest cache, located right on the CPU core. 64 KB, but takes < 1nsec to access.
- L2 Cache (Level 2): Larger and slightly slower than L1, still located on the CPU core.256-512 KB, takes < 4nsec to access.
- L3 Cache (Level 3): Shared among multiple cores, larger but slower than L1 and L2. Located on the CPU die. 6-32 MB, takes 10s of nsec to access.

Hierarchy Behavior:

Caches form a **hierarchy**: the CPU checks L1 first, then L2, then L3, and finally main memory if needed. This optimizes for latency and hit rate.

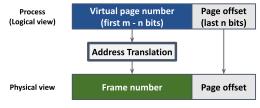
Paging.

Paging divides virtual memory into fixed-size **pages**, mapped to physical **frames** via a **page table**. It avoids **ext. fragmentation**, but may cause **internal fragmentation** if a page is only partially used.

Address Representation.

Virtual Address Size is not always equal to Physical Address Size! Let m be the number of bits in the virtual address (i.e., $m = \log_2(\text{virtual address space size})$).

This also corresponds to the number of bits required to uniquely address every byte in the virtual memory. If the virtual memory consists of 2^k pages and each page is 2^n bytes, then the total virtual address space is $2^k \times 2^n = 2^m$ bytes, and thus m = k + n.



A virtual address is split into:

- Offset (n bits): identifies a byte within a page of size 2^n bytes, where $n = \log_2(\text{page size})$.
- Virtual Page Number (VPN) (m-n) bits): selects the page entry from the page table.

Page Table.

A process's page table maps each Virtual Page Number (VPN) to a Physical Frame Number (PFN). The page table is indexed by the VPN and stores Page Table Entries (PTEs).

Linear Page Table.

A single-level table with 2^{m-n} entries. Each VPN directly indexes a PTE. Simple but potentially large: \Rightarrow Table size = $2^{m-n} \times$ PTE size (may span multiple memory pages).

PTE Content (Typical Metadata Bits):

- Present bit (P): Valid address translation exists
- Protection bits (R/W/X): Read, write, execute permissions
- User/Supervisor (U/S): Access control (user vs. kernel)
- Dirty bit (D): Set if the page has been modified
- Access/Reference bit (A): Set on access; used in replacement policies

Multi-Level Page Tables.

Used to reduce memory overhead. Split the VPN into multiple parts to form a tree-like hierarchy. The **offset** remains the last $n = \log_2(\text{page size})$ bits of the address.

General Breakdown (Multi-Level Paging):

Virtual Address Size =
$$m = \sum k_i + n$$

where:

- $n = \log_2(\text{page size})$: offset bits
- k_i : number of bits used at each level of the page table

Two-Level Page Table:

$$VPN = \underbrace{\text{Level 1 index}}_{k_1} \parallel \underbrace{\text{Level 2 index}}_{k_2}, \quad k_1 + k_2 = m - n$$

- Level 1 index $(k_1 \text{ bits})$: selects the **page directory entry (PDE)**
- Level 2 index (k₂ bits): selects the **page table entry (PTE)** from the second-level table

Three-Level Page Table:

$$VPN = \underbrace{L1 \text{ index}}_{k_1} \parallel \underbrace{L2 \text{ index}}_{k_2} \parallel \underbrace{L3 \text{ index}}_{k_2}, \quad k_1 + k_2 + k_3 = m - n$$

- Level 1 index $(k_1 \text{ bits})$: selects first-level page directory
- Level 2 index (k_2 bits): selects second-level directory/table
- Level 3 index $(k_3 \text{ bits})$: selects the final **PTE**

Bit Allocation in Multi-Level Page Tables:

The VPN portion (m-n) bits) is divided into L parts $(k_1+k_2+\cdots+k_L=m-n)$, one per level.

- Bit division is not necessarily even. Systems may assign more bits to higher levels.
- If uneven, last levels (closer to the leaf) typically receive fewer bits (fewer entries).
- Each level i contains 2^{k_i} entries, indexing the next level or the final PTE.

Segmentation

Segmentation divides memory into variable-sized **logical segments** (e.g., code, data, stack), each with a base and limit. It aligns with program structure and supports segment-level protection, but suffers from **ext. fragmentation** due to variable-sized allocations.

File System API. Kernel provides access to files and directories through system Links. Multiple names can refer to calls. Files are represented using File Descriptors (FDs) — integers indexing files using links. into a per-process FD table.

- File Descriptor: Non-negative int returned by open(). Index into the pro- rectly to the same inode as the origcess's FD table.
- Open File Description (OFD): Kernel object holding metadata like file not remove the actual data as long as offset, mode, and inode reference.
- Per-Process Table: Each process has its own FD table mapping integers to Symbolic Link: Logically maps a file's path to a target file by creating an actual
- Reserved FDs: 0 = stdin, 1 = stdout, 2 = stderr.
- FD Allocation: open() returns the lowest unused FD.

Common FS System Calls. Core interface for file I/O and positioning.

- open(path, flags, mode): Open file, return FD.
- Flags: O_RDONLY, O_WRONLY, O_RDWR, O_CREAT, O_TRUNC.
- read(fd, buf, count): Read up to count bytes from FD into buffer.
- write(fd, buf, count): Write up to count bytes from buffer to FD. lseek(fd, offset, whence): Move file offset. SEEK_SET = from start,
- $SEEK_CUR = from current, SEEK_END = from end.$ unlink(path): Remove (delete) a file. File is deleted once no processes have 3
- fsync(fd): Flush all modified file data and metadata to disk.
- fstat(fd, &statbuf): Get metadata about file referred to by FD (size, mode, Pros: No ext. fragmentation, avoids mixtimestamps, etc.).

data.

- **Data:** Actual user content, stored in data blocks.
- Metadata: Stored in the inode:
- Owner, permissions, timestamps
- File size, type, and block pointers - Device ID and inode number
- Inode: Kernel-managed structure that holds file metadata and pointers
- to data blocks. **Filename:** Not stored in the inode. Stored in directory entries.
- Uniqueness: File is uniquely identified by (device, inode).
- Allocation: Inodes are created and

managed by the file system. Path Resolution, Step-by-Step.

Converting a pathname to a target in-

ode involves directory traversal. Begin at root (/) or current working

- directory. 2. Parse each path component left to
- For each component, look up entry in current directory: filename → inode
- 4. Follow inode to next directory or tar-
- Final inode is cached in the file de- df -- report filesystem disk usage. scriptor table for future access.

File Internals. A file consists of two Directory Internals. A directory is Cons: Poor random access, limited metamain components: data and meta- a special file used to organize and ref- data, FAT must remain in memory. erence files. - Structure: Stored like a Multi-Level Indexing. regular file, but marked with a directory flag in its inode.

- Content: Contains a list of entries:
- Each entry is a filename \rightarrow inode Inode Block Structure. number mapping
- Function: Maps human-readable names to inodes.
- entries, traverse, or modify structure.
- **Traversal:** Used in path resolution to step through the hierarchy.
- Isolation: Normal processes cannot write directly to directories as a file (writing arbitrary bytes is not allowed).

Mount Point.

Directory where a filesystem is attached to the global namespace. Root filesystem is mounted at /.

mount command.

Attach filesystem to directory (mount point)

mount [device] [dir] mount device at dir.

df command.

Inode table location

Display filesystem disk usage.

Data blocks — store file contents.

Metadata blocks — store filesystem

Boot block — code for booting (block 0). - Superblock — global File System meta-

- number of inodes, number of data

Partition.

Linear view of persistent storage: sequence of N blocks, indexed 0 to N-1. Inode. Block Usage.

structures.

Filesystem structure represent-

ing a file. Each inode stores: • File type and permissions

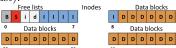
- Owner UID / GID
- File size
- Timestamps (created, modified, accessed)
- Pointers to data blocks
- Link count (number of directory references)

Block Types.

(I) **Inode Block** — contains array of inodes (e.g., 16 inodes/block at 256B each).

(D) Data Block — holds actual file content (user data).

(i)/(d) **Bitmap Blocks** — tracks (B) **Boot Block** — bootloader code; used/free inodes and data blocks (free list).



Superblock — global FS metadata: Total inodes and data blocks

- Inode table start block

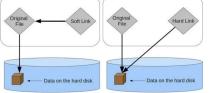
- Free inode/data block management

- Bitmap locations
- FS state (clean, dirty)
- block 0 of partition.



Data blocks

- Hard Link: Maps a file name diinal file, deleting one's file name does another link still exists.



Pros: fast access, simple offset computa-

Layout: A file is a sequence of consecu-

Pros: No fragmentation, simple - find the

Cons: slow random access, pointer over-

head, mix data/metadata in the same

Layout: Inode contains pointer to first

block, each block contains pointer to next

Cons: fragmentation, difficult resizing

Contiguous Allocation.

Linked Allocation.

first block of a file.

link to the original file with a new inode number, and becomes broken or invalid if the target file is removed or deleted.

File Allocation.

Strategy to map file data to disk blocks. Managed via pointers in inodes or allocation tables

tive blocks

block

File Allocation Table.

Layout: A table holds block chains for files

How it works:

- 1. Inode contains pointer to first metadata block.
- 2. Each Metadata block contains pointer to next metadata block.
- Read the corresponding data block in FAT table and repeat until end-of-file marker is reached.

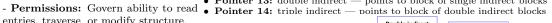
ing data and metadata, only requires locating the first block.

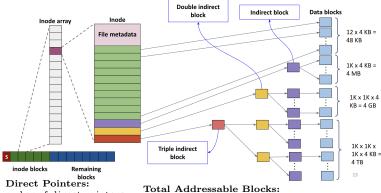
Each inode holds pointers to data blocks directly or indirectly. Pros: No ext. fragmentation, no conflating between data/metadata, Scales to large files, efficient for small files (via direct blocks), flexible block usage

Cons: Indirection overhead for large files, slower access for deep pointer chains.

Inode forms a fixed, asymmetric tree. Leaf nodes = fixed-size data blocks.

- Pointers 0-11: direct point to data blocks
- Pointer 12: single indirect points to block of data block pointers
- Pointer 13: double indirect points to block of single indirect blocks





number_of_direct_pointers Single Indirect Pointer: Double Indirect Pointer:

 $N^2 = \left(\frac{\text{block_size} - r}{\text{pointer_size}}\right)$

Triple Indirect Pointer: $N^3 = \left(\frac{\text{block_size} - r}{\text{pointer_size}}\right)$ Reading.

number_of_direct_pointers $+\sum_{i=1}^{3} \left(\frac{block_size - r}{pointer_size}\right)$ Maximum File Size (bytes):

 $total_addressable_blocks \times block_size$

The count N represents the number of data blocks that can be reached through that single pointer. If no metadata is reserved in the indirect blocks, then

	data bitmap	inode bitmap	root inode	cs202 inode	w07 inode		root data		cs202 data		w07 data[0]	w07 data[1]
open("cs202/w07")			read()			Ī						
						Į	read()					
				read()		Į						
						T		Ī	read()			
					read()	Ī				Ī		
read()					read()	П						
						İ		Π		Ī	read()	
					write()	i						
Writing.	•					_						

read() open("cs202/w07") read() write()

Performance Metrics.

- Latency – time per I/O (μs –ms)
- Throughput data/sec (MB/s)IOPS ops/sec

Caching.

Keep frequently accessed data in memory to reduce latency. Speeds up reads.

Batching.

Group multiple I/O operations to reduce syscall overhead and disk seeks.

Block Cache.

In-memory cache of disk blocks

Good for reads - avoids repeated disk access

requires flushing

Write Caching. Write-through

both cache and disk immediately

(slower, but consistent) Write-back — data stays in cache, flushed later

(faster, but risk of data loss on crash) fsync() — forces flush of dirty blocks to disk

RAID (Redundant Array of Inexpensive Disks)

Limited for writes — consistency Goal: fast, reliable, affordable persistent data access. Must return what was written—quickly and without loss.

— data written to Combines multiple physical disks into one logical unit for performance and fault tolerance:

Allows for a higher throughput and reliability

RAID 0 (Striping) data split across $N \geq 2$ disks

+ High throughput, full capacity No redundancy

 ${f Read:}$ from corresponding disk

Disk Storage

 $\begin{array}{l} {\bf 1~disk~fails:~all~data~lost} \\ {\bf RAID~5~(Striping+Parity)} -- {\it block-} \end{array}$ level striping + distributed parity across

N > 3 disks + Efficient redundancy, survives 1-disk

failure

Writes = read + modify parity (slow) Read: from data disk if intact

1 disk fails: reconstruct via XOR:

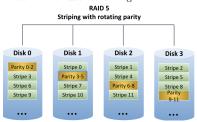
Missing Block = Parity $\oplus \bigoplus_{i \neq \mathrm{failed}} \mathrm{Data}_i$ Or define parity as:

RAID 1 (Mirroring)

data duplicated on 2 disks

+ Survives 1-disk failure, fast reads $2 \times$ storage cost

Read: from either copy (load-balanced) 1 disk fails: use remaining mirror



Consistency Update Problem.

File system metadata may become inconsistent due to crashes during updates.

File System Checker (fsck).

Tool to scan and repair on-disk metadata inconsistencies Cons: Functionality - fix not always obvious or correct,

Performance - slow; may take hours.

fsck Fix Examples.

- **Link Count Inconsistency** problem: inode's link count \neq number of directory entries pointing to it; fix: correct link count to match actual references.
- **Lost Inodes** problem: inode has link count > 0 but no directory entry points Parity = $\bigoplus_{i=1}^{N-1} \text{Data}_i$ to it; fix: move file to lost+found for recovery.
- Data Bitmap Errors problem: inode uses a block, but bitmap marks it free; Context Switch fix: set corresponding bitmap bit to "used"
- Duplicate Pointers problem: two inodes point to same data block; fix: dupli- misbehaving threads. cate the block and update one inode to preserve both files.
- Invalid Pointers remove invalid pointer to prevent access.

Journaling.

Crash-consistency technique. Metadata updates are first written to a log (journal) 4. Resume execution via return-from-trap before applying to the main file system

Pros. Fast crash recovery (no full scan); Metadata consistency guaranteed; Safer and preventing CPU hogging. than fsck in most cases.

How It Works.

I/O Interrupt.

service.

- 1. Group changes into a transaction
- 2. Write to journal: TxBegin | changes | TxEnd | Valid
- Valid block = transaction committed
- 4. Apply changes to file system (checkpoint)

Device triggers an interrupt when it needs

Pro: efficient for unpredictable events.

5. Clear transaction from journal

Con: high overhead per interrupt

Recovery.

- 1. For each uncleared transaction (stil in iournal):
- 2. If no Valid: discard

I/O Polling.

register.

infrequent.

Con:

3. If Valid found: replay transaction to disk

wastes CPU cycles if idle or

Pro: low overhead when frequent.

Triggered by timer interrupt or blocking events. Used to enforce fairness and preempt

Procedure.

- problem: inode points to a block beyond partition size; fix: 1. Save state of current thread (PC, registers, etc.) to PCB

- Choose next thread via scheduler
- Restore state of selected thread from its PCB

Timer interrupts ensure control returns to the OS periodically, allowing preemption

Scheduling Policy — strategy for choosing the next thread Scheduling Metrics

CPU Utilization: % time CPU is busy

- Turnaround Time: completion time submission time
- Response Time: time from submission to first response (eg. submission to first time a thread is scheduled)

FIFO Scheduling (First-In, First-Out)

Non-preemptive (waits for completion before scheduling next thread). Runs threads in order of arrival.

- CPU repeatedly checks device status 1. Enqueue new threads at the tail of the ready queue
 - 2. Select the thread at the head of the aueue 3. Run it to completion or blocking

Pros: Simple, fair (by arrival order), minimal overhead

Cons: Poor responsiveness, suffers from convoy effect (short jobs wait behind long ones)

SJF Scheduling (Shortest Job First) Non-preemptive. Picks the thread with the shortest remaining execution time. Estimate or know job lengths in ad-

- vance 2. **Select** the shortest job from the ready
- queue 3. Run it to completion or blocking
- Repeat with the next shortest job

Pros Minimizes average turnaround time (optimal under perfect knowledge) Cons Requires job length estimates, risk

of starvation for long jobs

Livelock.

System busy handling I/O (e.g., interrupts) but makes no progress in real work. Spin-4. Repeat with the next thread in queue ning without forward progress.

Real-World Strategy.

- Use interrupts for overlap (slow devices)
- Use polling for short bursts, small data, high performance
- Coalescing: delay, batch multiple responses for efficiency

PIO (Programmed I/O).

CPU tells the device what data to read/write.

DMA (Direct Memory Access).

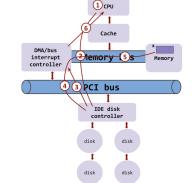
CPU tells the device where data is.

DMA Controller. Hardware unit that transfers data between device and memory without CPU involve-3.

ment. Handles address incrementing and byte counting.

DMA Transfer Workflow. (device to memory transfer.)

- The device driver receives an instruction to transfer disk data to a buffer at address X.
- The driver commands the disk controller to transfer C bytes from disk to the buffer at address X.
- 3. The disk controller initiates the DMA transfer operation.
- The disk controller sends each byte to the DMA controller. The DMA controller transfers bytes to buffer X, incrementing the memory ad-
- dress and decrementing C until C = 0. When C = 0, the DMA controller interrupts the CPU to signal completion of the transfer.



STCF Scheduling (Shortest Time to Completion First)

Preemptive SJF. Always runs the thread with the least remaining time.

- Track remaining time for all ready/running threads
- On arrival of a new thread, compare its time to current thread
- **Preempt** if new thread has shorter remaining time Run the shortest job until completion or preemption

Pros Optimal average turnaround time under preemption

Cons Requires accurate time estimates, may cause starvation of longer jobs, bad Response Time

Round Robin Scheduling

Preemptive. Each thread gets a fixed time slice, cycling through the ready queue.

- 1. Enqueue threads in arrival order
- 2. Run the thread at the head for one quantum
- Preempt if not finished; move to tail of queue
- 4. Repeat with the next thread in queue

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Pros Fair, responsive, avoids starvation Cons High context switch overhead if time slice is too small; poor for short jobs if

time slice is too large MLFQ Scheduling (Multi-Level Feedback Queue)

Preemptive. Dynamically adjusts thread priority based on behavior.

Rules

- 1. If priority(A) > priority(B), A runs
- If priority(A) = priority(B), A, B run in Round Robin
- New threads start at top priority
- If a thread uses up its time slice, demote its priority
- 5. Periodically boost all threads to top priority (prevents starvation)

- 1. Maintain multiple ready queues by priority level
- 2. Insert new or boosted threads at highest priority Select thread from highest non-empty
- 4. Run using Round Robin within queue
- Demote if thread uses full time slice; boost periodically !!!!

