

CSE221

Trees

Fall 2021

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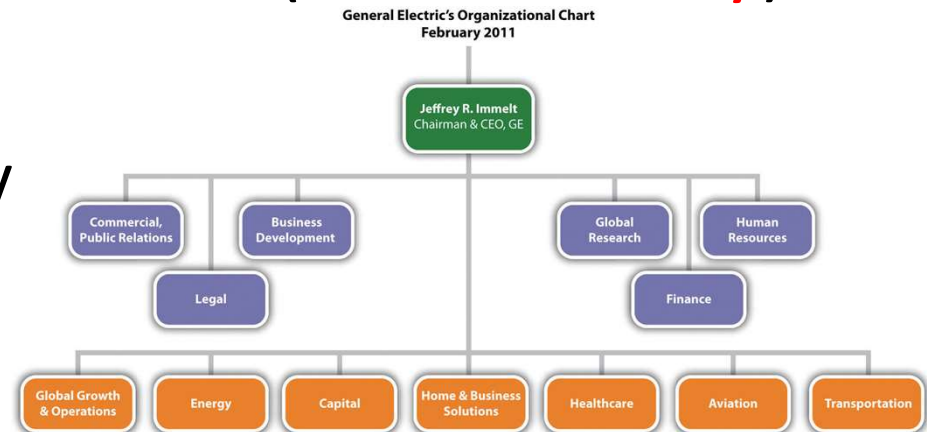
Acknowledgment: The content of this file is based on the slides of the textbook as well as the slides provided in former lectures at UNIST.

Outline

- Tree representation
- Binary trees

Linear Lists and Trees

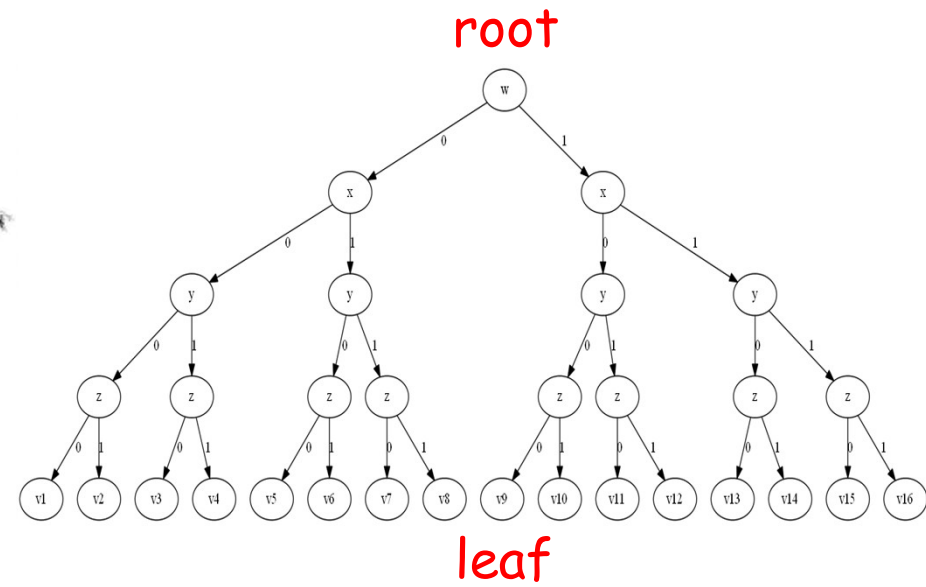
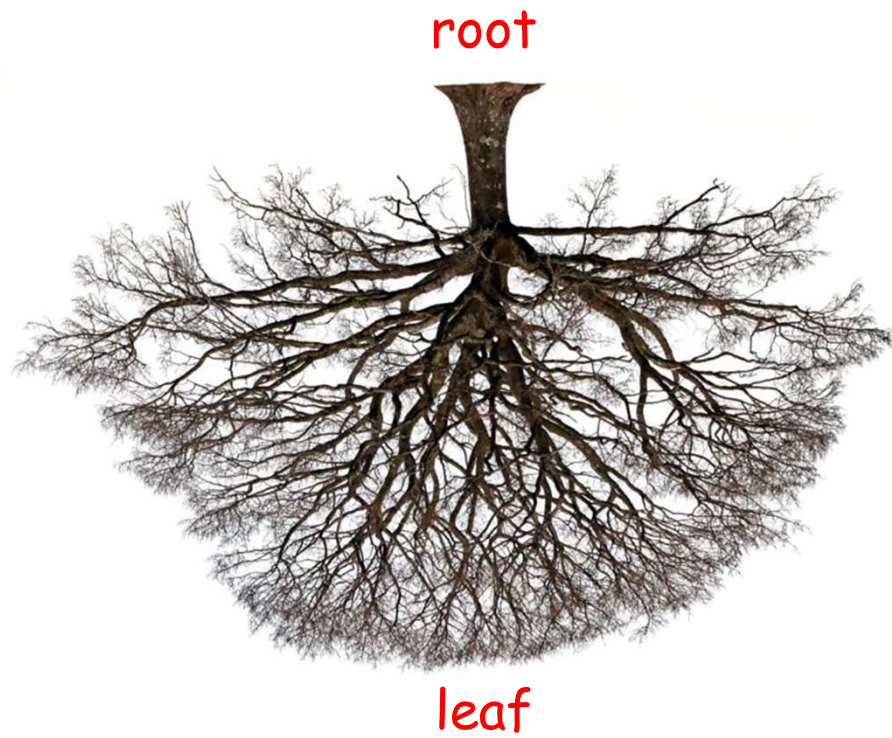
- Linear lists are useful for serially ordered data
 - (a,b,c,d,e)
 - Days of week
 - Students in a class
- Trees are useful for nonlinear (**hierarchically**) ordered data
 - Structure of a company
 - Lineage



Trees

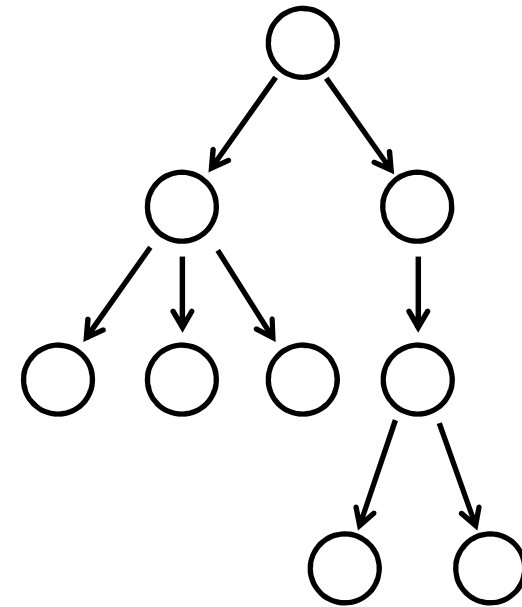
- Definition
 - Finite set T of nodes storing elements in a parent-child relationship
 - If T is nonempty, there is a specially designated node, the root, that has no parent
 - Each node v in T has a unique parent w
 - Every node with parent w is a child of w
- By definition, a tree can be empty

Trees



Terminology

- Node
- Degree of a node X
 - # of children of a node X
- Internal nodes
 - Node with at least one child
 - Degree > 0
- Leaf (external) nodes
 - Node with no child
 - Degree 0 nodes



Terminology

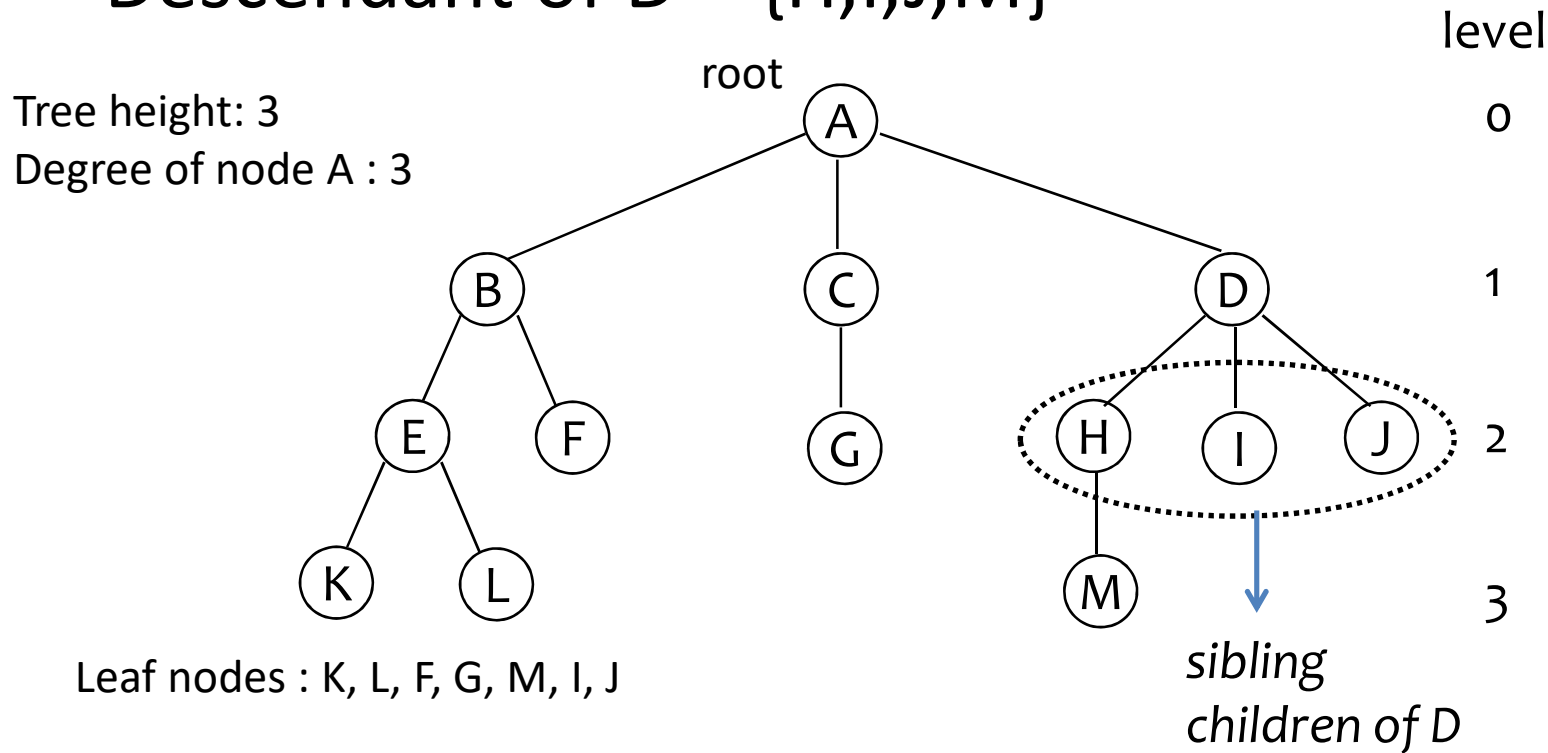
- Level (depth) of a node
 - Root node : 0
 - Child of a node whose level is n : $n+1$
- Degree of a tree
 - Max degree of nodes in the tree
- Height of a tree
 - Maximum level of nodes in the tree

Terminology

- Subtree of X
 - Tree whose root is one of the children of X
- Ancestors of node X
 - All nodes in the paths from X to the root
- Descendants of node X
 - All nodes in the subtrees of node X

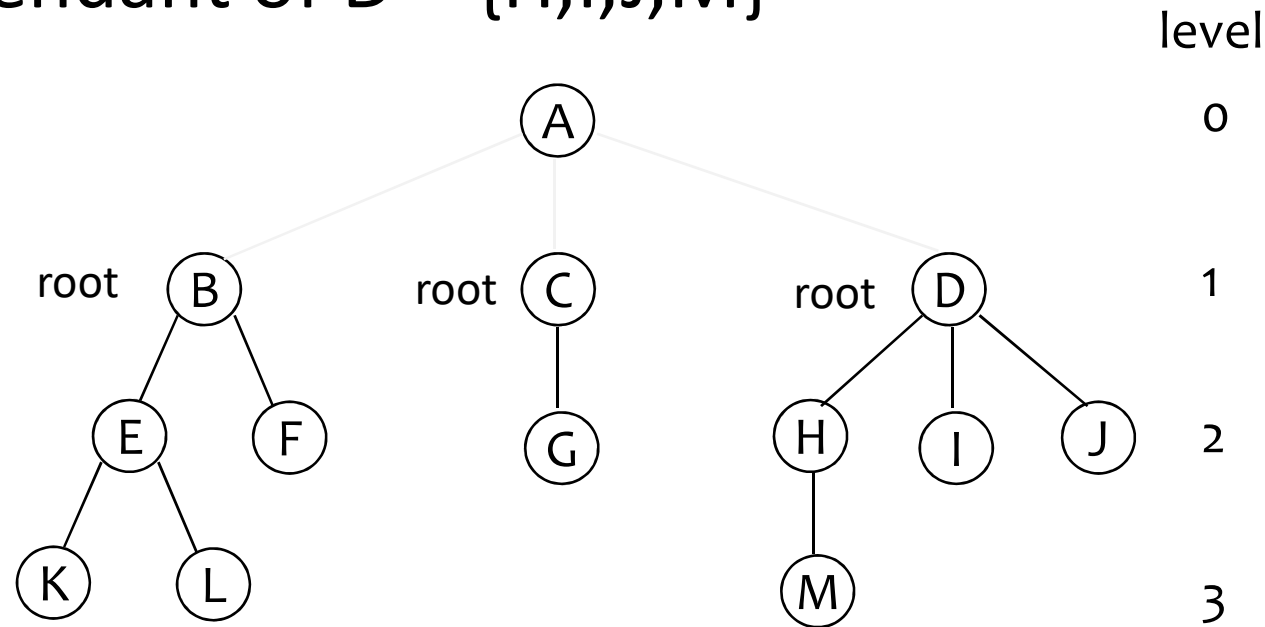
Example: Tree Terminology

- Ancestor of M = {H,D,A}
- Descendant of D = {H,I,J,M}



Example: Tree Terminology

- Ancestor of M = {H,D,A}
- Descendant of D = {H,I,J,M}



Subtrees

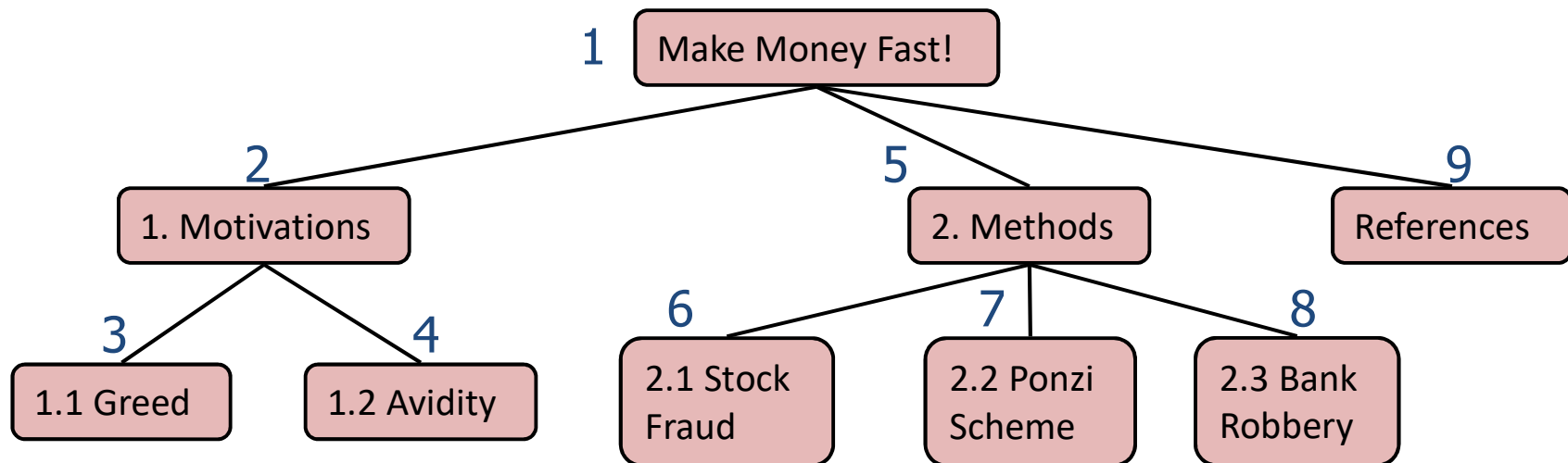
Tree ADT

- We use positions to abstract nodes
- Generic methods:
 - integer `size()`
 - boolean `empty()`
- Accessor methods:
 - position `root()`
 - list<position> `positions()`
- Position-based methods:
 - position `p.parent()`
 - list<position> `p.children()`
- Query methods:
 - boolean `isRoot()`
 - boolean `isExternal()`
- Additional update methods may be defined by data structures implementing the Tree ADT

Preorder Traversal

- A traversal visits the nodes of a tree in a systematic manner
- In a preorder traversal, a node is visited **before its descendants**

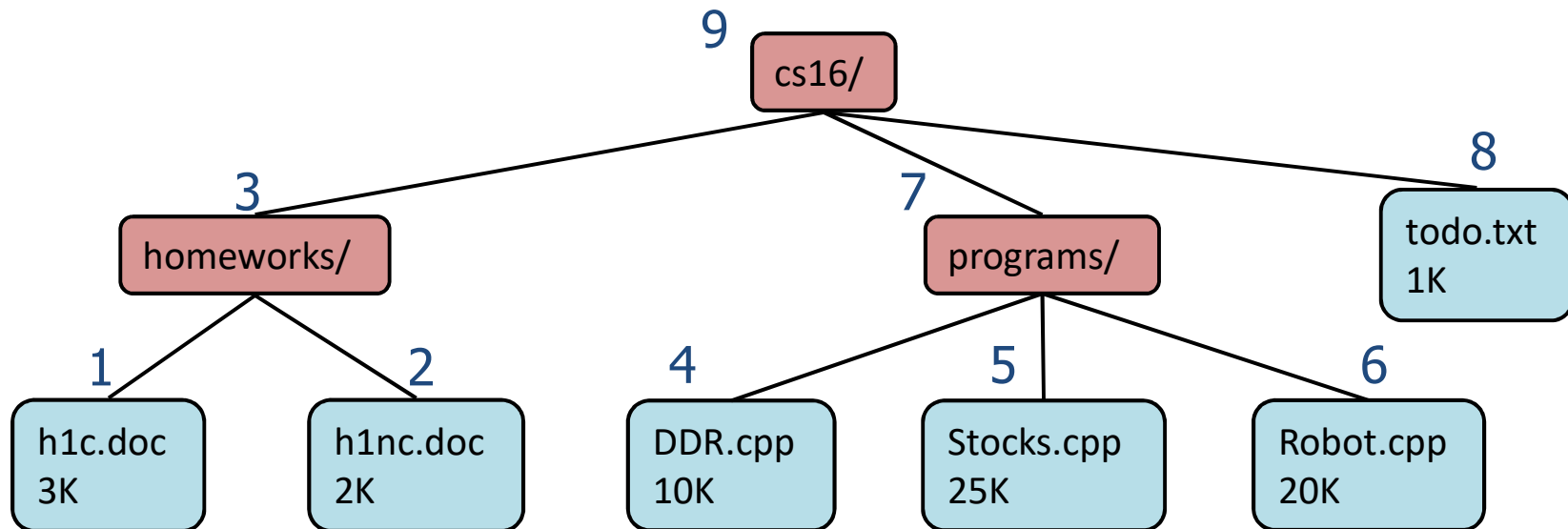
Algorithm *preorder(v)*
visit(v)
for each child *w* of *v*
preorder(w)



Postorder Traversal

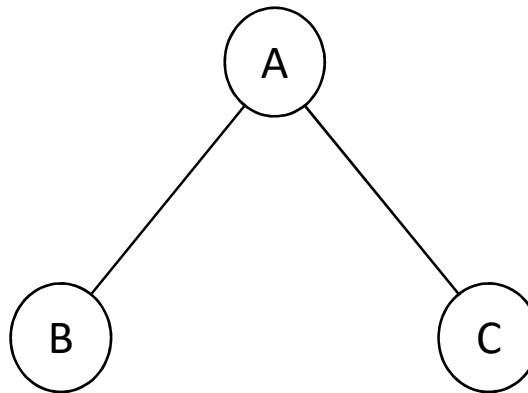
- In a postorder traversal, a node is visited **after its descendants**

Algorithm *postorder*(*v*)
for each child *w* of *v*
 postorder (*w*)
visit(*v*)



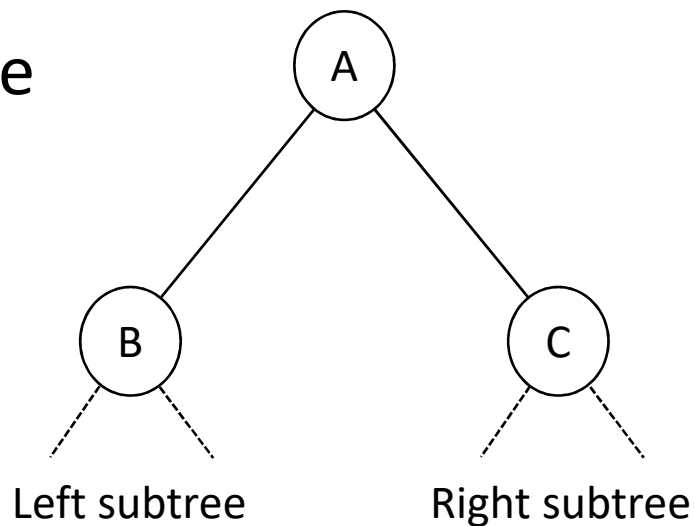
Tree Representation

- Binary tree
 - $k=2$
 - Left child – right child



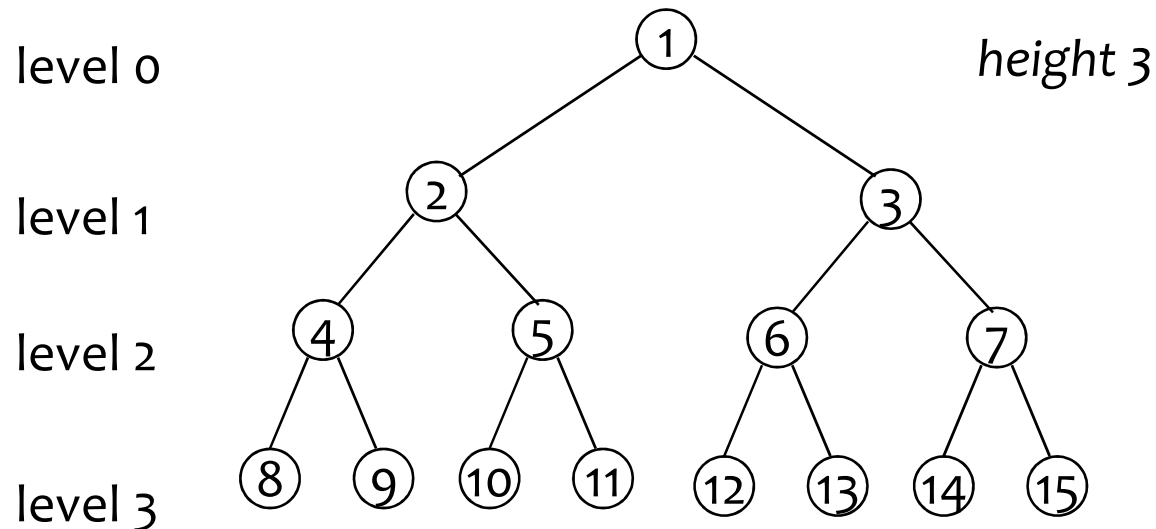
Binary Trees

- Definition
 - Finite set of nodes that consist of a **root** and **two disjoint binary trees** called the left subtree and the right subtree
 - Or **empty** tree



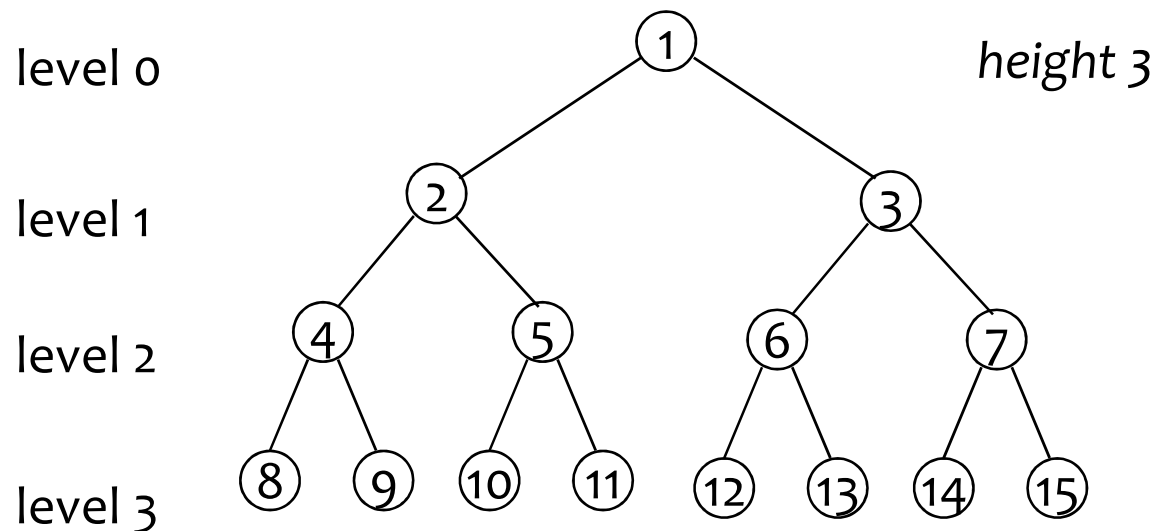
Properties of Binary Trees

- Maximum # of nodes on level i
 $2^i, i \geq 0$
- Maximum # of nodes in a binary tree of height k
 $1 + 2 + 2^2 + 2^3 \dots + 2^k = 2^{k+1} - 1$



Full Binary Tree

- For height k , the tree has $2^{k+1}-1$ nodes
 - Example: a full binary tree for height 3



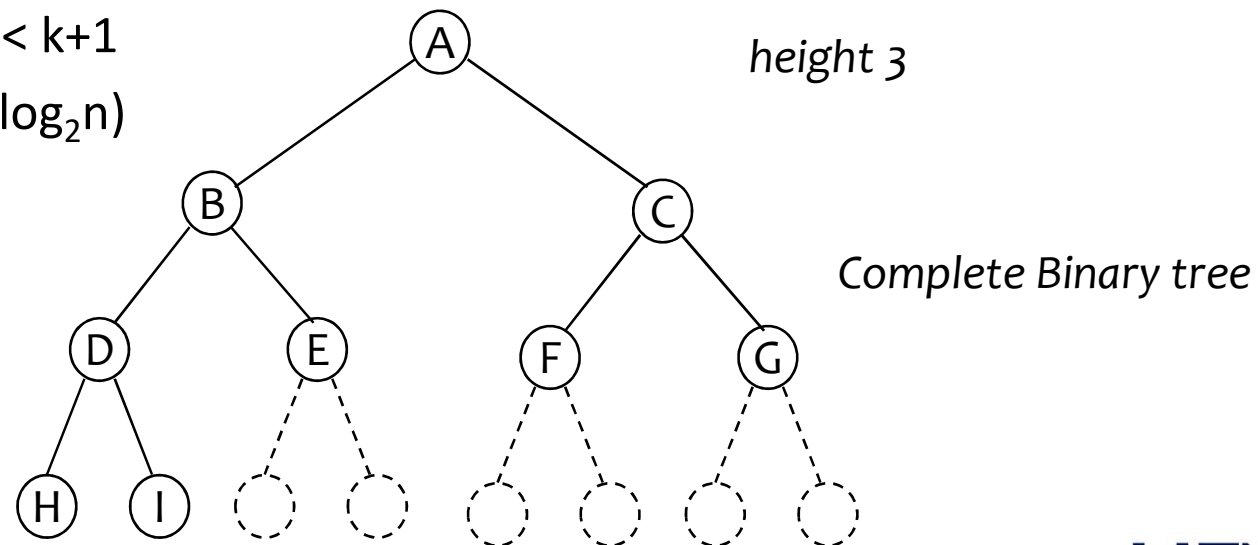
Complete Binary Tree

- All levels except the last level are fully filled
- All leaf nodes are filled **from left to right**
- Height of a complete binary tree (n nodes)

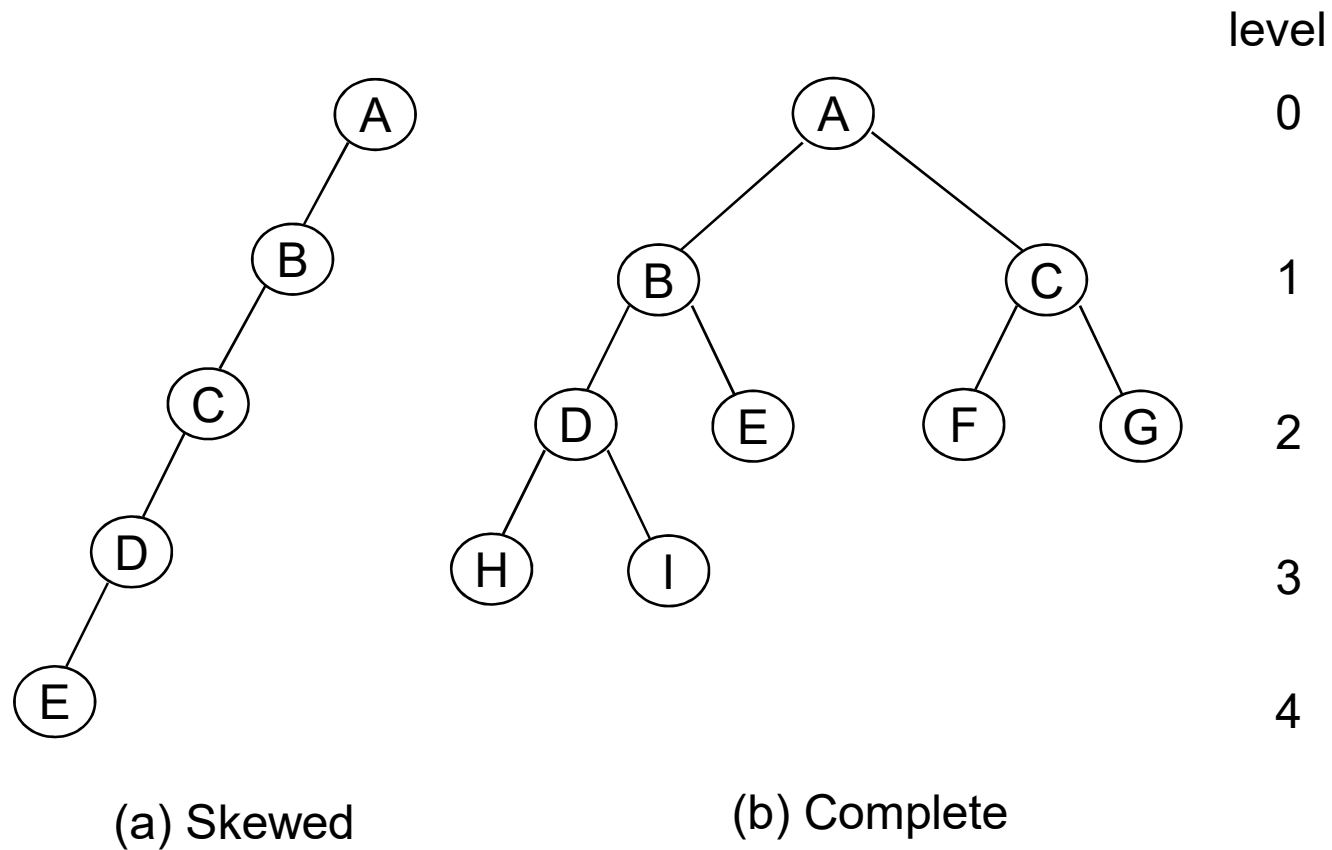
$$2^k \leq n < 2^{k+1}$$

$$\rightarrow k \leq \log_2 n < k+1$$

$$\rightarrow k = \text{floor}(\log_2 n)$$



Binary Trees



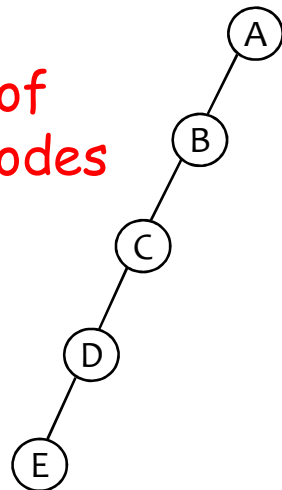
Tree Representation

- Linked-list based node structure for binary tree

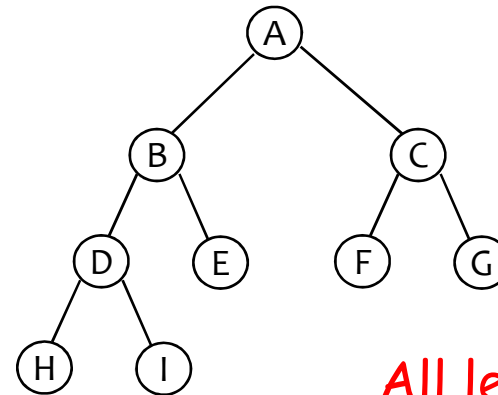
data	CHILD ₁	CHILD ₂
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- All fields are efficiently used?

Right childs of
all internal nodes
are empty



(a)



All leaf nodes
have empty children

(b)

Tree Representation

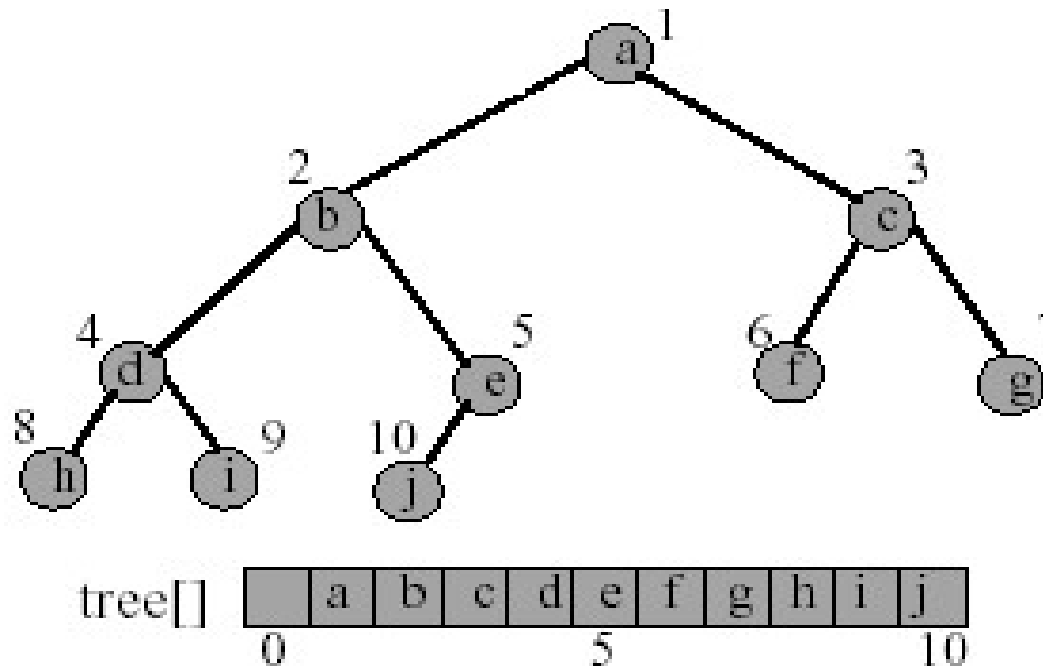
- Linked-list based node structure for a tree of degree k



- Inherent space waste by zero child pointers
 - Total # of nodes : n
 - Total # of child pointers : nk
 - Total # of child pointers used : n-1
 - Root cannot be pointed by a child pointer
 - Total # of zero child pointers : $nk - (n-1) = n(k-1) + 1$

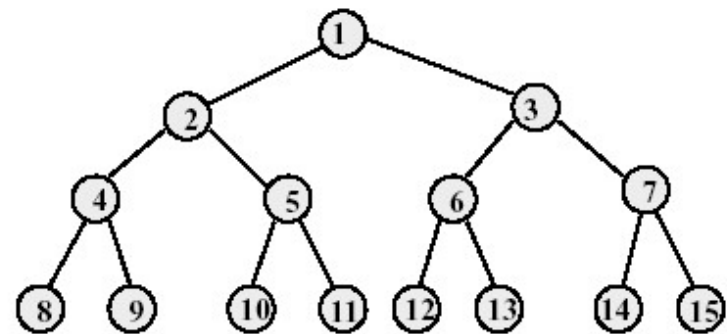
Binary Tree Representation using Array

- Array representation
 - Each node is stored at the array position corresponding to the number assigned to it



Binary Tree Representation using Array

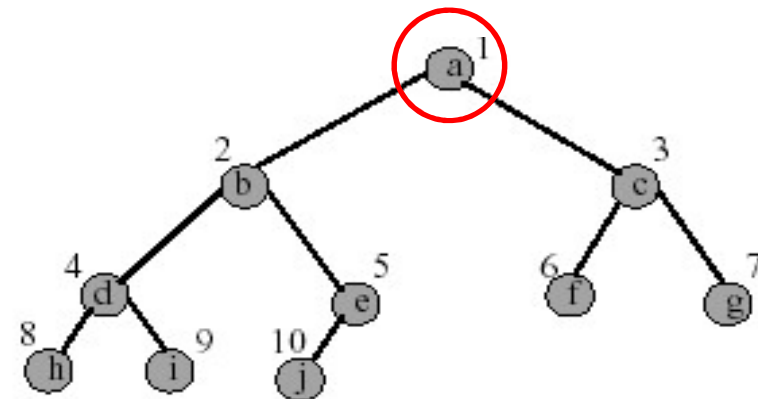
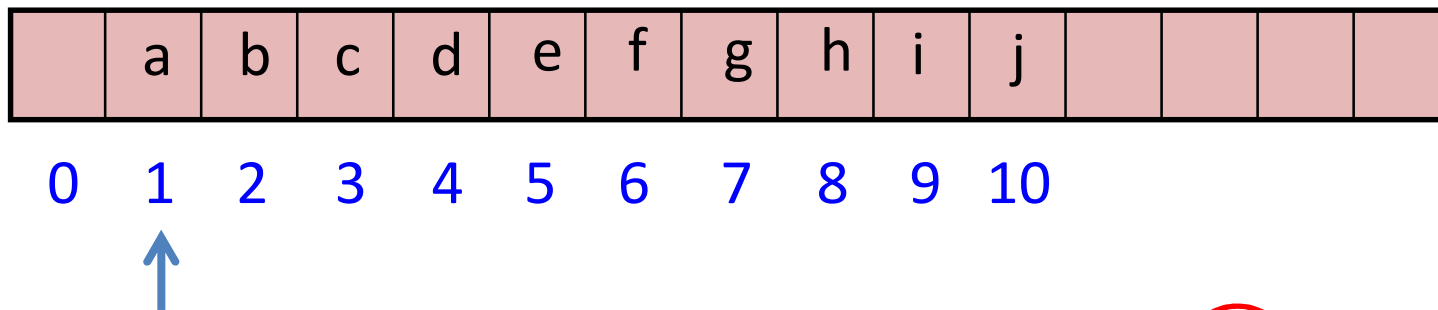
- If a complete binary tree with n nodes is represented sequentially
 - parent(i) is at $\lfloor i/2 \rfloor$ if $i \neq 1$
 - $i=1$ is root and no parent exists
 - leftChild(i) is $2i$ if $2i \leq n$
 - rightChild(i) is $2i+1$ if $2i+1 \leq n$
- Example
 - leftChild(5) = 10
 - parent(7) = $\lfloor 3.5 \rfloor = 3$



Binary Tree Representation using Array

- Traverse array

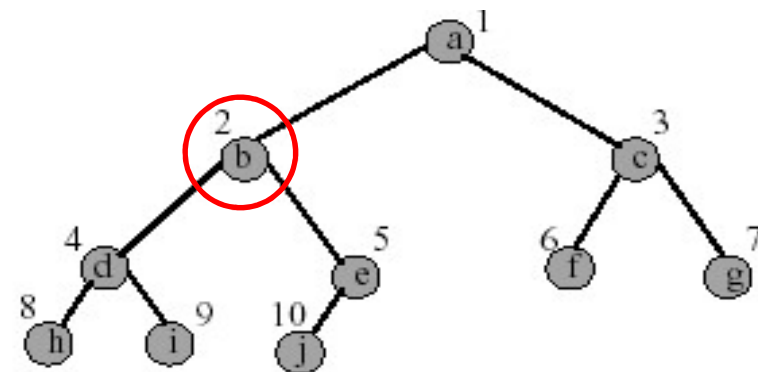
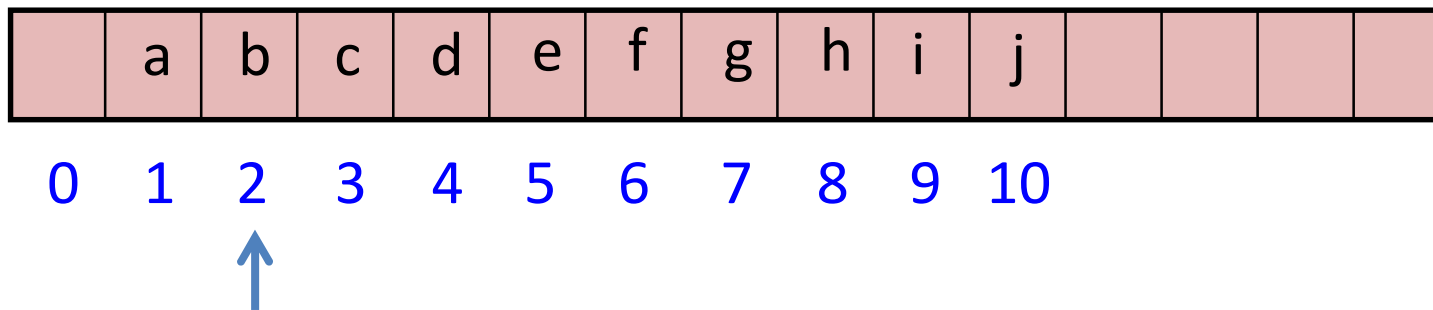
a : T(1)



Binary Tree Representation using Array

- Traverse array

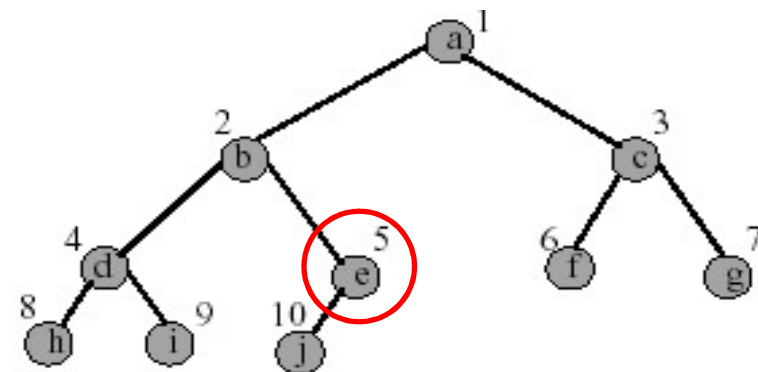
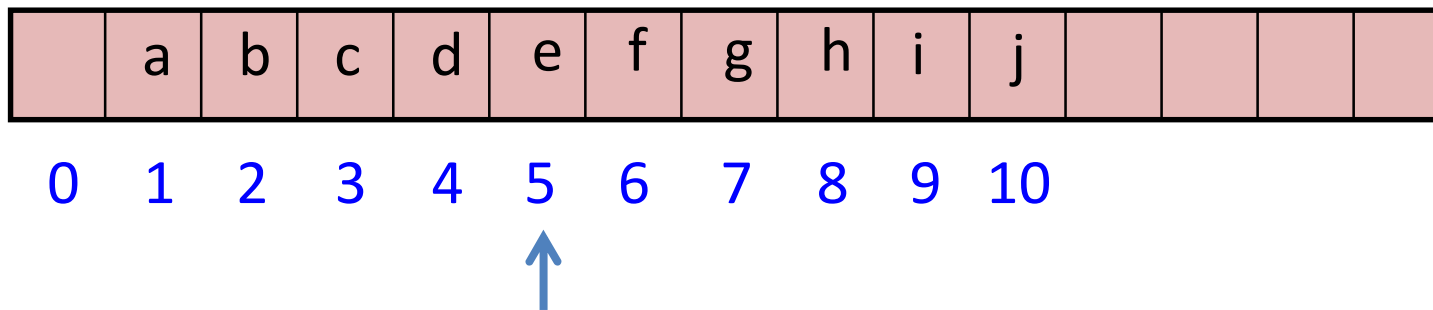
a \rightarrow leftChild = $T(2*1) = T(2) = b$



Binary Tree Representation using Array

- Traverse array

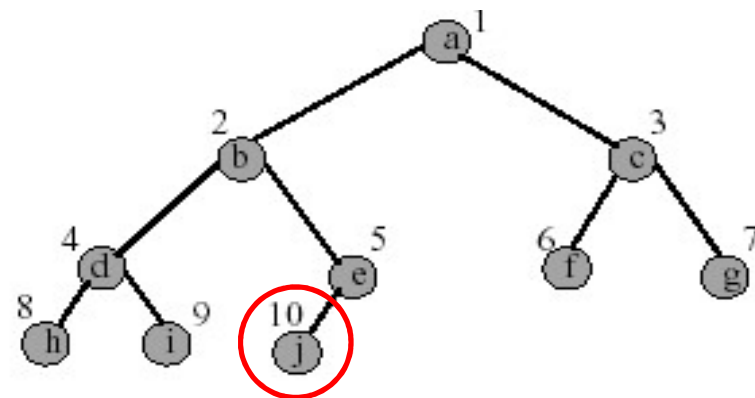
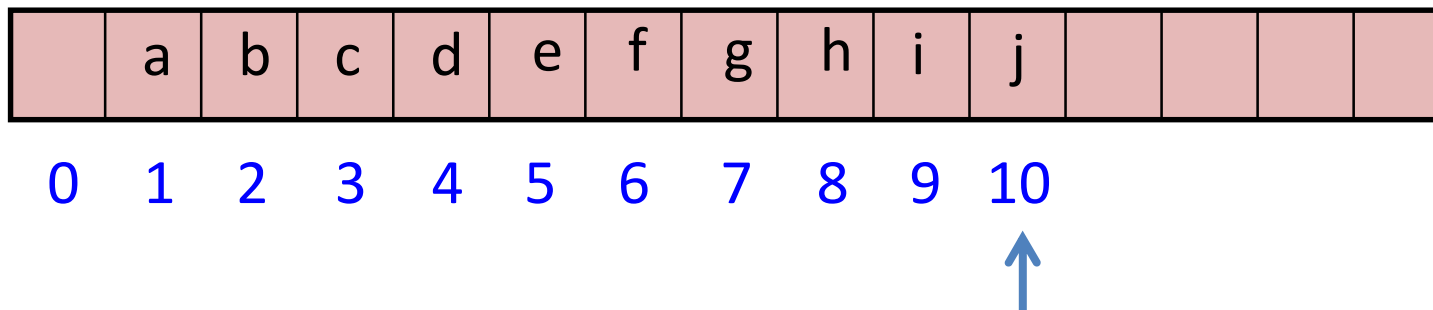
b \rightarrow rightChild = $T(2*2+1) = T(5) = e$



Tree Representation using Array

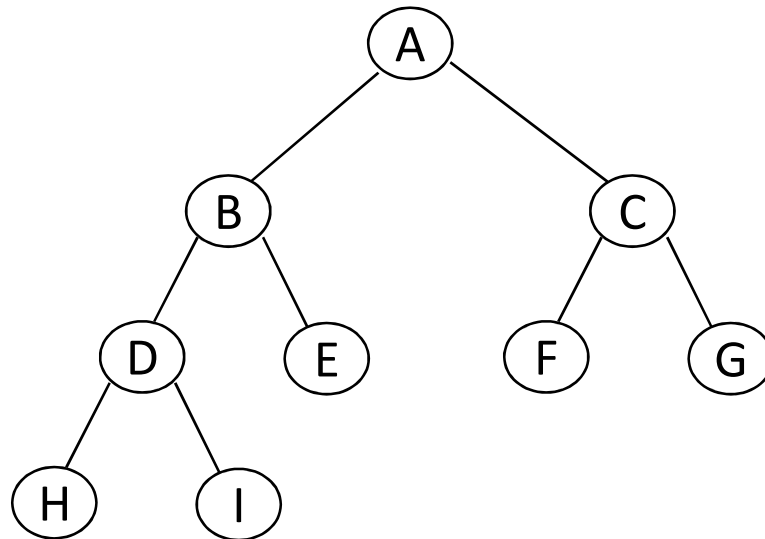
- Traverse array

$e \rightarrow \text{leftChild} = T(2 \times 5) = T(10) = j$



Binary Tree Representation using Array

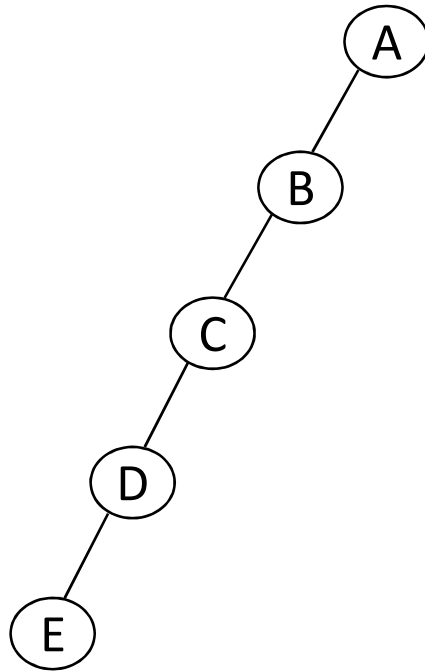
- Space usage
 - Best case : binary tree is complete



[0]	-
[1]	A
[2]	B
[3]	C
[4]	D
[5]	E
[6]	F
[7]	G
[8]	H
[9]	I

Binary Tree Representation using Array

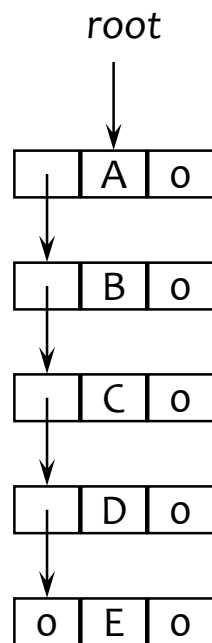
- Space usage
 - Worst case : binary tree is skewed
 - $k+1$ is used out of $2^{k+1}-1$ (height k)



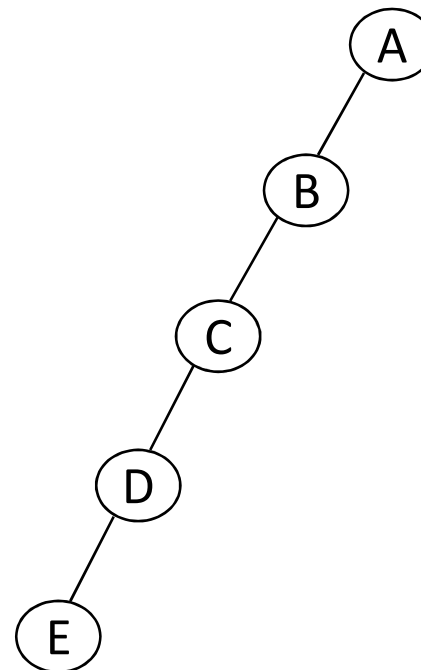
[0]	-
[1]	A
[2]	B
[3]	-
[4]	C
[5]	-
[6]	-
[7]	-
[8]	D
[9]	-
.	.
.	.
.	.
[16]	E

Array v.s. Linked List for Binary Trees

- Worst case in array-based binary tree



Linked list based
(space = 15)

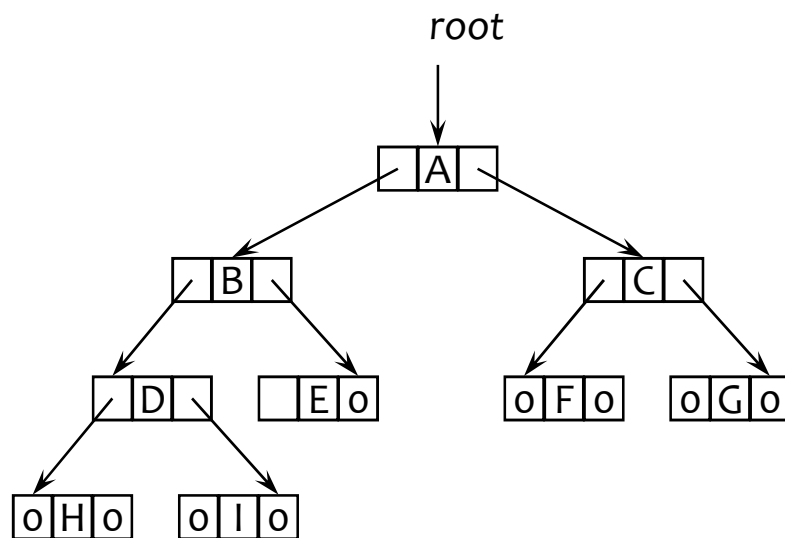


Array based
(space = 32: right skew,
17: left skew)

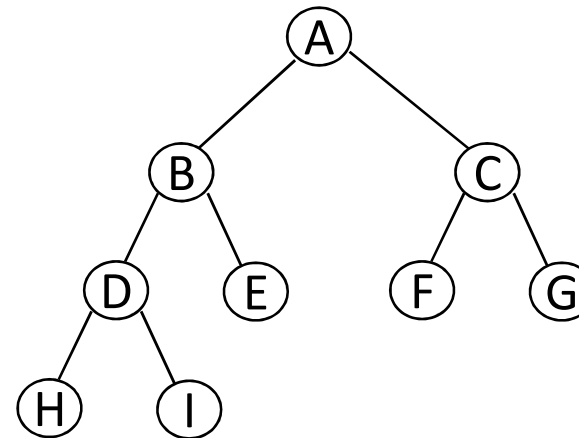
[0]	-
[1]	A
[2]	B
[3]	-
[4]	C
[5]	-
[6]	-
[7]	-
[8]	D
[9]	-
.	.
.	.
.	.
[16]	E

Array v.s. Linked List for Binary Trees

- Best case in array-based binary tree



Linked list based
(space = 27)



Array based
(space = 10)

[0]	-
[1]	A
[2]	B
[3]	C
[4]	D
[5]	E
[6]	F
[7]	G
[8]	H
[9]	I

Tree Using Linked List

- Pros
 - Efficient memory management in many incomplete binary trees
 - Node insert / delete can be fast
 - Array-based tree requires shifting elements
- Cons
 - Extra memory to store pointers for complete trees
 - Traversing parent needs extra pointer per node

data	PARENT	CHILD ₁	...	CHILD _k
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Binary Tree Class

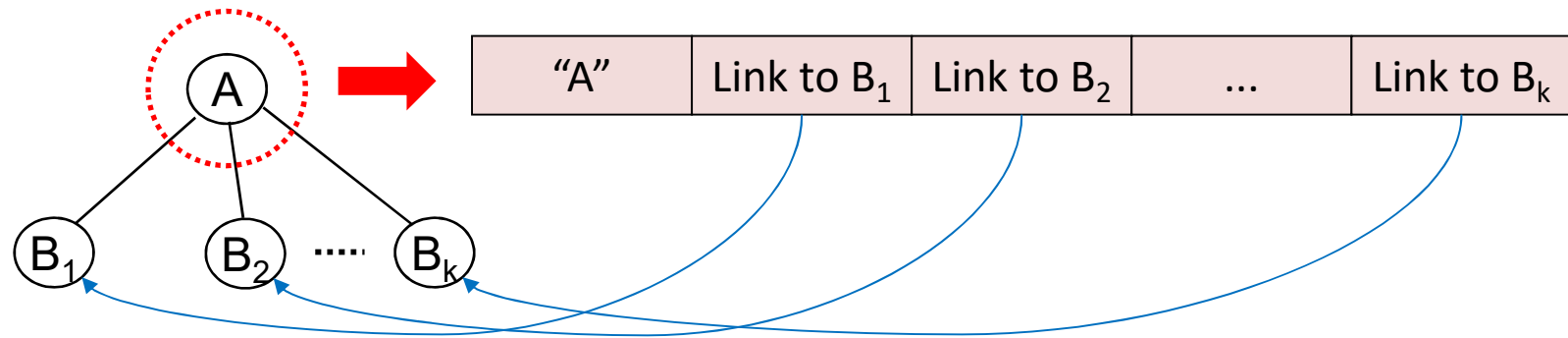
```
class TreeNode{
private:
    TreeNode *LeftChild;
    char data;
    TreeNode *RightChild;
};

class Tree {
public:
    // Tree operations
    ...
private:
    TreeNode *root;
};
```

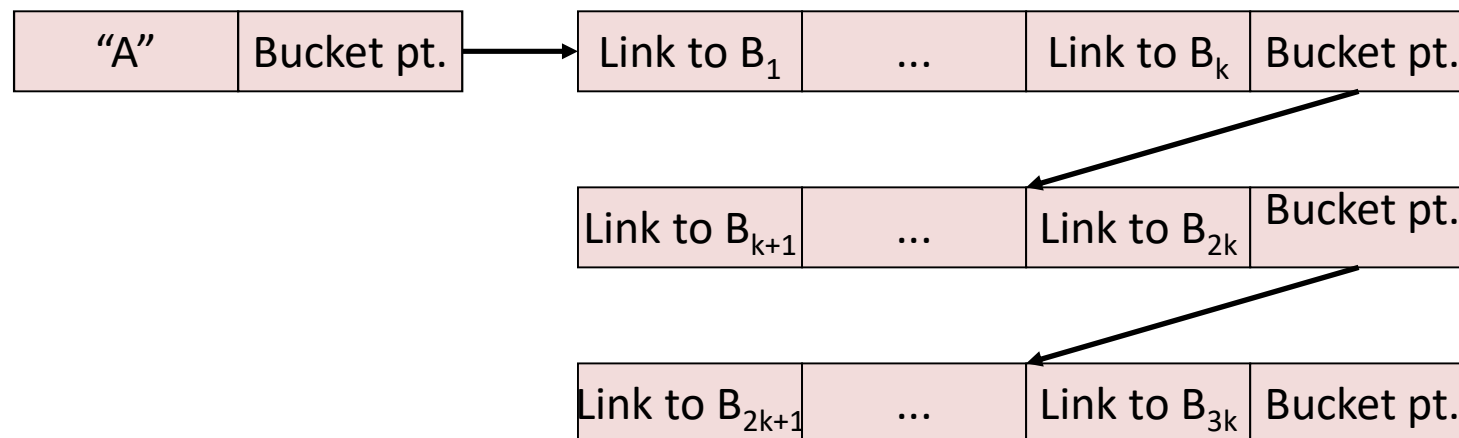
Can We Make Node Size Flexible?

- A lot of engineering trick is possible
 - Bucket size k can be arbitrary, but not 1 (\uparrow overhead)
 - Dynamically add a bucket to have more childs
 - Can merge buckets if there are too many buckets

Increasing Degree Dynamically



If node A has to include any number of children



Questions?