

CSE221

Lecture 11: Binary Search Trees

Fall 2021

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Acknowledgment: The content of this file is based on the slides of the textbook as well as the slides provided in former lectures at UNIST.

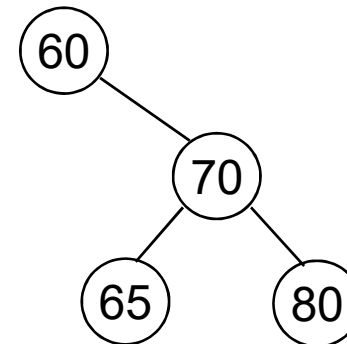
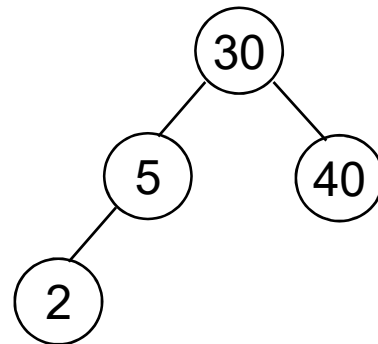
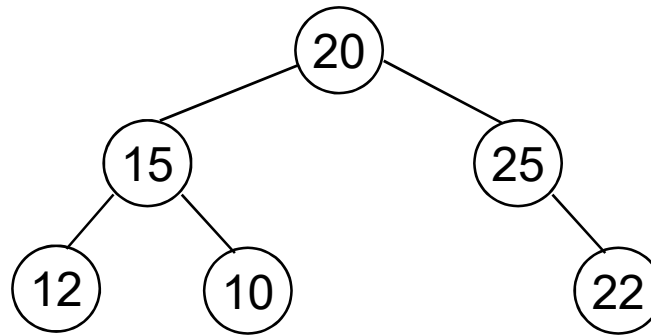
Outline

- Binary search trees
- Selection trees

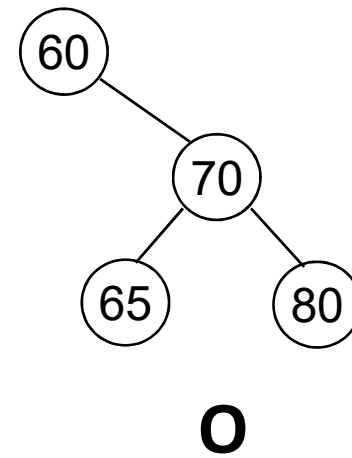
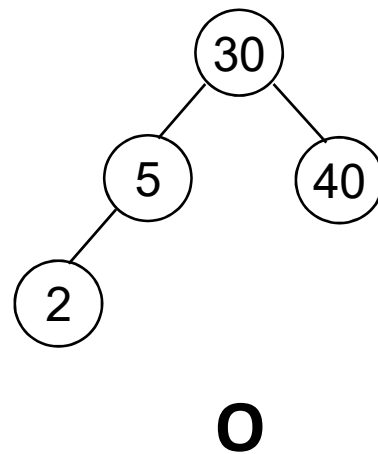
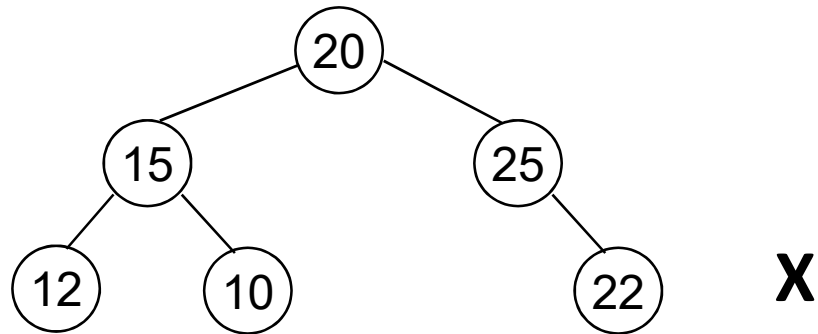
Binary Search Tree

- Definition
 - Every element has a key, and all keys are different
 - Keys in the left subtree are smaller than root
 - Keys in the right subtrees are larger than root
 - Left and right subtrees are also binary search tree

Binary Search Tree?



Binary Search Tree?



Searching by the Key Value

- Recursive

```
template<class Type> // Driver
BstNode<Type> *BST<Type>::Search(const Element<Type> &x)
// Search the binary tree (*this) for a pair with key x
// return 0 if not found
{
    return search(root, x);
}

template<class Type> // Workhorse
BstNode<Type> *BST<Type>::Search(BstNode<Type> *b,
                                const Element<Type> &x)
{
    if (!b) return 0;
    if (x.key == b->data.key) return b;
    if (x.key < b->data.key) return Search(b->LeftChild, x);
    return Search(b->RightChild, x);
}
```

$O(h)$, where h is height of the tree

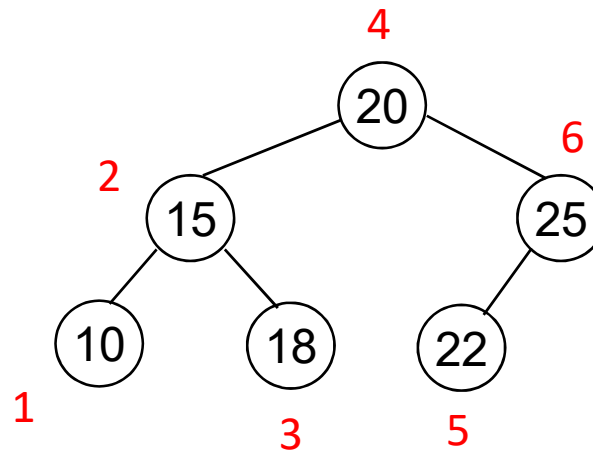
Searching by the Key Value

- Iterative

```
template <class Type>
BstNode<Type> *BST<Type>::IterSearch(const Element<Type> &x)
// Search x in (*this) binary search tree
{
    BstNode<Type> *t = root;
    while(t)
    {
        if (x.key == t->data.key) return t;
        if (x.key < t->data.key) t = t->LeftChild;
        else t = t->RightChild;
    }
    return 0;
}
```

Searching by the Rank

- Rank
 - Node position in inorder traversal
- leftsize
 - $-1 + \#$ of nodes in left subtree



Rank = numerical order of
each node's key

Searching by the Rank

```
template <class Type>
BstNode<Type> *BST<Type>::Search(int k)
// Find k-th smallest pair
{
    BstNode<Type> *t = root;
    while (t) {
        if (k == t->LeftSize) return t;
        if (k < t->LeftSize) t = t->LeftChild;
        else {
            k -= t->LeftSize;
            t = t->RightChild;
        }
    }
    return 0;
}
```

- $O(h)$, where h is height of the tree

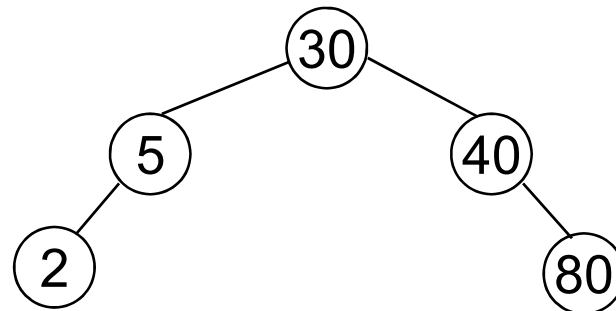
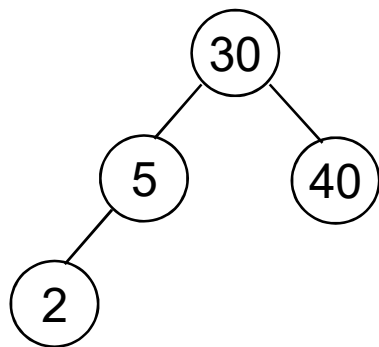
- Keep leftsize correct during insert/delete
- If leftsize is not maintained, just perform inorder traversal

Use Case of the Rank

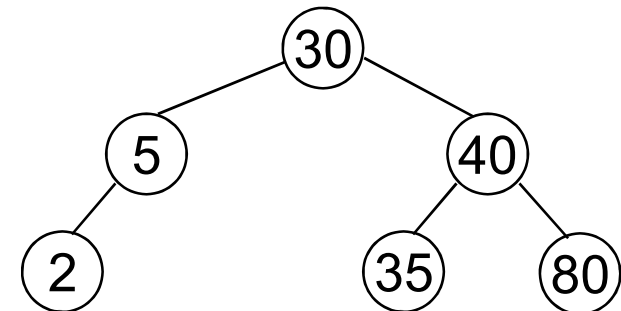
- Percentile: the value below which a given percentage of values are observed
- E.g., 50th-percentile: the value below which 50% of values are observed (i.e., median)
- What is the rank for the 90th-percentile on the binary search tree with N values?
 - Answer: $0.9 \times N$

Insertion into a Binary Search Tree

- Note that every key has to be different!
- Search k
 - Failed : insert k where search terminated
 - Success : update element



(a) Insert 80



(b) Insert 35

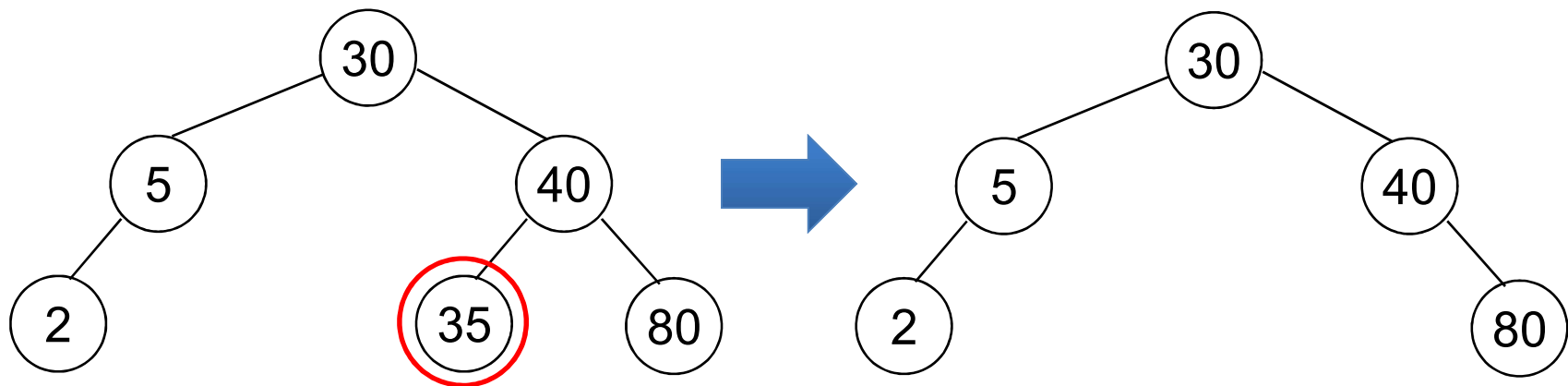
$O(h)$, where h is height of the tree

Deletion from a Binary Search Tree

- Possible status of a node to delete
 - Leaf node
 - One child
 - Two children

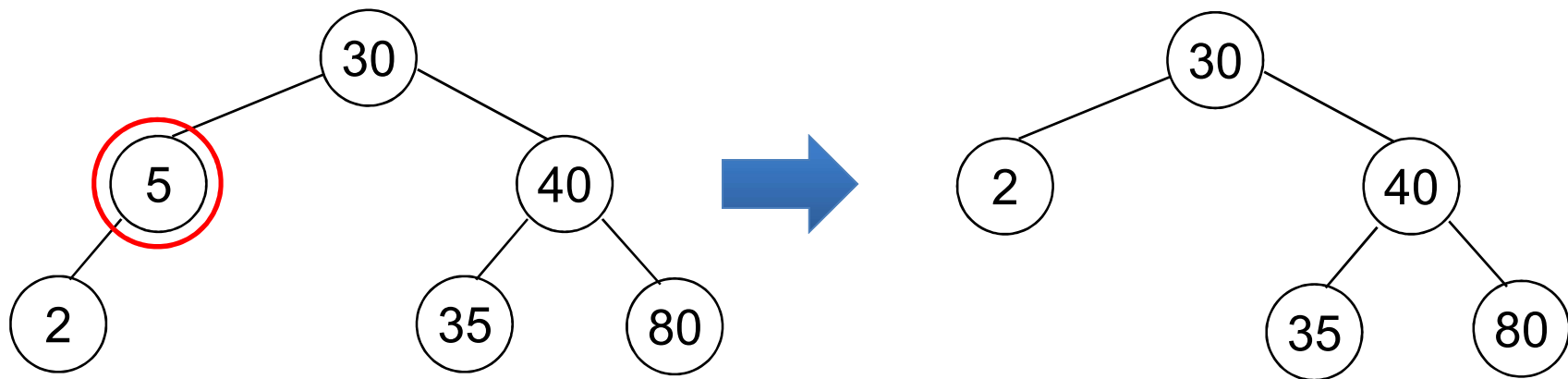
Deletion from a Binary Search Tree

- Leaf node
 - Simply remove the node from its parent
 - e.g., delete 35

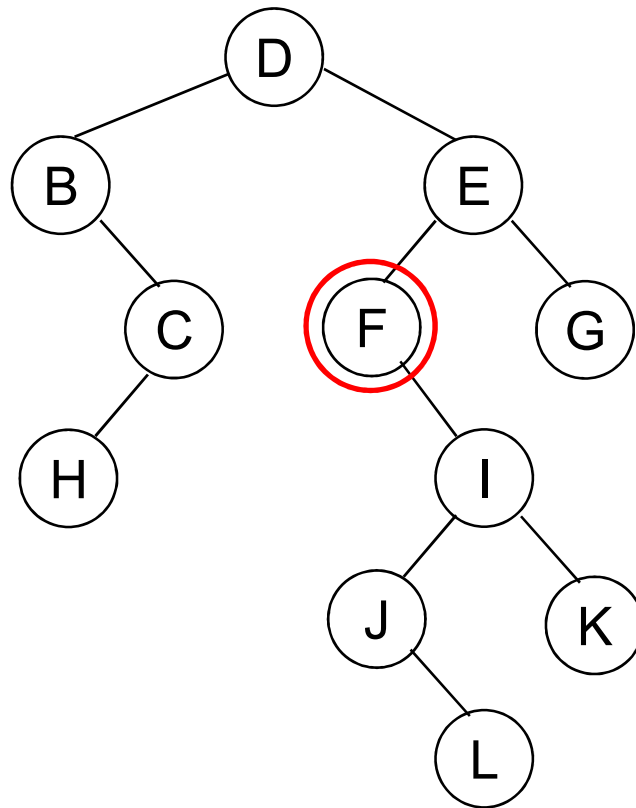


Deletion from a Binary Search Tree

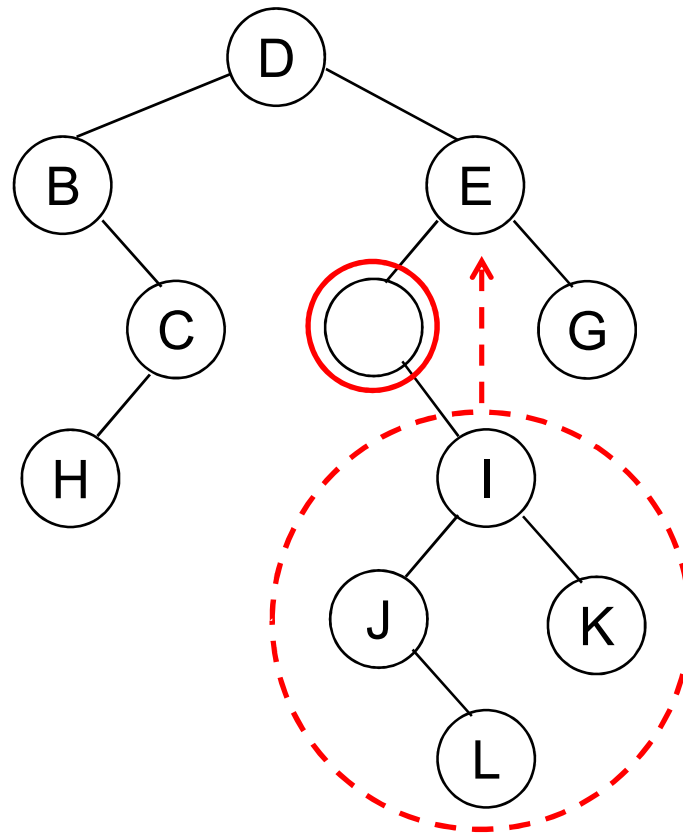
- Non-leaf node with one child
 - Child takes place of the deleted node
 - e.g., delete 5



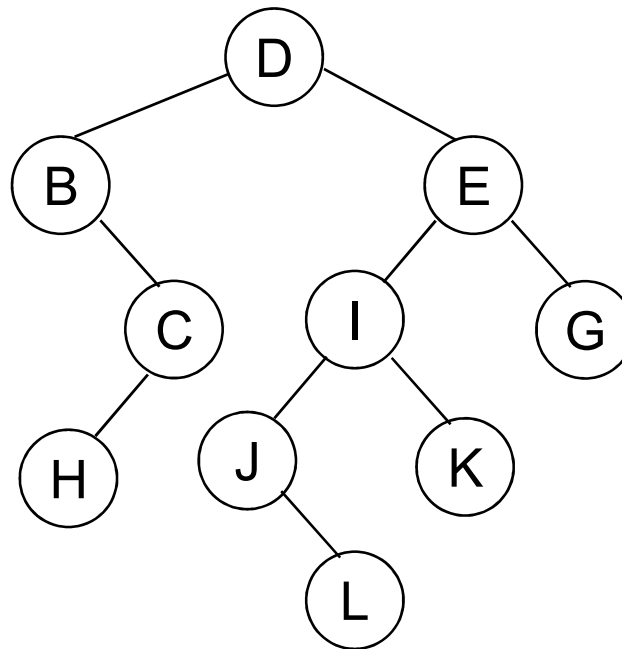
Deletion from a Binary Search Tree



Deletion from a Binary Search Tree

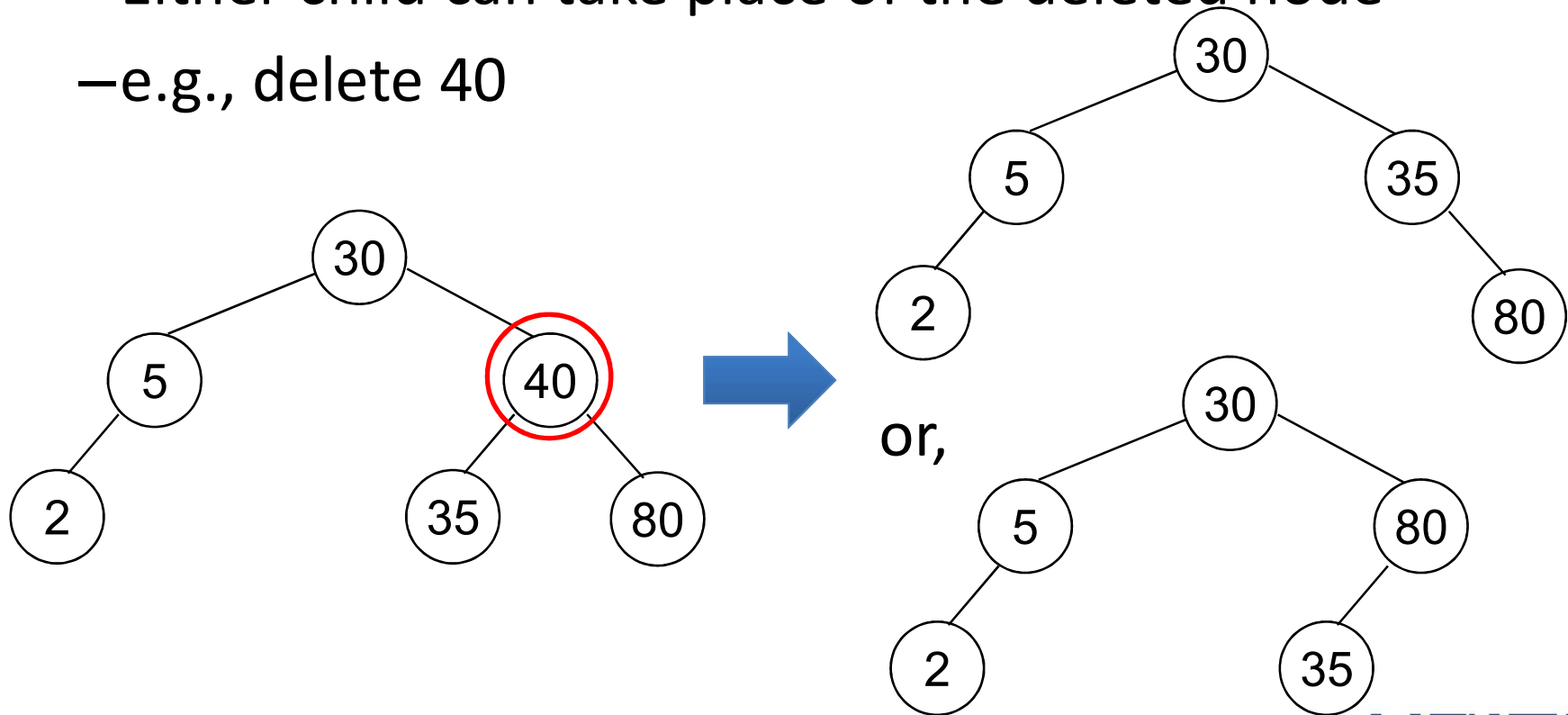


Deletion from a Binary Search Tree



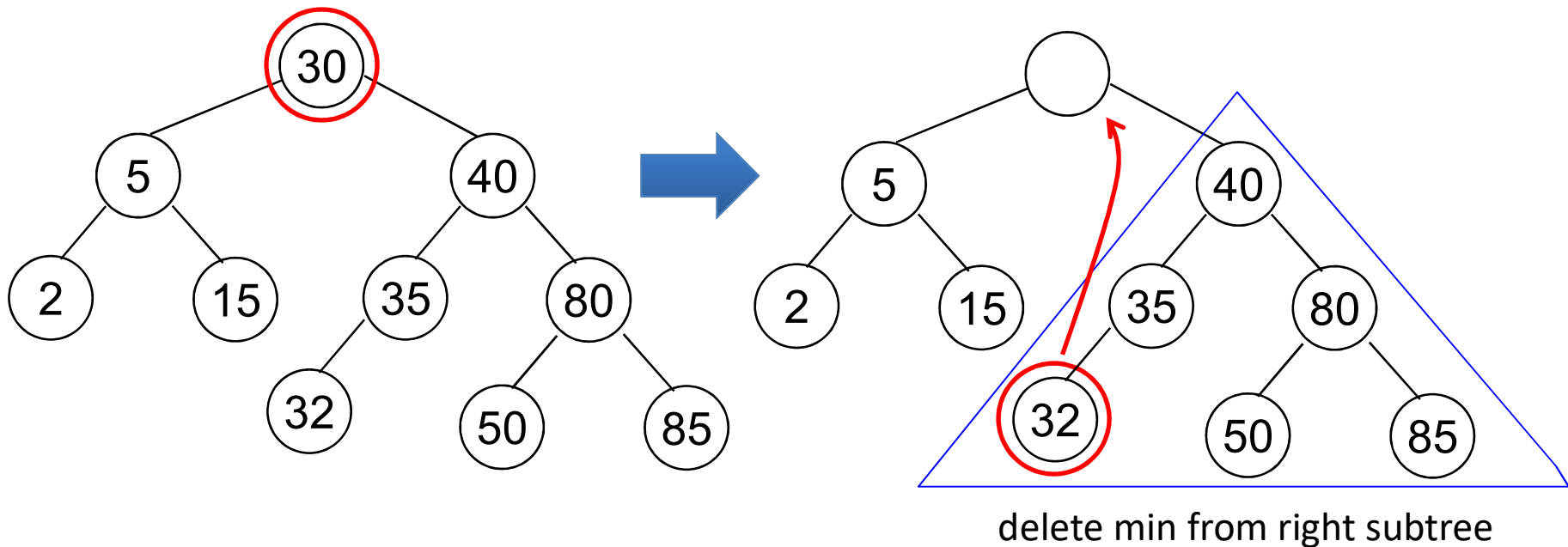
Deletion from a Binary Search Tree

- Non-leaf node with two children
 - Either child can take place of the deleted node
 - e.g., delete 40



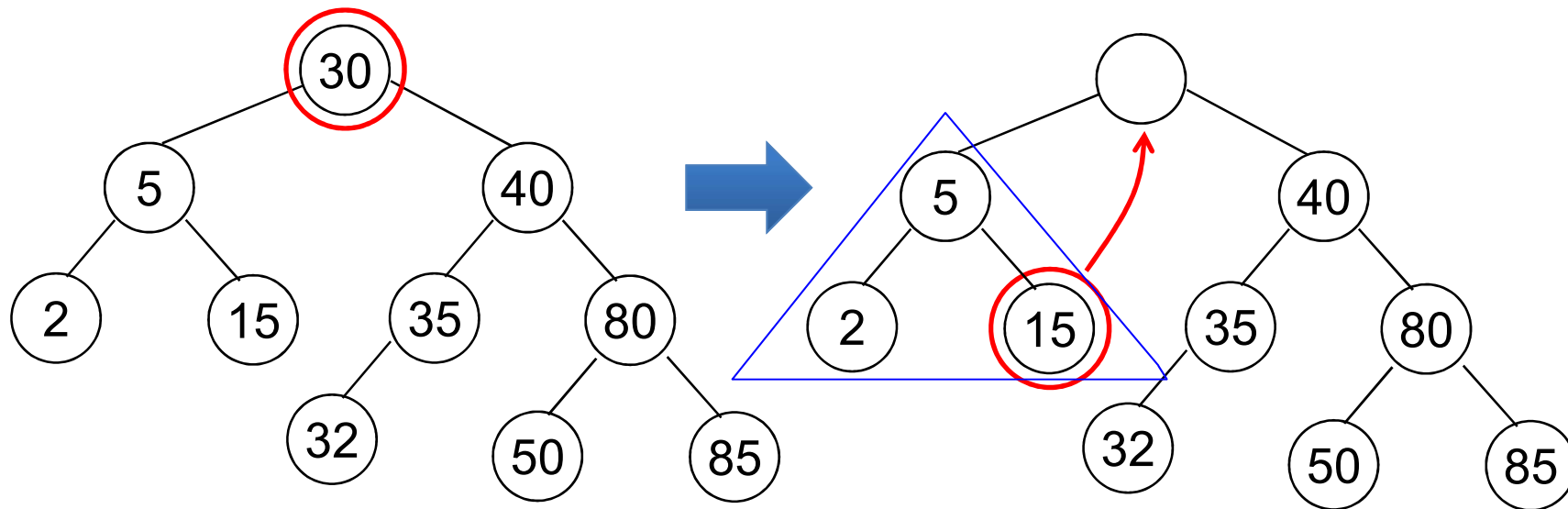
Deletion from a Binary Search Tree

- Non-leaf node with two subtrees
 - Replace with max/min node



Deletion from a Binary Search Tree

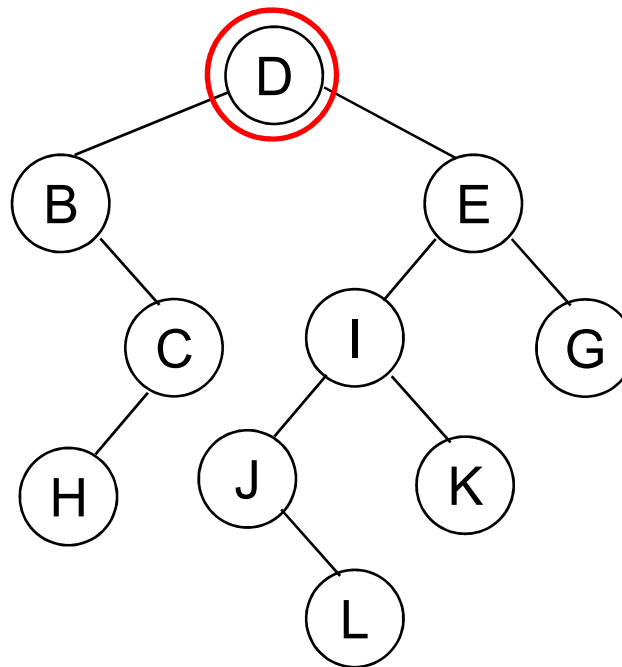
- Non-leaf node with two subtrees
 - Replace with max/min node



delete max from left subtree

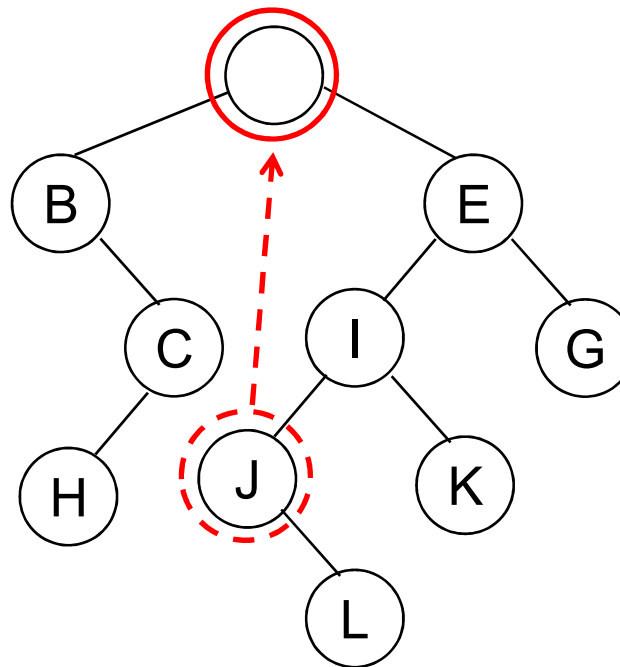
Deletion from a Binary Search Tree

- Non-leaf node with two subtrees
 - If min/max node is not a leaf, apply general rule again



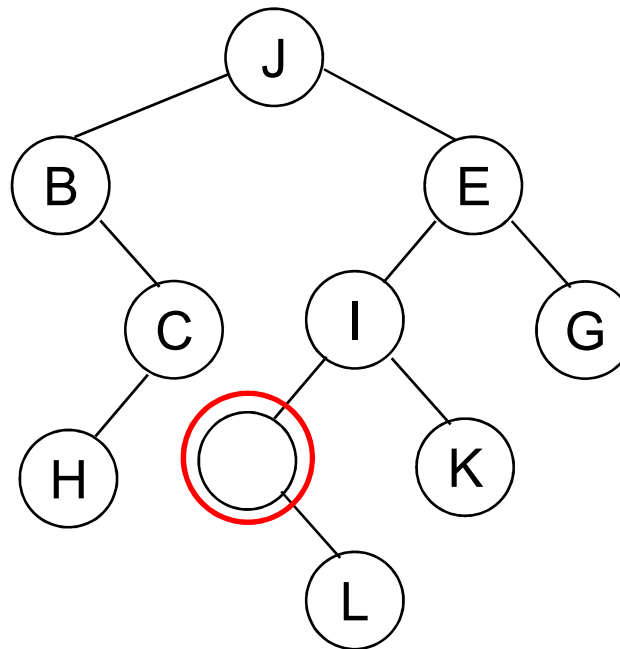
Deletion from a Binary Search Tree

- Non-leaf node with two subtrees
 - If min/max node is not a leaf, apply general rule again



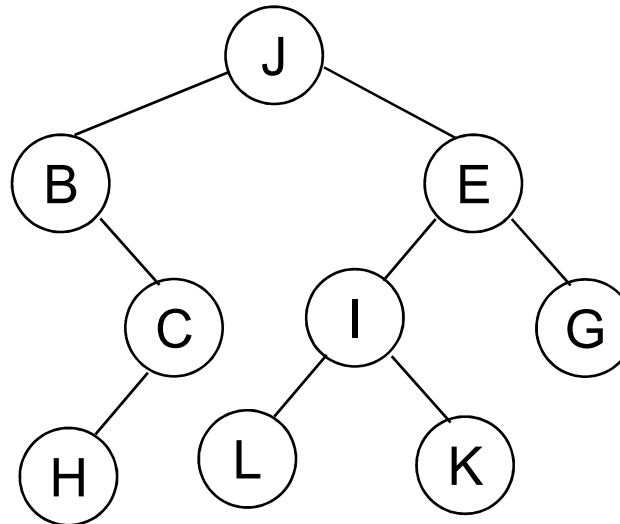
Deletion from a Binary Search Tree

- Non-leaf node with two subtrees
 - If min/max node is not a leaf, apply general rule again



Deletion from a Binary Search Tree

- Non-leaf node with two subtrees
 - If min/max node is not a leaf, apply general rule again

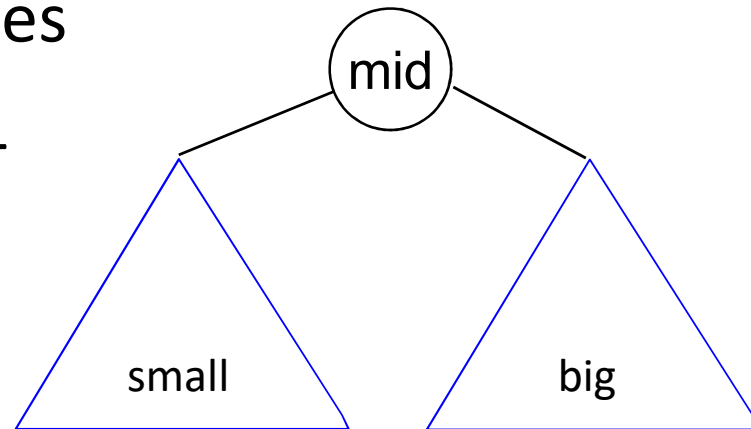


Joining and Splitting Binary Trees

- Three-way join
 - Two binary search trees and a mid value are merged as a single binary search tree
- Two-way join
 - Two binary search trees are merged to a single binary search tree
- Split
 - A single binary search tree is split into two binary search trees with respect to a given mid value

Three-way Join

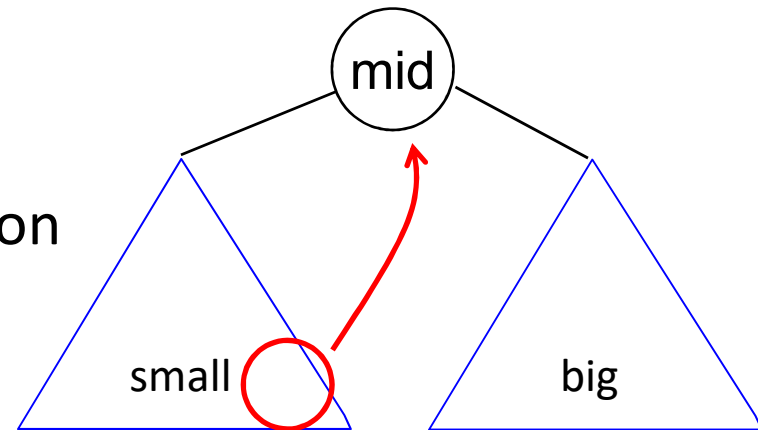
- Input
 - Two binary search trees (small, big) and mid value
- Algorithm
 - Create a mid node and attach small / big binary trees as left / right subtrees
 - $h = \max(h(\text{small}), h(\text{big})) + 1$



$O(1)$
26

Two-way join

- Input
 - Two binary search tree (small, big)
- Algorithm
 - Find mid by searching largest (smallest) key in small (big) and three way join
 - $h = \max(h'(\text{small}), h(\text{big})) + 1$
 - h' : height of small after deletion



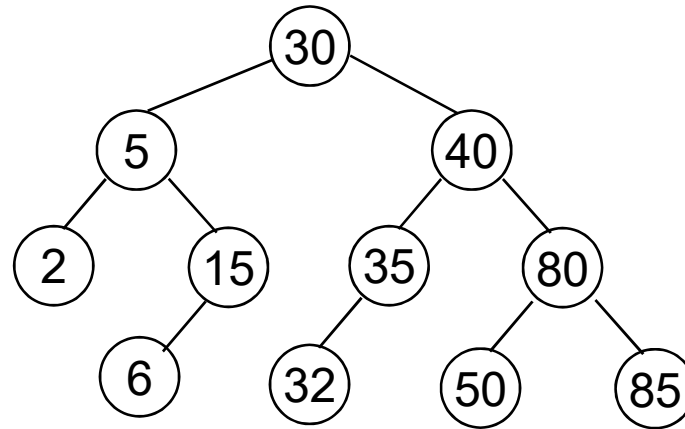
$O(h(\text{small}))$
27

Split

- Input
 - A binary search tree and the mid value k
- Output
 - Two binary search trees (small and big), mid node if k exists

Split

$k = 35$

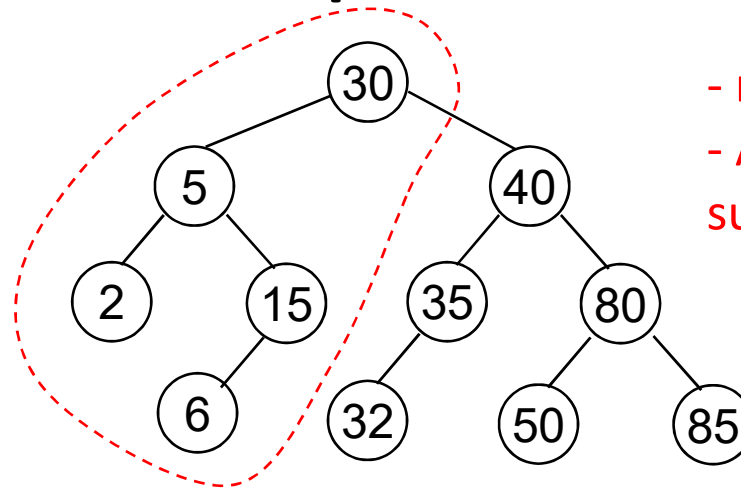


small

big

Split

$k = 35$



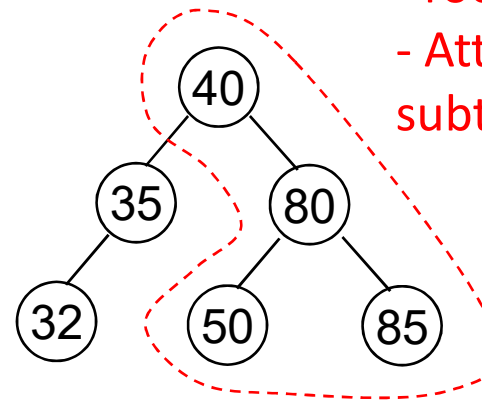
- root = 30 < k
- Attach root & left subtree to small

small

big

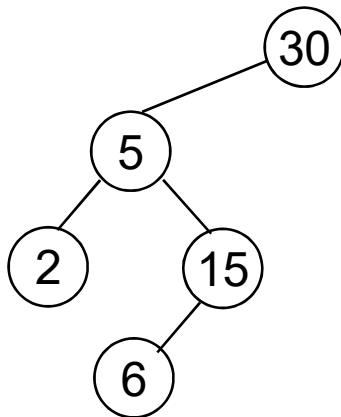
Split

$k = 35$



- root = 40 > k
- Attach root & right subtree to big

small

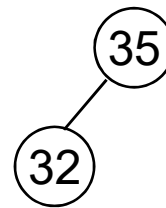


big

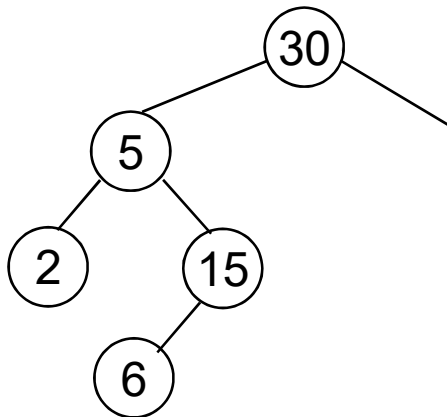
Split

$k = 35$

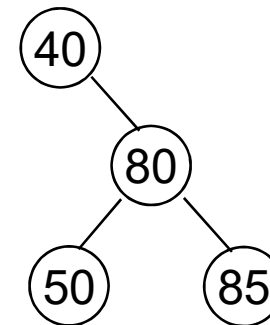
- root = 35 = k
- Attach left subtree to small and right subtree to big



small



big

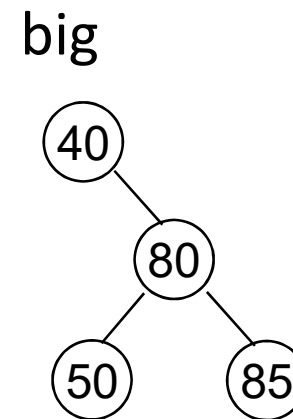
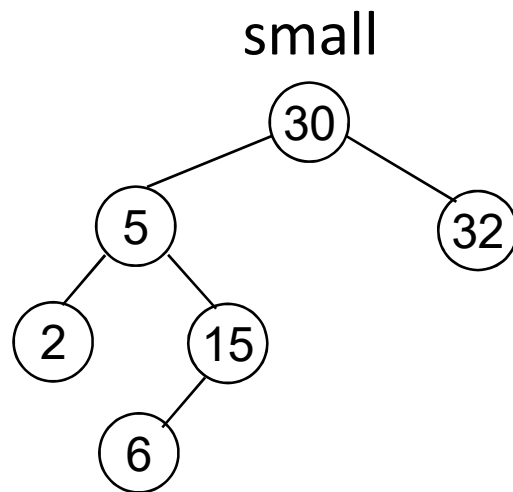


Split

$k = 35$

- root = 35 = k
- Return 35 as mid

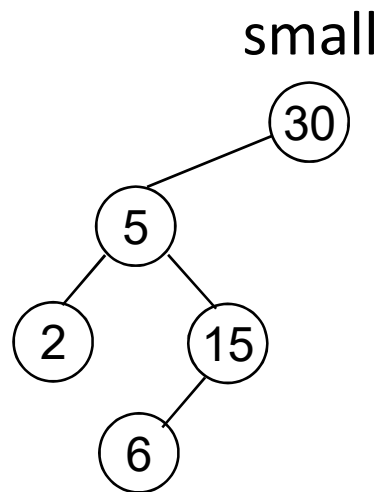
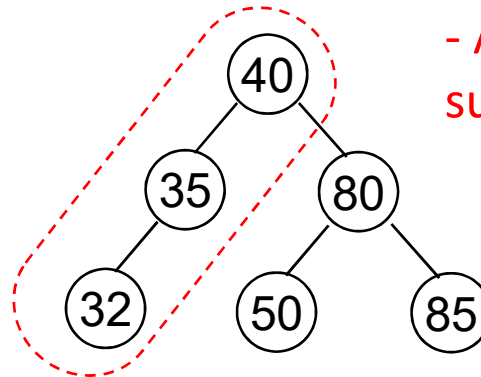
35



Split

What if $k = 80$?

- root = 40 < k
- Attach root & left subtree to small



big

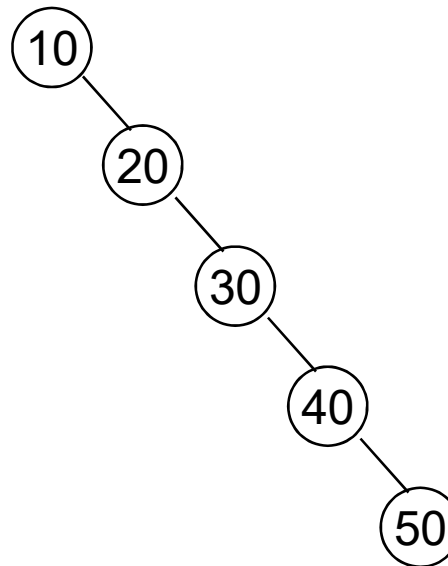
Split Algorithm

- Start with empty small and big binary search trees
- Repeat the following process:
 - If $k > \text{root}$, attach root + left subtree to small
 - Perform two-way join with current small: incoming small is bigger
 - If $k < \text{root}$, attach root + right subtree to big
 - Perform two-way join with current big: incoming big is smaller
 - If $k = \text{root}$, attach left subtree to small and right subtree to big, and return a node containing k as mid

Split

- Worst case
 - Each split, only one node (root) will be attached to small

k = 50



Split

- Complexity
 - $O(h(\text{input}))$
 - $h(\text{small}), h(\text{big}) \leq h(\text{input})$

Height of a Binary Search Tree

- Worst case
 - $O(n)$
 - e.g., 1,2,3,4,5,...,n (right skewed tree)
- Best case
 - $O(\log n)$
 - e.g., complete/full binary tree
- Balanced search tree
 - Search tree with worst-case height $O(\log n)$