

# Universal Secret-Key and Public-Key Encryption Using Combiners

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# Motivation: One-Way Functions

Before discussing my own work, let's consider very simple cryptographic objects: **one-way functions**.

- A one-way function (OWF) is a function that is both easy to compute and hard to invert.
  - Easy to compute:  $f(x)$  can be computed in probabilistic polynomial time (PPT)
  - Hard to invert: Any PPT adversary has at most a negligible advantage at finding the pre-image of  $f(x)$

# Motivation: One-Way Functions

More precisely:

- **Easy to compute.** There exists a PPT algorithm  $\mathcal{B}$  such that  $\forall x \in \{0,1\}^*$ ,  $\mathcal{B}(x) = f(x)$ .
- **Hard to invert.** For any PPT adversary  $\mathcal{A}$ , there exists a negligible function  $\varepsilon(n)$  such that for all  $n \geq 1$ ,

$$\Pr_{x \sim \{0,1\}^n} [x' \leftarrow \mathcal{A}(1^n, f(x)), f(x') = f(x)] \leq \varepsilon(n).$$

For example, functions that rely on the computational hardness of factoring or taking a logarithm over a finite group (discrete logarithm problem).

# Motivation: One-Way Functions

However, we can't prove that a function is one-way, or that one-way functions exist at all.

Why?

- In order to show a function is one-way, we would have to show that every probabilistic polynomial-time adversary has at most a negligible advantage at inverting  $f(x)$  on a random input
- In order to show the existence of OWF, we would essentially need to prove  $\mathcal{P} \neq \mathcal{NP}$
- Idea: inverting a one-way function amounts to solving a computational problem that we can verify efficiently (in  $\mathcal{NP}$ ), but can't solve efficiently (not in  $\mathcal{P}$ ).

## Motivation: Combiners for OWFs

- Say Bobby and Noah each come to me with their proposal for a OWF:  $f_B, f_N$
- I know at least one of them is right that their function is, in fact, one-way, but I do not know who is right
- I can generate the combined function  $f^*$  where:

$$f^*(x_1, x_2) = (f_B(x_1), f_N(x_2))$$

## Motivation: Combiners for OWFs

Is  $f^*$  a OWF if at least one of  $\{f_B, f_N\}$  is one-way?

## Motivation: Combiners for OWFs

Is  $f^*$  a OWF if at least one of  $\{f_B, f_N\}$  is one-way?

**No**, say one of the component functions cannot be computed efficiently.

However if at least one of  $\{f_B, f_N\}$  is one-way and both are efficiently computable, then  $f^*$  is a OWF. Levin generalized this idea...

First let's consider this for  $\ell$  functions.

# Combiners

**Definition:** A combiner takes several cryptographic primitives and produces one that inherits security from any one of them.

**Example for OWFs:**

$$f^*(x_1, \dots, x_\ell) = (f_1(x_1), \dots, f_\ell(x_\ell))$$

- If any  $f_i$  is one-way, then  $f^*$  is one-way.
- This is useful when we are unsure which  $f_i$  has the desired property.

# Universality?

- There are many candidate OWFs (factoring, discrete logarithm, etc.)
- We assume some OWF exists, but we don't know which.
- Goal: Build a single function,  $f^*$ , that is a OWF as long as any OWF exists.

## Levin's Key Idea

- Levin took this idea of a combiner to the extreme...
- Apply combiner to the **list of all Turing machines**.
- Let  $T_1, T_2, \dots$  be an enumeration of all deterministic programs.
- Define a function using outputs of first  $\sqrt{n}$  machines:

$$f^*(x_1, \dots, x_{\sqrt{n}}) = (T_1(x_1), \dots, T_{\sqrt{n}}(x_{\sqrt{n}}))$$

- Each  $x_i$  has length  $\sqrt{n}$ .

If any  $T_i$  computes a hard-to-invert function, it will eventually appear in this list.

# Levin's Key Idea: Universality

## The Big Idea

Use a combiner not over known functions, but over the **infinite set of all efficient algorithms**.

- Enumerate all Turing machines??!!
- If a OWF exists, it will be found, and the resulting function  $f^*$  will also be one-way

# The Halting Problem Issue

- Some  $T_i$  may not halt or run in non-polynomial time.
- Makes  $f^*$  undefined or inefficient.
- Need a workaround to make  $f^*$  well-defined and efficient.

## Solution: Time-bounded computation

$$T_i^{t(n)}(x) = \begin{cases} T_i(x) & \text{if } T_i \text{ halts in } t(|x|) \text{ steps} \\ 0 & \text{otherwise} \end{cases}$$

# Levin's Universal OWF: Definition

- Use bounded versions of machines:

$$f_U(x_1, \dots, x_{\sqrt{n}}) = \left( T_1^{n^5}(x_1), \dots, T_{\sqrt{n}}^{n^5}(x_{\sqrt{n}}) \right)$$

- $T_i^{n^5}$  halts within  $n^5$  steps or returns 0
- Function is computable in time  $O(n^6)$

**Crucial idea:** Every efficient OWF will eventually be simulated by some  $T_i$  in this list.

Note that the choices of  $\sqrt{n}$  and  $n^5$  can be generalized to any function  $p(n) = n^C$  for sufficiently large  $C$ .

# Sketch of Proof

**Statement:** If there exists an OWF  $f$  computable in  $O(n^4)$  time, then  $f_U$  is also one-way.

## Proof Intuition:

- For large enough  $n$ ,  $n^5 > O(n^4)$
- Suppose  $T_{i^*}$  computes  $f$  and runs in  $O(n^4)$ .
- For large  $n$ ,  $T_{i^*}$  appears among first  $\sqrt{n}$  machines.
- We can prove that  $f_U$  is one-way via the contrapositive: If there exists an adversary breaks  $f_U$ , we can construct an adversary that breaks  $f$ .

# Wrapping Up OWFs

- We can remove this time bound which shows that any OWF implies a OWF running in  $O(n^4)$  (will show later).
- $f_U$  is one-way if *any* efficiently computable OWF exists
- Based on enumerating and simulating all efficient functions.

# From OWFs to Encryption

- Levin's approach motivates our work on encryption
- Replace "functions" with "encryption schemes"
- Instead of inverting, adversaries try to distinguish messages
- Goal: construct encryption that is secure if any scheme in the candidate list is.

# Our Contribution

- Efficient combiner constructions for SKE and PKE.
- Output is correct and semantically secure IFF any input is.
- Universality: if a secure encryption scheme exists, our construction is secure.

# Secret-Key Encryption (SKE)

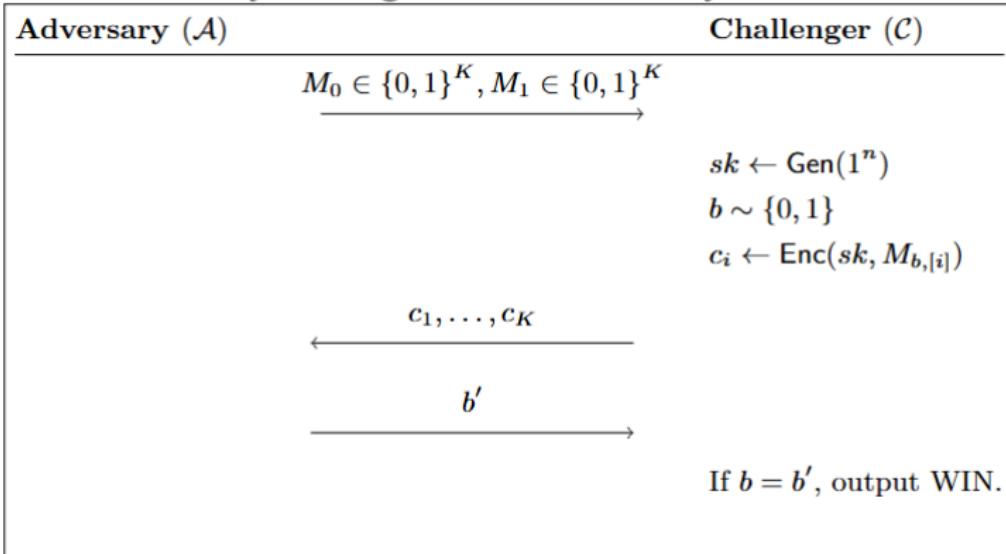
A secret-key encryption scheme consists of three efficiently computable algorithms:

- $\text{Gen}(1^n) \rightarrow sk$  : Generate a secret key
- $\text{Enc}(sk, m) \rightarrow c$  : Encrypt a message  $m$
- $\text{Dec}(sk, c) \rightarrow m$  : Decrypt the ciphertext  $c$

**Correctness:**  $\text{Dec}(sk, \text{Enc}(sk, m)) = m$

# Security for SKE

## Definition of Many Message Semantic Security in the SKE Context



Why is this useful?

## Combiner for SKE: Naive Approach

- Say Bobby and Noah each come to me with their proposal for a secure SKE scheme:  
 $(Gen_B, Enc_B, Dec_B), (Gen_N, Enc_N, Dec_N)$
- I know at least one of them is right that their scheme is secure, but I do not know who is right
- I can create a combined scheme...

# Combiner for SKE: Naive Approach

$$Gen^*(1^n) := (Gen_B(1^n), Gen_N(1^n))$$

$$Enc^*((sk_B, sk_N), (m_1, m_2)) := (Enc_B(sk_B, m_1), Enc_N(sk_N, m_2))$$

$$Dec^*((sk_B, sk_N), (c_1, c_2)) := (Dec_B(sk_B, c_1), Dec_N(sk_N, c_2))$$

## Combiner for SKE: Naive Approach

- $(Gen^*, Enc^*, Dec^*)$  is not necessarily secure, even if at least one of the two component schemes is.
- If one of the component schemes sent its plaintext in the clear, this would give an adversary a non-negligible advantage at guessing the original plaintext.
- In fact, not just a non-negligible advantage, but rather an adversary could always guess the message list that was encrypted (with probability 1).

## Secret-Key Combiner: Overview

- Let's generalize from two schemes to  $\ell$  schemes.
- Encrypt random bits  $b_1, \dots, b_\ell$  with each scheme
- XOR these unencrypted bits with the message bit  $m \in \{0, 1\}$  to produce tag  $d$
- Decryption XORs decrypted bits with tag  $d$  to recover  $m$
- These  $\ell$  bits serve as one-time pads for each of the  $\ell$  schemes.

# Secret-Key Combiner: Key Generation

- $\text{Gen}^*$  generates  $\ell$  keys:  $sk_i \leftarrow \text{Gen}_i(1^n)$
- Output:  $sk^* = (sk_1, \dots, sk_\ell)$

# Secret-Key Combiner: Encryption

- Flip  $\ell$  random bits  $b_1, \dots, b_\ell$
- Encrypt  $b_i$  with  $Enc_i(sk_i, b_i)$
- Compute tag  $d = b_1 \oplus \dots \oplus b_\ell \oplus m$
- Output:  $c^* = (c_1, \dots, c_\ell, d)$

# Secret-Key Combiner: Decryption

- Decrypt  $b_i = \text{Dec}_i(\text{sk}_i, c_i)$  for all  $i$
- Output:  $b_1 \oplus \dots \oplus b_\ell \oplus d$

# All together

- $\text{Gen}^*(1^n) :=$   
Output  $sk^* := (sk_1, \dots, sk_\ell)$ , where  $sk_i \leftarrow \text{Gen}'_i(1^n)$ .
- $\text{Enc}^*(sk^*, m \in \{0, 1\}) :=$   
Flip  $\ell$  coins  $b_1, \dots, b_\ell$   
Then for each  $b_i$  compute  $c_i \leftarrow \text{Enc}'_i(sk_i, b_i)$   
Let  $d$  be the XOR of these coins with the plaintext, that is,  $d := b_1 \oplus \dots \oplus b_\ell \oplus m$   
Output  $c^* := (c_1, \dots, c_\ell, d)$ .
- $\text{Dec}^*(sk^*, c^*) :=$   
For each  $i \in [\ell]$ , compute  $b_i \leftarrow \text{Dec}'_i(sk_i, c_i)$   
Output  $b_1 \oplus \dots \oplus b_\ell \oplus d$  which will result in the plaintext bit  $m$ .

Issue: If one of the component schemes is incorrect (does not decrypt correctly), then the combined scheme is incorrect. This is where the prime schemes come in!

# Ensuring Correctness for SKE Combiner

In order to ensure correctness of the combiner, we must define  $(\text{Gen}'_i, \text{Enc}'_i, \text{Dec}'_i)$  for each scheme  $i$ .

- $\text{Gen}'_i(1^n) := \text{Gen}_i(1^n)$ .
- $\text{Enc}'_i(\text{sk}_i, m_i) :=$ 
  - Compute  $c_i \leftarrow \text{Enc}_i(\text{sk}_i, m_i)$
  - Check if  $\text{Dec}_i(\text{sk}_i, c_i) = m_i$
  - If so, output  $c'_i := (1, c_i)$
  - Otherwise, output  $c'_i := (0, m_i)$ .
- $\text{Dec}'_i(\text{sk}_i, (\alpha, c_i)) :=$ 
  - Check if bit  $\alpha = 1$
  - If so, output  $\text{Dec}_i(\text{sk}_i, c_i)$
  - Otherwise, output  $c_i$ .

# Security Theorem for SKE

Now we can show Theorem 2.1:

**Theorem 2.1.**  $(\text{Gen}^*, \text{Enc}^*, \text{Dec}^*)$  is many message semantically secure and correct, assuming that at least one of the  $\ell$  schemes is many message semantically secure and correct.

Note that we must show that the combined scheme is **correct**, **secure**, and **efficiently computable**.

- We have already shown correctness.
- We will now prove security.
- We will handle the efficiency later.

# Security of SKE Combiner

## Proof Idea:

- Use adversary  $A$  against the combiner to build  $A'$  against some secure scheme  $i$
- Contrapositive: If combiner is insecure ( $A$  has an advantage at breaking the combined scheme), then  $A'$  breaks  $i$

# Universal SKE Construction

- Enumerate all SKE schemes that run in  $\text{poly}(n)$
- Use timeout (e.g.,  $O(n^2)$ ) to reject inefficient ones
- Apply combiner to the first  $n$  elements in this list

# Handling Runtime

- If a secure scheme runs in  $O(n^C)$ , scale the security parameter ( $1^n$ ) to make it  $O(n^2)$
- Use padding to ensure security
- Ensures resulting universal scheme is efficient

# Universal SKE Construction

- We are enumerating through all triples of Turing machines!!!
- If a secure SKE scheme exists, for large enough  $n$  it will appear in the list and be inputted into the combiner!

# Public-Key Encryption (PKE)

A public-key encryption scheme consists of three efficiently computable algorithms:

- $\text{Gen}(1^n) \rightarrow (pk, sk)$  : Generate a public key-secret key pair
- $\text{Enc}(pk, m) \rightarrow c$  : Encrypt a message  $m$
- $\text{Dec}(sk, c) \rightarrow m$  : Decrypt the ciphertext  $c$

**Correctness:**  $\text{Dec}(sk, \text{Enc}(pk, m)) = m$  with high probability

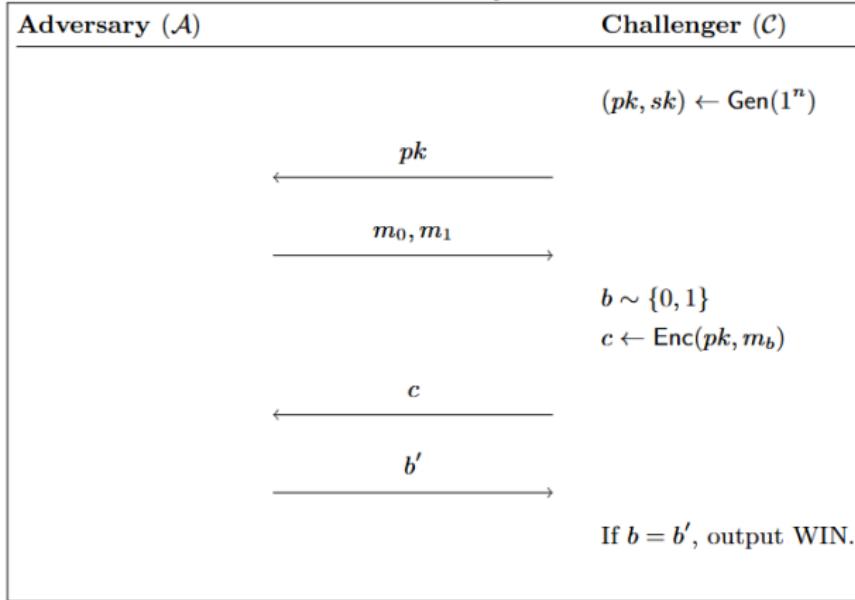
# PKE is Amazing

- Each person has a **public key** and a **secret key**.
- Public key is shared with the world.
- Messages encrypted with the public key can *only* be decrypted with the secret key.

*No shared secrets needed in advance.*

# Security for PKE

## Definition of Semantic Security in the PKE Context



Why is this useful?

# PKE Combiner: Construction

- $\text{Gen}^*(1^n) :=$ 
  - For  $i \in [\ell]$ :
    - Compute  $(pk_i, sk_i) \leftarrow \text{Gen}'_i(1^n)$
    - Output  $(pk^*, sk^*) := ((pk_1, sk_1), \dots, (pk_\ell, sk_\ell))$ .
- $\text{Enc}^*(pk^*, m \in \{0, 1\}) :=$ 
  - Flip  $\ell$  coins  $b_1, \dots, b_\ell$
  - Then for each  $b_i$ , compute  $c_i \leftarrow \text{Enc}'_i(pk_i, b_i)$
  - Compute  $d := b_1 \oplus \dots \oplus b_\ell \oplus m$
  - Output  $c^* := (c_1, \dots, c_\ell, d)$ .
- $\text{Dec}^*(sk^*, c^* := (c_1, \dots, c_\ell, d)) :=$ 
  - For each  $i \in [\ell]$ , compute  $b_i \leftarrow \text{Dec}'_i(sk_i, c_i)$
  - Output  $b_1 \oplus \dots \oplus b_\ell \oplus d$ , which will result in the plaintext bit  $m$ .

Issue: If one of the component schemes is incorrect (does not decrypt correctly), then the combined scheme is incorrect. This is where the prime schemes come in!

# Ensuring Correctness for PKE Combiner

In order to ensure correctness of the combiner, we must define  $(\text{Gen}'_i, \text{Enc}'_i, \text{Dec}'_i)$  for each scheme  $i$ .

- $\text{Gen}'_i(1^n) :=$ 
  - Compute  $(pk_i, sk_i) \leftarrow \text{Gen}_i(1^n)$
  - For  $j \in [n]$ :
    - Sample  $b_j \sim \{0, 1\}$
    - Compute  $c_j \leftarrow \text{Enc}_i(pk_i, b_j), b'_j \leftarrow \text{Dec}_i(sk_i, c_j)$
    - If  $b_j \neq b'_j$ ,  $f \leftarrow 1$
    - If  $f = 1$ , output  $(sk'_i, pk'_i) := (0, 0)$
    - Otherwise, output  $(sk'_i, pk'_i) := ((1, pk_i), (1, sk_i))$ .
- $\text{Enc}'_i(pk'_i, m_i) :=$ 
  - If  $pk_i = 0$ , output  $c' := m_i$
  - Otherwise for  $j \in [n]$ :
    - Compute  $c_j \leftarrow \text{Enc}_i(pk_i, m_i)$
    - Output  $c' := (c_1, \dots, c_n)$ .
- $\text{Dec}'_i(sk'_i, c' := (c_1, \dots, c_n)) :=$ 
  - If  $sk'_i = 0$ , output  $c_1$
  - Otherwise for  $j \in [n]$ :
    - Compute  $b_j \leftarrow \text{Dec}_i(sk'_i, c_j)$
    - Output  $\text{maj}(b_1, \dots, b_n)$ .

# Ensuring Correctness for PKE Combiner

- For each scheme  $i$ ,  $\text{Gen}'_i$  runs tests on each key pair  $(pk_i, sk_i)$
- If decryption fails with high probability, output a placeholder key
- Only keep validated key pairs
- Decryption: majority vote on decrypted bits

# Security Theorem for PKE

Now we can show Theorem 5.2:

**Theorem 5.2.**  $(\text{Gen}^*, \text{Enc}^*, \text{Dec}^*)$  is semantically secure and correct, assuming that at least one of the  $\ell$  schemes is semantically secure and correct.

Note that we must show that the combined scheme is **correct**, **secure**, and **efficiently computable**.

- We ensure correctness with the definition of the prime schemes.
- We will now prove security.
- We will handle the efficiency later.

# Security of PKE Combiner

## Proof Idea:

- Use adversary  $A$  against the combiner to build  $A'$  against some secure scheme  $i$
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# Universal PKE Construction

- Enumerate all PKE schemes that run in  $\text{poly}(n)$
- Use timeout (e.g.,  $O(n^2)$ ) to reject inefficient ones
- Apply combiner to the first  $n$  elements in this list
- The way we handled runtime for SKE works the same for PKE

# Universal PKE Construction

- We are enumerating through all triples of Turing machines!!!
- If a secure PKE scheme exists, for large enough  $n$  it will appear in the list and be inputted into the combiner!

## Final Theorems

**SKE:** If there exists any poly-time, correct and secure SKE scheme, then the combined SKE scheme is also correct, secure, and poly-time.

**PKE:** Same holds for public-key encryption: universal PKE exists if *any* secure PKE exists.

*No assumptions needed beyond the existence of one secure scheme.*

# Thank You!

Questions?

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