

# DSC40B: Theoretical Foundations of Data Science II

Lecture 2: *Nested loops and  
asymptotic time complexity*

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More examples: Nest loops



# The median problem

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- ▶ Design an algorithm for the following problem
  - ▶ Input: given  $n$  numbers  $X = \{x_1, x_2, \dots, x_n\}$
  - ▶ Output: find  $h$  minimizing the total absolute loss:
    - ▶  $Loss(h) = \sum_{i=1}^n |x_i - h|$
- ▶ Recall from DSC40A
  - ▶ Solution:  $h^*$  is a median of numbers in  $X$ 
    - ▶ i.e., the number whose order in  $X$  (if sorted) is  $\lfloor \frac{n}{2} \rfloor$  or  $\lceil \frac{n}{2} \rceil$
- ▶ Question:
  - ▶ How to compute this median?



# One algorithm

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- ▶ Idea:
  - ▶  $h^*$  has to be one of  $X = \{x_1, x_2, \dots, x_n\}$
  - ▶ compute all  $\text{Loss}(x_1), \dots, \text{Loss}(x_n)$
  - ▶ return the one whose loss is smallest



# One algorithm

```
def median(X):  
    min_h = None  
    min_value = float('inf')  
    for h in X:  
        total_abs_loss = 0  
        for x in X:  
            total_abs_loss += abs(x - h)  
        if total_abs_loss < min_value:  
            min_value = total_abs_loss  
            min_h = h  
    return min_h
```

Inner-for loop:

Outer-for  
loop:

Total:



# One algorithm

```
def median(X):  
    min_h = None  
    min_value = float('inf')  
    for h in X:  
        total_abs_loss = 0  
        for x in X:  
            total_abs_loss += abs(x - h)  
        if total_abs_loss < min_value:  
            min_value = total_abs_loss  
            min_h = h  
    return min_h
```

$$T(n) = \Theta(n^2)$$

We will see how  
to do better later  
in class.



# Caution!

- ▶ Not all nested loop takes  $\Theta(n^2)$  time

```
def foo_1(n):
    for x in range(n):
        for y in range(n, n+10):
            print(x + y)
```

$$T_1(n) = \Theta(n)$$

```
def foo_2(n):
    for x in range(n):
        for y in range(n, 2n-10):
            print(x + y)
```

$$T_2(n) = \Theta(n^2)$$



# Another example

- ▶ Alex is given  $n$  sticks. He needs to design an algorithm to compute the tallest pole he can make by stacking two sticks

```
def tallest_pole(heights):
    1. max_height = -float('inf')
    2. n = len(heights)
    3. for i in range(n):
        4.     for j in range(i+1, n):
            5.         h = heights[i] + heights[j]
            6.         if h > max_height
            7.             max_height = h
    8. return max_height
```

On outer iter.#1, inner body runs \_\_\_\_\_ times

On outer iter.#2, inner body runs \_\_\_\_\_ times

On outer iter.#3, inner body runs \_\_\_\_\_ times

⋮

On outer iter.#n, inner body runs \_\_\_\_\_ times

For the  $k$ -th iteration of outer loop, the inner loop takes  $c(n - k)$  time.

- ▶ How many times does line 5 – 7 (inner body) run?

# Tallest\_pole, cont.

- ▶ Total times line 5-7 (inner body) is executed:

$$\underbrace{(n-1)}_{\text{1st outer iter}} + \underbrace{(n-2)}_{\text{2nd outer iter}} + \dots + \underbrace{(n-k)}_{\text{kth outer iter}} + \underbrace{(n-(n-1))}_{(n-1)\text{th outer iter}} + \underbrace{(n-n)}_{\text{nth outer iter}}$$

=

$$1 + 2 + 3 + \dots + (n-3) + (n-2) + (n-1)$$

=

- ▶ Algorithm **tallest\_pole** has  $T(n) = \Theta(n^2)$

- ▶ Another way to see the time complexity:

- ▶ Number of pairs of  $n$  objects is  $\binom{n}{2} = \frac{n(n-1)}{2} = \Theta(n^2)$

Exercise: Find a linear-time algorithm for this problem.

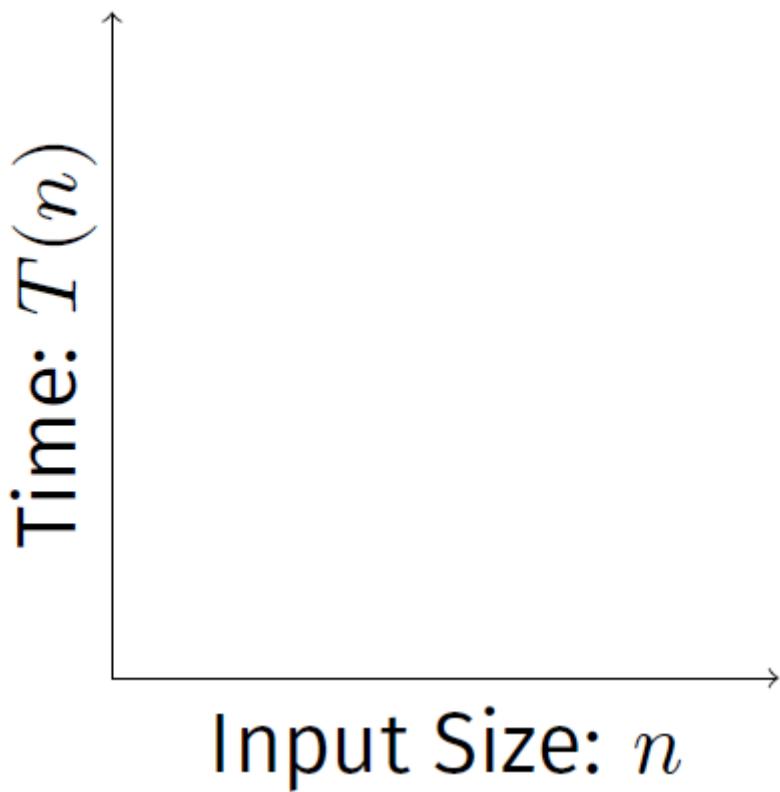
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# Linear vs quadratic growth



# Scaling

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- ▶  $T(n) = \Theta(n)$ 
  - ▶ means " $T(n)$  grows like  $n$ "
  - ▶ linear growth
  
- ▶  $T(n) = \Theta(n^2)$ 
  - ▶ means " $T(n)$  grows like  $n^2$ "
  - ▶ quadratic growth



- 
- ▶ Suppose your algorithm runs 5 sec on 1000 points
  - ▶ Linear growth
    - ▶ If the input has 100,000 points, then it takes 500 seconds (8.3 min)
  - ▶ Quadratic growth
    - ▶ If the input has 100,000 points, then it will take 50,000 seconds (~14 hours)
- 



# Some common growth rates

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- ▶  $\Theta(1)$  : constant
- ▶  $\Theta(\log n)$  : logarithmic
- ▶  $\Theta(n)$  : linear
- ▶  $\Theta(n \log n)$  : linearithmic
- ▶  $\Theta(n^2)$  : quadratic
- ▶  $\Theta(n^3)$  : cubic
- ▶  $\Theta(2^n)$  : exponential



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# Asymptotic notations



- 
- ▶ We have seen  $\Theta(\cdot)$  allows us to ignore unnecessary details and focus on dominating terms of growth.
    - ▶ Simpler, easier to analyze, and focus on key growth rate
    - ▶ What exactly are we ignoring?
  - ▶ Before we introduce this formally
    - ▶ First introduce big- $O$  and big- $\Omega$  notations
    - ▶ Then big- $\Theta$
    - ▶ Intuitively, big- $O$ , big- $\Omega$ , and big- $\Theta$  “roughly” corresponds to  $\leq$ ,  $\geq$ , and  $=$ , respectively (upto constants)
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# Big-O notation



# Big-O notation

## Definition

We write  $f(n) = O(g(n))$  if there are positive constants  $n_0$  and  $c$  such that for all  $n \geq n_0$ :

$$f(n) \leq c \cdot g(n)$$

- ▶ More precisely, should be  $f(n) \in O(g(n))$
- ▶ Intuitively,  $f(n) = O(g(n))$  means that
  - ▶  $f(n)$  grows at most as fast as  $g(n)$  (up to multiplicative constant factor)
  - ▶ We also say  $g(n)$  is **an asymptotic upper bound** for  $f(n)$  in this case

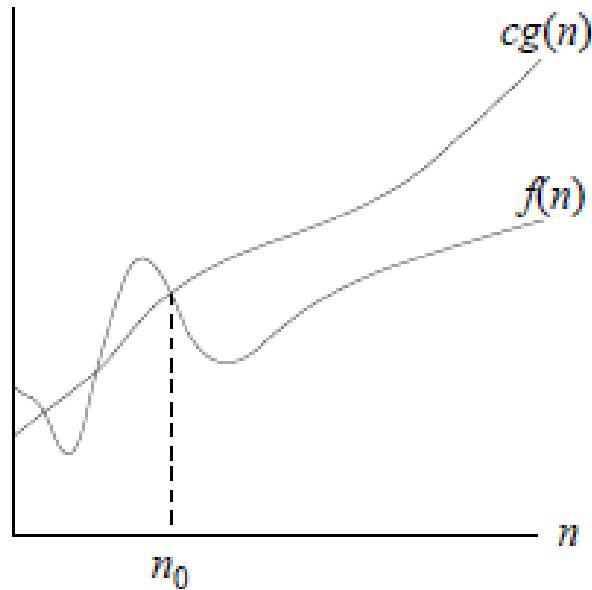


# Big-O notation

## Definition

We write  $f(n) = O(g(n))$  if there are positive constants  $n_0$  and  $c$  such that for all  $n \geq n_0$ :

$$f(n) \leq c \cdot g(n)$$



# Examples

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►  $n^3 - 3n^2 + 5n - 1 = O(n^3)$

► Proof:

►  $5n^2 + 6\sqrt{n} + 8 = O(n^2)?$

► Proof:



## More examples

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- ▶  $\sqrt{6n^3 + 7n^2 + 3n} = O(n^2)$  ?
- ▶  $\sqrt{6n^3 + 7n^2 + 3n} = O(n^{1.5})$  ?
- ▶  $\frac{n}{\lg n} = O(n)$  ?
- ▶  $\frac{100 n}{\lg n} = O\left(\frac{n}{\lg n}\right)$  ?
- ▶  $n \lg n = O(n)$  ?
- ▶  $\log_2 n = O(\log_{10} n)$  ?



# A useful result

## Lemma [Upper Bound]

If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)}$  exists, then  $f(n) = O(g(n))$  if and only if

$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \leq c$ , where  $c$  is a positive constant.

## Corollary [Upper Bound]

If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$ , then  $f(n) = O(g(n))$ .

If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = +\infty$ , then  $f(n) = O(g(n))$  does not hold.



## More examples

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- ▶  $n^{100} = O(n^2)$  ?
- ▶  $50 \lg n = O(n)$  ?
- ▶  $n^{100} = O(2^n)$  ?
- ▶  $2^n = O(3^n)$  ?



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# Big- $\Omega$ notation



# Big- $\Omega$ notation

## Definition

We write  $f(n) = \Omega(g(n))$  if there are **positive constants**  $n_0$  and  $c$  such that for all  $n \geq n_0$ :

$$f(n) \geq c \cdot g(n)$$

- ▶ More precisely, should be  $f(n) \in \Omega(g(n))$
- ▶ Intuitively,  $f(n) = \Omega(g(n))$  means that
  - ▶  $f(n)$  grows at least as fast as  $g(n)$  (up to multiplicative constant factor)
  - ▶ We also say  $g(n)$  is **an asymptotic lower bound** for  $f(n)$  in this case

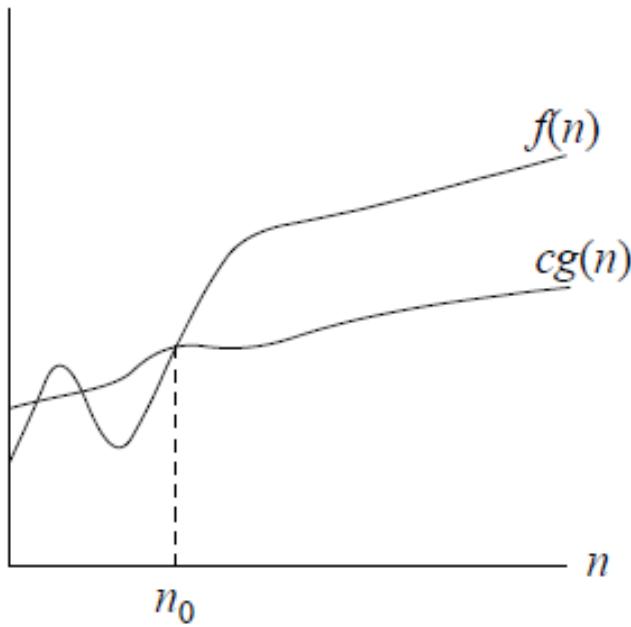


# Big- $\Omega$ notation

## Definition

We write  $f(n) = \Omega(g(n))$  if there are **positive constants**  $n_0$  and  $c$  such that for all  $n \geq n_0$ :

$$f(n) \geq c \cdot g(n)$$



# Examples

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- ▶  $n^3 - 3n^2 + 5n = \Omega(n^3)$  ?
- ▶ Proof:



# A useful result

## Lemma [Lower Bound]

If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)}$  exists, then  $f(n) = \Omega(g(n))$  if and only if

$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \geq c$ , where  $c$  is a **positive** constant.

## Corollary [Lower Bound]

If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = +\infty$ , then  $f(n) = \Omega(g(n))$ .

If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$ , then  $f(n) = \Omega(g(n))$  cannot hold.



## More Examples

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- ▶  $5n^2 + 6n + 8 = \Omega(n^3)$  ?
- ▶  $5n^2 + 6n + 8 = \Omega(n^2)$  ?
- ▶  $n^2 = \Omega(100 n^2)$  ?
- ▶  $\sqrt{6n^3 - 7n^2 + 3n} = \Omega(n^{1.5})$  ?
- ▶  $2^n = \Omega(n^2)$  ?
- ▶  $3\lg n = \Omega(n)$  ?
- ▶  $3^n = \Omega(2^n)$  ?
- ▶  $2^n = \Omega(3^n)$  ?
- ▶  $\log_{10} n = \Omega(\log_2 n)$  ?



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# Big- $\Theta$ notation



# Big-Θ notation

## Definition

We write  $f(n) = \Theta(g(n))$  if there are **positive constants**  $n_0$ ,  $c_1$ , and  $c_2$  such that for all  $n \geq n_0$ :

$$c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)$$

- ▶ More precisely, should be  $f(n) \in \Theta(g(n))$
- ▶ Intuitively,  $f(n) = \Theta(g(n))$  means that
  - ▶  $f(n)$  grows like  $g(n)$  (up to multiplicative constant factor)

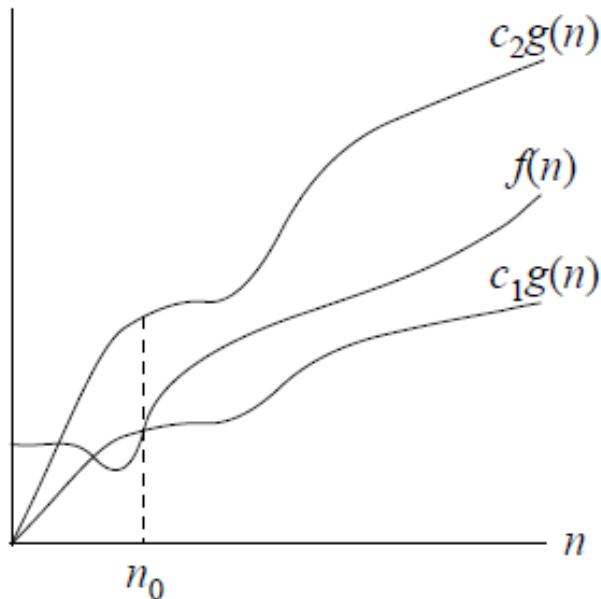


# Big-Θ notation

## Definition

We write  $f(n) = \Theta(g(n))$  if there are **positive constants**  $n_0$ ,  $c_1$ , and  $c_2$  such that for all  $n \geq n_0$ :

$$c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)$$



# A useful result

## Lemma [Big-Theta]

If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)}$  exists, then  $f(n) = \Theta(g(n))$  if and only if

$c_1 \leq \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \leq c_2$ , where  $c_1$  and  $c_2$  are **positive constants**.

## Corollary [Big-Theta]

If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c$  for some positive constant  $c$ , then  $f(n) = \Theta(g(n))$ .



# Examples

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►  $2n^3 - 3n^2 = \Theta(n^3)$

► Proof:



## More Examples

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- ▶  $5n^2 + 6n + 8 = \Theta(n^2)$  ?
- ▶  $\sqrt{6n^3 - 7n^2 + 3n} = \Theta(n^{1.5})$  ?
- ▶  $n^2 - \lg n = \Theta(n^2)$  ?
- ▶  $3^n = \Theta(2^n)$  ?
- ▶  $\log_{10} n = \Theta(\log_2 n)$  ?

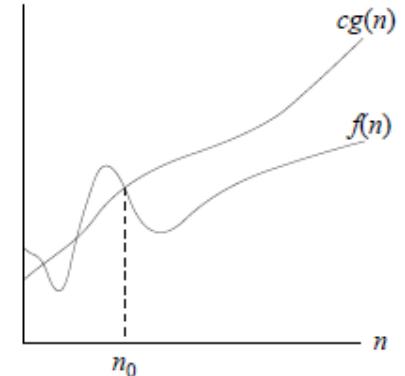


# In summary

## Big-O (upper bounded)

We write  $f(n) = O(g(n))$  if there are positive constants  $n_0$  and  $c$  such that for all  $n \geq n_0$ :

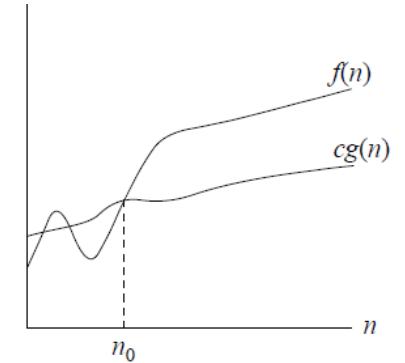
$$f(n) \leq c \cdot g(n)$$



## Big- $\Omega$ (lower bounded)

We write  $f(n) = \Omega(g(n))$  if there are **positive** constants  $n_0$  and  $c$  such that for all  $n \geq n_0$ :

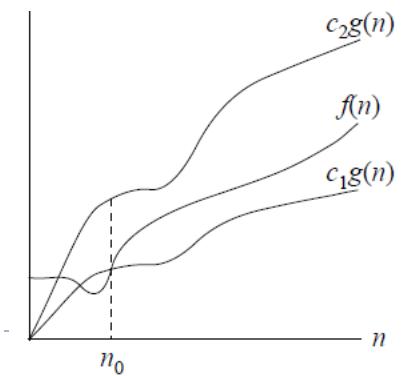
$$f(n) \geq c \cdot g(n)$$



## Big- $\Theta$ (asymptotically the same)

We write  $f(n) = \Theta(g(n))$  if there are **positive** constants  $n_0$ ,  $c_1$ , and  $c_2$  such that for all  $n \geq n_0$ :

$$c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)$$



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# More on nested loops analysis



# A first example

```
function func(n)
    1 x ← 0;
    2 i ← 0;
    3 while ( $i \leq n$ ) do
        4     | x ←  $x + i$ ;
        5     | i ←  $i + 3$ ;
    6 end
    7 return (x);
```

#iteration	value of i	Cost of this iteration



# A pseudo-code example

```
function func(n)
    1  x ← 0;
    2  i ← 0;
    3  while (i ≤ n) do
    4      |  x ← x + i;
    5      |  i ← i + 3;
    6  end
    7  return (x);
```

- Each iteration of the **while** loop takes  $c$  time for some constant  $c$
- In the  $k$ -th iteration of the **while** loop, the value of  $i$  is  $i = 3(k - 1)$
- The **while** loop terminates when  $i > n$  , meaning that

$$3(k - 1) > n \Rightarrow k > \frac{n}{3} + 1$$

- Thus, the **while** loop runs  $\frac{n}{3} + 1$  iterations.
- Hence the total time complexity of the while loop is #iterations  $\times c$ . The time complexity of the algorithm is

$$T(n) = c \left( \frac{n}{3} + 1 \right) + \Theta(1) = \Theta(n)$$



# A second example

```
function func(n)

1 x ← 0;

2 i ← 1;

3 while ( $i \leq n$ ) do
4   | x ← x + i;
5   | i ← i * 3;

6 end

7 return (x);
```

#iteration	value of i	Cost of this iteration



# A second example

```
function func(n)
    1 x ← 0;
    2 i ← 1;
    3 while (i ≤ n) do
        4     | x ← x + i;
        5     | i ← i * 3;
    6 end
    7 return (x);
```

- Each iteration of the **while** loop takes  $c$  time for some constant  $c$
- In the  $k$ -th iteration of the **while** loop, the value of  $i$  is  $i = 3^{k-1}$
- The **while** loop terminates when  $i > n$ , meaning that
$$3^{(k-1)} > n \Rightarrow k > \log_3 n + 1$$
- Thus, the **while** loop runs  $\log_3 n + 1$  iterations.
- Hence the total time complexity of the while loop is #iterations  $\times c$ . The time complexity of the algorithm is

$$T(n) = \Theta(\log_3 n) = \Theta(\lg n)$$



# A third example

```
function func(n)
1  x ← 0;
2  for i ← 1 to n do
3      j ← 1;
4      while (j ≤ n) do
5          x ← x + (i - j);
6          j ← 2 * j;
7      end
8  end
9  return (x);
```

- By the same analysis as the previous slide, the inner **while** loop takes  $c \lg n$  time for some constant  $c$  for each iteration of outer-for loop
- The outer-for loop has  $n$  iterations
- Hence the total time complexity of the algorithm is

$$T(n) = n \times (c \lg n) = \Theta(n \lg n)$$



# A fourth example

```
function func(n)
```

```
1 x ← 0;
```

```
2 for i ← 1 to n do
```

```
3     j ← 1;
```

```
4     while (j ≤ i) do
```

```
5         x ← x + (i - j);
```

```
6         j ← 2 * j;
```

```
7     end
```

```
8 end
```

```
9 return (x);
```

- By the same analysis as the previous slide, for the  $i$ -th iteration of the outer-for loop, the inner **while** loop takes  $c \lg i$  time for some constant  $c$
- For the outer-for loop ,  $i$  changes from 1 to  $n$ . Hence the total time complexity is

$$\begin{aligned} T(n) &= \sum_i^n (c \lg n) \\ &= c(\lg 1 + \lg 2 + \lg 3 + \dots \lg n) = c \lg(n!) \\ &= \Theta(n \lg n) \end{aligned}$$



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**FIN**

