

# Vision Transformer Implemented from Scratch

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## 1 Introduction

This report presents the implementation of a Vision Transformer (ViT) model developed entirely from scratch using PyTorch and trained on the CIFAR-10 dataset. Unlike traditional convolutional neural networks (CNNs), which rely on convolution and pooling operations to extract features, Vision Transformers process images as sequences of fixed-size patches using the Transformer architecture. This allows the model to capture long-range and global dependencies across the image.

## 2 Data Preprocessing and Regularization

### 2.1 Dataset

The CIFAR-10 dataset consists of:

- 60,000 RGB images
- Image resolution of  $32 \times 32 \times 3$
- 10 object classes: airplane, automobile, bird, cat, deer, dog, frog, horse, ship, and truck

### 2.2 Preprocessing Steps

The following preprocessing techniques were applied during training:

#### 1. Random Horizontal Flip

Images are randomly flipped along the horizontal axis. *Effect:* Improves generalization by learning orientation-invariant features.

## 2. Random Crop with Padding

Images are padded and then randomly cropped back to  $32 \times 32$ . *Effect:* Makes the model robust to small spatial translations.

## 3. ToTensor

Converts images to PyTorch tensors and scales pixel values to the range  $[0, 1]$ . *Effect:* Enables compatibility with neural network operations.

## 4. Normalization

Pixel values are normalized using dataset-specific mean and standard deviation. *Effect:* Stabilizes training and accelerates convergence.

## 2.3 Regularization Techniques

To prevent overfitting and improve training stability, the following regularization methods were used:

- **Dropout:** Randomly disables neurons during training to improve generalization.
- **Weight Decay (L2 Regularization):** Penalizes large weights and stabilizes learning.
- **Gradient Clipping:** Prevents exploding gradients in deep Transformer architectures.

## 3 Hyperparameter Tuning

### 3.1 Key Hyperparameters

Parameter	Tested Values	Final Value
Patch Size	2, 4, 8	4
Embedding Dimension	256, 384, 768	364
Attention Heads	4, 8	8
Transformer Layers	4, 6, 8	6
Dropout Rate	0.1, 0.2	0.1
Batch Size	64, 128	128
Learning Rate	$10^{-3}$ , $3 \times 10^{-4}$ , $10^{-4}$	$3 \times 10^{-4}$

### 3.2 Observations

- Smaller patch sizes improved accuracy but increased computational cost.
- Increasing the number of layers improved performance up to a saturation point.
- Larger learning rates led to unstable loss behavior.

## 4 Vision Transformer Architecture

The Vision Transformer converts an image into a sequence of tokens that can be processed similarly to words in natural language processing.

### 4.1 Patch Creation

The input image is divided into non-overlapping patches, with each patch treated as an individual token.

### 4.2 Patch Embedding

Each flattened patch is projected into a fixed-dimensional embedding space, transforming raw pixel values into feature representations.

### 4.3 Positional Embedding

Since Transformers lack inherent spatial awareness, positional embeddings are added to encode the relative positions of patches.

### 4.4 Class Token

A learnable class token is prepended to the patch sequence. It aggregates information from all patches and is used for final classification.

### 4.5 Multi-Head Self-Attention

Each patch attends to every other patch, allowing the model to learn global image relationships instead of purely local patterns.

### 4.6 Feed Forward Network (MLP Block)

This block applies non-linear transformations to token embeddings, increasing the model's representational capacity.

### 4.7 Classification Head

The class token output is passed through a linear layer to produce class probabilities.

## 5 Results

After training for 50 epochs, the model achieved the following results:

- **Training Accuracy:** 95.03%
- **Best Test Accuracy:** 79.30%

The model successfully learned meaningful image representations. The relatively lower test accuracy is attributed to the limited size of the CIFAR-10 dataset. Vision Transformers generally perform better when trained on larger-scale datasets.