

# Generative Grammars

# Generative Grammars

## Informally

- Fix a vocabulary
  - A set of symbols
  - Some of these symbols, called **terminals**, play the tokens of the output stream of lexical analysis
- E.g. take the vocabulary {S, a, b} where “a” and “b” are terminals

# Generative Grammars

- One non-terminal symbol of the vocabulary is chosen as **start symbol**
- E.g.,  $S$  in  $\{S, a, b\}$

# Generative Grammars

- Fix a set of **productions**

- Rules for rewriting strings into strings
- Constraint:
  - the string to be replaced must contain at least a non-terminal

- E.g.  $\{S \rightarrow aSb, S \rightarrow ab\}$

# Generative Grammars

- These are the ingredients of a **generative grammar**
- A **language** of words of terminals can be generated from the start symbol:
  - Apply the rewriting rules in any possible way, as many times as possible
  - Each rewriting is called a **derivation step**

Notation for the derivation relation

$S \Rightarrow ab$

$\rightarrow aSb, S \rightarrow ab\}$

- Is a one-step derivation from S
- “ab” is made up of terminals only
- Hence “ab” **belongs** to the language generated by the given grammar

$$\{S \rightarrow aSb, S \rightarrow ab\}$$

$$S \Rightarrow aSb \Rightarrow aabb$$

- Is a two-step derivation from S
- “aabb” is made up of terminals only
- Hence “aabb” **belongs** to the language generated by the given grammar

$$\{S \rightarrow aSb, S \rightarrow ab\}$$

$$S \Rightarrow aSb \Rightarrow aaSbb$$

- Is a two-step derivation of a string from  $S$
- But “aaSbb” contains a non-terminal
- Hence “aaSbb” **does not belong** to the language generated by the given grammar



**$\{S \rightarrow aSb, S \rightarrow ab\}$**

- Which is the language generated by this grammar?
- $\{a^n b^n \mid n > 0\}$

# Notation

- Capital letters for non-terminals

# Convention

- Special character epsilon ( $\varepsilon$ ) used to denote the empty word
- Length of  $\varepsilon$  is 0
  - $\varepsilon \varepsilon \varepsilon$
  - $\varepsilon b^0$  for every terminal  $b$

# Example 1

$S \rightarrow aAb$

$aA \rightarrow aaAb$

$A \rightarrow \varepsilon$

- Generated language:  $\{a^n b^n \mid n > 0\}$
- OBSERVE: Different grammars can generate the same language

# Example 2

$S \rightarrow AB$

$A \rightarrow aA$

$A \rightarrow a$

$B \rightarrow Bb$

$B \rightarrow b$

– Generated language:  $\{a^n b^m \mid n, m > 0\}$

# Example 3

$S \rightarrow aSBc$

$S \rightarrow abc$

$cB \rightarrow Bc$

$bB \rightarrow bb$

– Generated language:  $\{a^n b^n c^n \mid n > 0\}$

# Example 4

$S \rightarrow AB$

$A \rightarrow a$

– Generated language:

# Example 5

$S \rightarrow$

– Generated language:



# Example 6

$S \rightarrow aSb$

$S \rightarrow \varepsilon$

– Generated language:

- $\{a^n b^n \mid n > 0\} = \{a^n b^n \mid n \geq 0\}$

# Example

Notation for more productions with same left-hand side

$S \rightarrow CD$

$C \rightarrow aCA \mid bCB$

$AD \rightarrow aD$

$BD \rightarrow bD$

$Aa \rightarrow aA$

$Ab \rightarrow bA$

$Ba \rightarrow aB$

$Bb \rightarrow bB$

$C \rightarrow \varepsilon$

$D \rightarrow \varepsilon$

– Generated language?

# Example 7:

## Derivation 1

$$S \rightarrow CD$$

$$C \rightarrow aCA \mid bCB$$

$$AD \rightarrow aD$$

$$BD \rightarrow bD$$

$$Aa \rightarrow aA$$

$$Ab \rightarrow bA$$

$$Ba \rightarrow aB$$

$$Bb \rightarrow bB$$

$$C \rightarrow \varepsilon$$

$$D \rightarrow \varepsilon$$

$$S \Rightarrow CD$$

$$CD \Rightarrow D$$

$$D \Rightarrow \varepsilon$$

# Example 7:

## Derivation 2

$$S \rightarrow CD$$

$$C \rightarrow aCA \mid bCB$$

$$AD \rightarrow aD$$

$$BD \rightarrow bD$$

$$Aa \rightarrow aA$$

$$Ab \rightarrow bA$$

$$Ba \rightarrow aB$$

$$Bb \rightarrow bB$$

$$C \rightarrow \varepsilon$$

$$D \rightarrow \varepsilon$$

$$S \Rightarrow CD$$

$$CD \Rightarrow aCAD$$

$$aCAD \Rightarrow aCaD$$

$$aCaD \Rightarrow aaD$$

$$aaD \Rightarrow aa$$

# Example 7: Derivation 3

$S \rightarrow CD$

$C \rightarrow aCA \mid bCB$

$AD \rightarrow aD$

$BD \rightarrow bD$

$Aa \rightarrow aA$

$Ab \rightarrow bA$

$Ba \rightarrow aB$

$Bb \rightarrow bB$

$C \rightarrow \varepsilon$

$D \rightarrow \varepsilon$

$S \Rightarrow CD$

$CD \Rightarrow aCAD$

$aCAD \Rightarrow abCBAD$

$abCBAD \Rightarrow abCBA$

$abCBA \Rightarrow abBA$

# Example 7:

## Derivation 4

$$S \rightarrow CD$$

$$C \rightarrow aCA \mid bCB$$

$$AD \rightarrow aD$$

$$BD \rightarrow bD$$

$$Aa \rightarrow aA$$

$$Ab \rightarrow bA$$

$$Ba \rightarrow aB$$

$$Bb \rightarrow bB$$

$$C \rightarrow \varepsilon$$

$$D \rightarrow \varepsilon$$

$$S \Rightarrow CD$$

$$CD \Rightarrow aCAD$$

$$aCAD \Rightarrow abCBAD$$

$$abCBAD \Rightarrow abCBaD$$

$$abCBaD \Rightarrow abCaBD$$

$$abCaBD \Rightarrow abCabD$$

$$abCabD \Rightarrow ababD$$

$$ababD \Rightarrow abab$$

# Generative Grammars Formally

– A grammar is a tuple  
 **$(V, T, S, P)$**

- V vocabulary of terminals and non-terminals
- T set of terminals
- S start symbol in  $(V \setminus T)$
- P set of productions

# Note

Zero or more repetitions of elements in the base set

- Uppercase, early in the alphabet
  - $A, B, \dots \in (V \setminus T)$
- Uppercase, late in the alphabet
  - $X, Y, \dots \in V$
- Lowercase, early in the alphabet
  - $a, b, \dots \in T$
- Lowercase, early in Greek alphabet
  - $V^*$
- Strings of terminals
  - $w, w_0, \dots$



# Productions

– General form:



One or more repetitions of elements in the base

- $V^+$
- contains at least a non-terminal
- called **driver** of the production
- called **body** of the production

# Generated Languages

–  $G = (V, T, S, P)$

–  $L(G) = \{ w \mid w \in T^* \text{ and } S \Rightarrow^* w \}$



$T^*$  because  $w$  may just be

# Hierarchy of Grammars

- Depending on the shape of productions
- **Context-free grammars, or just free grammars:**

# Context-free Languages

- $L$  is a **context-free language**
- Iff
- There exists a context-free grammar  $G$  such that  $L=L(G)$

# Context-free Languages



**Our focus**

# Canonical Derivations

- **Rightmost (Leftmost)** derivation step:
  - Replace the rightmost (**leftmost**) non-terminal
- **Canonical derivations** of words in the language:
  - Either every step is rightmost
  - Or every step is leftmost

# Derivation Trees

- Start symbol is the root
- For every derivation step under the production
- $A \rightarrow X_1 X_2 \dots X_n$
- Generate children  $X_1 X_2 \dots X_n$  for node  $A$
- Terminals are the leaves (and so is )

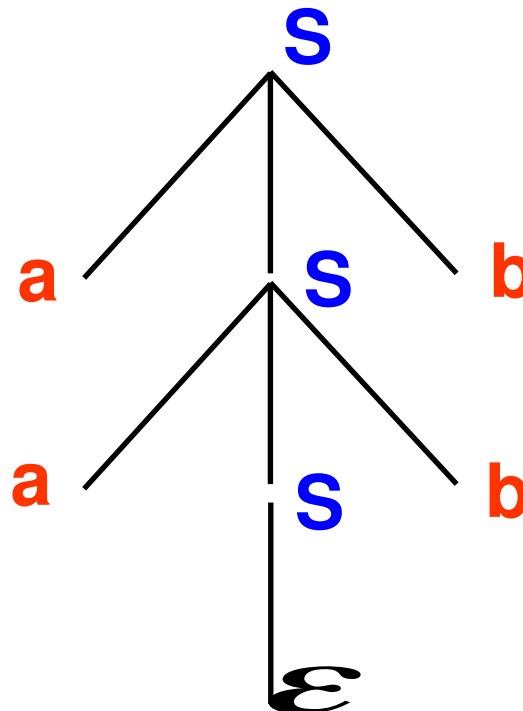
# Derivation Trees

- The derived word is at the **frontier** of the tree



# Example

$S \rightarrow aSb \mid \varepsilon$



# Ambiguity in Natural Languages

- L'uomo guarda la donna con il binocolo

# Ambiguity

- Grammar  $G$  is **ambiguous**
- Iff
- There exists  $w \in L(G)$  that can be generated by two distinct canonical derivations, either both rightmost or both leftmost

# Arithmetic Expressions

$$E \rightarrow E + E \mid E * E \mid n$$

– Ambiguous?

# Arithmetic Expressions

$$E \rightarrow E + E \mid E * E \mid n$$

– Take  $w = n + n * n$

# Arithmetic Expressions

$E$

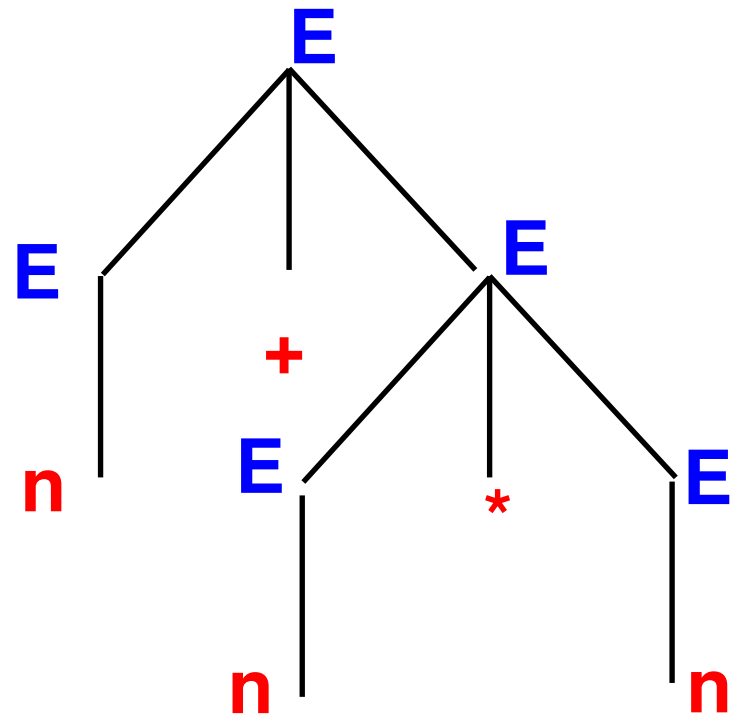
$E + E$

$n + E$

$n + E * E$

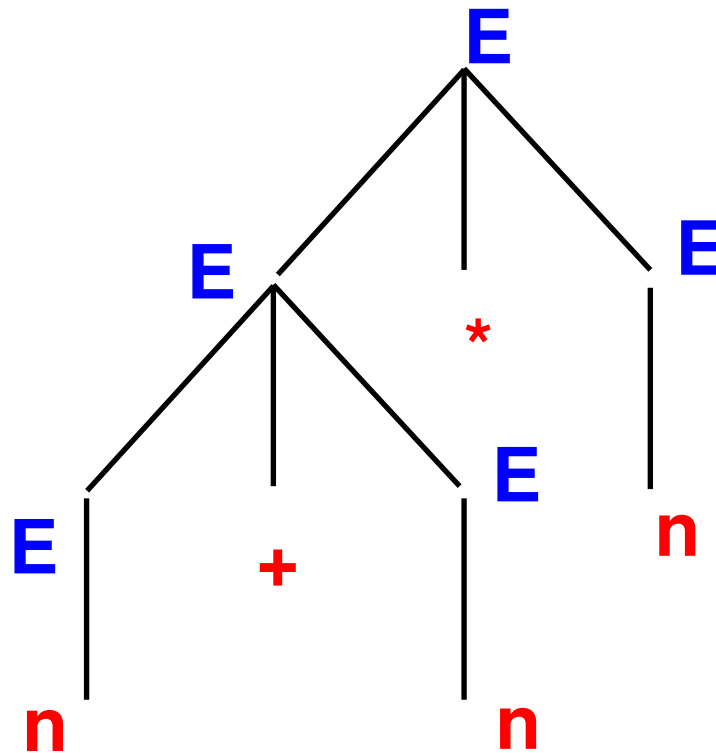
$n + n * E$

$n + n * n$

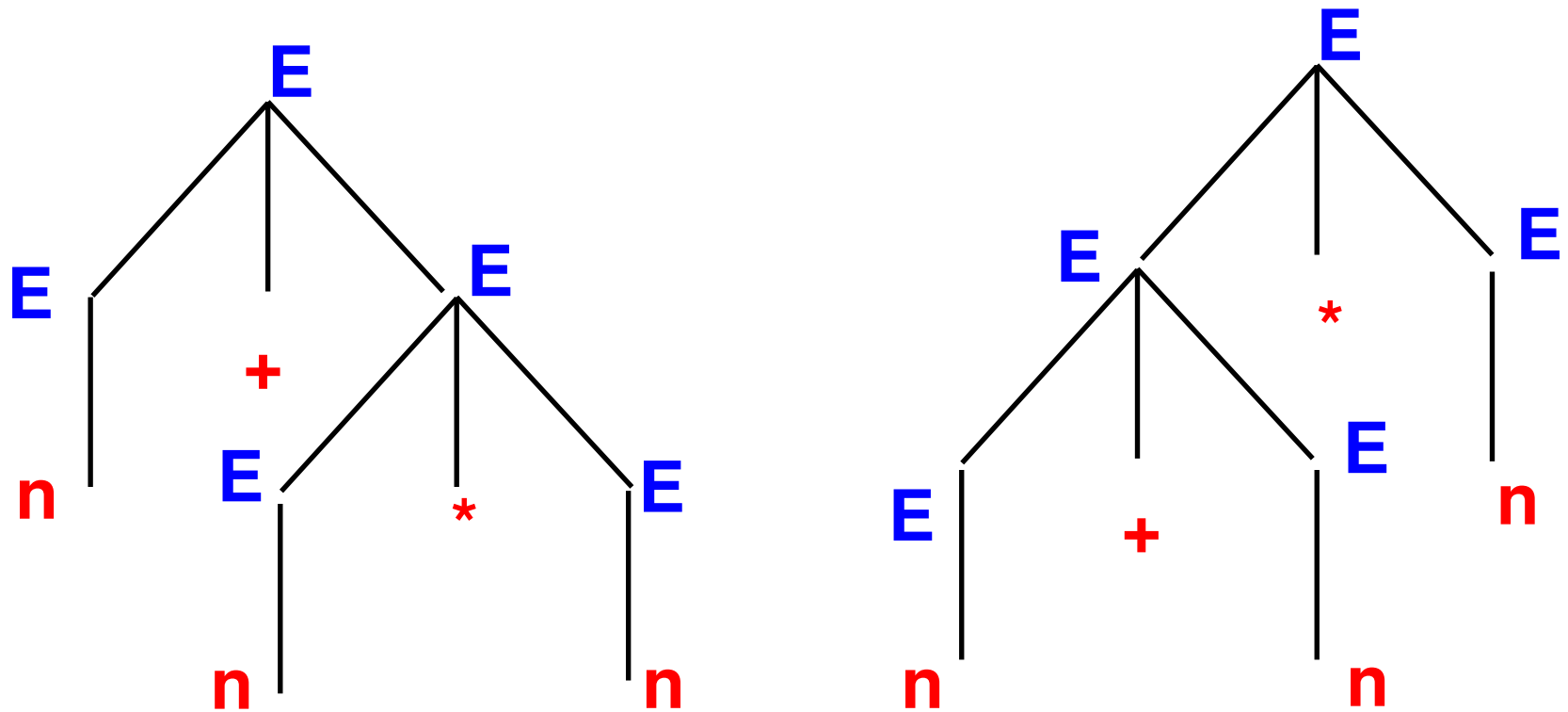


# Arithmetic Expressions

But also



# Arithmetic Expressions





# Arithmetic Expressions

$$E \rightarrow E + E \mid E * E \mid n$$

– Ambiguous!

# Dangling Else

$S \rightarrow \text{if } b \text{ then } S \mid \text{if } b \text{ then } S \text{ else } S \mid \text{other}$

– Ambiguous?

# Dangling Else

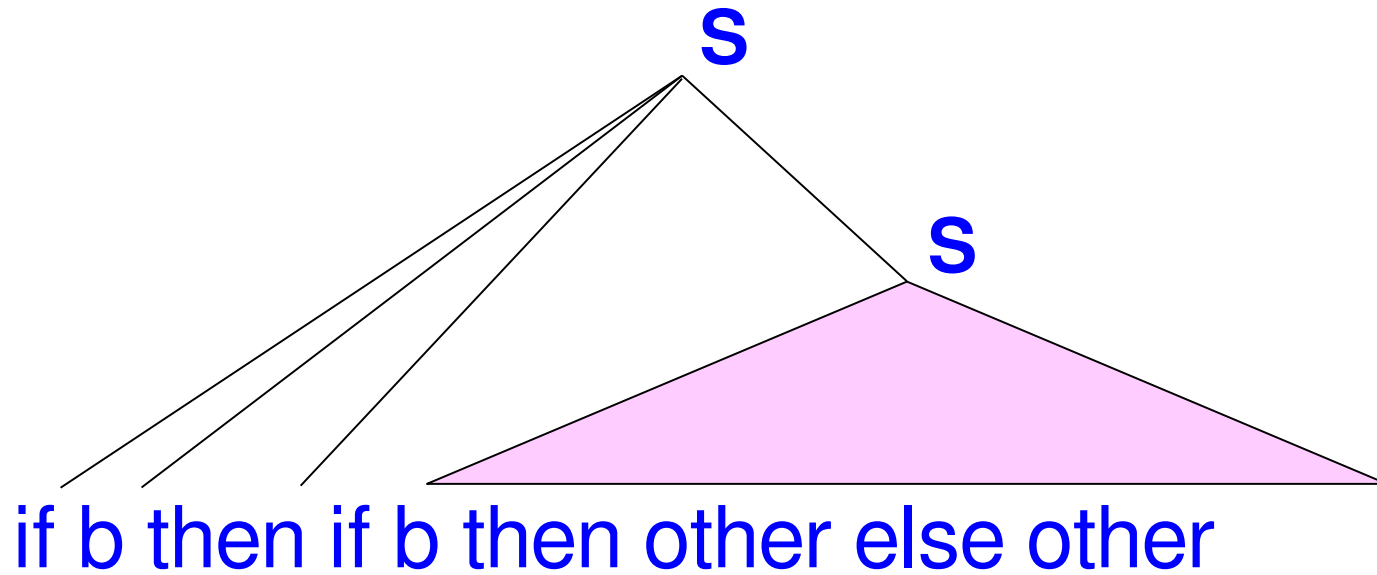
$S \rightarrow \text{if } b \text{ then } S \mid \text{if } b \text{ then } S \text{ else } S \mid \text{other}$

– Take

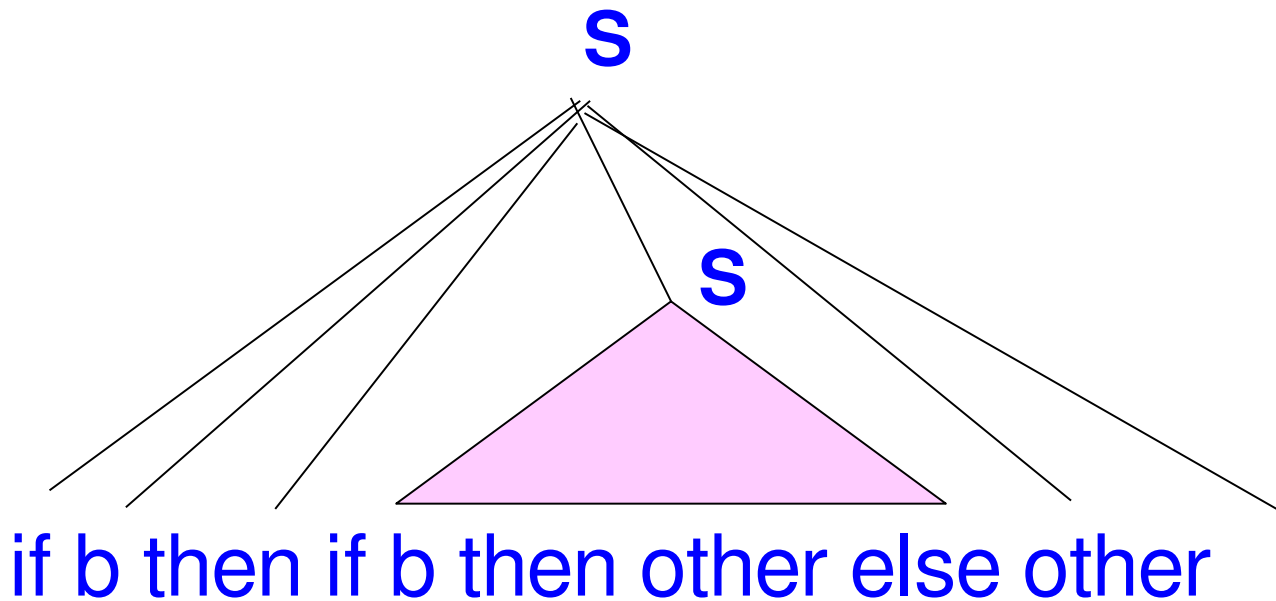
–  $w = \text{if } b \text{ then if } b \text{ then other else other}$

– Which “then” matches “else”?

# Dangling Else



# Dangling Else



# Dangling Else

$S \rightarrow \text{if } b \text{ then } S \mid \text{if } b \text{ then } S \text{ else } S \mid \text{other}$

– Ambiguous!

# Observation

- Ambiguity is undecidable
- No algorithm can be designed to decide whether a grammar is ambiguous or not