CS 70 Discrete Mathematics and Probability Theory Spring 2018 Satish Rao and Babak Ayazifar D

DIS 5A

1 Roots

Let's make sure you're comfortable with roots of polynomials in the familiar real numbers \mathbb{R} . Recall that a polynomial of degree d has at most d roots. In this problem, assume we are working with polynomials over \mathbb{R} .

- (a) Suppose p(x) and q(x) are two different nonzero polynomials with degrees d_1 and d_2 respectively. What can you say about the number of solutions of p(x) = q(x)? How about $p(x) \cdot q(x) = 0$?
- (b) Consider the degree 2 polynomial $f(x) = x^2 + ax + b$. Show that, if f has exactly one root, then $a^2 = 4b$.
- (c) What is the *minimum* number of real roots that a nonzero polynomial of degree d can have? How does the answer depend on d?

Solution:

- (a) A solution of p(x) = q(x) is a root of the polynomial p(x) q(x), which has degree at most $\max(d_1, d_2)$. Therefore, the number of solutions is also at most $\max(d_1, d_2)$.

 A solution of $p(x) \cdot q(x) = 0$ is a root of the polynomial $p(x) \cdot q(x)$, which has degree $d_1 + d_2$. Therefore, the number of solutions is at most $d_1 + d_2$.
- (b) If there is a root c, then the polynomial is divisible by x-c. Therefore it can be written as f(x) = (x-c)g(x). But g(x) is a degree one polynomial and by looking at coefficients it is obvious that its leading coefficient is 1. Therefore g(x) = x d for some d. But then d is also a root, which means that d = c. So $f(x) = (x-c)^2$ which means that a = -2c and $b = c^2$, so $a^2 = 4b$.
- (c) If d is even, the polynomial can have 0 roots (e.g., consider $x^d + 1$, which is always positive for all $x \in \mathbb{R}$). If d is odd, the polynomial must have at least 1 root (a polynomial of odd degree takes on arbitrarily large positive and negative values, and thus must pass through 0 inbetween them at least once).

2 Roots: The Next Generations

Now go back and do it all over in modular arithmetic...

Which of the facts from above stay true when \mathbb{R} is replaced by GF(p) [i.e., integer arithmetic modulo the prime p]? Which change, and how? Which statements won't even make sense anymore?

Solution:

- (a) The upper bounds on the number of roots still hold.
- (b) This continues to hold in any field.
- (c) Even degree polynomials can still have 0 roots, for example $x^2 + 1 \pmod{3}$ (or similar FLT-inspired forms). However, we lose the guarantee that every odd degree polynomial must have a root (though we are still assured of this at degree 1). For example, $x^3 + x + 1 \pmod{5}$ has no roots.

3 Interpolate!

Find the lowest-degree polynomial P(x) that passes through the points (1,4),(2,3),(5,0) modulo 7.

Solution:

First, observe that we don't need to compute $\Delta_5(x)$, since it will be multiplied by 0 anyway.

$$\Delta_{1}(x) \equiv \frac{(x-2)(x-5)}{(1-2)(1-5)} \equiv \frac{x^{2}-7x+10}{4} \equiv 2 \cdot (x^{2}+3) \equiv 2x^{2}+6 \pmod{7}$$

$$\Delta_{2}(x) \equiv \frac{(x-1)(x-5)}{(2-1)(2-5)} \equiv \frac{x^{2}-6x+5}{-3} \equiv 2 \cdot (x^{2}-6x+5) \equiv 2x^{2}+2x+3 \pmod{7}$$

$$P(x) \equiv y_{1}\Delta_{1}(x) + y_{2}\Delta_{2}(x) \equiv 4 \cdot (2x^{2}+6) + 3 \cdot (2x^{2}+2x+3) \equiv 14x^{2}+6x+33$$

$$\equiv 6x+5 \pmod{7}.$$

Alternatively, you can graph the points in GF(7) and observe that they all lie on y = -x + 5, which is equivalent to 6x + 5 modulo 7.

4 Secrets in the United Nations

The United Nations (for the purposes of this question) consists of n countries, each having k representatives. A vault in the United Nations can be opened with a secret combination $s \in \mathbb{Z}$. The vault should only be opened in one of two situations. First, it can be opened if all n countries in the UN help. Second, it can be opened if at least m countries get together with the Secretary General of the UN.

(a) Propose a scheme that gives private information to the Secretary General and n countries so that s can only be recovered under either one of the two specified conditions.

(b) The General Assembly of the UN decides to add an extra level of security: in order for a country to help, all of the country's *k* representatives must agree. Propose a scheme that adds this new feature. The scheme should give private information to the Secretary General and to each representative of each country.

Solution:

(a) Create a polynomial of degree n-1 and give each country one point. Give the Secretary General n-m points, so that if he collaborates with m countries, they will have n-m+m=n points and can reconstruct the polynomial. Without the General, n countries can come together and also recover the polynomial. No combination of the General with fewer than m countries can recover the polynomial.

Alternatively:

Have two schemes, one for the first condition and one for the second.

For the first condition: just one polynomial of degree $\leq n-1$ would do, where each country gets one point. The polynomial evaluated at 0 would give the secret.

For the second condition: one polynomial is created of degree m-1 and a point is given to each country. Another polynomial of degree 1 is created, where one point is given to the secretary general and the second point can be constructed from the first polynomial if m or more of the countries come together. With these two points, we have a unique 1-degree polynomial, which could give the secret evaluated at 0.

(b) The scheme in part (a) remains the same, but instead of directly giving each country a point on the n-1 degree polynomial to open the vault, construct an additional polynomial for each country that will produce that point.

Each country's polynomial has degree k-1, and a point is given to each of the k representatives of the country. Thus, when they all get together they can produce a point for either of the schemes.