

CSE221

Performance analysis

Fall 2021

Young-ri Choi

Acknowledgment: The content of this file is based on the slides of the textbook as well as the slides provided in former lectures at UNIST.

Outline

- Performance analysis
- Performance measurement

Performance Evaluation

- Judging programs
- How?
 - Prior estimate
 - Performance analysis
 - Posteriori testing
 - Performance measurement

Performance Analysis

- Theoretical analysis
- Criteria: Complexity
 - Space complexity
 - Time complexity
- Complexity is affected by instance characteristics (size of inputs and outputs)
 - E.g., function $f(n)$ where n is input size

Theoretical Analysis



- Uses a high-level description of the algorithm instead of an implementation
- Characterizes running time as a function of the input size, n .
- Takes into account all possible inputs
- Allows us to evaluate the speed of an algorithm independent of the hardware/software environment

Space Complexity

- $S(P) = c + S_p(\text{instance characteristics})$
- Fixed part : c
 - Independent of input/output characteristics
 - Space for instruction, constants, etc.
- Variable part : $S_p(\text{instance characteristics})$
 - Space for variables whose size is dependent of input/output, recursion stack space, etc.

Since c is constant, we only focus on S_p for space complexity!

Example

```
float Abc(float a, float b, float c)
{
    return a+b+b*c+(a+b-c)/(a+b)+4.0;
}
```

1. What are the instance characteristics?
2. S_p ?

Example

```
float Abc(float a, float b, float c)
{
    return a+b+b*c+(a+b-c)/(a+b)+4.0;
}
```

1. What are the instance characteristics?
: a, b, c
2. $S_p = 0$

Example

```
float Sum(float *a, const int n)
{
    float s = 0;
    for(int i=0; i<n; i++)
        s += a[i];
    return s;
}
```

1. What are the instance characteristics?
2. S_p ?

Example

```
float Sum(float *a, const int n)
{
    float s = 0;
    for(int i=0; i<n; i++)
        s += a[i];
    return s;
}
```

1. What are the instance characteristics?
: a, n
2. $S_p = 0$

Example

```
float RSum(float *a, const int n)
{
    if(n<=0) return 0;
    else return (Rsum(a, n-1)+a[n-1]);
}
```

1. What are the instance characteristics?
2. S_p ?

Example

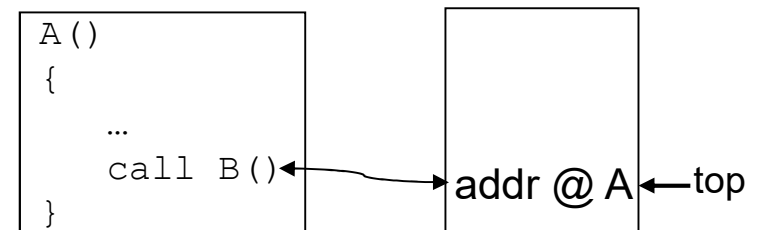
```
float RSum(float *a, const int n)
{
    if(n<=0) return 0;
    else return (Rsum(a, n-1)+a[n-1]);
}
```

1. What are the instance characteristics?

: a, n

2. $S_p = A(n+1)$

: A = stack frame size



Time Complexity

- $T(P)$ = compile time + run time
- Compile time: one-time cost (ignore)
- Actual run time: can only be measured
 - Compiler / machine / runtime dependent
 - Difficult to derive exact time for operators
- Use a program step instead

Program Steps (Primitive Operations)

- A segment of program with constant execution time (independent of instance characteristics)

e.g. , $a+b+b*c+(a+b-c)/(a+b)+4.0$

- Set of primitive operations
 - Assigning a value to a variable
 - Calling a function
 - Arithmetic operations (+,-,*,/, ...)
 - Comparing two numbers
 - Indexing into an array
 - Following an object reference
 - Returning from a function...

```
float Sum(float *a, const int n)
{
    float s = 0;
    for (int i = 0; i < n; i++)
    {
        s += a[i];
    }
    return s;
}
```

```

float Sum(float *a, const int n)
{
1      float s = 0;
1 * (n + 1)  for (int i = 0; i < n; i++)
            {
1 * n      s += a[i];
            }
1      return s;
}

```

Step count: $2n + 3$


```
float Rsum(float *a, const int n)
{
    if(n <= 0)
    {
        return 0;
    }
    else
    {
        return (Rsum(a, n-1)+a[n-1]);
    }
}
```

```

float Rsum(float *a, const int n)
{
1      if (n <= 0)
      {
1          return 0;
      }
      else
      {
Rsum + 1      return (Rsum(a, n-1)+a[n-1]) ;
      }
}

```

Step count: $2n + 2$

$$T(P) = 2 + Rsum(n-1) = 2 + 2 + Rsum(n-2) = \dots = 2n + Rsum(0) = 2n + 2$$

```
void Add(int **a,int **b,int n,int m)
{
    for(int i=0; i<m; i++)
    {
        for(int j=0; j<n; j++)
        {
            c[i][j] = a[i][j]+b[i][j];
        }
    }
}
```

```

void Add(int **a,int **b,int n,int m)
{
1 *(m + 1)   for(int i=0; i<m; i++)
              {
1 *(n + 1) *m   for(int j=0; j<n; j++)
                  {
1 *n *m         c[i][j] = a[i][j]+b[i][j];
                  }
              }
}

```

Step count: $2nm + 2m + 1$

Best, Worst, Average Step Count

- What if expressions depend on complex characteristics?
- Ex) linear search

```
int search(float *a, float k, int n)
{
    1*?    for(int i=0; i<n; i++)
    {
        1*?    if(a[i] == k)
        {
            1    return i;
        }
    }
}
```

? = n(worst case), 1(best case), n/2(average)

average = $\sum(\text{all cases}) / \# \text{ cases} = (1+2+3+4+\dots+n) / n$

Comparing Step Count

- What step count means?
 - How the **run time** changes when the **instance characteristics changes**
 - e.g., how much the program becomes slower if the input size grows
- $P_A : 2n + 1$
- $P_B : 3n^2$
 - When n is doubled, P_A becomes 2x slower but P_B becomes 4x slower

Comparing Step Count

- $P_A : n^2 + 200$
- $P_B : n^2 + 100$
 - When $n = 1$, running time of P_A and P_B become 201 and 101, respectively (1.99x difference)
 - When $n = 100$, running time of P_A and P_B become 10200 and 10100, respectively (1.009x difference)
 - If n becomes very large, P_A and P_B converges (n^2 term dominates)
- P_A runs same as P_B *asymptotically!*

Asymptotic Analysis

- Asymptotic behaviour
 - behaviour as input n approaches **infinity**
 - input: instance characteristics
 - dominant factor prevails
 - constants become “insignificant”

Asymptotic Analysis

- We need relatively easy ways to compare functions as problem size n vary:
 - A is ‘at most’ as fast/big as B
 - A is ‘at least’ as fast/big as B
 - A is ‘equal’ in performance/size to B
- Asymptotic behaviour
 - The behaviour as some key parameter n increases towards **infinity**

Big-O

- Formally, given functions $f(x)$, $g(x)$,

$$f(x) = O(g(x))$$

if there exist positive constants c and x_0 such that
 $f(x) \leq cg(x)$ for all $x \geq x_0$

- Meaning of Big-O
 - For sufficiently large x , f is bounded by g with a scalar factor
 - f is “at most” g beyond some value x_0
 - g is an **upper bound** of f

Examples

- $3n+2 = O(n)$?

–*Yes. $3n + 2 \leq 4n$ for all $n \geq 2$*

- $1000n^2+100n-6 = O(n^2)$?

–*Yes. $1000n^2 + 100n - 6 \leq 1001n^2$ for all $n \geq 100$*

- $6 \cdot 2^n + n^2 = O(2^n)$?

–*Yes. $6 \cdot 2^n + n^2 \leq 7 \cdot 2^n$ for all $n \geq 4$*

Asymptotic Complexity

- Order of magnitude – higher one dominates
 - $O(1)$: constant
 - $O(\log n)$: logarithmic
 - $O(n)$: linear
 - $O(n \log n)$: log linear
 - $O(n^2)$: quadratic
 - $O(n^3)$: cubic
 - $O(2^n)$: exponential
 - $O(n!)$: factorial



Higher

Informative Big-O

- How good the bound is?
 - $3n+2 = O(n) = O(n^2)$?
 - Both are correct statement
 - $O(n)$ is tighter bound and more informative
 - $O(n^2)$ is less informative and not used

Big-Oh Rules



- If $f(n)$ is a polynomial of degree d , then $f(n)$ is $O(n^d)$, i.e.,
 1. Drop lower-order terms
 2. Drop constant factors
- Use the smallest possible class of functions
 - Say “ $2n$ is $O(n)$ ” instead of “ $2n$ is $O(n^2)$ ”
- Use the simplest expression of the class
 - Say “ $3n + 5$ is $O(n)$ ” instead of “ $3n + 5$ is $O(3n)$ ”

Theta and Omega

- Omega (Ω) meaning “at least” (**lower bound**):

$$f(x) = \Omega(g(x))$$

–If there exist positive constants c and x_0 such that $cg(x) \leq f(x)$ for all $x \geq x_0$

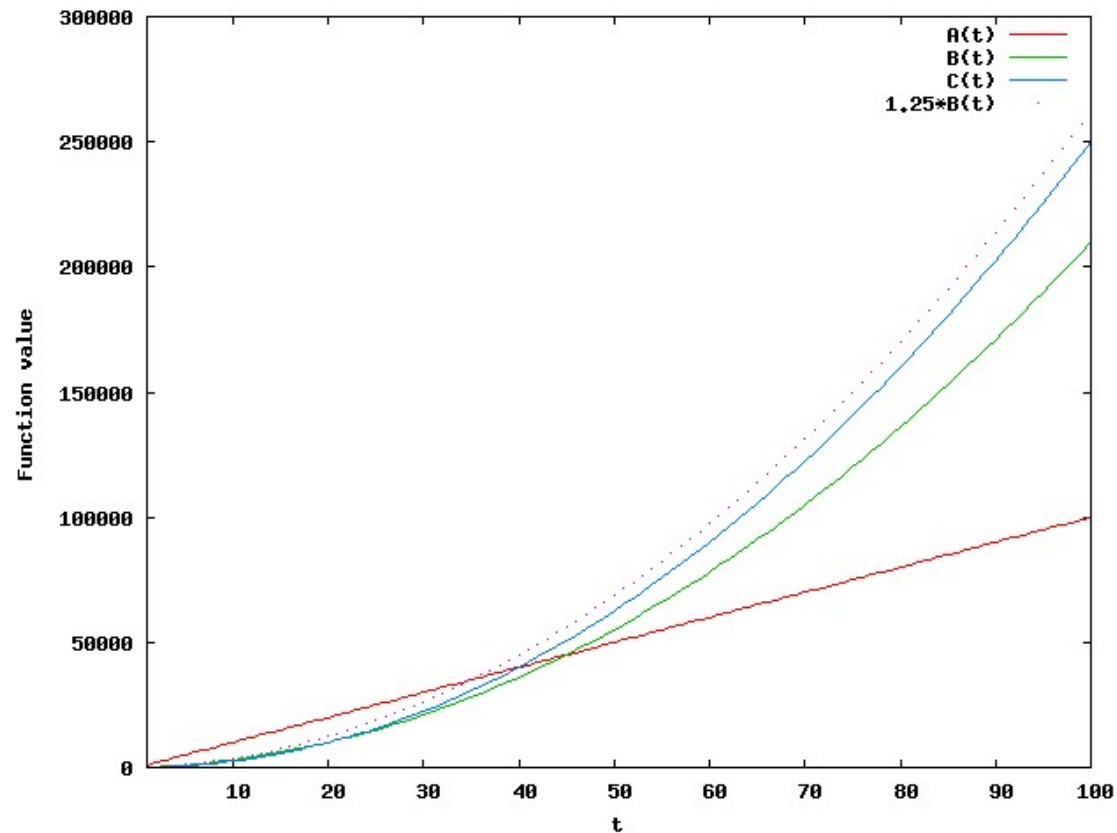
- Theta (Θ) “**equals**” or “goes as”:

$$f(x) = \Theta(g(x))$$

–If there exist positive constants c_1 , c_2 , and x_0 such that $c_1g(x) \leq f(x) \leq c_2g(x)$ for all $x \geq x_0$

Graph

- For $t > 45$, $B(t)$ is always greater than $A(t)$: $A=O(B)$



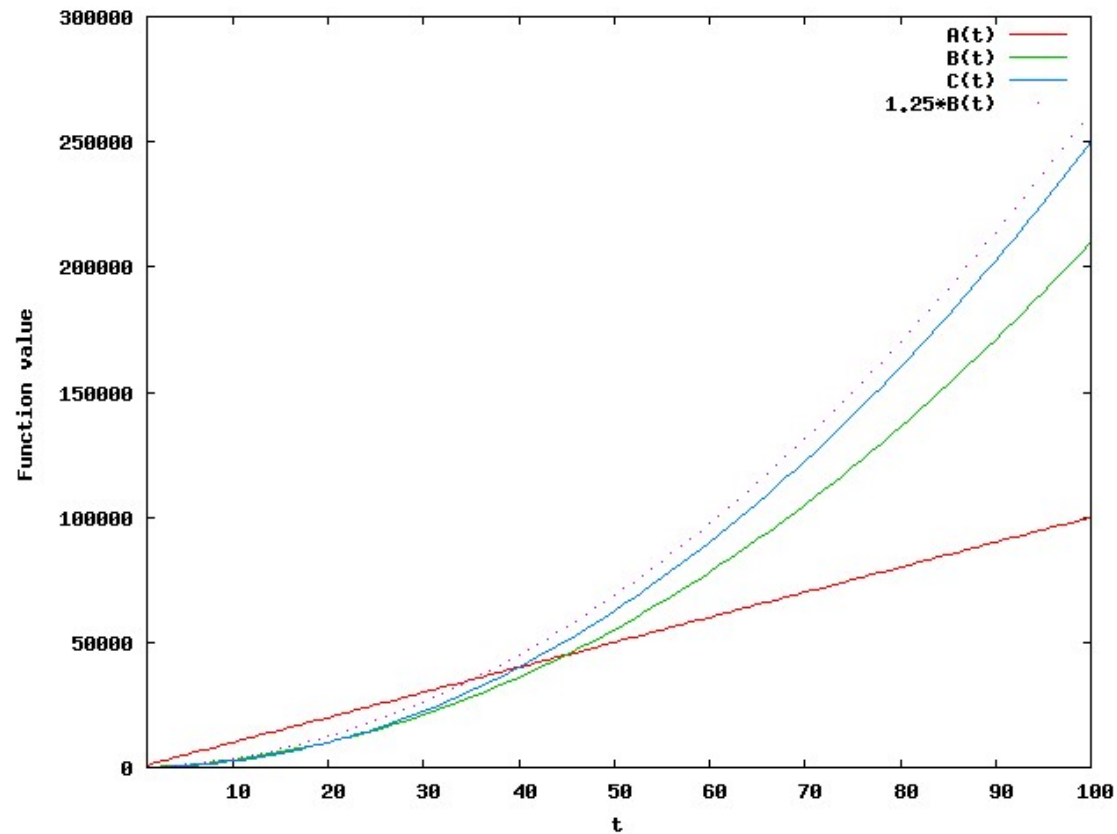
$$A(t) = 1000t$$

$$B(t) = 100t + 20t^2$$

$$C(t) = 25t^2$$

Graph

- Can we say $B=O(A)$?



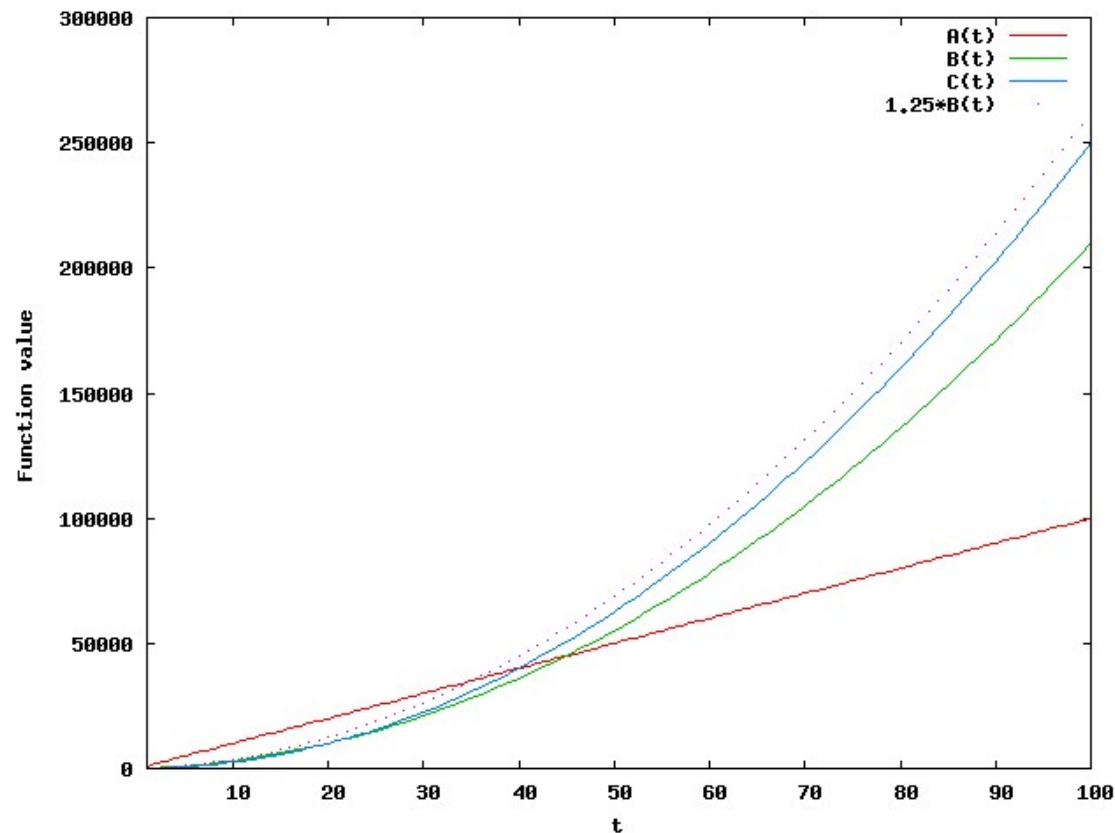
$$A(t) = 1000t$$

$$B(t) = 100t + 20t^2$$

$$C(t) = 25t^2$$

Graph

- For $t > 20$, $B(t)$ is always less than $C(t)$: ?
- For $t > 0$, $1.25*B(t)$ is always greater than $C(t)$: ?



$$A(t) = 1000t$$

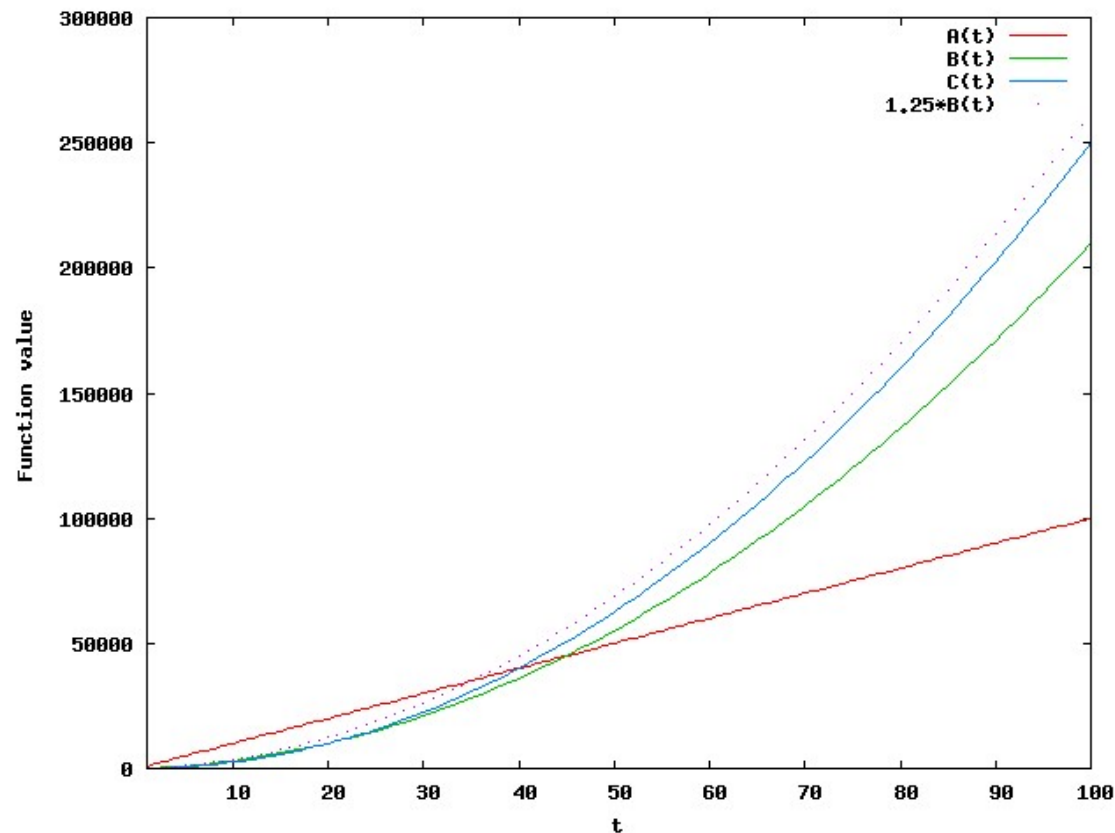
$$B(t) = 100t + 20t^2$$

$$C(t) = 25t^2$$

Graph

$$C = \Theta(B)!$$

- For $t > 20$, $B(t)$ is always less than $C(t)$: $C = \Omega(B)$
- For $t > 0$, $1.25 * B(t)$ is always greater than $C(t)$: $C = O(B)$

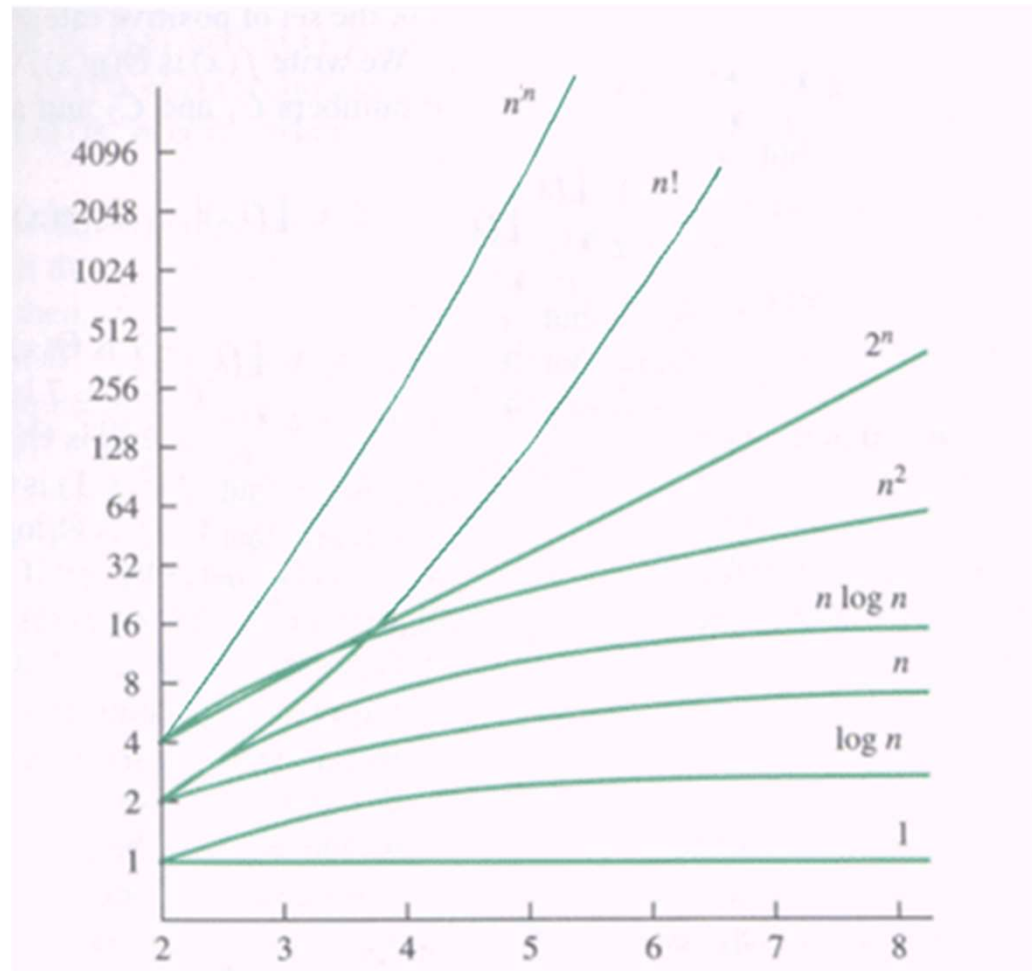


$$A(t) = 1000t$$

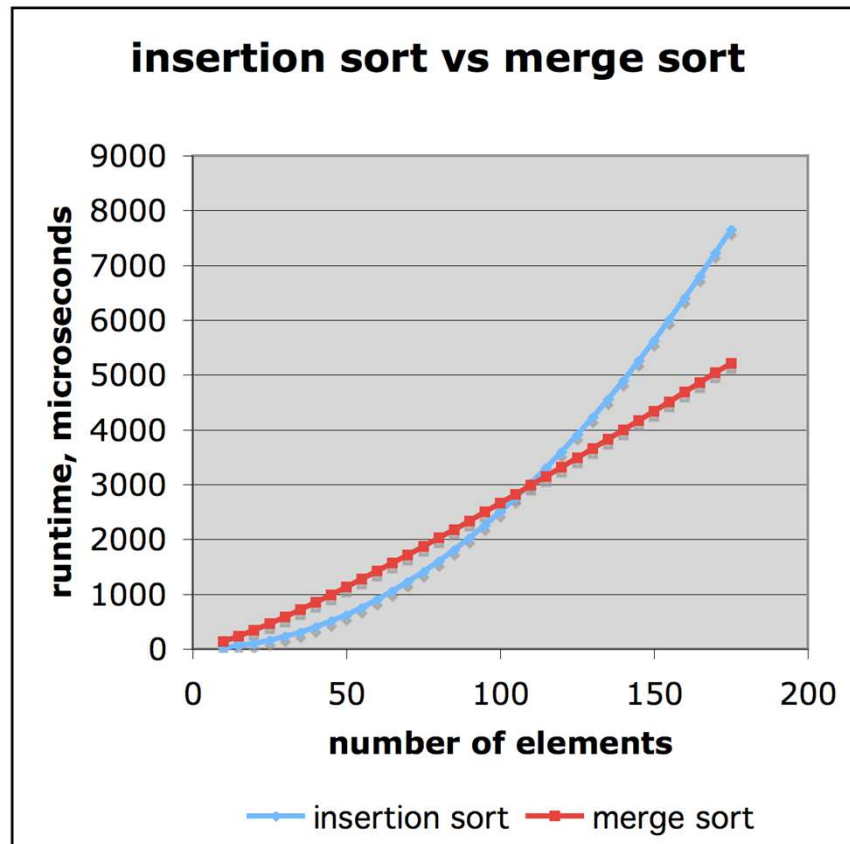
$$B(t) = 100t + 20t^2$$

$$C(t) = 25t^2$$

Plot of Functions



Comparison of Two Algorithms



insertion sort is

$$n^2 / 4$$

merge sort is

$$2 n \lg n$$

sort a million items?

insertion sort takes
roughly **70 hours**

while

merge sort takes
roughly **40 seconds**

This is a slow machine, but if
100x as fast then it's **40 minutes**
versus less than **0.5 seconds**

Run time for 1billion steps / sec computer

n	$f(n)$						
	n	$n \log_2 n$	n^2	n^3	n^4	n^{10}	2^n
10	.01 μ s	.03 μ s	.1 μ s	1 μ s	10 μ s	10 s	1 μ s
20	.02 μ s	.09 μ s	.4 μ s	8 μ s	160 μ s	2.84 h	1 ms
30	.03 μ s	.15 μ s	.9 μ s	27 μ s	810 μ s	6.83 d	1 s
40	.04 μ s	.21 μ s	1.6 μ s	64 μ s	2.56 ms	121 d	18 m
50	.05 μ s	.28 μ s	2.5 μ s	125 μ s	6.25 ms	3.1 y	13 d
100	.10 μ s	.66 μ s	10 μ s	1 ms	100 ms	3171 y	$4 \cdot 10^{13}$ y
10^3	1 μ s	9.96 μ s	1 ms	1 s	16.67 m	$3.17 \cdot 10^{13}$ y	$32 \cdot 10^{283}$ y
10^4	10 μ s	130 μ s	100 ms	16.67 m	115.7 d	$3.17 \cdot 10^{23}$ y	
10^5	100 μ s	1.66 ms	10 s	11.57 d	3171 y	$3.17 \cdot 10^{33}$ y	
10^6	1 ms	19.92 ms	16.67 m	31.71 y	$3.17 \cdot 10^7$ y	$3.17 \cdot 10^{43}$ y	

μ s = microsecond = 10^{-6} seconds; ms = milliseconds = 10^{-3} seconds
s = seconds; m = minutes; h = hours; d = days; y = years

Performance Measurement

- Use timer function
 - CPU time only for serial program
 - Wall-clock time for parallel program
- $\text{total} = \text{start} - \text{stop}$
- Timer has accuracy limitation
 - 1/100 sec, etc
- Multiple runs and average gives accurate time

Timing in C

```
clock_t start, stop;
```

```
start = clock(); /* set start to current time in  
                  hundredths of a second */
```

```
/* code to be timed comes here */
```

```
stop = clock(); /* set stop to current time */
```

```
runTime = (double) (stop - start) /  
           CLOCKS_PER_SEC;
```


Multiple Runs

```
do {  
    counter++;  
    start = clock();  
    doSomething();  
    stop = clock();  
    elapsedTime += stop - start;  
} while (elapsedTime < 1000)  
elapsedTime /= counter;
```

Problem?

Questions?