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File \$1. Methods Describing Definitions and Analytic Limitations

Definitions

We defined CRE as *Klebsiella spp., Enterobacter spp., or E. coli* isolated from a clinical culture from a patient in a U.S. healthcare facility that was resistant to one or more carbapenems at a public health laboratory using Clinical and Laboratory Standards Institute interpretive criteria (minimum inhibitory concentrations of ≥4 μg/ml for imipenem, meropenem, and doripenem or ≥2 μg/ml for ertapenem).¹³ Carbapenemase-producing CRE (CP-CRE) were defined as a CRE with at least one carbapenemase (KPC, NDM, VIM, IMP, and OXA-48-like) detected or demonstrated carbapenemase production but no carbapenemase identified by PCR or lateral flow assay. Isolates were assigned to the state where the specimen was collected. Each carbapenemase detected was counted separately, irrespective of whether multiple carbapenemases were detected from the same isolate.

Limitations

Our analysis has several limitations in addition to those already mentioned. First, our analysis excluded some of the most populous states, including California, Florida, Texas, and New York, because they do not meet cohort requirements for CRE isolate submission. While our cohort incidence rates may not be generalizable to the national burden of CP-CRE, similar trends across all 50 U.S. states and the District of Columbia suggest national shifts in the underlying epidemiology of CP-CRE during the analysis period.

Second, we were not able to identify patients that contributed multiple isolates. While this could inflate incidence estimates, it is unlikely to be differential by carbapenemase and therefore the relative changes in incidence among different mechanisms should be unaffected. Third, we calculated incidence rates using the state population from where the isolate was collected as a proxy for the target population; however, this approach does not account for out-of-state residents. To assess how this limitation might bias our estimates, we queried health departments with the highest CP-CRE burden about the frequency

of isolates originating from out-of-state patients; estimates ranged from 3% to 10% of isolates. While the inclusion of out-of-state patients could potentially inflate our estimates, these isolates nonetheless contribute to the overall burden of CP-CRE in the United States and are unlikely to substantially alter the observed trends. Fourth, we classified clinical CRE isolates based on specimen source, which may result in the misclassification of surveillance cultures as clinical cultures; additionally, not all clinical cultures represent infections. Finally, isolate submission to states is passive, as it relies on healthcare providers and laboratorians to report and submit CRE isolates to their public health laboratories, thus some isolates may not be submitted for testing at the PHL.

File §2. Statistical Analysis Plan for Open Cohort and Calculating Incidence Rates

Original Statistical Analysis Plan—Developed 11/15/2024

Objective: Evaluate epidemiologic changes of carbapenemase-producing carbapenem-resistant Enterobacterales (CP-CRE) clinical isolates reported to the CDC Antimicrobial Resistance Laboratory Network from January 2019-December 2023.

Methods

Open Cohort:

- Developed a left-sided open cohort of U.S. states where the submission of carbapenemresistant *Klebsiella* spp., *Escherichia coli*, and *Enterobacter* spp. isolates are mandated before July 1, 2020, and contributed a minimum of 36 consecutive months of data.
 - o All state mandates were determined based on state legislature and confirmed by state Healthcare-Associated Infections/Antimicrobial Resistance programs.
- States were admitted into the cohort in the calendar year following the implementation of their reporting mandate. For states entering the cohort in 2019, all states were required to have a mandate in 2018 or earlier.

Inclusion Criteria:

We included carbapenem-resistant *Klebsiella* spp., *Enterobacter* spp., and *E. coli* isolates with public health laboratory testing for modified carbapenem inactivation method (mCIM) and real-time polymerase-chain reaction (PCR) or lateral flow assay to identify the presence of the carbapenemase genes bla_{KPC} , bla_{NDM} , bla_{VIM} , bla_{IMP} , and $bla_{OXA-48-like}$ or their gene products, respectively.

Exclusion Criteria:

• Isolates collected from specimen sources associated with colonization screening (e.g., rectal, axilla/groin).

Incidence Rates Methodology

We calculated annual aggregate and state-specific incidence rates for CRE, CP-CRE, and by carbapenemase using the mathematical equations below (**Equations S1-S2**). All incidence rates were expressed per 100,000 persons. 95% confidence intervals (95% CIs) were derived using the delta method. Age-adjusted incidence rates were standardized to the 2010 U.S. population across four age groups: 0-18 years; 19-64 years; 65-79 years; ≥80 years. We performed single imputation for instances where age was missing (9% of isolates) using the median age of patients with CP-CRE for each respective state.

1. Unadjusted Incidence Rates

$$\frac{\textit{CRE,CP CRE, \& carbapenemase isolate counts}}{\textit{U.S.Census population for each given year}}*100,000$$

2. Age-Adjusted (Direct Standardized) Incidence Rates

Age Groups: 0-18 years; 19-64 years; 65-79 years; ≥80 years

 $\frac{\textit{CP CRE \& carbapenemase isolate counts for each age group}}{2010 \textit{ U.S. Census population for each age group}}*100,000$

Age – Adjusted Incidence Rate
$$= IR_{0-18 yrs} + IR_{19-64 yrs} + IR_{65-79 yrs} + IR_{\geq 80 yrs}$$

Temporal Trends

We assessed temporal trend models using a Poisson generalized linear model with robust sandwich estimators and log-link adjusting for age group. We modeled year using four indicator variables, representing each specific year. State-specific results with fewer than five isolates reported in any category were suppressed to protect patient confidentiality. We calculated the percent change from the incidence rate ratio comparing 2023 to 2019. All analyses will be performed in R, version 4.4.0 (R Foundation for Statistical Computing).

Sensitivity Analyses

We conducted a sensitivity analysis to determine the unadjusted incidence rates of CP-CRE and carbapenemase genes in all 50 U.S. States and the District of Columbia, and in a stable cohort of 24 states where CRE reporting was mandated for all 5 years (2019-2023). The findings were compared to estimates derived from our open cohort. Furthermore, to assess the impact of outliers we evaluated the influence of states with extreme effect estimates—identified using the interquartile range method (i.e., Quartile 3 + 1.5[interquartile range])—and compared the incidence rates of our open cohort with and without the outlier states.

Table §2. States Included in Open Cohort, by Year

Year	No. of States	States
2019	24	AZ, CO, CT, GA, KS, KY, MA, MD, MN, MS, ND, NE, NH, NM, OR, RI,
		SC, SD, TN, UT, VA, WA, WV, WY
2020	28	AZ, CO, CT, GA, KS, KY, LA , MA, MD, MN, MS, ND, NE, NH, NM, NV ,
		<u>OK</u> , OR, RI, SC, SD, TN, UT, VA, <u>VT</u> , WA, WV, WY
2021	29	AZ, CO, CT, GA, KS, KY, LA, MA, MD, MN, MS, MT, ND, NE, NH, NM,
		NV, OK, OR, RI, SC, SD, TN, UT, VA, VT, WA, WV, WY
2022	29	AZ, CO, CT, GA, KS, KY, LA, MA, MD, MN, MS, MT, ND, NE, NH, NM,
		NV, OK, OR, RI, SC, SD, TN, UT, VA, VT, WA, WV, WY
2023	29	AZ, CO, CT, GA, KS, KY, LA, MA, MD, MN, MS, MT, ND, NE, NH, NM,
		NV, OK, OR, RI, SC, SD, TN, UT, VA, VT, WA, WV, WY

Footnote: States are bolded and underlined in the year they entered the cohort. No states exited the cohort.

Table \$3. Trend Analysis of Age-Adjusted Incidence Rates/100,000 Persons Across an Open Cohort of U.S. States with Required CRE Isolate Submission, 2019-2023

	Indicator Year	
	Incidence Rate Ratio ^a	Cumulative 5-Year
	2023 vs. 2019	% Change ^b
	(95% CI)	(95% CI)
All CP-CRE	1.69 (1.61, 1.78)	69 (61, 78)
KPC	0.96 (0.90, 1.03)	-4 (-10, 3)
NDM	5.61 (4.96, 6.36)	461 (396, 536)

Footnote: Trends were assessed using a Poisson generalized linear model with robust sandwich estimators and log-link adjusting for age group. Each carbapenemase gene (i.e., KPC and NDM) was counted within its respective category, irrespective of whether multiple carbapenemase genes were detected in a single isolate.

^aIncidence Rate Ratio= e^p, where p is the modeled parameter estimate for the variable year

^bPercent Change= (e^p-1)*100, where p is the modeled parameter estimate for the variable year

Table \$4. Unadjusted and Age-Adjusted CP-CRE, KPC-CRE, and NDM-CRE Incidence Rates, Overall and by Age Group Across an Open Cohort of U.S. States with Required CRE Isolate Submission, 2019-2023

					CP-CRE ^a			KPC-CRE			NDM-CRE	
					Unadjusted	Age-Adjusted ^{c,d}	No.	Unadjusted	Age-Adjusted ^{c,d}	No.	Unadjusted	Age-Adjusted ^{c,d}
				No.	Incidence/	Incidence/	of	Incidence/	Incidence/	of	Incidence/	Incidence/
	No. of	Age-	U.S. Census	of	100,000 Persons	100,000 Persons	Cases	100,000 Persons	100,000 Persons	Cases	100,000 Persons	100,000 Persons
Year	States	Group	Population ^b	Cases	(95% CI)	(95% CI)		(95% CI)	(95% CI)		(95% CI)	(95% CI)
2019	24	Overall	102,253,036	2,267	2.22 (2.13, 2.31)	1.98 (1.90, 2.07)	1,749	1.71 (1.63, 1.79)	1.53 (1.46, 1.61)	288	0.28 (0.25, 0.32)	0.25 (0.22, 0.28)
		0-18	24,125,840	43	0.14 (0.12, 0.16)		26	0.07 (0.05, 0.08)		13	0.03 (0.02, 0.03)	
		19-64	61,412,713	970	1.57 (1.50, 1.64)		775	1.25 (1.19, 1.32)		108	0.19 (0.17, 0.22)	
		65-80	13,328,052	996	7.37 (7.05, 7.71)		756	5.75 (5.46, 6.07)		132	0.89 (0.79, 1.01)	
		81+	3,386,431	258	8.52 (8.02, 9.05)		192	5.84 (5.40, 6.30)		35	1.28 (1.12, 1.47)	
2020	28	Overall	115,487,153	1,903	1.65 (1.58, 1.72)	1.48 (1.41, 1.54)	1,475	1.28 (1.21, 1.34)	1.15 (1.09, 1.21)	259	0.22 (0.20, 0.25)	0.20 (0.17, 0.22)
		0-18	27,508,789	16	0.10 (0.09, 0.12)		11	0.05 (0.04, 0.06)		2	0.02 (0.02, 0.03)	
		19-64	69,136,236	832	1.17 (1.11, 1.23)		686	0.94 (0.89, 0.99)		98	0.16 (0.14, 0.18)	
		65-80	15,279,354	847	5.50 (5.24, 5.77)		622	4.31 (4.07, 4.56)		123	0.71 (0.63, 0.81)	
		81+	3,562,774	208	6.35 (5.96, 6.77)		156	4.37 (4.03, 4.73)		36	1.02 (0.89, 1.18)	
2021	29	Overall	117,033,977	2,534	2.17 (2.08, 2.25)	1.91 (1.84, 1.99)	1,676	1.43 (1.37, 1.50)	1.26 (1.20, 1.33)	593	0.51 (0.47, 0.55)	0.45 (0.42, 0.49)
		0-18	27,595,613	29	0.14 (0.12, 0.16)		9	0.05 (0.04, 0.07)		14	0.05 (0.04, 0.06)	
		19-64	69,848,395	1,069	1.52 (1.45, 1.58)		739	1.04 (0.98, 1.09)		238	0.35 (0.32, 0.38)	
		65-80	15,954,476	1,163	7.13 (6.83, 7.45)		773	4.77 (4.52, 5.03)		255	1.59 (1.46, 1.74)	
		81+	3,635,493	273	8.24 (7.77, 8.74)		155	4.84 (4.47, 5.23)		86	2.29 (2.05, 2.55)	
2022	29	Overall	117,566,458	3,137	2.67 (2.58, 2.76)	2.35 (2.27, 2.44)	1,679	1.43 (1.36, 1.50)	1.25 (1.19, 1.31)	1,200	1.02 (0.97, 1.08)	0.91 (0.86, 0.96)
		0-18	27,485,907	53	0.16 (0.14, 0.19)		17	0.05 (0.04, 0.07)		25	0.09 (0.08, 0.12)	
		19-64	69,914,542	1,311	1.85 (1.77, 1.92)		692	1.02 (0.97, 1.08)		516	0.69 (0.65, 0.74)	
		65-80	16,466,165	1,370	8.69 (8.35, 9.03)		768	4.70 (4.46, 4.95)		419	3.17 (2.97, 3.39)	
		81+	3,699,844	403	10.03 (9.48, 10.62)		202	4.77 (4.41, 5.15)		168	4.55 (4.14, 5.00)	
2023	29	Overall	118,211,191	4,341	3.67 (3.56, 3.78)	3.16 (3.07, 3.26)	1,908	1.61 (1.54, 1.69)	1.38 (1.32, 1.44)	1,831	1.55 (1.48, 1.62)	1.34 (1.28, 1.41)
		0-18	27,350,075	64	0.22 (0.19, 0.26)		13	0.06 (0.05, 0.08)		36	0.14 (0.11, 0.17)	
		19-64	70,058,521	1,695	2.51 (2.42, 2.60)		761	1.14 (1.08, 1.20)		718	1.04 (0.98, 1.10)	
		65-80	16,973,914	2,017	11.80 (11.39, 12.21)		930	5.24 (4.98, 5.51)		810	4.75 (4.49, 5.03)	
		81+	3,828,681	565	13.62 (12.91, 14.37)		204	5.32 (4.93, 5.74)		267	6.81 (6.24, 7.43)	

Footnote: VIM- and IMP-CRE are not shown due to the number of isolates representing <1% of all CP-CRE. ^aCP-CRE, includes *Klebsiella* spp., *Enterobacter* spp., and *Escherichia coli* with the presence of mCIM+/PCR-, *bla_{KPC}*, *bla_{NDM}*, *bla_{IMP}*, and *bla_{OXA-48-like}* carbapenemase gene; ^bU.S. 2019-2023 Census Population Estimates; ^cAge-adjusted rates were standardized to the U.S. 2010 Census Population Estimates¹⁶; ^dAge weights: 0-18 years weight= 0.25; 19-64 years weight= 0.62; 65-80 years weight=0.10; 81+ years weight=0.03

Table \$5. Unadjusted CP-CRE Incidence Rates per 100,000 Persons Across an Open Cohort of U.S. States with Required CRE Isolate Submission, by Carbapenemase, 2019-2023

Year	Total Population	Total N	lo. of CP	-CRE Cli	inical C	ases		<u>Unadjusted I</u>	ncidence Rate/	100,000 Persons	
		KPC	NDM	OXA-	VIM	IMP	KPC	NDM	OXA-48-like	VIM	IMP
				48-			(95% CI)	(95% CI)	(95% CI)	(95% CI)	(95% CI)
				like							
2019 ^a	102,253,036	1,749	288	96	24	1	1.71	0.28	0.09	0.023	0.0010
							(1.63, 1.79)	(0.25, 0.32)	(0.08, 0.11)	(0.016, 0.035)	(0.0001, 0.0069)
2020 ^b	115,487,153	1,475	259	82	19	6	1.28	0.22	0.07	0.016	0.0052
							(1.21, 1.34)	(0.20, 0.25)	(0.06, 0.09)	(0.011, 0.026)	(0.0023, 0.0116)
2021 ^c	117,033,977	1,676	593	89	29	11	1.43	0.51	0.08	0.025	0.0094
							(1.37, 1.50)	(0.47, 0.55)	(0.06, 0.09)	(0.017, 0.036)	(0.0052, 0.0170)
2022 ^c	117,566,458	1,679	1,200	123	49	5	1.43	1.02	0.10	0.042	0.0043
							(1.36, 1.50)	(0.97, 1.08)	(0.09, 0.12)	(0.032, 0.055)	(0.0018, 0.0102)
2023 ^c	118,211,191	1,908	1,831	167	31	7	1.61	1.55	0.14	0.026	0.0059
							(1.54, 1.69)	(1.48, 1.62)	(0.12, 0.16)	(0.018, 0.037)	0.0028, 0.0124)

Footnote: Each carbapenemase gene were counted within its respective category, irrespective of whether multiple carbapenemase genes were detected.

^a24 total U.S. States; ^b28 total U.S. States; ^c29 total U.S. States; Abbreviations: CP-CRE, carbapenemase-producing carbapenem-resistant Enterobacterales

Table \$6. Unadjusted CP-CRE, KPC-CRE, and NDM-CRE Incidence Rates by State, Across an Open Cohort of 29 U.S. States with Required CRE Isolate Submission, 2019-2023, by State

State	Mechanism		Age-Adjusted ^a II	ncidence Rates/100,0	00 Persons		Trend
							% Change
		2019	2020	2021	2022	2023	(95% CI)
AZ	All CP-CRE	1.50 (1.25, 1.80)	1.91 (1.61, 2.24)	3.76 (3.35, 4.21)	9.25 (8.60, 9.94)	14.28 (13.48, 15.11)	917 (749, 1130)
	KPC-CRE	1.19 (0.97, 1.46)	1.20 (0.96, 1.47)	1.28 (1.04, 1.56)	1.48 (1.23, 1.78)	1.03 (0.83, 1.28)	No change
	NDM-CRE	0.18 (0.10, 0.31)	0.54 (0.39, 0.74)	2.26 (1.95, 2.62)	7.46 (6.88, 8.08)	10.96 (10.27, 11.69)	6353 (3928, 11152)
СО	All CP-CRE	0.59 (0.43, 0.80)	0.52 (0.38, 0.72)	0.08 (0.03, 0.20)	0.90 (0.68, 1.17)	0.92 (0.69, 1.19)	No change
	KPC-CRE	0.25 (0.15, 0.39)	0.10 (0.04, 0.20)		0.20 (0.10, 0.34)	0.18 (0.09, 0.32)	No change
	NDM-CRE	0.17 (0.09, 0.31)			0.08 (0.03, 0.20)	0.19 (0.10, 0.34)	No change
CT	All CP-CRE	2.11 (1.67, 2.63)	1.77 (1.37, 2.25)	2.82 (2.31, 3.41)	1.74 (1.35, 2.22)	3.13 (2.60, 3.74)	56 (19, 107)
	KPC-CRE	1.51 (1.14, 1.96)	1.42 (1.07, 1.87)	1.67 (1.29, 2.14)	1.05 (0.76, 1.43)	1.62 (1.25, 2.07)	No change
	NDM-CRE	0.47 (0.28, 0.75)	0.23 (0.10, 0.44)	1.00 (0.71, 1.36)	0.52 (0.32, 0.80)	1.14 (0.83, 1.54)	150 (47, 343)
GA	All CP-CRE	3.01 (2.71, 3.34)	0.36 (0.26, 0.49)	2.92 (2.62, 3.24)	2.13 (1.88, 2.41)	2.01 (1.79, 2.25)	-15 (-27, -2)
	KPC-CRE	2.22 (1.96, 2.51)	0.27 (0.18, 0.39)	1.97 (1.73, 2.24)	1.34 (1.14, 1.57)	1.29 (1.11, 1.49)	-27 (-40, -13)
	NDM-CRE	0.28 (0.19, 0.39)		0.37 (0.27, 0.49)	0.45 (0.34, 0.59)	0.32 (0.24, 0.43)	No change
KS	All CP-CRE	0.58 (0.34, 0.92)	0.53 (0.30, 0.86)	0.40 (0.21, 0.71)	0.59 (0.35, 0.94)	0.81 (0.53, 1.21)	No change
	KPC-CRE	0.29 (0.13, 0.56)	0.27 (0.12, 0.54)	0.27 (0.12, 0.54)	0.21 (0.08, 0.46)	0.20 (0.07, 0.44)	No change
	NDM-CRE				0.22 (0.09, 0.47)	0.40 (0.21, 0.70)	550 (401, 699)
KY	All CP-CRE	2.21 (1.81, 2.67)	1.91 (1.55, 2.34)	3.98 (3.45, 4.59)	2.78 (2.32, 3.28)	4.46 (3.89, 5.10)	102 (61, 154)
	KPC-CRE	1.62 (1.28, 2.02)	1.44 (1.13, 1.81)	3.58 (3.07, 4.16)	2.09 (1.71, 2.54)	2.78 (2.33, 3.29)	73 (32, 128)
	NDM-CRE	0.10 (0.03, 0.25)	0.19 (0.09, 0.37)	0.15 (0.06, 0.30)	0.28 (0.15, 0.30)	0.46 (0.29, 0.71)	340 (243, 437)
LA	All CP-CRE	*	0.61 (0.41, 0.87)	0.46 (0.29, 0.69)	1.13 (0.73, 1.30)	0.98 (0.73, 1.30)	64 (6, 160) ^c
	KPC-CRE	*	0.59 (0.39, 0.84)	0.27 (0.15, 0.45)	0.83 (0.60, 1.13)	0.71 (0.51, 0.98)	No change
	NDM-CRE	*			0.18 (0.09, 0.35)	0.21 (0.10, 0.39)	No change
MA	All CP-CRE	1.84 (1.54, 2.17)	1.40 (1.14, 1.69)	2.36 (2.02, 2.74)	1.18 (0.95, 1.46)	2.19 (1.86, 2.55)	No change
	KPC-CRE	0.86 (0.67, 1.10)	0.79 (0.60, 1.02)	1.11 (0.89, 1.37)	0.40 (0.27, 0.58)	0.96 (0.75, 1.22)	No change
	NDM-CRE	0.85 (0.65, 1.09)	0.56 (0.41, 0.76)	1.15 (0.91, 1.42)	0.66 (0.49, 0.87)	1.10 (0.88, 1.37)	No change
MD	All CP-CRE	5.34 (4.79, 5.94)	3.92 (3.45, 4.44)	4.86 (4.35, 5.43)	5.49 (4.95, 6.09)	6.74 (6.14, 7.39)	36 (19, 56)
	KPC-CRE	4.83 (4.31, 5.40)	3.22 (2.80, 3.69)	3.94 (3.48, 4.45)	3.65 (3.20, 4.13)	4.50 (4.00, 5.03)	No change
	NDM-CRE	0.36 (0.23, 0.54)	0.61 (0.44, 0.82)	0.90 (0.68, 1.16)	1.66 (1.37, 2.00)	1.96 (1.64, 2.33)	456 (270, 771)

Footnote: Trends were assessed using a Poisson generalized linear model with robust sandwich estimators and log-link adjusting for age group. *Age-adjusted rates were standardized to the U.S. 2010 Census Population Estimates; *Percent change from 2019-2023; *Percent change from 2020-2023; *Percent change from 2021-2023; --, represents instances when <5 cases were observed; *, represents years where a state had not yet entered the cohort.

State	Mechanism		Age-Adjusted ^a In	cidence Rates/100,00	0 Persons		Trend
							% Change ^b
		2019	2020	2021	2022	2023	(95% CI)
MN	All CP-CRE	0.61 (0.43, 0.83)	0.47 (0.32, 0.67)	0.61 (0.44, 0.82)	0.69 (0.53, 0.90)	1.03 (0.80, 1.32)	66 (13, 146)
	KPC-CRE	0.29 (0.18, 0.46)	0.18 (0.10, 0.32)	0.27 (0.16, 0.43)	0.32 (0.21, 0.48)	0.24 (0.13, 0.41)	No change
	NDM-CRE	0.24 (0.14, 0.40)	0.14 (0.07, 0.26)	0.20 (0.11, 0.34)	0.25 (0.15, 0.39)	0.46 (0.31, 0.66)	94 (8, 263)
MS	All CP-CRE	1.57 (1.17, 2.08)	0.26 (0.13, 0.50)	2.89 (2.33, 3.55)	3.70 (3.07, 4.43)	4.23 (3.55, 5.01)	176 (102, 284)
	KPC-CRE	1.47 (1.08, 1.96)	0.13 (0.04, 0.32)	2.44 (1.93, 3.05)	2.76 (2.22, 3.40)	2.40 (1.89, 3.01)	63 (14, 134)
	NDM-CRE			0.22 (0.09, 0.47)	1.01 (0.69, 1.42)	1.70 (1.28, 2.21)	1800 (603, 7691)
MT	All CP-CRE	*	*	0.32 (0.08, 0.86)	0.49 (0.18, 1.11)	0.52 (0.20, 1.12)	No change ^d
	KPC-CRE	*	*				No change ^d
	NDM-CRE	*	*				No change ^d
ND	All CP-CRE	1.46 (0.73, 2.63)	1.29 (0.62, 2.41)	1.98 (1.15, 3.24)	1.36 (0.67, 2.48)	0.79 (0.29, 1.76)	No change
	KPC-CRE	1.21 (0.55, 2.31)	1.16 (0.53, 2.23)	1.62 (0.88, 2.78)	0.95 (0.40, 1.94)		No change
	NDM-CRE						No change
NE	All CP-CRE	0.69 (0.40, 1.13)				0.80 (0.49, 1.27)	No change
	KPC-CRE	0.41 (0.20, 0.77)				0.14 (0.04, 0.41)	No change
	NDM-CRE	0.24 (0.09, 0.56)				0.34 (0.14, 0.70)	No change
NH	All CP-CRE	0.41 (0.18, 0.87)	0.44 (0.20, 0.90)	0.37 (0.16, 0.81)		0.16 (0.03, 0.56)	No change
	KPC-CRE		0.24 (0.08, 0.65)				No change
	NDM-CRE						No change
NM	All CP-CRE	1.13 (0.76, 1.65)	0.90 (0.59, 1.35)	0.97 (0.64, 1.45)	1.05 (0.69, 1.55)	2.08 (1.54, 2.75)	77 (32, 121)
	KPC-CRE	0.78 (0.48, 1.22)	0.65 (0.39, 1.04)	0.73 (0.44, 1.17)	0.44 (0.22, 0.82)	0.89 (0.56, 1.38)	No change
	NDM-CRE			0.21 (0.07, 0.50)	0.33 (0.15, 0.67)	0.73 (0.43, 1.18)	850 (704, 996)
NV	All CP-CRE	*	4.86 (4.15, 5.66)	3.56 (2.96, 4.25)	1.83 (1.40, 2.35)	2.27(1.81, 2.81)	-49 (-60, -34) ^c
	KPC-CRE	*	4.60 (3.91, 5.38)	3.19 (2.63, 3.85)	1.56 (1.17, 2.05)	1.73 (1.33, 2.21)	-59 (-69, -45) ^c
	NDM-CRE	*	0.34 (0.17, 0.61)	0.40 (0.22, 0.68)	0.21 (0.09, 0.43)	0.46 (0.27, 0.75)	No change ^c
ОК	All CP-CRE	*	3.73 (3.16, 4.37)	3.18 (2.68, 3.75)	2.85 (2.39, 3.38)	2.71 (2.24, 3.25)	-23 (-39, -2)°
	KPC-CRE	*	3.63 (3.07, 4.26)	2.26 (1.85, 2.77)	2.63 (2.19, 3.13)	2.08 (1.67, 2.56)	(-39, -53, -21) ^c
	NDM-CRE	*		0.22 (0.10, 0.41)	0.24 (0.12, 0.43)	0.35 (0.19, 0.58)	650 (502, 798)°

NDM-CRE * -- 0.22 (0.10, 0.41) 0.24 (0.12, 0.43) 0.35 (0.19, 0.58) 650 (502, 798

Footnote: Trends were assessed using a Poisson generalized linear model with robust sandwich estimators and log-link adjusting for age group. *Age-adjusted rates were standardized to the U.S. 2010 Census Population Estimates;

bercent change from 2019-2023; 'Percent change from 2020-2023; 'Percent change from 2021-2023; -, represents instances when <5 cases were observed; *, represents years where a state had not yet entered the cohort.

State	Mechanism		Age-Adjusted ^a Incid	ence Rate/100,000 P	ersons (95% CI)		Trend
							% Change ^b
		2019	2020	2021	2022	2023	(95% CI)
OR	All CP-CRE	0.51 (0.32, 0.77)	0.16 (0.07, 0.33)	0.48 (0.30, 0.75)	0.50 (0.33, 0.76)	0.25 (0.13, 0.45)	No change
	KPC-CRE	0.11 (0.04, 0.28)					No change
	NDM-CRE	0.30 (0.16, 0.51)	0.10 (0.03, 0.24)	0.21 (0.09, 0.40)	0.14 (0.05, 0.30)	0.18 (0.08, 0.36)	No change
RI	All CP-CRE	3.49 (2.46, 4.81)	2.96 (2.02, 4.21)	1.16 (0.64, 1.97)	2.76 (1.89, 3.96)	4.49 (3.35, 5.92)	No change
	KPC-CRE	3.25 (2.26, 4.54)	2.66 (1.77, 3.85)	0.83 (0.41, 1.55)	1.70 (1.04, 2.67)	2.44 (1.61, 3.56)	No change
	NDM-CRE				1.00 (0.47, 1.85)	1.41 (0.82, 2.30)	500 (378, 622)
SC	All CP-CRE	1.53 (1.25, 1.87)	1.56 (1.28, 1.89)	1.38 (1.12, 1.69)	1.52 (1.22, 1.87)	3.02 (2.59, 3.50)	79 (41, 128)
	KPC-CRE	1.36 (1.09, 1.67)	1.31 (1.06, 1.62)	0.94 (0.73, 1.20)	0.98 (0.75, 1.26)	1.68 (1.36, 2.05)	No change
	NDM-CRE	0.11 (0.05, 0.25)	0.16 (0.07, 0.30)	0.29 (0.17, 0.46)	0.29 (0.17, 0.47)	0.82 (0.61, 1.09)	629 (254, 1661)
SD	All CP-CRE	0.96 (0.44, 1.86)		0.56 (0.18, 1.35)	0.69 (0.27, 1.49)	0.62 (0.24, 1.37)	No change
	KPC-CRE	0.88 (0.38, 1.75)			0.48 (0.15, 1.20)	0.47 (0.15, 1.18)	No change
	NDM-CRE						No change
TN	All CP-CRE	3.67 (3.25, 4.14)	3.41 (3.00, 3.86)	1.29 (1.04, 1.58)	4.36 (3.90, 4.86)	4.19 (3.75, 4.67)	23 (5, 44)
	KPC-CRE	3.11 (2.72, 3.54)	3.06 (2.67, 3.48)	0.94 (0.73, 1.19)	3.35 (2.95, 3.79)	3.07 (2.70, 3.49)	No change
	NDM-CRE	0.24 (0.14, 0.38)	0.13 (0.06, 0.25)	0.10 (0.04, 0.20)	0.66 (0.49, 0.88)	0.79 (0.61, 1.02)	250 (112, 508)
UT	All CP-CRE	0.86 (0.58, 1.23)	0.38 (0.21, 0.66)	0.92 (0.63, 1.29)	0.56 (0.34, 0.87)	1.15 (0.83, 1.57)	No change
	KPC-CRE	0.22 (0.09, 0.45)	0.08 (0.02, 0.26)	0.18 (0.07, 0.38)	0.27 (0.13, 0.51)	0.43 (0.25, 0.71)	No change
	NDM-CRE			0.31 (0.16, 0.57)	0.15 (0.05, 0.35)	0.17 (0.06, 0.38)	No change
VA	All CP-CRE	3.63 (3.25, 4.04)	2.09 (1.81, 2.41)	2.04 (1.76, 2.35)	2.47 (2.16, 2.81)	3.28 (2.93, 3.67)	No change
	KPC-CRE	3.27 (2.92, 3.67)	1.79 (1.53, 2.08)	1.68 (1.42, 1.96)	1.56 (1.32, 1.84)	1.76 (1.50, 2.05)	-44 (-54, -33)
	NDM-CRE	0.29 (0.20, 0.43)	0.20 (0.12, 0.31)	0.31 (0.21, 0.45)	0.86 (0.68, 1.07)	1.42 (1.19, 1.69)	359 (212, 598)
VT	All CP-CRE	*		0.57 (0.18, 1.49)			No change ^c
	KPC-CRE	*					No change ^c
	NDM-CRE	*					No change ^c
WA	All CP-CRE	0.46 (0.32, 0.63)	0.53 (0.38, 0.71)	0.40 (0.28, 0.57)	0.58 (0.43, 0.77)	0.93 (0.74, 1.15)	126 (56, 235)
	KPC-CRE	0.20 (0.12, 0.33)	0.13 (0.06, 0.24)	0.13 (0.06, 0.23)	0.20 (0.12, 0.33)	0.20 (0.12, 0.32)	No change
	NDM-CRE	0.15 (0.08, 0.26)	0.29 (0.19, 0.44)	0.22 (0.13, 0.36)	0.31 (0.20, 0.45)	0.46 (0.33, 0.63)	250 (90, 595)

NDM-CRE 0.15 (0.08, 0.26) 0.29 (0.19, 0.44) 0.22 (0.13, 0.36) 0.31 (0.20, 0.45) 0.46 (0.33, 0.63) 250 (90, 59)

Footnote: Trends were assessed using a Poisson generalized linear model with robust sandwich estimators and log-link adjusting for age group. "Age-adjusted rates were standardized to the U.S. 2010 Census Population Estimates;

Percent change from 2019-2023; "Percent change from 2020-2023; "Percent change from 2021-2023; -, represents instances when <5 cases were observed; *, represents years where a state had not yet entered the cohort.

State	Mechanism		Age-Adjuste	Trend			
		2019	2020	2021	2022	2023	% Change ^b (95% CI)
WV	All CP-CRE						No change
	KPC-CRE						No change
	NDM-CRE						No change
WY	All CP-CRE			0.72 (0.23, 1.78)			No change
	KPC-CRE						No change
	NDM-CRE						No change

Footnote: Trends were assessed using a Poisson generalized linear model with robust sandwich estimators and log-link adjusting for age group. *Age-adjusted rates were standardized to the U.S. 2010 Census Population Estimates; *Percent change from 2019-2023; *Percent change from 2020-2023; *Percent change from 2021-2023; -, represents instances when <5 cases were observed; *, represents years where a state had not yet entered the cohort.

Table \$7. Sensitivity Analysis of CP-CRE, KPC-CRE, NDM-CRE Trends across U.S. States with Varying CRE Reporting Requirements, 2019-2023

Open Cohort of U.S.	50 U.S. States, and the	22 U.S. States, District of	24 U.S. States w/ CRE
'	District of Columbia ^b	Columbia, and Puerto Rico	Isolate Submission
		,	Required During Entire
36 consecutive months ^a		Submission Required ^c	Study Period ^d
CP-CRF Unadjusted	Incidence Rates / 100,000 Pers	ons (95% CI)	
			2.22 (2.13, 2.31)
1.65 (1.58, 1.72)	1.36 (1.33, 1.40)	1.22 (1.17, 1.27)	1.50 (1.43, 1.57)
2.17 (2.08, 2.25)	1.68 (1.64, 1.73)	1.42 (1.37, 1.47)	2.15 (2.06, 2.24)
2.67 (2.58, 2.76)	1.96 (1.91, 2.00)	1.57 (1.51, 1.62)	2.76 (2.66, 2.87)
3.67 (3.56, 3.78)	2.64 (2.58, 2.69)	2.08 (2.02, 2.14)	3.89 (3.78, 4.01)
66 (57, 74)	53 (47, 57)	41 (35, 48)	76 (67, 85)
KPC-CRE Unadjusted	Incidence Rates/ 100,000 Per	sons (95% CI)	
1.71 (1.63, 1.79)	1.38 (1.34, 1.42)	1.20 (1.16, 1.25)	1.71 (1.63, 1.79)
1.28 (1.21, 1.34)	1.06 (1.03, 1.10)	0.95 (0.91, 0.99)	1.10 (1.03, 1.16)
1.43 1.37, 1.50)	1.18 (1.14, 1.21)	1.04 (1.00, 1.08)	1.39 (1.32, 1.46)
1.43 (1.36, 1.47)	1.18 (1.15, 1.22)	1.05 (1.01, 1.10)	1.39 (1.32, 1.47)
1.61 (1.54, 1.69)	1.32 (1.28, 1.36)	1.16 (1.12, 1.21)	1.63 (1.56, 1.71)
-6 (-12, 1)	-4 (-8, -0.3)	-3 (-8, 2)	-5 (-11, 2)
NDM-CRE Unadjusted	d Incidence Rates/ 100,000 Pe	rsons (95% CI)	
0.28 (0.25, 0.32)	0.21 (0.20, 0.23)	0.18 (0.16, 0.20)	0.28 (0.25, 0.32)
0.22 (0.20, 0.25)	0.19 (0.18, 0.20)	0.17 (0.15, 0.19)	0.24 (0.21, 0.27)
0.51 (0.47, 0.55)	0.35 (0.33, 0.37)	0.26 (0.24, 0.29)	0.54 (0.50, 0.59)
1.02 (0.97, 1.08)	0.63 (0.60, 0.65)	0.41 (0.39, 0.44)	1.12 (1.06, 1.19)
1.55 (1.48, 1.62)	1.03 (1.00, 1.07)	0.75 (0.71, 0.79)	1.71 (1.63, 1.79)
500 (387, 524)	390 (352, 432)	318 (274, 368)	506 (436, 587)
	CP-CRE Unadjusted 2.22 (2.13, 2.31) 1.65 (1.58, 1.72) 2.17 (2.08, 2.25) 2.67 (2.58, 2.76) 3.67 (3.56, 3.78) 66 (57, 74) KPC-CRE Unadjusted 1.71 (1.63, 1.79) 1.28 (1.21, 1.34) 1.43 1.37, 1.50) 1.43 (1.36, 1.47) 1.61 (1.54, 1.69) -6 (-12, 1) NDM-CRE Unadjusted 0.28 (0.25, 0.32) 0.22 (0.20, 0.25) 0.51 (0.47, 0.55) 1.02 (0.97, 1.08) 1.55 (1.48, 1.62)	States w/CRE Isolate Submission Required for 36 consecutive monthsa CP-CRE Unadjusted Incidence Rates/ 100,000 Pers 2.22 (2.13, 2.31) 1.73 (1.68, 1.77) 1.65 (1.58, 1.72) 1.36 (1.33, 1.40) 2.17 (2.08, 2.25) 1.68 (1.64, 1.73) 2.67 (2.58, 2.76) 1.96 (1.91, 2.00) 3.67 (3.56, 3.78) 2.64 (2.58, 2.69) 66 (57, 74) 53 (47, 57) KPC-CRE Unadjusted Incidence Rates/ 100,000 Pers 1.71 (1.63, 1.79) 1.38 (1.34, 1.42) 1.28 (1.21, 1.34) 1.06 (1.03, 1.10) 1.43 1.37, 1.50) 1.18 (1.14, 1.21) 1.43 (1.36, 1.47) 1.18 (1.15, 1.22) 1.61 (1.54, 1.69) 1.32 (1.28, 1.36) -6 (-12, 1) -4 (-8, -0.3) NDM-CRE Unadjusted Incidence Rates/ 100,000 Pers 1.32 (1.28, 1.36) -6 (-12, 1) -4 (-8, -0.3) NDM-CRE Unadjusted Incidence Rates/ 100,000 Pers 1.32 (1.28, 1.36) -6 (-12, 1) -4 (-8, -0.3) NDM-CRE Unadjusted Incidence Rates/ 100,000 Pers 1.32 (1.28, 1.36) -6 (-12, 1) -4 (-8, -0.3) NDM-CRE Unadjusted Incidence Rates/ 100,000 Pers 1.32 (1.28, 1.36) -6 (-12, 1) -4 (-8, -0.3) NDM-CRE Unadjusted Incidence Rates/ 100,000 Pers 1.32 (1.28, 1.36) -6 (-12, 1) -4 (-8, -0.3) NDM-CRE Unadjusted Incidence Rates/ 100,000 Pers 1.32 (1.28, 1.36) -6 (-12, 1) -4 (-8, -0.3) NDM-CRE Unadjusted Incidence Rates/ 100,000 Pers 1.32 (1.28, 1.36) -6 (-12, 1) -4 (-8, -0.3) NDM-CRE Unadjusted Incidence Rates/ 100,000 Pers 1.32 (1.28, 1.36) -6 (-12, 1) -4 (-8, -0.3) NDM-CRE Unadjusted Incidence Rates/ 100,000 Pers 1.32 (1.28, 1.36) -6 (-12, 1) -4 (-8, -0.3)	States w/CRE Isolate Submission Required for 36 consecutive months³ District of Columbia³ Columbia, and Puerto Rico w/o CRE Isolate Submission Required° CP-CRE Unadjusted Incidence Rates/ 100,000 Persons (95% CI) 2.22 (2.13, 2.31) 1.73 (1.68, 1.77) 1.47 (1.43, 1.52) 1.65 (1.58, 1.72) 1.36 (1.33, 1.40) 1.22 (1.17, 1.27) 2.17 (2.08, 2.25) 1.68 (1.64, 1.73) 1.42 (1.37, 1.47) 2.67 (2.58, 2.76) 1.96 (1.91, 2.00) 1.57 (1.51, 1.62) 3.67 (3.56, 3.78) 2.64 (2.58, 2.69) 2.08 (2.02, 2.14) 66 (57, 74) 53 (47, 57) 41 (35, 48) KPC-CRE Unadjusted Incidence Rates/ 100,000 Persons (95% CI) 1.71 (1.63, 1.79) 1.38 (1.34, 1.42) 1.20 (1.16, 1.25) 1.28 (1.21, 1.34) 1.06 (1.03, 1.10) 0.95 (0.91, 0.99) 1.43 1.37, 1.50) 1.18 (1.14, 1.21) 1.04 (1.00, 1.08) 1.43 (1.36, 1.47) 1.18 (1.15, 1.22) 1.05 (1.01, 1.10) 1.61 (1.54, 1.69) 1.32 (1.28, 1.36) 1.16 (1.12, 1.21) -6 (-12, 1) -4 (-8, -0.3) -3 (-8, 2) NDM-CRE Unadjusted Incidence Rates/ 100,000 Persons (95% CI) 0.28 (0.25, 0.32)

Footnote: Trends were assessed using a Poisson generalized linear model with robust sandwich estimators and log-link adjusting for age group. ^a Includes 29 U.S. states with required CRE isolates submission by July 1, 2020; ^b Includes all 50 U.S. states and the District of Columbia; ^c Includes 22 U.S. states, District of Columbia, and Puerto Rico without CRE isolates reporting requirements by July 1, 2020; ^d Includes 24 U.S. states with CRE isolate submission before July 1, 2018.

Table §8. Trend Analysis of CP-CRE Incidence Rates of Genera Across an Open Cohort of U.S. States with Required CRE Isolate Submission, 2019-2023

-				
	<u>CP-CRE</u>	<u>KPC</u>	<u>NDM</u>	OXA-48-like
	Cumulative 5-	Cumulative 5-	Cumulative 5-	Cumulative 5-
	Year	Year	Year	Year
	% Change ^a	% Change ^a	% Change ^a	% Change ^a
Group	(95% CI)	(95% CI)	(95% CI)	(95% CI)
Genus				
Klebsiella spp.	71 (61, 82)	-9 (-15, -1)	909 (730, 1140)	151 (70, 281)
Enterobacter spp.	48 (32, 67)	4 (-11,20)	283 (180, 435)	
E. coli	65 (45, 87)	0.1 (-19, 23)	161 (116, 217)	-9 (-36, 28)

Footnote: Trends were assessed using a Poisson generalized linear model with robust sandwich estimators and log-link adjusting for age group.

⁻⁻ model wouldn't converge due to small N's

^aPercent Change= (e^p-1)*100, where p is the modeled parameter estimate for the variable year

Figure §1. Sensitivity Analysis of Unadjusted CP-CRE, KPC-CRE, and NDM-CRE Incidence Rates in an Open Cohort with and without Outlier States. Footnote: Open cohort includes 29 U.S. states with required CRE isolates submission by July 1, 2020; w/o outlier states include all states from the Open cohort except for those states with extreme effect estimates.

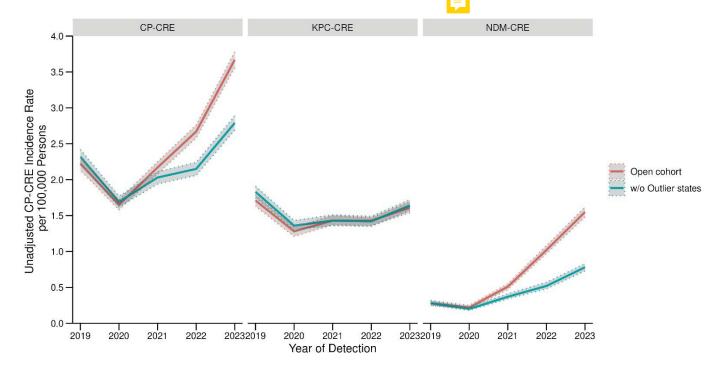


Figure §2. Percent of Isolates with Carbapenemase Detected from Carbapenem-Resistant (A) *Klebsiella spp.*, (B) *Escherichia coli*, and (C) *Enterobacter spp.* Clinical Isolates among an Open Cohort of U.S. States with Required CRE Isolate Submission, 2019-2023. Footnote: CP-CRE, includes Klebsiella spp., Enterobacter spp., and Escherichia coli with the presence of mCIM+/PCR-, blaKPC, blaNDM, blaVIM, blaIMP, and blaOXA-48-like carbapenemase gene. VIM- and IMP-CRE are not shown due to the number of isolates representing <1% of all CP-CRE. Abbreviations: CP-CRE, carbapenemase-producing carbapenem-resistant Enterobacterales.

