

# DSC40B: Theoretical Foundations of Data Science II

Lecture 11: *Breadth-first-search  
(BFS) in graphs: part I*

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# Graph search strategies

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- ▶ **How do we**
  - ▶ find a path to go from node  $u$  to node  $v$  in the graph?
  - ▶ check whether the graph is connected?
  - ▶ compute how many connected components a graph has?
- ▶ **We want a graph search strategy**
  - ▶ which is a strategy to explore the graph systematically
    - ▶ sometimes called a graph traversal strategy
- ▶ **Different graph search strategies have different properties**
  - ▶ e.g, Breadth-first search (BFS) and Depth-first search (DFS)



# General high-level ideas

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- ▶ Each node has one of the following three states:
  - ▶ **undiscovered**
  - ▶ **pending** (discovered, but has not finished exploring it)
    - ▶ we say that a node is ``discovered'' when seeing it first time, at which point its status is changed from **undiscovered** to **pending**.
  - ▶ **visited** (done with exploring all its neighbors)
- ▶ At the beginning, all nodes are **undiscovered**
- ▶ At any moment,
  - ▶ if a node is “**visited**”, then all its neighbors should be in “**pending**” or “**visited**”
  - ▶ the search strategy will choose next node to visit (explore) from the list of **pending** nodes



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- ▶ How do we decide which is the next node to visit?
    - ▶ Breadth-first search:
      - ▶ choose the “oldest” pending node
      - ▶ namely, the one was discovered earliest among all pending nodes
    - ▶ Depth-first search:
      - ▶ choose the “newest” pending node
      - ▶ namely, the one that was discovered last among all pending nodes



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# Breadth-first search (BFS): the algorithm



# Breadth-first search

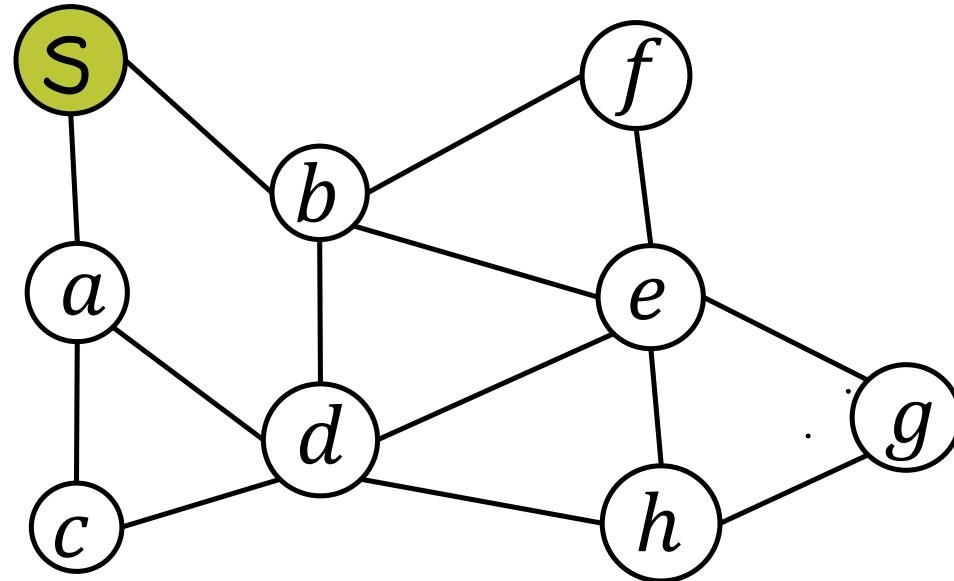
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- ▶  $\text{BFS}(G, s)$ 
  - ▶ It will perform breadth-first search in  $G$  starting from a graph node  $s$  called the source node.
- ▶ Idea:
  - ▶ All nodes are initialized as **undiscovered**, other than the source node, which is initialized as **pending** (i.e, discovered, and to be processed)
  - ▶ At each step:
    - ▶ take the oldest **pending** node to explore
    - ▶ mark all its *undiscovered neighbors* as **pending**
    - ▶ then mark this node to be **visited**
  - ▶ Repeat till there is no more **pending** nodes to explore



# Example

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undiscovered

pending

visited



# How to implement the idea?

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- ▶ Need to maintain pending nodes:
  - ▶ Need a **FIFO** (first-in first-out) data structure, which is a standard 'queue' data structure
    - ▶ A queue data structure can support the following in  $\Theta(1)$  time:
    - ▶  $Q.\text{Enqueue}(a)$ : it adds a new element to the end of the current queue
    - ▶  $b = Q.\text{Dequeue}()$ : it returns the element  $b$  at the beginning of the current queue.
  
- ▶ Need to maintain status:
  - ▶ we can use an array to store status if all nodes are indexed from 0 to  $n - 1$
  - ▶ or we can use a hash table (e.g, **dict** from python) to store it



# Pseudocode of BFS

```
BFS( $G, s$ )
/* perform BFS starting from source node  $s \in V$  in graph  $G = (V, E)$  */
1 for each node  $v \in V$  do
2   |  $v.\text{status} = \text{'undiscovered'}$ ;
3 end
4  $s.\text{status} = \text{'pending'}$ ;
5  $Q.\text{init}()$  /* initialize  $Q$  to be an empty queue */
6  $Q.\text{Enqueue}(s)$ ;
7 while  $\text{len}(Q) > 0$  do
8   |  $u = Q.\text{Dequeue}()$ ;
9   for each neighbor  $v$  of  $u$  do
10    |   | if  $v.\text{status} = \text{'undiscovered'}$  then
11    |   |   |  $v.\text{status} = \text{'pending'}$ ;
12    |   |   |  $Q.\text{Enqueue}(v)$ ;
13    |   | end
14    |   |  $u.\text{status} = \text{'visited'}$ ;
15   end
16 end
```



# Implementation of BFS in python

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- ▶ To get the standard `queue` data structure
  - ▶ In python, we need to use `deque`
    - ▶ `from collections import deque ("deck")`.
    - ▶ `.popleft()`, `.pop()`, `.append()`
    - ▶ `list` doesn't have right time complexity!
    - ▶ `import queue` isn't what you want!
- ▶ To maintain `status` of nodes
  - ▶ we can use a hash table (e.g, `dict` from python) to store it



# Python code for BFS

```
from collections import deque

def bfs(graph, source):
    """Start a BFS at `source`."""
    status = {node: 'undiscovered' for node in graph.nodes}

    status[source] = 'pending'
    pending = deque([source])

    # while there are still pending nodes
    while pending:
        u = pending.popleft()
        for v in graph.neighbors(u):
            # explore edge (u,v)
            if status[v] == 'undiscovered':
                status[v] = 'pending'
                # append to right
                pending.append(v)
        status[u] = 'visited'
```



# Remarks

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- ▶ The same algorithm works for both undirected and directed graphs
- ▶ Claim:
  - ▶  $\text{BFS}(G, s)$  will visit exactly the set of nodes that are reachable from the source  $s$  in the graph  $G$
  - ▶ Why?
- ▶ Hence some nodes may not be visited in the end,
  - ▶ and these are the nodes not reachable from source  $s$
- ▶ Can be used to help answer questions such as:
  - ▶ Is an input undirected graph connected?
  - ▶ Is there a path from  $u$  to  $v$ ?

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# Full BFS and analysis



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- ▶ Note that  $\text{BFS}(G, s)$  only visits nodes reachable from  $s$
  - ▶ So if  $G$  is disconnected, then it will not visit all nodes
  - ▶ How to explore all nodes?
    - ▶ ``Re-start'' from an undiscovered node, till all nodes are discovered
    - ▶ Will need to call  $\text{BFS}()$  potentially multiple times, but need to maintain and pass status between calls



# Full-BFS to visit all nodes

- ▶ Modify BFS() to accept statuses as well:

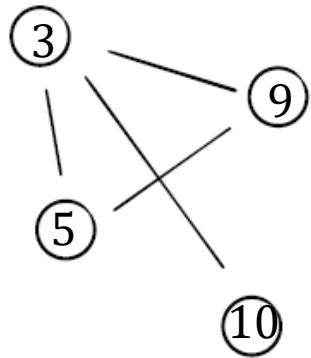
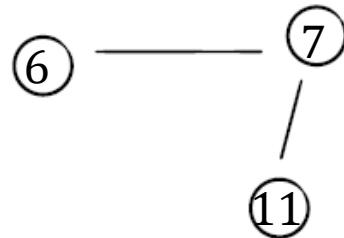
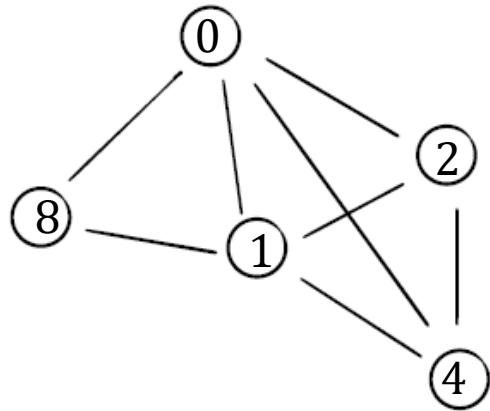
```
def bfs(graph, source, status=None):
    """Start a BFS at `source`."""
    if status is None:
        status = {node: 'undiscovered' for node in graph.nodes}
    # ...
```

- ▶ Full-BFS() procedure to visit all nodes

```
def full_bfs(graph):
    status = {node: 'undiscovered' for node in graph.nodes}
    for node in graph.nodes:
        if status[node] == 'undiscovered'
            bfs(graph, node, status)
```

# Example

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# Observation

- ▶ If the input is an undirected graph with  $k$  components
  - ▶ then line 5 of the `full_bfs()` algorithm (namely, calling `bfs`) will be executed exactly  $k$  times.

```
1 def full_bfs(graph):
2     status = {node: 'undiscovered' for node in graph.nodes}
3     for node in graph.nodes:
4         if status[node] == 'undiscovered'
5             bfs(graph, node, status)
```



# Time complexity analysis

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- ▶ Analyzing full-BFS is conceptually easier than BFS
  - ▶ We can use a global argument to count the operations
- ▶ Note that time complexity on full-BFS obviously will be upper-bound for the time complexity of BFS



# Overall algorithms

```
def full_bfs(graph):
    status = {node: 'undiscovered' for node in graph.nodes}
    for node in graph.nodes:
        if status[node] == 'undiscovered':
            bfs(graph, node, status)
```

```
def bfs(graph, source, status=None):
    """Start a BFS at `source`."""
    if status is None:
        status = {node: 'undiscovered' for node in graph.nodes}
    status[source] = 'pending'
    pending = deque([source])

    # while there are still pending nodes
    while pending:
        u = pending.popleft()
        for v in graph.neighbors(u):
            # explore edge (u,v)
            if status[v] == 'undiscovered':
                status[v] = 'pending'
                # append to right
                pending.append(v)
    status[u] = 'visited'
```

# Time complexity for full-BFS

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- ▶ Each node can be added to the queue **exactly once**
- ▶ Each edge will be explored **exactly**
  - ▶ **twice** if the input is a undirected graph
  - ▶ **once** if the input is a directed graph
- ▶ Initializing status takes  $\Theta(|V|)$  time at the beginning
  
- ▶ Hence overall:
  - ▶ Time complexity of full-BFS  $\Theta(|V| + |E|)$ 
    - ▶ If  $|V| < |E|$ , then the time is  $\Theta(|E|)$
    - ▶ If  $|V| \geq |E|$ , then the time is  $\Theta(|V|)$



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- ▶ As a graph traversal strategy (namely we want to have a way to systematically visit all nodes in the graph)
    - ▶ The time complexity is **optimal**
    - ▶ as  $|V| + |E|$  is the size needed to even represent input graph.
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# Time complexity for BFS

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- ▶ Only for  $\text{BFS}(G, s)$ 
  - ▶ Time complexity is  $\Theta(|V| + m_s)$  where  $m_s = \#\text{edges}$  in the component of  $G$  containing  $s$
  - ▶ Note that  $m_s = O(|E|)$ ,
  - ▶ Hence the time complexity for BFS is  $O(|V| + |E|)$ .



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**FIN**

