Fixing with a fat tail

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Abstract

This code generates excursions of the evolution of a haploid population partitioned into two genetic types, with viability weight determined by $W = e^{-s(g-g_0)^2}$, where g is the genetic type of a given individual, and g_0 is the optimal type, and s>0 is the strength of selection. The population evolves according to a model of random sweepstakes and viability selection and randomly occurring bottlenecks. We estimate the probability of fixation of the type conferring advantage, and the expected time to fixation conditional on fixation of the advantageous type.

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1 Copyright

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2 Compilation, output and execution

This CWEB $^{(4)}$ document (the .w file) can be compiled with cweave to generate a .tex file, and with ctangle to generate a .c $^{(3)}$ file.

One can use cweave to generate a .tex file, and ctangle to generate a .c file. To compile the C++ code (the .c file), one needs the GNU Scientific Library, and the C++ boost library. Using a Makefile can be helpful, calling this file iguana.w

3 introduction

We consider a haploid population of fixed size N. Let $X^N, X_1^N, \ldots, X_N^N$ be i.i.d. discrete random variables taking values in $\{1, \ldots, \Psi_N\}$; the X_1^N, \ldots, X_N^N denote the random number of juveniles independently produced in a given generation according to

$$\mathbb{P}\left(X^N = k\right) = \frac{(\Psi_N + 1)^{\alpha}}{(\Psi_N + 1)^{\alpha} - 1} \left(\frac{1}{k^{\alpha}} - \frac{1}{(k+1)^{\alpha}}\right), \quad 1 \le k \le \Psi_N. \tag{1}$$

The mass in Eq (1) is normalised so that $\mathbb{P}\left(1 \leq X^N \leq \Psi_N\right) = 1$, and $\mathbb{P}\left(X^N = k\right) \geq \mathbb{P}\left(X^N = k + 1\right)$. Given a pool of at least N juveniles, we sample N juveniles for the next generation. Leaving out an atom at zero gives $X_1^N + \dots + X_N^N \geq N$ almost surely, guaranteeing that we always have at least N juveniles to choose from in each generation.

Write $X_1 \sim L(\alpha, \Psi_N)$ if X_1 is distributed according to Eq (1) for given values of α and Ψ_N . Let $1 < \alpha_1 < 2$ and $\alpha_2 > 2$ be fixed and consider the mixture distribution (2)

$$X_1, \dots, X_N \sim \begin{cases} L(\alpha_1, \Psi_N) & \text{with probability } \varepsilon_N, \\ L(\alpha_2, \Psi_N) & \text{with probability } 1 - \varepsilon_N. \end{cases}$$
 (2)

Similarly, by identifying the appropriate scaling of ε_N one can keep α fixed and varied $\Psi_N^{(1)}$.

Each juvenile inherits the type of its' parent, and is assigned a viability weight z according to the type; the wild type is assigned the weight $z = e^{-s}$ for some fixed s > 0, and advantageous type the weight one. For each juvenile we sample an exponential with rate the given viability weight, and the N juveniles with the smallest exponential replace the parents. In any given generation a bottleneck of a fixed size N_b occurs with a fixed probability. If a bottleneck occurs we sample N_b individuals independently and uniformly at random without replacement. The surviving individuals then produce juveniles, and if the total number of juveniles is less than the capacity N all the juveniles survive, otherwise we assign weights and sample N juveniles according to the weights.

Let $Y_t \equiv \{Y_t : t \geq 0\}$ denote the frequency of the type conferring selective advantage,

and write $T_k(y) := \min\{t \ge 0 : Y_t = k, Y_0 = y\}$. We are interested in the quantitites

$$p_N := \mathbb{P} (T_N(1) < T_0(1))$$

$$\tau_N := \mathbb{E} [T_N(1) : T_N(1) < T_0(1)]$$
(3)

4 Code

We collect the key containers and constants into a struct § 4.2, we use the GSL random number generator § 4.3, in § 4.4 we compute the cumulative density function for sampling random numbers of juveniles according to the inverse CDF method, in § 4.5 we sample a random number of juveniles, in § 4.6 we define a comparison function for sorting the exponentials in § 4.7, in § 4.8 we sample a pool of juveniles and assign weight to them in § 4.9, in § 4.11 we sample the number of individuals of the advantageous type surviving a bottleneck, in § 4.12 we count the number of advantageous type surviving selection according to their weight, in § 4.13 we step through one generation by checking if a bottleneck occurs and then produce juveniles if neither fixation nor loss of the advantageous type occurs, in § 4.14 we generate one excursion until fixation or loss of the advantageous type starting with one copy of the advantageous type, the main module § 4.15 generates a given number of trajectories, § 5 holds examples of trajectories to fixation of the advantageous type.

4.1 Includes

The included libraries.

```
5 \langle \text{includes } 5 \rangle \equiv
  #include <iostream>
   #include <fstream>
  #include <vector>
   #include <random>
   #include <functional>
  #include <memory>
  #include <utility>
   #include <algorithm>
   #include <ctime>
   #include <cstdlib>
   #include <cmath>
   #include <list>
   #include <string>
   #include <fstream>
   #include <chrono>
   #include <forward_list>
   #include <assert.h>
   #include <math.h>
   #include <unistd.h>
   #include <gsl/gsl_rng.h>
   #include <gsl/gsl_randist.h>
   This code is used in chunk 19.
```

4.2 the data struct

The data structure collecting the main containers and the constants; we start with the type conferring advantage in one copy, unless otherwise stated.

```
6 \langle \text{structM } 6 \rangle \equiv
     struct M { const size_t N = 1000000;
         /* keep alpha_one less than or equal to alpha_two
           */
     const double alpha\_one = 0.75;
     const double alpha\_two = 3.0;
                                         /* psi is the cutoff \Psi_N
     const size_t cutoff = N;
     const size_t bottlenecksize = 100;
     const double pbottle = 0.1;
         /* psi is psione and psione / N to 0; need pN = (psione/N)^{2-alpha} */
     const double psi = static\_cast \langle double \rangle (cutoff);
     const double psitwo = static\_cast \langle double \rangle (cutoff);
         /* c_strength_selection the strength of selection s > 0
           */
     const double c\_strength\_selection = 0.5;
         /* for cutoffs need pN as epsilonN and pN = (psione/N)^{2-alpha}
                  /* epsilon_N is the probability of producing juveniles with alpha_one or
          psitwo; consider as \varepsilon_N = c/N where c_c is c > 0 a constant
                  /* for cutoffs need pN as epsilonN and pN = (psione/N)^{2-alpha} */
     const double epsilon N = 0.1;
                                           /* 1./static\_cast\langle double \rangle(N);
                  /* probability of psi is one minus epsilon; probability of psi2 is epsilon */
     const int number\_experiments = 1000;
     const std::stringc_configuration = "_tablen";
     const std::string c_excursion_skra = "excursion_skra_" + c_configuration + "_.txt";
```

```
size_t SN = 0;
size_t SNW = 0;
                  /* initial number of copies of advantageous type
    */
size_t y = 1;
size_t Nprime
{}
double Nth
{}
     /* CDF using alpha_one
std::vector < double > cdf_one
{}
     /* CDF using alpha_two
std::vector < \mathbf{size_t} > index
{}
; std::vector < double > cdf_two
{}
; std::vector < double > vEall
{}
; std :: vector < double > vE
{}
; void freemem() { index.clear(); std::vector < size_t > ().swap(index);
     vE.clear(); std::vector < \mathbf{double} > ().swap(vE);
    vEall.clear(); std::vector < double > ().swap(vEall);
    cdf\_two.clear(); std::vector < \mathbf{double} > ().swap(cdf\_two);
```

4.3 the random number generator

```
7  \langle gsl_rng * rngtype;
    static void setup_rng(unsigned long int s)
{
       const gsl_rng_type*T;
       gsl_rng_env_setup();
       T = gsl_rng_default;
       rngtype = gsl_rng_alloc(T);
       gsl_rng_set(rngtype, s);
}
```

4.4 compute the CDF

Compute the cumulative density function for the distribution of juveniles Eq (1); used for sampling number of juveniles using the inverse cdf method in § $\tilde{}$??SEC:random_number juveniles.

```
static void invcdf (M &self)
{
    self .cdf_one.clear();
    self .cdf_two.clear();
    self .cdf_two.push_back(0.);
    self .cdf_two.push_back(0.);
    double k = 1.;
    while (k \le self .psi) {
        self .cdf_two.push_back(self .cdf_two.back() + self .masspx(k, self .alpha_two, self .psi));
        self .cdf_one.push_back(self .cdf_one.back() + self .masspx(k, self .alpha_one, self .psi));
        ++k;
    }
}
```

4.5 sample a random number of juveniles

Sample a random number of juveniles using the inverse-cdf method, i.e. drawing a random uniform and check where it lands, i.e. if F denotes the cumulative density function we compute

$$j = \min\{k \in \mathbb{N} : F(k) > u\} \tag{4}$$

The CDF is computed in § 4.4.

```
9 \langle \text{ sample } j \rangle \equiv
     static size_t samplexi(M &self, const size_t one_two)
           /* we sample at least one juvenile
             */
        size_t j = 1; /* sample a random uniform
             */
        const double u = gsl\_rng\_uniform(rngtype);
        ;
        if (one_two < 2) { /* use CDF for alpha_one
               */
          while (u > self.cdf\_one[j]) {
             ++j;
          }
        }
        else { /* use CDF for alpha_two
          while (u > self.cdf_two[j]) {
             ++j;
          }
        }
        assert(j \leq static\_cast \langle size\_t \rangle (self.psi));
        return (j);
```

}

4.6 comparison module for partial sorting

Comparison module for partial sorting of juveniles given their viability weight; used in § 4.7.

```
10 \langle \text{comp } 10 \rangle \equiv

static bool comp(\text{const double } a, \text{const double } b)

{

return (a < b);
}
```

4.7 compute the Nth element

Compute the Nth element using partial sorting into ascending order using the comparison function § 4.6. If $S_N > N$ juveniles, then returns the value t so that

$$\sum_{1 \le i \le S_N} \mathbb{1}_{\{t_i \le t\}} = N \tag{5}$$

4.8 sample pool of juveniles

Sample a random number of juveniles for a given subset of the current individuals using § 4.5; returns the total number of juveniles.

12 \langle sample pool juveniles $12 \rangle \equiv$

```
static size_t samplepool(const size_t c_ninds, const size_t c_one_two, M &self)
      /* c_ninds is number of individuals of a given type; c_one_two is the indicator for
       using \alpha_1 or \alpha_2
        */
  assert(c\_ninds > 0);
  assert(c\_ninds \leq self.N);
  int j = \text{static\_cast}\langle \text{int} \rangle (c\_ninds + 1);
  size_t \ telja = 0;
  size_t SN = 0;
  while (-j > 0) { /* counter for internal control
          */
     ++ telja; /* samplexi in § 4.5
     SN += samplexi(self, c\_one\_two);
  }
  assert(SN > 0);
  assert(telja \equiv c\_ninds);
  return (SN);
}
```

4.9 assign weight to juveniles

Assign weight to all juveniles; the weight of the advantageous type is one, of the wild type is $\exp(-s)$ where s > 0 is the strength of selection. We record a random exponential with rate the corresponding weight.

```
13 \langle assignweight 13 \rangle \equiv
       static void assignweight(\mathbf{M} \& self, gsl\_rng * r) { /* assign weights to all juveniles;
                 SNW is the number of juveniles of the advantageous type, SN the number of
                 juveniles of the wild type
            assert(self.SNW > 0);
            assert(self.SN > 0);
            int i = \text{static\_cast}(\text{int})(self.\text{SNW} + 1); /* clear the containers with the weights
                 */
                                  /*\ vEall\ contains\ all\ the\ weights\ for\ computing\ the\ Nth element
            self.vE.clear();
                 */
            self.vEall.clear();
            self.vE.shrink\_to\_fit(); std::vector < \mathbf{double} > ().swap(self.vE);
            self.vEall.shrink_to_fit(); std::vector < double > ().swap(self.vEall);
            while (-i > 0) { /* the optimal genotype correspondingly trait value is
                    g_0 = 0, so weight is W = 1
                    */
              self.vE.push\_back(gsl\_ran\_exponential(r, 1.));
              self.vEall.push_back(self.vE.back());
            i = \mathbf{static\_cast}\langle \mathbf{int} \rangle (self.SN + 1);
            while (-i > 0) { /* the wild type is denoted 1, so weight is W = e^{-s}
              self.vEall.push\_back(gsl\_ran\_exponential(r, 1./exp(-self.c\_strength\_selection)));
```

```
}
```

4.10 count survivors of a bottleneck

Count number of individuals of the advantageous type surviving a bottleneck N_b . We suppose the y individuals of the advantageous type are enumerated from 0 to y-1, we shuffle the N indexes and count, with $p := \min(y, N_b)$,

$$y' = \sum_{i=1}^{N} \mathbb{1}_{\{\sigma(i) < p\}}$$
 (6)

where $\sigma(i)$ is the shuffled index.

```
14 \langle surviving 14\rangle \equiv
```

4.11 surviving bottleneck by hypergeometric

The number surviving a bottleneck is a hypergeometric.

```
static void surviving_bottleneckHypergeometric(M &self, gsl_rng * r)
{
    const unsigned newy = gsl_ran_hypergeometric(r, self.y, self.Nprime, self.bottlenecksize);
    /* set number of good type to count of surviving bottleneck
    */
    self.y = (newy < self.bottlenecksize ? newy : self.N);
    /* Nprime is number of wild type
    */
    self.Nprime = (newy < self.bottlenecksize ? self.bottlenecksize - newy : 0);
}</pre>
```

4.12 count surviving by weight

Count the number of juveniles of the advantageous type surviving a selection by weight.

```
static void count_number_surviving_assigning_weight(M &self) { self.y = 0;
    /* self.vE is container with juveniles of the advantageous type
    */
    for (const auto &t:self.vE)
    {
        self.y += (t ≤ self.Nth? 1:0);
    }
}
```

4.13 one step

take one step through an excursion

```
17 \langle \text{ onestep } 17 \rangle \equiv
       static void onestep_after_bottleneck(M & self, gsl_rng * r)
             /* check if bottleneck occurs, and if it occurs sample surviving § 4.11
              */
         if (gsl\_rng\_uniform(r) < self.pbottle) {
            surviving\_bottleneckHypergeometric(self, r);
         }
         if (self.y > 0) {
           if (self.y < self.N) { /* need to sample juveniles
              const size_t c\_one\_two = (gsl\_rng\_uniform(r) < self.epsilon\_N ? 1 : 2);
              assert(self.y > 0);
              assert (self . Nprime > 0); /* sample juveniles with advantageous type § 4.8
              self .SNW = samplepool(self .y, c_one_two, self);
                  /* sample juveniles with wild type
              self.SN = samplepool(self.Nprime, c_one_two, self);
              if (self.SNW + self.SN \le self.N) {
                    /* total number of juveniles does not exceed N so all survive
                     */
                self.y = self.SNW;
                self.Nprime = self.SN;
              }
                          /* total number of juveniles exceeds N so need to assign weights § 4.9
                      */
```

```
assignweight(self, r); /* compute the Nth smallest exponential § 4.7
              */
         nthelm(self); /* § 4.12
              */
         count_number_surviving_assigning_weight(self);
         assert(self.y \leq self.N);
         self.Nprime = self.N - self.y;
       }
    }
    else {
       assert(self.y \equiv self.N);
    }
  }
  else {
    assert(self.y < 1);
  }
}
```

4.14 trajectory

```
18 \langle \text{traject } 18 \rangle \equiv
       static void trajectory(\mathbf{M} \& self, gsl\_rng * r) \{ std :: vector < \mathbf{double} > excursion\_to\_fixation \}
            {}
            double timi = 0.0;
            int j = 0;
            ; std::vector < double > current_number_individuals
            {}
            self.y = 1;
            self.Nprime = self.N - 1;
            while ((self.y < self.N) \land (self.y > 0)) {
               current_number_individuals.push_back(self.Nprime + self.y);
               excursion_to_fixation.push_back(self.y);
               timi += 1.0;
               onestep_after_bottleneck(self, r);
            }
            printf("%d_{\sqcup}%g\n", self.y < self.N? 0:1, timi); if (self.y > 0) {
                  std::cout « self.c_excursion_skra « '\n';
            std::ofstream f (self .c_excursion_skra, std::ofstream::app);
            assert(f.is_open()); for (auto const &y:excursion_to_fixation)
            {
               f \ll y/current\_number\_individuals[j] \ll '_{\sqcup}';
               ++j;
            f \ll "1 \ ";
            f.close(); } excursion_to_fixation.clear();
```

4.15 the main module

```
The main function 19 \langle \text{includes } 5 \rangle
```

```
⟨structM 6⟩
\langle gslrng 7 \rangle
⟨cdf 8⟩
\langle \text{ samplej } 9 \rangle
\langle \text{comp } 10 \rangle
\langle nth 11 \rangle
\langle sample pool juveniles 12\rangle
(assignweight 13)
\langle surviving 14\rangle
\langle Bottleneckypergeometric 15\rangle
⟨byweight 16⟩
⟨onestep 17⟩
⟨traject 18⟩
int main(int argc, char *argv[])
{
   \mathbf{M} d
   {}
   setup\_rng(static\_cast \langle unsigned\ long\ int \rangle (atoi(argv[1])));
   invcdf(d);
   for (int i = 0; i < d.number\_experiments; ++i) {
      printf("%d_{\square}/_{\square}%d_{\square}:_{\square}", i, d.number\_experiments);
      trajectory(d, rngtype);
```

```
} /* clear the memory occupied by the containers 4.2
     */
d.freemem(); /* free the random number generator in § 4.3
     */
gsl_rng_free(rngtype);
return 0;
}
```

5 example excursions

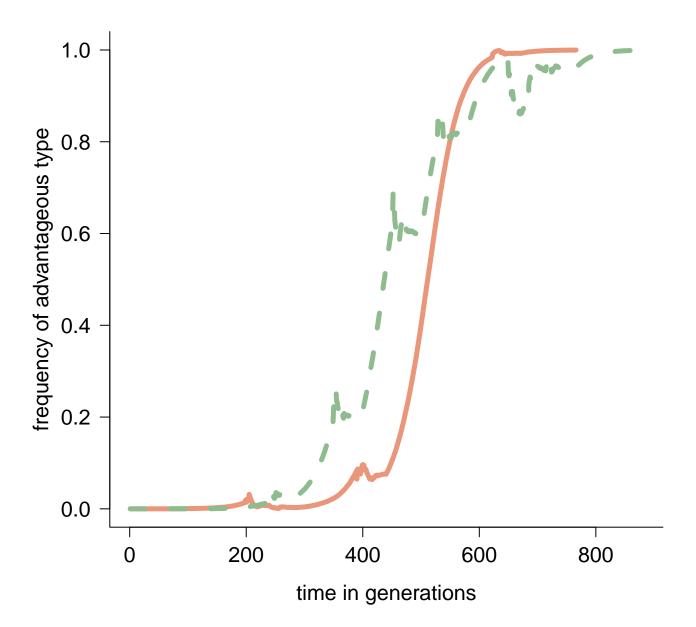


Figure 1: Examples of excursions to fixation for $N=10^6$, $\alpha_1=1.05$, $\alpha_2=3$, cutoff $\Psi_N=N$ Eq (1), $\varepsilon_N=1/N$, selection strength s=0.1, size of bottleneck 10^2 , probability of a bottleneck in any given generation 0.01

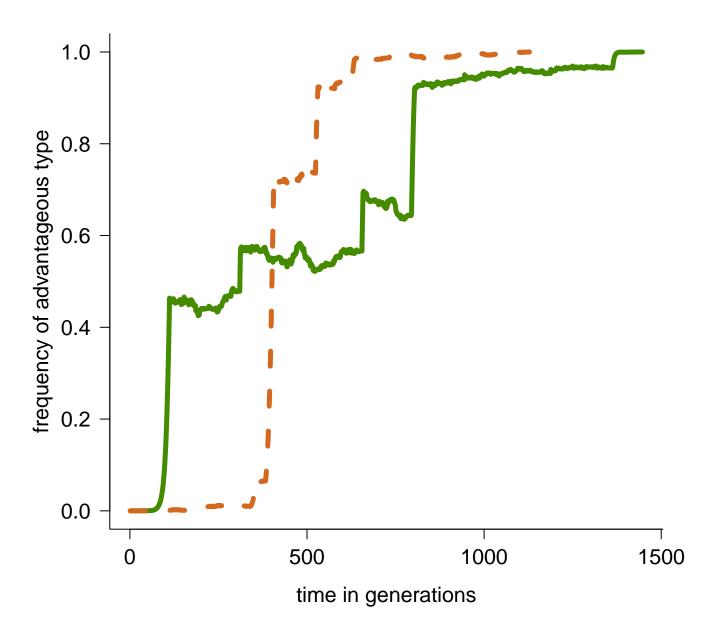


Figure 2: Examples of excursions to fixation for $N=10^6$, $\alpha_1=1.05$, $\alpha_2=3$, cutoff $\Psi_N=N$ Eq (1), $\varepsilon_N=1/N$, selection strength s=0.5, size of bottleneck 10^4 , probability of a bottleneck in any given generation 0.1

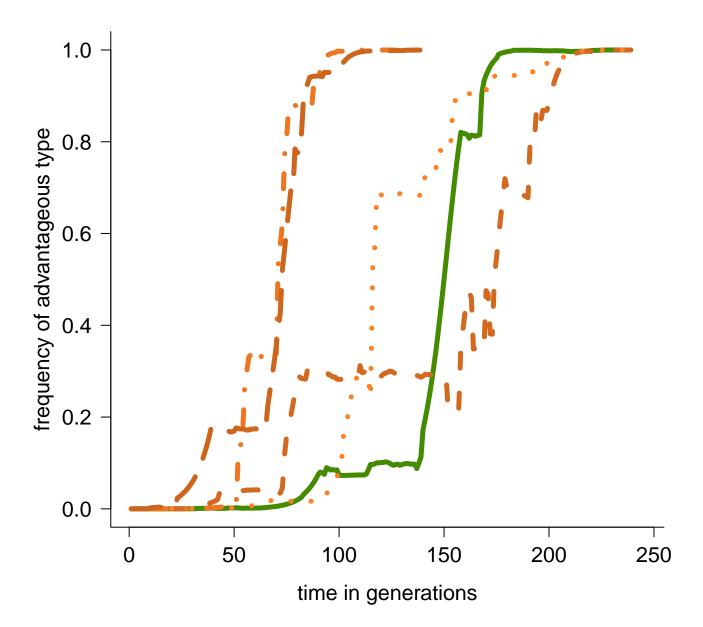


Figure 3: Examples of excursions to fixation for $N=10^6$, $\alpha_1=1.05$, $\alpha_2=3$, cutoff $\Psi_N=N$ Eq. (1), $\varepsilon_N=0.1$, selection strength s=0.5, size of bottleneck 10^4 , probability of a bottleneck in any given generation 0.1; results from 10^3 experiments

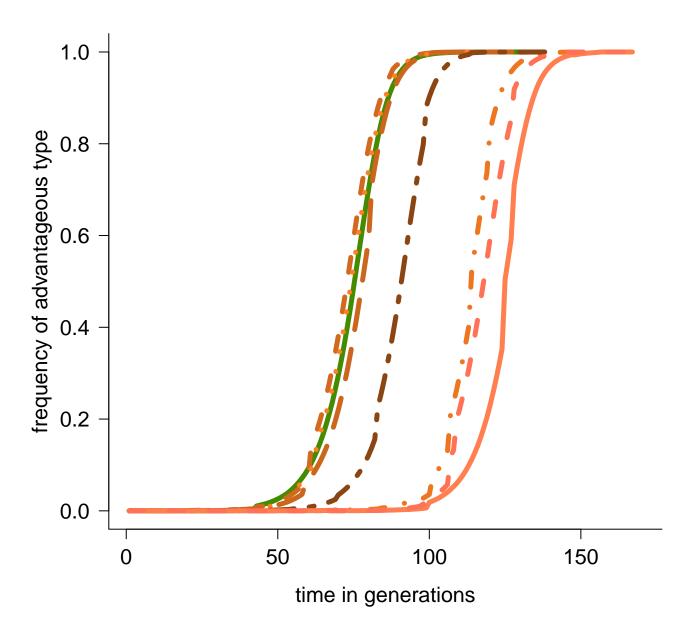


Figure 4: Examples of excursions to fixation for $N=10^6$, $\alpha_1=0.75$, $\alpha_2=3$, cutoff $\Psi_N=N$ Eq. (1), $\varepsilon_N=0.1$, selection strength s=0.5, size of bottleneck 10^4 , probability of a bottleneck in any given generation 0.01; results from 10^2 experiments

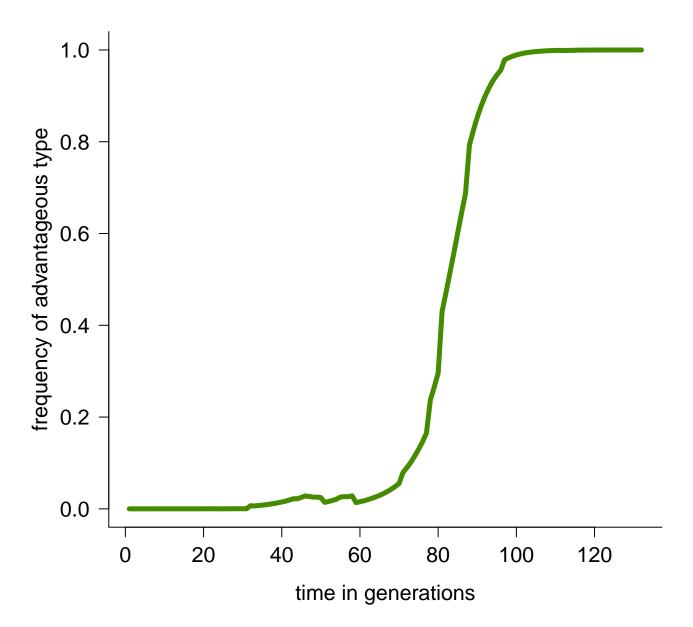


Figure 5: Examples of excursions to fixation for $N=10^6$, $\alpha_1=.75$, $\alpha_2=3$, cutoff $\Psi_N=N$ Eq (1), $\varepsilon_N=0.1$, selection strength s=0.5, size of bottleneck 10^4 , probability of a bottleneck in any given generation 0.1; results from 10^2 experiments

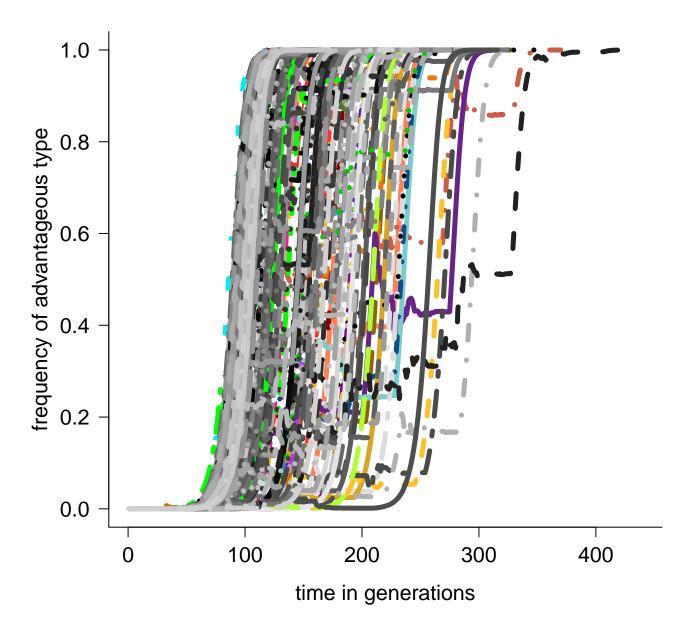


Figure 6: Examples of excursions to fixation for $N=10^6$, $\alpha_1=0.75$, $\alpha_2=3$, cutoff $\Psi_N=N$ Eq (1), $\varepsilon_N=1/N$, selection strength s=0.5, size of bottleneck 10^2 , probability of a bottleneck in any given generation 0.01; results from 10^2 experiments

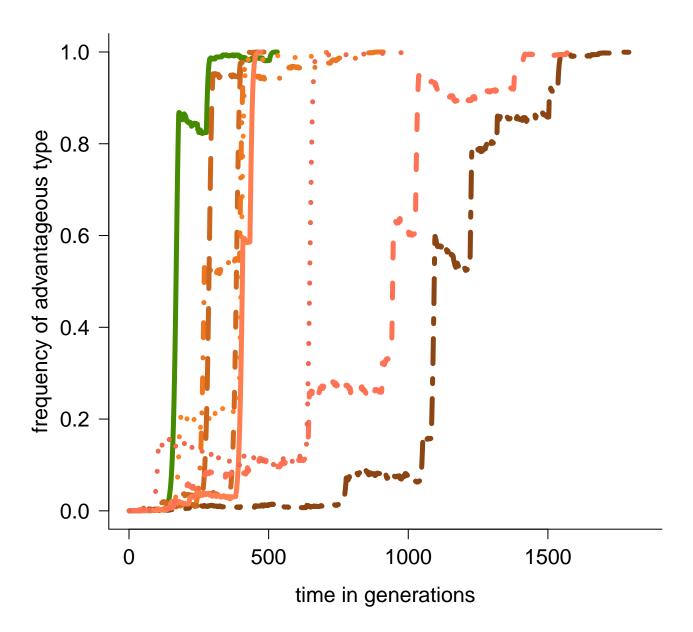


Figure 7: Examples of excursions to fixation for $N=10^6$, $\alpha_1=0.75$, $\alpha_2=3$, cutoff $\Psi_N=N$ Eq (1), $\varepsilon_N=1/N$, selection strength s=0.5, size of bottleneck 10^4 , probability of a bottleneck in any given generation 0.1; results from 10^3 experiments

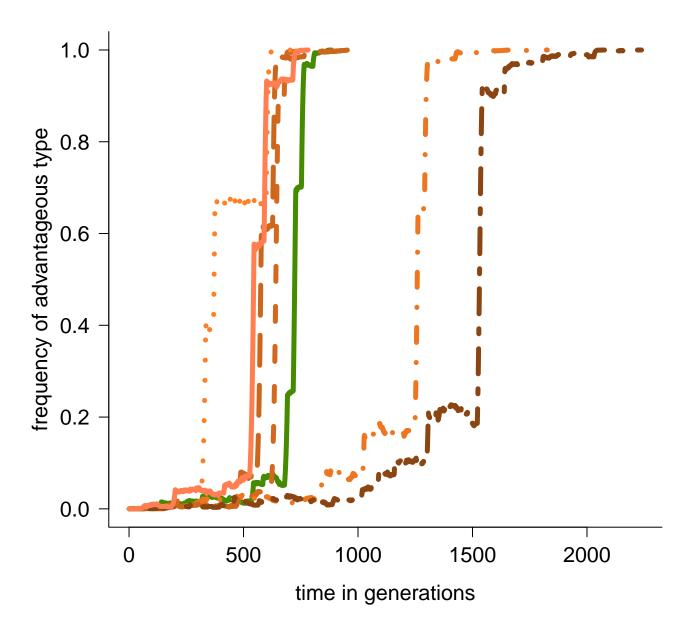


Figure 8: Examples of excursions to fixation for $N=10^6$, $\alpha_1=0.75$, $\alpha_2=3$, cutoff $\Psi_N=N$ Eq (1), $\varepsilon_N=1/N$, selection strength s=0.5, size of bottleneck 10^4 , probability of a bottleneck in any given generation 0.1; results from 10^3 experiments

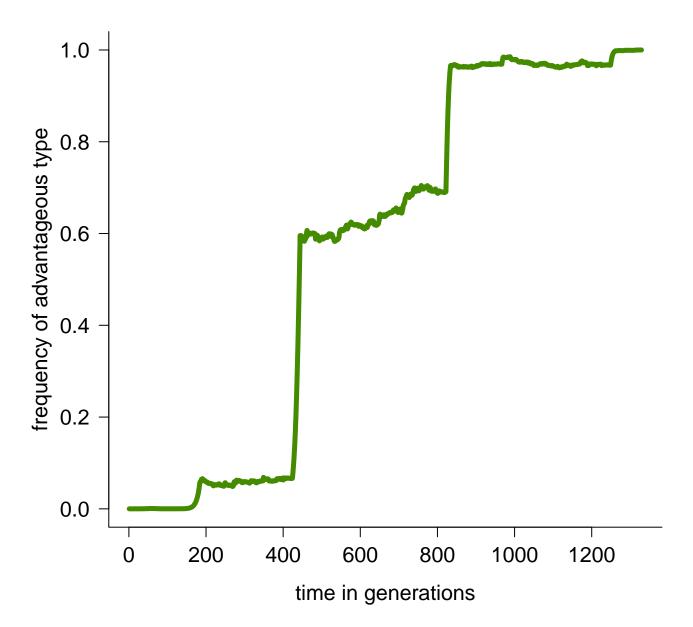


Figure 9: Examples of excursions to fixation for $N=10^6$, $\alpha_1=0.75$, $\alpha_2=3$, cutoff $\Psi_N=N$ Eq (1), $\varepsilon_N=1/N$, selection strength s=0.5, size of bottleneck 10^4 , probability of a bottleneck in any given generation 0.1; results from 10^2 experiments

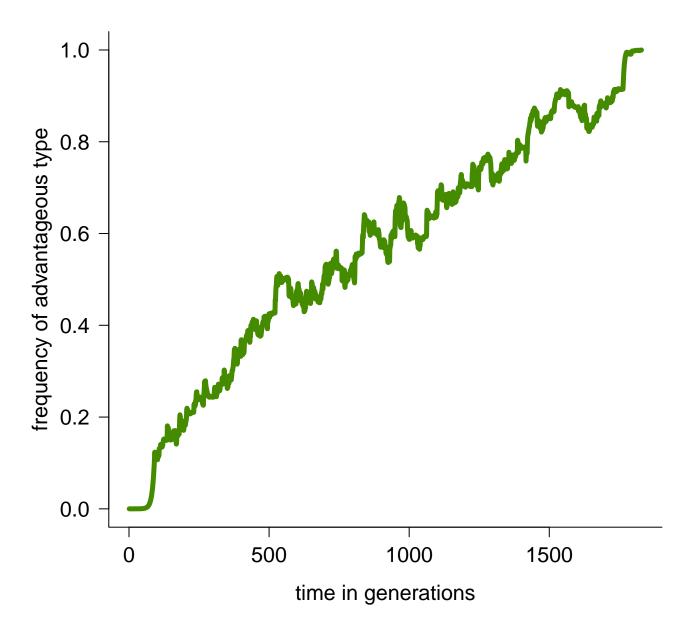


Figure 10: Examples of excursions to fixation for $N=10^6$, $\alpha_1=0.75$, $\alpha_2=3$, cutoff $\Psi_N=N$ Eq (1), $\varepsilon_N=1/N$, selection strength s=0.5, size of bottleneck 10^3 , probability of a bottleneck in any given generation 0.1; results from 10^3 experiments

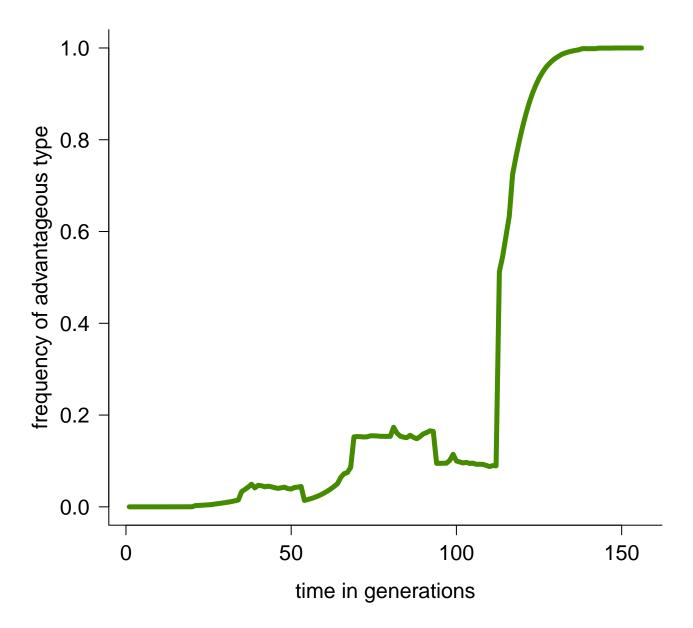


Figure 11: Examples of excursions to fixation for $N=10^6$, $\alpha_1=0.75$, $\alpha_2=3$, cutoff $\Psi_N=N$ Eq (1), $\varepsilon_N=0.1$, selection strength s=0.5, size of bottleneck 10^3 , probability of a bottleneck in any given generation 0.1; results from 10^3 experiments

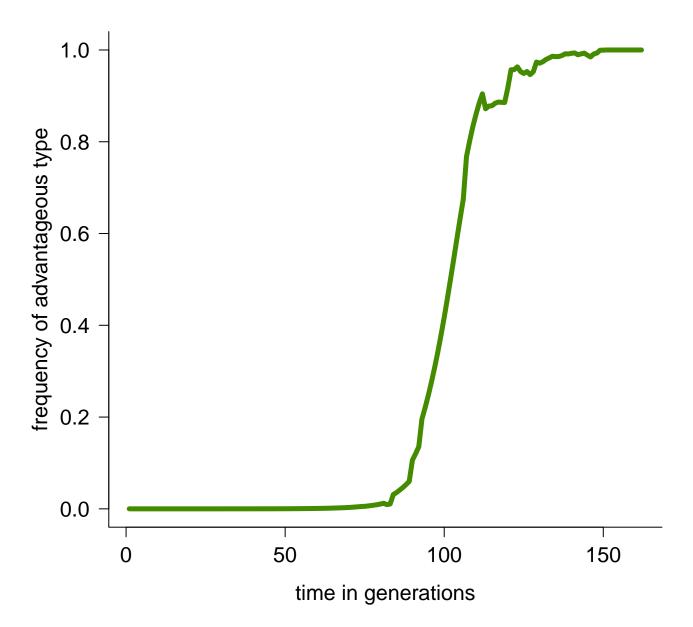


Figure 12: Examples of excursions to fixation for $N=10^6$, $\alpha_1=0.75$, $\alpha_2=3$, cutoff $\Psi_N=N$ Eq (1), $\varepsilon_N=0.1$, selection strength s=0.5, size of bottleneck 10^2 , probability of a bottleneck in any given generation 0.1; results from 10^3 experiments

6 conclusion

We are interested in understanding how random sweepstakes and randomly occurring bottlenecks affect viability selection in a haploid population.

In the absence of selection and bottlenecks the transition of Y_t is given by a hypergeometric, for $0 \le x \le N$

$$\mathbb{P}(Y_{t+1} = x : Y_t = y) = \mathbb{E}\left[\mathbb{P}\left(Y_{t+1} = x : Y_t = y, S_y, S_{N-y}\right)\right] = \mathbb{E}\left[\frac{\binom{S_y}{x}\binom{S_{N-y}}{N-x}}{\binom{S_N}{N}}\right]$$
(7)

where S_y is the random number of juveniles produced by y parents of the advantageous type, and S_{N-y} is the random number of juveniles produced by N-y parents of the wild type and $S_N = S_{Y_t} + S_{N-Y_t}$. Given our model of random sweepstakes Eq (2) and Eq (1) we have $S_N \ge N$ almost surely.

In the presence of bottlenecks let N_t denote the population size at time t, i.e. with B the bottleneck size $B \le N_t \le N$ and 1 < B < N. Then, with $S_{N_t} = S_y + S_{N_t - y}$

$$\mathbb{P}(Y_{t+1} = x : Y_t = y) = \mathbb{E}\left[\mathbb{1}_{\left\{S_y = x, S_{N_t} \le N\right\}}\right] + \mathbb{E}\left[\frac{\binom{S_y}{x}\binom{S_{N_t - y}}{N - x}}{\binom{S_{N_t}}{N}}\mathbb{1}_{\left\{S_{N_t} > N\right\}}\right]$$
(8)

Including selection corresponds to weighted sampling where the probability of sampling a juvenile with weight one is

$$\frac{S_{y}}{S_{y} + e^{-s} S_{N_{t} - y}} \tag{9}$$

when y parents are of type with weight one.

A mathematical investigation of our model will have to be postponed for future study.

7 references

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