

# DSC40B: Theoretical Foundations of Data Science II

## Lecture 11: *Breadth-first-search (BFS) in graphs: part I*

Instructor: Yusu Wang

# Graph search strategies

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- ▶ **How do we**

- ▶ find a path to go from node  $u$  to node  $v$  in the graph?
- ▶ check whether the graph is connected?
- ▶ compute how many connected components a graph has?

- ▶ **We want a graph search strategy**

- ▶ which is a strategy to explore the graph systematically
  - ▶ sometimes called a graph traversal strategy

- ▶ **Different graph search strategies have different properties**

- ▶ e.g, Breadth-first search (BFS) and Depth-first search (DFS)



# General high-level ideas

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- ▶ Each node has one of the following three states:
  - ▶ **undiscovered**
  - ▶ **pending** (discovered, but has not finished exploring it)
    - ▶ we say that a node is “discovered” when seeing it first time, at which point its status is changed from **undiscovered** to **pending**.
  - ▶ **visited** (done with exploring all its neighbors)
- ▶ At the beginning, all nodes are **undiscovered**
- ▶ At any moment,
  - ▶ if a node is “**visited**”, then all its neighbors should be in “**pending**” or “**visited**”
  - ▶ the search strategy will choose next node to visit (explore) from the list of **pending** nodes



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▶ How do we decide which is the next node to visit?

▶ **Breadth-first** search:

- ▶ choose the “oldest” pending node
- ▶ namely, the one was discovered earliest among all pending nodes

▶ **Depth-first** search:

- ▶ choose the “newest” pending node
- ▶ namely, the one that was discovered last among all pending nodes



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# Breadth-first search (BFS): the algorithm



# Breadth-first search

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## ▶ BFS( $G, s$ )

- ▶ It will perform breadth-first search in  $G$  starting from a graph node  $s$  called the *source node*.

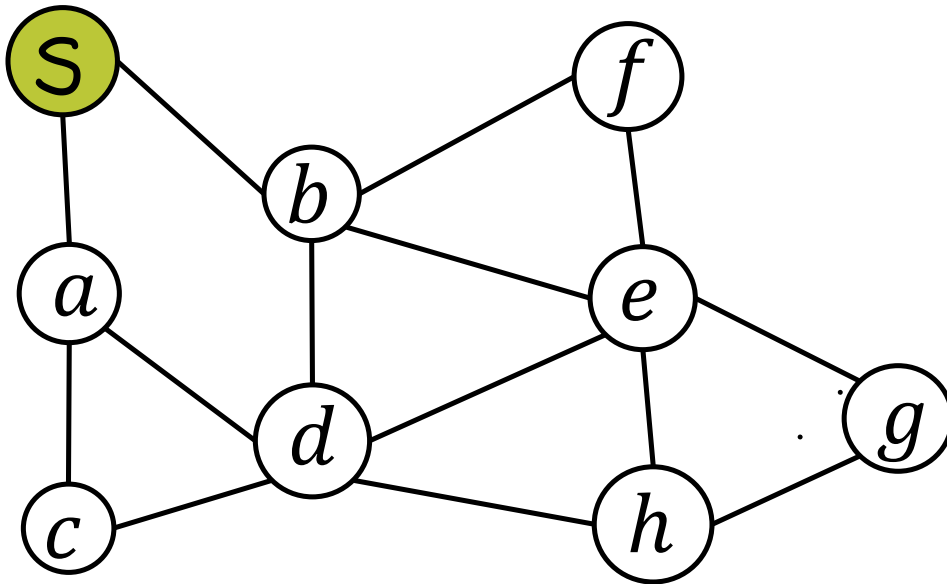
## ▶ Idea:

- ▶ All nodes are initialized as **undiscovered**, other than the source node, which is initialized as **pending** (i.e, discovered, and to be processed)
- ▶ At each step:
  - ▶ take the oldest **pending** node to explore
  - ▶ mark all its *undiscovered neighbors* as **pending**
  - ▶ then mark this node to be **visited**
- ▶ Repeat till there is no more **pending** nodes to explore



# Example

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undiscovered

pending

visited

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# How to implement the idea?

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- ▶ Need to maintain pending nodes:
  - ▶ Need a **FIFO** (first-in first-out) data structure, which is a standard **'queue'** data structure
    - ▶ A queue data structure can support the following in  $\Theta(1)$  time:
      - ▶  $Q.Enqueue(a)$ : it adds a new element to the end of the current queue
      - ▶  $b = Q.Dequeue()$ : it returns the element  $b$  at the beginning of the current queue.
- ▶ Need to maintain status:
  - ▶ we can use an array to store status if all nodes are indexed from 0 to  $n - 1$
  - ▶ or we can use a hash table (e.g, **dict** from python) to store it





# Pseudocode of BFS

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```
BFS( $G, s$ )  
  /* perform BFS starting from source node  $s \in V$  in graph  $G = (V, E)$  */  
1 for each node  $v \in V$  do  
2   |  $v.status = \text{'undiscovered'}$ ;  
3 end  
4  $s.status = \text{'pending'}$ ;  
5  $Q.init()$    /* initialize  $Q$  to be an empty queue */  
6  $Q.Enqueue(s)$ ;  
7 while  $len(Q) > 0$  do  
8   |  $u = Q.Dequeue()$ ;  
9   | for each neighbor  $v$  of  $u$  do  
10    |   if  $v.status = \text{'undiscovered'}$  then  
11    |     |  $v.status = \text{'pending'}$ ;  
12    |     |  $Q.Enqueue(v)$ ;  
13    |   end  
14    |    $u.status = \text{'visited'}$ ;  
15   | end  
16 end
```



# Implementation of BFS in python

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- ▶ To get the standard `'queue'` data structure
  - ▶ In python, we need to use `deque`
    - ▶ `from collections import deque` (“deck”).
    - ▶ `.popleft()`, `.pop()`, `.append()`
    - ▶ `list` doesn't have right time complexity!
    - ▶ `import queue` isn't what you want!
- ▶ To maintain `'status'` of nodes
  - ▶ we can use a hash table (e.g, `dict` from python) to store it



# Python code for BFS

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```
from collections import deque

def bfs(graph, source):
    """Start a BFS at `source`."""
    status = {node: 'undiscovered' for node in graph.nodes}
    status[source] = 'pending'
    pending = deque([source])

    # while there are still pending nodes
    while pending:
        u = pending.popleft()
        for v in graph.neighbors(u):
            # explore edge (u,v)
            if status[v] == 'undiscovered':
                status[v] = 'pending'
                # append to right
                pending.append(v)
        status[u] = 'visited'
```



# Remarks

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- ▶ The same algorithm works for both undirected and directed graphs
- ▶ Claim:
  - ▶  $\text{BFS}(G, s)$  will visit exactly the set of nodes that are reachable from the source  $s$  in the graph  $G$
  - ▶ Why?
- ▶ Hence some nodes may not be visited in the end,
  - ▶ and these are the nodes not reachable from source  $s$
- ▶ Can be used to help answer questions such as:
  - ▶ Is an input undirected graph connected?
  - ▶ Is there a path from  $u$  to  $v$ ?

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# Full BFS and analysis



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- ▶ Note that  $\text{BFS}(G, s)$  only visits nodes reachable from  $s$
  - ▶ So if  $G$  is disconnected, then it will not visit all nodes
  - ▶ How to explore all nodes?
    - ▶ “Re-start” from an undiscovered node, till all nodes are discovered
    - ▶ Will need to call  $\text{BFS}()$  potentially multiple times, but need to maintain and pass status between calls



# Full-BFS to visit all nodes

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- ▶ Modify BFS() to accept statuses as well:

```
def bfs(graph, source, status=None):  
    """Start a BFS at `source`."""  
    if status is None:  
        status = {node: 'undiscovered' for node in graph.nodes}  
    # ...
```

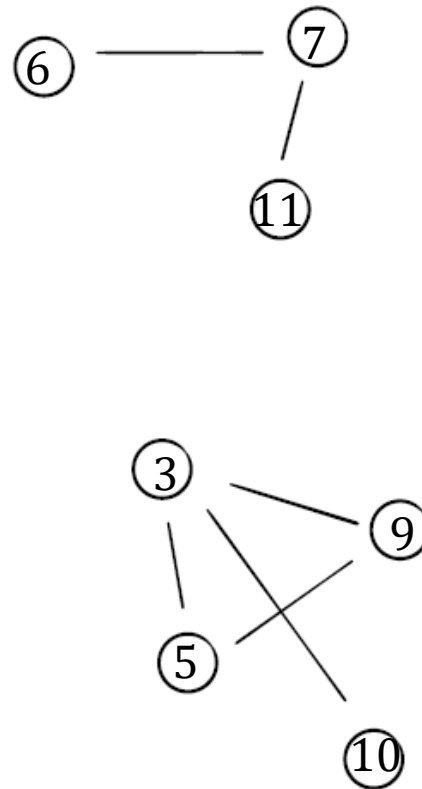
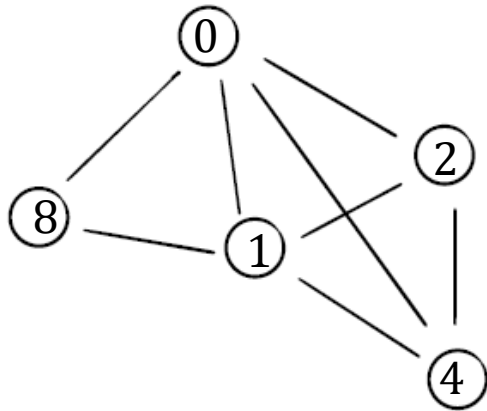
- ▶ Full-BFS() procedure to visit all nodes

```
def full_bfs(graph):  
    status = {node: 'undiscovered' for node in graph.nodes}  
    for node in graph.nodes:  
        if status[node] == 'undiscovered':  
            bfs(graph, node, status)
```



# Example

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# Observation

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- ▶ If the input is an undirected graph with  $k$  components
  - ▶ then line 5 of the `full_bfs()` algorithm (namely, calling `bfs`) will be executed exactly  $k$  times.

```
1  def full_bfs(graph):  
2      status = {node: 'undiscovered' for node in graph.nodes}  
3      for node in graph.nodes:  
4          if status[node] == 'undiscovered':  
5              bfs(graph, node, status)
```



# Time complexity analysis

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- ▶ Analyzing full-BFS is conceptually easier than BFS
  - ▶ We can use a global argument to count the operations
- ▶ Note that time complexity on full-BFS obviously will be upper-bound for the time complexity of BFS



# Overall algorithms

```
def full_bfs(graph):
    status = {node: 'undiscovered' for node in graph.nodes}
    for node in graph.nodes:
        if status[node] == 'undiscovered':
            bfs(graph, node, status)
```

```
def bfs(graph, source, status=None):
    """Start a BFS at `source`."""
    if status is None:
        status = {node: 'undiscovered' for node in graph.nodes}
    status[source] = 'pending'
    pending = deque([source])

    # while there are still pending nodes
    while pending:
        u = pending.popleft()
        for v in graph.neighbors(u):
            # explore edge (u,v)
            if status[v] == 'undiscovered':
                status[v] = 'pending'
                # append to right
                pending.append(v)
        status[u] = 'visited'
```

# Time complexity for full-BFS

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- ▶ Each node can be added to the queue **exactly once**
- ▶ Each edge will be explored **exactly**
  - ▶ **twice** if the input is a undirected graph
  - ▶ **once** if the input is a directed graph
- ▶ Initializing status takes  $\Theta(|V|)$  time at the beginning
- ▶ Hence overall:
  - ▶ Time complexity of full-BFS  $\Theta(|V| + |E|)$ 
    - ▶ If  $|V| < |E|$ , then the time is  $\Theta(|E|)$
    - ▶ If  $|V| \geq |E|$ , then the time is  $\Theta(|V|)$



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- ▶ As a graph traversal strategy (namely we want to have a way to systematically visit all nodes in the graph)
    - ▶ The time complexity is **optimal**
    - ▶ as  $|V| + |E|$  is the size needed to even represent input graph.



# Time complexity for BFS

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- ▶ Only for  $\text{BFS}(G, s)$

- ▶ Time complexity is  $\Theta(|V| + m_s)$  where  $m_s = \text{\#edges in the component of } G \text{ containing } s$
- ▶ Note that  $m_s = O(|E|)$ ,
- ▶ Hence the time complexity for BFS is  $O(|V| + |E|)$ .



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FIN

